

G.S. 150B-21.3A Report for 11 NCAC Chapter 13, Agent Services Division - Non-Insurance Entities

Agency - Department of Insurance

Comment Period - 12/01/2015 through 02/01/2016

Date Submitted to APO - April 25, 2016

Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
SECTION .0300 - INSURANCE PREMIUM FINANCE COMPANIES	11 NCAC 13 .0301	FORMS	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0302	PREMIUM FINANCE SURETY BOND AMOUNT	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0303	PREMIUM FINANCE LICENSING PROCEDURES	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0305	CANCELLATION OF SURETY BOND	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0306	FORMS TO BE APPROVED	Amended Eff. May 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0307	CHANGE IN OWNERSHIP OR MANAGEMENT AND IN CERTAIN EMPLOYEES	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0308	ANALYSIS OF CONTRACTS	Amended Eff. March 1, 2012	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0310	BOOKS AND RECORDS	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0311	BRANCH OFFICE PERMIT	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0312	SALE, ASSIGNMENT OR TRANSFER OF PREMIUM FINANCE AGREEMENTS	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0313	ADDITIONAL BALANCES ADDED TO FINANCE AGREEMENTS	Amended Eff. May 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0314	ADDITIONAL BALANCES ADDED TO FINANCE AGREEMENTS: 60 DAYS	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0315	ADDITIONAL BALANCES ADDED TO AGREEMENT AFTER 60 DAYS	Amended Eff. July 1, 1986	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0316	ADDITIONAL BALANCE NOTICE TO INSURED	Amended Eff. May 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0317	TEN-DAY NOTICE	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0318	NOTICE OF CANCELLATION	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0319	EFFECTIVE DATE OF CANCELLATION	Amended Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0320	INSTALLMENT PAYMENTS MADE BY INSURED	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

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	11 NCAC 13 .0321	SIGHT DRAFT AND CHECK FORMS	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0322	INSURANCE COMPANY NOTIFIED OF FINANCED POLICY	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0323	COMPUTATION OF SERVICE CHARGES	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0324	DISHONORED CHECKS	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0325	MULTIPLE CONTRACTS	Eff. May 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0326	PREMIUM FINANCE AGREEMENT TYPE STANDARD	Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
SECTION .0400 - MOTOR CLUBS	11 NCAC 13 .0401	APPLICATION FORM FOR MOTOR CLUBS	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0402	MOTOR CLUB LICENSE RENEWAL FORM	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0403	MOTOR CLUB LICENSING PROCEDURES	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0404	RENEWAL OF MOTOR CLUB LICENSE	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0405	SURETY BOND	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0407	CLAIM REGISTER TO BE MAINTAINED	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0408	RESERVE TO BE MAINTAINED	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0409	MOTOR CLUB NOT AN INSURANCE COMPANY	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0410	SALESMEN TO BE LICENSED INSURANCE AGENTS	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0411	NOTICE TO COMMISSIONER	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
SECTION .0500 - BAIL BONDSMEN AND RUNNERS	11 NCAC 13 .0501	APPLICATION FOR BAIL BONDSMEN OR RUNNER LICENSE	Amended Eff. May 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

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	11 NCAC 13 .0502	BAIL BONDSMEN OR RUNNER RENEWAL FORM	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0503	BAIL BONDSMAN OR RUNNER LICENSING PROCEDURES	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0504	RENEWAL OF BAIL BONDSMAN OR RUNNER LICENSE	Amended Eff. April 8, 2002	Unnecessary	No		No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	11 NCAC 13 .0505	BAIL BOND CERTIFICATION SEAL	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0506	BAIL BOND REGISTER	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0507	SECURITY DEPOSIT BY PROFESSIONAL BONDSMAN	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0508	STOCKS OR BONDS AS SECURITY	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0511	LICENSED PROFESSIONAL BONDSMAN AFFIDAVIT OF SURETY	Readopted Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0512	COLLATERAL SECURITY REQUIRED BY BONDSMEN	Amended Eff. March 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0513	ALTERATION OF AFFIDAVIT OF SURETY	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0515	RECEIPT FOR ALL FEES	Eff. January 1, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0517	TERMINATION OF RUNNER BY SURETY	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0519	FINGERPRINTS REQUIRED FOR CRIMINAL RECORD CHECKS	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0520	PRELICENSING EDUCATION: DEFINITIONS FOR BAIL BOND PRELICENSING AND CONTINUING EDUCATION	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0521	BAIL BOND MONTHLY REPORT	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0522	CONTINUING EDUCATION REQUIREMENTS	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0523	QUALIFICATION FOR BCEC	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

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	11 NCAC 13 .0524	BCEC EXTENSION OF TIME: HARDSHIP	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0526	APPROVAL OF BCEC COURSES	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0528	SANCTIONS FOR BCEC NONCOMPLIANCE BY LICENSEES, COURSE PROVIDERS AND INSTRUCTORS	Eff. November 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0530	ISSUANCE OF AND CONTINUATION BCEC OF PROVIDER APPROVAL	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0532	EXAMINATIONS: SPECIAL ACCOMMODATIONS (AMERICANS WITH DISABILITIES ACT)	Eff. October 1, 2010	Necessary without substantive public interest	Yes If yes, include the citation to the federal law	AMERICANS WITH DISABILITIES ACT OF 1990, AS AMENDED, Sec. 12112	No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0533	SCHEDULE OF EXAMINATIONS	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0534	CERTIFICATE OF COURSE COMPLETION	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0535	EXAMINATION AUTHORIZATION LETTER	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0536	RESPONSIBILITY OF APPLICANT AT EXAMINATION SITE	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0537	ADMINISTRATION OF EXAMINATION	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0539	BAIL BOND PRELICENSING EDUCATION PROVIDER	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0541	BAIL BOND PRELICENSING EDUCATION COURSES	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	11 NCAC 13 .0542	BAIL BOND PRELICENSING AND BCEC INSTRUCTORS	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note