

G.S. 150B-21.3A Report for 21 NCAC 68, SUBSTANCE ABUSE PROFESSIONAL PRACTICE BOARD

Agency - Substance Abuse Professional Practice Board

Comment Period - 09/15/2017 through 02/07/2018

Date Submitted to APO - Filled in by RRC staff

| Subchapter | Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation |
|------------|--------------------------------------|------------------|--|---|--|--|-----------------------------|
| | SECTION .0100 - GENERAL | 21 NCAC 68 .0101 | DEFINITIONS | Amended Eff. August 1, 2015 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0102 | BOARD MAILING ADDRESS | Amended Eff. August 1, 1998 | Necessary with substantive public interest | No | |
| | SECTION .0200 - CERTIFICATION | 21 NCAC 68 .0201 | APPLICATION FOR REGISTRATION | Amended Eff. August 1, 2002 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0202 | REGISTRATION PROCESS FOR BOARD CREDENTIAL | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0203 | DESIGNATION AS SUBSTANCE ABUSE COUNSELOR INTERN | Amended Eff. August 1, 2002 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0204 | SUPERVISED PRACTICUM FOR CERTIFIED SUBSTANCE ABUSE COUNSELOR AND LICENSED CLINICAL ADDICTIONS SPECIALIST | Amended Eff. June 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0205 | CERTIFIED SUBSTANCE ABUSE COUNSELOR CERTIFICATION | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0206 | PROCESS FOR PREVENTION CONSULTANT CERTIFICATION | Amended Eff. January 1, 2014 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0207 | CERTIFICATION OR LICENSURE PERIOD | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0208 | CONTINUING EDUCATION REQUIRED FOR COUNSELOR, CRIMINAL JUSTICE ADDICTIONS PROFESSIONAL AND PREVENTION CONSULTANT RE-CREDENTIALING | Amended Eff. June 1, 2011 | Necessary with substantive public interest | No | |

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| | | 21 NCAC 68 .0209 | RECIPROCITY | Amended Eff. January 1, 2014 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0211 | PROCESS FOR CLINICAL SUPERVISOR CERTIFICATION | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0212 | PROCESS FOR RESIDENTIAL FACILITY DIRECTOR CERTIFICATION | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0213 | CONTINUING EDUCATION APPROVAL POLICY | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0214 | UNIVERSITY SUBSTAnCE ABUSE SPECIALTY CURRICULA | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0215 | VERIFICATION | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0216 | BACKGROUND INVESTIGATION | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0217 | SUPERVISED PRACTICUM FOR CRIMINAL JUSTICE ADDICTIONS PROFESSIONAL CERTIFICATION | Amended Eff. June 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0220 | NOTICE TO APPLICANT OF FAILURE TO SATISFY BOARD | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0221 | APPLICANT HEARING | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0222 | ETHICS INQUIRY | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0223 | STANDARDS COMMITTEE ACTION | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0224 | CREDENTIALING STATUS DENIED IF SERVING SENTENCE | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |

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| | | 21 NCAC 68 .0225 | SUSPENSION OF AUTHORITY AND ESCROW OF FUNDS | Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0226 | ARMED SERVICES EXTENSION FOR CREDENTIAL | Amended Eff. June 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0227 | CREDENTIAL BY ENDORSEMENT OR RECIPROCITY BASED ON MILITARY SERVICE | Eff. August 1, 2015 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0228 | SUBSTANCE ABUSE CREDENTIAL BY ENDORSEMENT OR RECIPROCITY BASED ON STATUS AS MILITARY SPOUSE | Eff. August 1, 2015 | Necessary with substantive public interest | No | |
| | SECTION .0300 - CLINICAL ADDICTIONS SPECIALIST | 21 NCAC 68 .0301 | SCOPE | Amended Eff. January 1, 2014 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0303 | APPLICATION FOR DEEMED STATUS BY PROFESSIONAL DISCIPLINE | Amended Eff. January 1, 2014 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0304 | THREE-YEAR STANDARDS REVIEW OF DEEMED STATUS STANDING | Amended Eff. January 1, 2014 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0305 | LICENSURE REQUIREMENTS FOR INDIVIDUAL APPLICANT | Amended Eff. January 1, 2014 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0306 | RENEWAL OF INDIVIDUAL LICENSURE AS CLINICAL ADDICTIONS SPECIALIST | Amended Eff January 1, 2014 | Necessary with substantive public interest | No | |

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| | | 21 NCAC 68 .0307 | REVOCATION OF CREDENTIAL WHEN CHANGE IN STATUS | Eff. August 1, 1998 | Necessary with substantive public interest | No | |
| | SECTION .0400 - EDUCATION | 21 NCAC 68 .0401 | EDUCATION APPROVAL POLICY | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0402 | GENERAL GUIDELINES | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0403 | EMPLOYER INSERVICE EVENT | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0404 | CREDIT DENIAL OR LIMITATION | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0405 | SPONSOR GUIDELINES | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0406 | PROCEDURES FOR APPROVAL OF SELF-STUDY COURSES | Amended Eff. April 1, 2003 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0407 | APPLICANT GUIDELINES | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | SECTION .0500 - ETHICAL PRINCIPLES OF CONDUCT FOR THE SUBSTANCE ABUSE PROFESSIONAL | 21 NCAC 68 .0501 | PURPOSE AND SCOPE | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0502 | NON-DISCRIMINATION | Eff. February 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0503 | COMPETENCE | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0504 | LEGAL STANDARDS AND ETHICAL STANDARDS | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0505 | EDUCATION AND TRAINING STANDARDS | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |

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| | | 21 NCAC 68 .0506 | PUBLICATION CREDIT | Eff. February 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0507 | CLIENT WELFARE | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0508 | CONFIDENTIALITY | Amended Eff. January 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0509 | CLIENT RELATIONSHIPS | Amended Eff. February 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0510 | INTERPROFESSIONAL RELATIONSHIPS | Amended Eff. April 1, 2001 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0511 | REMUNERATION | Amended Eff. February 1, 2010 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0512 | RESPONSIBILITIES OF SUPERVISOR AND SUPERVISEE | Amended Eff. January 1, 2014 | Necessary with substantive public interest | No | |
| | SECTION .0600 – GROUNDS FOR DISCIPLINE AND DISCIPLINARY PROCEDURES | 21 NCAC 68 .0601 | GROUNDS FOR PROFESSIONAL DISCIPLINE | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0602 | COMPLAINT PROCEDURES | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0603 | INVESTIGATION OF COMPLAINT | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0604 | HEARING BEFORE BOARD | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0605 | METHOD OF DISCIPLINE | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0606 | EFFECT OF ACTIONS OF COURT OR OF OTHER PROFESSIONAL GROUPS | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0607 | DISCRETION OF BOARD | Eff. February 1, 1996 | Necessary with substantive public interest | No | |

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| | | 21 NCAC 68 .0608 | CONFIDENTIALITY | Amended Eff. August 1, 1998 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0609 | PETITION FOR REOPENING CASE | Eff. February 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0610 | AWARDING THE CREDENTIAL FOLLOWING DENIAL | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0611 | PROOF OF REHABILITATION | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0615 | INFORMAL PROCEEDINGS | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0620 | PUBLICATION OF ETHICS SANCTIONS | Amended Eff. April 1, 2011 | Necessary with substantive public interest | No | |
| | SECTION .0700 – APPEALS PROCESS | 21 NCAC 68 .0701 | HEARING BEFORE BOARD: TIME REQUIREMENT | Amended Eff. August 1, 2000 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0702 | RIGHT TO HEARING | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0703 | REQUEST FOR HEARING | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0704 | HEARING REQUESTS | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0705 | NOTICE OF HEARING | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0706 | WHO SHALL HEAR CONTESTED CASES | Amended Eff. August 1, 2000 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0707 | PETITION FOR INTERVENTION | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0708 | TYPES OF INTERVENTION | Eff. August 1, 1996 | Necessary with substantive public interest | No | |
| | | 21 NCAC 68 .0709 | DISQUALIFICATION OF BOARD MEMBER | Eff. August 1, 1996 | Necessary with substantive public interest | No | |