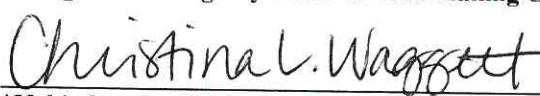
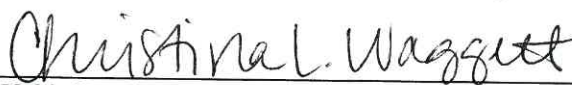


## SUBMISSION FOR PERMANENT RULE

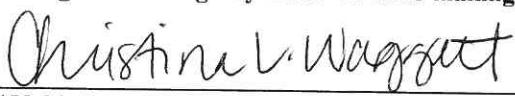
1. Rule-Making Agency: North Carolina Board of Agriculture	
2. Rule citation & name (name not required for repeal): 02 NCAC 09B .0116 Adoptions by Reference	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: October 16, 2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: March 1, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           9A. What prompted this action? Check all that apply:  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input checked="" type="checkbox"/> Federal regulation / cite: 21 CFR Parts 112, 117         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <div style="margin-top: 10px;">           9B. Explain:            The Produce Safety Rule, 21 C.F.R. Part 112, helps ensure the safety of produce, help prevent and contain the spread of disease, and protect consumers. On May 9, 2016, NCDA&amp;CS submitted a letter of intent to the FDA to adopt the FDA Produce Safety Rule in its entirety and federal funding is contingent on the adoption of the rule. The Human PC Rule, 21 C.F.R. Part 117, creates new requirements for the production of human food and revises previous requirements. For example, the rule requires certain domestic and foreign facilities to establish and implement hazard analysis and risk-based preventive controls for human food, modifies FDA's long-standing current good manufacturing practices, and clarifies the scope of the exemption for farms. The adoptions incorporate the federal regulations by reference, including definitions to help implement them.         </div>	
10. Rule-making Coordinator: Christina Waggett Address: 1001 Mail Service Center, Raleigh, North Carolina 27699-1001 Phone: 919-707-3008 E-Mail: Christina.Waggett@ncagr.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Christina Waggett Title: Federal Liason, Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Board of Agriculture	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 09B .0134 Defining Establishment	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: October 16, 2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: March 1, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input checked="" type="checkbox"/> Federal regulation / cite: 21 CFR Parts 112, 117         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To effectively implement both the Produce Safety and the Human PC Rules, NCDA&CS is proposing to define the term "establishment" to include farms, adopt a rule to clarify that failure to comply with the Produce Safety Rule and the Human PC Rule may result in adulteration or misbranding, and define "processed food", "major food allergen," and "knowingly" or "knew." As rules adopted under the North Carolina Food, Drug and Cosmetic Act, the Produce Safety Rule and Human PC Rule will be subject to state enforcement under the Act.	
<b>10. Rule-making Coordinator:</b> Christina Waggett <b>Address:</b> 1001 Mail Service Center, Raleigh, North Carolina 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.Waggett@ncagr.gov">Christina.Waggett@ncagr.gov</a> <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">   <hr style="width: 100%;"/> </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p><b>Typed Name:</b> Christina Waggett  <b>Title:</b> Federal Liason, Rule-making Coordinator</p>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

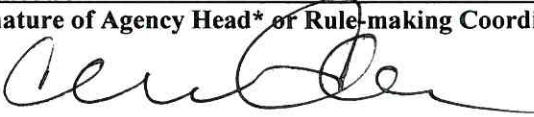
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 OFFICE OF ADMIN HEARINGS

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Board of Agriculture	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 09B .0135 Adulteration and Misbranding	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: October 16, 2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: March 1, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input checked="" type="checkbox"/> Federal regulation / cite: 21 CFR Parts 112, 117         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To effectively implement both the Produce Safety and the Human PC Rules, NCDA&CS is proposing to define the term "establishment" to include farms, adopt a rule to clarify that failure to comply with the Produce Safety Rule and the Human PC Rule may result in adulteration or misbranding, and define "processed food", "major food allergen," and "knowingly" or "knew." As rules adopted under the North Carolina Food, Drug and Cosmetic Act, the Produce Safety Rule and Human PC Rule will be subject to state enforcement under the Act.	
<b>10. Rule-making Coordinator:</b> Christina Waggett <b>Address:</b> 1001 Mail Service Center, Raleigh, North Carolina 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> Christina.Waggett@ncagr.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Christina Waggett <b>Title:</b> Federal Liason, Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10A .0107, COMPUTATION OF TIME AND NOTICE BY THE COMMISSION	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10A0107Notice-011618.pdf">http://www.ic.nc.gov/proposed10A0107Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: S.L. 2017-57, Section 15.17  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> In the Appropriations Act of 2017, Session Law 2017-57, Section 15.17, the General Assembly amended provisions of N.C. Gen. Stat. § 97-86 pertaining to the method of sending notice of Commission awards. Previously, the statute allowed for notice to be sent by registered or certified mail. Currently, the statute, as amended, specifies that notice shall be sent by any class of U.S. mail that is fully prepaid or electronic mail. Therefore, the Commission is engaging in permanent rulemaking to establish a time certain for the sending of notices pursuant to N.C. Gen. Stat. § 97-86. In addition, the Commission made other formatting and technical corrections to the rule, which do not affect the substance of the rule.	
<b>10. Rule-making Coordinator:</b> Kendall Bourdon <b>Address:</b> 1240 Mail Service Center, Raleigh, NC 27699-1240 <b>Phone:</b> (919) 807-2644 <b>E-Mail:</b> <a href="mailto:kendall.bourdon@ic.nc.gov">kendall.bourdon@ic.nc.gov</a>  <b>Agency Contact, if any:</b> Meredith Henderson <b>Phone:</b> (919) 807-2575 <b>E-Mail:</b> <a href="mailto:meredith.henderson@ic.nc.gov">meredith.henderson@ic.nc.gov</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Charlton L. Allen <b>Title:</b> Chairman of the Industrial Commission
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



Original 3/20/18

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Industrial Commission	
2. Rule citation & name (name not required for repeal): 04 NCAC 10M .0101, PURPOSE AND APPLICABILITY OF THE RULES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
9B. Explain: In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.	

## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Kendall Bourdon  
**Address:** 1240 Mail Service Center, Raleigh, NC 27699-1240  
**Phone:** (919) 807-2644  
**E-Mail:** kendall.bourdon@ic.nc.gov

**Agency Contact, if any:** Meredith Henderson  
**Phone:** (919) 807-2575  
**E-Mail:** meredith.henderson@ic.nc.gov

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:** Charlton L. Allen  
**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission			
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0102, DEFINITIONS			
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION			
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No		
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:			
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required		
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </td> <td style="width: 50%; vertical-align: top;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </td> </tr> </table>		<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2017-203, Section 4 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:
<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2017-203, Section 4 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:		
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.			

 FILED  
 2018 MAR 20 PM 4:41  
 OFFICE OF ADMIN HEARINGS

## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Kendall Bourdon  
**Address:** 1240 Mail Service Center, Raleigh, NC 27699-1240  
**Phone:** (919) 807-2644  
**E-Mail:** kendall.bourdon@ic.nc.gov

**Agency Contact, if any:** Meredith Henderson  
**Phone:** (919) 807-2575  
**E-Mail:** meredith.henderson@ic.nc.gov

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:** Charlton L. Allen  
**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0103, WAIVER OF RULES	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other: </div> </div>	
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.	

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 OFFICE OF ADMIN HEARINGS

## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Kendall Bourdon  
**Address:** 1240 Mail Service Center, Raleigh, NC 27699-1240  
**Phone:** (919) 807-2644  
**E-Mail:** kendall.bourdon@ic.nc.gov

**Agency Contact, if any:** Meredith Henderson  
**Phone:** (919) 807-2575  
**E-Mail:** meredith.henderson@ic.nc.gov

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:** Charlton L. Allen  
**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0201, FIRST PRESCRIPTION OF MEDICATION FOR PAIN IN AN ACUTE PHASE	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.  After thorough consideration of all public comments received, the Commission made changes to 04 NCAC 10M .0201. These changes were in response to public comments, and are intended to clarify the rule text.	


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## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Kendall Bourdon  
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**Phone:** (919) 807-2644  
**E-Mail:** kendall.bourdon@ic.nc.gov

**Agency Contact, if any:** Meredith Henderson  
**Phone:** (919) 807-2575  
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**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:** Charlton L. Allen

**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0202, PRESCRIPTION OF MEDICATION FOR PAIN IN AN ACUTE PHASE FOLLOWING THE FIRST PRESCRIPTION	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> January 16, 2018 <b>Link to Agency notice:</b> <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> <b>Hearing on:</b> March 2, 2018 <b>Adoption by Agency on:</b> March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.  After thorough consideration of all public comments received, the Commission made changes to 04 NCAC 10M .0202. These changes were in response to public comments, and are intended to clarify the rule text.	

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## SUBMISSION FOR PERMANENT RULE

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**E-Mail:** kendall.bourdon@ic.nc.gov

**Agency Contact, if any:** Meredith Henderson  
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**E-Mail:** meredith.henderson@ic.nc.gov

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:** Charlton L. Allen  
**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0203, PRESCRIPTION OF MEDICATION FOR PAIN IN A CHRONIC PHASE	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.  After thorough consideration of all public comments received, the Commission made changes to 04 NCAC 10M .0203. These changes were in response to public comments, and are intended to clarify the rule text.	

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 OFFICE OF ADMIN HEARINGS



## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Kendall Bourdon  
**Address:** 1240 Mail Service Center, Raleigh, NC 27699-1240  
**Phone:** (919) 807-2644  
**E-Mail:** kendall.bourdon@ic.nc.gov

**Agency Contact, if any:** Meredith Henderson  
**Phone:** (919) 807-2575  
**E-Mail:** meredith.henderson@ic.nc.gov

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:** Charlton L. Allen

**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0301, CO-PRESCRIPTION OF OPIOID ANTAGONIST	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> January 16, 2018 <b>Link to Agency notice:</b> <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> <b>Hearing on:</b> March 2, 2018 <b>Adoption by Agency on:</b> March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.	

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## SUBMISSION FOR PERMANENT RULE

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**Address:** 1240 Mail Service Center, Raleigh, NC 27699-1240  
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**Agency Contact, if any:** Meredith Henderson  
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**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:** Charlton L. Allen  
**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
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- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0401, NONPHARMACOLOGICAL TREATMENT FOR PAIN	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.	

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**Typed Name:** Charlton L. Allen

**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Industrial Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 10M .0501, TREATMENT FOR SUBSTANCE USE DISORDER INVOLVING A TARGETED CONTROLLED SUBSTANCE	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10M.Notice-011618.pdf">http://www.ic.nc.gov/proposed10M.Notice-011618.pdf</a> Hearing on: March 2, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: Session Law 2017-203, Section 4  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> In Session Law 2017-203, Section 4.(a), the General Assembly instructed the Industrial Commission to adopt rules and guidelines, consistent with N.C. Gen. Stat. § 97-25.4, for the utilization of opioids, related prescriptions, and pain management treatment. The proposed rules for the utilization of opioids and pain management in workers' compensation claims are proactive measures aimed at curtailing opioid misuse and addiction in workers' compensation claims. The adopted rules submitted to the Rules Review Commission are promulgated to ensure that injured workers are provided the services and care intended by the Workers' Compensation Act and that medical costs are adequately contained. Additionally, the adopted rules are intended to facilitate the timely and effective delivery of appropriate medical treatment for pain management in workers' compensation claims.  Further, in Session Law 2017-203, Section 4.(b), the General Assembly exempted the Industrial Commission from the fiscal note requirement of N.C. Gen. Stat. § 150B-21.4 in developing and implementing the rules and guidelines for opioids, related prescriptions, and pain management treatment. Therefore, in accordance with this waiver, no fiscal note is required for these rules.	

 FILED  
 2018 MAR 20 PM 4:44  
 OFFICE OF ADMIN HEARINGS



## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Kendall Bourdon  
**Address:** 1240 Mail Service Center, Raleigh, NC 27699-1240  
**Phone:** (919) 807-2644  
**E-Mail:** kendall.bourdon@ic.nc.gov

**Agency Contact, if any:** Meredith Henderson  
**Phone:** (919) 807-2575  
**E-Mail:** meredith.henderson@ic.nc.gov

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

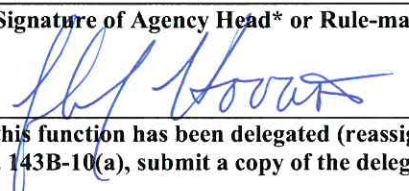
**Typed Name:** Charlton L. Allen  
**Title:** Chairman of the Industrial Commission

### RRC AND OAH USE ONLY

**Action taken:**

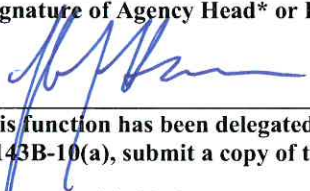
- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Cape Fear River Navigation and Pilotage Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 15 .0119 Number of Pilots	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 07/01/16 Link to Agency notice: www.cfpilots.com Hearing on: N/A Adoption by Agency on: 05/25/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> 04 NCAC 15 .0119 is readopted as published in 31:01 NCR 10-11 as follows: Without substantive change	
<b>10. Rule-making Coordinator:</b> John Harriss <b>Address:</b> 3905 Oleander Drive, Suite 2 Wilmington, NC 28403 <b>Phone:</b> 910-395-4911 <b>E-Mail:</b> john@harrissententerprises.com <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b> <b>Typed Name:</b> John Harriss <b>Title:</b> Secretary
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2018 MAR 20 AM 11:41  
 OFFICE OF ADMIN HEARINGS

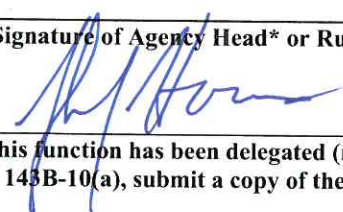
# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Cape Fear River Navigation and Pilotage Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 15 .0121 Apprenticeship	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 07/01/16 Link to Agency notice: www.cfpilots.com Hearing on: N/A Adoption by Agency on: 05/25/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> 04 NCAC 15 .0121 is readopted as published in 31:01 NCR 10-11 as follows: Without substantive change.	
<b>10. Rule-making Coordinator:</b> John Harriss <b>Address:</b> 3905 Oleander Drive, Suite 2 Wilmington, NC 28403 <b>Phone:</b> 910-395-4911 <b>E-Mail:</b> john@harrissententerprises.com <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> John Harriss <b>Title:</b> Secretary
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

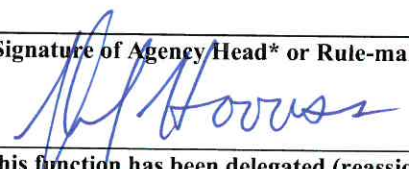


# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Cape Fear Rivewr Navigation and Pilotage Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 15 .0123 Incidents or Accidents Involving Pilots	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 07/01/16 Link to Agency notice: www.cfpilots.com Hearing on: N/A Adoption by Agency on: 05/25/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> 04 NCAC 15 .0123 is readopted as published in 31:01 NCR 10-11 as follows: Without substantive change.	
<b>10. Rule-making Coordinator:</b> John Harriss <b>Address:</b> 3905 Oleander Drive, Suite 2 Wilmington, NC 28403 <b>Phone:</b> 910-395-4911 <b>E-Mail:</b> john@harrissententerprises.com <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b> <b>Typed Name:</b> John Harriss <b>Title:</b> Secretary
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

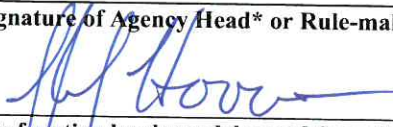
# SUBMISSION FOR PERMANENT RULE

FILED  
 2018 MAR 20 AM 11:43  
 OFFICE OF ADMIN HEARINGS

<b>1. Rule-Making Agency:</b> Cape Fear River navigation and Pilotage Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 15 .0124 Movement of Vessels	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> 07/01/16 <b>Link to Agency notice:</b> www.cfpilots.com <b>Hearing on:</b> N/A <b>Adoption by Agency on:</b> 05/25/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:                 </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                      Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:                 </div> </div> <b>9B. Explain:</b> 04 NCAC 15 .0124 is readopted as published in 31:01 NCR 10-11 as follows: Without substantive change.	
<b>10. Rule-making Coordinator:</b> John Harriss <b>Address:</b> 3905 Oleander Dr, Suite 2 Wilmington, NC 28403 <b>Phone:</b> 910-395-4911 <b>E-Mail:</b> john@harrissententerprises.com <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> John Harriss <b>Title:</b> Secretary
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

# SUBMISSION FOR PERMANENT RULE

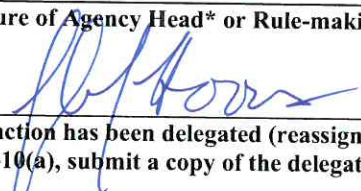
FILED  
 2018 MAR 20 AM 11:43  
 OFFICE OF ADMIN HEARINGS

<b>1. Rule-Making Agency:</b> Cape Fear River Navigation and Pilotage Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 15 .0127 Pilotage Rates	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 07/01/16 Link to Agency notice: www.cfpilots.com Hearing on: N/A Adoption by Agency on: 05/25/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> 04 NCAC 15 .0127 is readopted as published in 31:01 NCR 10-11 as follows: Without substantive change.	
<b>10. Rule-making Coordinator:</b> John Harriss <b>Address:</b> 3905 Oleander Drive, Suite 2 Wilmington, NC 28403 <b>Phone:</b> 910-395-4911 <b>E-Mail:</b> john@harrissententerprises.com <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> John Harriss <b>Title:</b> Secretary
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	




# SUBMISSION FOR PERMANENT RULE

FILED  
 2018 MAR 20 AM 11:43  
 OFFICE OF ADMINISTRATIVE HEARINGS

<b>1. Rule-Making Agency:</b> Cape Fear River Navigation and Pilotage Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 15 .0128 Fees	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 07/01/16 Link to Agency notice: www.cfpilots.com Hearing on: N/A Adoption by Agency on: 05/25/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> 04 NCAC 15 .0128 is readopted as published in 31:01 NCR 10-11 as follows: Without substantive change.	
<b>10. Rule-making Coordinator:</b> John Harriss <b>Address:</b> 3905 Oleander Drive, Suite 2 Wilmington, NC 28403 <b>Phone:</b> 910-395-4911 <b>E-Mail:</b> john@harrissententerprises.com <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> John Harriss <b>Title:</b> Secretary
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE


 ORIGINAL

<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10B .0123 Possession of Certain Species of Wildlife Resources	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 1, 2017 Link to Agency notice: <a href="http://www.ncwildlife.org/Proposed-Regulations">http://www.ncwildlife.org/Proposed-Regulations</a> <b>Hearing on:</b> January 9, 2018 at Bladen Community College, Dublin, N.C. January 10, 2018 at Old Alamance County Courthouse, Graham, N.C. 27253 January 11, 2018 at Stanly County Agri-Civic Center, Albemarle, NC 28001 January 23, 2018 at John A. Holmes High School, Edenton, NC 27932 January 24, 2018 at Craven Community College, New Bern, N.C. 28562 January 25, 2018 at Nash Community College, Rocky Mount, N.C. 27804 February 15, 2018 at Haywood Community College, Clyde, N.C. 28721 February 21, 2018 at Elkin High School, Elkin N.C. 28621 February 22, 2018 at Western Piedmont Community College, Morganton, NC 28655 Adoption by Agency on: February 28, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
<b>9B. Explain:</b> Every year the Wildlife Resources Commission adjusts the regulation of hunting, trapping, fishing, and land use through rule-making.	
<b>10. Rule-making Coordinator: Carrie Ruhlman</b> <b>Address:</b> 1701 Mail Service Center, NCWRC Raleigh, NC 27699-1701 <b>Phone:</b> 919-707-0011 <b>E-Mail:</b> <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Carrie A Ruhlman <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	

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 2018 MAR 13 AM 10:58  
 OFFICE OF ADMIN HEARINGS



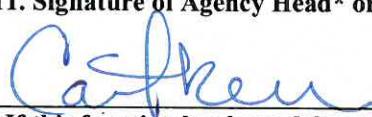
# SUBMISSION FOR PERMANENT RULE ORIGINAL

<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10B .0202 Bear	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 1, 2017 Link to Agency notice: <a href="http://www.ncwildlife.org/Proposed-Regulations">http://www.ncwildlife.org/Proposed-Regulations</a> <b>Hearing on:</b> January 9, 2018 at Bladen Community College, Dublin, N.C. January 10, 2018 at Old Alamance County Courthouse, Graham, N.C. 27253 January 11, 2018 at Stanly County Agri-Civic Center, Albemarle, NC 28001 January 23, 2018 at John A. Holmes High School, Edenton, NC 27932 January 24, 2018 at Craven Community College, New Bern, N.C. 28562 January 25, 2018 at Nash Community College, Rocky Mount, N.C. 27804 February 15, 2018 at Haywood Community College, Clyde, N.C. 28721 February 21, 2018 at Elkin High School, Elkin N.C. 28621 February 22, 2018 at Western Piedmont Community College, Morganton, NC 28655 Adoption by Agency on: February 28, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> Every year the Wildlife Resources Commission adjusts the regulation of hunting, trapping, fishing, and land use through rule-making.	
<b>10. Rule-making Coordinator: Carrie Ruhlman</b> Address: 1701 Mail Service Center, NCWRC Raleigh, NC 27699-1701 Phone: 919-707-0011 E-Mail: <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  Agency Contact, if any: Phone: E-Mail:	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Carrie A Ruhlman Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	




## SUBMISSION FOR PERMANENT RULE

ORIGINAL

<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10B .0203 Deer (White-tailed)	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on: December 1, 2017</b> <b>Link to Agency notice: <a href="http://www.ncwildlife.org/Proposed-Regulations">http://www.ncwildlife.org/Proposed-Regulations</a></b> <b>Hearing on:</b> January 9, 2018 at Bladen Community College, Dublin, N.C. January 10, 2018 at Old Alamance County Courthouse, Graham, N.C. 27253 January 11, 2018 at Stanly County Agri-Civic Center, Albemarle, NC 28001 January 23, 2018 at John A. Holmes High School, Edenton, NC 27932 January 24, 2018 at Craven Community College, New Bern, N.C. 28562 January 25, 2018 at Nash Community College, Rocky Mount, N.C. 27804 February 15, 2018 at Haywood Community College, Clyde, N.C. 28721 February 21, 2018 at Elkin High School, Elkin N.C. 28621 February 22, 2018 at Western Piedmont Community College, Morganton, NC 28655 <b>Adoption by Agency on: February 28, 2018</b> <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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<b>10. Rule-making Coordinator: Carrie Ruhlman</b> <b>Address:</b> 1701 Mail Service Center, NCWRC Raleigh, NC 27699-1701 <b>Phone:</b> 919-707-0011 <b>E-Mail:</b> <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Carrie A Ruhlman <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	

## SUBMISSION FOR PERMANENT RULE

 ORIGINAL


<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10B .0207 Rabbits	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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<b>RRC AND OAH USE ONLY</b>	

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## SUBMISSION FOR PERMANENT RULE


 ORIGINAL

<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal): 15A NCAC 10B .0211 Pheasant (Nonnative Varieties)</b>	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 1, 2017 Link to Agency notice: <a href="http://www.ncwildlife.org/Proposed-Regulations">http://www.ncwildlife.org/Proposed-Regulations</a> <b>Hearing on:</b> January 9, 2018 at Bladen Community College, Dublin, N.C. January 10, 2018 at Old Alamance County Courthouse, Graham, N.C. 27253 January 11, 2018 at Stanly County Agri-Civic Center, Albemarle, NC 28001 January 23, 2018 at John A. Holmes High School, Edenton, NC 27932 January 24, 2018 at Craven Community College, New Bern, N.C. 28562 January 25, 2018 at Nash Community College, Rocky Mount, N.C. 27804 February 15, 2018 at Haywood Community College, Clyde, N.C. 28721 February 21, 2018 at Elkin High School, Elkin N.C. 28621 February 22, 2018 at Western Piedmont Community College, Morganton, NC 28655 Adoption by Agency on: February 28, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> Every year the Wildlife Resources Commission adjusts the regulation of hunting, trapping, fishing, and land use through rule-making.	
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<b>RRC AND OAH USE ONLY</b>	



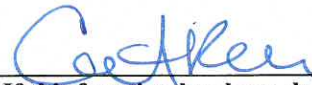
## SUBMISSION FOR PERMANENT RULE



<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal): 15A NCAC 10B .0212 Foxes (Gray and Red)</b>	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	

## SUBMISSION FOR PERMANENT RULE

 ORIGINAL


<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10B .0224 American Alligator	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READoption</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> December 1, 2017 <b>Link to Agency notice:</b> <a href="http://www.ncwildlife.org/Proposed-Regulations">http://www.ncwildlife.org/Proposed-Regulations</a> <b>Hearing on:</b> January 9, 2018 at Bladen Community College, Dublin, N.C. January 10, 2018 at Old Alamance County Courthouse, Graham, N.C. 27253 January 11, 2018 at Stanly County Agri-Civic Center, Albemarle, NC 28001 January 23, 2018 at John A. Holmes High School, Edenton, NC 27932 January 24, 2018 at Craven Community College, New Bern, N.C. 28562 January 25, 2018 at Nash Community College, Rocky Mount, N.C. 27804 February 15, 2018 at Haywood Community College, Clyde, N.C. 28721 February 21, 2018 at Elkin High School, Elkin N.C. 28621 February 22, 2018 at Western Piedmont Community College, Morganton, NC 28655 <b>Adoption by Agency on:</b> February 28, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> <b>Yes</b> Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> <b>No</b>	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> <b>State funds affected</b> <input type="checkbox"/> <b>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</b> <input type="checkbox"/> <b>Local funds affected</b> <input type="checkbox"/> <b>Substantial economic impact (≥\$1,000,000)</b> <input checked="" type="checkbox"/> <b>Approved by OSBM</b> <input type="checkbox"/> <b>No fiscal note required</b>
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<b>RRC AND OAH USE ONLY</b>	

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## SUBMISSION FOR PERMANENT RULE

 ORIGINAL

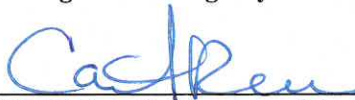
<b>1. Rule-Making Agency:</b> North Carolina Wildlife Resources Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10C .0205 Public Mountain Trout Waters	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	

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## SUBMISSION FOR PERMANENT RULE




<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal): 15A NCAC 10C .0305 Black Bass</b>	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
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<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 1, 2017 Link to Agency notice: <a href="http://www.ncwildlife.org/Proposed-Regulations">http://www.ncwildlife.org/Proposed-Regulations</a> <b>Hearing on:</b> January 9, 2018 at Bladen Community College, Dublin, N.C. January 10, 2018 at Old Alamance County Courthouse, Graham, N.C. 27253 January 11, 2018 at Stanly County Agri-Civic Center, Albemarle, NC 28001 January 23, 2018 at John A. Holmes High School, Edenton, NC 27932 January 24, 2018 at Craven Community College, New Bern, N.C. 28562 January 25, 2018 at Nash Community College, Rocky Mount, N.C. 27804 February 15, 2018 at Haywood Community College, Clyde, N.C. 28721 February 21, 2018 at Elkin High School, Elkin N.C. 28621 February 22, 2018 at Western Piedmont Community College, Morganton, NC 28655 Adoption by Agency on: February 28, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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<b>10. Rule-making Coordinator: Carrie Ruhlman</b> <b>Address:</b> 1701 Mail Service Center, NCWRC Raleigh, NC 27699-1701 <b>Phone:</b> 919-707-0011 <b>E-Mail:</b> <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Carrie A Ruhlman <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	

 OFFICE OF ADMIN HEARING  
 2018 MAR 13 AM 11:00  
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## SUBMISSION FOR PERMANENT RULE

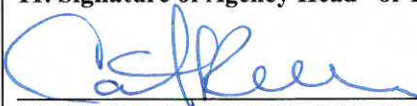
ORIGINAL

<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal): 15A NCAC 10C .0316 Trout</b>	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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<b>9B. Explain:</b> Every year the Wildlife Resources Commission adjusts the regulation of hunting, trapping, fishing, and land use through rule-making.	
<b>10. Rule-making Coordinator: Carrie Ruhlman</b> <b>Address:</b> 1701 Mail Service Center, NCWRC Raleigh, NC 27699-1701 <b>Phone:</b> 919-707-0011 <b>E-Mail:</b> <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Carrie A Ruhlman <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	



## SUBMISSION FOR PERMANENT RULE

ORIGINAL


<b>1. Rule-Making Agency:</b> North Carolina Wildlife Resources Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10C .0401 Manner of Taking Nongame Fishes: Purchase and Sale	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 1, 2017 Link to Agency notice: <a href="http://www.ncwildlife.org/Proposed-Regulations">http://www.ncwildlife.org/Proposed-Regulations</a> <b>Hearing on:</b> January 9, 2018 at Bladen Community College, Dublin, N.C. January 10, 2018 at Old Alamance County Courthouse, Graham, N.C. 27253 January 11, 2018 at Stanly County Agri-Civic Center, Albemarle, NC 28001 January 23, 2018 at John A. Holmes High School, Edenton, NC 27932 January 24, 2018 at Craven Community College, New Bern, N.C. 28562 January 25, 2018 at Nash Community College, Rocky Mount, N.C. 27804 February 15, 2018 at Haywood Community College, Clyde, N.C. 28721 February 21, 2018 at Elkin High School, Elkin N.C. 28621 February 22, 2018 at Western Piedmont Community College, Morganton, NC 28655 Adoption by Agency on: February 28, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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<b>10. Rule-making Coordinator:</b> Carrie Ruhlman <b>Address:</b> 1701 Mail Service Center, NCWRC Raleigh, NC 27699-1701 <b>Phone:</b> 919-707-0011 <b>E-Mail:</b> <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Carrie A Ruhlman <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	

 FILED  
 2018 MAR 13 AM 11:00  
 OFFICE OF ADMIN HEARINGS




## SUBMISSION FOR PERMANENT RULE

ORIGINAL

<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10C .0402 Taking Nongame Fishes for Bait or Personal Consumption	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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<b>RRC AND OAH USE ONLY</b>	

## SUBMISSION FOR PERMANENT RULE

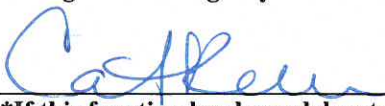
ORIGINAL

<b>1. Rule-Making Agency:</b> North Carolina Wildlife Resources Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 15A NCAC 10D .0103 Hunting on Game Lands	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	



## SUBMISSION FOR PERMANENT RULE

 ORIGINAL

<b>1. Rule-Making Agency: North Carolina Wildlife Resources Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal): 15A NCAC 10D .0104 Fishing on Game Lands</b>	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	

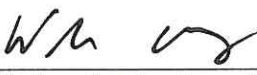
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 OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

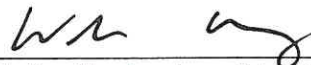
3/19/2018

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 06B .0104 COMPLETING A RETURN	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: 03/12/2018	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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<b>10. Rule-making Coordinator:</b> William Young <b>Address:</b> PO Box 871 Raleigh, NC 27602 <b>Phone:</b> 919-814-1177 <b>E-Mail:</b> william.young@ncdor.gov  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <div style="text-align: center;">  </div> <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> William Young <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

FILED  
2018 MAR 19 AM 10:46  
OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

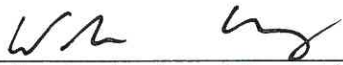
ORIGINAL  
3/19/2018

1. Rule-Making Agency: North Carolina Department of Revenue	
2. Rule citation & name (name not required for repeal): 17 NCAC 06B .3501 GENERAL	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: 03/12/2018	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           9A. What prompted this action? Check all that apply:  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: The Agency elected to amend the rule.	
10. Rule-making Coordinator: William Young Address: PO Box 871 Raleigh, NC 27602 Phone: 919-814-1177 E-Mail: william.young@ncdor.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: William Young Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



# SUBMISSION FOR PERMANENT RULE


ORIGINAL  
3/19/2018

1. Rule-Making Agency: North Carolina Department of Revenue	
2. Rule citation & name (name not required for repeal): 17 NCAC 06B .3503 PARTNERSHIP RETURNS	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: 03/12/2018	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



# SUBMISSION FOR PERMANENT RULE

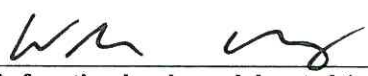
ORIGINAL  
3/19/2018

1. Rule-Making Agency: North Carolina Department of Revenue	
2. Rule citation & name (name not required for repeal): 17 NCAC 06B .3513 NONRESIDENT PARTNERS	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: 03/12/2018	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;">9. REASON FOR ACTION</div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           9A. What prompted this action? Check all that apply:  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: The Agency elected to amend the rule.	
10. Rule-making Coordinator: William Young Address: PO Box 871 Raleigh, NC 27602 Phone: 919-814-1177 E-Mail: william.young@ncdor.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: William Young Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

FILED  
2018 MAR 19 AM 10:47  
OFFICE OF ADMIN HEARING

# SUBMISSION FOR PERMANENT RULE

ORIGINAL  
3/19/2018

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 06B .3716 INCOME TAX RETURN FOR ESTATES AND TRUSTS	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: 03/12/2018	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The Agency elected to amend the rule.	
<b>10. Rule-making Coordinator:</b> William Young <b>Address:</b> PO Box 871 Raleigh, NC 27602 <b>Phone:</b> 919-814-1177 <b>E-Mail:</b> william.young@ncdor.gov  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> William Young <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

FILED  
2018 MAR 19 AM 10:47  
OFFICE OF ADMIN HEARING



# SUBMISSION FOR PERMANENT RULE

ORIGINAL  
3/19/2018

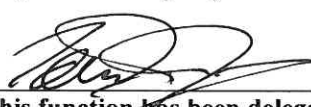
<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 06C .0124 ADDITIONAL WITHHOLDING ALLOWANCES	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: 03/12/2018	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The Agency elected to amend the rule.	
<b>10. Rule-making Coordinator:</b> William Young <b>Address:</b> PO Box 871 Raleigh, NC 27602 <b>Phone:</b> 919-814-1177 <b>E-Mail:</b> william.young@ncdor.gov  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;"> </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> William Young <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

 FILED  
2018 MAR 19 AM 10:47  
OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

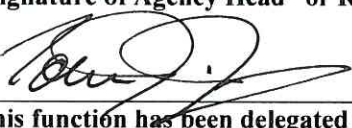
ORIGINAL

1. Rule-Making Agency: NCDOT Division of Motor Vehicles	
2. Rule citation & name (name not required for repeal): 19A NCAC 03D .0219	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 1, 2017 Link to Agency notice: <a href="http://www.ncdot.gov/about/regulations/rules">www.ncdot.gov/about/regulations/rules</a> Hearing on: Thursday, March 23, 2017 Adoption by Agency on: March 12, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required G.S. 20-297. Section 2
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law: S.L. 2016-74  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: Session Law 2016-74 amended G.S. 20-297 to allow motor vehicle dealers to maintain required business records in an electronic, (rather than paper), format. This legislation also directs the Division of Motor Vehicles to adopt rules consistent with the act's provisions. These proposed rules amend current authority to clarify that dealers may maintain electronic records and direct the manner in which such record are to be disclosed to the Division.	
10. Rule-making Coordinator: Helen Landi Address: 1501 MSC Raleigh, NC 27601 Phone: 919-707-2830 E-Mail: <a href="mailto:hlandi@ncdot.gov">hlandi@ncdot.gov</a>  Agency Contact, if any: Charles Church Phone: 919-861-3053 E-Mail: <a href="mailto:cechurch@ncdot.gov">cechurch@ncdot.gov</a>	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center;">   <hr style="width: 100%;"/> </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Torre Jessup Title: Commissioner, NCDOT Division of Motor Vehicles
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

 FILED  
 2018 MAR 16 PM 12:35  
 OFFICE OF ADMIN HEARING

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

1. Rule-Making Agency: NCDOT Division of Motor Vehicles	
2. Rule citation & name (name not required for repeal): 19A NCAC 03D .0237	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<div style="float: right; text-align: right; color: blue; font-weight: bold;">             FILED              2018 MAR 16 PM 12:34              OFFICE OF ADMIN HEARINGS           </div> 6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 1, 2017 Link to Agency notice: <a href="http://www.ncdot.gov/about/regulations/rules">www.ncdot.gov/about/regulations/rules</a> Hearing on: Thursday, March 23, 2017 Adoption by Agency on: March 12, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required G.S. 20-297. Section 2
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:             </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law: S.L. 2016-74  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:             </div> </div> 9B. Explain: Session Law 2016-74 amended G.S. 20-297 to allow motor vehicle dealers to maintain required business records in an electronic, (rather than paper), format. This legislation also directs the Division of Motor Vehicles to adopt rules consistent with the act's provisions. These proposed rules amend current authority to clarify that dealers may maintain electronic records and direct the manner in which such record are to be disclosed to the Division.	
10. Rule-making Coordinator: Helen Landi Address: 1501 MSC Raleigh, NC 27601 Phone: 919-707-2830 E-Mail: <a href="mailto:hlandi@ncdot.gov">hlandi@ncdot.gov</a>  Agency Contact, if any: Charles Church Phone: 919-861-3053 E-Mail: <a href="mailto:cechurch@ncdot.gov">cechurch@ncdot.gov</a>	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin: 10px 0;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Torre Jessup Title: Commissioner, NCDOT Division of Motor Vehicles
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



Original 3/29/2018

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees (TSERS)	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0405 Anti-Pension Spiking Contribution-Based Benefit Cap Factor (TSERS)	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 2, 2018 Link to Agency notice: <a href="https://www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx">https://www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx</a> Hearing on: January 25, 2018 Adoption by Agency on: March 7, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input checked="" type="checkbox"/> Court order / cite: 17 CVS 1649  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: S.L. 2014-88  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
9B. Explain: <p>The Fiscal Integrity/Pension-Spiking Prevention Act, Session Law 2014-88, was enacted by the North Carolina General Assembly in 2014. The Act contained the contribution-based benefit cap (CBBC), which protects all employing public agencies participating in the Teachers' and State Employees' Retirement System (TSERS or the System) from absorbing the costs of certain unforeseen liabilities caused by compensation decisions made by other employing entities in the System. The law discourages the practice of "pension spiking" and also applies to liabilities caused by non-pension spiking factors such as significant late career promotions.</p> <p>The TSERS Board of Trustees adopted a contribution-based benefit cap factor based upon the recommendation of its actuary at its regular quarterly meeting in October 2015 based on an actuarial recommendation based on actual experience of 4.5. This factor went into effect for retirements commencing on or after January 1, 2016. Pursuant to this action by the Board of Trustees, the Retirement Systems Division (the "Division") has been administering the CBBC law since January 1, 2015.</p> <p>Litigation is currently pending by four local school boards against the TSERS Board of Trustees. On May 30, 2017, on petition of four school systems, a Wake County Superior Court judge ruled that adoption of the contribution-based cap factor requires rulemaking under the Administrative Procedure Act; this ruling has been appealed. The TSERS Board strongly disagrees with the ruling by the Superior Court; however, out of an abundance of caution, the System has decided to engage in rulemaking on the topic in the unlikely event that the four school systems prevail on appeal.</p>	

## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Laura Rowe  
**Address:** 3200 Atlantic Avenue, Raleigh, NC 27604

**Phone:** 919-814-3851

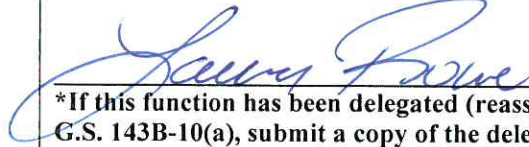
**E-Mail:** laura.rowe@nctreasurer.com

**Agency Contact, if any:** Christina Strickland

**Phone:** 919-814-4186

**E-Mail:** laura.rowe@nctreasurer.com

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:**

**Title:**

### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:



Original 3/20/2018

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Local Governmental Employees' Retirement System Board of Trustees (LGERS)	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 20 NCAC 02C .0405 Anti-Pension Spiking Contribution-Based Benefit Cap Factor (LGERS)	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READoption</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> <b>Yes. Cite authority:</b> <input checked="" type="checkbox"/> <b>No</b>	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> <b>Yes. Cite authority:</b> <input checked="" type="checkbox"/> <b>No</b>
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 2, 2018 Link to Agency notice: <a href="https://www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx">https://www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx</a> Hearing on: January 25, 2018 Adoption by Agency on: March 7, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> <b>Yes</b> Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> <b>No</b>	<b>8. Fiscal impact (check all that apply):</b> <input checked="" type="checkbox"/> <b>State funds affected</b> <input type="checkbox"/> <b>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</b> <input checked="" type="checkbox"/> <b>Local funds affected</b> <input checked="" type="checkbox"/> <b>Substantial economic impact (<math>\geq \\$1,000,000</math>)</b> <input checked="" type="checkbox"/> <b>Approved by OSBM</b> <input type="checkbox"/> <b>No fiscal note required</b>
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> <b>Agency</b> <input type="checkbox"/> <b>Court order / cite:</b> <input type="checkbox"/> <b>Federal statute / cite:</b> <input type="checkbox"/> <b>Federal regulation / cite:</b> <input checked="" type="checkbox"/> <b>Legislation enacted by the General Assembly</b> Cite Session Law: S.L. 2014-88 <input type="checkbox"/> <b>Petition for rule-making</b> <input type="checkbox"/> <b>Other:</b>	
<b>9B. Explain:</b> The Fiscal Integrity/Pension-Spiking Prevention Act, Session Law 2014-88, was enacted by the North Carolina General Assembly in 2014. The Act contained the contribution-based benefit cap (CBBC), which protects all employing public agencies participating in the Local Governmental Employees' Retirement System (LGERS or the System) from absorbing the costs of certain unforeseen liabilities caused by compensation decisions made by other employing entities in the System. The law discourages the practice of "pension spiking" and also applies to liabilities caused by non-pension spiking factors such as significant late career promotions.  The LGERS Board of Trustees adopted a contribution-based benefit cap factor based upon the recommendation of its actuary at its regular quarterly meeting in October 2015 based on an actuarial recommendation based on actual experience of 4.7. This factor went into effect for retirements commencing on or after January 1, 2016. Pursuant to this action by the Board of Trustees, the Retirement Systems Division (the "Division") has been administering the CBBC law since January 1, 2015.  Litigation is currently pending by four local school boards against the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees who also adopted a cap factor effective in 2016 pursuant to the CBBC law. On May 30, 2017, on petition of four school systems, a Wake County Superior Court judge ruled that adoption of the contribution-based cap factor requires rulemaking under the Administrative Procedure Act; this ruling has been appealed. The LGERS Board strongly disagrees with the ruling by the Superior Court; however, out of an abundance of caution, the System has decided to engage in rulemaking on the topic in the unlikely event that the four school systems prevail on appeal. The TSERS Board of Trustees is undergoing similar rulemaking.	

## SUBMISSION FOR PERMANENT RULE

**10. Rule-making Coordinator:** Laura Rowe  
**Address:** 3200 Atlantic Avenue, Raleigh, NC 27604

**Phone:** 919-814-3851

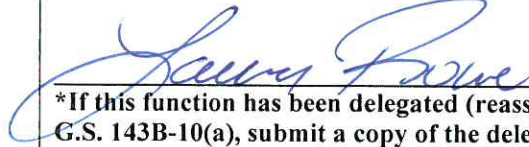
**E-Mail:** laura.rowe@nctreasurer.com

**Agency Contact, if any:** Christina Strickland

**Phone:** 919-814-4186

**E-Mail:** laura.rowe@nctreasurer.com

**11. Signature of Agency Head\* or Rule-making Coordinator:**



**\*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.**

**Typed Name:**

**Title:**

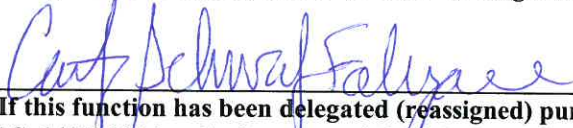
### RRC AND OAH USE ONLY

**Action taken:**

- ☐ RRC extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

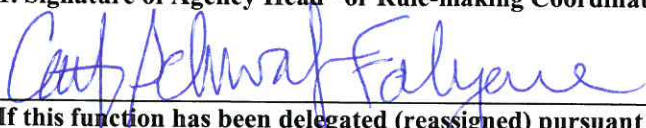


# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC Interpreter & Transliterator licensing Board	
2. Rule citation & name (name not required for repeal): 21 NCAC 25 .0205 RENEWAL OF A PROVISIONAL LICENSE	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: October 2, 2017 Link to Agency notice: <a href="http://www.ncitlb.org/">http://www.ncitlb.org/</a> Hearing on: 10/27/18 Adoption by Agency on: 2/9/18 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;">           9A. What prompted this action? Check all that apply:  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Public comment received before Periodic Rule Review         </div> </div> <p>9B. Explain: N.C. Gen. Stat. 90D-8 (Provisional License) allows for provisional licenses. This Rule implements the procedure for granting provisional licenses. The reason for the proposed re-adoption is that public comments were received within two years prior to the periodic rules review of all of the NCITLB's rules, and specifically while amending this Rule during those two years. Therefore, the law requires the NCITLB to re-adopt the Rule or else it will expire. This is a proposed re-adoption without any amendment or change whatsoever to the existing Rule.</p>	
10. Rule-making Coordinator: Caitlin Schwab-Falzone, Board Administrator Address: P.O. Box 20963, Raleigh, NC 27619 Phone: (919) 779-5709 E-Mail: NCITLB@caphill.com  Agency Contact, if any: John Green, Sp. Dep. A.G. Phone: (919) 716-6871 E-Mail: jgreen@ncdoj.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Caitlin Schwab-Falzone Title: Board Administrator
<b>RRC AND OAH USE ONLY</b>	
Action taken:	
<input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

 FILED  
 2018 MAR 16 PM 12:11  
 OFFICE OF ADMIN HEARING

# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> NC Interpreter & Transliterator licensing Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 25 .0501 CONTINUING EDUCATION REQUIREMENTS	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 1, 2017 Link to Agency notice: <a href="http://www.ncitlb.org/">http://www.ncitlb.org/</a> Hearing on: 2/9/18 and 2/23/18 Adoption by Agency on: 3/16/18 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> N.C. Gen. Stat. 90D-11 (License Renewal) requires "written proof of satisfactory completion of continuing education requirements adopted by the Board." Rule 21 NCAC 25 .0501 requires licensees to earn two Continuing Education Units ("CEUs") per licensure year and paragraph (b) thereof currently prohibits carrying over extra CEUs earned to the next licensure year. To allow licensees to take longer, more detailed CEU courses and seminars, which tend to be more expensive, the Board has proposed amending the Rule (paragraph (b) only) to allow carryover of CEUs to the next licensure year. The extra expense of longer, more detailed CEU courses can be better justified by the licensees if the Rule allows them to use the CEUs earned for two licensure years. The Board notes that the N.C. State Bar allows attorneys to carry over continuing education hours to the next year, so there is precedence for this allowance.	
<b>10. Rule-making Coordinator:</b> Caitlin Schwab-Falzone, Board Administrator Address: P.O. Box 20963, Raleigh, NC 27619 Phone: (919) 779-5709 E-Mail: NCITLB@caphill.com  Agency Contact, if any: John Green, Sp. Dep. A.G. Phone: (919) 716-6871 E-Mail: jgreen@ncdoj.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Caitlin Schwab-Falzone Title: Board Administrator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

 FILED  
 2018 MAR 16 PM 12:17  
 OFFICE OF ADMIN HEARINGS




# SUBMISSION FOR PERMANENT RULE

ORIGINAL  
3-20-2018

1. Rule-Making Agency: NC Medical Board	
2. Rule citation & name (name not required for repeal): 21 NCAC 32M .0117 Reporting Criteria	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 15, 2017 Link to Agency notice: <a href="http://www.ncmedboard.org/about_the_board/rule_changes">www.ncmedboard.org/about_the_board/rule_changes</a> Hearing on: February 13, 2018 Adoption by Agency on: March 15, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>9A. What prompted this action? Check all that apply:</p> <div style="display: flex; flex-wrap: wrap;"> <div style="width: 50%;"><input checked="" type="checkbox"/> Agency</div> <div style="width: 50%;"><input type="checkbox"/> Legislation enacted by the General Assembly</div> <div style="width: 50%;"><input type="checkbox"/> Court order / cite:</div> <div style="width: 50%;"><input type="checkbox"/> Cite Session Law:</div> <div style="width: 50%;"><input type="checkbox"/> Federal statute / cite:</div> <div style="width: 50%;"><input type="checkbox"/> Petition for rule-making</div> <div style="width: 50%;"><input type="checkbox"/> Federal regulation / cite:</div> <div style="width: 50%;"><input type="checkbox"/> Other:</div> </div> </div> <div style="width: 55%;"> <p>9B. Explain: Amendment increases the reporting criteria of data from the Controlled Substance Reporting System from one percent to two percent. In addition, the amendments further define reporting criteria for the DHHS for opioid poisoning where the prescriber authorized more than 30 tablets of an opioid to the decedent and the prescriptions were written within 60 days of the patient deaths.</p> </div> </div>	
10. Rule-making Coordinator: Wanda Long Address: NC Medical Board PO Box 20007, Raleigh, NC 27619 Phone: (919) 326-1109 ext 212 E-Mail: <a href="mailto:wanda.long@ncmedboard.org">wanda.long@ncmedboard.org</a>  Agency Contact, if any: Marcus Jimison Phone: (919)277-1844 E-Mail: <a href="mailto:marcus.jimison@ncmedboard.org">marcus.jimison@ncmedboard.org</a>	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center; font-family: cursive; font-size: 1.2em;">Wanda Long</div> <hr style="border: 0.5px solid black;"/> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p>Typed Name: Wanda Long          Title: Paralegal/Rules Coordinator</p>
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <div style="display: flex; flex-wrap: wrap;"> <div style="width: 50%;"><input type="checkbox"/> RRC extended period of review:</div> <div style="width: 50%;"><input type="checkbox"/> RRC determined substantial changes:</div> <div style="width: 50%;"><input type="checkbox"/> Withdrawn by agency</div> <div style="width: 50%;"><input type="checkbox"/> Subject to Legislative Review</div> <div style="width: 50%;"><input type="checkbox"/> Other:</div> </div>	

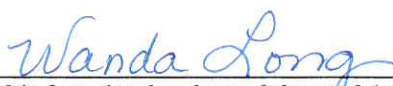
Original  
3/20/2018

## SUBMISSION FOR PERMANENT RULE

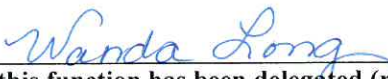
<b>1. Rule-Making Agency:</b> NC Medical Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 32M .0118 DEFINITION OF CONSULTATION FOR PRESCRIBING TARGETED CONTROLLED SUBSTANCES	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 15, 2017 Link to Agency notice: <a href="http://www.ncmedboard.org/about_the_board/rule_changes">www.ncmedboard.org/about_the_board/rule_changes</a> Hearing on: February 13, 2018 Adoption by Agency on: March 15, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: <b>9B. Explain:</b> This is being adopted to clearly define consultation between a nurse practitioner and a supervising physician. This rule is intended to provide guidance in order to comply with the STOP Act of 2017.	
<b>10. Rule-making Coordinator:</b> Wanda Long Address: NC Medical Board PO Box 20007, Raleigh, NC 27619 Phone: (919) 326-1109 ext 212 E-Mail: <a href="mailto:wanda.long@ncmedboard.org">wanda.long@ncmedboard.org</a>  Agency Contact, if any: Marcus Jimison Phone: (919)277-1844 E-Mail: <a href="mailto:marcus.jimison@ncmedboard.org">marcus.jimison@ncmedboard.org</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Wanda Long Title: Paralegal/Rules Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC Medical Board	
2. Rule citation & name (name not required for repeal): 21 NCAC 32S .0212 PRESCRIPTIVE AUTHORITY	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 15, 2017 Link to Agency notice: <a href="http://www.ncmedboard.org/about_the_board/rule_changes">www.ncmedboard.org/about_the_board/rule_changes</a> Hearing on: February 13, 2018 Adoption by Agency on: March 15, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly <input type="checkbox"/> Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This is being adopted to clarify the obligation of physician assistants to follow all federal and state requirements with regard to refills for controlled substances,	
10. Rule-making Coordinator: Wanda Long Address: NC Medical Board PO Box 20007, Raleigh, NC 27619 Phone: (919) 326-1109 ext 212 E-Mail: <a href="mailto:wanda.long@ncmedboard.org">wanda.long@ncmedboard.org</a>  Agency Contact, if any: Marcus Jimison Phone: (919)277-1844 E-Mail: <a href="mailto:marcus.jimison@ncmedboard.org">marcus.jimison@ncmedboard.org</a>	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Wanda Long Title: Paralegal/Rules Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> NC Medical Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 32S .0225 DEFINITION OF CONSULTATION FOR PRESCRIBING TARGETED CONTROLLED SUBSTANCES	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 15, 2017 Link to Agency notice: <a href="http://www.ncmedboard.org/about_the_board/rule_changes">www.ncmedboard.org/about_the_board/rule_changes</a> Hearing on: February 13, 2018 Adoption by Agency on: March 15, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This is being adopted to clearly define consultation between a physician assistant and a supervising physician. This rule is intended to provide guidance in order to comply with the STOP Act of 2017.	
<b>10. Rule-making Coordinator:</b> Wanda Long <b>Address:</b> NC Medical Board <b>PO Box</b> 20007, Raleigh, NC 27619 <b>Phone:</b> (919) 326-1109 ext 212 <b>E-Mail:</b> <a href="mailto:wanda.long@ncmedboard.org">wanda.long@ncmedboard.org</a>  <b>Agency Contact, if any:</b> Marcus Jimison <b>Phone:</b> (919)277-1844 <b>E-Mail:</b> <a href="mailto:marcus.jimison@ncmedboard.org">marcus.jimison@ncmedboard.org</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <div style="text-align: center; margin-bottom: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Wanda Long <b>Title:</b> Paralegal/Rules Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC Board of Nursing	
2. Rule citation & name (name not required for repeal): 21 NCAC 36 .0815 Reporting Criteria	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: December 15, 2017 Link to Agency notice: <a href="http://www.ncbon.com">www.ncbon.com</a> Hearing on: January 19, 2018 Adoption by Agency on: February 16, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: Amendment increases the reporting criteria of data from the Controlled Substance Reporting System from one percent to two percent. In addition, the amendments further define reporting criteria for the Department of Health and Human Services for opioid poisoning where the prescriber authorized more than 30 tablets of an opioid to the decedent and the prescriptions were written within 60 days of the patient deaths.	
10. Rule-making Coordinator: Angela Ellis Address: NC Board of Nursing PO Box 2129 Raleigh, NC 27602-2129 Phone: 919.782.3211 ext 259 E-Mail: <a href="mailto:angela@ncbon.com">angela@ncbon.com</a>  Agency Contact, if any: Julia L. George, RN, MSN, FRE Chief Executive Officer Phone: 919.782.3211 ext 250 E-Mail: <a href="mailto:julie@ncbon.com">julie@ncbon.com</a>	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center; font-family: cursive; font-size: 1.2em;">Angela Ellis</div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Angela Ellis Title: Chief Administrative Officer/Rulemaking Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

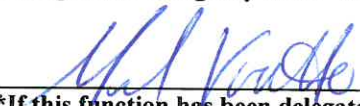
# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> NC Board of Nursing	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 36 .0816 Definition of Consultation for Prescribing Controlled Substances	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READOPTION</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: December 15, 2017 Link to Agency notice: <a href="http://www.ncbon.com">www.ncbon.com</a> Hearing on: January 19, 2018 Adoption by Agency on: February 16, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law: STOP Act of 2017 (Session Law 2017-74/H243)  <input type="checkbox"/> Petition for rule-making         </div> </div> <b>9B. Explain:</b> Adoption of this Rule is in response to the STOP Act signed into law on June 29, 2017. In accordance with the Act, Nurse Practitioners who prescribe targeted controlled substances are required to personally consult with the supervising physician when the patient is treated at a facility prescribing narcotic medication or advertises pain management services and the prescription will exceed a 30-day supply. In addition, Nurse Practitioners who prescribe to the same patient continuously are required to consult with a supervising physician at least once every 90 days to verify the prescription remains medically appropriate. This Rule defines consultation between the Nurse Practitioner and a Supervising Physician.	
<b>10. Rule-making Coordinator:</b> Angela Ellis <b>Address:</b> NC Board of Nursing PO Box 2129 Raleigh, NC 27602-2129 <b>Phone:</b> 919.782.3211 ext 259 <b>E-Mail:</b> <a href="mailto:angela@ncbon.com">angela@ncbon.com</a>  <b>Agency Contact, if any:</b> Julia L. George, RN, MSN, FRE Chief Executive Officer <b>Phone:</b> 919.782.3211 ext 250 <b>E-Mail:</b> <a href="mailto:julie@ncbon.com">julie@ncbon.com</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <div style="text-align: center; font-family: cursive; font-size: 1.2em;">Angela Ellis</div> <hr style="width: 80%; margin: 10px auto;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Angela Ellis <b>Title:</b> Chief Administrative Officer/Rulemaking Coordinator
<b>RRC AND OAH USE ONLY</b>	

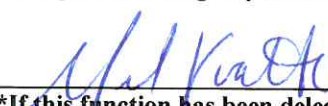
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 OFFICE OF ADMIN HEARINGS



## SUBMISSION FOR PERMANENT RULE

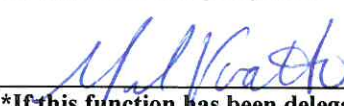
<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0105 - ADVERTISING	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ncrec.gov">www.ncrec.gov</a> Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> To amend the rule in paragraph (a)(1) to clarify the text of the rule that a broker must include the name of the broker and the firm or sole proprietorship with which the broker is affiliated.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> <a href="mailto:melissa@ncrec.gov">melissa@ncrec.gov</a> <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> <a href="mailto:madison@ncrec.gov">madison@ncrec.gov</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

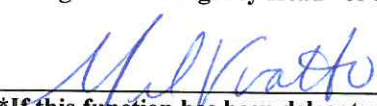
<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0106 - DELIVERY OF INSTRUMENTS	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> January 16, 2018 <b>Link to Agency notice:</b> www.ncrec.gov <b>Hearing on:</b> February 14, 2018 <b>Adoption by Agency on:</b> March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule in paragraph (a) to require every broker to deliver a copy of any written instruments to their customer or client within 3 days of the document's execution.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

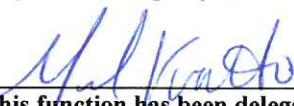
<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0108 - RETENTION OF RECORDS	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ncrec.gov">www.ncrec.gov</a> Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule to require brokers to provide a copy of all transaction files to their firm within 3 days of receipt.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> <a href="mailto:melissa@ncrec.gov">melissa@ncrec.gov</a> <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> <a href="mailto:madison@ncrec.gov">madison@ncrec.gov</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0110 - BROKER-IN-CHARGE	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> January 16, 2018 <b>Link to Agency notice:</b> www.ncrec.gov <b>Hearing on:</b> February 14, 2018 <b>Adoption by Agency on:</b> March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> <b>Yes</b> Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> <b>No</b>	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule to clarify the rule text, to remove the North Carolina GRI program as an exception to the Broker-in-Charge experience requirement, and to require nonresident brokers to complete the 12-hour BIC Course and BIC Update Course.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>   <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



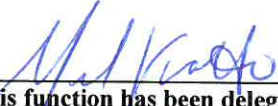
## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0114 - RESIDENTIAL PROPERTY AND OWNER'S ASSOCIATION DISCLOSURE STATEMENT	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: www.ncrec.gov Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite: </div> <div> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other: </div> </div> <b>9B. Explain:</b> To amend the rule to change "Purchaser" to "Buyer." The purpose of this change is to make the rule match the word usage commonly used by brokers. To amend the rule to separate the issue of deed restrictions and Property Owners Associations, since not all properties with deed restrictions are governed by a Property Owners Association and to include "Master Insurance" under services and amenities of a owners' association.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

# ORIGINAL

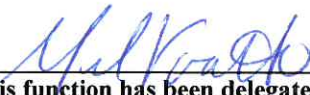
03/20/2018

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0503 - LICENSE RENEWAL	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: www.ncrec.gov Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: <b>9B. Explain:</b> To amend the rule to remove the sentence that states a broker can renew by calling the Commission's offices in order to safeguard credit card information. The Commission no longer accepts payments over the telephone.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



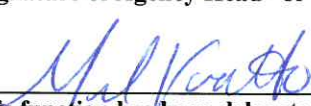
## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0505 - REINSTATEMENT	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> January 16, 2018 <b>Link to Agency notice:</b> www.ncrec.gov <b>Hearing on:</b> February 14, 2018 <b>Adoption by Agency on:</b> March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule in paragraph (a) to remove the fee associated with suspended licenses. To amend the rule in paragraph (b) to add language clarifying that criminal background checks will be conducted pursuant to a reinstatement application. To amend the rule to require persons requesting reinstatement after less than six months from expiration to disclose any criminal convictions or disciplinary actions by other occupational licensing boards, including any such offenses that occurred since the person's license expired or was revoked.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

ORIGINAL

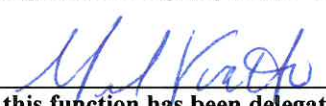
03/20/2018

SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .0511 - LICENSING OF PERSONS LICENSED IN ANOTHER JURISDICTION	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: www.ncrec.gov Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: S.L. 2017-28 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: <b>9B. Explain:</b> To amend the rule to include provisions for licensing military-trained applicants and their military spouses with temporary practice permits in compliance with G.S. 93B-15.1, as enacted in Section 3 of S.L. 2017-28.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



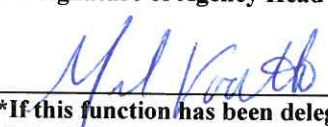
## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .1702 - CONTINUING EDUCATION REQUIREMENT	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> January 16, 2018 <b>Link to Agency notice:</b> www.ncrec.gov <b>Hearing on:</b> February 14, 2018 <b>Adoption by Agency on:</b> March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule to clarify continuing education credit for a broker-in-charge or broker taking the General Update Course. This provision was previously located in 21 NCAC 58A .0110.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>   <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

# ORIGINAL

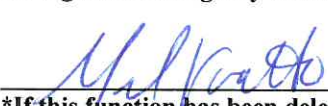
03/20/2018

## SUBMISSION FOR PERMANENT RULE


<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .1703 - CONTINUING EDUCATION FOR LICENSE ACTIVATION	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: www.ncrec.gov Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: <b>9B. Explain:</b> To amend the rule to require brokers on inactive status for more than two years to complete additional education prior to activating their license.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
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## SUBMISSION FOR PERMANENT RULE

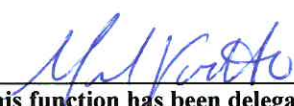
<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .1711 - CONTINUING EDUCATION REQUIRED OF NONRESIDENT LICENSEES	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: www.ncrec.gov Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule to eliminate the requirement of nonresident brokers to notify the Commission of affiliation with a North Carolina office.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
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<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

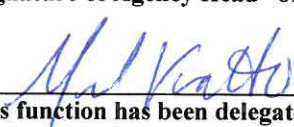
<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58A .2101 - APPLICABILITY	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: N.C.G.S 150B-21.5(a)(5) Adoption by Agency on: March 20, 2018	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
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## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58B .0103 - RENEWAL OF TIME SHARE REGISTRATION	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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<b>9B. Explain:</b> To amend the rule to eliminate the notary requirement on the renewal form in order to proceed with electronic time share renewals.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
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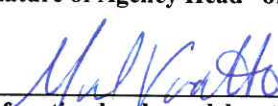
<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58G .0103 - DEFINITIONS	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> January 16, 2018 <b>Link to Agency notice:</b> www.ncrec.gov <b>Hearing on:</b> February 14, 2018 <b>Adoption by Agency on:</b> March 20, 2018 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> <b>Yes</b> <b>Agency submitted request for consultation on:</b> <b>Consultation not required. Cite authority:</b>  <input checked="" type="checkbox"/> <b>No</b>	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              <b>Cite Session Law:</b>  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule to include additional definitions of terms.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> melissa@ncrec.gov <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> madison@ncrec.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>   <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



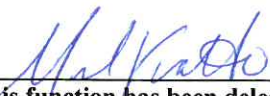
# ORIGINAL

03/20/2018

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58H .0211 - PRELICENSING AND POSTLICENSING ROSTER REPORTING	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ncrec.gov">www.ncrec.gov</a> Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: <b>9B. Explain:</b> To amend the rule to require schools to submit a Roster Report electronically within 7 days following the course, instead of 30 days.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> <a href="mailto:melissa@ncrec.gov">melissa@ncrec.gov</a> <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> <a href="mailto:madison@ncrec.gov">madison@ncrec.gov</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Real Estate Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 58H .0404 - RENEWAL OF SPONSOR APPROVAL	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: January 16, 2018 Link to Agency notice: <a href="http://www.ncrec.gov">www.ncrec.gov</a> Hearing on: February 14, 2018 Adoption by Agency on: March 20, 2018 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> To amend the rule to change a rule reference.	
<b>10. Rule-making Coordinator:</b> Melissa Vuotto <b>Address:</b> PO Box 17100 Raleigh, NC 27619-7100 <b>Phone:</b> 919-875-3700, ext. 142 <b>E-Mail:</b> <a href="mailto:melissa@ncrec.gov">melissa@ncrec.gov</a> <b>Agency Contact, if any:</b> Madison Mackenzie <b>Phone:</b> 919-875-3700, ext. 132 <b>E-Mail:</b> <a href="mailto:madison@ncrec.gov">madison@ncrec.gov</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Melissa Vuotto <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	