

G.S. 150B-21.3A Report for 11 NCAC CHAPTER 06, AGENT SERVICES DIVISION

Agency - Department of Insurance

Comment Period -12/01/2015 through 02/01/2016

Date Submitted to APO - April 25, 2016

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
SUBCHAPTER 06A - AGENT SERVICES DIVISION	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 06A .0101	DEFINITIONS	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0200 - DESCRIPTION OF FORMS	11 NCAC 06A .0201	GENERAL INFORMATION	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0210	N.C. RESIDENT BROKER'S INSURANCE BOND	Amended Eff. February 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0212	LICENSES	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0219	APPLICATION TO DETERMINE ELIGIBILITY FOR DESIGNATED AGENT	Amended Eff. October 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0220	DESIGNATED AGENT BOND	Eff. February 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0237	FOREIGN MILITARY SALES AGENT LICENSE APPLICATION	Eff. February 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0300 - EXAMINATIONS	11 NCAC 06A .0302	EXAMINATIONS – SPECIAL ACCOMMODATIONS (ADA)	Amended Eff. April 1, 2003	Necessary without substantive public interest	Yes If yes, include the citation to the federal law	AMERICANS WITH DISABILITIES ACT OF 1990, AS AMENDED, Sec. 12112	No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0303	SCHEDULE OF EXAMINATIONS	Amended Eff. February 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0304	RESPONSIBILITY OF APPLICANT AT EXAMINATION SITE	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0305	ADMINISTRATION OF EXAMINATION	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0400 - LICENSING PROCEDURES	11 NCAC 06A .0402	LICENSING OF RESIDENT AGENT, LTD REPRESENTATIVE AND ADJUSTER	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0404	LICENSING OF BROKER	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0412	APPOINTMENT OF AGENT: RESPONSIBILITY OF COMPANY	Amended Eff. April 1, 2003	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0416	LICENSING OF RENTAL CAR COMPANIES	Eff. March 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0417	REQUIREMENTS FOR PRE NEED LIMITED REP. AGENT	Amended Eff. April 1, 2003	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0418	FINGERPRINTS REQUIRED FOR CRIMINAL RECORD CHECKS	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0500 - RENEWAL AND CANCELLATION OF LICENSES	11 NCAC 06A .0501	RENEWAL OF AGENT APPTS: LICENSES/LIMITED REPS	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0504	FAILURE TO RENEW LICENSE	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0505	TERMINATION OF APPOINTMENTS FOR LIMITED REPS	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

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		11 NCAC 06A .0506	CANCELLATION OF LICENSES ISSUED TO INDIVIDUALS	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	<b>SECTION .0600 - DENIAL OF LICENSE</b>	11 NCAC 06A .0602	COURT RECORDS AND AFFIDAVITS REQUIRED	Amended Eff. April 1, 2003	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0603	EVALUATION OF RECORDS AND AFFIDAVITS	Amended Eff. February 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	<b>SECTION .0700 - PRELICENSING EDUCATION</b>	11 NCAC 06A .0701	GENERAL REQUIREMENTS	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0702	PRELICENSING EDUCATION SCHOOLS	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0703	PROGRAM DIRECTORS	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0704	COURSES	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0705	INSTRUCTORS	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	<b>SECTION .0800 - CONTINUING EDUCATION</b>	11 NCAC 06A .0801	DEFINITIONS	Amended Eff. March 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0802	LICENSEE REQUIREMENTS	Amended Eff. June 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0803	COURSES SPECIFICALLY APPROVED	Amended Eff. January 1, 2007	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0804	CARRYOVER CREDIT	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0805	CALCULATION OF ICECS	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0807	HARDSHIP	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0808	INSTRUCTOR QUALIFICATION	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0809	APPROVAL OF COURSES	Amended Eff. March 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0810	ADVERTISING	Amended Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0811	SANCTIONS FOR NONCOMPLIANCE	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0812	SPECIAL CASES	Amended Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

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		11 NCAC 06A .0813	ISSUANCE/CONTINUATION OF PROVIDER APPROVAL	Amended Eff. February 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 06A .0814	AGENT EDUCATION ON LTCP POLICIES: COMPANY RESPONSIBILITY	Eff. March 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0900 – PUBLIC ADJUSTERS	11 NCAC 06A .0906	FINGERPRINTS REQUIRED FOR CRIMINAL RECORD CHECKS	Eff. October 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note