SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0118 DEFINITIONS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 10/6/26

☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: 2025 Rules Review Process

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L Brendle

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0119 NUMBER OF PILOTS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 10/6/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: 2025 Rules Review Process

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L Brendle

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0120 ANNUAL RENEWAL OF LICENSE

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 10/6/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: 2025 Rules Review Process

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Thomas L. Brendle
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0121 APPRENTICESHIP

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 10/6/26

☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: 2025 Rules Review Process

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L. Brendle

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0122 DISCIPLINARY ACTIONS AGAINST PILOTS

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/6/26

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: **2025 Rules Review Process**

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L Brendle

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0123 INCIDENTS OR ACCIDENTS INVOLVING PILOTS

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/6/26
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: **2025 Rules Review Process**

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L Brendle

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0125 PILOT ARRANGEMENTS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 10/6/26

☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: 2025 Rules Review Process

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L Brendle

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0126 WHEN TUGBOAT ASSISTANCE REQUIRED

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/6/26

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: **2025 Rules Review Process**

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L Brendle

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0127 PILOTAGE RATES

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 10/6/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: 2025 Rules Review Process

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Thomas L Brendle
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Cape Fear River Navigation and Pilotage Commission

2. Rule citation & name (name not required for repeal): 04 NCAC 15 .0128 FEES

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.cfpilot.com
Hearing on:
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 10/6/26

☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: 2025 Rules Review Process

10. Rulemaking Coordinator: Thomas Brendle

Phone: 910-520-8505
E-Mail: tbrendle@cape-fear.net

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Thomas L Brendle

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Thomas Brendle
Title: Cape Fear River Pilot

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: State Board of Elections

2. Rule citation & name (name not required for repeal): 08 NCAC 21 .0203 CERTIFICATION OF THRESHOLD

3. Action:
☐ ADOPTION ☒ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: July 1, 2026
Link to Agency notice: https://www.ncsbe.gov/news/press-releases/2026/06/30/state-board-opens-public-comment-periods-proposed-campaign-finance-rules https://www.ncsbe.gov/about-elections/legal-resources/rulemaking
Hearing on: August 3, 2026
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 8, 2026
☐ Notice not required under G.S.:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

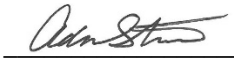
9B. Explain: Pursuant to G.S. 163-278.10A, candidate committees for county office, municipal office, local school board, and soil and water conservation district, as well political party committees, may be exempt from filing campaign finance disclosure reports if the committee determines that it does not intend to receive in contributions or in loans or spend more than $1,000 during the election cycle. If a political committee intends to stay under the threshold in G.S. 163-278.10A for a subsequent election cycle, the treasurer is required to file a new certification of threshold with the board of elections. The amendment to this permanent rule changes the deadline for filing the certification from January 31 to March 31.

10. Rulemaking Coordinator: Adam Steele
Phone: 919-814-0654
E-Mail: adam.steele@ncsbe.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Adam Steele
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: State Board of Elections

2. Rule citation & name (name not required for repeal): 08 NCAC 21 .0206 REQUESTING A WAIVER OF A CIVIL LATE PENALTY

3. Action:
☐ ADOPTION ☒ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: July 1, 2026
Link to Agency notice: https://www.ncsbe.gov/news/press-releases/2026/06/30/state-board-opens-public-comment-periods-proposed-campaign-finance-rules https://www.ncsbe.gov/about-elections/legal-resources/rulemaking
Hearing on: August 3, 2026
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 8, 2026
☐ Notice not required under G.S.:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: Civil penalties for late campaign finance disclosure reports may be waived in whole or in part for good cause. See G.S. 163-278.34(d). The amendment to this permanent rule delegates authority to State Board of Elections staff to grant a good cause waiver of a civil penalty assessment issued under G.S. 163-278.34(a) if a condition described in the rule is met. The amendment further clarifies that all remaining waiver requests shall be presented to the State Board for a final determination.

10. Rulemaking Coordinator: Adam Steele
Phone: 919-814-0654
E-Mail: adam.steele@ncsbe.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Adam Steele
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: State Board of Elections

2. Rule citation & name (name not required for repeal): 08 NCAC 21 .0801 ORGANIZATION AND REPORTING

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: July 1, 2026
Link to Agency notice: https://www.ncsbe.gov/news/press-releases/2026/06/30/state-board-opens-public-comment-periods-proposed-campaign-finance-rules https://www.ncsbe.gov/about-elections/legal-resources/rulemaking
Hearing on: August 3, 2026
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 8, 2026
☐ Notice not required under G.S.:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: G.S. 163-278.19B permits a political party executive committee or affiliated party committee to establish and operate a headquarters building fund. The State Board adopted a series of rules to outline the reporting requirements for building funds. This rule establishes the report contents.

10. Rulemaking Coordinator: Adam Steele
Phone: 919-814-0654
E-Mail: adam.steele@ncsbe.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Adam Steele
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: State Board of Elections

2. Rule citation & name (name not required for repeal): 08 NCAC 21 .0802 COMMINGLING OF DONATIONS

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: July 1, 2026
Link to Agency notice: https://www.ncsbe.gov/news/press-releases/2026/06/30/state-board-opens-public-comment-periods-proposed-campaign-finance-rules https://www.ncsbe.gov/about-elections/legal-resources/rulemaking
Hearing on: August 3, 2026
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 8, 2026
☐ Notice not required under G.S.:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: G.S. 163-278.19B permits a political party executive committee or affiliated party committee to establish and operate a headquarters building fund. The State Board adopted a series of rules to outline the reporting requirements for building funds. This rule provides that these funds cannot be commingled with operating funds.

10. Rulemaking Coordinator: Adam Steele
Phone: 919-814-0654
E-Mail: adam.steele@ncsbe.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Adam Steele
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: State Board of Elections

2. Rule citation & name (name not required for repeal): 08 NCAC 21 .0803 CONTENTS OF REPORTS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://www.ncsbe.gov/news/press-releases/2026/06/30/state-board-opens-public-comment-periods-proposed-campaign-finance-rules https://www.ncsbe.gov/about-elections/legal-resources/rulemaking
Hearing on: August 3, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 8, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: G.S. 163-278.19B permits a political party executive committee or affiliated party committee to establish and operate a headquarters building fund. The State Board adopted a series of rules to outline the reporting requirements for building funds. This rule covers the contents of the disclosure report.

10. Rulemaking Coordinator: Adam Steele
Phone: 919-814-0654
E-Mail: adam.steele@ncsbe.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Adam Steele
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: State Board of Elections

2. Rule citation & name (name not required for repeal): 08 NCAC 21 .0804 DONATIONS

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: July 1, 2026
Link to Agency notice: https://www.ncsbe.gov/news/press-releases/2026/06/30/state-board-opens-public-comment-periods-proposed-campaign-finance-rules https://www.ncsbe.gov/about-elections/legal-resources/rulemaking
Hearing on: August 3, 2026
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 8, 2026
☐ Notice not required under G.S.:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain: G.S. 163-278.19B permits a political party executive committee or affiliated party committee to establish and operate a headquarters building fund. The State Board adopted a series of rules to outline the reporting requirements for building funds. This rule requires payments over a certain amount be in a verifiable form of payment.

10. Rulemaking Coordinator: Adam Steele
Phone: 919-814-0654
E-Mail: adam.steele@ncsbe.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Adam Steele
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0101 Purpose

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☒ **This Rule was part of a combined analysis.**
☒ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☒ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Julie Ventaloro

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0102 Definitions

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☒ This Rule was part of a combined analysis.
☒ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☒ Other: G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A and includes minor clarifying changes.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Julie Ventaloro

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0201 Allowable Uses of Grants

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☒ **This Rule was part of a combined analysis.**
☒ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☒ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A and includes changes to help ensure that administration of State-funded grants remains consistent with State requirements and legislative intent.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Julie Ventaloro

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0202 Recipient and Subrecipient Responsibilities

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 19, 2026
☐ Notice not required under G.S.:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☒ This Rule was part of a combined analysis.
☒ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☒ Other: G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Julie Ventaloro
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☒ This Rule was part of a combined analysis.
☒ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☒ Other: G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A and includes changes to help ensure that administration of State-funded grants remains consistent with State requirements and legislative intent.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Julie Ventaloro
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0401 Agency Responsibilities

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
~~Notice of Text published on:~~ ~~August 17, 2026~~
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☒ **This Rule was part of a combined analysis.**
☒ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☒ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies *G.S. 150B-21.3A* and addresses comments received during the public comment period.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Julie Ventaloro

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0702 Subordination of Other Contracts Agreements

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☒ This Rule was part of a combined analysis.
☒ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☒ Other: G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Julie Ventaloro
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0703 Required Contract Provisions

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☒ This Rule was part of a combined analysis.
☒ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☒ Other: G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A and includes minor clarifying changes.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Julie Ventaloro

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0801 Noncompliance With Rules

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
~~Notice of Text published on:~~ ~~August 17, 2026~~
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☒ This Rule was part of a combined analysis.
☒ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☒ Other: G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A and addresses comments received during the public comment period.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Julie Ventaloro
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0802 Recovery of State Funds

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☒ This Rule was part of a combined analysis.
☒ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☒ Other: G.S. 150B-21.3A

9B. Explain: The readoption of this rule satisfies G.S. 150B-21.3A and includes minor clarifying changes.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:
Julie Ventaloro
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Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0901 Petitions for Rulemaking

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☒ **This Rule was part of a combined analysis.**
☒ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☒ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** G.S. 150B-20

9B. Explain: The adoption of procedures governing petitions for rulemaking will comply with G.S. 150B-20 and provide stakeholders and the public with clear expectations regarding how the petition process may be used and administered.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Julie Ventaloro

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of State Budget and Management

2. Rule citation & name (name not required for repeal): 09 NCAC 03M .0902 Declaratory Rulings

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: August 17, 2026
Link to Agency notice: https://www.osbm.nc.gov/news/events/public-comment-and-hearing-proposed-amendments-state-grant-rules
Hearing on: September 16, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 19, 2026
☐ **Notice not required under G.S.:**

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☒ **This Rule was part of a combined analysis.**
☒ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☒ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** G.S. 150B-4

9B. Explain: The adoption of procedures governing declaratory rulings will comply with G.S. 150B-4 and provide stakeholders and the public with clear expectations regarding how the declaratory ruling process may be used and administered.

10. Rulemaking Coordinator: Julie Ventaloro

Phone: 984-236-0694
E-Mail: julie.ventaloro@osbm.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Julie Ventaloro

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Julie Ventaloro
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Commission for Mental Health, Developmental Disabilities, and Substance Abuse Services

2. Rule citation & name (name not required for repeal): 10A NCAC 26F .0102, Schedule I

3. Action:
☐ **ADOPTION** ☒ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☐ **Notice Required**
Notice of Text published on:
Link to Agency notice:
Hearing on:
☐ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on:
☒ **Notice not required under G.S.:** 90-88(d)
Adoption by Agency on: August 27, 2026

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☒ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: This amendment is necessary to correspond to additions in the Federal Controlled Substances Schedule. Pursuant to GS 90-88(d), the Commission for MH/DD/SAS has the authority to schedule drugs in compliance with federal regulations without notice or hearing. The Commission proposes to adopt language to parallel the Federal Controlled Substances Schedule, as set forth in 21 C.F.R. §1308.11.

10. Rulemaking Coordinator: Denise Baker

Phone: 984-236-5272
E-Mail: dmhddsasrules@dhhs.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Digitally signed by
Denise Baker
8E9D889105824F1...

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name:
Title:

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Commission for Mental Health, Developmental Disabilities, and Substance Abuse Services

2. Rule citation & name (name not required for repeal): 10A NCAC 26F .0105, Schedule IV

3. Action:
☐ **ADOPTION** ☒ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☐ **Notice Required**
Notice of Text published on:
Link to Agency notice:
Hearing on:
☐ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on:
☒ **Notice not required under G.S.:** 90-88(d)
Adoption by Agency on: August 27, 2026

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☒ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: This amendment is necessary to correspond to additions in the Federal Controlled Substances Schedule. Pursuant to GS 90-88(d), the Commission for MH/DD/SAS has the authority to schedule drugs in compliance with federal regulations without notice or hearing. The Commission proposes to adopt language to parallel the Federal Controlled Substances Schedule, as set forth in 21 C.F.R. §1308.14.

10. Rulemaking Coordinator: Denise Baker

Phone: 984-236-5272
E-Mail: dmhddsasrules@dhhs.nc.gov

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Docusigned by:
Denise Baker
8E9D889105824F1...

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name:
Title:

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

1. Rule-Making Agency: NC Sheriffs' Education and Training Standards Commission

2. Rule citation & name (name not required for repeal): 12 NCAC 10B .0305 Background Investigation

3. Action:
☐ **ADOPTION** ☒ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: 07/01/2026
Link to Agency notice: Proposed Rule Amendments - NCDOJ
Hearing on: 07/16/2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 09/18/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
12 NCAC 10B .0305 **Background Investigation** – This amendment modifies the wording "age of majority" to "age of 18 years of age."

10. Rulemaking Coordinator: Robin P. Pendergraft

Phone: 919-779-8213
E-Mail: rpendergraft@ncdoj.gov

Additional agency contact, if any: Sirena Jones

Phone: 919-779-8213
E-Mail: scjones@ncdoj.gov

11. Signature of Agency Head* or Rule-making Coordinator:

*Robin P. Pendergraft_*
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Robin P. Pendergraft
Title: Projects Manager

RRC AND OAH USE ONLY

Action taken:

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .0708 – Consignment Sales: Conditional Sales: Returns

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .0715 – Conditions when Commission approval required for Promotions

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .1301 – Definitions

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .1302 – Other Similar Events Approval

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .1303 – Tastings Held for Consumers

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .1304- Special Event Compliance Procedure

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .1306 – Special Event Participation Limitations

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission

2. Rule citation & name (name not required for repeal):
14B NCAC 15C .1307 – ABC Store Spirituous Liquor Tastings

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☒ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://abc.nc.gov
Hearing on: September 9, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 14, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rulemaking**
☒ **Other:**

9B. Explain:
G.S.§150B-21.3A

10. Rulemaking Coordinator: Renee C. Metz

Phone: 919.948.7919
E-Mail: rules@abc.nc.gov

Additional agency contact, if any: Marilyn Smalls

Phone: 919.948.7949
E-Mail: rules@abc.nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Renee C. Metz

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Renee C. Metz
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0101 SCOPE

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The NCSBI, in it's rule making process, revised this Rules to fix grammatical errors and clarifications.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0102 DEFINITIONS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The NCSBI is making changes to the "Definitions" section in order to fix consistency and further comply with federal CJIS requirements.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other.

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0103 FUNCTION OF DCIN

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change removes a subsection from the Rule that is no longer used or needed.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
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Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0201 ELIGIBILITY FOR ACCESS TO DCIN

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes.

10. Rulemaking Coordinator:
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Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0202 MANAGEMENT CONTROL REQUIREMENTS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
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Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes

10. Rulemaking Coordinator:
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Typed Name: R E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0203 NON-TERMINAL ACCESS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
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bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes.
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A 0301 USER AGREEMENT

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 7, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
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R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A 0302 SERVICING AGREEMENT

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www ncsbi gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
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Additional agency contact, if any:
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11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0303 MANAGEMENT CONTROL AGREEMENTS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
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By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0304 DISCLOSURE AGREEMENT

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 7, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
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By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

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Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0401 DCIN USERS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
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9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes clarifying and grammatical changes.

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Typed Name: R.E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A .0402 CERTIFICATION AND RECERTIFICATION OF DCIN USERS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
These changes make changes to training requirements in order to receive certification and recertification as a DCIN user that are more compliant and follow more closely to CJIS standards

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18A 0403 ENROLLMENT

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www ncsbi gov/Divisions/Legal/Administrative Rules aspx
Hearing on:
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 7, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The changes makes a clarifying changes from DCI to CIIS

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354 8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review.
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0101 SECURITY OF DCIN DEVICES

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change specifies what is defined in FBI CJIS Security Policy

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review.
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0102 OFFICIAL USE OF DCIN

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 7, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change specifies what is defined in FBI CJIS Security Policy

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
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By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0103 PERSONNEL SECURITY

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change makes specification and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0104 SECURITY AWARENESS TRAINING

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www ncsbi gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
The change specifies that security awareness training is required prior to receiving access to DCIN and CJIS databases

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0201 DOCUMENTATION AND ACCURACY

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0202 TIMELINESS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
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11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0203 VALIDATIONS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www ncsbi gov/Divisions/Legal/Administrative-Rules aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Removes an unnecessary exception

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
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11. Signature of Agency Head* or Rule-making Coordinator:
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By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0204 HIT CONFIRMATION

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
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Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical change

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
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11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0301 ARREST FINGERPRINT CARDS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Makes a clarifying change regarding fingerprinting

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review.
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0302 FINAL DISPOSITION INFORMATION

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Removes an unnecessary subsection that is no longer needed.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0303 INCARCERATION INFORMATION

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Changes DPS to DAC as the agency.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0401 DISSEMINATION AND LOGGING OF CHRI AND NICS RECORDS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifies the locations of gathering information and fixes the rule references.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0402 ACCESSING OF CCH RECORDS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 7, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Fixes a definitional reference

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0403 USE OF CHRI FOR CRIMINAL JUSTICE EMPLOYMENT

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Removes and unnecessary subsection

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes.
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0404 RIGHT TO REVIEW

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www ncsbi gov/Divisions/Legal/Administrative-Rules aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0405 CCH USE IN LICENSING AND NON-CRIMINAL JUSTICE EMPLOYMENT PURPOSES

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Changes agency references and fixes rule citations mentioned in the rule

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review.
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0406 RESTRICTIVE USE OF CCH FOR EMPLOYMENT PURPOSES

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Add the requirement of a name check to the rule.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0407 RESEARCH USE AND ACCESS OF CCH RECORDS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Grammatical and clarifying changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0408 LIMITATION REQUIREMENTS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www ncsbi gov/Divisions/Legal/Administrative-Rules aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Grammatical and clarifying changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0409 ACCESS TO CHRI BY ATTORNEYS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifies the notarization requirement for access to CHRI information by attorneys.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0410 ACCESS TO CHRI IN CIVIL PROCEEDINGS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

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Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0501 EXPUNGEMENTS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
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11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
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Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0601 STATEWIDE AUTOMATED FINGERPRINT IDENTIFICATION SYSTEM

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https //www ncsbi gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R E "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0602 AVAILABLE DATA

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0603 FINGERPRINT OF CONVICTED SEX OFFENDERS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Makes a clarifying change to the fingerprint requirement as all agencies should be capable of fingerprinting.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review.
☐ RRC determined substantial changes.
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B .0701 DISSEMINATION OF DIVISION OF MOTOR VEHICLES INFORMATION

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Removes a reference to the Attorney General's Office and clarifies that authorization is to come from CIIS

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18B 0801 AUDITS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984 268-7211
E-Mail:
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11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18C .0101 DEFINITIONS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
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11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18C .0102 SANCTIONS FOR VIOLATIONS BY INDIVIDUALS

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes.

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984 354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18C 0103 SANCTIONS FOR VIOLATIONS BY AGENCIES

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
Jody Newsome
Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
Bryan Nichols
Phone: 984-268-7211
E-Mail:
bnichols@ncsbi.gov

11. Signature of Agency Head* or Rule-making Coordinator:
R.E. "Chip" Hawley
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18C 0201 NOTICE OF VIOLATION

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
Hearing on:
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: October 7, 2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes

10. Rulemaking Coordinator:
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Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
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E-Mail:
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Typed Name: R.E. "Chip" Hawley
Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review
☐ RRC determined substantial changes
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Bureau of Investigation

2. Rule citation & name (name not required for repeal):
14B NCAC 18C .0301 INFORMAL PROCEDURE

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☑ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: July 15, 2026
Link to Agency notice: https://www.ncsbi.gov/Divisions/Legal/Administrative-Rules.aspx
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Adoption by Agency on: October 7, 2026
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Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Clarifying and grammatical changes.

10. Rulemaking Coordinator:
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Phone: 984-354-8008
E-Mail:
jnewsome@ncsbi.gov
Additional agency contact, if any:
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Phone: 984-268-7211
E-Mail:
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Title: Director

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0119 WILDLIFE COLLECTORS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

The amednments specify that a person may not possess more than 4 individuals of any combination of native reptile species (which would limit them to 4 TOTAL native reptiles in their possession), without a license. The change improves clarity and reduces ambiguity regarding the number of reptiles allowed to be possessed without a Wildlife Collection License. Previously the Rule could either be interpreted as four reptiles in aggregate or four reptiles of any one species with an undefined number of reptiles in total. The change clarifies that only a total of four reptiles, regardless of species, may be possessed without a license.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:
William Casola
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0203 WHITE-TAILED DEER

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

Note: Previously adopted amendments effective 8/1/2026 are noted with italic text as these changes went into effect after the current amendments were published in the Register.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0205 RACCOON AND OPOSSUM

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

10. Rulemaking Coordinator:
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E-Mail:
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Additional agency contact, if any:
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11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0206 SQUIRRELS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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William Casola
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11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0212 FOXES (GRAY AND RED)

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
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9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
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☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

10. Rulemaking Coordinator:
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11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0219 COYOTE

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

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☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
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9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
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☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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William Casola

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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0223 FERAL SWINE

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10B .0502 CHRONIC WASTING DISEASE MANAGEMENT AREAS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

Note: Previously adopted amendments effective 8/1/2026 are noted with italic text as these changes went into effect after the current amendments were published in the Register.

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Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10C .0305 LARGEMOUTH BASS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

Note: Previously adopted amendments effective 8/1/2026 are noted with italic text as these changes went into effect after the current amendments were published in the Register.

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Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10C .0314 STRIPED BASS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10C .0414 BLUEFISH

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10C .0425 SHEEPSHEAD

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0102 GENERAL REGULATIONS REGARDING USE

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
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9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

The amendments remove the shooting range rules from the Game Lands chapter of the NCAC (15A NCAC 10D). New rules establish definitions for terms used throughout the shooting range rules in 10E .0200. The new rules include general restrictions for all visitors, specific regulations for firearm handling, archery equipment standards, trap and skeet range rules, ammunition standards, target requirements, and provisions for staff to maintain a safe environment.

Note: Previously adopted amendments effective 8/1/2026 are noted with italic text as these changes went into effect after the current amendments were published in the Register.

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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0103 GENERAL REGULATIONS REGARDING HUNTING ON GAME LANDS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0106 DESIGNATED BEAR MANAGEMENT AREAS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

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Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
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Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

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☐ **Court order / cite:**
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☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0202 ANGOLA BAY GAME LAND IN DUPLIN AND PENDER COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
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Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
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☑ **State funds affected**
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☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:
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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0210 BULLARD AND BRANCH HUNTING PRESERVE GAME LANDS IN ROBESON COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0211 BUTNER-FALLS OF NEUSE GAME LAND IN DURHAM, GRANVILLE, AND WAKE COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
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Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
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☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

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☑ **Agency**
☐ **Court order / cite:**
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☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
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Note: Previously adopted amendments effective 8/1/2026 are noted with italic text as these changes went into effect after the current amendments were published in the Register.

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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0213 CAPE FEAR RIVER WETLANDS GAME LAND IN PENDER COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

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☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0214 CARTERET COUNTY GAME LAND IN CARTERET COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0221 CROATAN GAME LAND IN CARTERET, CRAVEN, AND JONES COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
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☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0222 CURRITUCK BANKS GAME LAND IN CURRITUCK COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

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☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
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Cite Session Law:
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☐ **Other:**

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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0229 GOOSE CREEK GAME LAND IN BEAUFORT AND PAMLICO COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

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☑ **Agency**
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☐ **Legislation enacted by the General Assembly**
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RRC AND OAH USE ONLY

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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0239 JOHNS RIVER GAME LAND IN BURKE COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
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☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
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Hearing on: 8/20/2026 & 8/25/2026
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Adoption by Agency on: 10/1/2026

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7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
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Consultation not required. Cite authority:

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☐ **Court order / cite:**
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RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0241 JUNIPER CREEK GAME LAND IN BRUNSWICK AND COLUMBUS COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
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☐ **Notice not required under G.S.:**
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7. Rule establishes or increases a fee? (See G.S. 12-3.1)

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Agency submitted request for consultation on:
Consultation not required. Cite authority:

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☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

Note: Previously adopted amendments effective 8/1/2026 are noted with italic text as these changes went into effect after the current amendments were published in the Register.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:
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By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

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Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0246 LIGHT GROUND POCOSIN GAME LAND IN PAMLICO COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0251 NANTAHALA GAME LAND IN CHEROKEE, CLAY, GRAHAM, JACKSON, MACON, AND SWAIN

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
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Typed Name: William Casola
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RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0254 NEW LAKE GAME LAND IN HYDE AND TYRRELL COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

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RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0256 NORTH RIVER GAME LAND IN CAMDEN AND CURRITUCK COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

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Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0257 NORTHWEST RIVER MARSH GAME LAND IN CURRITUCK COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

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☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
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RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0260 PISGAH GAME LAND IN AVERY, BUNCOMBE, BURKE, CALDWELL, HAYWOOD, HENDERSON,

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
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☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
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9. REASON FOR ACTION

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☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

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Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0270 SAMPSON GAME LAND IN SAMPSON COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

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☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
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9. REASON FOR ACTION

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☑ **Agency**
☐ **Court order / cite:**
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☐ **Legislation enacted by the General Assembly**
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☐ **Petition for rule-making**
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9B. Explain:

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RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0279 SUTTON LAKE GAME LAND IN NEW HANOVER AND BRUNSWICK COUNTIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
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☑ **Agency**
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☐ **Legislation enacted by the General Assembly**
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RRC AND OAH USE ONLY

Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0284 TILLERY GAME LAND IN HALIFAX COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

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☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0290 WHITE OAK RIVER GAME LAND IN ONSLOW COUNTY

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10D .0296 BIG SWAMP GAME LAND IN ROBESON COUNTY

3. Action:
☑ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

The rule establishes the Big Swamp tract as a game land. The Big Swamp tract is a new 2,519-acre acquisition that is ready to be designated as a game land. This will be a Three days per week area with no deer or bear hunting with dogs allowed. The property includes over 2,000 acres of wetlands and nearly 20 miles of streams that support diverse wildlife including a multitude of game species and numerous bird species such as warblers, gnatcatchers, flycatchers, wood storks, and many more. The property will provide numerous recreational opportunities including hiking, birdwatching, hunting, and fishing.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:
William Casola
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10E .0201 DEFINITIONS

3. Action:
☑ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
Shooting range rules were removed from the Game Lands chapter of the NCAC (15A NCAC 10D). This rule establishes definitions for terms used throughout the shooting range rules in 10E .0200.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:
William Casola
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10E .0202 GENERAL REQUIREMENTS

3. Action:
☑ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Amendments were made to remove the shooting range rules from the Game Lands chapter of the NCAC (15A NCAC 10D). This rule establishes general safety requirements for WRC shooting range.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10E .0203 RANGE REQUIREMENTS

3. Action:
☑ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Amendments were made to remove the shooting range rules from the Game Lands chapter of the NCAC (15A NCAC 10D). This rule establishes range requirements for WRC shooting range.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10H .0810 TAKING RAPTORS

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☑ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☑ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

Each year, the N.C. Wildlife Resources Commission reviews and adjusts seasons, bag limits, and the land management regulations, as needed, to achieve conservation management goals, comply with statutory changes, and respond to constituent requests.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Wildlife Resources Commission

2. Rule citation & name (name not required for repeal):
15A NCAC 10I .0102 PROTECTION OF ENDANGERED, THREATENED, AND SPECIAL CONCERN SPECIES

3. Action:
☐ **ADOPTION** ☑ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☑ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☑ **No**

6. Notice for Proposed Rule:

☑ **Notice Required**
Notice of Text published on: 7/15/2026
Link to Agency notice: https://www.ncwildlife.gov/hunting/regulations/proposed-regulations
Hearing on: 8/20/2026 & 8/25/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 10/1/2026

☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☑ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☑ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☑ **Approved by OSBM**
☐ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:

The rule amendments specify that Endangered Species Permits are required for species parts (e.g., tail clip, bodily fluids, etc.) and specify that additional permits or authorizations are required for transfer of animals or their parts. The agency issues Endangered Species Permits for research that sometimes only requires a part of an animal. Current Rule language could be interpreted to allow unrestricted movement of species parts between individuals. The proposed change closes this loophole, allows for additional oversight and control of these animals, and prevents transfer and movement to entities that are not permitted.

10. Rulemaking Coordinator:
William Casola
Phone: 919-707-0125
E-Mail:
william.casola@ncwildlife.gov
Additional agency contact, if any:
Carrie Ruhlman
Phone: 919-707-0011
E-Mail:
carrie.ruhlman@ncwildlife.gov

11. Signature of Agency Head* or Rule-making Coordinator:

William Casola

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: William Casola
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01A .0101

3. Action:
☐ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☒ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: Not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01A .0103

3. Action:
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☒ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: Not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0101 - SCOPE

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0102 - DEFINITIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0103 - GENERAL CONTACT INFORMATION AND OPERATING HOURS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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11. Signature of Agency Head* or Rule-making Coordinator:



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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0104 - COMPUTATION OF TIME PERIODS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0201 - U.S. CURRENCY

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0202 - ONLINE FEE PAYMENT

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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11. Signature of Agency Head* or Rule-making Coordinator:



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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0203 - FEES PAID IN PERSON

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Ann B. Wall

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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0204 - FEES PAID BY USPS OR BY DELIVERY SERVICE

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Additional agency contact, if any: Ann Elmore

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Ann B. Wall

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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0205 - FEE FOR DISHONORED PAYMENT

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0206 - NOTICE TO FILER OF DISHONORED PAYMENT

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0301 - FORM USE REQUIRED

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0302 - MODE OF FILING

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0303 - INAPPLICABLE QUESTIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0304 - ATTACHMENTS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0305 - SIGNATURE FOR ENTITY

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0306 - FORM PREPARER SIGNATURE REQUIRED

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

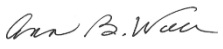
10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0307 - INCOMPLETE FILINGS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0308 - REJECTION OF INCOMPLETE FILINGS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0309 - PROOF OF SUBMISSION

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0401 - WAIVER

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0402 - CONTENTS OF WAIVER REQUEST

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0403 - SUBMISSION OF WAIVER REQUEST

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0404 - FACTORS USED IN CONSIDERING WAIVER REQUESTS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0405 - DEPARTMENT-INITIATED WAIVERS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0406 - NOTICE TO REQUESTOR OF WAIVER

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

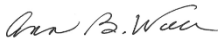
10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0407 - EXTENSION REQUESTS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01B .0408 - RESPONSE TO EXTENSION REQUESTS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0101 - DEFINITIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0102 - PETITIONS FOR RULEMAKING GENERALLY

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0103 - METHOD OF DELIVERY OF PETITION FOR RULEMAKING

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0104 - PETITION ADDRESSEES

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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11. Signature of Agency Head* or Rule-making Coordinator:



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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0105 - FORM OF PETITION

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0201 - PETITION CONTENTS – THE PETITIONER

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0202 - PETITION CONTENTS – ALL PETITIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0203 - PETITION CONTENTS – PROPOSED AMENDMENTS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0204 - PETITION CONTENTS – PROPOSED NEW RULES

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0205 - PROPOSED NEW RULE STRUCTURES

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0206 - ADDITIONAL PETITION INFORMATION

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0301 - INCOMPLETE PETITIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0302 - NOTICE OF COMPLETE PETITION

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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Additional agency contact, if any: Ann Elmore

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11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0303 - ADDITIONAL INFORMATION

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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11. Signature of Agency Head* or Rule-making Coordinator:



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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0304 - SUMMARY DENIAL OF UNAUTHORIZED PETITIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0305 - FACTORS FOR REVIEW OF A PETITION

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0306 - DEPARTMENTAL REVIEW OF PETITIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0307 - DISPOSITION OF THE PETITION FOR RULEMAKING

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01C .0308 - RECORD OF PETITIONS FOR RULEMAKING

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0101 - WRITTEN REQUEST REQUIRED FOR A DECLARATORY RULING

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0102 - METHOD OF SUBMITTING REQUESTS FOR DECLARATORY RULINGS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0103 - ADDRESSEE OF REQUEST FOR DECLARATORY RULING

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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11. Signature of Agency Head* or Rule-making Coordinator:



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***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0201 - CONTENTS - THE REQUESTOR

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0202 - CONTENTS - TYPE OF RULING REQUESTED

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0203 - ADDITIONAL REQUEST CONTENT

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0301 - INCOMPLETE DECLARATORY RULING REQUESTS NOT RECEIVED

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0302 - NOTICE OF INCOMPLETE REQUEST

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

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E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0303 - REQUEST RECEIPT DATE

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0304 - ADDITIONAL INFORMATION

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0305 - GRANT OR DENIAL CONSIDERATIONS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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Additional agency contact, if any: Ann Elmore

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11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0306 - EVIDENCE AND ARGUMENT ON THE MERITS OF THE REQUEST FOR DECLARATORY RULING

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

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Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0307 - NOTICE OF REQUESTS FOR DECLARATORY RULINGS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:
The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

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E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:

Ann B. Wall

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Department of the Secretary of State

2. Rule citation & name (name not required for repeal): 18 NCAC 01D .0308 - RECORD OF DECLARATORY RULINGS

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☐ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://sosnc.gov/divisions/general_counsel/departmental_rules
Hearing on: not applicable
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 22, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required** (per OSBM email)

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☒ **Other:** N.C. Gen. Stat. 150B-21.3A

9B. Explain:

The changes to the Department's general rules are promulgated pursuant to the requirements of the Existing Rules Review process set out in G.S. 150B-21.3A and the readoption schedule established by the Rules Review Commission. The proposed rules provide standard procedures related to payment of fees to the Department and filings. The rules establish the Department's waiver request procedures and the criteria used to make decisions on such requests. The rules reestablish the procedures for making requests for declaratory rulings and submitting petitions for rulemaking and set out the criteria the Department will use in reviewing requests for declaratory rulings and petitions for rulemaking.

10. Rulemaking Coordinator: Ann B. Wall

Phone: 919-814-5310
E-Mail: Awall@sosnc.gov

Additional agency contact, if any: Ann Elmore

Phone: 919-814-5521
E-Mail: aelmore@sosnc.gov

11. Signature of Agency Head* or Rule-making Coordinator:



By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**
Typed Name: Ann B. Wall
Title: General Counsel and Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16G .0101 FUNCTIONS THAT MAY BE DELEGATED

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 6/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is being amended to expand delegable functions that can be performed by a dental hygienist to include monitoring, initiating, and administering nitrous oxide subject to the requirements of additional rules.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16G .0110 EDUCATIONAL REQUIREMENTS FOR DENTAL HYGIENIST MONITORING NITROUS OXIDE

3. Action:
☑ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 6/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is being adopted to establish the educational requirements for a dental hygienist to monitor nitrous oxide.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16G .0111 DENTAL HYGIENIST CERTIFICATION TO ADMINISTER NITROUS OXIDE

3. Action:
☑ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 6/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is being adopted to establish the requirements for a dental hygienist to administer nitrous oxide.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16H .0104 APPROVED EDUCATION AND TRAINING PROGRAMS

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 6/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is being amended to allow certain Dental Assistant Is under certain circumstances to aid and assist a dentist in monitoring nitrous oxide and to perform coronal polishing subject to certain requirements.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16H .0209 EDUCATIONAL REQUIREMENTS FOR DENTAL ASSISTANT MONITORING NITROUS OXIDE

3. Action:
☑ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 6/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is adopted to set forth the educational requirements for a dental assistant to monitor nitrous oxide and to make clear that dental assistants shall not initiate or administer nitrous oxide.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16Q .0404 CREDENTIALS AND PERMITS FOR MODERATE PEDIATRIC CONSCIOUS SEDATION

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is amended to require a dentist who seeks to administer pediatric moderate conscious sedation by intravenous route to obtain a separate certification in addition to the sedation permit and sets forth the requirements for obtaining such a certification. This rule is also amended to create a new pathway to receive a permit and certification through a course and not a residency

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16Q .0405 MODERATE PEDIATRIC CONSCIOUS SEDATION CLINICAL REQUIREMENTS & EQUIPMENT

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is amended to account for the pediatric moderate conscious sedation permit and certification.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16Q .0406 ITINERANT (MOBILE) MODERATE PEDIATRIC CONSCIOUS SEDATION PERMITS

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is amended to clarify that certification is also necessary for an itinerant pediatric moderate conscious sedation permit holder to administer intravenous sedation.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16Q .0407 ANNUAL RENEWAL OF MODERATE PEDIATRIC CONSCIOUS SEDATION PERMIT REQUIRED

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is amended to reflect renewal requirements to account for IV certification for pediatric moderate conscious sedation.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina State Board of Dental Examiners

2. Rule citation & name (name not required for repeal):
21 NCAC 16Q .0408 PROCEDURE FOR MODERATE PEDIATRIC SEDATION EVALUATION OR INSPECTION &

3. Action: RE-INSPECTION
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 7/15/26
Link to Agency notice: www.ncdentalboard.org
Hearing on: 8/13/26
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 9/18/26
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
This rule is amended to include the evaluation for pediatric intravenous moderate conscious sedation certification.

10. Rulemaking Coordinator:
Whitney S. Waldenberg
Phone: 919-415-2357
E-Mail:
whitney@brockerlawfirm.com
Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Whitney S. Waldenberg
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Landscape Architects

2. Rule citation & name (name not required for repeal):
21 NCAC 26 .0108 Declaratory Ruling Procedures

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:
☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: https://www.ncbola.org/about/news
Hearing on: August 17, 2026
☐ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: September 18, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ **No**

8. Fiscal impact. Check all that apply.
☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: Agency did not adopt a rule setting forth it's procedure for requesting a declaratory ruling when the requirement in G.S. 150B-4(a) was enacted.

10. Rulemaking Coordinator: Jeffrey P. Gray

Phone: (919) 878-0731
E-Mail: jgray@bdixon.com

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Jeffrey P. Gray
Title: Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

21 NCAC 26 .0108 is proposed for adoption as published in 41:01 NCAC 36 as follows:

21 NCAC 26 .0108 DECLARATORY RULING PROCEDURES

(a) All requests for declaratory rulings shall be in writing and mailed to the Board at the Board's address.

(b) Each request for a declaratory ruling shall include the following information:

(1) the name and address of person requesting the ruling;

(2) the statute or rule to which the request relates;

(3) a concise statement of the manner in which the requesting person is aggrieved by the rule or statute or its potential application to him or her;

(4) names and addresses of additional third persons known to the person aggrieved who may possibly be affected by the requested ruling;

(5) a statement of all material facts;

(6) a statement whether or not the person aggrieved is aware of any pending Board action or court action that may bear on the applicability of the statute or rule to the person's particular situation; and

(7) a statement of the arguments and legal authority supporting the person's position on the applicability of this statute or rule; and

The petitioner shall sign and verify the request before an officer qualified to administer oaths that the information supplied in the request is true and accurate.

(c) Within 30 days of receipt of a request for a declaratory ruling, the Board shall determine whether a ruling is appropriate under the facts stated.

(d) The Board shall proceed to issue a declaratory ruling when the person requesting the rule shows that, with regard to the facts presented:

(1) the rule or statute in question is unclear on its face;

(2) circumstances are so changed since the adoption of a rule that a declaratory ruling is warranted;

(3) the factors specified in the request were not given appropriate consideration by the Board at the time the rule was adopted;

(4) the rule or statute is unclear in its application to the requesting person's facts; or

(5) a fair question exists regarding the validity of the rule because of an absence of authority for the Board's adoption of the rule or other irregularities in the Board's rule-making proceedings.

(e) The Board shall not issue a declaratory ruling when the petitioner or his or her request is the subject of, or materially related to, an investigation by the Board or contested case before the Board.

(f) When the Board determines for good cause that the issuance of a declaratory ruling is unnecessary, the Board shall notify, in writing, the person requesting the ruling, stating the reasons for the denial of the request. The Board will ordinarily decline to issue a declaratory ruling when:

(1) there has been a similar controlling factual determination made by the Board;

(2) the rule-making record shows that the factual issues raised by the request were specifically considered prior to, or at the time of adoption of the rule;

(3) the subject matter of the request is involved in pending litigation in any state or federal court in North Carolina, or pending legislation or rulemaking;

(4) the request does not comply with the procedural guidelines within Paragraphs (a) and (b) of this Rule;

(5) the Board has previously issued a declaratory ruling on substantially similar facts;

(6) the Board has previously issued a final agency decision in a contested case on substantially similar facts;

(7) the subject matter is one concerning which the Board is without authority to make a decision binding the Board or the petitioner;

(8) the petitioner is not aggrieved by the rule or statute in question or otherwise has no interest in the subject matter of the request; or

(9) there is reason to believe that the petitioner or some other person or entity materially connected to the subject matter of the request is acting in violation of the G.S. Chapter 89A or the rules adopted by the Board.

The denial of the request is immediately subject to judicial review in accordance with Article 4 of G.S. Chapter 150B.

(g) Prior to issuing a declaratory ruling, the Board may give notice of the declaratory proceedings to any persons it deems appropriate and may direct that fact-finding proceedings appropriate to the circumstances of the particular request be conducted by the Board. The proceedings may consist of written submissions, an oral hearing, or other appropriate procedures. A written ruling on the merits shall be issued within 45 days of the decision to grant the request.

(h) If the Board finds evidence that the factors listed in Subparagraphs (d)(1), (2), or (3) of this Rule exist or potentially exist beyond the specific facts presented in a particular petition for declaratory ruling, the Board shall consider rule-making proceedings on the rule.

(i) A record of each declaratory ruling and the procedures conducted therefor will be maintained by the Board. The record will contain:

(1) the request for a declaratory ruling;

(2) all written submissions filed in the request, whether filed by the person requesting the ruling or by any other person;

(3) a record or summary of oral presentations, if any; and

(4) a copy of the declaratory ruling.

History Note: *Authority G.S. 150B-4;*
Eff. December 1, 2026.

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Nursing

2. Rule citation & name (name not required for repeal): 21 NCAC 36 .0114 Waiver of Enforcement

3. Action:
☒ **ADOPTION** ☐ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: www.ncbon.com
Hearing on: August 12, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 1, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: As part of periodic review, NCBON has been reviewing Chapter 36 of the NC Administrative Code. Staff identified the need for a rule which will permit the Board to waive any of the rules under certain circumstances. Adopting a waiver rule aligns with other licensing boards and would be consistent with best practice to grant the NCBON the discretion to waive their own rule in the interest of carrying out the mission of public protection.

10. Rulemaking Coordinator: Angela H. Ellis, Chief Administrative Officer and Rulemaking Coordinator
Phone: 1.984.238.7644
E-Mail: angela@ncbon.com

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Angela Ellis

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Angela Ellis
Title: Chief Administrative Officer/Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Nursing

2. Rule citation & name (name not required for repeal): 21 NCAC 36 .0120 Definitions

3. Action:
☐ **ADOPTION** ☒ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: www.ncbon.com
Hearing on: August 12, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 1, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: The NCBON clarified the definition of "preceptor" based on amendments to 21 NCAC 36 .0318.

10. Rulemaking Coordinator: Angela H. Ellis, Chief Administrative Officer and Rulemaking Coordinator
Phone: 1.984.238.7644
E-Mail: angela@ncbon.com

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Angela Ellis

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Angela Ellis
Title: Chief Administrative Officer/Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Nursing

2. Rule citation & name (name not required for repeal): 21 NCAC 36 .0318 Faculty

3. Action:
☐ **ADOPTION** ☒ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: www.ncbon.com
Hearing on: August 12, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 1, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: NCBON received a request to amend the faculty rule to increase access to clinical faculty in the rural areas of North Carolina. The proposed amendment seeks to expand nursing faculty eligibility throughout North Carolina by allowing qualified ADN-prepared registered nurses to serve as clinical faculty in ADN and LPN pre-licensure programs, addressing persistent faculty shortages especially in rural areas.

10. Rulemaking Coordinator: Angela H. Ellis, Chief Administrative Officer and Rulemaking Coordinator
Phone: 1.984.238.7644
E-Mail: angela@ncbon.com

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Angela Ellis

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Angela Ellis
Title: Chief Administrative Officer/Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Nursing

2. Rule citation & name (name not required for repeal): 21 NCAC 36 .0403 Qualifications

3. Action:
☐ **ADOPTION** ☒ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: www.ncbon.com
Hearing on: August 12, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 1, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: G.S. 90-171.56 gives the NCBON authority to "establish standards for faculty and applicant requirements for medication aide training and provide ongoing review and evaluation, and recommend changes, for faculty and medication aide training requirements to support safe medication administration and improve client, resident, and patient outcomes." Based on this authority, the NCBON reviewed current rules regarding Medication Aide RN Instructor approval. In addition, the Division of Health Service Regulation (DHSR) transitioned the current competency exam to the Medication Aide Competency Exam (MACE®). Proposed amendments to the rule reflect best practice that supports safe medication administration in nursing homes.

10. Rulemaking Coordinator: Angela H. Ellis, Chief Administrative Officer and Rulemaking Coordinator
Phone: 1.984.238.7644
E-Mail: angela@ncbon.com

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Angela Ellis

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Angela Ellis
Title: Chief Administrative Officer/Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Nursing

2. Rule citation & name (name not required for repeal): 21 NCAC 36 .0406 Medication Aide Training Requirements

3. Action:
☐ **ADOPTION** ☒ **AMENDMENT** ☐ **REPEAL** ☐ **READOPTION** ☐ **REPEAL through READOPTION**

4. Rule exempt from RRC review?
☐ **Yes. Cite authority:**
☒ **No**

5. Rule automatically subject to legislative review?
☐ **Yes. Cite authority:**
☒ **No**

6. Notice for Proposed Rule:

☒ **Notice Required**
Notice of Text published on: July 1, 2026
Link to Agency notice: www.ncbon.com
Hearing on: August 12, 2026
☒ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: October 1, 2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ **No**

8. Fiscal impact. Check all that apply.

☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☒ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain: G.S. 90-171.56 gives the NCBON authority to "establish standards for faculty and applicant requirements for medication aide training and provide ongoing review and evaluation, and recommend changes, for faculty and medication aide training requirements to support safe medication administration and improve client, resident, and patient outcomes." Based on this authority, the NCBON reviewed current rules regarding Medication Aide RN Instructor approval. In addition, the Division of Health Service Regulation (DHSR) transitioned the current competency exam to the Medication Aide Competency Exam (MACE®). Proposed amendments to the rule reflect best practice that supports safe medication administration in nursing homes.

10. Rulemaking Coordinator: Angela H. Ellis, Chief Administrative Officer and Rulemaking Coordinator
Phone: 1.984.238.7644
E-Mail: angela@ncbon.com

Additional agency contact, if any:

Phone:
E-Mail:

11. Signature of Agency Head* or Rule-making Coordinator:

Angela Ellis

By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: Angela Ellis
Title: Chief Administrative Officer/Rulemaking Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1801 - GENERAL PROVISIONS

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 09/23/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☑ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly Cite Session Law:**
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1802 - DEFINITIONS

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 09/23/2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1804 - ACTIVE STATUS

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 09/23/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☑ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License, require brokers to affiliate with a Broker-in-Charge rather than a resident broker, and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

10/14/2026

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1806 - LIMITATIONS

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 09/23/2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1807 - AFFILIATION WITH RESIDENT BROKER

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 09/23/2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License, require brokers to affiliate with a Broker-in-Charge rather than a resident broker, and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1808 - TRUST MONIES

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 09/23/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ **Yes**
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ **No**

8. Fiscal impact. Check all that apply.
☐ **This Rule was part of a combined analysis.**
☐ **State funds affected**
☐ **Local funds affected**
☐ **Substantial economic impact (≥$1,000,000)**
☐ **Approved by OSBM**
☑ **No fiscal note required**

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ **Agency**
☐ **Court order / cite:**
☐ **Federal statute / cite:**
☐ **Federal regulation / cite:**
☐ **Legislation enacted by the General Assembly**
Cite Session Law:
☐ **Petition for rule-making**
☐ **Other:**

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1809 - ADVERTISING

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 09/23/2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1810 - PAYMENT OF FEES

3. Action:
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ Notice Required
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: 09/23/2026
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NORTH CAROLINA REAL ESTATE COMMISSION

2. Rule citation & name (name not required for repeal):
21 NCAC 58A .1811 - CONTINUING EDUCATION REQUIRED OF LIMITED COMMERCIAL BROKERS

3. Action:
☑ ADOPTION ☐ AMENDMENT ☐ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☑ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☑ No

6. Notice for Proposed Rule:
☑ **Notice Required**
Notice of Text published on: 07/15/2026
Link to Agency notice: https://www.ncrec.gov/Pdfs/Rules/NoticeOfInterestedPersons.pdf
Hearing on: 08/19/2026
☑ **The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.**
Adoption by Agency on: 09/23/2026
☐ **Notice not required under G.S.:**
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☑ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☑ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☑ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☐ Legislation enacted by the General Assembly
Cite Session Law:
☐ Petition for rule-making
☐ Other:

9B. Explain:
Amend this Rule to rename 'Limited Nonresident Commercial License' to 'Limited Commercial License, require brokers to complete the same continuing education as North Carolina brokers, and clarify rule text.

10. Rulemaking Coordinator:
MELISSA VUOTTO
Phone: 919-875-3700
E-Mail:
MELISSA@NCREC.GOV
Additional agency contact, if any:
KRISTEN FETTER
Phone: 919-875-3700
E-Mail:
KRISTEN@NCREC.GOV

11. Signature of Agency Head* or Rule-making Coordinator:
Mel Vuotto
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

***If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

Typed Name: MELISSA VUOTTO
Title: RULE-MAKING COORDINATOR

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01H .0631

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: November 17, 2025
Link to Agency notice: https://oshr.nc.gov/hr-governance/state-human-resourcescommission/proposed-rulemaking
Hearing on: December 2, 2025
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: September 24, 2026 (SHRC) and September 30, 2026 (Governor's Office)
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
Cite Session Law: S.L. 2025-34
☐ Petition for rule-making
☐ Other:

9B. Explain: This Rule states some of the procedures for posting vacant positions, as well as the situations where posting is not required. The content of this Rule is no longer necessary. It is being repealed following the enactment of S.L. 2025-34, rendering this rule unnecessary and/or contrary to statute.

10. Rulemaking Coordinator: Anna Perkinson

Phone: 919-236-0800
E-Mail: anna.perkinson@nc.gov

Additional agency contact, if any: Amber May

Phone: 984-236-0800
E-Mail: amber.may@nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:
Anna D. Perkinson
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Anna Perkinson
Title: Deputy General Counsel

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01H .0635

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: October 15, 2025
Link to Agency notice: https://oshr.nc.gov/hr-governance/state-human-resourcescommission/proposed-rulemaking
Hearing on: October 30, 2025
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: September 24, 2026 (SHRC) and September 30, 2026 (Governor's Office)
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
Cite Session Law: S.L. 2025-34
☐ Petition for rule-making
☐ Other:

9B. Explain: This Rule is being repealed following the enactment of S.L. 2025-34, rendering this rule unnecessary and/or contrary to statute.

10. Rulemaking Coordinator: Anna Perkinson

Phone: 919-236-0800
E-Mail: anna.perkinson@nc.gov

Additional agency contact, if any: Amber May

Phone: 984-236-0800
E-Mail: amber.may@nc.gov

11. Signature of Agency Head* or Rule-making Coordinator:
Anna D. Perkinson
By signing, I have verified that the information contained on this form is true and accurate to the best of my knowledge.

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Typed Name: Anna Perkinson
Title: Deputy General Counsel

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01K .0210

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: November 17, 2025
Link to Agency notice: https://oshr.nc.gov/hr-governance/state-human-resourcescommission/proposed-rulemaking
Hearing on: December 2, 2025
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: September 24, 2026 (SHRC) and September 30, 2026 (Governor's Office)
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
Cite Session Law: S.L. 2025-34
☐ Petition for rule-making
☐ Other:

9B. Explain: This Rule is being repealed following the enactment of S.L. 2025-34, rendering this rule unnecessary and/or contrary to statute.

10. Rulemaking Coordinator: Anna Perkinson

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Typed Name: Anna Perkinson
Title: Deputy General Counsel

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01K .0311-.0313

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: November 17, 2025
Link to Agency notice: https://oshr.nc.gov/hr-governance/state-human-resourcescommission/proposed-rulemaking
Hearing on: December 2, 2025
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Adoption by Agency on: September 24, 2026 (SHRC) and September 30, 2026 (Governor's Office)
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
Cite Session Law: S.L. 2025-34
☐ Petition for rule-making
☐ Other:

9B. Explain: These Rules are being repealed following the enactment of S.L. 2025-34, rendering this rule unnecessary and/or contrary to statute.

10. Rulemaking Coordinator: Anna Perkinson

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Title: Deputy General Counsel

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01K .0316-.0317

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: November 17, 2025
Link to Agency notice: https://oshr.nc.gov/hr-governance/state-human-resourcescommission/proposed-rulemaking
Hearing on: December 2, 2025
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: September 24, 2026 (SHRC) and September 30, 2026 (Governor's Office)
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
Cite Session Law: S.L. 2025-34
☐ Petition for rule-making
☐ Other:

9B. Explain: These Rules are being repealed following the enactment of S.L. 2025-34, rendering this rule unnecessary and/or contrary to statute.

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Title: Deputy General Counsel

RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01K .0320-.0321

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: November 17, 2025
Link to Agency notice: https://oshr.nc.gov/hr-governance/state-human-resourcescommission/proposed-rulemaking
Hearing on: December 2, 2025
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: September 24, 2026 (SHRC) and September 30, 2026 (Governor's Office)
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:

☒ No

8. Fiscal impact. Check all that apply.

☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
☒ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
Cite Session Law: S.L. 2025-34
☐ Petition for rule-making
☐ Other:

9B. Explain: These Rules are being repealed following the enactment of S.L. 2025-34, rendering this rule unnecessary and/or contrary to statute.

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RRC AND OAH USE ONLY

Action taken:
☐ RRC extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01K .0323-.0324

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
Notice of Text published on: November 17, 2025
Link to Agency notice: https://oshr.nc.gov/hr-governance/state-human-resourcescommission/proposed-rulemaking
Hearing on: December 2, 2025
☒ The requirements listed in G.S. 150B-19.1(c)(1)-(5) were posted on the agency's Web site no later than the publication date of the notice of text in the N.C. Register.
Adoption by Agency on: September 24, 2026 (SHRC) and September 30, 2026 (Governor's Office)
☐ Notice not required under G.S.:
Adoption by Agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact. Check all that apply.
☐ This Rule was part of a combined analysis.
☐ State funds affected
☐ Local funds affected
☐ Substantial economic impact (≥$1,000,000)
☐ Approved by OSBM
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9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☒ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
Cite Session Law: S.L. 2025-34
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Action taken:
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☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01K .0401-.0404

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
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Hearing on: December 2, 2025
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Consultation not required. Cite authority:
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☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC State Human Resources Commission

2. Rule citation & name (name not required for repeal): 25 NCAC 01K .0501-.0502

3. Action:
☐ ADOPTION ☐ AMENDMENT ☒ REPEAL ☐ READOPTION ☐ REPEAL through READOPTION

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

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Notice of Text published on: November 17, 2025
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