AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0105

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

In (1)(a), line 6, (b), line 19, (c), line 31, (d), Page 2, line 7, please put "acceptable experience" in quotation marks. Or, consider stating "for all... the term shall mean..."

In (1)(a), line 12, please insert a comma after "fields" and remove the comma after "such"

On line 13, should this be "a water or wastewater laboratory"? It seems with the use of "stations' and "wells" that it should be. Please note this question elsewhere the term is used in (1).

On lines 16 and 29, and Page 2, lines 4 and 15, "Public Water Supply Section personnel" – personnel of whom? Is this a known term?

In Item (3), Page 2, line 20, what is "regionally-accredited"? By whom?

In Item (5), line 25, please insert a comma after "government"

In Item (6), line 30, why are you changing 70 to 80 after publication in the Register? Was this as a result of public comment?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

15A NCAC 18D .0105 is readopted with changes as published in 32:18 NCR 1781 as follows:

2
 3

#### 15A NCAC 18D .0105 DEFINITIONS

The following definitions shall apply throughout this Subchapter:

(1) "Acceptable Experience"

- (a) For all surface grades grade certifications, 'acceptable experience' means at least 50 percent of the duties shall consist of active on-site performance of operational duties, including on-site water facility laboratory duties, at a surface water treatment facility. This experience shall be based on the use of mathematics, equipment, materials, maintenance, installation and repair techniques, eross connection control [eross connection control,] cross-connection control, and other skills necessary for maintaining and operating a surface water treatment facility. The remaining duties shall be in related fields such such, as wastewater facility operation, water/wastewater water or wastewater laboratory, water pumping stations, water system design and engineering, wells, distribution systems, or [eross-connection control.] cross-connection control. The experience of Division of Environmental Health, Public Water Supply Section personnel shall be acceptable if at least 50 percent of their job duties include inspection or on-site technical assistance of public water systems.
- (b) For all well grades grade certifications, 'acceptable experience' means at least 50 percent of the duties shall consist of active on-site performance of operational duties for public water systems with chemical treatment having one or more wells. This experience shall be based on the use of mathematics, equipment, materials, maintenance, installation and repair techniques, eross connection control [eross connection control,] cross-connection control, and other skills necessary for maintaining and operating a treated well water system. The remaining duties shall be in related fields fields, such as wastewater facility operation, water/wastewater water or wastewater laboratory, water pumping stations, water system design and engineering, surface facilities, distribution systems, or [eross-connection-control.] cross-connection control. The experience of Division of Environmental Health, Public Water Supply Section personnel shall be acceptable if at least 50 percent of their job duties include inspection or on-site technical assistance of public water systems.
- (c) For <u>all</u> distribution grades grade certifications, 'acceptable experience' means at least 50 percent of the duties <u>shall</u> consist of active on-site performance of operational duties for distribution systems within public water systems. This experience shall be based on the use of mathematics, equipment, materials, maintenance, installation and repair techniques, eross connection control [eross connection control,] cross-connection control, and other skills necessary for maintaining and operating a water distribution system. The remaining duties shall be in related <u>fields</u>, such as wastewater facility operation,

1		water/wastewater water or wastewater laboratory, water pumping stations, water system
2		design and engineering, surface facilities, wells, or [eross connection control.] cross
3		connection control. The experience of Division of Environmental Health, Public Water
4		Supply Section personnel shall be acceptable if at least 50 percent of their job duties include
5		inspection or on-site technical assistance of public water systems.
6		(d) For <u>all</u> [eross connection control.] <u>cross-connection control</u> grade <u>certifications</u>
7		'acceptable experience' means the duties shall consist of on-site performance of cross-
8		connection-control duties for a public water system. This experience shall be based on the
9		use of mathematics, equipment, materials, maintenance, installation and repair techniques
10		back flow prevention prevention, and other skills necessary for maintaining and operating
11		a [ <del>cross-connection-control.</del> ]cross-connection control program for a public water system
12		The remaining duties shall be in related fields, such as wastewater facility operation
13		water/wastewater water or wastewater laboratory, water pumping stations, water system
14		design and engineering, surface facilities, or wells. The experience of Division of
15		Environmental Health, Public Water Supply Section personnel shall be acceptable if a
16		least 50 percent of their job duties include inspection or on-site technical assistance of
17		public water systems.
18	(2)	"Certified Operator" means any holder of a certificate issued by the Board in accordance with the
19		provisions of G.S. <u>90A-25</u> . <del>90A-20 to 29</del> .
20	(3)	"College Graduate" means a graduate of a regionally accredited regionally-accredited four-year
21		institution awarding degrees on the bachelor level.
22	(4)	"Licensee" means any person who holds a current certificate issued by the Water Treatment Facility
23		Operators Board of Certification. "Fire Protection System" means dry or wet sprinkler systems or
24		fire hydrant connections to the water distribution system.
25	(5)	"Owner" shall mean means the person, unit of local government political subdivision, firm
26		corporation, association, partnership partnership, or non-profit corporation formed to operate a
27		public water supply facility.
28	(6)	"Political Subdivision" means any city, town, county, sanitary district, or other governmental agency
29		or privately owned public water supply operating a water treatment facility. "Satisfactorily
30		Completed" means the attendance of at least [70]80 percent of the training required for examination
31		eligibility and 100 percent of the training required for professional growth hours.
32	(7)	"Secretary" shall mean means the Secretary of the Department of Environment and Natura
33		Resources. Environmental Quality.
34	(8)	"Service Connection" means a water tap made to provide a water connection to the a water
35		distribution system.
36	<del>(9)</del>	"Fire Protection System" means dry or wet sprinkler systems or fire hydrant connection to the water
37		distribution system.

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2	History Note:	Authority G.S. 90A-21(c);
3		Eff. February 1, 1976;
4		Readopted Eff. March 1, 1979;
5		Amended Eff. May 1, 2006; August 1, 2002; August 1, 1998; August 3, 1992; January 1, 1992
6		September 1, 1990; June 1, <del>1988.</del> <u>1988;</u>
7		Readopted Eff. September 1, 2018.

AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0201

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

Throughout this Rule, when you refer to "satisfactorily complete," what does that mean? I note that in (a)(13), Page 3, line 34, you seem to address this by saying that the individual must correctly answer at least 70 percent of questions. You need to define the term "satisfactorily complete" or delete "satisfactorily"

Also throughout this Rule, what is a "school approved by the Board"? How is this done? Is there a Rule addressing the process for the Board to approve a school? How does your public know what schools are approved?

Throughout this Rule, are the degrees awarded for "physical or natural sciences" known to your regulated public?

In (a)(12)(C), line 30, you have "conducted" here, but elsewhere, you replaced the term with "approved" Did you intend to leave it as "conducted" here?

Isn't (b) being used to implement G.S. 90A-25(b)? If so, shouldn't this be in your History Note?

But what is this Paragraph stating that the statute does not? Do you even need to retain it?

Assuming you need to retain it, the contents of a form must be in rule or law. On line 6, are the contents of this application in another rule or law? If not, the contents of this form should be in this Rule.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	15A NCAC 18I	O .0201 i	s readopted with changes as published in 32:18 NCR 1781 as follows:
2			
3	15A NCAC 18	D .0201	GRADES OF CERTIFICATION
4	(a) Applicants	for the v	<del>rarious grades of</del> certification shall be at least 18 <u>years</u> <del>years' old</del> <u>old, possess a high school</u>
5	diploma or gene	eral educ	ational development equivalent (GED), and meet the following educational and experience
6	requirements:		
7	(1)	GRAD	DE A SURFACE C-SURFACE applicants shall have one year six months of acceptable
8		experi	ence at a surface water facility while holding a Grade B Surface certificate and have
9		satisfa	ctorily completed an a A-Surface C-Surface school conducted approved by the Board.
10	(2)	GRAD	DE B-SURFACE applicants shall:
11		(A)	be Be a college graduate with a bachelor's degree in the physical or natural sciences or be
12			a graduate of a two-year two year technical program with a diploma in water and
13			wastewater technology, have six months of acceptable experience at a surface water
14			facility, and have satisfactorily completed a B-Surface school conducted approved by the
15			Board; or
16		(B)	have Have one year of acceptable experience at a surface water facility while holding a
17			Grade C-Surface certificate and have satisfactorily completed a B-Surface school
18			conducted approved by the Board.
19	(3)	GRAD	DE C SURFACE shall: A-SURFACE applicants shall have one year of acceptable experience
20		at a s	urface water facility while holding a Grade B-Surface certificate and have satisfactorily
21		compl	eted an A-Surface school approved by the Board.
22		<del>(A)</del>	Be a college graduate with a bachelor's degree in the physical or natural sciences or be a
23			graduate of a two year technical program with a diploma in water and wastewater
24			technology, have six months of acceptable experience at a surface water facility, and have
25			satisfactorily completed a C Surface school conducted by the Board; or
26		<del>(B)</del>	Be a high school graduate or equivalent, have six months acceptable experience at a surface
27			water facility and have satisfactorily completed a C Surface school conducted by the
			·

(5) GRADE B-Well C-WELL applicants shall:

Board.

(A) <u>be</u> Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a <u>two-year</u> two <u>year</u> technical program with a diploma in water and wastewater technology, have <u>six three</u> months of acceptable experience at a well water facility, and have satisfactorily completed a B C -Well school <u>conducted</u> approved by the Board; or

GRADE A WELL D-WELL applicants shall have one year three months of acceptable experience

at a well water facility while holding Grade B Well certificate and have satisfactorily completed an

A Well a C-Well or D-Well school conducted approved by the Board.

 (4)

1		(B) <u>have Have one year six months</u> of acceptable experience at a well water facility while
2		holding a Grade C Well certificate and have satisfactorily completed a B-Well C-WELL
3		school <del>conducted</del> approved by the Board; or
4		(C) hold either a Grade A-Surface certification or a Grade A-Distribution certificate and have
5		satisfactorily completed a C-Well school approved by the Board.
6	(6)	GRADE <del>C-WELL</del> <u>B-WELL applicants</u> shall:
7		(A) <u>be</u> Be a college graduate with a bachelor's degree in the physical or natural sciences or be
8		a graduate of a two-year two year technical program with a diploma in water and
9		wastewater technology, have three six months of acceptable experience at a well water
10		facility, and have satisfactorily completed a C Well B-WELL school conducted approved
11		by the Board; or
12		(B) Be a high school graduate or equivalent, have six months one year of acceptable experience
13		at a well water facility, facility while holding a Grade C-Well certificate and have
14		satisfactorily completed a C-WELL B-WELL school conducted approved by the Board.
15		Board; or
16		(C) Hold a Grade A Surface certification and have satisfactorily completed a C Well school
17		conducted by the Board.
18	(7)	GRADE D-WELL A-WELL applicants shall be a high school graduate or equivalent, have three
19		months one year of acceptable experience at a well water facility, facility while holding a Grade B-
20		Well certificate and have satisfactorily completed a C Well or an D Well A-WELL school
21		conducted approved by the Board.
22	(8)	GRADE A DISTRIBUTION shall: D-DISTRIBUTION applicants shall have one year three months
23		of acceptable experience at Class B or higher a distribution system while holding a Grade
24		B-Distribution certificate and have satisfactorily completed an a A-Distribution C-Distribution or
25		<u>D-Distribution</u> school <del>conducted</del> <u>approved</u> by the Board.
26	(9)	GRADE B-DISTRIBUTION shall: C-DISTRIBUTION applicants shall hold a certificate of
27		completion of trench shoring training from a school approved by the Board and shall:
28		(A) <u>be</u> Be a college graduate with a bachelor's degree in the physical or natural sciences or be
29		a graduate of a two year two-year technical program with a diploma in water and
30		wastewater technology, have $\frac{1}{8}$ three months of acceptable experience at a Class $\frac{1}{8}$ or
31		higher distribution system, and have satisfactorily completed a B-Distribution
32		C-Distribution school conducted approved by the Board, and shall hold a certificate of
33		completion of trench shoring training conducted by the Board; Doard; or
34		(B) <u>have the one year six months</u> of acceptable experience at a Class $\leftarrow$ $\underline{D}$ or higher
35		distribution system while holding a Grade C Distribution certificate and have satisfactorily
36		completed a B-Distribution C-Distribution school conducted approved by the Board.

1	(10)	GRADE C DISTRIBUTION B-DISTRIBUTION applicants shall: shall hold a certificate of
2		completion of trench shoring training conducted by the Board and shall:
3		(A) <u>be</u> Be a college graduate with a bachelor's degree in the physical or natural sciences or be
4		a graduate of a two-year two-year technical program with a diploma in water and
5		wastewater technology, have three six months of acceptable experience at a Class $\Theta$ or
6		higher distribution system, and have satisfactorily completed a C Distribution B-
7		Distribution school conducted approved by the Board; Board, and shall hold a certificate
8		of completion of trench shoring training from a school approved by the Board; or
9		(B) Be a high school graduate or equivalent, have six months one year of acceptable experience
10		at a Class D C or higher distribution system while holding a Grade C-Distribution
11		certificate and have satisfactorily completed a C Distribution B-Distribution school
12		conducted approved by the Board.
13	(11)	GRADE D DISTRIBUTION A-DISTRIBUTION applicants shall be a high school graduate or
14		equivalent, have three months one year of acceptable experience at a Class B or higher distribution
15		system, system while holding a Grade B-Distribution certificate and have satisfactorily completed
16		a an D-Distribution A-Distribution school conducted approved by the Board.
17	(12)	GRADE [CROSS CONNECTION CONTROL]   CROSS-CONNECTION CONTROL   applicants
18		shall:
19		(A) <u>be</u> Be a college graduate with a bachelor's degree in the physical or natural sciences or be
20		a graduate of a two-year technical program with a degree in water and wastewater or civil
21		engineering technology, and have satisfactorily completed a [eross connection] cross-
22		connection control school conducted approved by the Board; or
23		(B) Be a high school graduate or equivalent, have six months of acceptable experience at Class
24		D-Distribution or higher system or have one year experience in the operations of cross
25		connection control devices, and have satisfactorily completed a cross connection control
26		[cross connection control]cross-connection control school conducted approved by the
27		Board; or
28		(C) Be be a plumbing contractor licensed by the State of North Carolina and have satisfactorily
29		completed a eross connection control [eross connection control] cross-connection control
30		school conducted by the Board.
31	(13)	APPRENTICE shall be a high school graduate or equivalent. The apprentice applicants shall have
32		met the education requirement and satisfactorily completed a Grade B, Grade C, Grade D, or CC
33		[Cross Connection Control] cross-connection control school conducted approved by the Board and
34		shall have correctly answered at least 70 percent of the questions on successfully passed an
35		examination designed for the class of certification for which the applicant is applying. The
36		apprentice certification may be renewed annually for a maximum of five years, pursuant to the
37		continuing education and renewal requirements of this Subchapter. An apprentice shall not act as a

1 certified operator or an ORC Operator in Responsible Charge for a facility. An apprentice is eligible 2 for Grade B, Grade C, Grade D, or CC [Cross Connection Control] cross-connection control 3 certification after meeting the applicable experience requirements as set forth in this Rule and 4 making application to the Board. 5 (b) Applications for certification of an operator certified in a state other than North Carolina shall be submitted to 6 the Board for review. on the Board's form. The application shall supply information to assist the Board in determining 7 whether or not the requirements under which the out-of-state certification was obtained are equivalent to those 8 required by the rules of the Water Treatment Facility Operators Board of Certification. 9 10 Authority G.S. 90A-21(c); 90A-22; 90A-23; 90A-24; History Note: 11 Eff. February 1, 1976; 12 Amended Eff. September 1, 1977; 13 Readopted Eff. March 1, 1979; 14 Amended Eff. February 1, 2012; May 1, 2006; September 1, 2004; August 1, 2000; August 1, 1998; 15 May 3, 1993; August 3, 1992; July 1, 1991; December 31, 1980. 1988; 16 Readopted Eff. September 1, 2018.

AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0203

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

In (2)(B), line 16, what do you mean by "fraction thereof over 5"? Do you mean for every well over five, so that 6-10 is another point? Because you don't mean wells can have fractions, correct? Is the use of "fraction" necessary here?

In (8)(B), line 37, and (15)(D), Page 2, line 32, should this be "lime or soda"? Or is the term known as "lime/soda ash"?

In (17)(E), Page 3, line 3, please remove the extra space before "12"

In (20)(C), line 17, is the extra tank what is addressed by (20)(B)?

Also, does "pt" mean point or part? Does your regulated public know?

In Items (21), line 19, and (22), line 20, why does "max" end in a period? I note that you don't use periods elsewhere, even when it appears you are abbreviating terms. (See Sub-Item (20)(C), line 17)

In (22), what is "MGD"?

In Sub-Item (23)(J), Page 4, line 4, what is "pt."? Is it point or part?

Also, what are "others" here? Is this known?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

15A NCAC 18D .0203 is readopted as published in 32:18 NCR 1781 as follows:

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# 3 15A NCAC 18D .0203 <u>RATING VALUES TO DETERMINE</u> <u>DETERMINATION OF VARIOUS</u> 4 CLASSES OF CERTIFICATION

(a) Determination of various classes of certification shall be based on the classification of water treatment facilities to be operated.

(b) The designation of <u>public water system treatment classifications</u> <del>plant classification</del> shall be based on the following

8 <u>rating values: point system:</u>

_	<del></del> 1	,		
9		PARA	METER	RATING VALUE
10	(1)	Surfac	e Water Source	
11		(A)	flowing stream	5
12		(B)	flowing stream with impoundment	7
13		(C)	raw water treatment	3
14	(2)	Groun	d Water Source	
15		(A)	first five wells	5
16		(B)	add 1 point per 5 wells or fraction thereof over 5	1
17	(3)	Coagu	lation	
18		(A)	aluminum sulfate, ferric chloride	10
19		(B)	polymer	5
20	(4)	Mixing	9	
21		(A)	baffle	2
22		(B)	mechanical	4
23		(C)	air	3
24	(5)	Oxidat	ion (pre-treatment)	
25		(A)	$C1_20_2$	5
26		(B)	ozone	5
27		(C)	$KMn0_4$	3
28		(D)	C1 <sub>2</sub>	3
29	(6)	Carbo	n Treatment	2
30	(7)	Aeratio	on	
31		(A)	mechanical draft	3
32		(B)	coke tray/ tray or splash tray	2
33		(C)	diffused	3
34		(D)	packed tower (VOC reduction)	10
35	(8)	pH Ad	justment (primary)	
36		(A)	caustic (NaOH)	10
37		(B)	lime/soda ash	3

1		(C)	acid		10
2	(9)	Sedim	entation		
3		(A)	standa	ard rate	5
4		(B)	tube s	ettlers	3
5		(C)	upflov	v	8
6		(D)	pulsat	ors and plates	5
7	(10)	Conta	ct Tank		1
8	(11)	Filtrat	ion		
9		(A)	pressu	ire	
10			(i)	sand/anthracite sand or anthracite	8
11			(ii)	synthetic media (birm)	8
12			(iii)	granular activated carbon (GAC)	<u>9</u> <del>10</del>
13		(B)	gravit	y	
14			(i)	sand	10
15			(ii)	anthracite (mixed)/GAC (mixed) or GAC	12
16			(iii)	with surface wash or air scour	2
17		(C)	memb	rane	10
18	(12)	Ion Ex	xchange		
19		(A)	soften	er, Na cycle	5
20		(B)	soften	er, H cycle	7
21		(C)	Fe and	d Mn (greensand)	<u>9</u> <del>10</del>
22		(D)	mixed	bed or split stream	<u>9</u> <del>12</del>
23	(13)	Lime	Softening	7	
24		(A)	spirac	tors	10
25		(B)	clarifi	er with coagulation	12
26		(C)	fuel b	urner (recarbonation)	5
27	(14)	Phosp	hate (seq	uestering agent)	5
28	(15)	Stabil	ization		
29		(A)	acid fo	eed	10
30		(B)	phosp	hate	2
31		(C)	causti	c (NaOH)	10
32		(D)	lime/s	oda ash	3
33		(E)	contac	et units	5
34	(16)	Rever	se Osmos	sis, Electrodialysis	15
35	(17)	Disinf	fection		
36		(A)	gas C	$l_2$	10
37		(B)	hypoc	hlorite solution	7

1		(C)	$C1_20_2$	(sodium chlorite and C1 <sub>2</sub> )	13
2		(D)	ozone		13
3		(E)		onia and C1 <sub>2</sub>	12
4		(F)		iolet light (uv)	5
5	(18)	Fluori	dation		
6		(A)	satura	tor	8
7		(B)	dry fe	eed	8
8		(C)	soluti	on (acid)	10
9	(19)	Pump	ing		
10		(A)	raw		3
11		(B)	intern	nediate	1
12		(C)	finish	ed	3
13		(D)	syster	n booster	2
14	(20)	Storag	ge		
15		(A)	raw		1
16		(B)	treate	d ground level tank	1
17		(C)	elevat	ted in system (each extra tank 1 pt)	2
18		(D)	hydro	pneumatic	2
19	(21)	Popul	ation Ser	ved 1 point per 1,000 persons served	50 max.
20	(22)	Plant	Plant Capacity 1 point per 1 MGD capacity 25 ma		
21	(23)	On-Si	te Qualit	y Control	
22		(A)	bacter	riological	
23			(i)	MPN/MF	5
24			(ii)	HPC	2
25			(iii)	MMO-MUG (Colilert)	2
26		(B)	рН		
27			(i)	meter	2
28			(ii)	test kit	1
29		(C)	fluori	de	
30			(i)	meter	3
31			(ii)	colorimetric	3
32		(D)	chlori	ne	
33			(i)	titrator	3
34			(ii)	colorimeter/spec.	2
35			(iii)	test kit	1
36		(E)	iron		1
37		(F)	hardn	ess	1

1		(G)	alkalinity	1		
2		(H)	turbidity	1		
3		(I)	manganese	1		
4		(J)	others (1 pt. each)	1		
5		(K)	A.A. Spec, or G.C. Unit	5 each		
6	(c) The designation	<del>ition of d</del>	istribution system classifications shall be based on system char	racteristics as outlined in Rule		
7	.0205 of this Section.					
8						
9	History Note:	Author	rity G.S. 90A-21(c); 90A-22;			
10		Eff. February 1, 1976;				
11	Readopted Eff. March 1, 1979;					
12		Amended Eff. August 1, 2000; August 3, 1992; January 1, 1992; September 1, <del>1990.</del> 1990;				
13	Readopted Eff. September 1, 2018.					

AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0205

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

In (a), line 8, there is no longer a Paragraph (b) in Rule .0203. Please update the cross-reference.

In (b), you are assigning distribution grades using (a) as guidance. Are the (a) classifications for wells? And does your regulated public know what is "greater"?

On line 19, is "fire protection" the same as "fire protection system" as defined in Rule .0105? If so, shouldn't you use the same term here? I note you use the full term in (b)(1) through (3).

In (b)(2), line 22, and (b)(3), line 24, do you need "but"? Could you not say "connections, not exceeding..."? That's just a stylistic suggestion.

In (b)(3), lines 24-25, will the "1000 to 3300 service connections" be without any fire protection system? Does that need to be stated here?

In (b)(4), so that I'm clear – the existence (or not) of a fire protection system will not matter?

In (c), line 28, consider replacing "is" with "shall be"

On lines 29-30, could you simplify this language to "... any distribution system that is required to have installed five or more testable backflow prevention assemblies in accordance with ..."

And I take it that the term "testable backflow prevention assemblies" is known to your regulated public?

On line 30, since this Rule is under the authority of another rulemaking body, you will need to incorporate it by reference pursuant to G.S. 150B-21.6. In order to comply with the statute, you just need to specify whether the Board is incorporating subsequent amendments.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	15A NCAC 18D	.0205 is readopted with changes	as published in 32:18 NCR	1781 as follows:	
2					
3	15A NCAC 18D	.0205 PUBLIC WATER S	SYSTEM TREATMENT	, DISTRIBUTION	, AND [CROSS
4		CONNECTION-CON	TROL CROSS-CONNE	CTION	CONTROL
5		<b>CLASSIFICATIONS</b>	CLASSIFICATION	OF WATER	TREATMENT
6		<b>FACILITIES</b>			
7	(a) Public water s	system treatment facilities, excep	ot for Class D-Well systems.	, shall be classified b	pased on the sources
8	of water and the r	number of points assigned to the	facilities pursuant to Rule .0	)203(b) of this Section	on, as follows: With
9	the exception of (	Class D Well, the public water s	ystem treatment classification	on shall be based on	the source of water
10	and the number	of points assigned to each fac	cility as taken from the ta	able in Rule .0203(	b) of this Section.
11	Classifications ar	e as follows:			
12		Class C 1-50	points		
13		Class B 51-1	10 points		
14		Class A over	110 points		
15	Class D-Well is an	<del>ny non</del> <u>Non</u> -community public w	ater <del>system</del> systems with hy	pochlorite solution a	s the only treatment
16	applied to the wat	ter. water shall be classified as C	lass D-Well.		
17	(b) The classification	ation of distribution systems sha	ll apply to all community as	nd non-transient non	n-community public
18	water systems. Th	ne distribution system class level	shall be the greater of the tre	eatment plant class le	evel from Paragraph
19	(a) of this Rule or	the following class level based	on the number of service co	onnections and fire pr	rotection:
20	(1)	Class D-DISTRIBUTION is a	ny system with 100 or fe	ewer service connec	ctions with no fire
21		protection system;			
22	(2)	Class C-DISTRIBUTION is any	y system with more than 10	0 service connection	s but not exceeding
23		1,000 service connections connections	ections, with no fire protection	ion system;	
24	(3)	Class B-DISTRIBUTION is any	system with more than 1,00	00 service connection	ns but not exceeding
25		3,300 service connections or a	any system not exceeding	1,000 service conn	ections with a fire
26		protection system; and			
27	(4)	Class A-DISTRIBUTION is any	y system with more than 3,3	300 service connection	ons.
28	(c) The classification	ation [CROSS CONNECTION (	CONTROL   CROSS-CONN	<u>IECTION CONTRO</u>	L is <del>also</del> applied to
29	any distribution s	ystem with requirement for the i	nstallation of five or more t	estable backflow pre	evention assemblies
30	to be installed in	accordance with 15A NCAC 180	C .0406(b). within the water	distribution system.	-
31					
32	History Note:	Authority G.S. 90A-21(c); 90A-2	22;		
33		Eff. February 1, 1976;			
34		Amended Eff. September 1, 197	7;		
35		Readopted Eff. March 1, 1979;			
36		Amended Eff. November 1, 200	6; August 1, 2002; August	1, 2000; August 3, 1	1992; September 1,
37		1990; December 31, 1980; Janu	uary 1, <del>1980.<u></u>1980;</del>		

Readopted Eff. September 1, 2018.

AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0307

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

In (a), line 5, consider inserting "the operator's" before "certificate" to be consistent with (b).

And so that I'm clear – this is the annual expiration on December 31 of each year, pursuant to G.S. 90A-25.1?

In (b), line 7, you say it "may" be revoked? Do you mean following the procedure following G.S. 150B in G.S. 90A-26? If not, then under what circumstances will the certificate not be revoked if the individual fails to meet the requirements of Rule .0701?

In (c)(2), line 12, how is the school "approved by the Board"?

Also on line 12, what is the exam? Who conducts it – the Board (as in G.S. 90A-26) or the school? How does one register to take it?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	15A NCAC 181	O .0307 is readopted as published in 32:18 NCR 1781 as follows:
2		
3	15A NCAC 18	D .0307 EXPIRATION AND REVOCATION OF CERTIFICATE
4	(a) If the <u>an</u> op	erator fails to pay the renewal fee or meet the continuing education requirements of Rule .0308(a) of
5	this Section, the	certificate shall expire.
6	(b) If an opera	tor in responsible charge fails to meet the requirements of 15A NCAC 18D .0701, his/her his or her
7	operator's certif	icate may be revoked.
8	(c) An individu	al who has had certification revoked by the Board shall <u>may</u> petition the Board for any <del>new</del> certification
9	sought <u>if:</u> <del>and n</del>	nay not petition the Board for such new certification sooner than two years after the effective date of
10	the revocation.	
11	<u>(1)</u>	two years have elapsed since the effective date of the revocation; and
12	<u>(2)</u>	the individual has completed a school approved by the Board and passed an exam corresponding to
13		the certification being sought.
14	(d) An operato	r who has a certificate that has been expired less than two years shall pay any renewal fees in arrears
15	and late fees be	fore receiving an upgrade or a certificate in another area.
16		
17	History Note:	Authority G.S. 90A-25.1; 90A-26;
18		Eff. August 3, 1992;
19		Amended Eff. November 1, 2008; August 1, 2004; August 1, 2002; August 1, 2000; August 1,
20		<del>1998.</del> 1998;
21		Readopted Eff. September 1, 2018.

AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0308

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

Throughout this Rule, you use the terms "contact hours," "professional growth contact hours," and "professional growth hours." it appears the terms mean the same thing. If that is correct, please only use one term. If that is not correct, what is the difference between them?

In (a), what standards will the Board use to approve training? Where are those standards set forth? If you have a cross-reference, please put it in the Rule. If they are not in another rule or law, they need to be stated here.

On line 7, should this read "Submitting or Obtaining proof..."?

In (b), line 11, what is required to seek approval? And upon what basis is the approval granted or denied by the Board?

On line 13, what is the "certification ID number"? Is this provided by the Board?

On line 15, is the contact person from the provider?

On line 16, is "proof of completion" intended to include both a certificate and the other forms of proof?

On line 17, please insert a comma after "course number"

And how is this course number determined? Is it assigned by the Board or can the provider just make it up?

Also on line 17, can you not just state "and the date of the training."?

On lines 17-18, what is "in-house" training?

In (c), you state that the Board will keep a record and notify the certified operator of the record. But in (a), you state that the certified operator is responsible for proof. What exactly do you mean to state in this Rule? If you mean that the ultimate responsibility of establishing completion of the six hours is upon the certified operator, I think that can be stated more clearly.

On line 19, will the notice not also include the requirement to pay the renewal fee, as set forth in G.S. 90A-25.1?

On line 22, replace "does" with "shall"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

15A NCAC 18D .0308 is readopted as published in 32:18 NCR 1781 as follows:

1 2 3

#### 15A NCAC 18D .0308 PROFESSIONAL GROWTH HOURS

- 4 (a) All certified operators shall complete six contact hours of Board approved Board-approved training each year following the year of initial certification. The Board shall approve training if it determines that the subject matter of
- 6 the training is relevant to water treatment facility operation, and to the professional growth of operators Training
- 7 providers shall submit an attendance roster to the Board after completion of the training event. Ultimately proof Proof
- 8 of professional growth contact hours is shall be the responsibility of the operator. Failure to complete the six contact
- 9 hours shall result in expiration of the operator's certificates. The roster shall contain the operator's certification ID
- 10 number or the last four digits of the Social Security number.
- 11 (b) Training providers shall seek Board approval prior to offering events that provide professional growth contact
- 12 <u>hours. Training providers shall submit an attendance roster to the Board within ten business days after completion of</u>
- 13 the training event. The roster shall contain each attendee's full name and certification ID number. or the last four digits
- 14 of the Social Security number. The organization providing the training shall give each participant a certificate or other
- proof of completion which that includes the name of the provider, the provider's address, and contact person with
- telephone number. The proof of completion shall identify the name of the participant, the number of contact hours
- 17 completed, the course name, the course number the instructor's name, and the date of the training received. For in-
- house training, an instructor from outside of the organization shall provide the training.
- 19 (c) The Board shall mail renewal notices to operators prior to the renewal date and shall state whether the Board has
- a record of their professional growth hours for the preceding year. If the Board does not have a record of professional
- 21 growth for an operator, the operator shall provide proof of the required six contact hours of training prior to renewal
- 22 at of any the time of annual certification issued by the Board, renewal. Failure to receive a renewal notice does not
- 23 relieve a certified operator of the responsibility to renew the certificate by the renewal due date.

- 25 *History Note: Authority G.S. 90A-25.1; 90A-26;*
- 26 Eff. August 1, 1998;
- 27 Amended Eff. December 1, 2008; August 1, 2004; August 1, <del>2000.2000;</del>
- 28 <u>Readopted Eff. September 1, 2018.</u>

AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0309

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

In this Rule, I take it the fees are the ones set forth in Rule .0304 of this Section?

In (a), line 5, you refer to "continuing education" but you don't call it that in Rule .0308. Should you use the term from Rule .0308 here?

In (b), I think you are saying that the operator must renew the original certificate before he or she can seek to upgrade it. If that is correct, why are you reciting so much of (a) here?

In (c), what is required for this reinstatement? Is there an examination or fees or proof of continuing education? If it's the same procedure as in (a), what does this Paragraph do?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 15A NCAC 18D .0309 is readopted as published in 32:18 NCR 1781 as follows: 2 3 15A NCAC 18D .0309 **CERTIFICATION REINSTATEMENT** 4 (a) An operator whose certification has expired may seek reinstatement within two years of expiration by paying any 5 renewal fees in arrears, including late fees fees, and either providing proof of continuing education for each calendar 6 year as required in Rule .0308 of this Section, Section or passing another examination of that grade. 7 (b) An operator whose certificate has been expired for less than two years must pay any renewal fees in arrears and 8 late fees before seeking an upgrade from the certificate type that has expired. Any person having a certification expired 9 for more than two years or revoked shall apply to the Board for approval to be eligible for any further certification or 10 reinstatement of certificate. 11 (c) Any person whose certification has been expired for more than two years may apply to the Board for reinstatement 12 of the certificate type that was expired. 13 14 Authority G.S. 90A-25.1; 90A-26; History Note: 15 Eff. August 1, 1998; 16 Amended Eff. May 1, 2006; August 1, 2004; August 1, 2000.2000;

Readopted Eff. September 1, 2018.

AGENCY: Water Treatment Facility Operators Certification Board

RULE CITATION: 15A NCAC 18D .0701

**DEADLINE FOR RECEIPT: Tuesday, August 7, 2018** 

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

In (a), how will the individual have a certificate that exceeds the requirements of this Subchapter? Doesn't the individual need to have a certificate issued by the Board, so how can he or she exceed your certificates? Do you mean it can exceed the certification grade issued to the individual?

In (b), line 12, if you would like to reinsert "any" feel free to do so.

Also on line 12, as well as line 24, you refer to "written permission" from the Board. I know in Paragraph (c) that you address how to request that permission. But how does the Board determine whether to grant it and how is the permission granting or denial communicated? And how far in advance is the permission required? Or can it be given afterwards?

In (c), line 27, you are referring to rules under the rulemaking authority of the Commission for Public Health. Is this the correct cross reference? If so, you will need to incorporate these rules by reference pursuant to G.S. 150B-21.6, and state whether you are incorporating subsequent amendments.

In (d), line 29, who assigns the public water system identification number? Is it the Board or another entity? I take it your regulated public knows this number?

In (e), line 32, consider replacing "this" before "change" with "the"

In (f), line 36, are the "decisions affecting public health" known? It seems that most decisions made at a facility will affect public health.

In (g), Page 2, line 3, is the impossibility of the appearance going to be entirely within the discretion of the operator in responsible charge?

On line 5, insert "the" before "standard operating procedures"

On line 7, I believe the "for" before "any temporary" be "of", so it reads "shall notify the Board of any temporary..."

So that I'm clear – if the designation is for 13 days, the Board does not have to be notified?

And if it is required, is the notification required in advance of the designation?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

15A NCAC 18D .0701 is readopted as published in 32:18 NCR 1781 as follows:

1 2 3

14

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#### 15A NCAC 18D .0701 OPERATOR IN RESPONSIBLE CHARGE

- 4 (a) The owner shall ensure that the public water system facilities are managed by an operator in responsible charge
- 5 who possesses a certificate equivalent to or exceeding the requirements in this Subchapter. An operator in responsible
- 6 charge shall possess a valid certificate issued by the Board equivalent to or exceeding the classification for which he
- 7 or she is designated.
- 8 (b) The operator in responsible charge is actually in charge of shall manage the daily operation and maintenance of
- 9 the facility, facility and shall not reside more than 50 miles from the facility without written permission from the
- 10 Board. The operator in responsible charge shall be readily available for consultation on the premises of the facility in
- 11 case of an emergency, malfunction or breakdown of equipment or other needs. No person shall be in responsible
- charge of more than <del>any</del> one of the following without written permission from the Board:
- 13 One one surface water treatment facility;
  - (2) Five <u>five</u> community public water systems with well water facilities;
- 15 (3) 10 ten non-community public water systems with well water facilities;
- 16 One one distribution system serving over 3,300 service connections;
- 17 (5) Five five distribution systems serving over 500 service connections and less than 3,300 service connections;
- 19 (6) 10 ten total distribution systems; or
  - (7) <u>10 ten</u> total cross-connection control systems. systems; or
- 21 (8) any facility located more than a 50-mile radius from where the operator resides.
- 22 No person shall be in responsible charge of any combination of a surface water treatment facility, a community public
- 23 water system with well water facilities, a non-community public water system with well water facilities, a distribution
- system, and a cross-connection control facility without written permission from the Board.
- 25 (c) When A request for permission from the Board is required, the request shall include sufficient documentation
- 26 <u>demonstrating</u> to satisfy the Board that the facilities in question ean will be managed in compliance with the
- 27 requirements of 15A NCAC 18C.
- 28 (d) The operator in responsible charge shall report report, with annual certification renewal renewal, the names
- 29 name(s) and public water system identification numbers number(s) for all systems for which the operator is the
- 30 operator in responsible charge.
- 31 (e) If an operator in responsible charge takes responsibility for an additional system or relinquishes responsibility for
- 32 any system, the operator shall notify the Board in writing within 30 10 days of this change.
- 33 (f) The operator in responsible charge shall establish standard operating procedures for each facility for which he or
- 34 she he/she is responsible. These procedures shall provide sufficient instruction to ensure that his or her his/her
- decisions about water quality or quantity that affect public health are carried <u>out.</u> out properly. The procedures shall
- 36 instruct persons lacking proper certification to refer all the such decisions affecting public health to the certified
- operator on duty or to the operator in responsible charge.

(g) The operator in responsible charge shall be available for consultation on the premises of the facility in case of an emergency, equipment malfunction, or breakdown of equipment. The operator in responsible charge may designate a temporary operator in responsible charge during times when it is impossible for the operator in responsible charge to be on the premises. The temporary operator in responsible charge shall be familiar with the water system and have access to standard operating procedures developed under Paragraph (f) of this Rule. The temporary operator in responsible charge shall possess a certification equivalent to or exceeding that required by the water system treatment classification. The operator in responsible charge shall notify the Board for any temporary operator in responsible charge designation lasting longer than 14 days.

History Note: Authority G.S. 90A-21(c); 90A-31;

Eff. August 1, 1998;

Amended Eff. May 1, 2006; August 1, 2002; August 1, 2000; 2000;

Readopted Eff. September 1, 2018.