RULE 10A NCAC 27G .0104 IS AMENDED UNDER PERMANENT PROCEDURES AS FOLLOWS.

10A NCAC 27G .0104 STAFF DEFINITIONS

The following credentials and qualifications apply to staff described in this Subchapter:

- (1) "Associate Professional (AP)" within the mental health, developmental disabilities and substance abuse services (mh/dd/sas) system of care means an individual who is either a:
 - (a) graduate of a college or university with a masters degree in a human service field with less than one year of full-time, post-graduate degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional with less than one year of full-time, post-graduate degree accumulated supervised experience in alcoholism and drug abuse counseling. Supervision shall be provided by a qualified professional with the population served until the individual meets one year of experience. The supervisor and the employee shall develop an individualized supervision plan upon hiring. The parties shall review the plan annually; or
 - (b) graduate of a college or university with a bachelor's degree in a human service field with less than two years of full-time, post-bachelor's degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional with less than two years of full-time, post-bachelor's degree accumulated supervised experience in alcoholism and drug abuse counseling. Supervision shall be provided by a qualified professional with the population served until the individual meets two years of experience. The supervisor and the employee shall develop an individualized supervision plan upon hiring. The parties shall review the plan annually; or
 - (c) graduate of a college or university with a bachelor's degree in a field other than human services with less than four years of full-time, post-bachelor's degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional with less than four years of full-time, post-bachelor's degree accumulated supervised experience in alcoholism and drug abuse counseling. Supervision shall be provided by a qualified professional with the population served until the individual meets four years of experience. The supervisor and the employee shall develop an individualized supervision plan upon hiring. The parties shall review the plan annually; or
 - (d) registered nurse who is licensed to practice in the State of North Carolina by the North Carolina Board of Nursing with less than four years of full-time accumulated experience in mh/dd/sa with the population served. Supervision shall be provided by a qualified professional with the population served until the individual meets four years of experience. The supervisor and the employee shall develop an individualized supervision plan upon hiring. The parties shall review the plan annually.

1	(2)	"Certified clinical supervisor (CCS)" means an individual who is certified as such by the North
2		Carolina Addictions Specialist Substance Abuse Professional Practice Board.
3	(3)	"Certified criminal justice addictions professional (CCJP)" means an individual who is certified as
4		such by the North Carolina Addictions Specialist Substance Abuse Professional Practice Board.
5	(4)	"Certified substance abuse counselor (CSAC)" alcohol and drug counselor" means an individual
6		who is certified as such by the North Carolina Addictions Specialist Substance Abuse Professional
7		Certification Practice Board.
8	(5)	"Certified substance abuse prevention consultant (CSAPC)" specialist" means an individual who
9		is certified as such by the North Carolina Addictions Specialist Substance Abuse Professional
10		Practice Board.
11	(6)	"Clinical" means having to do with the active direct treatment/habilitation treatment or habilitation
12		of a client.
13	(7)	"Clinical staff member" means a qualified professional or associate professional who provides
14		active direct treatment/habilitation treatment or habilitation to a client.
15	(8)	"Clinical/professional "Clinical or professional supervision" means regularly scheduled assistance
16		by a qualified professional or associate professional to a staff member who is providing direct,
17		therapeutic intervention to a client or clients. The purpose of clinical supervision is to ensure that
18		each client receives treatment or habilitation which that is consistent with accepted standards of
19		practice and the needs of the client.
20	(9)	"Clinical social worker" means a social worker who is licensed as such by the N.C. Social Work
21		Certification and Licensure Board.
22	(10)	"Direct Support Professional" means an individual who has a GED or high school diploma hired
23		to provide intellectual disability, developmental disability, or traumatic brain injury services.
24		Supervision shall be provided by a qualified professional with experience with the population
25		served. The supervisor and the employee shall develop an individualized supervision plan upon
26		hiring and shall review it annually thereafter.
27	(10) (11) "Director" means the individual who is responsible for the operation of the facility.
28	(12)	"Family Partner" means an individual hired to provide direct engagement, support, and advocacy
29		to the family of each child and adolescent served in a Psychiatric Residential Treatment Facility.
30		A Family Partner shall meet the criteria for a Paraprofessional as defined in this Rule, have lived
31		experience as a primary caregiver for a child or adolescent with a mental health disorder, a
32		substance use disorder, intellectual disorder, or developmental disability. A Family Partner shall
33		achieve certification as a Family Peer Specialist by the National Federation of Families for
34		Children with Mental Illness within 18 months of hire and shall maintain active certification while
35		in this role.
36	(11) (13	"Licensed Clinical Addictions Specialist (LCAS)" means an individual who is licensed as such by

the North Carolina Addictions Specialist Substance Abuse Professional Practice Board.

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1	(12) (14) "Li	icensed clinician" means an individual with full-clinical licensure awarded by the State of North
2	Car	rolina, as a physician, licensed psychologist, licensed psychological associate, licensed clinical
3	soc	cial worker, licensed professional counselor, licensed marriage and family therapist, or licensed
4	clir	nical addictions specialist. "Licensed clinician" also includes an individual with full clinical
5	lice	ensure and certification as a certified clinical nurse specialist in psychiatric mental health
6	adv	vanced practice, or a certified nurse practitioner in psychiatric mental health advanced practice.
7	(13) (15) "Li	icensed Clinical Mental Healthprofessional counselor (LPC)" (LCMHC)" means a counselor
8	wh	o is licensed as such by the North Carolina Board of Licensed Clinical Mental
9	<u>Hea</u>	althProfessional Counselors.
10	(14) (16) "Nt	urse" means a person licensed to practice in the State of North Carolina either as a registered
11	nur	rse or as a licensed practical nurse.
12	(15) (17) "Pa	araprofessional" within the mh/dd/sas system of care means an individual who, with the
13	exc	ception of staff providing respite services or personal care services, has a GED or high school
14	dip	oloma; those or no GED or high school diploma, employed prior to November 1, 2001 to
15	pro	ovide a mh/dd/sa service. service are not required to have a GED or high school diploma.
16	Sup	pervision shall be provided by a qualified professional or associate professional with the
17	pop	pulation served. The supervisor and the employee shall develop an individualized supervision
18	pla	n upon hiring. The parties shall review the plan annually.
19	(16) (18) "Ps	sychiatrist" means an individual who is licensed to practice medicine in the State of North
20	Car	rolina and who has completed a training program in psychiatry accredited by the Accreditation
21	Cor	uncil for Graduate Medical Education.
22	(17) (19) "Ps	sychologist" means an individual who is licensed to practice psychology in the State of North
23	Car	rolina as either a licensed psychologist or a licensed psychological associate.
24	(18) (20) "Qı	ualified client record manager" means an individual who is a graduate of a curriculum
25	acc	credited by the Council on Medical Education and Registration of the American Health
26	Inf	Formation Management Association and who is currently registered or accredited by the
27	Am	nerican Health Information Management Association.
28	(19) (21) "Qı	ualified professional" means, within the mh/dd/sas system of eare: care either:
29	(a)	an individual who holds a license, provisional license, or certificatecertificate,
30		registration or permit issued by the governing board regulating a human service
31		profession, exceptincluding a registered nurse who is licensed to practice in the State of
32		North Carolina by the North Carolina Board of Nursing who also has four years of full-
33		time accumulated experience in mh/dd/sa with the population served; or
34	(b)	a graduate of a college or university with a Masters degree in a human service field and
35		has one year of full-time, pre- or post-graduate degree accumulated supervised mh/dd/sa
36		experience with the population served, or a substance abuse professional who has one

1			year of full-time, pre- or post-graduate degree accumulated supervised experience in
2			alcoholism and drug abuse counseling; or
3		(c)	a graduate of a college or university with a bachelor's degree in a human service field and
4			has two years of full-time, pre- or post-bachelor's degree accumulated supervised
5			mh/dd/sa experience with the population served, or a substance abuse professional who
6			has two years of full-time, pre- or post-bachelor's degree accumulated supervised
7			experience in alcoholism and drug abuse counseling; or
8		(d)	a graduate of a college or university with a bachelor's degree in a field other than human
9			services and has four years of full-time, pre- or post-bachelor's degree accumulated
10			supervised mh/dd/sa experience with the population served, or a substance abuse
l 1			professional who has four years of full-time, pre- or post-bachelor's degree accumulated
12			supervised experience in alcoholism and drug abuse counseling.
13	(20) (22	<u>')</u> "Qualif	fied substance abuse prevention professional (QSAPP)" means, within the mh/dd/sas
14		system	of eare: care, means either:
15		(a)	a graduate of a college or university with a masters degree in a human service field and
16			has one year of full-time, post-graduate degree accumulated supervised experience in
17			substance abuse prevention; or
18		(b)	a graduate of a college or university with a bachelor's degree in a human service field and
19			has two years of full-time, post-bachelor's degree accumulated supervised experience in
20			substance abuse prevention; or
21		(c)	a graduate of a college or university with a bachelor's degree in a field other than human
22			services and has four years of full-time, post bachelor's degree accumulated supervised
23			experience in substance abuse prevention; or
24		(d)	a substance abuse prevention professional who is certified as a Certified Substance Abuse
25			Prevention Consultant (CSAPC) Specialist by the North Carolina Addictions Specialist
26			Substance Abuse-Professional Practice Board.
27			
28	History Note:	Author	ity G.S. 122C-3; 122C-25; 122C-26; 143B-147; <u>S.L. 2017-32; S.L. 2019-240;</u>
29		Eff. Ma	ry 11, 1996;
30		Тетрог	rary Amendment Eff. January 1, 2001;
31		Тетрог	rary Amendment Expired October 13, 2001;
32		Тетрог	rary Amendment Eff. November 1, 2001;
33		Amend	ed Eff. February 1, 2009; October 1, 2004; April 1, 2003;
34		Тетрог	rary Amendment Eff. March 1, 2019;
35		Pursua	ent to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. July 20,
36		2019;	
37		Тетрог	rary Amendment Expired Eff. December 10, 2019. 2019;

RULE 10A NCAC 28A .0102 IS AMENDED UNDER PERMANENT PROCEDURES AS FOLLOWS.

10A NCAC 28A .0102 DEFINITIONS

- (a) In addition to the definitions contained in this Rule, the terms defined in G.S. 122C-3, 122C-4 and 122C-53(f) also apply to all rules in Subchapters 28A, 28B, 28C, and 28D of this Chapter.
- (b) As used in the rules in Subchapters 28A, 28B, 28C, and 28D of this Chapter, the following terms have the meanings specified:
 - (1) "Abuse" means the <u>same as defined in 42 CFR Part 488 Subpart E</u>, which is incorporated by reference, including subsequent amendments. infliction of physical or mental pain or injury by other than accidental means; or unreasonable confinement; or the deprivation by an employee of services which are necessary to the mental and physical health of the client. Temporary discomfort that is part of an approved and documented treatment plan or use of a documented emergency procedure shall not be considered abuse.
 - (2) "Associate Professional (AP)" within the mental health, developmental disabilities and substance abuse services (mh/dd/sas) system of care means an individual who is <u>either</u> a:
 - (A) graduate of a college or university with a Masters degree in a human service field with less than one year of full-time, post-graduate degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional with less than one year of full-time, post-graduate degree accumulated supervised experience in alcoholism and drug abuse counseling. Upon hiring, an individualized supervision plan shall be developed and supervision shall be provided by a qualified professional with the population served until the individual meets one year of experience; or
 - (B) graduate of a college or university with a bachelor's degree in a human service field with less than two years of full-time, post-accumulated mh/dd/sa experience with the population served, or a substance abuse professional with less than two years of full-time, post-bachelor's degree accumulated supervised experience in alcoholism and drug abuse counseling. Upon hiring, an individualized supervision plan shall be developed and reviewed annually. Supervision shall be provided by a qualified professional with the population served until the individual meets two years of experience; or
 - (C) graduate of a college or university with a bachelor's degree in a field other than human services with less than four years of full-time, post bachelor's degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional with less than four years of full-time, post-bachelor's degree accumulated supervised experience in alcoholism and drug abuse counseling. Upon hiring, an individualized supervision plan shall be developed and reviewed annually. Supervision shall be provided by a qualified professional with the population served until the individual meets four years of experience; or

1		(D) registered nurse who is licensed to practice in the State of North Carolina by the North
2		Carolina Board of Nursing with less than four years of full-time accumulated experience
3		in mh/dd/sa with the population served. Upon hiring, an individualized supervision plan
4		shall be developed and reviewed annually. Supervision shall be provided by a qualified
5		professional with the population served until the individual meets four years of
6		experience.
7	(3)	"Basic necessities" mean the essential items or substances needed to support life and health which
8		include, but are not limited to, a nutritionally sound diet balanced during three meals per day,
9		access to water and bathroom facilities at frequent intervals, seasonable clothing, medications to
10		control seizures, diabetes and other like physical health conditions, and frequent access to social
11		contacts.
12	(4)	"Certified clinical supervisor (CCS)" means an individual who is certified as such by the North
13		Carolina Addictions Specialist Professional Practice Substance Abuse Professional Certification
14		Board.
15	(5)	"Certified substance abuse counselor (CSAC)" alcohol and drug counselor" means an individual
16		who is certified as such by the North Carolina Addictions Specialist Substance Abuse Professional
17		Practice Certification Board.
18	<u>(6)</u>	"Client" has the same meaning assigned in G.S. § 133C-3. "Client" may also be referred to as a
19		patient or resident.
20	(6) (7)	"Client record" means any record made of confidential information. information as defined G.S. §
21		<u>122C-3.</u>
22	(7) (8)	"Clinical Director" means Medical Director, Director of Medical Services or such person acting in
23		the position of Clinical Director, or his designee.
24	(8) (9)	"Clinically competent" means authorization by the State Facility Director for a qualified
25		professional to provide specific treatment/habilitation treatment or habilitation services to clients
26		based on the professional's education, training, experience, competence and judgment.
27	(9) (10)	"Consent" means concurrence by a client or his legally responsible person following receipt of
28		information from the qualified professional who will administer the proposed treatment or
29		procedure. Informed consent implies that the client or his legally responsible person was provided
30		with information concerning proposed treatment, including both benefits and risks, in order to
31		make an educated decision with regard to such treatment.
32	(10) (11)	"Dangerous articles or substances" mean, but are not limited to, any weapon or potential weapon,
33		heavy blunt object, sharp objects, potentially harmful chemicals, or drugs of any sort, including
34		alcohol.
35	(12)	"Division" means the Division of State Operated Healthcare Facilities.
36	(11) (13)	"Division Director" means the Director of the Division or his designee.

1	(12)(14) "Emergency" means a situation in a state facility in which a client is in imminent danger of
2	causing abuse or injury to self or others, or when substantial property damage is occurring as a
3	result of unexpected and severe forms of inappropriate behavior, and rapid intervention by the
4	staff is needed. [See Subparagraph (b)(25) of this Rule for definition of medical emergency].
5	(13)(15) "Emergency surgery" means an operation or surgery performed in a medical emergency [as
6	emergency, as defined in Subparagraph (b)(25) of this Rule Rule, where informed consent cannot
7	be obtained from an authorized person, as specified in G.S. 90-21.13, because the delay would
8	seriously worsen the physical condition or endanger the life of the client.
9	(14)(16) "Exclusionary time-out" means the removal of a client to a separate area or room from which exit
10	is not barred for the purpose of modifying behavior.
11	(15)(17) "Exploitation" means the same as defined in 42 CFR Part 483 Subpart B, which is incorporated by
12	reference, including subsequent amendments. use of a client or her/his resources, including
13	borrowing, taking or using personal property with or without her/his permission for another
14	person's profit, business or advantage.
15	(16)(18) "Forensic Division" means the unitunits at Dorothea Dix Hospital any State hospital designated in
16	accordance with G.S. § 122C-252 which serves clients who are:
17	(A) admitted for the purpose of evaluation for capacity to proceed to trial;
18	(B) found not guilty by reason of insanity;
19	(C) determined incapable of proceeding to trial; ortrial.
20	(D) deemed to require a more secure environment to protect the health, safety and welfare of
21	elients, staff and the general public.
22	(17)(19) "Grievance" means a verbal or written complaint by or on behalf of a client concerning a situation
23	that occurred within the jurisdiction of the state facility. A grievance does not include complaints
24	that can be resolved without delay by staff present. A complaint that is not resolved shall be filed
25	and processed in accordance with the requirements of 10A NCAC 28B .0203.
26	(18)(20) "Human Rights Committee" means a committee, appointed by the Secretary, to act in a capacity
27	regarding the protection of client rights.
28	(19)(21) "Independent psychiatric consultant" means a licensed psychiatrist not on the staff of the state
29	facility in which the client is being treated. The psychiatrist may be in private practice, or be
30	employed by another state facility, or be employed by a facility other than a state facility as
31	defined in G.S. 122C-3(14).
32	(20)(22) "Interpreter services" means specialized communication services provided for the hearing
33	impaired by interpreters certified by the National Registry of Interpreters for the Deaf or the
34	National Association of the Deaf.
35	(21)(23) "Involuntary client" means a person admitted to any regional psychiatric hospital or alcoholic
36	rehabilitation centeralcohol and drug abuse treatment center under the provisions of Article 5,
37	Parts 7, 8 or 9 of G.S. 122C and includes includes, but it is not limited to to, clients detained

1	pending a district court hearing and clients involuntarily committed after a district court hearing.
2	This term shall also include individuals who are defendants in criminal actions and are being
3	evaluated in a state facility for mental responsibility or mental competency as a part of such
4	criminal proceedings as specified in G.S. 15A-1002 15A-1002, unless a valid order providing
5	otherwise is issued from a court of competent jurisdiction jurisdiction, and the civil commitment
6	of defendants found not guilty by reason of insanity as specified in G.S. 15A-1321.
7	(22)(24) "Isolation time-out" means the removal of a client to a separate room from which exit is barred by
8	which is not locked and where there is continuous direct, uninterrupted supervision by staff for the
9	purpose of modifying behavior. "Isolation time-out" does not include precautions intended to
10	prevent transmission of a communicable disease.
11	(25) "Licensed Clinical Addiction Specialist (LCAS)" means an individual who is certified as such by
12	the North Carolina Addictions Specialist Professional Practice Board.
13	(23)(26) "Licensed Clinical Mental healthprofessional counselor (LPC)" (LCMHC)" means a counselor
14	who is licensed as such by the North Carolina Board of Licensed Clinical Mental Health
15	Professional Counselors.
16	(24)(27) "Major physical injury" means damage caused to the body resulting in profuse bleeding or
17	contusion of tissues; fracture of a bone; damage to internal organs; loss of consciousness; loss of
18	normal neurological function (inability to move or coordinate movement); or any other painful
19	condition caused by such injury.
20	(25)(28) "Medical emergency" means a situation where the client is unconscious, ill, or injured, and the
21	reasonably apparent circumstances require prompt immediate decisions and actions in medical or
22	other health eare, care related decisions and actions to prevent the worsening of the necessity of
23	immediate health care treatment is so reasonably apparent that any delay in the rendering of the
24	treatment would seriously worsen the physical condition condition, or endanger the life life, of the
25	client.
26	(26)(29) "Minimal risk research" means that the risks of harm anticipated in the proposed research are not
27	greater, considering probability and magnitude, than those ordinarily encountered in daily life or
28	during the performance of routine physical or psychological examinations or tests.
29	(27)(30) "Minor client" means a person under 18 years of age who has not been married or who has not
30	been emancipated by a decree issued by a court of competent jurisdiction or is not a member of the
31	armed forces.
32	(A) has not been married; or
33	(B) has not been emancipated by a decree issued by a court of competent jurisdiction; or
34	(C) is not a member of the armed forces.
35	(31) "Misappropriation of resident property" means the same as defined by 42 CFR Part 488 Subpart
36	E, incorporated by reference, including subsequent amendments.

1	(28)(32) "Neglect" means the same as defined by 42 CFR Part 488 Subpart E, incorporated by reference,
2	including subsequent amendments.failure to provide care or services necessary to maintain the
3	mental and physical health of the client.
4	(29)(33) "Normalization" means the principle of helping the client to obtain an existence as close to normal
5	as possible, taking into consideration the client's disabilities and potential, by making available to
6	him patterns and conditions of everyday life that are as close as possible to the norms and patterns
7	of the mainstream of society.
8	(30)(34) "Paraprofessional" within the mh/dd/sa system of care means an individual who, with the
9	exception of staff providing respite services or personal care services, has a GED or high school
10	diploma; an individual or no GED or high school diploma, employed prior to November 1, 2001
11	to provide a mh/dd/sa service. service is not required to have a GED or high school diploma.
12	Upon hiring, an individualized supervision plan shall be developed and supervision shall be
13	provided by a qualified professional or associate professional with the population served.
14	(31)(35) "Person standing in loco parentis" means one who has put himself in the place of a lawful parent
15	by assuming the rights and obligations of a parent without formal adoption.
16	(32)(36) "Physical Restraint" means the application or use of any manual method of restraint that restricts
17	freedom of movement, or the application or use of any physical or mechanical device that restricts
18	freedom of movement or normal access to one's body, including material or equipment attached or
19	adjacent to the client's body that he or she cannot easily remove. Holding a client in a therapeutic
20	hold or any other manner that restricts his or her movement constitutes manual restraint for that
21	client. Mechanical devices may restrain a client to a bed or chair, or may be used as ambulatory
22	restraints. Examples of mechanical devices include cuffs, ankle straps, sheets or restraining shirts,
23	arm splints, mittens and helmets. Excluded from this definition of physical restraint are physical
24	guidance, gentle physical prompting techniques, escorting and therapeutic holds used solely for
25	the purpose of escorting a client who is walking, soft ties used solely to prevent a medically ill
26	client from removing intravenous tubes, indwelling catheters, cardiac monitor electrodes or similar
27	medical devices, and prosthetic devices or assistive technology which are designed and used to
28	increase client adaptive skills. Escorting means the temporary touching or holding of the hand,
29	wrist, arm, shoulder or back for the purpose of inducing a client to walk to a safe location.
30	(33)(37) "Protective devices" means an intervention which that provides support for weak and feeble
31	clients or enhances the safety of clients with specific medical or behavioral needs. behaviorally
32	disordered clients. Such devices may include posey vests, geri-chairs or table top chairs to provide
33	support and safety for clients with physical handicaps; disabilities; devices such as helmets and
34	mittens for self-injurious behaviors; or devices such as soft ties used to prevent medically ill
35	clients from removing intravenous tubes, indwelling catheters, cardiac monitor electrodes or
36	similar medical devices. As provided in Rule .0207 of Subchapter 28D, the use of a protective

1	device for behavioral control shall comply with the requirements specified in Rule .0203 of
2	Subchapter 28D.
3	(34)(38) "Psychotropic medication" means medication with the primary function of treating mental illner
4	personality or behavior disorders. It includes, but is not limited to, antipsychotics, antidepressar
5	antianxiety agents and mood stabilizers.
6	(35)(39) "Qualified professional" means, within the mh/dd/sas system of care, an individual who is: is
7	either:
8	(A) an individual who holds a license, provisional license, or certificate eertificate,
9	registration or permit-issued by the governing board regulating a human service
10	profession, except including a registered nurse who is licensed to practice in the State
11	North Carolina by the North Carolina Board of Nursing who also has four years of full
12	time accumulated experience in mh/dd/sa with the population served; or
13	(B) a graduate of a college or university with a Masters degree in a human service field and
14	has one year of full-time, pre- or post-graduate degree accumulated supervised mh/dd/
15	experience with the population served, or a substance abuse professional who has one-
16	year of full-time, pre- or post-graduate degree accumulated supervised experience in
17	alcoholism and drug abuse counseling; or
18	(C) a graduate of a college or university with a bachelor's degree in a human service field a
19	has two years of full-time, pre- or post-bachelor's degree accumulated supervised
20	mh/dd/sa experience with the population served, or a substance abuse professional who
21	has two years of full-time, pre- or post-bachelor's degree accumulated supervised
22	experience in alcoholism and drug abuse counseling; or
23	(D) a graduate of a college or university with a bachelor's degree in a field other than huma
24	services and has four years of full-time, pre- or post-bachelor's degree accumulated
25	mh/dd/sa experience with the population served, or a substance abuse professional who
26	has four years of full-time, pre- or post-bachelor's degree accumulated supervised
27	experience in alcoholism and drug abuse counseling.
28	(36)(40) "Regional alcohol and drug abuse treatment center" means a state facility for persons with a
29	substance abuse disorder substance abusers as specified in G.S. 122C-181(a)(3).
30	(37)(41) "Regional mental retardation developmental disability center" means a state facility for the
31	mentally retarded developmentally disabled as specified in G.S. 122C-181(a)(2).
32	(38)(42) "Regional psychiatric hospital" means a state facility for the mentally ill as specified in G.S.
33	122C-181(a)(1).
34	(39)(43) "Representative payee" means the person, group, or facility designated by a funding source, suc
35	as Supplemental Security Income (SSI), to receive and handle funds according to the guidelines
36	the source on behalf of a client.

1	(40)(44) "Research" means inquiry involving a trial or special observation made under conditions
2	determined by the investigator to confirm or disprove an hypothesis or to explicate some principle
3	or effect.
4	(41)(45) "Respite client" means a client admitted to a mental retardation developmental disability center or
5	a neuromedical treatment center for a short-term period, normally not to exceed 30 days. The
6	primary purpose of such admission is to provide a temporary interval of rest or relief for the
7	client's regular caretaker.
8	(42)(46) "Responsible professional" shall have the meaning as specified in G.S. 122C-3 122C-3; except the
9	"responsible professional" shall also be a qualified professional as defined in Subparagraph (b)(35)
10	of this Rule.
11	(43)(47) "Seclusion" means isolating a client in a separate locked room for the purpose of
12	controllingmanaging a client's behavior. "Seclusion" does not include precautions intended to
13	prevent transmission of a communicable disease. In the Forensic Service, Pretrial Evaluation Unit
14	and the Forensic Treatment Program Maximum Security Ward in the Spruill Building at Dorothea
15	Dix Central Regional Hospital, the use of locked rooms is not considered seclusion for clients with
16	criminal charges who are:
17	(A) undergoing pretrial evaluations ordered by a criminal court;
18	(B) in treatment for restoration of capacity to proceed;
19	(C) in treatment to reduce violence risk; or
20	(D) considered to be an escape risk.
21	(44)(48) "State Facility Director" means the chief administrative officer or manager of a state facility or his
22	designee.
23	(45)(49) "Strike" means, but is not limited to, hitting, kicking, slapping or beating whether done with a part
24	of one's body or with an object.
25	(46)(50) "Timeout" means the removal of a client from other clients to another space within the same
26	activity area for the purpose of modifying behavior.
27	(47)(51) "Treatment" means the act, method, or manner of habilitating or rehabilitating, caring for or
28	managing a client's physical or mental problems.
29	(48)(52) "Treatment plan" means a written individual plan of treatment or habilitation for each client to be
30	undertaken by the treatment team and includes any documentation of restriction of client's rights.
31	(49)(53) "Treatment team" means an interdisciplinary group of qualified professionals sufficient in number
32	and variety by discipline to adequately assess and address the identified needs of the client.
33	(50)(54) "Unit" means an integral component of a state facility distinctly established for the delivery of one
34	or more elements of service to which specific staff and space are assigned, and for which
35	responsibility has been assigned to a director, supervisor, administrator, or manager.
36	(51)(55) "Voluntary client" means a person admitted to a state facility under the provisions of Article 5,
37	Parts 2, 3, 4 or 5 of G.S. 122C.

1	History Note:	Authority G.S. 122C-3; 122C-4; 122C-51; 122C-53(f); 143B-147; <u>S.L. 2017-32; S.L. 2019-240;</u>
2		Eff. October 1, 1984;
3		Amended Eff. June 1, 1990; April 1, 1990; July 1, 1989;
4		Temporary Amendment Eff. January 1, 1998;
5		Amended Eff. April 1, 1999;
6		Temporary Amendment Eff. January 1, 2001;
7		Temporary Amendment Expired October 13, 2001;
8		Temporary Amendment Eff. November 1, 2001;
9		Amended Eff. April 1, 2003;
10		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 24,
11		2017;
12		Temporary Amendment Eff. March 1, 2019;
13		Temporary Amendment Expired Eff. December 10, 2019. 2019;
14		Amended Eff. January 1, 2024