

G.S. 150B-21.3A Report for 11 NCAC 14, ADMISSION REQUIREMENTS

Agency - INSURANCE/ ADMISSION REQUIREMENTS

Comment Period - 5/16/14 - 7/16/14

Date Submitted to APO - Filled in by RRC staff - November 24, 2014

Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
SECTION .0200 - FORMATION OF DOMESTIC INSURANCE COMPANY	11 NCAC 14 .0201	INFORMATION REQUIRED DURING PRE-INCORPORATION	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0202	INFORMATION REQUIRED AFTER ORGANIZATIONAL MEETING	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0203	INITIAL CAPITALIZATION	Readopted Eff. January 22, 1980	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
SECTION .0400 - DESCRIPTION OF FORMS	11 NCAC 14 .0409	BIOGRAPHICAL AFFIDAVIT	Amended Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0416	POWER OF ATTORNEY FOR SERVICE OF LEGAL PROCESS	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0417	POWER OF ATTORNEY FOR SALE OF SECURITIES	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0427	APPLICATION FOR REGISTRATION AS A PURCHASING GROUP	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0428	APPL. FOR REG. AS A FOREIGN LIABILITY RISK RETENTION GROUP	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0429	RISK RETENTION GROUP PLAN OF OPERATION	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
SECTION .0500 - ADMISSION OF A FOREIGN OR ALIEN INSURANCE COMPANY	11 NCAC 14 .0502	ANNUAL STATEMENTS, REPORTS ON EXAMINATION	Amended Eff. April 1, 1993	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0503	AUTHORIZED LINES OF BUSINESS FOR A FOREIGN COMPANY	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0506	EXCEPTION TO IN FORCE POLICY REQUIREMENTS	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
SECTION .0600 - SURPLUS LINES	11 NCAC 14 .0601	LIST OF ELIGIBLE COMPANIES	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0602	REQUESTS FOR SURPLUS LINES ELIGIBILITY	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0603	FINANCIAL INFORMATION REQUIRED	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0604	REQUIREMENTS FOR CONTINUED ELIGIBILITY	Amended Eff. April 1, 1993	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0605	DELETION FROM ELIGIBLE COMPANY LIST	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
SECTION .0700 - FEDERAL RISK RETENTION ACT ENTITIES	11 NCAC 14 .0701	FOREIGN RISK RETENTION GROUP REGISTRATION	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0702	PURCHASING GROUP FILING REQUIREMENTS	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0703	APPOINTMENT OF COMMISSIONER AS ATTORNEY TO ACCEPT SERVICE	Eff. April 1, 1990	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 14 .0704	UPDATES AND AMENDMENTS TO FILINGS	Amended Eff. July 1, 2004	Unnecessary	No	N/A	No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code