Subject: FW: [External] RE: 21 NCAC 42B .0204 RFC

From: Peaslee, William W <bill.peaslee@oah.nc.gov>
Sent: Thursday, December 7, 2023 2:44 PM
To: Johnny Loper <jloper@loper-law.com>; Rules, Oah <oah.rules@oah.nc.gov>
Cc: Burgos, Alexander N <alexander.burgos@oah.nc.gov>; exdir@ncoptometry.org; Janice Peterson <janice@ncoptometry.org>
Subject: RE: [External] RE: 21 NCAC 42B .0204 RFC

Thank you for your email.

It is my intention to recommend approval of the rule as revised.

William W. Peaslee Rules Review Commission Counsel / Legislative Liaison Office of Administrative Hearings 1711 New Hope Church Road Raleigh NC, 27609 (984) 236-1939 Bill.Peaslee@oah.nc.gov

Email correspondence to and from this address may be subject to the North Carolina Public Records Law and may be disclosed to third parties by an authorized state official.

 Subject:
 FW: [External] RE: 21 NCAC 42B .0204 RFC

 Attachments:
 21 NCAC 42B .0204.docx

From: Johnny Loper <jloper@loper-law.com>
Sent: Thursday, November 30, 2023 3:20 PM
To: Peaslee, William W <bill.peaslee@oah.nc.gov>; Rules, Oah <oah.rules@oah.nc.gov>
Cc: Burgos, Alexander N <alexander.burgos@oah.nc.gov>; exdir@ncoptometry.org; Janice Peterson <janice@ncoptometry.org>
Subject: [External] RE: 21 NCAC 42B .0204 RFC

**CAUTION:** External email. Do not click links or open attachments unless verified. Report suspicious emails with the Report Message button located on your Outlook menu bar on the Home tab.

Mr. Peaslee:

In response to your Request for Changes to the above-cited rule, we submit the attached. As you can see, we agree to strike the language to which your Request for Change was addressed. Please let us know if this satisfies your request.

For the Board,

Johnny M. Loper Loper Law, PLLC 1212 Briar Patch Lane Raleigh, NC 27615

919-390-7749 (DD) 919-390-3342 (F) jloper@loper-law.com 1 2 21 NCAC 42B .0204 is adopted with changes as published in 38:04 NCR 217-218 as follows:

- 3 21 NCAC 42B .0204 CEASING PRACTICE
- 4 (a) In the event an optometrist shall cease to practice at any practice location (primary or branch) as defined in Rules
- 5 .0201 and .0202 of this Section, the optometrist shall notify the Board in writing within ten days of ceasing practice,
- 6 indicating the reason for discontinuing practice and the disposition of patient records.
- 7 (b) In the event that a licensee discontinues practice at a location for which a duplicate license has been issued, the
- 8 licensee shall return the license to the Board for cancellation within 30 days.
- 9 (c) (b) On ceasing practice at any location, the licensee shall notify those patients whose records he retains in
- 10 accordance with 21 NCAC 42E .0102(5) and (6) of the disposition or availability of such records. Such notice shall
- be given by direct mail mail, email, or through a public announcement in a newspaper of general circulation in the
- 12 county or counties wherein the patients reside. If such notice is given by publication, such publication shall occur not
- 13 less than weekly for a period of not less than ninety days. <u>Proof of the giving of such notice shall be retained by the</u>
- 14 licensee.

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- 15 (d) (c) Any licensee ceasing practice shall maintain control and custody of the records located at that practice location
- 16 until such time as such records are transferred to the patients or to the custody of another practitioner similarly licensed.
- 17 (d) Should an optometrist pass away while in practice, the optometrist's estate shall comply with paragraph (b) above
- 18 and attempt to place any medical records for which no directions are received from the patient into the hands of an
- 19 optometrist or ophthalmologist located in proximity to the deceased optometrist's practice location as soon as possible.
- 20 Any records retained by the estate shall be maintained for the period of time required by 21 NCAC 42E .0102 (6).
- 22 History Note: Authority G.S. 90-117.5; 90-118.2;
  - Eff. April 1, 1993;
- 24 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. May 23, 25 2015.
- 26 Amended Eff. January 1, 2024

| From:    | Peaslee, William W                |
|----------|-----------------------------------|
| Sent:    | Monday, November 27, 2023 2:42 PM |
| То:      | Johnny Loper                      |
| Cc:      | Rules, Oah; Burgos, Alexander N   |
| Subject: | 21 NCAC 42M .0105                 |

Good afternoon,

It is my intention to recommend approval of the above captioned rule at the December RRC meeting.

#### William W. Peaslee

Rules Review Commission Counsel / Legislative Liaison Office of Administrative Hearings 1711 New Hope Church Road Raleigh NC, 27609 (984) 236-1939 Bill.Peaslee@oah.nc.gov

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| From:        | Peaslee, William W   |
|--------------|--|
| Sent:        | Monday, November 27, 2023 12:36 PM                           |
| То:          | jloper@loper-law.com   |
| Cc:          | Burgos, Alexander N  |
| Subject:     | RE: 21 NCAC 42B .0204 RFC                                    |
| Attachments: | 12.2023 Request for Changes Optometry 21 NCAC 42B .0204.docx |

Attached please find a correction to the previous RFC which corrects the name of the agency.

William W. Peaslee Rules Review Commission Counsel / Legislative Liaison Office of Administrative Hearings 1711 New Hope Church Road Raleigh NC, 27609 (984) 236-1939 Bill.Peaslee@oah.nc.gov

Email correspondence to and from this address may be subject to the North Carolina Public Records Law and may be disclosed to third parties by an authorized state official.

From: Peaslee, William W
Sent: Monday, November 27, 2023 12:32 PM
To: jloper@loper-law.com
Cc: Burgos, Alexander N <alexander.burgos@oah.nc.gov>
Subject: 21 NCAC 42B .0204 RFC

Good morning,

Attached please find Request for Changes in the above captioned rule which will be considered at the December RRC meeting.

If you have any questions, please do not hesitate to email me.

Thank you.

William W. Peaslee Rules Review Commission Counsel / Legislative Liaison Office of Administrative Hearings 1711 New Hope Church Road Raleigh NC, 27609 (984) 236-1939 Bill.Peaslee@oah.nc.gov Email correspondence to and from this address may be subject to the North Carolina Public Records Law and may be disclosed to third parties by an authorized state official.