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1
       10A NCAC 41A .0101 is amended as published in 32:04 NCR 153-155 as follows:
 2
       10A NCAC 41A .0101
                                 REPORTABLE DISEASES AND CONDITIONS
 3
       (a)
               The following named diseases and conditions are declared to be dangerous to the public health and are
 4
       hereby made reportable within the time period specified after the disease or condition is reasonably suspected to
 5
       exist:
 6
               (1)
                        acquired immune deficiency syndrome (AIDS) - 24 hours;
 7
               (2)
                        anthrax - immediately;
 8
                        botulism - immediately;
               (3)
 9
                        brucellosis - 7 days;
               (4)
10
               (5)
                        campylobacter infection - 24 hours;
11
               (6)
                        chancroid - 24 hours;
12
               (7)
                        chikungunya virus infection - 24 hours;
13
               (8)
                        chlamydial infection (laboratory confirmed) - 7 days;
14
               (9)
                        cholera - 24 hours;
15
               (10)
                        Creutzfeldt-Jakob disease – 7 days;
16
               (11)
                        cryptosporidiosis – 24 hours;
17
                        cyclosporiasis – 24 hours;
               (12)
18
                        dengue - 7 days;
               (13)
19
               (14)
                        diphtheria - 24 hours;
20
               (15)
                        Escherichia coli, shiga toxin-producing - 24 hours;
21
               (16)
                        ehrlichiosis – 7 days;
22
               (17)
                        encephalitis, arboviral - 7 days;
23
               (18)
                        foodborne disease, including Clostridium perfringens, staphylococcal, Bacillus cereus, and other
24
               and unknown causes - 24 hours;
25
                        gonorrhea - 24 hours;
               (19)
26
               (20)
                        granuloma inguinale - 24 hours;
27
               (21)
                        Haemophilus influenzae, invasive disease - 24 hours;
28
               (22)
                        Hantavirus infection – 7 days;
29
               (23)
                        Hemolytic-uremic syndrome – 24 hours;
30
               (24)
                        Hemorrhagic fever virus infection – immediately;
31
               (25)
                        hepatitis A - 24 hours;
32
               (26)
                        hepatitis B - 24 hours;
33
               (27)
                        hepatitis B carriage - 7 days;
34
               (28)
                        hepatitis C, acute -7 days;
35
               (29)
                        human immunodeficiency virus (HIV) infection confirmed - 24 hours;
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1
               (30)
                        influenza virus infection causing death – 24 hours;
 2
               (31)
                        legionellosis - 7 days;
 3
               (32)
                        leprosy – 7 days;
 4
               (33)
                        leptospirosis - 7 days;
 5
                        listeriosis – 24 hours;
               (34)
 6
               (35)
                        Lyme disease - 7 days;
 7
               (36)
                        Lymphogranuloma venereum - 7 days;
 8
               (37)
                        malaria - 7 days;
 9
               (38)
                        measles (rubeola) - 24 hours;
10
               (39)
                        meningitis, pneumococcal - 7 days;
11
               (40)
                        meningococcal disease - 24 hours;
12
                        Middle East respiratory syndrome (MERS) - 24 hours;
               (41)
13
               (42)
                        monkeypox - 24 hours;
14
               (43)
                        mumps - 7 days;
15
               (44)
                        nongonococcal urethritis - 7 days;
16
                        novel influenza virus infection – immediately;
               (45)
17
               (46)
                        plague - immediately;
18
               (47)
                        paralytic poliomyelitis - 24 hours;
19
                        pelvic inflammatory disease – 7 days;
               (48)
20
               (49)
                        psittacosis - 7 days;
21
                        Q fever - 7 days;
               (50)
22
               (51)
                        rabies, human - 24 hours;
23
               (52)
                        Rocky Mountain spotted fever - 7 days;
24
               (53)
                        rubella - 24 hours;
25
               (54)
                        rubella congenital syndrome - 7 days;
26
               (55)
                        salmonellosis - 24 hours;
27
                        severe acute respiratory syndrome (SARS) – 24 hours;
               (56)
28
               (57)
                        shigellosis - 24 hours;
29
               (58)
                        smallpox - immediately;
30
               (59)
                        Staphylococcus aureus with reduced susceptibility to vancomycin – 24 hours;
31
               (60)
                        streptococcal infection, Group A, invasive disease - 7 days;
32
               (61)
                        syphilis - 24 hours;
33
               (62)
                        tetanus - 7 days;
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toxic shock syndrome - 7 days;

34

(63)

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1
                (64)
                         trichinosis - 7 days;
 2
                (65)
                         tuberculosis - 24 hours;
 3
                         tularemia – immediately;
                (66)
 4
                (66)
                         typhoid - 24 hours;
 5
                (67)
                         typhoid carriage (Salmonella typhi) - 7 days;
 6
                         typhus, epidemic (louse-borne) - 7 days;
                (68)
 7
                (69)
                         vaccinia – 24 hours;
 8
                (70)
                         vibrio infection (other than cholera) – 24 hours;
 9
                         whooping cough – 24 hours; and
                (71)
10
                (72)
                        yellow fever - 7 days.
11
       (b)
                For purposes of reporting, "confirmed human immunodeficiency virus (HIV) infection" is defined as a
12
       positive virus culture, repeatedly reactive EIA antibody test confirmed by western blot or indirect
13
       immunofluorescent antibody test, positive nucleic acid detection (NAT) test, or other confirmed testing method
14
       approved by the Director of the State Public Health Laboratory conducted on or after February 1, 1990. In selecting
15
       additional tests for approval, the Director of the State Public Health Laboratory shall consider whether such tests
16
       have been approved by the federal Food and Drug Administration, recommended by the federal Centers for Disease
17
       Control and Prevention, and endorsed by the Association of Public Health Laboratories.
18
                In addition to the laboratory reports for Mycobacterium tuberculosis, Neisseria gonorrhoeae, and syphilis
       (c)
19
       specified in G.S. 130A-139, laboratories shall report:
20
                         Isolation or other specific identification of the following organisms or their products from human
                (1)
21
                clinical specimens:
22
                         (A)
                                 Any hantavirus or hemorrhagic fever virus.
23
                         (B)
                                 Arthropod-borne virus (any type).
24
                         (C)
                                 Bacillus anthracis, the cause of anthrax.
25
                         (D)
                                 Bordetella pertussis, the cause of whooping cough (pertussis).
26
                                 Borrelia burgdorferi, the cause of Lyme disease (confirmed tests).
                         (E)
27
                         (F)
                                 Brucella spp., the causes of brucellosis.
28
                         (G)
                                 Campylobacter spp., the causes of campylobacteriosis.
29
                                 Chlamydia trachomatis, the cause of genital chlamydial infection, conjunctivitis (adult
                         (H)
30
                         and newborn) and pneumonia of newborns.
31
                        (I)
                                 Clostridium botulinum, a cause of botulism.
32
                         (J)
                                 Clostridium tetani, the cause of tetanus.
33
                         (K)
                                 Corynebacterium diphtheriae, the cause of diphtheria.
34
                         (L)
                                 Coxiella burnetii, the cause of Q fever.
35
                         (M)
                                 Cryptosporidium parvum, the cause of human cryptosporidiosis.
36
                                 Cyclospora cayetanesis, the cause of cyclosporiasis.
                         (N)
37
                         (O)
                                 Ehrlichia spp., the causes of ehrlichiosis.
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1		(P)	Shiga to	oxin-producing Escherichia coli, a cause of hemorrhagic colitis, hemolytic uremic
2		syndron	ne, and the	hrombotic thrombocytopenic purpura.
3		(Q)	Francis	ella tularensis, the cause of tularemia.
4		(R)	Hepatit	is B virus or any component thereof, such as hepatitis B surface antigen.
5		(S)	Human	Immunodeficiency Virus, the cause of AIDS.
6		(T)	Legion	ella spp., the causes of legionellosis.
7		(U)	Leptosp	pira spp., the causes of leptospirosis.
8		(V)	Listeria	monocytogenes, the cause of listeriosis.
9		(W)	Middle	East respiratory syndrome virus.
10		(X)	Monke	ypox.
11		(Y)	Mycob	acterium leprae, the cause of leprosy.
12		(Z)	Plasmo	dium falciparum, P. malariae, P. ovale, and P. vivax, the causes of malaria in
13		humans		
14		(AA)	Poliovi	rus (any), the cause of poliomyelitis.
15		(BB)	Rabies	virus.
16		(CC)	Rickett	sia rickettsii, the cause of Rocky Mountain spotted fever.
17		(DD)	Rubella	a virus.
18		(EE)	Salmon	nella spp., the causes of salmonellosis.
19		(FF)	Shigell	a spp., the causes of shigellosis.
20		(GG)	Smallp	ox virus, the cause of smallpox.
21		(HH)	Staphyl	lococcus aureus with reduced susceptibility to vanomycin.
22		(II)	Trichin	ella spiralis, the cause of trichinosis.
23		(JJ)	Vaccin	ia virus.
24		(KK)	Vibrio	spp., the causes of cholera and other vibrioses.
25		(LL)	Yellow	fever virus.
26		(MM)	Yersini	a pestis, the cause of plague.
27	(2)	Isolatio	n or othe	r specific identification of the following organisms from normally sterile human
28	body sit	tes:		
29		(A)	Group .	A Streptococcus pyogenes (group A streptococci).
30		(B)	Haemo	philus influenzae, serotype b.
31		(C)	Neisser	ria meningitidis, the cause of meningococcal disease.
32	(3)	Positive	serologi	ic test results, as specified, for the following infections:
33		(A)	Fourfol	d or greater changes or equivalent changes in serum antibody titers to:
34			(i)	Any arthropod-borne viruses associated with meningitis or encephalitis in a
35			human.	
36			(ii)	Any hantavirus or hemorrhagic fever virus.
37			(iii)	Chlamydia psittaci, the cause of psittacosis.

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1			(iv)	Coxiella burnetii, the cause of Q fever.
2			(v)	Dengue virus.
3			(vi)	Ehrlichia spp., the causes of ehrlichiosis.
4			(vii)	Measles (rubeola) virus.
5			(viii)	Mumps virus.
6			(ix)	Rickettsia rickettsii, the cause of Rocky Mountain spotted fever.
7			(x)	Rubella virus.
8			(xi)	Yellow fever virus.
9		(B)	The pre	sence of IgM serum antibodies to:
10			(i)	Chlamydia psittaci.
11			(ii)	Hepatitis A virus.
12			(iii)	Hepatitis B virus core antigen.
13			(iv)	Rubella virus.
14			(v)	Rubeola (measles) virus.
15			(vi)	Yellow fever virus.
16	(4)	Labora	tory resul	ts from tests to determine the absolute and relative counts for the T-helper (CD4)
17	subse	et of lymph	ocytes an	d all results from tests to determine HIV viral load.
18	(d) Labo	ratories uti	lizing ele	ctronic laboratory reporting (ELR) shall report-report:
19	<u>(1)</u>	All all	- <u>All</u> posit	ive laboratory results from tests used to diagnosis chronic hepatitis C infection,
20		includi	ng: <u>Hepa</u>	titis C Infection, including the following:
21		(1)(A)	Hepatit	is C virus antibody tests (including the test specific signal to cut-off (s/c) ratio;
22			ratio);	
23		<del>(2)</del> (B)	Hepatit	is C nucleic acid tests;
24		<del>(3)</del> (C)	Hepatit	is C antigen(s) tests; and
25		(4)(D)	Hepatit	is C genotypic tests.
26	(2)	All HI	V genotyp	oic test results, including when available:
27		<u>(A)</u>	Th	e entire nucleotide sequence; and
28		<u>(B)</u>	Th	e pol region sequence (including all regions regions: protease (PR)/reverse
29		transcr	iptase (R'	Γ) and integrase inhibitor (INI) genes), genes, if available available.
30				
31	History Note:	Author	ity G.S. 1	30A-134; 130A-135; 130A-139; 130A-141:
32		Amend	ed Eff. O	ctober 1, 1994; February 1, 1990;
33		Tempo	rary Ame	ndment Eff. July 1, 1997;
34		Amend	ed Eff. Aı	igust 1, 1998;
35		Тетро	rary Ame	ndment Eff. February 13, 2003; October 1, 2002; February 18, 2002; June 1, 2001;
36		Amend	ed Eff. Ap	pril 1, 2003;
37		Tempo	rarv Ame	ndment Eff. November 1, 2003: May 16, 2003:

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Amended Eff. January 1, 2005; April 1, 2004;
Temporary Amendment Eff. June 1, 2006;
Amended Eff. April 1, 2008; November 1, 2007; October 1, 2006;
Temporary Amendment Eff. January 1, 2010;
Temporary Amendment Expired September 11, 2011;
Amended Eff. July 1, 2013;
Temporary Amendment Eff. December 2, 2014;
Amended Eff. October 1, 2015;
Emergency Amendment Eff. March 1, 2016;
Temporary Amendment Eff. July 1, 2016;
Amended Eff. January 1, 2018; October 1, 2016.

1	NCAC 41A .0202	l is ame	nded with <u>changes</u> as published in 32:05 NCR 279-283 as follows:
2	10A NCAC 41A .	0202	CONTROL MEASURES – HIV
4	The following are	e the c	ontrol measures for the Acquired Immune Deficiency Syndrome (AIDS) and Human
5	Immunodeficiency		
6	•		persons shall: Persons diagnosed with HIV infection (hereafter "person living with HIV")
7		shall:	potential potential management (notation potential management)
8			rain from sexual intercourse unless condoms are used except when:
9			(i) the person living with HIV is in HIV care, is compliant adherent with the treatment
10			plan of the attending physician, and had been virally suppressed for at least 6
11			months (HIV levels below 200 copies per milliliter) at the time of sexual
12			intercourse; or
13			(ii) the sexual intercourse partner is HIV positive; er
14			(iii) the sexual intercourse partner is taking HIV Pre-Exposure Prophylaxis (PrEP (PrEP)
15			- antiretroviral medication used to prevent HIV infection as directed by an attending
16			physician; or
17			(iv) [condoms were not used by the person living with HIV at the time of the sexual
18			intercourse because]the sexual intercourse occurred in the context of a sexual assault.
19			assault in which the person living with HIV was the victim;
20		(b)	not share needles or syringes, or any other drug-related equipment, paraphernalia, or works
21			that may be contaminated with blood through previous use;
22		(c)	not donate or sell blood, plasma, platelets, other blood products, semen, ova, tissues,
23			organs, or breast milk; milk, except when:
24			(i) The person living with HIV is donating organs as part of a clinical research study that
25			has been approved by an institutional review board under the criteria, standards,
26			and regulations described in subsection (a) and (b) of Section 274f 5 of Title 42
27			of the United States Code, 42 USC 274f-5(a) and (b);
28	9	or, if th	e United States Secretary of Health and Human Services determines under USC 274f-5(c)
29			[subsection (c) of Title 42 of the United States Code] that participation in this clinical
30			research is no longer warranted as a requirement for transplants, and the organ recipient is
31			receiving the transplant under the criteria, standards, and regulations of USC 274f-5(c);
32			[Subsection (c) of Title 42 of the United States Code;]or
33			(ii) Sperm or ova are harvested under the supervision of an attending physician to be used
34			by the person's spouse or partner for the purpose of achieving pregnancy.
35	(	(d)	have a skin test for tuberculosis; and,

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1		(e)	notify future sexual intercourse partners of the infection; infection, unless the person living
2			with HIV meets the criteria listed in (1)(a)(i) of this rule. Rule. If the person living with
3			HIV is the victim of a sexual assault, there is no requirement to notify the assailant;
4		(f)	if the time of initial infection is known, notify persons who have been sexual intercourse
5			and or needle-needle-sharing partners since the date of infection or give the names to a
6			disease intervention specialist employed by the local health department or by the Division
7			of Public Health for contact tracing and notification; and
8		(g)	if the date of initial infection is unknown, notify persons who have been sexual intercourse
9			d needle or needle-sharing partners for the previous-year. 12 months or give names to a
10			disease intervention specialist employed by the local health department or by the Division
11			of Public Health for contact tracing of all sexual and needle-sharing partners for the
12			preceding 12 months.
13		(2) The	e attending physician shall:
14		(a)	give the control measures in Item (1) of this Rule to patients <u>living with HIV</u> in accordance
15			with 10A NCAC 41A .0210;
16		<u>(b)</u>	advise persons living with HIV to notify all future sexual partners of infection;
17		<u>(c)(b)</u>	If the attending physician knows the identity of the spouse of an HIV infected patient the
18			person living with HIV and has not, with the consent of the infected patient person living
19			with HIV, notified and counseled the spouse, the physician shall list the spouse on a form
20			provided by the Division of Public Health and shall mail send the form to the Division;
21			Division by secure transmission, required by 45 CFR 164.312(e)(1), [or fax,] secure fax at
22			(919) 715-4699. The Division shall undertake to counsel the spouse; spouse and the
23			attending physician's responsibility to notify exposed and potentially exposed persons is
24			shall be satisfied by fulfilling the requirements of Sub-Items (2)(a) and (c) (b) of this Rule;
25		<u>(d)(e)</u>	advise infected persons living with HIV concerning proper methods for the clean-up of
26			blood and other body fluids;
27		<u>(e)(d)</u>	advise infected persons living with HIV concerning the risk of perinatal transmission and
28			transmission by breastfeeding.
29	(3)	The atte	ending physician of a child who is infected living with HIV and who may pose a significant
30		risk of t	ransmission in the school or day care setting because of open, oozing wounds or because of
31		behavio	ral abnormalities such as biting shall notify the local health director. The local health
32		director	shall consult with the attending physician and investigate the following circumstances:
33		(a)	If the child is in school or scheduled for admission and the local health director determines
34			that there may be a significant risk of transmission, the local health director shall consult
35			with an interdisciplinary committee, which shall include school personnel, a medical
36			expert, and the child's parent parents or legal guardian guardians to assist in the
37			investigation and determination of risk. The local health director shall notify the

1			superi	ntendent or private school director of the need to appoint such a this interdisciplinary
2			comm	ittee. Significant Risk risk of transmission shall be determined in accordance with
3			the H	IV Risk and Prevention Estimates published by the Centers for Disease Control and
4			Preve	ntion, which are hereby incorporated by reference including subsequent amendments
5			and ed	litions. A copy of this publication is on file for public viewing and may be obtained
6			free o	f charge by writing the Division of Public Health, 1915 Mail Service Center, Raleigh
7			North	Carolina 27699 1915,can be accessed at no cost online a
8			https:/	//www.cdc.gov/hiv/risk/estimates/riskbehaviors.html.
9			(i)	If the superintendent or private school director establishes such athis committee
10				within three days of notification, the local health director shall consult with this
11				committee.
12			(ii)	If the superintendent or private school director does not establish such a this
13				committee within three days of notification, the local health director shall
14				establish such a this committee.
15		(b)	If the	child is in school or scheduled for admission and the local health director determines
16			after c	consultation with the committee, that a significant risk of transmission exists, the loca
17			health	director shall:
18			(i)	notify the parents; parents or legal guardians;
19			(ii)	notify the committee;
20			(iii)	assist the committee in determining whether an adjustment can be made to the
21				student's school program to eliminate significant risks of transmission;
22			(iv)	determine if an alternative educational setting is necessary to protect the public
23				health;
24			(v)	instruct the superintendent or private school director concerning protective
25				measures to be implemented in the alternative educational setting developed by
26				school personnel; and
27			(vi)	consult with the superintendent or private school director to determine which
28				school personnel directly involved with the child need to be notified of the HIV
29				infection in order to prevent transmission and ensure that these persons are
30				instructed regarding the necessity for protecting confidentiality.
31		(c)	If the	child is in day care and the local health director determines that there is a significan
32			risk of	f transmission, the local health director shall notify the parents or legal guardians tha
33			the ch	ild must be placed in an alternate child care setting that eliminates the significant risk
34			of tran	nsmission.
35	(4)	When	health c	are workers or other persons have a needlestick or nonsexual non-intact skin or
36		muco	us membi	rane exposure to blood or body fluids that, if the source were infected with HIV, HIV
37		positi	<u>ve,</u> would	I pose a significant risk of HIV transmission, the following shall apply:

(a) When the source person is known:

(i) The attending physician or occupational health care provider responsible for the exposed person, if other than the attending physician of the person whose blood or body fluids is the source of the exposure, shall notify the attending physician of the source that an exposure has occurred. The attending physician of the source person shall discuss the exposure with the source and, unless the source is already known to be infected, living with HIV, shall test the source for HIV infection with or without consent unless it reasonably appears that the test cannot be performed without endangering the safety of the source person or the person administering the test. If the source person cannot be tested, an any existing specimen specimen if one exists, shall be tested. The attending physician of the exposed person shall be notified notify the attending physician of the exposed person of the infection status of the source.

- (ii) The attending physician of the exposed person shall inform the exposed person about the infection status of the source, offer testing for HIV infection as soon as possible after exposure and at reasonable intervals up to one year to determine whether until the interval since last exposure is sufficient to assure detection using current CDC HIV testing guidelines, transmission occurred, and, if the source person was HIV positive, infected, give the exposed person the control measures listed in Sub-Items (1)(a) through (c) of this Rule. The CDC HIV testing guidelines are hereby incorporated by reference including subsequent amendments and editions. The CDC HIV testing guidelines can be accessed at no cost online at https://www.cdc.gov/hiv/guidelines/testing.html, with the most current updates found at <a href="https://stacks.cdc.gov/view/cdc/23447">https://stacks.cdc.gov/view/cdc/23447</a>. The attending physician of the exposed person shall instruct the exposed person regarding the necessity for protecting confidentiality of the source person's HIV status.
  - (b) When the source person is unknown, the attending physician of the exposed persons shall inform the exposed person of the risk of transmission and offer testing for HIV infection as soon as possible after exposure and at reasonable intervals until the interval since the last exposure is sufficient to assure detection using the current CDC HIV testing guidelines.
  - (c) A health care facility may release the name of the attending physician of a source person upon request of the attending physician of an exposed person.
  - faith, has reasonable cause to suspect a patient infected living with HIV is not following or cannot follow control measures and is thereby causing a significant risk of transmission. Any other person may notify the local health director when the person, in good faith, person has reasonable cause to suspect a person infected living with HIV is not following control measures and is thereby causing a significant risk of transmission.
  - When the local health director is notified pursuant to Item (5) of this Rule, Rule of a person who is mentally ill or mentally retarded, intellectually impaired, the local health director shall confer with the attending mental health physician or mental health authority Local Management Entity/Managed Care Organization and the physician, if any, who notified the local health director to develop a plan to prevent transmission.

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1	(7)	The Division of Public Health shall notify the Director of Health Services of the North Carolina
2		Department of Correction of Public Safety and the prison facility administrator when any person
3		confined in a state prison is determined to be infected living with HIV. If the prison facility
4		administrator, in consultation with the Director of Health Services, determines that a confined HIV
5		infected person living with HIV is not following or cannot follow prescribed control measures.
6		thereby presenting a significant risk of HIV transmission, the administrator and the Director shall
7		develop and implement jointly a plan to prevent transmission, including making recommendations
8		to the unit housing classification committee.
9	(8)	The local health director shall ensure that the health plan for local jails include education of jail staff
10		and prisoners about HIV, how it is transmitted, and how to avoid acquiring or transmitting this
11		infection.
12	(9)	Local health departments shall provide counseling and testing for HIV infection at no charge to the
13		patient. Third party payers may be billed for HIV counseling and testing when such services
14		are provided and the patient provides written consent.
15	(10)	HIV pre-test counseling is not required. Post-test counseling for persons infected living with HIV
16		is required, must be individualized, and shall include referrals for medical and psychosocial services
17		and control measures. measures counselling. counseling.
18	(11)	A local health department or the Department may release information regarding an infected person
19		pursuant to G.S. 130A 143(3) only when the local health department or the Department has provided
20		direct medical care to the infected person and refers the person to or consults with the health care
21		provider to whom the information is released.
22	<u>(11)(12)</u>	Notwithstanding Rule .0201(d) of this Section, a local or state health director may require, as a part
23		of an isolation order issued in accordance with G.S. 130A-145, compliance with a plan to assist the
24		individual to comply with control measures. The plan shall be designed to meet the specific needs
25		of the individual including linkage to care and may include referral to one or more of the following
26		available and appropriate services:
27		(a) substance abuse counseling and treatment;
28		(b) harm reduction services;
29		(b) (c) mental health counseling and treatment; treatment required to prevent transmission; and,
30		(d)(e) education and counseling sessions about HIV, HIV transmission, and behavior change
31		required to prevent transmission; and
32		(e) intimate partner violence intervention services.
33	<u>(12)(13)</u>	The Division of Public Health shall conduct a partner notification program to assist in the
34		notification and counseling of partners of HIV infected persons. persons living with HIV.
35	<u>(13)(14)</u>	Every pregnant woman shall be offered HIV testing by her attending physician at her first prenatal
36		visit and in the third trimester. The attending physician shall test the pregnant woman for HIV

37

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infection, unless the pregnant woman refuses to provide informed consent pursuant to G.S. 130A-

1		148(h). If there is no record at labor and delivery of an HIV test result during the current pregnancy
2		for the pregnant woman, the attending physician shall inform the pregnant woman that an HIV test
3		will be performed, explain the reasons for testing, and the woman shall be tested for HIV without
4		consent using a rapid HIV test unless it reasonably appears to the clinician that the test cannot be
5		performed without endangering the safety of the pregnant woman or the person administering the
6		test. If the pregnant woman cannot be tested, an existing specimen, if one exists that was collected
7		within the last 24 hours, shall be tested using a rapid HIV test. The attending physician must provide
8		the woman with the test results as soon as possible. However, labor and delivery providers who do
9		not currently have the capacity to perform rapid HIV testing are not required to use a rapid HIV test
LO		until January 1, 2009.
l1	<u>(14)<del>(15</del></u>	(+) If an infant is delivered by a woman with no record of the result of an HIV test conducted during
L2		the pregnancy and if the woman was not tested for HIV during labor and delivery, the fact that the
L3		mother has not been tested creates a reasonable suspicion pursuant to G.S. 130A-148(h) that the
L4		newborn has HIV infection and the infant shall be tested for HIV. An infant born in the previous
L5		12 hours shall be tested using a rapid HIV test. However, providers who do not currently have the
L6		capacity to perform rapid HIV testing shall not be required to use a rapid HIV test until January 1,
L7		<del>2009.</del>
L8	<u>(15)(16</u>	Testing for HIV may be offered as part of routine laboratory testing panels using a general consent
L9		which that is obtained from the patient for treatment and routine laboratory testing, so long as the
20		patient is notified that they are being tested for HIV and given the opportunity to refuse.
21		
22	History Note:	Authority G.S. 130A-135; 130A-144; 130A-145; 130A-148(h);
23		Temporary Rule Eff. February 1, 1988, for a period of 180 days to expire on July 29, 1988;
24		Eff. March 1, 1988;
25		Amended Eff. February 1, 1990; November 1, 1989; June 1, 1989;
26		Temporary Amendment Eff. January 7, 1991 for a period of 180 days to expire on July 6, 1991;
27		Amended Eff. May 1, 1991;
28		Recodified from 15A NCAC 19A .0201 (d) and (e) Eff. June 11, 1991;
29		Amended Eff. August 1, 1995; October 1, 1994; January 4, 1994; October 1, 1992;
30		Temporary Amendment Eff. February 18, 2002; June 1, 2001;
31		Amended Eff. <u>January 1, 2018</u> ; November 1, 2007; April 1, 2005; April 1, 2003.

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## 10A NCAC 43D .0710 VENDOR VIOLATIONS AND SANCTIONS

- (a) Title 7 C.F.R. 246.12(l)(1)(i) through (vi) and (xii) are incorporated by reference with all subsequent amendments and editions. In accordance with 7 C.F.R. 246.12(l)(1)(i), the state State agency shall not allow imposition of a civil money penalty in lieu of disqualification for a vendor permanently disqualified. A pattern, as referenced in 7 CFR 246.12 (l)(1)(iii)(B) through (F) and 246.12(l)(1)(iv)(A), shall be established as follows:
  - claiming reimbursement for the sale of an amount of a specific supplemental food item which that exceeds the store's documented inventory of that supplemental food item for six or more days within a 60-day period. The six or more days do not have to be consecutive days within the 60-day period. Failure or inability to provide records or providing false records required under Item (30)(32) of Rule .0708 for an inventory audit shall be deemed a violation of 7 C.F.R. 246.12(l)(1)(iii)(B) and this Subparagraph;
  - (2) two occurrences of vendor overcharging within a 12-month period;
  - (3) two occurrences of receiving, transacting or redeeming food instruments or cash-value vouchers outside of authorized channels, including the use of an unauthorized vendor or an unauthorized person within a 12-month period;
  - (4) two occurrences of charging for supplemental food not received by the WIC customer within a 12-month period;
  - (5) two occurrences of providing credit or non-food items, other than alcohol, alcoholic beverages, tobacco products, cash, firearms, ammunition, explosives, or controlled substances as defined in 21 U.S.C. 802, in exchange for food instruments or cash-value vouchers within a 12-month period; or
  - (6) three occurrences of providing unauthorized food items in exchange for food instruments or cashvalue vouchers, including charging for supplemental food provided in excess of those listed on the food instrument within a 12-month period.
- (b) Title 7 C.F.R. 246.12(l)(2)(i) is incorporated by reference with all subsequent amendments and editions. Except as provided in 7 C.F.R. 246.12 (l)(1)(xii), a vendor shall be disqualified from the WIC Program for the following state-established violations in accordance with the number of occurrences and sanctions set forth below:
  - (1) One year for two occurrences within a 12-month period of discrimination on the basis of WIC participation as referenced in Item (38)(40) of Rule .0708. Each date this violation is detected is a separate occurrence;
  - One year for three occurrences within a 12-month period of failure to properly transact a WIC food instrument or cash-value voucher by not completing the date and purchase price on the WIC food instrument or cash-value voucher before obtaining the WIC customer's signature, by not obtaining the WIC customer's signature in the presence of the cashier, or by accepting a WIC food instrument or cash-value voucher prior to the "Issue Date" or after the "Participant Must Use By" dates on the food instrument or cash-value voucher. Except as provided in 7 C.F.R. 246.12(l)(3)(iv), each improperly transacted food instrument or cash-value voucher is a separate occurrence;

1 (3) One year for three occurrences within a 12-month period of requiring a cash purchase to transact a 2 WIC food instrument or cash-value voucher. Except as provided in 7 C.F.R. 246.12(1)(3)(iv), each 3 transacted food instrument or cash-value voucher requiring a cash purchase is a separate occurrence; 4 (4) 270 days for three occurrences within a 12-month period of contacting a WIC customer in an attempt 5 to recoup funds for a food instrument or cash-value voucher or contacting a WIC customer outside the store regarding the transaction or redemption of a WIC food instrument or cash-value voucher. 6 7 Each contact with any WIC customer is a separate occurrence, whether each contact is with the same 8 or different WIC customers; 9 (5) 180 days for three occurrences within a 12-month period of failure to provide program-related 10 records referenced in Item (30)(32) of Rule .0708 when requested by WIC staff, except as provided 11 in Item (30)(32) of Rule .0708 and Subparagraph (a)(1) of this Rule for failure or inability to provide 12 records for an inventory audit. Each request for records is a separate occurrence, whether each 13 request is for the same or different records; 14 (6) 180 days for three occurrences within a 12-month period of failure to provide the information 15 referenced in Item (31)(33) of Rule .0708 when requested by WIC staff. Each request for 16 information is a separate occurrence, whether each request is for the same or different information; 17 (7) 180 days for three occurrences within a 12-month period of failure to stock the minimum inventory 18 specified in Item (24)(25) of Rule .0708. Each date this violation is detected is a separate 19 occurrence; 20 (8)90 days for three occurrences within a 12-month period of stocking WIC supplemental foods outside 21 of the manufacturer's expiration date. Each date this violation is detected is a separate occurrence; 22 (9)90 days for three occurrences within a 12-month period of failure to allow monitoring of a store by 23 WIC staff. Each attempt to monitor the store is a separate occurrence; 24 (10)90 days for five occurrences within a 12-month period of failure to submit a WIC Price List as 25 required by Item (32)(34) of Rule .0708. Each written request by the state or local WIC agency for submission of a WIC Price List is a separate occurrence, whether each request is for the same or 26 27 different WIC Price Lists; 28 (11)60 days for three occurrences within a 12-month period of failure to mark the current shelf prices of 29 all WIC supplemental foods on the foods or have the prices posted on the shelf or display case. Each 30 date this violation is detected is a separate occurrence; and 31 (12)60 days for five occurrences within a 12-month period of requiring the purchase of a specific brand 32 when more than one WIC supplemental food brand is available. Except as provided in 7 C.F.R. 33 246.12(1)(3)(iv), each transacted food instrument or cash-value voucher requiring the purchase of a 34 specific brand when more than one WIC supplemental food brand is available is a separate 35 occurrence.

If during the course of a single investigation the state agency determines that a vendor has committed multiple stateestablished violations, the disqualification periods shall be cumulative, provided that the total period of

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1	disquaimeation	is shall not exceed one year for state-established violations investigated as part of a single investigation,			
2	as defined in Pa	aragraph (c) of this Rule.			
3	(c) For investig	gations p	ursuant to this Section, a single investigation is:		
4	(1)	Comp	liance buy(s) conducted by undercover investigators within a 12-month period to detect the		
5		follow	ring violations:		
6		(A)	buying or selling food instruments or cash-value vouchers for cash (trafficking);		
7		(B)	selling firearms, ammunition, explosives, or controlled substances as defined in 21 U.S.C.		
8			802, in exchange for food instruments or cash-value vouchers;		
9		(C)	selling alcohol or alcoholic beverages or tobacco products in exchange for food instruments		
10			or cash-value vouchers;		
11		(D)	vendor overcharging;		
12		(E)	receiving, transacting, or redeeming food instruments or cash-value vouchers outside of		
13			authorized channels, including the use of an unauthorized vendor or an unauthorized		
14			person;		
15		(F)	charging for supplemental food not received by the WIC customer;		
16		(G)	providing credit or non-food items, other than alcohol, alcoholic beverages, tobacco		
17			products, cash, firearms, ammunition, explosives, or controlled substances as defined in 21		
18			U.S.C. 802, in exchange for food instruments or cash-value vouchers;		
19		(H)	providing unauthorized food items in exchange for food instruments or cash-value		
20			vouchers, including charging for supplemental food provided in excess of those listed on		
21			the food instrument;		
22		(I)	failure to properly transact a WIC food instrument or cash-value voucher;		
23		(J)	requiring a cash purchase to transact a WIC food instrument or cash-value voucher; or		
24		(K)	requiring the purchase of a specific brand when more than one WIC supplemental food		
25			brand is available.		
26	(2)	Monit	oring reviews of a vendor conducted by WIC staff within a 12-month period which detect the		
27		follow	ving violations:		
28		(A)	failure to stock the minimum inventory specified in Item (24)(25) of Rule .0708;		
29		(B)	stocking WIC supplemental food outside of the manufacturer's expiration date;		
30		(C)	failure to allow monitoring of a store by WIC staff;		
31		(D)	failure to provide program-related records referenced in Item (30)(32) of Rule .0708 when		
32			requested by WIC staff;		
33		(E)	failure to mark the current shelf prices of all WIC supplemental foods on the foods or have		
34			the prices posted on the shelf or display case; or		
35		(F)	unauthorized use of the "WIC" acronym or the logo.		
36	(3)	Any o	ther method used by the state or local agency to detect the following violations by a vendor		
37		withir	a 12-month period:		

1		(A)	failure to attend annual vendor training;
2		(B)	failure to submit a WIC Price List as required by Item (32)(34) of Rule .0708;
3		(C)	discrimination on the basis of WIC participation as referenced in Item (38)(40) of Rule
4			.0708.
5		(D)	contacting a WIC customer in an attempt to recoup funds for food instruments or cash-
6			value vouchers or contacting a WIC customer outside the store regarding the transaction
7			or redemption of WIC food instruments or cash-value vouchers;
8		(E)	nonpayment of a claim assessed by the state agency;
9		(F)	providing false, erroneous, or misleading information to the state or local WIC agency;
10		(G)	claiming reimbursement for the sale of an amount of a specific supplemental food item
11			which exceeds the store's documented inventory of that supplemental food item for a
12			specific period of time, or failure or inability to provide records or providing false records
13			required under Item (30)(32) of Rule .0708 for an inventory audit;
14		(H)	failure to purchase infant formula, exempt infant formula or WIC-eligible medical foods
15			from the sources specified in Item (3) of Rule .0707; or
16		(I)	providing WIC customers infant formula, exempt infant formula, or WIC eligible medical
17			food that was not purchased from the sources specified in Item (3) of Rule .0707.
18	(d) The SNAI	P disqual	lification provisions in 7 C.F.R. 246.12(l)(1)(vii) are incorporated by reference with all
19	subsequent ame	ndments	and editions.
20	(e) The particip	ant acces	ss provisions of 7 C.F.R. 246.12(l)(1)(ix) and 246.12(l)(8) are incorporated by reference with
21	all subsequent a	amendme	ents and editions. The existence of any of the factors listed in Parts (f)(3)(A), (f)(3)(B) or
22	(f)(3)(C) of this	Rule sha	ll conclusively show adequate participant access provided there is no geographic barrier, such
23	as an impassable	e mounta	in or river, to using the other authorized WIC vendors referenced in these Parts. The agency
24	shall not conside	er other i	ndicators of inadequate participant access when any of these factors exist.
25	(f) The followi	ng provi	sions apply to monetary and civil money penalties assessed in lieu of disqualification of a
26	vendor:		
27	(1)	The ci	vil money penalty formula in 7 C.F.R. 246.12(l)(l)(x) is incorporated by reference with all
28		subseq	uent amendments and editions, provided that the vendor's average monthly redemptions shall
29		be calc	culated by using the six-month period ending with the month immediately preceding the
30		month	during which the notice of administrative action is dated.
31	(2)	The sta	ate agency may also impose monetary penalties in accordance with G.S. 130A-22(c1) in lieu
32		of disq	ualification of a vendor for the state-established violations listed in Paragraph (b) of this Rule
33		when 1	the state agency determines that disqualification of a vendor would result in participant
34		hardsh	ip in accordance with Subparagraph (f)(3) of this Paragraph.
35	(3)	In dete	ermining whether to disqualify a WIC vendor for the state-established violations listed in
36		Paragra	aph (b) of this Rule, the agency shall not consider other indicators of hardship if any of the
37		follow	ing factors, which conclusively show lack of hardship, are found to exist:

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1		(A)	the noncomplying vendor is located outside of the limits of a city, as defined in G.S. 160A-		
2			2, and another WIC vendor is located within seven miles of the noncomplying vendor;		
3		(B)	the noncomplying vendor is located within the limits of a city, as defined in G.S. 160A-2,		
4			and another WIC vendor is located within three miles of the noncomplying vendor; or		
5		(C)	a WIC vendor, other than the noncomplying vendor, is located within one mile of the local		
6			agency at which WIC participants pick up their food instruments or cash-value vouchers.		
7	(4)	The pro	ovisions for failure to pay a civil money penalty in 7 C.F.R. 246.12(l)(6) are incorporated by		
8		referen	ce with all subsequent amendments and editions. These provisions also apply to a vendor		
9		that fail	s to pay a monetary penalty imposed under G.S. 130A-22(c1).		
10	(g) The provisi	ions of	7 C.F.R. 246.12(l)(1)(viii) prohibiting voluntary withdrawal from the WIC Program or		
11	nonrenewal of th	e WIC V	Yendor Agreement as an alternative to disqualification are incorporated by reference with all		
12	subsequent amen	dments a	and editions.		
13	(h) The provision	ons of 42	USC 1786 (f)(26) and 7 CFR 246.12(1)(3) regarding vendor notification of violations are		
14	incorporated by i	reference	with all subsequent amendments and editions.		
15	(i) The state age	ncy may	offset payments to an authorized vendor if the vendor fails to reimburse the state agency in		
16	accordance with	Item <del>(33</del>	(35) of Rule .0708.		
17	(j) In accordance	e with 7	C.F.R. 246.12(l)(7) or 246.12(u)(5) or both, North Carolina's procedures for dealing with		
18	abuse of the WIC program by authorized WIC vendors do not exclude or replace any criminal or civil sanctions or				
19	other remedies that may be applicable under any federal or state law.				
20	(k) Notwithstand	ding othe	er provisions of this Rule and Rules .0707 and .0708, for the purpose of providing a one-time		
21	payment to a non-authorized store for WIC food instruments or cash-value vouchers accepted by the store, an				
22	agreement for a one-time payment need only be signed by the store manager and the state agency. The store may				
23	request such one	-time pay	yment directly from the state agency. The store manager shall sign an agreement indicating		
24	that the store has provided foods as prescribed on the food instrument or as allowed with the cash-value voucher,				
25	charged current shelf prices or less than current shelf prices, not charged sales tax, and verified the identity of the WIC				
26	customer. Any	agreeme	ent entered into in this manner shall automatically terminate upon payment of the food		
27	instruments or ca	sh-value	vouchers. After entering into an agreement for a one-time payment, a non-authorized store		
28	shall not be allowed to enter into any further one-time payment agreements for WIC food instruments or cash-value				
29	vouchers accepted thereafter.				
30	(l) Except as provided in 7 C.F.R. 246.18(a)(2), an authorized WIC vendor shall be given at least 15 days advance				
31	written notice of any adverse action which affects the vendor's participation in the WIC Program. The vendor appeal				
32	procedures shall	be in acc	cordance with 10A NCAC 43D .0800.		
33					
34	History Note:	Authori	ty G.S. 130A-361; 7 C.F.R. 246; 42 U.S.C. 1786;		
35		Eff. Fel	pruary 1, 2013;		

Amended Eff. January 1, 2018.

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- 1 10A NCAC 43G .0108 is readopted with <u>changes</u> as published in 31:17 NCR 1753 as follows:
- 2 10A NCAC 43G .0108 ADMINISTRATION
- 3 The Department of Health and Human Services shall administer the statewide early intervention program under
- 4 Federal law, Part C of the Individuals with Disabilities Education Act (IDEA), located in 20 U.S.C. 1400-
- 5 1444.

6

- 7 History Note: Authority G.S. 130A-126;
- 8 Temporary Adoption Eff. July 1, 2006;
- 9 *Adoption Eff. January 1*, <del>2007.</del> <u>2007:</u>
- 10 <u>Readopted Eff. January 1, 2018.</u>

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1	10A NCAC 430	3 .0110 is	s readopted with changes as published in 31:17 NCR 1753 as follows:
2			
3	10A NCAC 430	G .0110	ELIGIBILITY
4	(a) Children fro	om birth t	o age three are eligible for early intervention services under the provisions of this subchapter
5	Subchapter and	under Pa	rt C of the Individuals with Disabilities Education Act (IDEA). The Early Intervention Branch
6	oversees the No	orth Caro	lina Infant-Toddler Program, which is implemented by the if they have been determined by
7	the Children's D	Developm	nental Services Agencies (CDSA). The CDSAs are the local lead agencies that are
8	responsible for	<u>evaluatin</u>	g and determining eligibility to meet the criteria of one of the two following categories:
9	(1)	develo	<del>pmental</del> <u>Developmental</u> delay; or
10	(2)	establi	shed-Established conditions.
11	(b) Developme	ntal Dela	y.
12	(1)	A child	$1 \pm s \pm $
13		one or	more of the following areas:
14		(A)	Cognitive Development;
15		(B)	Physical Development, including fine and gross motor function;
16		(C)	Communication Development;
17		(D)	Social-Emotional Development; or
18		(E)	Adaptive Development.
19	(2)	The sp	ecific level of delay shall be:
20		(A)	documented Documented by scores of 2.0 standard deviations below the mean of the
21			composite score (total test score) on standardized tests in at least one of the above areas of
22			development; areas of development in Subparagraph (b)(1);or
23		(B)	documented Documented by a 30 percent delay on instruments which that determine scores
24			in months in at least one of the above areas of development, areas of development in
25			Subparagraph (b)(1);or
26		(C)	documented Documented by scores of 1.5 standard deviations below the mean of the
27			composite score (total test score) on standardized tests in at least two of the above areas of
28			development, areas of development in Subparagraph (b)(1);or
29		(D)	documented Documented by a 25 percent delay on instruments which that determine scores
30			in months in at least two of the above areas of development.
31	(c) Established	Conditio	ns. A child is shall be considered to have an established condition if the child has a diagnosed
32	physical or men	tal condi	tion which that has a high probability of resulting in developmental delay. Diagnosis may be
33	made by Childre	en's Deve	elopmental Services Agency staff or the child's physician. Specific conditions through which
34	a child shall be	deemed e	eligible in the established conditions category are as follows:
35	(1)	Conge	nital Anomaly/Genetic Disorders/Inborn Errors of Metabolism. These are children Children
36		diagno	sed with one or more congenital abnormalities or genetic disorders with developmental

1		implica	tions. Some examples are Down Syndrome, Fragile X Syndrome, familial retardation
2		syndron	mes, and fetal alcohol syndrome.
3	(2)	Congen	ital Infections. These are children Children diagnosed with congenital infections with
4		develop	omental implications. Some examples are toxoplasmosis, rubella, cytomegelovirus, and
5		HIV.	
6	(3)	Autism	. These are children Children diagnosed with autism or autism spectrum disorders.
7	(4)	Attachn	nent disorder Disorder. These are children Children children with a diagnosed attachment
8		disorde	r.
9	(5)	Hearing	g Loss. These are children Children diagnosed with unilateral or bilateral permanent hearing
10		loss.	
11	(6)	Visual	Impairment. These are children Children diagnosed with a visual impairment that is not
12		correcta	able with treatment, surgery, glasses, or contact lenses.
13	(7)	Neurolo	ogic Disease/Central Nervous System Disorders. These are children Children diagnosed with
14		a diseas	se or disorder known to affect the nervous system with developmental implications, such as
15		Cerebra	al Palsy, Spina Bifida, Epilepsy, and Microcephaly.
16	(8)	Neonatal Conditions and Associated Complications. These are children Children diagnosed with	
17		one or r	nore of the following neonatal diseases or disorders:
18		(A)	Gestational age less than 27 weeks or birth weight less than 1000 grams;
19		(B)	Neonatal encephalopathy with neurological abnormality persisting at discharge from the
20			neonatal intensive care unit.
21		(C)	Moderate to Severe Ventricular Enlargement at discharge from the neonatal intensive care
22			unit or a ventriculoperitoneal shunt;
23		(D)	Neonatal seizures, stroke, meningitis, encephalitis, porencephaly, or holoprosencephaly;
24		(E)	Bronchopulmonary Dysplasia requiring supplemental oxygen at discharge from the
25			neonatal intensive care unit;
26		(F)	Intrauterine Growth Retardation;
27		(G)	Necrotizing enterocolitis requiring surgery;
28		(H)	Abnormal neurological exam at discharge;
29		(I)	Intraventricular hemorrhage III or IV; or
30		(J)	Periventricular leukomalacia.
31			
32	History Note:	Authority G.S. 130A-126;	
33		Temporary Adoption Eff. July 1, 2006;	
34		Adoptio	on Eff. January 1, <del>2007.</del> <u>2007:</u>
35		Readop	ted Eff. January 1, 2018.

2	10A NCAC 43G. <u>0111</u> is readopted with <u>changes</u> as published in 31:1/ NCR 1/53 as follows:			
3	10A NCAC 43G	.0111 SERVICE PLAN – SERVICE DELIVERY		
4	Once a child is	determined eligible for the program,the The Children's Developmental Services Agency shall		
5	develop a service	e plan for each eligible child based on upon the child's needs and the requirements of Part C of the		
6	Individuals with	Disabilities Education Act (IDEA). Service provision shall be monitored by the Children's		
7	Developmental S	ervices Agency. The services shall be provided by the following:		
8	(1)	staff of the Children's Developmental Services Agency, Agency: or		
9	(2)	agencies or individuals within the community who have executed a provider agreement with the		
10		Children's Developmental Services Agency.		
11 12 13 14 15 16	History Note:	Authority G.S. 130A-126; Temporary Adoption Eff. July 1, 2006; Adoption Eff. January 1, 2007; Readopted Eff. January 1, 2018.		