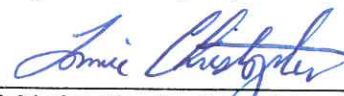


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6/14/18

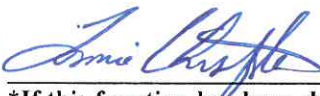
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03E .0101 Application	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTON	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:02
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SUBMISSION FOR PERMANENT RULE

ORIGINAL
6/14/18

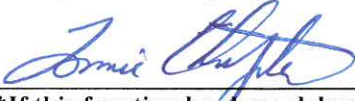
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03E .0102	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03E .0201	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

ORIGINAL
6/14/18


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03E .0204 Transfer of License and Change of Location	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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SUBMISSION FOR PERMANENT RULE

ORIGINAL

6/14/18


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03E .0302 Annual Report	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2018 JUN 14 AM 10:03

OFFICE OF ADMIN HEARINGS

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03E .0401; 04 NCAC .0402	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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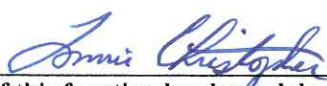
6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03E .0602	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:00
FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03F .0201	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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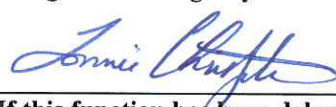
SUBMISSION FOR PERMANENT RULE

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2. Rule citation & name (name not required for repeal): 04 NCAC 03F .0601; 04 NCAC .0602	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
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OFFICE OF ADMIN HEARINGS
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6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03H .0102; 04 NCAC .0103	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
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9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: This rule is being repealed as part of the readoption process for rules in Section 04 NCAC 03 because it is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:04
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03J .0101 Definitions; Filings	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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9A. What prompted this action? Check all that apply:	
<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:
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RRC AND OAH USE ONLY	
Action taken:	
<input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:04
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03J .0201 Application for Registration as a Facilitator	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTON	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2018 JUN 14 AM 10:04
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03J .0202; 04 NCAC .0203

3. Action:
 ADOPTION AMENDMENT REPEAL READOPTIO

4. Rule exempt from RRC review?
 Yes. Cite authority:
 No

5. Rule automatically subject to legislative review?
 Yes. Cite authority:
 No

6. Notice for Proposed Rule:
 Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: <https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx>
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
 Notice not required under G.S.:
Adoption by Agency on:

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2018 JUN 14 AM 10:04
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7. Rule establishes or increases a fee? (See G.S. 12-3.1)
 Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
 No

8. Fiscal impact (check all that apply):
 State funds affected
 Environmental permitting of DOT affected and analysis submitted to Board of Transportation
 Local funds affected
 Substantial economic impact (≥\$1,000,000)
 Approved by OSBM
 No fiscal note required


9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
 Agency Legislation enacted by the General Assembly
 Court order / cite: Cite Session Law: 2013-413
 Federal statute / cite: Petition for rule-making
 Federal regulation / cite: Other:

9B. Explain: This rule is being repealed as part of the readoption process because it either restates what is in the general statutes or is outdated and no longer useful or necessary.

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Address: 4309 Mail Service Center
Raleigh, NC 27699-4309
Phone: (919) 715-7438
E-Mail: lchristopher@nccob.gov

Agency Contact, if any: Katie Bosken
Phone: (919) 715-0082
E-Mail: kbosken@nccob.gov

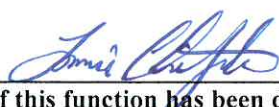
11. Signature of Agency Head* or Rule-making Coordinator:

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Typed Name: Lonnie Christopher
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
 RRC extended period of review:
 RRC determined substantial changes:
 Withdrawn by agency
 Subject to Legislative Review
 Other:


6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03J .0204 Application for Renewal of Certificate of Registration	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10: 04
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03J .0301	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2018 JUN 14 AM 10:05
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6/14/18


SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03J .0303 Filings and Posting of Fee Schedule	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:05
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6/14/18

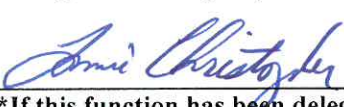
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03J .0304 Disclosures	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTON	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2018 JUN 14 AM 10:05
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6/14/18

SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0101 Definitions; Filings	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIION	
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9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2018 JUN 14 AM 10:06
FILED

ORIGINAL

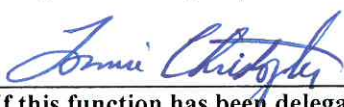
6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0201 Application for Authorization as a Reverse Mortgage Lender	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0202	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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ORIGINAL
6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0203 Current Authorized Lender Information	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTON	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks									
2. Rule citation & name (name not required for repeal): 04 NCAC .0206 Nontransferability of Certificate of Authorization									
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N									
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No								
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<p style="text-align: center;">9. REASON FOR ACTION</p> <p>9A. What prompted this action? Check all that apply:</p> <table style="width: 100%;"> <tr> <td><input checked="" type="checkbox"/> Agency</td> <td><input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413</td> </tr> <tr> <td><input type="checkbox"/> Court order / cite:</td> <td><input type="checkbox"/> Petition for rule-making</td> </tr> <tr> <td><input type="checkbox"/> Federal statute / cite:</td> <td><input type="checkbox"/> Other:</td> </tr> <tr> <td><input type="checkbox"/> Federal regulation / cite:</td> <td></td> </tr> </table> <p>9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.</p>		<input checked="" type="checkbox"/> Agency	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413	<input type="checkbox"/> Court order / cite:	<input type="checkbox"/> Petition for rule-making	<input type="checkbox"/> Federal statute / cite:	<input type="checkbox"/> Other:	<input type="checkbox"/> Federal regulation / cite:	
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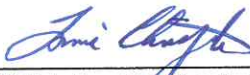
SUBMISSION FOR PERMANENT RULE

ORIGINAL
6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0301	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken:	
<input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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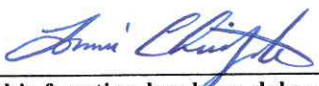
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC .0302 Surety Bonds	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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ORIGINAL
6/14/18

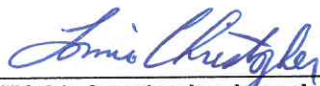
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0401	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE


ORIGINAL
6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC .0402 Record and Bookkeeping Requirements	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks									
2. Rule citation & name (name not required for repeal): 04 NCAC .0403 Examinations									
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION									
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No								
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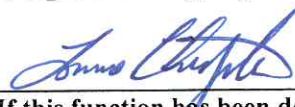
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC .0404 Withdrawal/Termination of Authorization as a Reverse Mtg. Lender	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0405 Impairment of Minimum New Worth, and Surety Bond	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:07
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0601

3. Action:
 ADOPTION AMENDMENT REPEAL READOPTIO

4. Rule exempt from RRC review?
 Yes. Cite authority:
 No

5. Rule automatically subject to legislative review?
 Yes. Cite authority:
 No

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2018 JUN 14 AM 10: 07
OFFICE OF ADMIN HEARINGS

6. Notice for Proposed Rule:
 Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: <https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx>
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
 Notice not required under G.S.:
Adoption by Agency on:


7. Rule establishes or increases a fee? (See G.S. 12-3.1)
 Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
 No

8. Fiscal impact (check all that apply):
 State funds affected
 Environmental permitting of DOT affected and analysis submitted to Board of Transportation
 Local funds affected
 Substantial economic impact (≥\$1,000,000)
 Approved by OSBM
 No fiscal note required

9. REASON FOR ACTION
9A. What prompted this action? Check all that apply:
 Agency
 Court order / cite:
 Federal statute / cite:
 Federal regulation / cite:
 Legislation enacted by the General Assembly
Cite Session Law: 2013-413
 Petition for rule-making
 Other:
9B. Explain: This rule is being repealed as part of the readoption process because it either restates what is in the general statutes or is outdated and no longer useful or necessary.

10. Rule-making Coordinator: Lonnie Christopher
Address: 4309 Mail Service Center
Raleigh, NC 27699-4309
Phone: (919) 715-7438
E-Mail: lchristopher@nccob.gov

Agency Contact, if any: Katie Bosken
Phone: (919) 715-0082
E-Mail: kbosken@nccob.gov

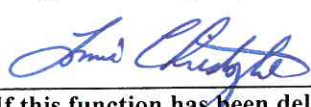
11. Signature of Agency Head* or Rule-making Coordinator:

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Typed Name: Lonnie Christopher
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
 RRC extended period of review:
 RRC determined substantial changes:
 Withdrawn by agency
 Subject to Legislative Review
 Other:

6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0701	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This rule is being repealed as part of the readoption process because it either restates what is in the general statutes or is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:07
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ORIGINAL

6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0702 Enforcement Actions

3. Action:
 ADOPTION AMENDMENT REPEAL READOPTIO

4. Rule exempt from RRC review?
 Yes. Cite authority:
 No

5. Rule automatically subject to legislative review?
 Yes. Cite authority:
 No

6. Notice for Proposed Rule:
 Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: <https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx>
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
 Notice not required under G.S.:
Adoption by Agency on:

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2018 JUN 14 AM 10:08
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7. Rule establishes or increases a fee? (See G.S. 12-3.1)
 Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
 No

8. Fiscal impact (check all that apply):
 State funds affected
 Environmental permitting of DOT affected and analysis submitted to Board of Transportation
 Local funds affected
 Substantial economic impact (≥\$1,000,000)
 Approved by OSBM
 No fiscal note required

9. REASON FOR ACTION
9A. What prompted this action? Check all that apply:
 Agency
 Court order / cite:
 Federal statute / cite:
 Federal regulation / cite:
 Legislation enacted by the General Assembly
Cite Session Law: 2013-413
 Petition for rule-making
 Other:
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.

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Agency Contact, if any: Katie Bosken
Phone: (919) 715-0082
E-Mail: kbosken@nccob.gov


11. Signature of Agency Head* or Rule-making Coordinator:

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Typed Name: Lonnie Christopher
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
 RRC extended period of review:
 RRC determined substantial changes:
 Withdrawn by agency
 Subject to Legislative Review
 Other:


6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03K .0703	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:03
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SUBMISSION FOR PERMANENT RULE

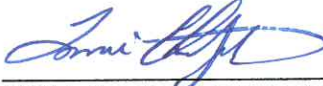
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0101 Definitions	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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9. REASON FOR ACTION	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:03
FILED

SUBMISSION FOR PERMANENT RULE

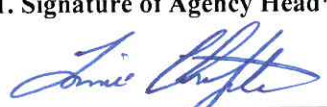
ORIGINAL

6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0102 Filings			
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N			
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No		
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:			
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required		
9. REASON FOR ACTION			
9A. What prompted this action? Check all that apply: <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </td> <td style="width: 50%; vertical-align: top;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </td> </tr> </table>		<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:
<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:		
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RRC AND OAH USE ONLY			
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:			

 OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:06
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03L. 0201 Application for License	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply:	
<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
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RRC AND OAH USE ONLY	
Action taken:	
<input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2018 JUN 14 AM 10:03
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6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0202 Fees

3. Action:
[] ADOPTION [] AMENDMENT X REPEAL [] READOPTON

4. Rule exempt from RRC review?
[] Yes. Cite authority:
X No

5. Rule automatically subject to legislative review?
[] Yes. Cite authority:
X No

6. Notice for Proposed Rule:
X Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
[] Notice not required under G.S.:
Adoption by Agency on:

OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10: 08
FILED

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
[] Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
X No

8. Fiscal impact (check all that apply):
[] State funds affected
[] Environmental permitting of DOT affected and analysis submitted to Board of Transportation
[] Local funds affected
[] Substantial economic impact (>=\$1,000,000)
[] Approved by OSBM
X No fiscal note required

9. REASON FOR ACTION
9A. What prompted this action? Check all that apply:
X Agency
[] Court order / cite:
[] Federal statute / cite:
[] Federal regulation / cite:
X Legislation enacted by the General Assembly
Cite Session Law: 2013-413
[] Petition for rule-making
[] Other:
9B. Explain: This rule is being repealed as part of the readoption process because it either restates what is in the general statutes or is outdated and no longer useful or necessary.

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Phone: (919) 715-7438
E-Mail: lchristopher@nccob.gov
Agency Contact, if any: Katie Bosken
Phone: (919) 715-0082
E-Mail: kbosken@nccob.gov

11. Signature of Agency Head* or Rule-making Coordinator:
[Signature]
*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Typed Name: Lonnie Christopher
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
[] RRC extended period of review:
[] RRC determined substantial changes:
[] Withdrawn by agency
[] Subject to Legislative Review
[] Other:

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0301 Issuance

3. Action:
[] ADOPTION [] AMENDMENT X REPEAL [] READOPTIO

4. Rule exempt from RRC review?
[] Yes. Cite authority:
X No

5. Rule automatically subject to legislative review?
[] Yes. Cite authority:
X No

6. Notice for Proposed Rule:
X Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
[] Notice not required under G.S.:
Adoption by Agency on:

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2018 JUN 14 AM 10:08
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7. Rule establishes or increases a fee? (See G.S. 12-3.1)
[] Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
X No

8. Fiscal impact (check all that apply):
[] State funds affected
[] Environmental permitting of DOT affected and analysis submitted to Board of Transportation
[] Local funds affected
[] Substantial economic impact (>=\$1,000,000)
[] Approved by OSBM
X No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
X Agency
[] Court order / cite:
[] Federal statute / cite:
[] Federal regulation / cite:
X Legislation enacted by the General Assembly
Cite Session Law: 2013-413
[] Petition for rule-making
[] Other:
9B. Explain: This rule is being repealed as part of the readoption process because it either restates what is in the general statutes or is outdated and no longer useful or necessary.

10. Rule-making Coordinator: Lonnie Christopher
Address: 4309 Mail Service Center
Raleigh, NC 27699-4309
Phone: (919) 715-7438
E-Mail: lchristopher@nccob.gov
Agency Contact, if any: Katie Bosken
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Title: Rule-making Coordinator


RRC AND OAH USE ONLY

Action taken:
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[] RRC determined substantial changes:
[] Withdrawn by agency
[] Subject to Legislative Review
[] Other:

SUBMISSION FOR PERMANENT RULE

ORIGINAL

6/14/18

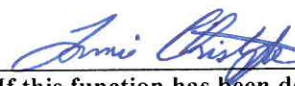
1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0302 Nontransferability of License			
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N			
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No		
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:			
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required		
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RRC AND OAH USE ONLY			
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:			

OFFICE OF ADMIN HEARINGS

JUN 14 AM 10:08

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SUBMISSION FOR PERMANENT RULE

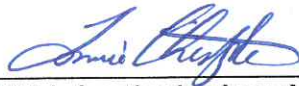
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0303 Annual Renewal of License	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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SUBMISSION FOR PERMANENT RULE

ORIGINAL

6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0401 Posting of License or Branch Certificate	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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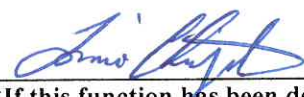
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ORIGINAL
6/14/18

SUBMISSION FOR PERMANENT RULE

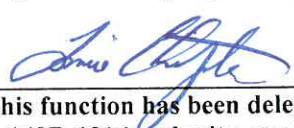
1. Rule-Making Agency: Office of the Commissioner of Banks									
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0403 Posting of Fees									
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N									
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No								
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RRC AND OAH USE ONLY									
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:									

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OFFICE OF ADMIN HEARINGS

SUBMISSION FOR PERMANENT RULE

ORIGINAL

6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0502 Examinations			
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N			
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No		
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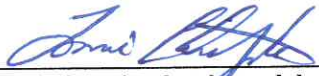
OFFICE OF ADMIN HEARINGS

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6/14/18

SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0601 Amendments to Information on File With the Commissioner	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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SUBMISSION FOR PERMANENT RULE

ORIGINAL

6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0602 Expansion or Relocation			
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N			
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No		
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<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:		
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10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr style="border: 0.5px solid black;"/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator		
RRC AND OAH USE ONLY			
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:			

FILED

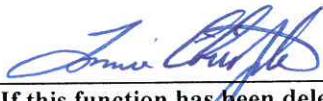
2018 JUN 14 AM 10:09

OFFICE OF ADMIN HEARINGS

SUBMISSION FOR PERMANENT RULE

ORIGINAL

6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03L .0603 Impairment of Financial Requirements	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  <hr style="width: 80%; margin: 0 auto;"/> </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS

2018 JUN 14 AM 10:09

FILED

ORIGINAL
6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0101 Definitions

3. Action:
 ADOPTION AMENDMENT REPEAL READOPTIO

4. Rule exempt from RRC review?
 Yes. Cite authority:
 No

5. Rule automatically subject to legislative review?
 Yes. Cite authority:
 No

6. Notice for Proposed Rule:
 Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: <https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx>
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
 Notice not required under G.S.:
Adoption by Agency on:

OFFICE OF ADMIN HEARING
2018 JUN 14 AM 10:09
FILED

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
 Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
 No

8. Fiscal impact (check all that apply):
 State funds affected
 Environmental permitting of DOT affected and analysis submitted to Board of Transportation
 Local funds affected
 Substantial economic impact (≥\$1,000,000)
 Approved by OSBM
 No fiscal note required

9. REASON FOR ACTION


9A. What prompted this action? Check all that apply:
 Agency
 Court order / cite:
 Federal statute / cite:
 Federal regulation / cite:

Legislation enacted by the General Assembly
Cite Session Law: 2013-413
 Petition for rule-making
 Other:

9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.

10. Rule-making Coordinator: Lonnie Christopher
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Raleigh, NC 27699-4309
Phone: (919) 715-7438
E-Mail: lchristopher@nccob.gov

Agency Contact, if any: Katie Bosken
Phone: (919) 715-0082
E-Mail: kbosken@nccob.gov


11. Signature of Agency Head* or Rule-making Coordinator:

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Lonnie Christopher
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
 RRC extended period of review:
 RRC determined substantial changes:
 Withdrawn by agency
 Subject to Legislative Review
 Other:


SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0201 Application	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:10
FILED

ORIGINAL
6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0202 Nontransferability	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2018 JUN 14 AM 10:10
FILED

6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0203 Name Changes

3. Action:
 ADOPTION AMENDMENT REPEAL READOPTIO

4. Rule exempt from RRC review?
 Yes. Cite authority:
 No

5. Rule automatically subject to legislative review?
 Yes. Cite authority:
 No

6. Notice for Proposed Rule:
 Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: <https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx>
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
 Notice not required under G.S.:
Adoption by Agency on:

FILED
2018 JUN 14 AM 10:10
OFFICE OF ADMIN HEARINGS

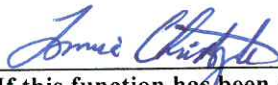
7. Rule establishes or increases a fee? (See G.S. 12-3.1)
 Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
 No

8. Fiscal impact (check all that apply):
 State funds affected
 Environmental permitting of DOT affected and analysis submitted to Board of Transportation
 Local funds affected
 Substantial economic impact (≥\$1,000,000)
 Approved by OSBM
 No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
 Agency
 Court order / cite:
 Federal statute / cite:
 Federal regulation / cite:
 Legislation enacted by the General Assembly
Cite Session Law: 2013-413
 Petition for rule-making
 Other:
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.

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E-Mail: lchristopher@nccob.gov
Agency Contact, if any: Katie Bosken
Phone: (919) 715-0082
E-Mail: kbosken@nccob.gov

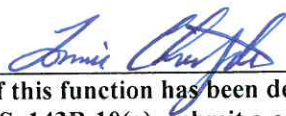
11. Signature of Agency Head* or Rule-making Coordinator:

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Typed Name: Lonnie Christopher
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
 RRC extended period of review:
 RRC determined substantial changes:
 Withdrawn by agency
 Subject to Legislative Review
 Other:

ORIGINAL
6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0204 Experience	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2018 JUN 14 AM 10:10
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks

2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0205 Financial Responsibility

3. Action:
[] ADOPTION [] AMENDMENT [] REPEAL [X] READOPTIO

4. Rule exempt from RRC review?
[] Yes. Cite authority:
[X] No

5. Rule automatically subject to legislative review?
[] Yes. Cite authority:
[X] No

6. Notice for Proposed Rule:
[X] Notice Required
Notice of Text published on: April 2, 2018
Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx
Hearing on: May 5, 2018
Adoption by Agency on: June 13, 2018
[] Notice not required under G.S.:
Adoption by Agency on:

OFFICE OF ADMIN HEARINGS
2018 JUN 14 AM 10:19
FILED

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
[] Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
[X] No

8. Fiscal impact (check all that apply):
[] State funds affected
[] Environmental permitting of DOT affected and analysis submitted to Board of Transportation
[] Local funds affected
[] Substantial economic impact (>=\$1,000,000)
[] Approved by OSBM
[X] No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
[X] Agency
[] Court order / cite:
[] Federal statute / cite:
[] Federal regulation / cite:
[X] Legislation enacted by the General Assembly
Cite Session Law: 2013-413
[] Petition for rule-making
[] Other:

9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.

10. Rule-making Coordinator: Lonnie Christopher
Address: 4309 Mail Service Center
Raleigh, NC 27699-4309
Phone: (919) 715-7438
E-Mail: lchristopher@nccob.gov
Agency Contact, if any: Katie Bosken
Phone: (919) 715-0082
E-Mail: kbosken@nccob.gov


11. Signature of Agency Head* or Rule-making Coordinator:
[Signature]
*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Typed Name: Lonnie Christopher
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
[] RRC extended period of review:
[] RRC determined substantial changes:
[] Withdrawn by agency
[] Subject to Legislative Review
[] Other:

6/14/18

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0206 Surety Bond	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0402 Information Security Breaches	

3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No

6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	2018 JUN 14 AM 10:10 OFFICE OF ADMIN HEARINGS FILED
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply:	
<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	

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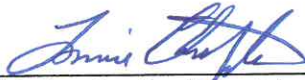
RRC AND OAH USE ONLY	
Action taken:	
<input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0403 Termination of Operations or Employment	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;"></div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

2018 JUN 14 AM 10:10
 OFFICE OF ADMIN HEARINGS
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0602 Seller Discounts for use of Affiliated Mortgage Lender or Broker	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIOX	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:11
 FILED

6/14/18

SUBMISSION FOR PERMANENT RULE

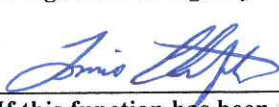
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0701 Transfer of Servicing Rights	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS

2018 JUN 14 AM 10:11

FILED

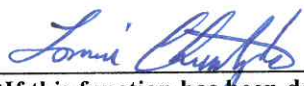
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0702 Requirements for Mortgage Servicers to Communicate Effectively with Borrowers Regarding Loss Mitigation	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:11
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SUBMISSION FOR PERMANENT RULE

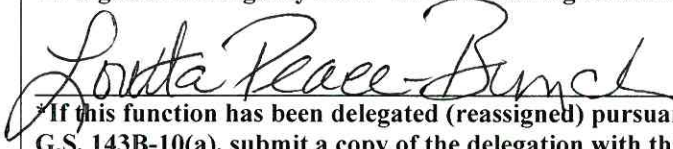
ORIGINAL
6/14/18

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 03M .0703 Cessation of Foreclosure Activities During Pendency of Loss Mitigation Request	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/ReadoptionofRules.aspx Hearing on: May 5, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-413 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: This rule is being amended as part of the readoption of rules contained in Section 04 NCAC 03 to clarify the language, improve readability, update the rule to current practices, delete unnecessary and outdated language, or make technical changes requested by staff at rule review during their preliminary review.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN. HEARINGS
2018 JUN 14 AM 10:11
FILED

U-28-10
ORIGINAL


SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Department of Insurance	
2. Rule citation & name (name not required for repeal): 11 NCAC 20 .0202	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 15, 2018 Link to Agency notice: http://www.ncdoi.com/LS/Rules.aspx Hearing on: April 18, 2018 Adoption by Agency on: May 21, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: Pursuant to the periodic review and expiration of existing rules as set forth in G.S. 150B21.3A(c)(2)g the Department of Insurance is initiating the readoption process for 11 NCAC 20 .0202 and .0204.	
10. Rule-making Coordinator: Loretta Peace-Bunch Address: 1201 Mail Service Center Raleigh, North Carolina Phone: 919-807-6004 E-Mail: loretta.peace-bunch@ncdoi.gov Agency Contact, if any: John Hoomani Phone: 919-807-6093 E-Mail: john.hoomani@ncdoi.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Loretta Peace-Bunch Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS
2018 JUN 20 PM 4:38
FILED

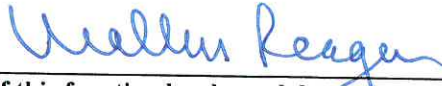
SUBMISSION FOR PERMANENT RULE

6-20-18
ORIGINAL

1. Rule-Making Agency: North Carolina Department of Insurance	
2. Rule citation & name (name not required for repeal): 11 NCAC 20 .0204	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

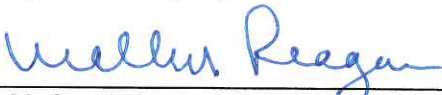
FILED
 2018 JUN 20 PM 4: 38
 OFFICE OF ADMIN HEARINGS

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15B .0217 Fill or Refill Original Containers	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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9B. Explain: To adopt a permanent rule to replace the temporary rule that became effective November 28, 2017 regulating the sale of malt beverages and wine in containers filled by retail establishments holding certain ABC permits as a result of amendments to the law made by the General Assembly in S.L. 2017-87, Section 5.	
10. Rule-making Coordinator: Walker Reagan Address: 400 East Tryon Road Raleigh, NC 27610 Phone: 919-779-8367 E-Mail: walker.reagan@abc.nc.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Walker Reagan Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


 OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:01
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15B .0220 Dispensing Alcoholic Beverages: Product Identification	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


 OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:01
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15C .0302 Labels to be Submitted to Commission	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: To adopt a permanent rule to replace the temporary rule that became effective November 28, 2017 regulating the sale of malt beverages and wine in containers filled by retail establishments holding certain ABC permits as a result of amendments to the law made by the General Assembly in S.L. 2017-87, Section 5.	
10. Rule-making Coordinator: Walker Reagan Address: 400 East Tryon Road Raleigh, NC 27610 Phone: 919-779-8367 E-Mail: walker.reagan@abc.nc.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr style="width: 80%; margin: 0 auto;"/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Walker Reagan Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


 OFFICE OF ADMIN HEARINGS
 2018 JUN 14 AM 10:01
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15C .0303 Label Contents: Malt Beverages	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: To adopt a permanent rule to replace the temporary rule that became effective November 28, 2017 regulating the sale of malt beverages in containers filled by retail establishments holding certain ABC permits as a result of amendments to the law made by the General Assembly in S.L. 2017-87, Section 5.	
10. Rule-making Coordinator: Walker Reagan Address: 400 East Tryon Road Raleigh, NC 27610 Phone: 919-779-8367 E-Mail: walker.reagan@abc.nc.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Walker Reagan Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

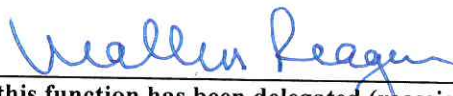
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15C .0304 Label Contents: Wine	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: To adopt a permanent rule to replace the temporary rule that became effective November 28, 2017 regulating the sale of wine in containers filled by retail establishments holding certain ABC permits as a result of amendments to the law made by the General Assembly in S.L. 2017-87, Section 5.	
10. Rule-making Coordinator: Walker Reagan Address: 400 East Tryon Road Raleigh, NC 27610 Phone: 919-779-8367 E-Mail: walker.reagan@abc.nc.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr style="width: 80%; margin: 0 auto;"/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Walker Reagan Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15C .0307 Growlers	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: To adopt a permanent rule to replace the temporary rule that became effective November 28, 2017 regulating the sale of malt beverages and wine in containers filled by retail establishments holding certain ABC permits as a result of amendments to the law made by the General Assembly in S.L. 2017-87, Section 5.	
10. Rule-making Coordinator: Walker Reagan Address: 400 East Tryon Road Raleigh, NC 27610 Phone: 919-779-8367 E-Mail: walker.reagan@abc.nc.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr style="width: 80%; margin: 0 auto;"/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Walker Reagan Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

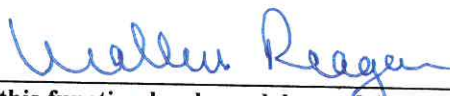
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15C .0308 Growlers: Cleaning, Sanitizing, Filling and Sealing	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: To adopt a permanent rule to replace the temporary rule that became effective November 28, 2017 regulating the sale of malt beverages and wine in containers filled by retail establishments holding certain ABC permits as a result of amendments to the law made by the General Assembly in S.L. 2017-87, Section 5.	
10. Rule-making Coordinator: Walker Reagan Address: 400 East Tryon Road Raleigh, NC 27610 Phone: 919-779-8367 E-Mail: walker.reagan@abc.nc.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Walker Reagan Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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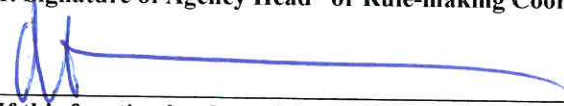
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Alcoholic Beverage Control Commission	
2. Rule citation & name (name not required for repeal): 14B NCAC 15C .0403 Containers	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 2, 2018 Link to Agency notice: www.abc.nc.gov Hearing on: June 13, 2018 Adoption by Agency on: June 13, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: To adopt a permanent rule to replace the temporary rule that became effective November 28, 2017 regulating the sale of wine in containers filled by retail establishments holding certain ABC permits as a result of amendments to the law made by the General Assembly in S.L. 2017-87, Section 5.	
10. Rule-making Coordinator: Walker Reagan Address: 400 East Tryon Road Raleigh, NC 27610 Phone: 919-779-8367 E-Mail: walker.reagan@abc.nc.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Walker Reagan Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2018 JUN 14 AM 10:01
 OFFICE OF ADMIN HEARINGS


JUN 19 2018

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Board of Barber Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 06F .0101 - Physical Structure	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: April 16, 2018 Link to Agency notice: https://www.ncbarbers.com/news.html Hearing on: May 3, 2018 Adoption by Agency on: June 19, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The amendment would allow barber schools located within jail or prison facilities to have a minimum of five rather than ten barber chairs. The agency proposed this amendment in response to an informal request by Mecklenburg County and a member of the regulated community.	
10. Rule-making Coordinator: Dennis Seavers Address: 5809 Departure Dr Ste 102, Raleigh, NC 27616 Phone: 919-814-0641 E-Mail: dseavers@ncbarbers.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Dennis Seavers Title: Executive Director
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

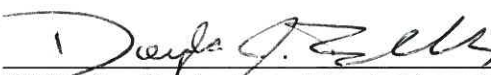
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2018 JUN 19 PM 2: 56
OFFICE OF ADMIN HEARINGS

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0202 GENERAL ANESTHESIA EQUIPMENT AND CLINICAL REQUIREMENTS	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board is proposing amendments to 21 NCAC 16Q.0202 to ensure consistency across Subchapter 16Q.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

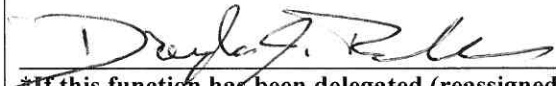
 OFFICE OF ADMIN HEARINGS
 2018 JUN 15 AM 11:23
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0204 PROCEDURE FOR GENERAL ANESTHESIA EVALUATION OR INSPECTION and RE-inspection	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0204(a) to clarify that a dentist seeking a general anesthesia permit must pay a \$375.00 fee when it involves both an evaluation and inspection and requires at least two inspectors. The provision also clarifies that if an on-site inspection only requires an equipment and facility check, it can be accomplished by a single evaluator and will require payment of a \$275.00 fee. The Board proposes to amend 21 NCAC 16Q .0204(b) to reduce from \$375.00 to \$275.00 the inspection fee of any additional location to administer anesthesia because it involves only an equipment and facility check done by a single evaluator. This does not constitute a fee increase, but a request for consultation was submitted along with other rules that contain fee increases.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator </div>
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

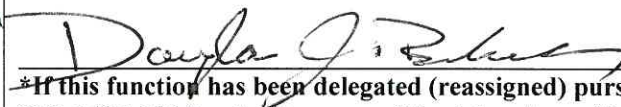
 2018 JUN 15 AM 11:24
 OFFICE OF ADMIN HEARING
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0206 ITINERANT (Mobile) GENERAL ANESTHESIA PERMIT, EQUIPMENT AND EVALUATION	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input checked="" type="checkbox"/> Yes Agency submitted request for consultation on: January 25, 2018 Consultation not required. Cite authority: <input type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0206(a) to require that a dentist seeking an itinerant (mobile) general anesthesia permit pay a \$275.00 inspection fee. This proposed fee would cover the Board's cost of the inspection associated with this permit. Under the current rule, the dentist must only pay a \$100.00 application fee. The proposed amendment would increase the total fee for seeking an itinerant (mobile) general anesthesia permit by \$275.00.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

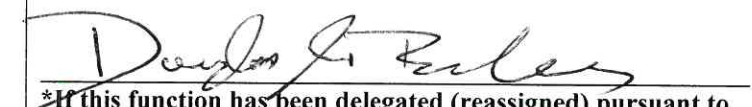
OFFICE OF ADMIN HEARINGS
 2018 JUN 15 AM 11:24
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0301 CREDENTIALS AND PERMITS FOR MODERATE PARENTERAL AND ENTERAL CONSCIOUS SEDATION	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0301 to establish that a moderate conscious sedation permit holder may administer moderate conscious sedation in another dentist's office, provided he or she complies with certain inspection and permitting requirements.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator </div>
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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 2018 JUN 15 AM 11:25
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0302 MODERATE PARENTERAL AND ENTERAL CONSCIOUS SEDATION CLINICAL REQUIREMENTS AND EQUIPMENT	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0302 to ensure consistency across Subchapter Q.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0305 ANNUAL RENEWAL of MODERATE PARENTERAL AND ENTERAL CONSCIOUS SEDATION PERMIT REQUIRED	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input checked="" type="checkbox"/> Yes Agency submitted request for consultation on: January 25, 2018 Consultation not required. Cite authority: <input type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0305(a) and(b). The amendment to Rule .0305(a) would reduce from a \$100 to a \$50.00 late fee when the moderate conscious sedation permit holder submits a renewal application and renewal fee after January 31 of each year, which previously was in subsection (b). The amendments to Rule .0305(b) would impose a \$100.00 annual renewal fee for permit holders seeking to renew the new itinerant (mobile) moderate conscious sedation permit, as well as a \$50.00 late fee when the new itinerant (mobile) moderate conscious sedation permit holder submits a renewal application and renewal fee after January 31 of each year. The Board proposes other amendments to this rule to cover the itinerant moderate conscious sedation permit.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  <hr style="width: 80%; margin: 0 auto;"/> </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0404 CREDENTIALS AND PERMITS FOR MODERATE PEDIATRIC CONSCIOUS SEDATION	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0404 to establish that a moderate pediatric conscious sedation permit holder may administer moderate pediatric conscious sedation in another dentist's office, provided he or she complies with certain inspection and permitting requirements.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0407 ANNUAL RENEWAL OF MODERATE PEDIATRIC CONSCIOUS SEDATION PERMIT REQUIRED	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input checked="" type="checkbox"/> Yes Agency submitted request for consultation on: January 25, 2018 Consultation not required. Cite authority: <input type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0407 to impose a \$100.00 annual renewal fee for permit holders seeking to renew the mobile moderate pediatric conscious sedation permit, as well as a \$50.00 late fee when the mobile moderate pediatric conscious sedation permit holder submits a renewal application and renewal fee after January 31 of each year. The current rule does not provide for a renewal and late fee because this is a proposed new permit.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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2018 JUN 15 AM 11:30
FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0408 PROCEDURE FOR MODERATE PEDIATRIC SEDATION EVALUATION OR INSPECTION and RE-INSPECTION	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTON	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0408 to reduce the inspection fee from \$375.00 to \$275.00.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center;"></div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

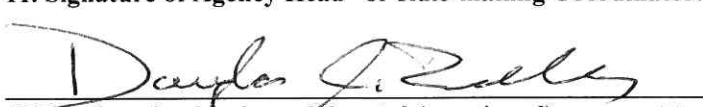
2018 JUN 15 AM 11:31
 OFFICE OF ADMIN HEARINGS
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0501 ANNUAL RENEWAL REQUIRED	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0501 to make the content of the rule consistent with the other rule amendments that are being proposed. The amendment also reduces a late fee for permit renewals from \$100.00 to \$50.00.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

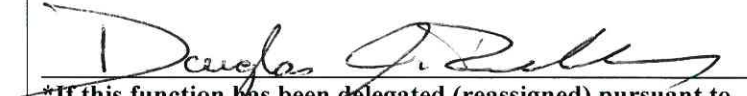
OFFICE OF ADMIN HEARINGS
 2018 JUN 15 AM 11:31
 FILED

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0502 PAYMENT OF FEES	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO N	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0502 because it is no longer necessary in light of the other proposed amendments to Subchapter Q.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2018 JUN 15 AM 11:31
 FILED


SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Dental Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Q .0503 INSPECTION AUTHORIZED	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 15, 2018 Link to Agency notice: www.ncdentalboard.org Hearing on: March 8, 2018 Adoption by Agency on: May 17, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Board proposes to amend 21 NCAC 16Q .0503 to make the content of the rule consistent with the other rule amendments that are being proposed, and specifically, to include the itinerant permits.	
10. Rule-making Coordinator: Douglas Brocker, Esq. Address: 2000 Perimeter Park Drive, Suite 160 Morrisville, North Carolina 27560 Phone: 919-854-2460 E-Mail: doug@brockerlawfirm.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Douglas Brocker, Esq. Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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SUBMISSION FOR PERMANENT RULE

ORIGINAL

1. Rule-Making Agency: North Carolina Appraisal Board	
2. Rule citation & name (name not required for repeal): 21 NCAC 57A .0501 Appraisal Standards	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 1, 2018 Link to Agency notice: http://ncappraisalboard.org/RuleMaking.pdf?rulemaking=Proposed+Rules Hearing on: April 24, 2018 Adoption by Agency on: June 9, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
9B. Explain: The Uniform Standards of Professional Appraisal Practice (USPAP) was amended effective January 1, 2018. This amendment brings the Board rule into conformity with the current edition of USPAP.	
10. Rule-making Coordinator: Roberta Ouellette Address: 5830 Six Forks Road Raleigh, NC 27609 Phone: 919-870-4854 E-Mail: Roberta@ncab.org Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-bottom: 5px;">  </div> _____ *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Roberta Ouellette Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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