1. Rule-Making Agency: North Carolina Board of Agriculture	e	
2. Rule citation & name (name not required for repeal): 02 NCAC 09G .2010 Restrictions on Dispensing Raw Milk		
	0	
3. Action:  ADOPTION AMENDMENT REPEAL	☑ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority: ☐ No ☐ ☐	
⊠ No	⊠ No m	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 6/1/17 Link to Agency notice: http://www.ncagr.gov/Administra Hearing on: N/A Adoption by Agency on: 8/23/17  □ Notice not required under G.S.: Adoption by Agency on:	Yes. Cite authority:  No  No  No  No  No  No  No  No  No  N	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
	☐ Petition for rule-making ☐ Other: stantive public interest" during the Periodic Review and Expiration of my changes and deals with the restrictions on dispensing raw milk.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008	11. Signature of Agency Head* or Rule-making Coordinator:	
E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	Typed Names Christina I. Waggett	
Phone: E-Mail:	Typed Name: Christina L. Waggett Title: Rule-making Coordinator	
100 to 10	O OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: North Carolina Board of Agriculture		
2. Rule citation & name (name not required for repeal): 02 NCAC 37 .0203 Nematode Advisory Service		
3. Action:  ADOPTION AMENDMENT REPEAL READOPTION		
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☐ No	5. Rule automatically subject to legislative review?  Yes. Cite authority:	
6. Notice for Proposed Rule:	A 2	
Notice Required Notice of Text published on: 6/1/17 Link to Agency notice: http://www.ncagr.gov/Administratearing on: N/A Adoption by Agency on: 8/23/17 Notice not required under G.S.: Adoption by Agency on:	ativeRules/ProposedRules/index.htm	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>✓ Yes         Agency submitted request for consultation on: 9/20   17         Consultation not required. Cite authority:         No     </li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
Agency  Court order / cite:  Federal statute / cite:  Description for rule-making  Federal regulation / cite:  Cite Session Law: S.L. 2016-113, Section 6(a) and 6(b)  Petition for rule-making  Other:  9B. Explain: This rule was classified as "necessary with substantive public interest" during the Periodic Review and Expiration of Existing Rules process. It is being readopted with changes to accurately reflect the fee for routine nematode assay service at \$3.00, and \$10.00 for nematode research and nematode non-resident samples that is currently set in statute. There is also the inclusion of recently added services: pinewood nematode assay and molecular diagnosis.		
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov  Agency Contact, if any:	11. Signature of Agency Head* or Rule-making Coordinator:  White L. Waggett  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone:	Typed Name: Christina L. Waggett	
E-Mail:	Title: Rule-making Coordinator	
RRC ANI  Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	O OAH USE ONLY	

1. Rule-Making Agency: North Carolina Board of Agricultu	ire	
2. Rule citation & name (name not required for repeal): 02 NCAC 52J .0901 Eligible Expenses		
3. Action:  ☑ ADOPTION ☐ AMENDMENT ☐ REPEAT		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
⊠ No	S. Rule automatically subject to legislative review ??  ☐ Yes. Cite authority: ☐ No	
6. Notice for Proposed Rule:	2	
	五	
Notice Required Notice of Text published on: 6/1/17 Link to Agency notice: http://www.ncagr.gov/Adminis Hearing on: N/A Adoption by Agency on: 8/23/17  Notice not required under G.S.: Adoption by Agency on:	Yes, Cite authority:  No  TrativeRules/ProposedRules/index.htm	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
Control of the second s	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
N .:	Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	No fiscal note required	
	Cite Session Law: S.L. 2016-113, Section 6(a) and 6(b)  Petition for rule-making Other:  al Assembly to reimburse local governments for expenses related to their spension, or revocation of the shelter's registration, or an unforeseen out the eligibility requirements.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-1001	Marion and Maranet	
Raleigh, NC 27699-1001 (MS) NL Waggett		
E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:		
Phone:	Typed Name: Christina L. Waggett	
E-Mail:	Title: Rule-making Coordinator	
	ND OAH USE ONLY	
Action taken:		
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: North Carolina Board of Agricultu	re	
2. Rule citation & name (name not required for repeal): 0	2 NCAC 52J .0902 Application Guidelines	
3. Action:  ADOPTION AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?  ☐ Yes, Cite authority: ☐ No	
6. Notice for Proposed Rule:	F/	
Notice Required Notice of Text published on: 6/1/17 Link to Agency notice: http://www.ncagr.gov/Administ Hearing on: N/A Adoption by Agency on: 8/23/17  □ Notice not required under G.S.: Adoption by Agency on:	rativeRules/ProposedRules/index.htm	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9. REAS	SON FOR ACTION	
9A. What prompted this action? Check all that apply:    Agency		
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Phone:	Typed Name: Christina L. Waggett	
E-Mail: Title: Rule-making Coordinator		
Action taken:	ID OAH USE ONLY	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Soil and Water Conservation Comm	nission	
The state of the s	2 NCAC 59C .0303 Approvals to Exercise the Powers of Eminent	
Domain		
3. Action:  ADOPTION AMENDMENT REPEAL		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority: ♀ >	
⊠ No	☐ Yes. Cite authority: ☐ No ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	
6. Notice for Proposed Rule:	SP T	
Notice Required	OF AD STATE DESCRIPTION OF ADD STATE DESCRIPTION OF AD STATE DESCRIPTION OF ADD STATE DESCRIPTION	
Notice of Text published on: 9/1/16		
6. Notice for Proposed Rule:  Notice Required Notice of Text published on: 9/1/16 Link to Agency notice: http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by Agency on: 8/30/17  Notice not required under G.S.:		
Hearing on: N/A	w w	
Adoption by Agency on: 8/30/17	₹ °	
Notice not required under G.S.:	07	
Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1)	9 Figgal impact (about all that apply).	
7. Kule establishes of filtreases a fee: (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
Yes	State funds affected	
Agency submitted request for consultation on:	Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected	
	☐ Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	No fiscal note required	
Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Other:  9B. Explain: Subchapter 59C covers the Small Watershed Program. The Commission determined Rule 02 NCAC 59C.0303, Approvals to Exercise the Power of Eminent Domain, to be necessary with substantive public interest during the Periodic Review and Expiration of Existing Rules Process. It is being readopted with no changes. N.C. General Statute 139-44 gives the Commission the responsibility to determine whether land sought to be acquired by a county by eminent domain for a small watershed project is for a "proper county purpose." Rule .0303 specifies the information the applicant must submit to enable the Commission to make this determination.  10. Rule-making Coordinator: Christina L. Waggett  11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 1001 Mail Service Center		
Raleigh, NC 27699-1001 Phone: 919-707-3008	Mistinal Waggett	
E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to	
2 man. Cursuma.wasgemoneagr.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:		
Phone:	Typed Name: Christina L. Waggett	
E-Mail: Title: Rule-making Coordinator		
	D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Soil and Water Conservation Commission		
2. Rule citation & name (name not required for repeal): 02 NCAC 59E .0101 Purpose		
3. Action:  ADOPTION AMENDMENT REPEAL READOPTION		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:		
⊠ No	☐ Yes. Cite authority:	
	≥ 5 E	
6. Notice for Proposed Rule:	§ m	
Notice Required     Notice Required	¥ 2 0	
Notice of Text published on: 6/1/2017  Link to Agency notice: http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm		
Hearing on: N/A	ativeKules/ProposedKules/Index.ntm	
Adoption by Agency on: 8/30/17		
☐ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
П.,	State funds affected	
Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
⊠ No	Substantial economic impact (≥\$1,000,000)	
M NO	Approved by OSBM	
	No fiscal note required	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Cite Session Law: Petition for rule-making Federal regulation / cite:  9B. Explain: This Subchapter describes rules to implement the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged To Surface Waters: Animal Waste Management Systems. This rule was classified as necessary with substantive public interest during the Periodic Review and Expiration of Existing Rule Process and is being readopted with updates to correct references (names and rules). It also removes unnecessary language.		
10. Rule-making Coordinator: Christina L. Waggett	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 1001 Mail Service Center		
Raleigh, NC 27699-1001	Mustine L. Waggett	
Phone: 919-707-3008		
E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	All and the second of the seco	
Phone:	Typed Name: Christina L. Waggett	
E-Mail: Title: Rule-making Coordinator		
Action taken:	OAH USE ONLY	
RRC extended period of review:  RRC determined substantial changes:  Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Soil and Water Conservation Comm	nission
2. Rule citation & name (name not required for repeal): 02	2 NCAC 59E .0102 Definitions
	OFF 70
3. Action:  ADOPTION AMENDMENT REPEAL	⊠ READOPTION ∃
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
⊠ No	
6. Notice for Proposed Rule:	PM 3: 08
<b>⊠</b> Notice Required	÷ w
Notice of Text published on: 6/1/2017	180 B80
Link to Agency notice: http://www.ncagr.gov/Administr	rativeRules/ProposedRules/index.htm
Hearing on: N/A Adoption by Agency on: 8/30/17	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
	<ul> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> </ul>
⊠ No	Approved by OSBM
	No fiscal note required
To Surface Waters: Animal Waste Management Systems. T	□ Legislation enacted by the General Assembly         Cite Session Law: □ Petition for rule-making □ Other:  the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged this rule was classified as necessary with substantive public interest Process and is being readopted with updates to correct references the currently used.
	Terror
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-1001	Christina L. Waggett
Phone: 919-707-3008	
E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any:	Typed Name: Christina L. Waggett
Phone: E-Mail:	Title: Rule-making Coordinator
201 1 22 2 1 7 2	D OAH USE ONLY
Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
☐ Withdrawn by agency ☐ Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Soil and Water Conservation Comm		
2. Rule citation & name (name not required for repeal): 02	2 NCAC 59E .0103 Requirements for Certification of Waste	
Management Plans	OFF 70	
3. Action:  ADOPTION AMENDMENT REPEAL	6 7	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?	
	Z = 0	
Notice for Proposed Rule:  Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by Agency on: 8/30/17  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes</li> <li>Agency submitted request for consultation on:</li> <li>Consultation not required. Cite authority:</li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> </ul>	
	No fiscal note required	
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  BEXPlain: This Subchapter describes rules to implement the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged To Surface Waters: Animal Waste Management Systems. This rule was classified as necessary with substantive public interest during the Periodic Review and Expiration of Existing Rule Process and is being readopted with updates to correct references (names and rules), removes unnecessary language and makes other technical changes.		
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Soil and Water Conservation Comm		
2. Rule citation & name (name not required for repeal): 02 NCAC 09E .0104 Approved Best Management Practices (BMPs)		
3. Action:  ADOPTION AMENDMENT REPEAL	⊠ READOPTION 97 29	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?	
6. Notice for Proposed Rule:		
<ul> <li>Notice Required         Notice of Text published on: 6/1/2017         Link to Agency notice: http://www.ncagr.gov/Administr         Hearing on: N/A         Adoption by Agency on: 8/30/17     </li> <li>Notice not required under G.S.:</li> <li>Adoption by Agency on:</li> </ul>	SA SH	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>□ State funds affected</li> <li>□ Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>□ Local funds affected</li> <li>□ Substantial economic impact (≥\$1,000,000)</li> <li>□ Approved by OSBM</li> <li>⋈ No fiscal note required</li> </ul>	
To Surface Waters: Animal Waste Management Systems. The	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged his rule includes technical changes to names and rules and based on estate this paragraph e to allow for existing structures (ex. dairies) to be	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Phone: E-Mail:	Typed Name: Christina L. Waggett Title: Rule-making Coordinator	
	D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Soil and Water Conservation Comm	nission	
2. Rule citation & name (name not required for repeal): 02 NCAC 59E .0105		
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☒ REPEAL	□ READOPTION 9 9 7	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	H - C	
⊠ No	⊠ No = =	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: http://www.ncagr.gov/Administr Hearing on: N/A Adoption by Agency on: 8/30/17  □ Notice not required under G.S.: Adoption by Agency on:	• •	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
To Surface Waters: Animal Waste Management Systems. T	☐ Petition for rule-making ☐ Other:  e provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged his rule was classified as necessary with substantive public interest Process and is being repealed and incorporated into Rule 59G .0104.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008		
Phone: 919-707-3008	Mr. 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:		
Phone: E-Mail:	Typed Name: Christina L. Waggett Title: Rule-making Coordinator	
177-187-187-187-187-187-187-187-187-187-	D OAH USE ONLY	
Action taken:	Z C.M. COM CAME	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

# Origina 19/20/17

1. Rule-Making Agency: Soil and Water Conservation Com	
2. Rule citation & name (name not required for repeal):	02 NCAC 59F .0106 Noncompliance with CREP Agreement
3. Action:  ADOPTION AMENDMENT REPEAL	L ⊠ READOPTION ⊆
I. Rule exempt from RRC review?	5. Rule automatically subject to legislative review
Yes. Cite authority:	Yes. Cite authority:
No No	No Ses. Cite authority:
6. Notice for Proposed Rule:	20 1
Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: http://www.ncagr.gov/Administ Hearing on: N/A Adoption by Agency on: 8/30/17 Notice not required under G.S.: Adoption by Agency on:	trativeRules/ProposedRules/index.htm
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> </ul>
No fiscal note required	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule describes the procedures that will be compliance with their CREP agreement. This rule was class Review and Expiration of Existing Rule Process and is being	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e followed by the Division when a landowner is found to be out of sified as necessary with substantive public interest during the Periodic g readopted with changes to clarify the Division will be the agency nen the non-compliance involves a cost-share practice, and clarifies the incompliance.
10. Rule-making Coordinator: Christina L. Waggett	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1001 Mail Service Center	
Raleigh, NC 27699-1001	Christina L. Waggett
Phone: 919-707-3008	M 0018111 ac C. 00000 000 9
E-Mail: Christina.waggett@ncagr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any:	
Phone:	Typed Name: Christina L. Waggett
E-Mail:	Title: Rule-making Coordinator  ND OAH USE ONLY
Action taken:	ND OAH USE ONLY
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: Soil and Water Conservation Comm	nission	
2. Rule citation & name (name not required for repeal): 02 NCAC 59G .0101 Purpose		
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL ☒ READOPTION		
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority: ☐ No	
6. Notice for Proposed Rule:		
6. Notice for Proposed Rule:  ☑ Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by Agency on: 8/30/17  ☐ Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: res for the Commission to approve water quality technical specialists rams. This rule was classified as necessary with substantive public rules process and is being readopted with correct references.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator	
	D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Soil and Water Conservation Comm	nission
2. Rule citation & name (name not required for repeal): 02	NCAC 59G .0102 Definitions
	70 FF
3. Action:  ADOPTION AMENDMENT REPEAL	□ READOPTION    □   □   □   □   □   □   □   □   □
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority: ☐ No
6. Notice for Proposed Rule:	9
<ul> <li>Notice Required         Notice of Text published on: 6/1/2017         Link to Agency notice: http://www.ncagr.gov/Administration Hearing on: N/A         Adoption by Agency on: 8/30/17         Notice not required under G.S.:         Adoption by Agency on:     </li> </ul>	ativeRules/ProposedRules/index.htm
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
and to approve BMPs for use in water quality protection progr	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: res for the Commission to approve water quality technical specialists rams. This rule was classified as necessary with substantive public rules process and is being readopted with correct reference and the
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
FEETWENT 1 22 22 22 22 22 22 22 22 22 22 22 22 2	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: Soil and Water Conservation Comm	nission
2. Rule citation & name (name not required for repeal): 02	NCAC 59G .0103 Approval of Best Management Practices (BMPs)
3. Action:  ADOPTION AMENDMENT REPEAL	⊠ READOPTION 97 207
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority: ☐ No
6. Notice for Proposed Rule:	£ 2
Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: http://www.ncagr.gov/Administratearing on: N/A Adoption by Agency on: 8/30/17 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
and to approve BMPs for use in water quality protection prog	Cite Session Law:  Petition for rule-making Other: res for the Commission to approve water quality technical specialists rams. This rule was classified as necessary with substantive public rules process and is being readopted with correct references and give
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: Soil and Water Conservation Comm	nission
2. Rule citation & name (name not required for repeal): 02	NCAC 59G .0104 Approval of Water Quality Technical Specialists
3. Action:  ADOPTION AMENDMENT REPEAL	⊠ READOPTION = 2
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  Yes. Cite authority:
6. Notice for Proposed Rule:	
<ul> <li>Notice Required         Notice of Text published on: 6/1/2017         Link to Agency notice: http://www.ncagr.gov/Administr         Hearing on: N/A         Adoption by Agency on: 8/30/17         Notice not required under G.S.:         Adoption by Agency on:     </li> </ul>	ativeRules/ProposedRules/index.htm
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
and to approve BMPs for use in water quality protection prog interest during the periodic review and expiration of existing to reflect a recent change in state law exempting certain techn	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: res for the Commission to approve water quality technical specialists rams. This rule was classified as necessary with substantive public rules process and is being readopted in response to public comment and tical specialists from licensing requirements of the NC Board of boundments, other than design or installation of a spillway. There are rects references.
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov  Agency Contact, if any:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	Typed Name: Christina L. Waggett
E-Mail:	Title: Rule-making Coordinator
RRC AN  Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	D OAH USE ONLY

1. Rule-Making Agency: Soil and Water Conservation Comm	
2. Rule citation & name (name not required for repeal): 02 Specialist Designation to Water Quality Protection Programs	NCAC 59G .0105 Application of BMP Approval and Technical
3. Action:  ADOPTION AMENDMENT REPEAL	□ READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☑ No	<ul> <li>✓ READOPTION</li> <li>5. Rule automatically subject to legislative review?</li> <li>✓ Yes. Cite authority:</li> <li>✓ No</li> </ul>
6. Notice for Proposed Rule:	PM PM
Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: http://www.ncagr.gov/Administration Hearing on: N/A Adoption by Agency on: 8/30/17 Notice not required under G.S.: Adoption by Agency on:	No Solution No So
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
and to approve BMPs for use in water quality protection prog-	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: res for the Commission to approve water quality technical specialists rams. This rule was classified as necessary with substantive public rules process and is being readopted with technical changes that
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: Christina.waggett@ncagr.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
TALL MADERATOR	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

Original - 9/19/17

1. Rule-Making Agency: North Carolina Industrial Comm	
2. Rule citation & name (name not required for repeal): 0	4 NCAC 10J .0103, FEES FOR INSTITUTIONAL SERVICES
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  No	5. Rule automatically subject to legislative review?  Yes. Cite authority:
6. Notice for Proposed Rule:	7 ZB17
Notice Required Notice of Text published on: June 15, 2017 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed">http://www.ic.nc.gov/proposed</a> Hearing on: July 19, 2017 Adoption by Agency on: September 18, 2017  Notice not required under G.S.: Adoption by Agency on:	PM 1: 4
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         <ul> <li>Agency submitted request for consultation on:</li> <li>Consultation not required. Cite authority:</li> </ul> </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: Explanation provided on second page	<ul> <li>□ Legislation enacted by the General Assembly         <ul> <li>Cite Session Law:</li> <li>□ Petition for rule-making</li> <li>□ Other:</li> </ul> </li> </ul>
10. Rule-making Coordinator: Kendall Bourdon Address: 4340 Mail Service Center, Raleigh, NC 27699- 4340 Phone: (919) 807-2644	11. Signature of Agency Head* or Rule-making Coordinator:
E-Mail: kendall.bourdon@ic.nc.gov  Agency Contact, if any: Meredith Henderson Phone: (919) 807-2575 E-Mail: meredith.henderson@ic.nc.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Charlton L. Allen Title: Chairman
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

9B. Explain: The Industrial Commission proposes to amend the provisions of Rule 04 NCAC 10J .0103 for several reasons. The proposed amendment to Paragraph (a) is a clarification regarding the qualifications for reimbursement under Paragraphs (c), (d), and (f). Next, there are two primary reasons for the proposed amendments to the provisions related to fees for ambulatory surgical centers, Paragraphs (g) and (h). First, this rule has been the subject of litigation that is ongoing at the time of filing. The provisions of the rule as adopted on April 1, 2015, that relate to fees for ambulatory surgical centers, specifically Paragraphs (g) and (h) and the reference to (h) in Paragraph (i) were held to be invalid by Wake County Superior Court Judge Paul Ridgeway in an August 9, 2016 Decision. The decision was predicated on the court's belief that those provisions of the rule were not adopted in compliance with the Administrative Procedure Act because no fiscal note was prepared. The Industrial Commission has appealed that ruling, and the matter is pending before the North Carolina Court of Appeals. The August 9, 2016 Decision was stayed by Judge Ridgeway by Order dated September 2, 2016. While the Industrial Commission maintains its position that it was not required to complete a fiscal note to adopt and/or amend the challenged provisions, the Industrial Commission has now completed a fiscal note and seeks to amend the ambulatory surgical centers fee provisions of Rule 04 NCAC 10J .0103. Pending the outcome of the litigation, the amendments are sought to restore certainty and balance to the fee schedule for stakeholders, including payers and medical providers, as to future medical expenses. Moreover, the Industrial Commission is statutorily obligated to periodically review the schedule of maximum fees charged for medical treatment in workers' compensation cases and make revisions if necessary. The proposed amendments to Rule 04 NCAC 10J .0103 incorporate feedback from various stakeholders that the addition of a provision setting maximum fees for ambulatory surgical centers, for additional procedures covered by the Medicare Outpatient Prospective Payment System, would be beneficial to payers, providers, and injured workers.

The fee schedule reimbursement rate for services provided by ambulatory surgical centers covered by the Medicare Ambulatory Surgical Center Payment System will be 200%, in keeping with the rate for 2017 and beyond in the rule as adopted on April 1, 2015. The fee schedule reimbursement rate for additional procedures provided by ambulatory surgical centers that are covered by the Medicare Hospital Outpatient Prospective Payment System will be 135%. The rates were calculated to fall in the estimated median range of workers' compensation fee schedules nationally, as well as within the range of workers' compensation fee schedules in states that base payment to ambulatory surgical centers on a percentage of the Medicare Hospital Outpatient Prospective Payment System and/or the Medicare Ambulatory Surgical Center Payment Systems. The following studies and data sources were reviewed: (1) NORTH CAROLINA WORKERS COMPENSATION INSURANCE: A WHITE PAPER REVIEWING MEDICAL COSTS AND MEDICAL FEE REGULATIONS, Prepared for the National Foundation for Unemployment Compensation and Workers' Compensation; prepared by Philip S. Borba, Ph.D. and Robert K. Briscoe, WCP, Milliman, Inc.; May 23, 2013.

- (2) CompScope™ Medical Benchmarks, 15th Edition, for North Carolina, published by the Workers' Compensation Research Institute, August 2014.
- (3) North Carolina Hospital Association/Optum Group Health survey data, June 2013 and July 2014.
- (4) Review of states' fee schedule structures, nationally and regionally.
- (5) CompScope™ Medical Benchmarks for North Carolina, 16th Edition, published by the Workers' Compensation Research Institute, October 2015.
- (6) CompScope™ Medical Benchmarks for North Carolina, 17th Edition, published by the Workers' Compensation Research Institute, October 2016.
- (7) Payments to Ambulatory Surgery Centers, 2<sup>nd</sup> Edition, published by the Workers' Compensation Research Institute, May 2016.
- (8) Review of medical fee schedules of states that base reimbursement to ambulatory surgical centers on the Medicare Ambulatory Surgical Center Payment System.
- (9) Analysis of Alternatives to the North Carolina Ambulatory Surgical Center Fee Schedule Proposed to Be
  Effective October 1, 2017, prepared by the National Council on Compensation Insurance, May 2, 2017.
  (10) Analysis of Alternatives to the North Carolina Ambulatory Surgical Center Fee Schedule Proposed to Be
  Effective January 1, 2017, prepared by the National Council on Compensation Insurance, September 19, 2016.
  (11) Analysis of Proposed Changes to the North Carolina Medical Fee Schedule, prepared by the National Council
  on Compensation Insurance, December 4, 2014.

### ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Ba	inks
2. Rule citation & name (name not required for repeal): (	04 NCAC 16A .0101
3. Action:	
☐ ADOPTION ☐ AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	OF AL
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	6 P
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either resor necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: states what is in the general statutes or is outdated and no longer useful
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or Rule-making Coordinator:
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
temistopher@necoo.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:	
☐ RRC extended period of review: ☐ RRC determined substantial changes: ☐ Withdrawn by agency ☐ Subject to Legislative Review ☐ Other:	



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16A .0104
3. Action:	
ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	P
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx  P-6 PN  2: 49
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reor necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: estates what is in the general statutes or is outdated and no longer useful
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator;
Address: 4309 Mail Service Center	- Orul
Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438	Ince Chitate
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
RRC AN	D OAH USE ONLY
Action taken:	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

### ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Bar	nks
2. Rule citation & name (name not required for repeal): 0	4 NCAC 16A .0105 Restrictions: Payment of Dividends and
Repurchase of Stock	
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	
X No	
6. Notice for Proposed Rule:	9 7 7 T
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi	P -6 P -6
Notice of Text published on: June 1, 2017	
Link to Agency notice: https://www.nccob.gov/Public/fi	nancialinstitutions/banks/Savings And Loan Rules aspx
Hearing on: June 16, 2017	2: 50 EARINGS
Adoption by Agency on: August 23, 2017  Notice not required under G.S.:	NG 50
Notice not required under G.S.: Adoption by Agency on:	88
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
7. Rule establishes of increases a feet (see old 12 off)	State funds affected
☐ Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
X No	☐ Substantial economic impact (≥\$1,000,000)
7. 44.1	Approved by OSBM  X No fiscal note required
	ON FOR ACTION
current practices, delete unnecessary and outdated language, of	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	Finis Chifts
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Amie Chifte
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	



1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16A .0201; 04 NCAC 16A .0202; 04 NCAC 16A .0203
3. Action:	
☐ ADOPTION ☐ AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	0
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRulesaspx SEP - 6 PM
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM X No fiscal note required
O DEAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ither restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	Thomas 1 H. H.
Phone: (919) 715-7438	Coras Carple
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	To a la Nicola I and in Chairmanhair
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
Action taken:	D OAH USE ONLY
RRC extended period of review:  RRC determined substantial changes:  Withdrawn by agency Subject to Legislative Review Other:	



1. Rule-Making Agency: Office of the Commissioner of Bar	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16A .0301; 04 NCAC .0302
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes, Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	ATO
X Notice Required Notice of Text published on: June 1, 2017	
Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017	mancialinstitutions/banks/SavingsAndLoanKules.aspx
Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required. Cite authority.	Local funds affected
X No	☐ Substantial economic impact (≥\$1,000,000)
	☐ Approved by OSBM
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they ei longer useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ther restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	7.1011
Phone: (919) 715-7438	Comie Cutte
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	O OAH USE ONLY
Action taken:	FILED 2017 SEP - 6 PM 2: FFICE OF ADMIN HEAR
	7 3
DDC autonded period of receiver	SE SE TO
RRC extended period of review: RRC determined substantial changes:	AD - FIL
Withdrawn by agency	SALE OF
Subject to Legislative Review	
Other:	THE RESERVE TO SERVE THE PROPERTY OF THE PROPE
	5 %

### ORIGINAL 9/647

1. Rule-Making Agency: Office of the Commissioner of Ban	ks
	4 NCAC 16A .0401; 04 NCAC 16A .0402; 04 NCAC 16A .0403; 04 6; 04 NCAC 16A .0407; 04 NCAC 16A .0408; 04 NCAC 16A .0409
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fin Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they eit longer useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: her restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	O OAH USE ONLY $\frac{\pi}{2}$
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED  7 SEP -6 PM 2: S  CE OF ADMIN HEARIN

### ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Ba	nnks			
2. Rule citation & name (name not required for repeal):		2; 04 NCA	C 16C .	0103
L				
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION			
4. Rule exempt from RRC review?	5. Rule automatically subject to legis	slative revie	ew?	
Yes. Cite authority:	☐ Yes. Cite authority:			
X No	X No			
6. Notice for Proposed Rule:				
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoa	unRules.asp	X	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply	/):		
□ Vsa	State funds affected			
Yes Agency submitted request for consultation on:	Environmental permitting of DC			
Consultation not required. Cite authority:	analysis submitted to Board of T	ransportat	ion	
The second secon	Local funds affected	1 000 000		
X No	☐ Substantial economic impact (≥\$☐ Approved by OSBM	1,000,000)		
	X No fiscal note required			
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they elonger useful or necessary.	Cite Session Law: Petition for rule-making Other: either restate what is in the general statutes	or they are	outdate	d and no
<ul> <li>10. Rule-making Coordinator: Lonnie Christopher</li> <li>Address: 4309 Mail Service Center</li> <li>Raleigh, NC 27699-4309</li> <li>Phone: (919) 715-7438</li> </ul>	11. Signature of Agency Head* or Ru	le-making	Coordi	nator:
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (1			
	G.S. 143B-10(a), submit a copy of the	delegation		
Agency Contact, if any: Katie Bosken	Tuned Names Lauria Christian	in	S	
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	9	SEP	E
	D OAH USE ONLY	-	6	П
Action taken:		ADMIN HEARINGS	PM	
		100		
RRC extended period of review:		五	15	
RRC determined substantial changes:		5	50	
Withdrawn by agency		Co		
Subject to Legislative Review				
Other:				



1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0104	
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>□ State funds affected</li> <li>□ Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>□ Local funds affected</li> <li>□ Substantial economic impact (≥\$1,000,000)</li> <li>□ Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either resuseful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: states what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center Raleigh, NC 27699-4309  Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
RRC AND  Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	D OAH USE ONLY  DOWN HEARINGS  2: 50

### ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Ba	anks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0105		
3. Action:		
☐ ADOPTION ☐ AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative	ve review?
☐ Yes. Cite authority:	Yes. Cite authority:	2.7.2.1.1.W.O.
X No	X No	
	1,11,100	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public/f	inancialinstitutions/banks/SavingsAndLoanRu	iles.aspx
Hearing on: June 16, 2017  Adoption by Agency on: August 23, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
7. Rule establishes of increases a ree. (See G.S. 12-5.1)		
☐ Yes	State funds affected	10.25.00
Agency submitted request for consultation on:	Environmental permitting of DOT a analysis submitted to Board of Tran	
Consultation not required. Cite authority:	Local funds affected	sportation
V N	☐ Substantial economic impact (≥\$1,00	00,000)
X No	Approved by OSBM	
	X No fiscal note required	
X Agency		
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-m	aking Coordinator;
Address: 4309 Mail Service Center Raleigh, NC 27699-4309	1 PART	
Phone: (919) 715-7438	down Chiff	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reas	signed) pursuant to
G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	S S T
TAKE III ID OILI COL OILI		ggreen .
Action taken,		= 0 III
		圣 圣
RRC extended period of review:		12:
RRC determined substantial changes:		3 10
Withdrawn by agency		SO SON
Subject to Legislative Review		92

# ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0201; 04 NCAC 16C .0202; 04 NCAC 16C .0203		
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No PFI 2017	
6. Notice for Proposed Rule:	S m	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/1">https://www.nccob.gov/Public/1</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/Savings And Loan Rules. Aspx Pi 2: 50	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency		
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or Rule-making Coordinator:	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082  Typed Name: Lonnie Christopher		
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

# ORIGINAL 9/6/12

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0304 Temporary Closing of Office		
3. Action:  ADOPTION X AMENDMENT REPEAL READOPTION		
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency		
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	P -6 PM 2: 51 F ADMIN HEARINGS	



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16C .0305
3. Action:  ADOPTION AMENDMENT X REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/i Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Cite Session Law:  Petition for rule-making  Other: estates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	71 -0 -11
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	ADMIN HEARINGS

### ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0401; 04 NCAC 16C .0402; 04 NCAC 16C .0403; 04 NCAC 16C .0404; 04 NCAC 16C .0405		
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9 REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:  X Agency		
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator  D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	DOAH USE ONLY  OF ADMIN HEARINGS  TILED	



1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0501; 04 NCAC 16C .0502; 04 NCAC 16C .0503	
3. Action:	
ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/ Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they elonger useful or necessary.	Cite Session Law: Petition for rule-making Other: either restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	ND OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED FADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0101	
3. Action:	
ADOPTION AMENDMENT X REPEAL 4. Rule exempt from RRC review?	READOPTION  5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	11.77
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SayingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	must the
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED SEP -6 PM 2: 51 E OF ADMIN HEARING

### ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16D .0103
3. Action:  ADOPTION AMENDMENT X REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:	5. Rule automatically subject to legislative review?  Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Smilla le
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	ID OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes:	FIL 2017 SEP DEFICE OF AD
<ul><li>☐ Withdrawn by agency</li><li>☐ Subject to Legislative Review</li><li>☐ Other:</li></ul>	6 PM 2

### ORIGINAL 9/6/17

1. Rule-Making Agency: Office of the Commissioner of Bar	
2. Rule citation & name (name not required for repeal): (NCAC 16D .0204	04 NCAC 16D .0201; 04 NCAC 16D .0202; 04 NCAC 16D .0203; 04
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	SON FOR ACTION
longer useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ither restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	710
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	ID OAH USE ONLY
Action taken:	DOAN OSE ONE!
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	PN 2: 52 WIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0301; 04 NCAC 16D .0302		
3. Action:		
☐ ADOPTION ☐ AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These rules are being repealed because they e longer useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ither restate what is in the general statutes or they are outdated and no	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 715-7438	come carpe	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED  TP -6 PM 2: 52  OF ADMIN HEARINGS	



1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16D .0401; 04 NCAC 16D .0402; 04 NCAC 16D .0403
3. Action:	
☐ ADOPTION ☐ AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Ves. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> </ul> X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> </ul>
	X No fiscal note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they longer useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: either restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Jui the
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	ND OAH USE ONLY
Action taken:	≥ o im



1. Rule-Making Agency: Office of the Commissioner of B.	anks
2. Rule citation & name (name not required for repeal):	
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
	1 15 505
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017	financialinstitutions/banks/SavingsAndLoanRules.aspx
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
	Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either useful or necessary.	Cite Session Law:  Petition for rule-making Other: restates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	100.0
Raleigh, NC 27699-4309	And HAT
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	ND OAH USE ONLY
Action taken:	S 5 7
	T 79 TI
RRC extended period of review:	FADWIN
RRC determined substantial changes:	
Withdrawn by agency	E P
Subject to Legislative Review	FILED  SEP -6 PM 2: 5  OF ADMIN HEARIN
Other:	9 N



1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16D .0407
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either resuseful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: estates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AN	ID OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 SEP -6 PM 2

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal):		
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they elonger useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: Sither restate what is in the general statutes or they are outdated and no	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-4309	mulle H	
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to	
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
	ND OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	FILE 2017 SEP - 6 F	
Other:	Z 7 0	



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal):	04 NCAC 16D .0504	
3. Action:		
ADOPTION AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx		
Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
TI Van	☐ State funds affected	
Yes Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected	
A stranger Cally New York and Article Cally	Substantial economic impact (\ge \\$1,000,000)	
X No	Approved by OSBM	
	X No fiscal note required	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1 111	
Raleigh, NC 27699-4309	Smil Chilate	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
B-Man. Temistopher@needo.go	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	T IN I Chitala T 2	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	D OAH USE ONLY	
Action taken:	D OAH USE ONLY	
ALCOHOL SERVICES	ADM -6 EE	
	PM PM	
RRC extended period of review:	The state of the s	
RRC determined substantial changes: Withdrawn by agency	ARIN	
Subject to Legislative Review	-6 PM 2: 52 ADMIN HEARINGS	
Other:	CO.	

1. Rule-Making Agency: Office of the Commissioner of B	Banks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16D .0901	
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?  Ves. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public.Hearing">https://www.nccob.gov/Public.Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	c/financialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: restates what is in the general statutes or it is outdated and no long	ger
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinat	tor:
Address: 4309 Mail Service Center	*If this function has been delegated (reassigned) pursuant G.S. 143B-10(a), submit a copy of the delegation with this	to
Address: 4309 Mail Service Center Raleigh, NC 27699-4309  Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken  Phone: (919) 715-0082	*If this function has been delegated (reassigned) pursuant G.S. 143B-10(a), submit a copy of the delegation with this	to
Address: 4309 Mail Service Center Raleigh, NC 27699-4309  Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082  E-Mail: kbosken@nccob.gov	*If this function has been delegated (reassigned) pursuant G.S. 143B-10(a), submit a copy of the delegation with this Typed Name: Lonnie Christopher Title: Rule-making Coordinator	to



1. Rule-Making Agency: Office of the Commissioner of Ba	inks
2. Rule citation & name (name not required for repeal):	
3. Action:  ADOPTION AMENDMENT X REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Community and the community of the commu	<ul> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> </ul>
X No	Approved by OSBM
	X No fiscal note required
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Cite Session Law:  Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	7.1/1
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
RRC AN	ND OAH USE ONLY
Action taken:	m .co
	OF AD
RRC extended period of review:	2 6 E
RRC determined substantial changes:	N R
Withdrawn by agency	7.7
Subject to Legislative Review Other:	2: 5
Other.	G 8



1. Rule-Making Agency: Office of the Commissioner of Bar	
2. Rule citation & name (name not required for repeal): 0	04 NCAC 16E .0103 Composition of Board of Directors
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: le language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 SEP -6 PM 2: FFICE OF ADMIN HEAR



1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16E .0104 Bylaws
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED FADMIN HEARING



1. Rule-Making Agency: Office of the Commissioner of Bar	nks
2. Rule citation & name (name not required for repeal): 0 NCAC 16E .0204	04 NCAC 16E.0201; 04 NCAC 16E .0202; 04 NCAC 16E .0203; 04
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they explain a conservation of the cons	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ither restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-19(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AN	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED  EP -6 PM 3: 02  OF ADMIN HEARINGS

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0301 General Policies		
3. Action:	DEADORTION	
ADOPTION X AMENDMENT REPEAL  4. Rule exempt from RRC review?	READOPTION  5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: June 1, 2017  Link to Agency notice: https://www.nceob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx		
Hearing on: June 16, 2017		
Adoption by Agency on: August 23, 2017		
☐ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes	State funds affected	
Agency submitted request for consultation on:	Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected	
	Substantial economic impact (≥\$1,000,000)	
X No	Approved by OSBM	
	X No fiscal note required	
9A. What prompted this action? Check all that apply:  X Agency		
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	- 10.	
Raleigh, NC 27699-4309	Fruit HA	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
E-Mail: lethistopher@needd.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	al :	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov		
Action taken:	ICE IIT	
	OF SEP T	
	≥ F	
RRC extended period of review:	- 6 E	
RRC determined substantial changes: Withdrawn by agency		
Subject to Legislative Review	FILED  SEP -6 PM 3:  OF ADMINHEAR	
Other:	\(\frac{1}{2}\)	

# ORIGINAL 916117

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0302 Retention, Reproduction and Disposition of		
Records		
3. Action:		
☐ ADOPTION X AMENDMENT ☐ REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	State funds affected	
Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
consultation not required. Cite authority.	Local funds affected	
X No	Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM X No fiscal note required	
	A No fiscal note required	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1. A11	
Raleigh, NC 27699-4309	Anus Carble	
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to	
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	olo. The bottom a copy of the delegation with this is the	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
	Title: Rule-making Coordinator	
Action taken:	10	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED  OF ADMIN HEARING	



1. Rule-Making Agency: Office of the Commissioner of B	Banks
2. Rule citation & name (name not required for repeal):	04 NCAC 16E .0303
3. Action:	
□ ADOPTION □ AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017	
	/financialinstitutions/banks/SavingsAndLoanRules.aspx
Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required. Cite authority.	Local funds affected
X No	<ul><li>Substantial economic impact (≥\$1,000,000)</li><li>Approved by OSBM</li></ul>
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule is being repealed because it either useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: restates what is in the general statutes or it is outdated and no longer
	The Control of the Co
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	I HA
Phone: (919) 715-7438	comecape
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	ND OAH USE ONLY
Action taken:	0
	2017 FFIC
RRC extended period of review:	CE 7.
RRC determined substantial changes:	SEP T
<ul><li>☐ Withdrawn by agency</li><li>☐ Subject to Legislative Review</li></ul>	FILE 2017 SEP -6 DFFICE OF ADMI
Other:	ã ° m

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0401 Servicing Loans			
3. Action:			
ADOPTION X AMENDMENT REPEAL	READOPTION	1. //	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?		
Yes. Cite authority:	☐ Yes. Cite authority:		
X No	X No		
6. Notice for Proposed Rule:	1		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	rinancialinstitutions/banks/SavingsAndLoa	inRules.aspx	
	9 Dispot impact (shoot all that apply	١.	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply	):	
☐ Yes	State funds affected		
Agency submitted request for consultation on:	☐ Environmental permitting of DC		
Consultation not required. Cite authority:	analysis submitted to Board of T	ransportation	
the against and a state of a state of the st	Local funds affected	1 000 000	
X No	<ul><li>☐ Substantial economic impact (≥\$</li><li>☐ Approved by OSBM</li></ul>	1,000,000)	
	X No fiscal note required		
	A No liscal note required		
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Cite Session Law: ☐ Petition for rule-making ☐ Other: ☐ language, improve readability, correct the or make technical changes for consistency	ne regulator, update the rule to	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Ru	le-making Coordinator:	
Address: 4309 Mail Service Center			
Raleigh, NC 27699-4309	1.44		
Phone: (919) 715-7438	Omo Caje		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (i		
	G.S. 143B-10(a), submit a copy of the	delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	0	
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	2017 OFFIC	
	D OAH USE ONLY	OE 7	
Action taken:	OAH USE ONLI		
AND LANCIL		FILE FADMI	
		-6 PM 3: 02	
RRC extended period of review:		<b>H P O</b>	
RRC determined substantial changes:		<b>D</b>	
Withdrawn by agency		<b>运 W</b>	
Subject to Legislative Review		NG:	
Other:		30	



1. Rule-Making Agency: Office of the Commissioner of I	Banks		
2. Rule citation & name (name not required for repeal):	04 NCAC 16E .0402		
12 To the first of the second and the second			
3. Action: ADOPTION AMENDMENT X REPEAL	READOPTION		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?		
Yes. Cite authority:	Yes. Cite authority:		
X No	X No		
71.11.11.11.11.11.11.11.11.11.11.11.11.1			
6. Notice for Proposed Rule:			
<ul> <li>X Notice Required         Notice of Text published on: June 1, 2017         Link to Agency notice: <a href="https://www.nccob.gov/PublicHearing">https://www.nccob.gov/PublicHearing</a> on: June 16, 2017         Adoption by Agency on: August 23, 2017     </li> <li>Notice not required under G.S.:         <a href="https://www.nccob.gov/PublicHearing">Adoption by Agency on:</a></li> </ul>	e/financialinstitutions/banks/SavingsAndLoanRules.aspx		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):		
	☐ State funds affected		
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and		
Consultation not required. Cite authority:	analysis submitted to Board of Transportation		
Consultation not required that assume the	<ul><li>Local funds affected</li><li>Substantial economic impact (≥\$1,000,000)</li></ul>		
X No	Approved by OSBM		
	X No fiscal note required		
Federal regulation / cite:  9B. Explain: This rule is being repealed because it either useful or necessary.	Other: restates what is in the general statutes or it is outdated and no longer		
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center	1 111		
Raleigh, NC 27699-4309	frio la lato		
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken			
Phone: (919) 715-0082	Typed Name: Lonnie Christopher		
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator		
	AND OAH USE ONLY  OFFICE OF ADMIN HEAF		
Action taken:	FICE		
	OF SEP T		
RRC extended period of review:	FILED F ADMIN		
RRC determined substantial changes:	å m		
Withdrawn by agency			
Subject to Legislative Review Other:			
Outer.			
Permane	ent Rule 0400 – 10/2015		



1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16E .0403 Records with respect to loans
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10 D. L. Lie Conditaton Lauria Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency fread of Kule-making Coordinator.
Raleigh, NC 27699-4309	1 11/10
Phone: (919) 715-7438	don't left
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Tuned Names Lannia Chairteachan
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	D OAH USE ONLY
Action taken:	
RRC extended period of review:  RRC determined substantial changes:  Withdrawn by agency Subject to Legislative Review	FILE P 2017 SEP -6 F OFFICE OF ADMIN
Other:	7 7



1. Rule-Making Agency: Office of the Commissioner of Ba	anks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16E.0404; 04 NCAC 16E .040	)5
3. Action:		
ADOPTION AMENDMENT X REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to leg	islative review?
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:	*	
X Notice Required		
Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public.	/Engrain linetitutions honks/Carines Andl	and ulas achy
Hearing on: June 16, 2017	/ I Hanciamistrutions/ banks/ Savings/And La	Jankines.aspx
Adoption by Agency on: August 23, 2017		
☐ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that app	ly):
☐ Yes	State funds affected	
Agency submitted request for consultation on:	Environmental permitting of D analysis submitted to Board of	
Consultation not required. Cite authority:	Local funds affected	Transportation
X No	Substantial economic impact (	\$1,000,000)
A 110	☐ Approved by OSBM	
	X No fiscal note required	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they longer useful or necessary.	☐ Legislation enacted by the Gen Cite Session Law: ☐ Petition for rule-making ☐ Other: either restate what is in the general statute	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or R	ule-making Coordinator;
Address: 4309 Mail Service Center	1 10	
Raleigh, NC 27699-4309	Juni Chill	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated	(reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the	ne delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	20 OFF
	ND OAH USE ONLY	6 7
Action taken:		SED ASS
		FILED  SEP -6 PM 3: 0  E OF ADMINIBEARIN
RRC extended period of review:		€ & H
RRC determined substantial changes:		E 2
Withdrawn by agency		E w
Subject to Legislative Review Other:		N O
		1.1



1. Rule-Making Agency: Office of the Commissioner of Ba	inks
2. Rule citation & name (name not required for repeal):	04 NCAC 16E .0501
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AN	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 SEP -6 PM 3: FFICE OF ADMINITION



anks			
04 NCAC 16E .0502 Holding of Certificat	e or Passbo	ook	
		_	
☐ READOPTION			
5. Rule automatically subject to legislative review?			
☐ Yes. Cite authority:			
X No			
financialinstitutions/banks/SavingsAndLoa	nRules.asp	<u>)X</u>	
8. Fiscal impact (check all that apply	):		
analysis submitted to Board of T  Local funds affected	ransporta	tion	
Cite Session Law: Petition for rule-making Other: ne language, improve readability, correct the	ne regulator	r, update	e the rule to
11. Signature of Agency Head* or Ru	le-making	Coord	inator:
coming		\	aut ta
*If this function has been delegated (I G.S. 143B-10(a), submit a copy of the	delegation	) pursu 1 with t	ant to his form.
Typed Name: Lonnie Christopher	11	201	
Title: Rule-making Coordinator	8		
D OAH USE ONLY	9	TO	ד
	200	1	=
	DAIN HEARINGS	6 PM 3: 04	
	READOPTION  5. Rule automatically subject to legis Yes. Cite authority: X No  8. Fiscal impact (check all that apply State funds affected Environmental permitting of DO analysis submitted to Board of T Local funds affected Substantial economic impact (≥S Approved by OSBM X No fiscal note required  SON FOR ACTION  Legislation enacted by the Generative Session Law: Petition for rule-making Other: Re language, improve readability, correct the or make technical changes for consistency  *If this function has been delegated (red.S. 143B-10(a), submit a copy of the Typed Name: Lonnie Christopher Title: Rule-making Coordinator	READOPTION  5. Rule automatically subject to legislative revi  Yes. Cite authority:  X No  8. Fiscal impact (check all that apply):  Environmental permitting of DOT affected analysis submitted to Board of Transporta Local funds affected  Substantial economic impact (≥\$1,000,000)  Approved by OSBM X No fiscal note required  SON FOR ACTION  Legislation enacted by the General Assemble Cite Session Law:  Petition for rule-making  Other:  Te language, improve readability, correct the regulator or make technical changes for consistency in our rule rule.  *If this function has been delegated (reassigned G.S. 143B-10(a), submit a copy of the delegation Typed Name: Lonnie Christopher Title: Rule-making Coordinator	READOPTION    S. Rule automatically subject to legislative review?   Yes. Cite authority: X No



1. Rule-Making Agency: Office of the Commissioner of Ban	ks
2. Rule citation & name (name not required for repeal): 0	4 NCAC 16E.0601; 04 NCAC 16E .0602
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes	☐ State funds affected
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
	Substantial economic impact (≥\$1,000,000)
X No	☐ Approved by OSBM
	X No fiscal note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they eilonger useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ither restate what is in the general statutes or they are outdated and no
<ul><li>10. Rule-making Coordinator: Lonnie Christopher</li><li>Address: 4309 Mail Service Center</li></ul>	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	This Flat
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
E-ivian: ichristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	D OAH USE ONLY
Action taken:	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 SEP -6 PM 3 FFICE OF ADMINIHEM



. Rule-Making Agency: Office of the Commissioner of B	anks	7707
. Rule citation & name (name not required for repeal):	04 NCAC 16E.0701: 04 NCAC 16E.0702	
Kute citation & name (name not required for repeat).	of there is blotter, of there is blotte	
Action:		
ADOPTION AMENDMENT X REPEAL	READOPTION	
. Rule exempt from RRC review?	5. Rule automatically subject to legisl	ative review?
Yes. Cite authority:	☐ Yes. Cite authority:	
No	X No	
. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public	financialinstitutions/banks/SavingsAndLoa	nRules.aspx
Hearing on: June 16, 2017		
Adoption by Agency on: August 23, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply	):
7 Voc	State funds affected	
Yes Agency submitted request for consultation on:	Environmental permitting of DO	T affected and
Consultation not required. Cite authority:	analysis submitted to Board of T	ransportation
Consultation not required. Site authority.	<ul><li>□ Local funds affected</li><li>□ Substantial economic impact (≥\$</li></ul>	1 000 000)
X No	Approved by OSBM	1,000,000)
	X No fiscal note required	
☐ Federal statute / cite: ☐ Federal regulation / cite:  9B. Explain: These rules are being repealed because they longer useful or necessary.	☐ Petition for rule-making ☐ Other: reither restate what is in the general statutes	or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Ru	le-making Coordinator:
Phone: (919) 715-7438	*If this function has been delegated (	reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the	delegation with this form.
Agency Contact, if any: Katie Bosken	To al Name I amin Obsidente	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	0
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	2017 OFFIC
	AND OAH USE ONLY	CE 7
Action taken:		SEP SEP
		P-6 FADMI
RRC extended period of review:		≝ ° m
RRC determined substantial changes:		Z Z
Withdrawn by agency		£13
		1.1
Subject to Legislative Review		FILED SEP -6 PM 3: 0. E OF ADMIN HEARIN

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 04 NCAC 16F .0101 Permitted Activities			
3. Action:  ADOPTION X AMENDMENT REPEAL READOPTION			
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Ves. Cite authority:  X No		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):		
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>		
0 DEAC	ON FOR ACTION		
9A. What prompted this action? Check all that apply:  X Agency			
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	Junie Christe		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher		
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator		
	O LITERIOR ONLY N		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	ADMIN HEARINGS		



1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 0 corporations	04 NCAC 16F .0103 Debt Limitation for wholly-owned service		
3. Action:			
ADOPTION X AMENDMENT REPEAL READOPTION			
4. Rule exempt from RRC review?  5. Rule automatically subject to legislative review?			
☐ Yes. Cite authority: ☐ Yes. Cite authority:			
X No			
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi	inancialinstitutions/banks/SavingsAndLoanRules.aspx		
Hearing on: June 16, 2017			
Adoption by Agency on: August 23, 2017			
Notice not required under G.S.:			
Adoption by Agency on:			
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):		
☐ Yes	State funds affected		
Agency submitted request for consultation on:	Environmental permitting of DOT affected and		
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected		
11.	Substantial economic impact (≥\$1,000,000)		
X No	Approved by OSBM		
	X No fiscal note required		
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language, or	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: elanguage, improve readability, correct the regulator, update the rule to bor make technical changes for consistency in our rules.		
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center			
Raleigh, NC 27699-4309	J. Phys		
Phone: (919) 715-7438	*If this familiand the state of		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken	Toward Name of Chaldranks The Chaldranks		
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator		
	O OAH USE ONLY		
Action taken:	O OAH USE ONLY  OF ASMIN HEARINGS  OF ASMIN HEARINGS		
A Desired and Applying	FADING - 6		
RRC extended period of review:			
RRC determined substantial changes:			
<ul><li>☐ Withdrawn by agency</li><li>☐ Subject to Legislative Review</li></ul>	WG 06		
Other:	38		



1. Rule-Making Agency: Office of the Commissioner of Ba	anks			
2. Rule citation & name (name not required for repeal):	04 NCAC 16F .0105 Amendments to article	s of incorpora	tion or bylaws	
3. Action:				
☐ ADOPTION X AMENDMENT ☐ REPEAL	☐ READOPTION			
4. Rule exempt from RRC review?	5. Rule automatically subject to legisla	itive review?		
Yes. Cite authority:	☐ Yes. Cite authority:			
X No	X No			
6. Notice for Proposed Rule:				
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/4">https://www.nccob.gov/Public/4</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoan	Rules.aspx		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):			
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT analysis submitted to Board of Trate Local funds affected</li> <li>Substantial economic impact (≥\$1, Approved by OSBM X No fiscal note required</li> </ul>	ansportation		
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,		regulator, upo	late the rule to	
10 D 1 U 6 U 1 U 1	The same of the sa			
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule	-making Coo	rainator:	
Raleigh, NC 27699-4309	1. 11:1			
Phone: (919) 715-7438	Some Thresh			
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (res G.S. 143B-10(a), submit a copy of the d			
Agency Contact, if any: Katie Bosken	3.5, 1.62 1.5(a), 5.23 2.5p) 5.1 1			
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	2017 S		
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	m s		
	D OAH USE ONLY	SH FR	TI	
Action taken:		10 -6	F	
		1 _	Ш	
RRC extended period of review:		五里		
RRC determined substantial changes:		A W		
Withdrawn by agency		-6 PM 3 06 ADMIN HEARING		
Subject to Legislative Review Other:		co G		



1. Rule-Making Agency: Office of the Commissioner of Bar	nks
2. Rule citation & name (name not required for repeal): 0 NCAC 16F .0109; 04 NCAC 16F .0110; 04 NCAC 16F .0111	04 NCAC 16F .0106; 04 NCAC 16F .0107; 04 NCAC 16F .0108; 04 1; 04 NCAC 16F .0112; 04 NCAC 16F .0113
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they eilonger useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other:  Ther restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED SEP -6 PM 3: 0



1. Rule-Making Agency: Office of the Commissioner of Ba	inks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0103 Definitions		
3. Action: ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes	State funds affected	
Agency submitted request for consultation on:  Environmental permitting of DOT affected and analysis submitted to Board of Transportation		
Consultation not required. Cite authority:	Local funds affected	
X No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM X No fiscal note required	
	SON FOR ACTION	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1 00 10	
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Former Christill	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
	D OAH USE ONLY	
Action taken:	FILED  EP -6 PM 3: 06  OF ADMIN HEARINGS	
	ADW -6	
RRC extended period of review;	PM T	
RRC determined substantial changes:	E w	
☐ Withdrawn by agency ☐ Subject to Legislative Review	RING 06	
Other:	63.	



1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0104 General Requirements	
27 Trans Cristian Charles and Tequines for Tepennyi STITICITIE 100 1010 TOOLSTAN REQUIREMENT	
3. Action:	# 10010000000
ADOPTION X AMENDMENT REPEAL	READOPTION  5 Puls outs motivally subject to logislative region?
4. Rule exempt from RRC review?  Yes. Cite authority:	5. Rule automatically subject to legislative review?  Yes. Cite authority:
X No	X No
	A III
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017	
Link to Agency notice: https://www.nccob.gov/Public/f	inancialinstitutions/banks/SavingsAndLoanRules.aspx
Hearing on: June 16, 2017	
Adoption by Agency on: August 23, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	O Election of the deal of the second
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes	State funds affected
Agency submitted request for consultation on:	Environmental permitting of DOT affected and analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
X No	☐ Substantial economic impact (≥\$1,000,000)
A 110	☐ Approved by OSBM
	X No fiscal note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	1 00 0
Raleigh, NC 27699-4309	Domis Christon
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
E-Man. Temistopher@necob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	TO IN INCIDENT OF THE PROPERTY
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	
RRC AND OAH USE ONLY  Action taken:  RRC extended period of review: RRC determined substantial changes:	
37000000	N. R. W
RRC extended period of review: RRC determined substantial changes:	06 RING
Withdrawn by agency	35
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0105		
3. Action:  ADOPTION AMENDMENT X REPEAL READOPTION		
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	IN CALL FOR CALL VI	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	SEP -6 PM 3: 06 SEP -6 PM 3: 06	



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0106 Confidential Information	
3. Action: ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/furantemon">https://www.nccob.gov/Public/furantemon</a> : June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	ĭnancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ☐ language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	1 20 1
Raleigh, NC 27699-4309	Some Chatte
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
Action taken:	Title: Rule-making Coordinator  D OAH USE ONLY
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	SEP -6 PM 3: 0



1. Rule-Making Agency: Office of the Commissioner of Ba	1/6///
	04 NCAC 16G .0311 Required Provisions in plan of conversion
	04 NONE 100 .0511 Required Hovisions in plan of conversion
3. Action:	
☐ ADOPTION X AMENDMENT ☐ REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017	
Link to Agency notice: https://www.nccob.gov/Public/	financialinstitutions/banks/SavingsAndLoanRules.aspx
Hearing on: June 16, 2017	
Adoption by Agency on: August 23, 2017  Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
Trace establishes of mercases a fee. (See G.S. 12-3.1)	
☐ Yes	State funds affected
Agency submitted request for consultation on:	Environmental permitting of DOT affected and analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
X No	Substantial economic impact (≥\$1,000,000)
A 110	Approved by OSBM
	X No fiscal note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: le language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11 Cinnet and Annual Heads and D. L. Co. Line
Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	Joni Christel
Phone: (919) 715-7438	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:	FICE
	SEP SEP
RRC extended period of review:	7 7
RRC determined substantial changes:	ADMIN ADMIN
Withdrawn by agency	
Subject to Legislative Review Other:	FILED SEP -6 PH: OF ADMINHEA
LI Ouldi.	55 W



1. Rule-Making Agency: Office of the Commissioner of Ba	anks	
	04 NCAC 16G .0312 Optional provision in plan of conversion	
3. Action:  ADOPTION X AMENDMENT REPEAL	DE A DODELON	
4. Rule exempt from RRC review?	READOPTION  5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:	1 1 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	
X Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public/	financialinstitutions/banks/SavingsAndLoanRules.aspx	
Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	State funds affected	
Yes Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
	<ul><li>Local funds affected</li><li>Substantial economic impact (≥\$1,000,000)</li></ul>	
X No	Approved by OSBM	
	X No fiscal note required	
9. REAS	SON FOR ACTION	
9A. What prompted this action? Check all that apply:		
X Agency	☐ Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
☐ Federal statute / cite: ☐ Petition for rule-making ☐ Federal regulation / cite: ☐ Other:		
9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to		
current practices, delete unnecessary and outdated language,		
10.00		
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-4309	7.79.1	
Phone: (919) 715-7438	Come Cary	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Katie Bosken	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
	D OAH USE ONLY	
Action taken:	m	
	OF SEP	
RRC extended period of review:	-6 -6 IE	
RRC determined substantial changes:		
☐ Withdrawn by agency ☐ Subject to Legislative Review	FILED  SEP -6 PM 3:  OF ADMIN HEAR	
Other:	₹ W	
	7 /4	

1. Rule-Making Agency: Office of the Commissioner of B	anka	
2. Rule citation & name (name not required for repeal):		nalifying denosits
2. Nate creation & name (name not required for repeat).	of New 100 .0515 Record dates for qu	auntying deposits
3. Action:		
ADOPTION X AMENDMENT REPEAL	READOPTION	121 31 33 33 33 33 3
4. Rule exempt from RRC review?  Yes. Cite authority:	5. Rule automatically subject to leg	islative review?
X No	Yes. Cite authority:	
	A No	
6. Notice for Proposed Rule:  X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/ Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLo	oanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that appl	ly):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	State funds affected □ Environmental permitting of D analysis submitted to Board of □ Local funds affected □ Substantial economic impact (≥ □ Approved by OSBM X No fiscal note required	Transportation
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,		the regulator, update the rule to
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or R	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated G.S. 143B-10(a), submit a copy of th	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher	0
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	2017 FF10
	DOAH USE ONLY	m .a
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		FILED SEP -6 PM 3: 07

1. Rule-Making Agency: Office of the Commissioner of B	Banks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0314
3. Action:  ADOPTION AMENDMENT X REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either ruseful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: restates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	ND OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED  SEP -6 PM 3: 07  OF ADMIN HEARINGS

1. Rule-Making Agency: Office of the Commissioner of E	Banks
2. Rule citation & name (name not required for repeal):	
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes, Cite authority:  X No
6. Notice for Proposed Rule:	
<ul> <li>X Notice Required         Notice of Text published on: June 1, 2017         Link to Agency notice: <a href="https://www.nccob.gov/Public.Hearing">https://www.nccob.gov/Public.Hearing</a> on: June 16, 2017         Adoption by Agency on: August 23, 2017     </li> <li>Notice not required under G.S.:         <a href="https://www.nccob.gov/Public.Hearing">Adoption by Agency on:</a></li> </ul>	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes</li> <li>Agency submitted request for consultation on:</li> <li>Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify to current practices, delete unnecessary and outdated language	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: the language, improve readability, correct the regulator, update the rule to e, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	PRICE OF ADMIN HEAR!



1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0316	
3. Action:	
3. Action:  □ ADOPTION □ AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either re useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: states what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
E-Man, lennstopher@necoo.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	
E-Mail: kbosken@nccob.gov	11 3
Action taken:	DOAH USE ONLY
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	DOAH USE ONLY  ADMIN HEARINGS  ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0404		
3. Action:  ADOPTION AMENDMENT X REPEAL READOPTION		
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either re useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator  D OAH USE ONLY	
	D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	Title: Rule-making Coordinator  D OAH USE ONLY  OF ADMIN HEARING  OF ADMIN HEARING  OF ADMIN HEARING	



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0405 Notice of filing
2.4.4	
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes	State funds affected
Agency submitted request for consultation on:  Environmental permitting of DOT affected and analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected
X No	Substantial economic impact (≥\$1,000,000)
A 110	Approved by OSBM X No fiscal note required
	A No liscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: he language, improve readability, correct the regulator, update the rule to, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	The signature of rights of their states and the signature of rights of their states and the signature of rights of their states and the signature of rights of the signature of rights of their states and the signature of the sig
Raleigh, NC 27699-4309	Fruit Lath
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	m and a claim
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator  ND OAH USE ONLY
Action taken:	
	A 1 =
DRC autanded waried of services	6 m
RRC extended period of review:  RRC determined substantial changes:	
Withdrawn by agency	₩ w
☐ Subject to Legislative Review	ON ON O
Other:	G 8



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0509 Solicitations to which rules apply
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/1 Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10 Pule making Coordinators, Lannia Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	In Signature of Agency Head or Rule-making Coordinator:
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	ID OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 7 SEP -6 PM 3: 08 CE OF ADMIN HEARING

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0510 Use of proxy soliciting material to be authorized	
3. Action:		
☐ ADOPTION X AMENDMENT ☐ REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	Cite Session Law:  Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10.01	THE STATE OF THE S	
<ol> <li>Rule-making Coordinator: Lonnie Christopher</li> <li>Address: 4309 Mail Service Center</li> </ol>	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-4309	1. Alt	
Phone: (919) 715-7438	Jone Claple	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
Action taken:	Title: Rule-making Coordinator  D OAH USE ONLY	
RRC extended period of review:  RRC determined substantial changes:	FILEI OF ADMI	
RRC determined substantial changes:  Withdrawn by agency		
Subject to Legislative Review	₹ W	
Other:	Z O	

1. Rule-Making Agency: Office of the Commissioner of Ba	nks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0511 Information to be furnished members	
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/hanks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	OF ADMIN HEARINGS	



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0512 Requirements as to proxy		
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language, or constant of the courtest practices.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	6 PM 3: 08	



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0513 Material required to be filed		
Constitution of the state of th		
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language, or	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: clanguage, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-4309	Ami Chistophe	
Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	P -6 PM 3: 08 F ADMIN HEARINGS	

1. Rule-Making Agency: Office of the Commissioner of Ba	nks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0514	
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: estates what is in the general statutes or it is outdated and no longer	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED  -6 PM 3: 08  ADMIN HEARINGS	



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0515 False or misleading statements	
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to	
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	Title: Itale making coordinates	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	D OAH USE ONLY  HEARINGS	



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal):	4 NCAC 16G .0516 Prohibition of certain solicitations	
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
ADOPTION X AMENDMENT REPEAL  4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
[ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [	Yes. Cite authority:	
Yes. Cite authority:		
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
V N	☐ Substantial economic impact (≥\$1,000,000)	
X No	Approved by OSBM	
	X No fiscal note required	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1 10	
Raleigh, NC 27699-4309	the the	
Phone: (919) 715-7438	*If this function has been delegated (reassigned) nursuant to	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082 Typed Name: Lonnie Christopher		
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY  Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review  Other:		
Action taken:	100	
	SEP TO	
D DDCdad	7 7	
RRC extended period of review:	ADW -6	
RRC determined substantial changes:		
Withdrawn by agency Subject to Legislative Review		
Subject to Legislative Review Other:	A W	
U Outel.	22 **	



1. Rule-Making Agency: Office of the Commissioner of Ba		
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0606; 04 NCAC 16G .0607	; 04 NCAC 16G .0608
3. Action: ADOPTION AMENDMENT X REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legisla  Yes. Cite authority:  X No	ative review?
. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoar	ıRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply)	
Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	State funds affected Environmental permitting of DOT analysis submitted to Board of Tr Local funds affected Substantial economic impact (≥\$1 Approved by OSBM X No fiscal note required	ansportation
Federal regulation / cite:  9B. Explain: These rules are being repealed because they longer useful or necessary.	Other: either restate what is in the general statutes of	or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule	e-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (re G.S. 143B-10(a), submit a copy of the	delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	2017 S OFFICE
	ND OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review		EP -6 PM 3: 09 OF ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Ba	anks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0712 General	
3. Action:		
ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legis  Yes. Cite authority:  X No	lative review?
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/">https://www.nccob.gov/Public/</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoa	nRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply	):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DO analysis submitted to Board of T</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	ransportation
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Cite Session Law: Petition for rule-making Other: he language, improve readability, correct the or make technical changes for consistency	ne regulator, update the rule to in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Ru	le-making Coordinator:
Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438	Come Chesto	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (1 G.S. 143B-10(a), submit a copy of the	delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher	2017 OFFICE
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator ND OAH USE ONLY	(11)
Action taken:	TO OAN USE ONL!	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		FILED  - 6 PM 3: 09  ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0713 Distribution of offering materials
3. Action:	
☐ ADOPTION X AMENDMENT ☐ REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	71 3 11
A Notice Required	
Notice of Text published on: June 1, 2017  Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx	
Hearing on: June 16, 2017	inkinetampereditors ourks, savings, musoumenessasp.
Adoption by Agency on: August 23, 2017	3: 09
☐ Notice not required under G.S.:	88.99
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	☐ Local funds affected
V No	☐ Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: It language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	2 Mil
Phone: (919) 715-7438	como chuze
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Toward Names Lauria Christophar
Phone: (919) 715-0082  Typed Name: Lonnie Christopher  Title Pule meking Coordinator	
E-Mail: kbosken@nccob.gov  Title: Rule-making Coordinator  RRC AND OAH USE ONLY	
Action taken:	D OAR USE ONL!
RRC extended period of review:  RRC determined substantial changes:  Withdrawn by agency Subject to Legislative Review Other:	FILE 2017 SEP -6 OFFICE OF ADM



1 Dula Malina Assaura Office of the Commission of Da	1		
1. Rule-Making Agency: Office of the Commissioner of Ba			
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0714			
3. Action: ADOPTION AMENDMENT X REPEAL	READOPTION		
4. Rule exempt from RRC review?	5. Rule automatically subject to legis	elativa raviaw?	
Yes. Cite authority:	Yes. Cite authority:	stative review;	
1 To 2 April 2010 1 To 1 To 1 To 2 April 2010 1 To 2 April 2010 2 Apri			
X No	X No		
6. Notice for Proposed Rule:			
X Notice Required			
Notice of Text published on: June 1, 2017			
Link to Agency notice: https://www.nccob.gov/Public/f	inancialinstitutions/banks/SavingsAndLoa	anRules.aspx	
Hearing on: June 16, 2017			
Adoption by Agency on: August 23, 2017			
Notice not required under G.S.:			
Adoption by Agency on:			
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply	y):	
	State funds affected	A-11-5	
Yes	Environmental permitting of DC	OT affected and	
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of T		
Consultation not required. Cite authority:	Local funds affected	a local programme and the second	
X No	☐ Substantial economic impact (≥5	51,000,000)	
A 1.0	☐ Approved by OSBM		
	X No fiscal note required		
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	☐ Legislation enacted by the General Cite Session Law: ☐ Petition for rule-making ☐ Other: estates what is in the general statutes or it is		
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Ru	de-making Coordinator:	
Address: 4309 Mail Service Center	The Signature of Agency fread of No.	in making coordinator.	
Raleigh, NC 27699-4309	Jone Chietater		
Phone: (919) 715-7438			
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (		
	G.S. 143B-10(a), submit a copy of the	delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	유	
Phone: (919) 715-0082	Title: Rule-making Coordinator	<b>2017</b> OFFICE	
E-Mail: kbosken@nccob.gov	D OAH USE ONLY	111	
Action taken:	D OAH USE ONL!	FILED SEP -6 PM 3: 09 OF ADMIN HEARINGS	
ACTION LANCE.		8 1 5	
		-6	
RRC extended period of review:			
RRC determined substantial changes:		E w	
Withdrawn by agency		.: O	
Subject to Legislative Review		NGS	
Other:		Activities and the second second	

1. Rule-Making Agency: Office of the Commissioner of Ba	nks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0715 Prohibited represent	ations
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to leging.  Yes. Cite authority:  X No	slative review?
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/1">https://www.nccob.gov/Public/1</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	tinancialinstitutions/banks/SavingsAndLo	anRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that appl	y):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	☐ State funds affected ☐ Environmental permitting of DC analysis submitted to Board of ☐ Local funds affected ☐ Substantial economic impact (≥ ☐ Approved by OSBM X No fiscal note required	Fransportation
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the Gene Cite Session Law: ☐ Petition for rule-making ☐ Other: ne language, improve readability, correct to or make technical changes for consistence.	he regulator, update the rule to
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Re	ule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (G.S. 143B-10(a), submit a copy of the	reassigned) pursuant to e delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	2 OFF
	D OAH USE ONLY	017 11CE
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review		FILED  2017 SEP -6 PM 3: 0:  OFFICE OF ADMIN HEARING

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0716		
2 A - Character		
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule is being repealed because it either resuseful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: states what is in the general statutes or it is outdated and no longer	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 715-7438	Comulhus/fle	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov		
	D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	DOAH USE ONLY  OF ADMIN HEARINGS  OF ADMIN HEARINGS	

#### U

0		10	IA	Ī	Al	
01	71	u.	1	1	16	
	9	161	117			

1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0717 Pricing materials
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/">https://www.nccob.gov/Public/</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: he language, improve readability, correct the regulator, update the rule to, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator  ND OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	ADMIN HEARINGS

1. Rule-Making Agency: Office of the Commissioner of Ba		
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0718 Order forms for purchasing of capital stock	
3.6.4		
3, Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fuering">https://www.nccob.gov/Public/fuering</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9. REAS	SON FOR ACTION	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,		
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	7. 20.	
Raleigh, NC 27699-4309	Ona Cartle	
Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
	ND OAH USE ONLY	
Action taken:	THICE OF ADMIN HEAR	
	FIL SEP -6	
RRC extended period of review:	5 1 7	
RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review	A w	
Other:	₹ "	



1. Rule-Making Agency: Office of the Commissioner of Ba	anks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0719		
3. Action: ADOPTION AMENDMENT X REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/t Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	☐ State funds affected	
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected	
X No	☐ Substantial economic impact (≥\$1,000,000)	
A 100	Approved by OSBM	
	X No fiscal note required	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center Raleigh, NC 27699-4309	1 2011	
Phone: (919) 715-7438	Ami Calte	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
	D OAH USE ONLY	
Action taken:	Title: Rule-making Coordinator  DOAH USE ONLY  OF ADMIN HEARING  OF ADMIN HEARING	
	P - T	
RRC extended period of review:	P-6	
RRC determined substantial changes:		
Withdrawn by agency	₩ w	
Subject to Legislative Review Other:		
L Culti.	9 =	



1. Rule-Making Agency: Office of the Commissioner of B	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0720 Period for completion of sale
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/ Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify t current practices, delete unnecessary and outdated language.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: he language, improve readability, correct the regulator, update the rule to, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	ND OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED SEP -6 PM 3: 11 OF ADMIN HEARINGS

1. Rule-Making Agency: Office of the Commissioner of Bar	nks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0722 Extension of time to complete offerings			
3. Action:  ADOPTION X AMENDMENT REPEAL READOPTION			
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?		
Yes. Cite authority:	☐ Yes. Cite authority:		
X No	X No		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):		
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>		
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language, or constant of the current practices.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.		
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:		
Phone: (919) 715-7438	Come Cliftes		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher Title: Rule-making Coordinator		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator		
E-Mail: kbosken@nccob.gov	DOLLI LICE ONLY		
Action taken:	DOAH USE ONLY		
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	-6 PM 3: 12 ADMIN HEARINGS		

1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0822
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either re useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: states what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	DOAH USE ONLY  ONL

1. Rule-Making Agency: Office of the Commissioner of Ba	inks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .0823 Additional filing requirements
3. Action:	
ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f	inancialinetitutiane/hanks/Savinos AndLaanRules asny
Hearing on: June 16, 2017	manufathistitutions, danks, savings, the rounted suspec
Adoption by Agency on: August 23, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	8. Fiscal impact (check all that apply):
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	
☐ Yes	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and</li> </ul>
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
X No	☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM
	X No fiscal note required
O DEAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:	SON FOR ACTION
X Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making Other:
Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th	ne language, improve readability, correct the regulator, update the rule to
current practices, delete unnecessary and outdated language,	or make technical changes for consistency in our rules.
10 D. L. Li C. Waster Lauris Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	Fruit Carth
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	7-12 <sub>0</sub>
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
Action taken:	DO OAH USE ONLY  ADMIN HEARINGS 12
Treated tables	N D E
	HEA PR
RRC extended period of review: RRC determined substantial changes:	ARIN W
Withdrawn by agency	68
Subject to Legislative Review	
Other:	



1. Rule-Making Agency: Office of the Commissioner of Bar	nks
	04 NCAC 16G .0824; 04 NCAC 16G .0825; 04 NCAC 16G .0826; 04
3. Action:	
ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required. Cite authority.	Local funds affected
X No	Substantial economic impact (≥\$1,000,000)
Market Comment of the	Approved by OSBM X No fiscal note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they elonger useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: either restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or Rule-making Coordinator:
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	mid n t C O distant
E-Mail: kbosken@nccob.gov	ND OAH USE ONLY
Action taken:	SEP SEP
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED SEP -6 PM 3: 12

1. Rule-Making Agency: Office of the Commissioner of Bar	nks
2. Rule citation & name (name not required for repeal): (NCAC 16G .0833	04 NCAC 16G .0830; 04 NCAC 16G .0831; 04 NCAC 16G .0832; 04
3. Action:	
ADOPTION AMENDMENT X REPEAL 4. Rule exempt from RRC review?	READOPTION  5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
- V 107-	A 10
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes	☐ State funds affected
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
	Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These rules are being repealed because they e longer useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ither restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	7 00 0
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Jone The
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	The state of the s
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	
Action taken:	0 0
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	D OAH USE ONLY  FILED  FILED  FILED  FILED



1. Rule-Making Agency: Office of the Commissioner of Ban	iks
2. Rule citation & name (name not required for repeal): 0 NCAC 16G .0911; NCAC 16G .0912	04 NCAC 16G .0908; 04 NCAC 16G .0909; 04 NCAC 16G .0910; 04
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fi Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
☐ Court order / cite: ☐ Federal statute / cite: ☐ Federal regulation / cite:  9B. Explain: These rules are being repealed because they eilonger useful or necessary.	Cite Session Law:  Petition for rule-making Other:  Other:  Other restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	D OAH USE ONL!
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED EP -6 PM 3: 12 F ADMIN HEARINGS

1. Rule-Making Agency: Office of the Commissioner of Bar	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16G .1203; 04 NCAC 16G .1204
N A 200	
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f	inancialinetitutions/hanks/Savinos And Loan Rules aspy
Hearing on: June 16, 2017	IIII Ciamstitutions outliks savings that out a tree in pro-
Adoption by Agency on: August 23, 2017	
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
7. Rule establishes of increases a fee: (See G.S. 12-5.1)	State funds affected
☐ Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required. Cite authority.	<ul><li>Local funds affected</li><li>Substantial economic impact (≥\$1,000,000)</li></ul>
X No	Approved by OSBM
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they e longer useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: either restate what is in the general statutes or they are outdated and no
	Lat City of Canada and Dula making Coordinators
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	J. Potot
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov  RRC AN	
Action taken:	FILED OF ADMIN HEARINGS
	6 E
RRC extended period of review:	HH PN
RRC determined substantial changes:	W W
☐ Withdrawn by agency ☐ Subject to Legislative Review	INGS
Other:	S .9

1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16H .0101 Definitions
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nceob.gov/Public/fusering">https://www.nceob.gov/Public/fusering</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	Tinancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:  The language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	2 22 4
Raleigh, NC 27699-4309	Amil that
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:	○ m
	ADMIN HEARINGS
RRC extended period of review:	\$ W
RRC determined substantial changes:	AR W
Withdrawn by agency	460
Subject to Legislative Review	S
Other:	

1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16H .0103 Notice to savings institution
3. Action:	
☐ ADOPTION X AMENDMENT ☐ REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Cite Session Law:  Petition for rule-making Other: he language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	The Signature of A Parish and A
Raleigh, NC 27699-4309	7.1211
Phone: (919) 715-7438	Dave Chaff
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
RRC AN	ND OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	FILED  SEP -6 PN 3: 12  OF ADMIN HEARING
Other:	9 N



1 Data Malina Assess Office of the Commissioner of De	ulia .
1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16H .0104 Adoption of plan
3. Action:	T proposition
ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f	innusialinational banks (Savings And Joan Dules serv
Hearing on: June 16, 2017	manetamismumons/banks/savingsAndDoankures.aspA
Adoption by Agency on: August 23, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	
	8. Fiscal impact (check all that apply):
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	
☐ Yes	State funds affected
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
2	Local funds affected
X No	Substantial economic impact (≥\$1,000,000)  Approved by OSBM
	X No fiscal note required
	A 110 listar note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	1. the
Phone: (919) 715-7438	Com Chapt
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	
	D OAH USE ONL!
Action taken:	SEP SEP
	-6 T
RRC extended period of review:	P-6
RRC determined substantial changes:	FILED SEP -6 PM 3: 12 OF ADMIN HEARING
Withdrawn by agency	A W
Subject to Legislative Review	£ "
Other:	@ N



1 Dala Making Assault Office of the Commissioner of Do	wka
1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	34 NCAC 10H .0103 Approval by administrator
3. Action:	
ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
	A 110
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017	
Link to Agency notice: https://www.nccob.gov/Public/f	inancialinstitutions/banks/SavingsAndLoanRules.aspx
Hearing on: June 16, 2017	
Adoption by Agency on: August 23, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
☐ Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
X No	☐ Substantial economic impact (≥\$1,000,000)
A 110	☐ Approved by OSBM
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	1 11
Raleigh, NC 27699-4309	To Plant
Phone: (919) 715-7438	White the state of
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	0 10
Action taken:	RD OAH USE ONLY
renon taken	≦
	N PM
RRC extended period of review:	<b>TO 1</b>
RRC determined substantial changes:	A W
Withdrawn by agency	S w
Subject to Legislative Review	S
Other:	



1. Rule-Making Agency: Office of the Commissioner of Bar	iks
2. Rule citation & name (name not required for repeal):	04 NCAC 16H .0106; 04 NCAC 16H .0107
3. Action	
3. Action: ADOPTION AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>☐ State funds affected</li> <li>☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>☐ Local funds affected</li> <li>☐ Substantial economic impact (≥\$1,000,000)</li> </ul>
A NO	Approved by OSBM X No fiscal note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they eilonger useful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ither restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher Title Pule meking Coordinator
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of I	Banks
2. Rule citation & name (name not required for repeal):	
3. Action:	
☐ ADOPTION X AMENDMENT ☐ REPEAT	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	c/financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
V N-	Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify current practices, delete unnecessary and outdated language	☐ Petition for rule-making ☐ Other: the language, improve readability, correct the regulator, update the rule to ge, or make technical changes for consistency in our rules.
10. D. Le andre Consideration Langua Christophor	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	Jone Chapte
Phone: (919) 715-7438	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	Title: Rule-making Coordinator  AND OAH USE ONLY  OF ADMIN HEARIN
Action taken:	38 0
	7 7 7
DDC	SEP -6 E OF ADM
RRC extended period of review:	
RRC determined substantial changes: Withdrawn by agency	<b> </b>
Subject to Legislative Review	₩ W
Other:	
L ~	0 W

1. Rule-Making Agency: Office of the Commissioner of Ba	inks
2. Rule citation & name (name not required for repeal):	04 NCAC 16I .0702 Definitions and other terms
2 Andrew	
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on:	☐ State funds affected ☐ Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
	<ul><li>Local funds affected</li><li>Substantial economic impact (≥\$1,000,000)</li></ul>
X No	Approved by OSBM
	X No fiscal note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,  10. Rule-making Coordinator: Lonnie Christopher	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: le language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.  11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	11. Signature of Agency ficad of Kule-making Coordinator.
Raleigh, NC 27699-4309	7. Ala1
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	D CALL HEE ONLY
Action taken:	D OAH USE ONLY
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	SEP -6 PM 3: 13 OF ADMIN HEARINGS

1. Rule-Making Agency: Office of the Commissioner of Ba	
	04 NCAC 161 .0703 Standards for approval of acquisition: Duties and
conduct	
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/fl Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
O DEAS	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ne language, improve readability, correct the regulator, update the rule to
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AN	ND OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED SEP -6 PM 3: 13 OF ADMIN HEARINGS



	1/0/1/
1. Rule-Making Agency: Office of the Commissioner of I	
2. Rule citation & name (name not required for repeal):	04 NCAC 16I .0704
3. Action: ADOPTION AMENDMENT X REPEAL	READOPTION
ADOPTION AMENDMENT X REPEAL  4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
ALEMOTT THE 10 TO 10	Yes. Cite authority:
Yes. Cite authority:	X No
X No	X NO
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017	
Link to Agency notice: https://www.nccob.gov/Public	tinancialinstitutions/banks/SavingsAndLoanRules.aspx
Hearing on: June 16, 2017	
Adoption by Agency on: August 23, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required. Cite authority.	Local funds affected
X No	Substantial economic impact (≥\$1,000,000)
110	Approved by OSBM X No fiscal note required
	A No fiscal flote required
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either useful or necessary.	Cite Session Law: Petition for rule-making Other: restates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Typed Name: Lonnie Christopher  Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	AND OAH USE ONLY
Action taken:	
Action taken.	A P T
	P-6 PM
RRC extended period of review:	m .
RRC determined substantial changes:	H → □
Withdrawn by agency	547
	55 W
Subject to Legislative Review Other:	FILED SEP -6 PM 3: 13 OF ADMIN HEARING

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .0801 Prior written approval required for acquisitions	
3. Action:	
ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?  Yes. Cite authority:
Yes. Cite authority:	X No
X No	A NO
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017  Link to Agency notice: https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx	
Hearing on: June 16, 2017	
Adoption by Agency on: August 23, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	8. Fiscal impact (check all that apply):
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	
☐ Yes	☐ State funds affected ☐ Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
X No	Substantial economic impact (≥\$1,000,000)
7. 110	Approved by OSBM X No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply:  X Agency	
	Vi di Dila Cambinatan
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	Jone Clifts
Phone: (919) 715-7438	Com Cliffe
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	ND OAH USE ONLY
Agtion tokon:	
Action taken.	
T PRO 1 de de francisco	
RRC extended period of review:  RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	



		2601.
I. Rule-Making Agency: Office of the Commissioner of E	Banks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16I .0802 Application fees: Fil	ings
. Auto Anna de mano (Anna de la Propinsione)		
3. Action:		
ADOPTION X AMENDMENT  REPEAL	READOPTION	
I. Rule exempt from RRC review?	5. Rule automatically subject to legis	slative review?
Yes. Cite authority:	Yes. Cite authority:	
K No	X No	
7 109		
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public	:/financialinstitutions/banks/SavingsAndLo	anRules.aspx
Hearing on: June 16, 2017		
Adoption by Agency on: August 23, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that appl	y):
	State funds affected	
Yes	Environmental permitting of Do	OT affected and
Agency submitted request for consultation on:	analysis submitted to Board of	Fransportation
Consultation not required. Cite authority:	Local funds affected	•
	☐ Substantial economic impact (≥	\$1,000,000)
X No	Approved by OSBM	
	X No fiscal note required	
Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify current practices, delete unnecessary and outdated language	Petition for rule-making Other: the language, improve readability, correct ge, or make technical changes for consistence	the regulator, update the rule to ey in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or R	ule-making Coordinator:
Raleigh, NC 27699-4309	Jones / That	
Phone: (919) 715-7438	*If this function has been delegated	(reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of th	e delegation with this form.
A Contact if any Vatio Dockon	Giora in a rolant a copy of the	ALL MANAGEMENT AND
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	0F
RRC	AND OAH USE ONLY	2017 FFIC
Action taken:	22-0-3-0	m
Action taken.		SEP T
		FILE P-6 FADMIN
RRC extended period of review:		
RRC determined substantial changes:		£ 2 0
Withdrawn by agency		577
Subject to Legislative Review		FILED SEP -6 PM 3: 13 OF ADMIN HEARINGS
Other:		_ <u></u>
		1.63



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	
3. Action: ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9 REA	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify to current practices, delete unnecessary and outdated language.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: the language, improve readability, correct the regulator, update the rule to e, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	Frui Cart
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G S 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Title: Rule-making Coordinator
RRC A	ND OAH USE ONLY
Action taken:	<b></b>
	2 **
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	GS 4GS
E-Mail: kbosken@nccob.gov  RRC A  Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency	Title: Rule-making Coordinator  ND OAH USE ONLY



1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .0901 Required acquisition application	
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or Rule-making Coordinator:
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	DOAH USE ONLY  SEP -6 PM 3: 14  OF ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .0902 Acquisition procedure		
2. 1 - 1 - 2 - 2 - 2		
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
Yes	State funds affected	
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
X No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM X No fiscal note required	
	SON FOR ACTION	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center		
Raleigh, NC 27699-4309	Emo Chific	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
E-Wan: Ichnistopher@necoo.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	Toward Names Lannia Christopher	
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
Action taken:		
	6 E	
RRC extended period of review:	责 <b>≥ </b> ●	
RRC determined substantial changes:	A W	
Withdrawn by agency	NGS 14	
Subject to Legislative Review Other:		

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .1001 Required acquisition applications		
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017		
Adoption by Agency on: August 23, 2017		
☐ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes	State funds affected	
Agency submitted request for consultation on:	Environmental permitting of DOT affected and analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
W 31	Substantial economic impact (≥\$1,000,000)	
X No	Approved by OSBM	
	X No fiscal note required	
current practices, delete unnecessary and outdated language,		
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center Raleigh, NC 27699-4309	1 111	
Phone: (919) 715-7438	Some Cate	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	9 11	
Action taken:	-6 PN 3: 14 ADMIN HEARINGS	
RRC extended period of review:	25 14	
RRC determined substantial changes:	RING	
☐ Withdrawn by agency ☐ Subject to Legislative Review	GS 4	
Other:		



I. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16I .1002 Foreign applicants
Action:	D DE A DODELON
☐ ADOPTION X AMENDMENT ☐ REPEAL  I. Rule exempt from RRC review? ☐ Yes. Cite authority:  X No	<ul> <li>☐ READOPTION</li> <li>5. Rule automatically subject to legislative review?</li> <li>☐ Yes. Cite authority:</li> <li>X No</li> </ul>
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify to current practices, delete unnecessary and outdated language	☐ Other: the language, improve readability, correct the regulator, update the rule to e, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC A	THE CAME OF THE PARTY OF THE PA
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	OF ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .1003 Acquisition procedure		
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/f Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
Yes Agency submitted request for consultation on: Consultation not required. Cite authority:	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> </ul>	
X No	<ul> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center		
Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438	Comi Carlo	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher  Title: Rule making Coordinator	
E-Mail: kbosken@nccob.gov	Title: Rule-making Cooldinator	
DDC AND CAHING CINI V		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	ADMIN HEARINGS	



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .1101		
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
<ul> <li>Notice for Proposed Rule:</li> <li>X Notice Required         Notice of Text published on: June 1, 2017         Link to Agency notice: <a href="https://www.nceob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nceob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a></li></ul>		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite;  9B. Explain: This rule is being repealed because it either re useful or necessary.	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: states what is in the general statutes or it is outdated and no longer	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-4309	The state of the s	
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to	
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	
Phone: (919) 715-0082	Title: Pula making Coordinator	
E-Mail: kbosken@nccob.gov	D OAH USE ONLY	
Action taken:		
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	D OAH USE ONLY  DO OAH USE ONLY  PM 32  GG 55	



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	
3. Action:	☐ READOPTION
ADOPTION X AMENDMENT REPEAL 4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nceob.gov/Public/1">https://www.nceob.gov/Public/1</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
	Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Cite Session Law:  Petition for rule-making  Other:  he language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10 D. L. Condinator Lauris Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of riginey fread of reals making coordinators
Raleigh, NC 27699-4309	To MA
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	
E-Mail: kbosken@nccob.gov	UD CALLEGE ONLY
Action taken:	- 6 IL
The same of the sa	₩ m
DDC autom ded maried of reviews	· · · · · · · · · · · · · · · · · · ·
RRC extended period of review: RRC determined substantial changes:	₹ ¥
Withdrawn by agency	VGS 15
Subject to Legislative Review	0,
Other:	

1. Rule-Making Agency: Office of the Commissioner of Bo	anks
2. Rule citation & name (name not required for repeal):	
3. Action:  ☐ ADOPTION X AMENDMENT ☐ REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify to current practices, delete unnecessary and outdated language	Petition for rule-making Other: the language, improve readability, correct the regulator, update the rule to e, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	Title: Rule-making Coordinator  AND OAH USE ONLY  NHEARINGS  SOLUTION  AND OAH USE ONLY  NHEARINGS  SOLUTION  AND OAH USE ONLY
RRC determined substantial changes: Withdrawn by agency	NGS NGS

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): bylaws	04 NCAC 161 .1104 Filings and approval: Amendments to articles and
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fuering">https://www.nccob.gov/Public/fuering</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: he language, improve readability, correct the regulator, update the rule to for make technical changes for consistency in our rules.
10 Duly washing Coordinators Lappia Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	And Alle
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	VI) () AH LISE () NI V
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	SEP -6 PN 3: 15



1 P. I. Malina A annual Office of the Commissioner of Po	nke	
1. Rule-Making Agency: Office of the Commissioner of Ba		
2. Rule citation & name (name not required for repeal): 0	14 NCAC 161 .1105 Reports	
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION	
	5. Rule automatically subject to legislative review?	
4. Rule exempt from RRC review?	Yes. Cite authority:	
Yes. Cite authority:		
X No	X No	
6. Notice for Proposed Rule:		
X Notice Required		
X Notice Required Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public/f	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
Hearing on: June 16, 2017		
Adoption by Agency on: August 23, 2017		
☐ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
	Substantial economic impact (≥\$1,000,000)	
X No	Approved by OSBM	
	X No fiscal note required	
0. 0016	SON FOR ACTION	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
	The Date of the Coordinators	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1 the	
Raleigh, NC 27699-4309	Omi la fle	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
E-IVIAII; Ichi istopher@necoo.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082		
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
RRC AN	ID OAH USE ONLY	
Action taken:	ADMIN HEARINGS	
1. 180 (1. 180)	AN H	
	<b>= =</b>	
RRC extended period of review:	₹ ₩	
RRC determined substantial changes:	8	
Withdrawn by agency	GS U	
Subject to Legislative Review		
Other:		

1. Rule-Making Agency: Office of the Commissioner of Ba	ınks
2. Rule citation & name (name not required for repeal):	
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
ADOPTION AMENDMENT X REPEAL 4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
4.0 -000-	1730
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/1	financialinstitutions/banks/SavingsAndLoanRules.aspx
Hearing on: June 16, 2017	CERCITO INCLUSION AND ADMINISTRATION OF THE PROPERTY OF THE PR
Adoption by Agency on: August 23, 2017	
☐ Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
Community	☐ Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule is being repealed because it either ruseful or necessary.	Cite Session Law:  Petition for rule-making Other: restates what is in the general statutes or it is outdated and no longer
10. D. L. L. C. L'adam Lauria Christanhar	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	Anie Chatte
Phone: (919) 715-7438	*If this function has been delegated (reassigned) persuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	ND OAH USE ONLY
Action taken:	THE STATE OF THE S
To the state of th	AR W
A continue de maria de la con-	98
RRC extended period of review:	
RRC determined substantial changes: Withdrawn by agency	
Subject to Legislative Review	
Other:	



1. Rule-Making Agency: Office of the Commissioner of Ba	nks
Rule citation & name (name not required for repeal):	04 NCAC 16I .1201; 04 NCAC 16I .1202; 04 NCAC 16I .1203
" Rule chanon & name (name not require	
3. Action:	
ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/Savings And Loan Rules, aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
a state and an internal and an	State funds affected
☐ Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	☐ Local funds affected
v N	Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they longer useful or necessary.	☐ Petition for rule-making ☐ Other: either restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	7 the
Phone: (919) 715-7438	Drus Chaft
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher  Typed Name: Coordinator
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A	Typed Name: Lonnie Christopher
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A Action taken:	Typed Name: Lonnie Christopher
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A	Typed Name: Lonnie Christopher
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A Action taken:	Typed Name: Lonnie Christopher
Phone: (919) 715-0082  E-Mail: kbosken@nccob.gov  RRC A  Action taken:   RRC extended period of review:	Typed Name: Lonnie Christopher
Phone: (919) 715-0082  E-Mail: kbosken@nccob.gov  RRC A  Action taken:  RRC extended period of review:  RRC determined substantial changes:	Typed Name: Lonnie Christopher
Phone: (919) 715-0082  E-Mail: kbosken@nccob.gov  RRC A  Action taken:   RRC extended period of review:	Typed Name: Lonnie Christopher Title: Rule-making Coordinator  ND OAH USE ONLY  OF AD  AD  AD  AD  AD  AD  AD  AD  AD  AD

1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	
• 140	
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify t current practices, delete unnecessary and outdated language	Petition for rule-making Other: the language, improve readability, correct the regulator, update the rule to to, or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center Raleigh, NC 27699-4309	1 1941
Phone: (919) 715-7438	Jone Chifte
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
RRC A	ND OAH USE ONLY
Action taken:	WIN P
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	ND OAH USE ONLY  ADMIN HEARINGS  ADMIN HEARINGS



I. Rule-Making Agency: Office of the Commissioner of B	anks
2. Rule citation & name (name not required for repeal):	
. Action:	
ADOPTION X AMENDMENT REPEAL	
Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
( No	X No
. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/Hearing">https://www.nccob.gov/Public/Hearing</a> on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
	Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
Federal statute / cite: Federal regulation / cite:  Representation / cite:	☐ Petition for rule-making ☐ Other:
current practices, delete unnecessary and outdated language	the language, improve readability, correct the regulator, update the rule t
current practices, delete unnecessary and outdated language  10. Rule-making Coordinator: Lonnie Christopher	the language, improve readability, correct the regulator, update the rule t
current practices, delete unnecessary and outdated language  10. Rule-making Coordinator: Lonnie Christopher  Address: 4309 Mail Service Center	the language, improve readability, correct the regulator, update the rule te, or make technical changes for consistency in our rules.  11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	the language, improve readability, correct the regulator, update the rule to e, or make technical changes for consistency in our rules.  11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	the language, improve readability, correct the regulator, update the rule te, or make technical changes for consistency in our rules.  11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A Action taken:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A Action taken:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A Action taken:  RRC extended period of review: RRC determined substantial changes:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov  RRC A Action taken:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator

- can be a constant	101 c	
1. Rule-Making Agency: Office of the Commissioner of Ba	anks	04 NCAC 161 0102
2. Rule citation & name (name not required for repeal):	04 NCAC 16J .0101; 04 NCAC 16J .0102;	04 NCAC 16J .0103
3. Action:  ☐ ADOPTION ☐ AMENDMENT X REPEAL	READOPTION	
ADOPTION AMENDMENT X REPEAL  4. Rule exempt from RRC review?	5. Rule automatically subject to legisl	ative review?
	Yes. Cite authority:	
Yes. Cite authority:	X No	
X No	X 140	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public	/financialinstitutions/banks/SavingsAndLoa	nRules.aspx
Hearing on: June 16, 2017		
Adoption by Agency on: August 23, 2017		
Notice not required under G.S.:		
Adoption by Agency on:	o E' 1' wat (about all that apply	\.
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply	).
	State funds affected	m 00 1 1
Yes Agency submitted request for consultation on:	Environmental permitting of DO	T affected and
Consultation not required. Cite authority:	analysis submitted to Board of T	ransportation
Consultation not required.	<ul><li>□ Local funds affected</li><li>□ Substantial economic impact (≥\$</li></ul>	1 000 000)
X No	Approved by OSBM	1,000,000)
	X No fiscal note required	
	ASON FOR ACTION	
Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they longer useful or necessary.	☐ Petition for rule-making ☐ Other: reither restate what is in the general statutes	or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Ru	de-making Coordinator:
Address: 4309 Mail Service Center	1 11	
Raleigh, NC 27699-4309	Tours / 1/1/	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (	reassigned) pursuant to
E-IVIAH: ICHIIStopher@necoo.gov	G.S. 143B-10(a), submit a copy of the	delegation with this form.
Agency Contact, if any: Katie Bosken	m Construction Construction	2017 S
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	SEP TI
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	
	AND OAH USE ONLY	A FE
Action taken:		- III
		R R
DRC autoridad paried of review		PM 3:
RRC extended period of review:		PM 3: 1
RRC determined substantial changes:		-6 PM 3: 16 ADMIN HEARINGS
		PM 3: 16



nks
04 NCAC 16K .0101 Definitions
READOPTION
5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
inancialinstitutions/banks/SavingsAndLoanRules.aspx
8. Fiscal impact (check all that apply):
<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
SON FOR ACTION
Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
11. Signature of Agency Head* or Rule-making Coordinator:
*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Typed Name: Lonnie Christopher
Typed Name: Lonnie Christopher Title: Rule-making Coordinator  ND OAH USE ONLY
ND OAH USE ONLY
FILED OF ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Bar	ks
2. Rule citation & name (name not required for repeal): 0-	4 NCAC 16K .0102 Applications
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	nancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language, or the court of the current practices.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	1 0210
Raleigh, NC 27699-4309	Jonnie Christie
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED FADMIN HEARIN

1. Rule-Making Agency: Office of the Commissioner of Bar	
2. Rule citation & name (name not required for repeal): 0 institutions	4 NCAC 16K .0103 Consolidation or merger of two or more savings
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language, or the court of the current practices.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	OFFICE OF ADMIN HEAR

1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16K .0104 Administration of trust powers
3. Action:	
☐ ADOPTION X AMENDMENT ☐ REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
current practices, delete unnecessary and outdated language,	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27699-4309	7 Phyl
Phone: (919) 715-7438	Comi Chapter
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	-6 PM 3: 17 ADMIN HEARINGS
Other:	



1. Rule-Making Agency: Office of the Commissioner of Ba	anks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16K .0105 Books and accounts	
	Windows Carlot and the control of th	
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION	
ADOPTION X AMENDMENT REPEAL 4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
	A 110	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/9	Gaza delia stitution s /hanka /Carinna Andl gan Pulas serv	
Hearing on: June 16, 2017	manciam studions/banks/ Savings/Yndr.Oamvarcs.aspx	
Adoption by Agency on: August 23, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority.	Local funds affected	
X No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM X No fiscal note required	
	A Tio lisear note (144)	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: he language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
	11 C	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-4309	1 11	
Phone: (919) 715-7438	Come Churtes	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	
Phone: (919) 715-0082	Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	ND OAH USE ONLY	
Action taken:	TFICE ONLY	
Treated tables	CE 7	
	E OF	
RRC extended period of review:	AD - FI	
RRC determined substantial changes:	8 6 1	
Withdrawn by agency	<b>E 2 0</b>	
Subject to Legislative Review Other:	FILED 2017 SEP -6 PM 3* FICE OF ADMIN HEAR	
LI VIIIVI		



1. Rule-Making Agency: Office of the Commissioner of Ba	inks	
2. Rule citation & name (name not required for repeal):		
3. Action:		
ADOPTION X AMENDMENT REPEAL	READOPTION  5. Rule automatically subject to legislative review?	
4. Rule exempt from RRC review?  Yes, Cite authority:	Yes. Cite authority:	
X No	X No	
	70,700	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.nccob.gov/Public/f	inancialinstitutions/banks/SavingsAndLoanRules.aspx	
Hearing on: June 16, 2017		
Adoption by Agency on: August 23, 2017  Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	State funds affected	
Yes Frygronmental permitting of DOT affected and		
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority.	<ul> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> </ul>	
X No	Approved by OSBM	
	X No fiscal note required	
O DEAS	SON FOR ACTION	
9A. What prompted this action? Check all that apply:	SON FOR ACTION	
X Agency	☐ Legislation enacted by the General Assembly	
Court order / cite: Cite Session Law:		
Federal statute / cite:	Petition for rule-making Other:	
Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th	le language, improve readability, correct the regulator, update the rule to	
current practices, delete unnecessary and outdated language,	or make technical changes for consistency in our rules.	
The second of the second secon		
	The second secon	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-4309	1 184	
Phone: (919) 715-7438	Some Chuffle	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher	
Phone: (919) 715-0082		
E-Mail: kbosken@nccob.gov	D OAH USE ONLY	
Action taken:	DOAN GE ONE!	
	E W	
	D OAH USE ONLY  D OAH USE ONLY	
RRC extended period of review: RRC determined substantial changes:	<b>©</b> ∞	
Withdrawn by agency		
Subject to Legislative Review		
Other:		

1. Rule-Making Agency: Office of the Commissioner of Ba	anks	
2. Rule citation & name (name not required for repeal):	04 NCAC 16K .0107 Funds awaiting investment or dis	tribution
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review	v?
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:	100	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>X No</li> </ul>	State funds affected □ Environmental permitting of DOT affected a analysis submitted to Board of Transportation □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM X No fiscal note required	
O DEA	SON FOR ACTION	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: he language, improve readability, correct the regulator, or make technical changes for consistency in our rules	update the rule to
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making C	Coordinator:
Address: 4309 Mail Service Center	1 00	
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Conui Chertoles	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) G.S. 143B-10(a), submit a copy of the delegation	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher	
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator	297
	ND OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	ADMIN HE	FILED SEP -6 PM 3: 18



1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16K .0108 Investment of funds held as fiduciary
3. Action:	D DELDORTION
ADOPTION X AMENDMENT REPEAL	READOPTION  5. Rule automatically subject to legislative review?
4. Rule exempt from RRC review?	Yes. Cite authority:
Yes. Cite authority:	X No
X No	X NO
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/Savings And Loan Rules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
X No	☐ Substantial economic impact (≥\$1,000,000)
A 110	Approved by OSBM X No fiscal note required
	A No fiscal flote required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ne language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10 Delegation Coordinator Lannia Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	The digital to the first of the making cost and the
Raleigh, NC 27699-4309	1 1210
Phone: (919) 715-7438	Comelle file
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	Typed Name: Lonnie Christopher
Phone: (919) 715-0082	
E-Mail: kbosken@nccob.gov	ND OAH USE ONLY
Action taken:	m
Action taken.	OF SEP
	2 1 =
RRC extended period of review:	& F
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	₹ ₩
Other:	G 80



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0109 Self-dealing		
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	
6. Notice for Proposed Rule:		
<ul> <li>Notice for Proposed Rule:</li> <li>X Notice Required         Notice of Text published on: June 1, 2017         Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a></li></ul>		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: e language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1 1-	
Raleigh, NC 27699-4309	come totale	
Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a) submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken		
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov		
Action taken:	7 20 00 00 00 00 00 00 00 00 00 00 00 00	
1.55 alice 3.51	DOAH USE ONLY  2017 SEP -6 PM 3:  PILED  PILED	
DRC aytanded paried of ravious	SEP SEP	
RRC extended period of review: RRC determined substantial changes:	FILED P-6 PI	
Withdrawn by agency	PM PM	
Subject to Legislative Review	<b>责</b>	
Other:	<b>≒</b> ₩	



1. Rule-Making Agency: Office of the Commissioner of Ba	inks Custody of investments
2. Rule citation & name (name not required for repeal):	04 NCAC 16K .0110
3. Action:	
ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
□ V	☐ State funds affected
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
	Substantial economic impact (≥\$1,000,000)
X No	☐ Approved by OSBM
	X No fiscal note required
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	Cite Session Law:  Petition for rule-making  Other:  The language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	
Raleigh, NC 27699-4309	Tomis Chitate
Phone: (919) 715-7438	*If this function has been delegated (reassigned) pursuant to
E-Mail: lchristopher@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	ND OAH USE ONLY
Action taken:	
The state of the s	2017 FFIC
	SEP TO
RRC extended period of review:	T P T
RRC determined substantial changes:	
Withdrawn by agency	ADI.
☐ Withdrawn by agency ☐ Subject to Legislative Review	PILED 2017 SEP -6 PM 3 OFFICE OF ADMIN HEA



1. Rule-Making Agency: Office of the Commissioner of B	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16K .0111 Compensation of savings institution
3. Action:	
☐ ADOPTION X AMENDMENT ☐ REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/ Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9. REA	SON FOR ACTION
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	ID OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED  V SEP -6 PM 3: 18  CE OF ADMIN HEARINGS

1. Rule-Making Agency: Office of the Commissioner of Ba	nks
2. Rule citation & name (name not required for repeal):	04 NCAC 16K .0112 Collective investment
3. Action:  ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: It le language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	DOAH USE ONLY
Action taken:  RRC extended period of review; RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	ADMIN HEARINGS



1. Rule-Making Agency: Office of the Commissioner of Bar	
2. Rule citation & name (name not required for repeal): 0 NCAC 16K .0116	04 NCAC 16K .0113; 04 NCAC 16K .0114; 04 NCAC 16K .0115; 04
3. Action:  ADOPTION AMENDMENT X REPEAL [	READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/fi">https://www.nccob.gov/Public/fi</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: These rules are being repealed because they explain or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ither restate what is in the general statutes or they are outdated and no
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Toward Names Lauria Christopher
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	Title: Rule-making Coordinator  D OAH USE ONLY  HEARINGS  19



1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0117 Reports and fees	
3. Action:	
ADOPTION X AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/f">https://www.nccob.gov/Public/f</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	inancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply);
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule amendment either seeks to clarify th current practices, delete unnecessary and outdated language,	Cite Session Law:  Petition for rule-making Other:  le language, improve readability, correct the regulator, update the rule to or make technical changes for consistency in our rules.
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	1 PM
Raleigh, NC 27699-4309	Frui Che Me
Phone: (919) 715-7438  E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator
Action taken:	D OAH USE ONLY
The state of the s	SEP SEP
	FILED SEP -6 PM 3: OF ADMIN HEAR
RRC extended period of review:	FILED ADMIN H
RRC determined substantial changes: Withdrawn by agency	N E
Subject to Legislative Review	IIEAR 3
Other:	R **

1 D 1 M 1' 4 000 01 0 1 1 25	221
1. Rule-Making Agency: Office of the Commissioner of Ba	
2. Rule citation & name (name not required for repeal):	04 NCAC 16L .0101
3. Action:  ADOPTION AMENDMENT X REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
	A 110
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/">https://www.nccob.gov/Public/</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 Notice not required under G.S.: Adoption by Agency on:	financialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes Environmental permitting of DOT affected and	
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation
consultation not required. Site authority.	Local funds affected
X No	☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM
	X No fiscal note required
	A No lisear note required
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule is being repealed because it either reuseful or necessary.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: estates what is in the general statutes or it is outdated and no longer
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center	1 11.
Raleigh, NC 27699-4309	Amis antal
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
Emiliaropher anecou, gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken	
Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Title: Rule-making Coordinator  ID OAH USE ONLY
	ID OAH USE ONLY
Action taken:	m to
	FILED  OF ADMIN HEARIN
RRC extended period of review:	P-6 FADMI
RRC determined substantial changes:	
Withdrawn by agency	<b>₩</b>
Subject to Legislative Review	AR W
Other:	₹ -

1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16L .0102 Disposition of assets
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/1 Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:	ñnancialinstitutions/banks/SavingsAndLoanRules.aspx
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
Yes Agency submitted request for consultation on:  State funds affected Environmental permitting of DOT affected and	
X No	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM X No fiscal note required
	SON FOR ACTION
current practices, delete unnecessary and outdated language,	
10. Rule-making Coordinator: Lonnie Christopher	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 4309 Mail Service Center Raleigh, NC 27699-4309	1 1011
Phone: (919) 715-7438	Come Clifete
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
E-Mail: kbosken@nccob.gov	
	D OAH USE ONLY
Action taken:	DOAH USE ONLY  F ADMIN HEARINGS
	N P I
RRC extended period of review:	HEA PM
RRC determined substantial changes: Withdrawn by agency	
Subject to Legislative Review	98
Other:	



1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 16L .0103 Revocation and cancellation of dissolution		
3. Action:  ADOPTION X AMENDMENT REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No	
6. Notice for Proposed Rule:	4	
X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	Consideration C	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator	
	O OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 SEP -6 PM OFFICE OF ADMIN HE	



	· ·
1. Rule-Making Agency: Office of the Commissioner of Ba	anks
2. Rule citation & name (name not required for repeal):	04 NCAC 16L .0104 Waiver
3. Action:	D. DE L DODTION
ADOPTION X AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION  5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
	V 110
6. Notice for Proposed Rule:	
X Notice Required	
Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.nccob.gov/Public/1	financialinetitutions/hanks/Savinas And Joan Pulas serv
Hearing on: June 16, 2017	unanciamistitudons/ banks/ 3avings/Andr.banktiles, aspx
Adoption by Agency on: August 23, 2017	
☐ Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
	<ul><li>Local funds affected</li><li>Substantial economic impact (≥\$1,000,000)</li></ul>
X No	☐ Approved by OSBM
11.01	X No fiscal note required
O. DELG	CON FOR ACTION
9. REAS 9A. What prompted this action? Check all that apply:	SON FOR ACTION
X Agency	☐ Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other:
<b>9B.</b> Explain: This rule amendment either seeks to clarify the current practices, delete unnecessary and outdated language,	ne language, improve readability, correct the regulator, update the rule to
current practices, delete unnecessary and outdated language,	of make technical changes for consistency in our rules.
10 Dula making Casudinatawa Langia Christophan	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head or Rule-making Coordinator:
Raleigh, NC 27699-4309	-1 that
Phone: (919) 715-7438	And after
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Katie Bosken Phone: (919) 715-0082	Typed Name: Lonnie Christopher
E-Mail: kbosken@nccob.gov	Typed Name: Lonnie Christopher Title: Rule-making Coordinator
	ID OAH USE ONLY
Action taken:	SE P
Andrew Co. Page 1970	AD - E
	§ ° m
RRC extended period of review: RRC determined substantial changes:	HI PR
Withdrawn by agency	EAR W
Subject to Legislative Review	₹ _
Other:	8 %

## 8-29-17 ORIGINAL

1. Rule-Making Agency: North Carolina Department of l	
2. Rule citation & name (name not required for repeal):	11 NCAC 06A .0802 Licensee Requirements
3. Action:  ☐ ADOPTION ☒ AMENDMENT ☐ REPEAL	L
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority: 귀 ≧
	M No
⊠ No	
6. Notice for Proposed Rule:	OF OF T
Notice Required	F ADMIN
Notice of Text published on: July 15, 2017	<b>∌</b>
Link to Agency notice: http://www.ncdoi.com/LS/l	Rules.aspx
Hearing on: July 24, 2017	EAR 7:
Adoption by Agency on: August 21, 2017	RING: 59
Notice Required Notice of Text published on: July 15, 2017 Link to Agency notice: http://www.ncdoi.com/LS/Rules.aspx Hearing on: July 24, 2017 Adoption by Agency on: August 21, 2017  Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	
⊠ Na	☐ Substantial economic impact (≥\$1,000,000)
⊠ No	Approved by OSBM
	No fiscal note required
	Cite Session Law: Petition for rule-making Other: crease the continuing education credit that an agent can receive for ation from the current 2 credits to 4 credits. In addition, to allow credit integration meetings.
10. Rule-making Coordinator: Loretta Peace-Bunch Address: 1201 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, North Carolina 27699-1201	Looks lace Kind
Phone: 919-807-6004	Lower Bull - Sunch,
E-Mail: loretta.peace-bunch@ncdoi.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: John Hoomani Phone: 919-807-6093	Typed Name: Loretta Peace-Bunch
E-Mail: john.hoomani@ncdoi.gov	Title: Rule-making Coordinator
RRC A	ND OAH USE ONLY
Action taken:	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: NC Sheriffs' Education and Training Standards Commission		
2. Rule citation & name (name not required for repeal): 12NCAC 10B .0301 MINIMUM STANDARDS FOR JUSTICE OFFICERS		
3. Action:  ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?  Yes. Cite authority:  No 6. Notice for Proposed Rule:  Notice Required	<ul> <li>□ READOPTION</li> <li>5. Rule automatically subject to legislative review?</li> <li>□ Yes. Cite authority:</li> <li>⋈ No</li> </ul>	
Notice of Text published on: 07/17/2017 Link to Agency notice: http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  ☑ No	8. Fiscal impact (check all that apply):  State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	
9A. What prompted this action? Check all that apply:  Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other:  9B. Explain: Lowers the minimum age requirement for certified telecommunicators from age 21 to 18.		
10. Rule-making Coordinator: Diane Konopka Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E-Mail: dkonopka@ncdoj.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division	
RRC AND OAH USE ONLY		

1. Rule-Making Agency: NC Sheriffs' Education and Train	ning Standards Commission
2. Rule citation & name (name not required for repeal): 12	
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☒ No
6. Notice for Proposed Rule:  Notice Required Notice of Text published on: 07/17/2017 Link to Agency notice: http://ncdoj.gov/About-DOJ/Law-Enf-Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017  Notice not required under G.S.: Adoption by Agency on:	Forcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  No	8. Fiscal impact (check all that apply):  ☐ State funds affected ☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM ☐ No fiscal note required
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: Allows for a waiver of additional Medical History Stateme individuals who have previously completed the basic Telecomparison.	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:  ent (Form F-1) and Medical Examination Report (Form F-2) for communicator Certification Course and who have been and F-2 forms would have been required for entry into that basic
10. Rule-making Coordinator: Diane Konopka Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E-Mail: dkonopka@ncdoj.gov  Agency Contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division
RRCAN	Title: Director, Sheriffs' Standards Division
MAC AND	

1. Rule-Making Agency: NC Sheriffs' Education and Training Standards Commission		
2. Rule citation & name (name not required for repeal): 12NCAC 10B .0713 ADMISSION OF TRAINEES		
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 07/17/2017 Link to Agency notice: http://ncdoj.gov/About-DOJ/Law-Enfo Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017  □ Notice not required under G.S.: Adoption by Agency on:	orcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain:	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: ainees into the basic Telecommunicator Certification Course from	
10. Rule-making Coordinator: Diane Konopka Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E Meile diagrams (Predai gay)	11. Signature of Agency Head* or Rule-making Coordinator:	
E-Mail: dkonopka@ncdoj.gov  Agency Contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division	
RRC AND OAH USE ONLY		
	0 7	

1. Rule-Making Agency: NC Sheriffs' Education and Training Standards Commission		
2. Rule citation & name (name not required for repeal): 12NCAC 10B .2005 MINIMUM TRAINING REQUIREMENTS		
2. Anthony		
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
⊠ No	⊠ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 07/17/2017 Link to Agency notice: http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017  □ Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
□ Vœ	☐ State funds affected	
Yes Agency submitted request for consultation on:  Environmental permitting of DOT affected and		
Consultation not required. Cite authority:  analysis submitted to Board of Transportation  Local funds affected		
⊠ No	Substantial economic impact (≥\$1,000,000)	
Z 110	☐ Approved by OSBM ☐ No fiscal note required	
A VIII		
9. REASO 9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: Sets out annual in-service training topics for 2018.	ON FOR ACTION  Legislation enacted by the General Assembly 77 Cite Session Law: Petition for rule-making Other:	
10. Rule-making Coordinator: Diane Konopka Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E-Mail: dkonopka@ncdoj.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division	
RRC AND OAH USE ONLY		



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14	4 B NCAC 16 .0102 LOCATION	
3. Action:		
	. =	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
⊠ No		
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2017	NDC/Poords Commissions/Private Protective Services Reard	
Hearing on: June 16, 2017	PS/Boards-Commissions/Private-Protective-Services-Board	
Adoption by Agency on: August 24, 2017		
☐ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes	State funds affected	
Agency submitted request for consultation on:	Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected	
N	☐ Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	No fiscal note required	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: The address for the Private Protective Services	ON FOR ACTION    Legislation enacted by the General Assembly   Cite Session Law:   Petition for rule-making   Other:   Board offices has changed.	
10. Rule-making Coordinator: Jeffrey P. Gray	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602	~	
Phone: (919) 828-0731	Muy 6 Brent	
E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Shannon Thongkheuang	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: (919) 788-5320	Typed Name: Jeffrey P. Gray	
E-Mail: Shannon.Thongkheuang@ncdps.com	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:		
RRC extended period of review:		
RRC determined substantial changes: Withdrawn by agency		
Subject to Legislative Review		
Other:		



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0201 APPLICATION FOR LICENSES AND TRAINEE PERMITS		
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☒ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation  Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	
9A. What prompted this action? Check all that apply:    Agency		
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 Phone: (919) 828-0731 E-Mail: jgray@bdixon.com	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Jeffrey P. Gray	
E-Mail: Shannon.Thongkheuang@ncdps.com	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 TRAINEE PERMITS	B NCAC 16 .0203 RENEWAL OR RE-ISSUE OF LICENSES AND	
3. Action:  ☑ ADOPTION ☐ AMENDMENT ☐ REPEAL	READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☐ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply:		
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  Petition for rule-making Other:  9B. Explain: The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.		
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919) 828-0731 E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B 10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320 E-Mail: Shannon.Thongkheuang@ncdps.com	Typed Name: Jeffrey P. Gray Title: Rule-making Coordinator	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency	O OAH USE ONLY  FILE  FI	
Subject to Legislative Review Other:	EARII =	



1. Rule-Making Agency: Private Protective Services Board		
	P NCAC 16 0701 APPLICATION FOR LINARMED SECURITY	
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0701 APPLICATION FOR UNARMED SECURITY GUARD REGISTRATION		
3. Action:	DE A DORTION	
ADOPTION AMENDMENT REPEAL	READOPTION  5. Rule automatically subject to legislative review?	
4. Rule exempt from RRC review?	Yes. Cite authority:	
Yes. Cite authority:	No	
⊠ No	⊠ No	
6. Notice for Proposed Rule:		
Notice Required     ■ Notice Requir		
Notice of Text published on: June 1, 2017		
	PS/Boards-Commissions/Private-Protective-Services-Board	
Hearing on: June 16, 2017		
Adoption by Agency on: August 24, 2017		
☐ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	☐ State funds affected	
Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority.	Local funds affected	
⊠ No	Substantial economic impact (≥\$1,000,000)	
3 .10	Approved by OSBM	
	No fiscal note required	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Court order / cite: Federal statute / cite: Cother:  9B. Explain: The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.		
10. Rule-making Coordinator: Jeffrey P. Gray	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh,		
NC 27602	CILLON CHARACTE	
Phone: (919) 828-0731 E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to	
E-Mail: Jgray@odixon.com	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Shannon Thongkheuang	O.S. V.D. To(a), Substitut Sop) of the designation	
Phone: (919) 788-5320	Typed Name: Jeffrey P. Gray	
E-Mail: Shannon.Thongkheuang@ncdps.com	Title: Rule-making Coordinator	
RRC ANI	O OAH USE ONLY	
Action taken:	CE III	
	OF AUG	
D ppc	A T	
RRC extended period of review: RRC determined substantial changes:	29	
Withdrawn by agency	- P M	
Subject to Legislative Review	FILED FILED FILED	
Other:		



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0706 RENEWAL OR REISSUE OF UNARMED SECURITY GUARD REGISTRATION		
3. Action:  ☑ ADOPTION ☐ AMENDMENT ☐ REPEAL		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
No No	⊠ No	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2017		
Link to Agency notice: https://www.ncdns.gov/About-D	PS/Boards-Commissions/Private-Protective-Services-Board	
Hearing on: June 16, 2017	To Double Commissions That are a second as a second	
Adoption by Agency on: August 24, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
7. Rule establishes of increases a fee: (See G.S. 12-3.1)		
☐ Yes	State funds affected	
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
Consultation not required. One during region	Local funds affected	
⊠ No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
	No fiscal note required	
9A. What prompted this action? Check all that apply:    Agency		
10. Rule-making Coordinator: Jeffrey P. Gray	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh,	Andrew State of the second	
NC 27602	1.7	
Phone: (919) 828-0731	Mark of worth	
E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Shannon Thongkheuang		
Phone: (919) 788-5320	Typed Name: Jeffrey P. Gray Title: Rule-making Coordinator	
E-Mail: Shannon.Thongkheuang@ncdps.com		
	D OAH USE UNLY	
Action taken:	FILED AUG 29 PM 1: 44 OF ADMIN HEARINGS	
	FILL ADMI	
A 202	8	
RRC extended period of review:		
RRC determined substantial changes:	m =	
Withdrawn by agency	2 7	
Subject to Legislative Review Other:	NGS PH	
I I f Male service	FO 45	



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0801 APPLICATION/ARMED SECURITY GUARD FIREARM REGISTRATION PERMIT		
3. Action:	DEADORTION	
ADOPTION AMENDMENT REPEAL	5. Rule automatically subject to legislative review?	
4. Rule exempt from RRC review?	Yes. Cite authority:	
Yes. Cite authority:	No No	
⊠ No	₩ 140	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2017	DBS/D	
	DPS/Boards-Commissions/Private-Protective-Services-Board	
Hearing on: June 16, 2017  Adoption by Agency on: August 24, 2017		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
⊠ No	☐ Substantial economic impact (≥\$1,000,000)	
2	Approved by OSBM	
	No fiscal note required	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: The e-mail address for applicants to sent phot modifies the requirements for an applicant providing crimina may designate the service used to obtain the background info	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: Ographs required for applications has changed. In addition, this rule al background information so that the Private Protective Services Board formation.	
10. Rule-making Coordinator: Jeffrey P. Gray	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh,		
NC 27602	C Man D Made	
Phone: (919) 828-0731 E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to	
2 Jeraj woanomoni	G.S. (143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Shannon Thongkheuang		
Phone: (919) 788-5320	Typed Name: Jeffrey P. Gray	
E-Mail: Shannon.Thongkheuang@ncdps.com	Title: Rule-making Coordinator	
ARC AND OM COLONEL		
Action taken:		
	FAD FAD	
RRC extended period of review:	29	
RRC determined substantial changes:	FILED G 29 PM FADMINH	
Withdrawn by agency	FILED  NUG 29 PM 1:  OF ADMIN HEAR	
Subject to Legislative Review	5 T	
Other:	Z P	



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0806 RENEWAL OF ARMED SECURITY GUARD FIREARM REGISTRATION PERMIT		
3. Action:  □ ADOPTION □ AMENDMENT □ REPEAL	READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:  No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:    Agency		
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 Phone: (919) 828-0731 E-Mail: jgray@bdixon.com	11. Signature of Agency Head* or Rule-making Coordinator:  *If this punction has been delegated (reassigned) pursuant to	
Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320 E-Mail: Shannon.Thongkheuang@ncdps.com	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Jeffrey P. Gray  Title: Rule-making Coordinator	
	O OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED AUG 29 PM 1: 4 E OF ADMIN HEARIN	



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 CERTIFICATE	B NCAC 16 .0902 APPLICATION FOR FIREARMS TRAINER	
3. Action:  ☑ ADOPTION ☐ AMENDMENT ☐ REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☒ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>☒ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9. REASO	ON FOR ACTION	
9A. What prompted this action? Check all that apply:    Agency		
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 Phone: (919) 828-0731	11. Signature of Agency Head* or Rule-making Coordinator:	
E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320 E-Mail: Shannon.Thongkheuang@ncdps.com	G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Jeffrey P. Gray Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 AUG 29 PM OFFICE OF ADMIN HE	



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0904 RENEWAL OF A FIREARMS TRAINER CERTIFICATE		
3. Action:  ADOPTION AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☒ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9. REASO	ON FOR ACTION	
9A. What prompted this action? Check all that apply:    Agency		
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh,	11. Signature of Agency Head* or Rule-making Coordinator:	
NC 27602 Phone: (919) 828-0731	Maria & Maria	
E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320	Typed Name: Jeffrey P. Gray	
E-Mail: Shannon.Thongkheuang@ncdps.com	Title: Rule-making Coordinator OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes:	FII 2017 AUG 2 PFFICE OF AD	
☐ Withdrawn by agency ☐ Subject to Legislative Review ☐ Other:	N HEN	



1. Rule-Making Agency: Private Protective Services Board	
2. Rule citation & name (name not required for repeal): 14 CAR SERVICE GUARD REGISTRATION	B NCAC 16 .1301 APPLICATION FOR UNARMED ARMORED
3. Action:	
	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
⊠ No	⊠ No
6. Notice for Proposed Rule:	
Notice Required	18 11 U - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -
Notice of Text published on: June 1, 2017	PS/Boards-Commissions/Private-Protective-Services-Board
Hearing on: June 16, 2017	15/ Boards-Commissions/11/vate 17otective Services Board
Adoption by Agency on: August 24, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
7. Rule establishes of increases a fee: (See G.S. 12-5.1)	
☐ Yes	State funds affected
Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required. The name rej.	Local funds affected
⊠ No	Substantial economic impact (≥\$1,000,000)
<b>2</b>	Approved by OSBM
	No fiscal note required
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: The e-mail address for applicants to sent photog modifies the requirements for an applicant providing criminal may designate the service used to obtain the background infor	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: graphs required for applications has changed. In addition, this rule background information so that the Private Protective Services Board mation.
10. Rule-making Coordinator: Jeffrey P. Gray	11. Signature of Agency Head* or Rule-making Coordinator:
Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh,	
NC 27602	11 24
Phone: (919) 828-0731	Marly 4. Hans
E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Shannon Thongkheuang	
Phone: (919) 788-5320	Typed Name: Jeffrey P. Gray
E-Mail: Shannon.Thongkheuang@ncdps.com	Title: Rule-making Coordinator
	O OAH USE ONLY
Action taken:	TIC
D DDC and ded and defenden	T US
RRC extended period of review:	FILE, 2017 AUG 29 F
RRC determined substantial changes:	9 1
Withdrawn by agency	OFFICE OF ADMIN HEA
Subject to Legislative Review Other:	7 4
Oner.	23-7



1. Rule-Making Agency: Private Protective Services Board	
2. Rule citation & name (name not required for repeal): 14 SERVICE GUARD REGISTRATION	B NCAC 16 .1306 RENEWAL OR REISSUE OF UNARMED CAR
3. Action:  ☑ ADOPTION ☐ AMENDMENT ☐ REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☐ No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.ncdps.gov/About-Dl Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017  Notice not required under G.S.: Adoption by Agency on:	PS/Boards-Commissions/Private-Protective-Services-Board
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: The e-mail address for applicants to sent photog modifies the requirements for an applicant providing criminal may designate the service used to obtain the background infor	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: graphs required for applications has changed. In addition, this rule background information so that the Private Protective Services Board mation.
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 Phone: (919) 828-0731 E-Mail: jgray@bdixon.com  Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320 E-Mail: Shannon.Thongkheuang@ncdps.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Jeffrey P. Gray Title: Rule-making Coordinator
	O OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 AUG 29 PM FFICE OF ADMIN HE



1. Rule-Making Agency: Private Protective Services Board		
2. Rule citation & name (name not required for repeal): 14 SERVICE GUARD FIREARM REGISTRATION PERMIT	B NCAC 16 .1401 APPLICATION/ARMED ARMORED CAR	
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority: ☐ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☐ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: https://www.ncdps.gov/About-D Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017  Notice not required under G.S.: Adoption by Agency on:	PS/Boards-Commissions/Private-Protective-Services-Board	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>☑ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other:  9B. Explain: The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.		
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 Phone: (919) 828-0731 E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320 E-Mail: Shannon.Thongkheuang@ncdps.com	Typed Name: Jeffrey P. Gray Title: Rule-making Coordinator	
	D OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	FILED 6 29 PM 1: 46 ADMIN HEARINGS	



1. Rule-Making Agency: Private Protective Services Board	
	B NCAC 16 .1406 RENEWAL OF ARMED ARMORED CAR
3. Action:	DEADORTION
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION  5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No	⊠ No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2017	
Link to Agency notice: https://www.ncdps.gov/About-DI	PS/Boards-Commissions/Private-Protective-Services-Board
Hearing on: June 16, 2017	Andrew St. Charles and Control of
Adoption by Agency on: August 24, 2017	
☐ Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
Yes	State funds affected
Agency submitted request for consultation on:	Environmental permitting of DOT affected and analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
M. No.	Substantial economic impact (≥\$1,000,000)
⊠ No	Approved by OSBM
	No fiscal note required
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: The e-mail address for applicants to sent photog modifies the requirements for an applicant providing criminal may designate the service used to obtain the background inform	
10. Rule-making Coordinator: Jeffrey P. Gray	11. Signature of Agency Head* or Rule-making Coordinator:
Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh,	
NC 27602 Phone: (919) 828-0731	August & Magus
E-Mail: jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320	Typed Name: Jeffrey P. Gray
E-Mail: Shannon.Thongkheuang@ncdps.com	Title: Rule-making Coordinator
	O OAH USE ONLY
Action taken:	
	OFF
RRC extended period of review:	702
RRC determined substantial changes:	0 ≥
Withdrawn by agency	FILE OF ADM
Subject to Legislative Review	FIL 2017 AUG 29 OFFICE OF ADMI
Other:	3 _ m



## ORIGINAL SUBMISSION FOR PERMANENT RULE

August 24, 2017

1. Rule-Making Agency: NC Wildlife Resources Commiss	ion
2. Rule citation & name (name not required for repeal): 1.	
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
⊠ No	No Sp. 72
6. Notice for Proposed Rule:	2017 PFFIC
	P P NG
6. Notice for Proposed Rule:  Notice Required Notice of Text published on: 3-15-2017 Link to Agency notice: http://www.ncwildlife.org/proposed-regulations Hearing on: 4-4-2017 Adoption by Agency on: 7-27-2017  Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1)  8. Fiscal impact (check all that apply):	
Link to Agency notice: http://www.ncwildlife.org/proposed-regulations	
Link to Agency notice: http://www.ncwildlife.org/proposed-regulations Hearing on: 4-4-2017	
Adoption by Agency on: 7-27-2017	- A
Notice not required under G.S.:	- co
Adoption by Agency on:	Ξ ν
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
Yes	☐ State funds affected
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Local funds affected	
⊠ No	Substantial economic impact (≥\$1,000,000)
	☐ Approved by OSBM ☐ No fiscal note required
	The Part of the Control of the Contr
[ ] 그렇게 하다는 어느 이번 그렇게 되었다. 그렇게 하는 사람들이 되었다면 하는 사람들이 되었다면 하는 사람들이 되었다면 하는데	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite: Cite Session Law:	
Federal statute / cite: Federal regulation / cite:	☐ Petition for rule-making ☐ Other:
9B. Explain: Beaufort County requested rulemaking to m	
25. Explain. Beautoff County requested full-making to in	inigate nazarus to boating safety.
10. Rule-making Coordinator: Carrie Ruhlman	11 Control of the Date of the
Address: 1751 Varsity Drive	11. Signature of Agency Head* or Rule-making Coordinator:
Raleigh, NC 27606	
Phone: 919-707-0011	attelle
E-Mail: carrie.ruhlman@ncwildlife.org	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Betsy Haywood	
Phone: 919-707-0013	Typed Name: Carrie Ruhlman
E-Mail: betsy.haywood@ncwildlife.org	Title: Rule-making Coordinator
RRC ANI	D OAH USE ONLY



# ORIGINAL SUBMISSION FOR PERMANENT RULE

August 24, 2017

2. Rule citation & name (name not required for repeal)	: 15A NCAC 10F .0323 Burke County
3. Action:  ADOPTION AMENDMENT REPEA	AL READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	
⊠ No	☐ Yes. Cite authority: ☐ No ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐
6. Notice for Proposed Rule:	0 A
Notice Required     ■	OF ADMIN HEARING
Notice of Text published on: 3-15-2017	A 2 F
Link to Agency notice: http://www.ncwildlife.org/p	FADMI FADMI
Hearing on: 4-4-2017	Toposcu-regulations
Adoption by Agency on: 7-27-2017	9
Notice not required under G.S.:	22 37
Adoption by Agency on:	00
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
☐ Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
N	Substantial economic impact (≥\$1,000,000)
⊠ No	Approved by OSBM
	No fiscal note required
9. RE	ASON FOR ACTION
9A. What prompted this action? Check all that apply:	
☐ Agency	Legislation enacted by the General Assembly
☐ Court order / cite: ☐ Cite Session Law: ☐ Federal statute / cite: ☐ Petition for rule-making	
9B. Explain: Burke County requested rulemaking to n	nitigate hazards to boating safety.
10. Rule-making Coordinator: Carrie Ruhlman	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Carrie Ruhlman Address: 1751 Varsity Drive	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Carrie Ruhlman Address: 1751 Varsity Drive Raleigh, NC 27606	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1751 Varsity Drive	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1751 Varsity Drive Raleigh, NC 27606 Phone: 919-707-0011	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to
Address: 1751 Varsity Drive Raleigh, NC 27606 Phone: 919-707-0011	Carllen
Address: 1751 Varsity Drive Raleigh, NC 27606 Phone: 919-707-0011 E-Mail: carrie.ruhlman@ncwildlife.org	*If this function has been delegated (reassigned) pursuant to
Address: 1751 Varsity Drive Raleigh, NC 27606	*If this function has been delegated (reassigned) pursuant to



August 24, 2017

1. Rule-Making Agency: NC Wildlife Resources Commis	
2. Rule citation & name (name not required for repeal):	15A NCAC 10F .0339 McDowell County
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEA	L READOPTION FI 29
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
⊠ No	No ≥ No
6. Notice for Proposed Rule:	oposed-regulations  AD WIN HEARING  AD WIN HEA
	Z 3
Notice Required Notice of Text published on: 3-15-2017	₩ w
Link to Agency notice: http://www.ncwildlife.org/proposed-regulations	
Hearing on: 4-4-2017	oposed-regulations
Adoption by Agency on: 7-27-2017	
□ Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
□ v	State funds affected
Yes Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
consultation not required. Cite authority.	Local funds affected
⊠ No	Substantial economic impact (\geq\\$1,000,000)
☐ Approved by OSBM ☐ No fiscal note required	
	No fiscar note required
	SON FOR ACTION
9A. What prompted this action? Check all that apply:	
☐ Agency ☐ Legislation enacted by the General Assembly ☐ Court order / cite: ☐ Cite Session Law:	
9B. Explain: McDowell County requested rulemaking to	
	minigate mazar as to boating safety.
10. Rule-making Coordinator: Carrie Ruhlman	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1751 Varsity Drive	11. Signature of Agency fread of Rule-making Coordinator:
Raleigh, NC 27606	
Phone: 919-707-0011	Callen
E-Mail: carrie.ruhlman@ncwildlife.org	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Betsy Haywood	T IN C I D II
Phone: 919-707-0013 E-Mail: betsy.haywood@ncwildlife.org	Typed Name: Carrie Ruhlman
	Title: Rule-making Coordinator ND OAH USE ONLY

1. Rule-Making Agency: North Carolina Department of Rever	nue
2. Rule citation & name (name not required for repeal): 17 NCAC 12A .0201	
3. Action:  ADOPTION AMENDMENT REPEAL	<b>▼</b> READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☒ No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on:  Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  ☑ No	8. Fiscal impact (check all that apply):  ☐ State funds affected ☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM ☐ No fiscal note required
9. REASO	ON FOR ACTION
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest dechanges.	□ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ☑ Other: Periodic Rules Review  uring the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610  Phone: (919) 707-7555  E-Mail: laura.lansford@ncdor.gov  Agency Contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Laura Lansford Title: Agency Legal Specialist II
1 30 3 30 3	O OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	FILED 2017 AUG 24 PM 3: FFICE OF ADMIN HEAR

1. Rule-Making Agency: North Carolina Department of Rever	nue	
2. Rule citation & name (name not required for repeal): 17 NCAC 12A .0202		
3. Action:  ADOPTION AMENDMENT REPEAL	× READOPTION	ij
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  Yes. Cite authority:	
6. Notice for Proposed Rule:  ☐ Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on:  ☒ Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	7 AUG 24 CE OF ADMI	FILED
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  ☑ No	8. Fiscal impact (check all that apply):  State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain:	ON FOR ACTION  ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: Periodic Rules Review  uring the periodic review of Title 17 Chapter 12. The rule is being readopted w	with
10. Rule-making Coordinator: Laura Lansford Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555 E-Mail: laura.lansford@ncdor.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  Laction has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form  Typed Name: Laura Lansford  Title: Agency Legal Specialist II	
RRC AND	O OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: North Carolina Department of Reve	enue
2. Rule citation & name (name not required for repeal):	
17 NCAC 12A .0303	
3. Action:  ADOPTION AMENDMENT REPEAL	× READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
⊠ No	No OFF 20
6. Notice for Proposed Rule:	FFICE
☐ Notice Required	
Notice of Text published on:	F 6. 1
Link to Agency notice:	ADMI 24
Hearing on:	
Adoption by Agency on:	H PM
Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	₩ w
	8. Fiscal impact (check all that apply):
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes	State funds affected
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
⊠ N-	Substantial economic impact (≥\$1,000,000)
⊠ No	☐ Approved by OSBM
	▼ No fiscal note required
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest changes.	Cite Session Law: Petition for rule-making Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1429 Rock Quarry Road. Suite 105	A A A
Raleigh, NC 27610	$\mathcal{L} = \mathcal{L} = \mathcal{L}$
Phone: (919) 707-7555	to take
E-Mail: laura.lansford@ncdor.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any:	G.S. 145b-10(a), submit a copy of the delegation with this form.
Phone:	Typed Name: Laura Lansford
E-Mail:	Title: Agency Legal Specialist II
Action taken:	D OAH USE ONLY
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: North Carolina Department of Revo	enue
2. Rule citation & name (name not required for repeal): 17 NCAC 12A .0503	
3. Action:	E pripopriov
ADOPTION AMENDMENT REPEAL	5. Rule automatically subject to legislative review?
4. Rule exempt from RRC review?	Yes. Cite authority:
Yes. Cite authority:	
⊠ No	⊠ No
6. Notice for Proposed Rule:	2017 FFIC
☐ Notice Required	ICE IT
Notice of Text published on:	AUG T
Link to Agency notice:	T 60 T
Hearing on:	ADMII ADMII
Adoption by Agency on:	E P
Notice not required under G.S.: 150B-1(d)(4)	N
Adoption by Agency on: August 31, 2017	₩ ×
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):  State funds affected.
	State funds affected
☐ Yes	☐ Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
N.	Substantial economic impact (≥\$1,000,000)
⊠ No	☐ Approved by OSBM
	▼ No fiscal note required
☐ Court order / cite: ☐ Federal statute / cite: ☐ Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest changes.	Cite Session Law: Petition for rule-making Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1429 Rock Quarry Road, Suite 105	Λ Λ
Raleigh, NC 27610	1 1 1 1
Phone: (919) 707-7555	the the
E-Mail:	*If this function has been delegated (reassigned) pursuant to
laura.lansford@ncdor.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any:	Typed Name: Laura Lansford
Phone:	Title: Agency Legal Specialist II
E-Mail:	ND OAH USE ONLY
Action taken:	ND OAIT USE ONE!
RRC extended period of review: RRC determined substantial changes:	
☐ Withdrawn by agency ☐ Subject to Legislative Review	
Other:	
L Saudin	

1. Rule-Making Agency: North Carolina Department of Reve	nue
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0106	
3. Action:  ADOPTION AMENDMENT REPEAL	× READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority:	5. Rule automatically subject to legislative review?  Yes. Cite authority:
6. Notice for Proposed Rule:  ☐ Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on:  ☑ Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	FILED 7 AUG 24 PM 3: 0 CE OF ADMIN HEARIN
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  ☑ No	8. Fiscal impact (check all that apply):  State funds affected  Environmental permitting of DOT affected and analysis submitted to Board of Transportation  Local funds affected  Substantial economic impact (≥\$1,000,000)  Approved by OSBM  No fiscal note required
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain:	ON FOR ACTION  □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ☑ Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford  Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610  Phone: (919) 707-7555  E-Mail: laura.lansford@ncdor.gov  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  Laura Lansford Title: Agency Legal Specialist II
RRC AN	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: North Carolina Department of Reve	enue
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0107	
3. Action:  ADOPTION AMENDMENT REPEAL	<b>X</b> READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☒ No
6. Notice for Proposed Rule:	OF T
<ul> <li>Notice Required         Notice of Text published on:         Link to Agency notice:         Hearing on:         Adoption by Agency on:              \text{Notice not required under G.S.: 150B-1(d)(4)}             Adoption by Agency on: August 31, 2017      </li> </ul>	FILED  JUG 24 PM 3: 08  OF ADMIN HEARINGS
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
☐ Agency ☐ Court order / cite: ☐ Federal statute / cite: ☐ Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest changes.	□ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ☑ Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is being readopted with
10 Dula making Coordinatory Jaura Japaford	11. Signature of Agency Head* or Rule-making Coordinator:
10. Rule-making Coordinator: Laura Lansford Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555	11. Signature of Agency Head or Kule-making Coordinator:
E-Mail:  aura.lansford@ncdor.gov   Agency Contact, if any:   Phone:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Laura Lansford
E-Mail:	Title: Agency Legal Specialist II D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	D OAH COD ONL!

1. Rule-Making Agency: North Carolina Department of Rever	nue	
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0402		
3. Action:  ADOPTION AMENDMENT REPEAL	<b>▼</b> READOPTION	
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority: ☐ No	2017 AUG
6. Notice for Proposed Rule:	F	क ग
<ul> <li>Notice Required         Notice of Text published on:         Link to Agency notice:         Hearing on:         Adoption by Agency on:     </li> <li>Notice not required under G.S.: 150B-1(d)(4)</li> <li>Adoption by Agency on: August 31, 2017</li> </ul>	OF ADMIN HEARINGS	FILED 16 24 PM 3: 08
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>☐ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>☒ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest dichanges.	Cite Session Law: Petition for rule-making Other: Periodic Rules Review  uring the periodic review of Title 17 Chapter 12. The rule is being	g readopted with
10 D. L. C. L. A. Journ London	11. Signature of Agency Head* or Rule-making Coo	rdinatore
10. Rule-making Coordinator: Laura Lansford Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555	11. Signature of Agency Head* of Rule-making Coo	runator;
E-Mail: laura.lansford@ncdor.gov	*If this function has been delegated (reassigned) pur G.S. 143B-10(a), submit a copy of the delegation with	
Agency Contact, if any: Phone:	Typed Name: Laura Lansford	
E-Mail:	Title: Agency Legal Specialist II	
RRC ANI	O OAH USE ONLY	
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: North Carolina Department of Rever	nue
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0403	
3. Action:  ADOPTION AMENDMENT REPEAL	× READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  Yes. Cite authority:
6. Notice for Proposed Rule:	7007 FF CO
Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on:  Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	FILED  AUG 24 PM 3: 08  8. Fiscal impact (check all that apply):
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>✓ Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>✓ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>
☐ Agency ☐ Court order / cite: ☐ Federal statute / cite: ☐ Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest dichanges.	<ul> <li>☐ Legislation enacted by the General Assembly         Cite Session Law:         ☐ Petition for rule-making</li> <li>☑ Other: Periodic Rules Review</li> </ul> uring the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610  Phone: (919) 707-7555  E-Mail: laura.lansford@ncdor.gov  Agency Contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Laura Lansford Title: Agency Legal Specialist II
	O OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: North Carolina Department of Reve	enue		
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0404			
3. Action:  ADOPTION AMENDMENT REPEAL	× READOPTION		
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review  ☐ Yes. Cite authority:  ☒ No		
6. Notice for Proposed Rule:		2	
<ul> <li>Notice Required         Notice of Text published on:         Link to Agency notice:         Hearing on:         Adoption by Agency on:              \text{Notice not required under G.S.: 150B-1(d)(4)}             Adoption by Agency on: August 31, 2017     </li> </ul>	8. Fiscal impact (check all that apply):	2017 AUG 24 PN 3:	FILED
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	80	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	State funds affected Environmental permitting of DOT affected a analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	nd	
Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule was classified as necessary with substantive public interest changes.	Cite Session Law: Petition for rule-making Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is be	eing readopte	ed with
10. Dule making Coordinatory   gura   appford	II Signature of Agency Heads or Dule welving C		
10. Rule-making Coordinator: Laura Lansford Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555 E-Mail:	11. Signature of Agency Head* or Rule-making Control of A		
laura.lansford@ncdor.gov	G.S. 143B-10(a), submit a copy of the delegation w		
Agency Contact, if any:	Typed Name: Laura Lansford		
Phone: E-Mail:	Title: Agency Legal Specialist II		
	D OAH USE ONLY		
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:			

1. Rule-Making Agency: North Carolina Department of Reve	enue	
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0410		
3. Action:	DE A DORTION	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION  5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
⊠ No	⊠ No ⊆	
6. Notice for Proposed Rule:	=======================================	201
☐ Notice Required	m	>
Notice of Text published on:	Service Servic	AUG T
Link to Agency notice:	AD	24
Hearing on:		111
Adoption by Agency on:		圣 🖷
Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017		w
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	09
	State funds affected	
Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on: Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority.	Local funds affected	
⊠ No	Substantial economic impact (≥\$1,000,000) Approved by OSBM	
	■ Approved by OSBM	
Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule was classified as necessary with substantive public interest changes.	Cite Session Law: Petition for rule-making Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is being	readopted with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coor	dinator:
Address: 1429 Rock Quarry Road, Suite 105	0 0	And the second
Raleigh, NC 27610	1 - 1 400	
Phone: (919) 707-7555	*If this function has been delegated (reassigned) purs	cuant to
E-Mail: laura.lansford@ncdor.gov	G.S. 143B-10(a), submit a copy of the delegation with	
Agency Contact, if any:		
Phone:	Typed Name: Laura Lansford	
E-Mail:	Title: Agency Legal Specialist II	
	ND OAH USE ONLY	
Action taken:		
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: North Carolina Department of Reve	enue	
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0412		
3. Action:  ADOPTION AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?  Yes. Cite authority:	5. Rule automatically subject to legislative re  Yes. Cite authority:	
6. Notice for Proposed Rule:		FFI 20
Notice for Proposed Rule:  Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on:  Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017		FILED  2017 AUG 24 PM 3: 09  OFFICE OF ADMIN HEARINGS
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	168
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	State funds affected Environmental permitting of DOT affect analysis submitted to Board of Transport Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	ted and rtation
Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest changes.	Petition for rule-making  Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The ru	le is being readopted with
10. Rule-making Coordinator: Laura Lansford Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555 E-Mail: laura.lansford@ncdor.gov	*If this function has been delegated (reassign G.S. 143B-10(a), submit a copy of the delegated	ned) pursuant to
Agency Contact, if any:	Control of the Contro	ion with this for it.
Phone:	Typed Name: Laura Lansford	
E-Mail:	Title: Agency Legal Specialist II	
Action taken:	ND OAH USE ONLY	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: North Carolina Department of Reve	nue				
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0413					
3. Action:					
ADOPTION AMENDMENT REPEAL	▼ READOPTION				
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?				
Yes. Cite authority:	Yes. Cite authority:	2017			
⊠ No	⊠ No				
6. Notice for Proposed Rule:	9	AUG 7	-		
☐ Notice Required	A				
Notice of Text published on:		24	П		
Link to Agency notice:	Z	3			
Hearing on:	क्त				
Adoption by Agency on:	2	w			
Notice not required under G.S.: 150B-1(d)(4)	NG	09			
Adoption by Agency on: August 31, 2017	X READOPTION  5. Rule automatically subject to legislative review?  ☐ Yes. Cite authority:  ☐ No  OF  ADMIN HEARINGS	3			
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):				
☐ Yes	State funds affected				
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and				
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected				
	☐ Substantial economic impact (≥\$1,000,000)				
⊠ No	Approved by OSBM				
	No fiscal note required				
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest dichanges.	□ Legislation enacted by the General Assembly     Cite Session Law:     □ Petition for rule-making     ☑ Other: Periodic Rules Review  uring the periodic review of Title 17 Chapter 12. The rule is being	readopted wil	lh		
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coord	dinator:			
Address: 1429 Rock Quarry Road, Suite 105	^ ^				
Raleigh, NC 27610	4 4 1 1				
Phone: (919) 707-7555 E-Mail:	*If this function has been delegated (a signal)				
laura.lansford@ncdor.gov	*If this function has been delegated (reassigned) purs G.S. 143B-10(a), submit a copy of the delegation with				
Agency Contact, if any:		tins torm.			
Phone:	Typed Name: Laura Lansford				
E-Mail:	Title: Agency Legal Specialist II				
	O OAH USE ONLY				
Action taken:					
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:					

1. Rule-Making Agency: North Carolina Department of Reve	enue	
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0414		
3. Action:	T DEADORTION	
□ ADOPTION □ AMENDMENT ☒ REPEAL	5. Rule automatically subject to legislative review?	
4. Rule exempt from RRC review?	Yes. Cite authority:	
Yes. Cite authority:		
⊠ No	X No	
6. Notice for Proposed Rule:	m	
☐ Notice Required	AUG 24 E OF ADM	TI
Notice of Text published on:	20	=
Link to Agency notice:	<b>E E</b>	im
Hearing on:	PN	
Adoption by Agency on:	EAR W	
Notice not required under G.S.: 150B-1(d)(4)	22 4	
Adoption by Agency on: August 31, 2017	OF ADMIN HEARINGS	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes	State funds affected	
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
	☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	■ No fiscal note required	
Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was originally classified as necessary with substantive public re-adoption process, the rule was determined to be unncessary.	Cite Session Law: Petition for rule-making Other: Periodic Rules Review c interest during the periodic review of Title 17 Chapter 12. However, during	the
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:	r.
Address: 1429 Rock Quarry Road, Suite 105	0 0	
Raleigh, NC 27610	1 4 1 1	
Phone: (919) 707-7555	\$16 this for this has been delegated (reassigned) nursuant to	_
E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this for	m
laura.lansford@ncdor.gov Agency Contact, if any:		
Phone:	Typed Name: Laura Lansford	
E-Mail:	Title: Agency Legal Specialist II	
	ND OAH USE ONLY	
Action taken:		
RRC extended period of review:		
RRC determined substantial changes:		
☐ Withdrawn by agency ☐ Subject to Legislative Review		
Other:		
1 J Wallett		

1. Rule-Making Agency: North Carolina Department of Reve	enue
2. Rule citation & name (name not required for repeal): 17 NCAC 12B .0502	
3. Action:  ADOPTION AMENDMENT REPEAL	∠ × READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  Yes. Cite authority:
6. Notice for Proposed Rule:	AUG P
Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on:  Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	24 PM 3: 10 ADMIN HEARING
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  ☐ Yes    Agency submitted request for consultation on:    Consultation not required. Cite authority:  ☑ No	8. Fiscal impact (check all that apply):  ☐ State funds affected ☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM ☑ No fiscal note required
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain:	SON FOR ACTION  □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ☑ Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555 E-Mail: laura.lansford@ncdor.gov Agency Contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Laura Lansford Title: Agency Legal Specialist II
RRC AN	ND OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: North Carolina Department of Reve	enue			
2. Rule citation & name (name not required for repeal): 17 NCAC 12C .0301				
3. Action: ADOPTION AMENDMENT REPEAL				
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative ☐ Yes. Cite authority: ☒ No	review?		
6. Notice for Proposed Rule:		9	2	
Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on:  Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017		FFICE OF ADMIN HEARINGS	2017 AUG 24 PM 3	FILED
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  ☐ Yes    Agency submitted request for consultation on:    Consultation not required. Cite authority:  ☑ No	8. Fiscal impact (check all that apply):  State funds affected Environmental permitting of DOT aff analysis submitted to Board of Transp Local funds affected Substantial economic impact (≥\$1,000 Approved by OSBM No fiscal note required	ected an portation	24 d	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest changes.	☐ Legislation enacted by the General As Cite Session Law: ☐ Petition for rule-making ☑ Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The		ing reado	pted with
10 D L Construction longitud	11. Signature of Agency Head* or Rule-ma	king Co	ordinat	or:
10. Rule-making Coordinator: Laura Lansford Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555	11. Signature of Agency Head* of Rule-ma	(King Co	ordinat	or:
E-Mail:  aura.lansford@ncdor.gov   Agency Contact, if any:	*If this function has been delegated (reassi G.S. 143B-10(a), submit a copy of the deleg			
Phone:	Typed Name: Laura Lansford			
E-Mail:	Title: Agency Legal Specialist II			
Action taken:	ID OAH USE ONLY			
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:				

1. Rule-Making Agency: North Carolina Department of Rev	renue		
2. Rule citation & name (name not required for repeal): 17 NCAC 12C .0302			
3. Action:	L X READOPTION		
ADOPTION AMENDMENT REPEAT 4. Rule exempt from RRC review?	5. Rule automatically subject to legislative	review?	Ŧ
Yes. Cite authority:	Yes. Cite authority:	review.	
No No	⊠ No	0	
		FF 20	
6. Notice for Proposed Rule:		G 7	
☐ Notice Required		AUG	
Notice of Text published on:		24 ADM	п
Link to Agency notice:		54 DWII	п
Hearing on:		Z 28 C	j
Adoption by Agency on:  Notice not required under G.S.: 150B-1(d)(4)		m	
Adoption by Agency on: August 31, 2017		R W	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	2017 AUG 24 PM 3 24 OFFICE OF ADMIN HEARINGS	
	☐ State funds affected	100	
Yes	☐ Environmental permitting of DOT aff	ected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transp		
Consultation not required. Cite authority:	Local funds affected		
⊠ No	☐ Substantial economic impact (≥\$1,000	,000)	
Z 10	Approved by OSBM		
	➤ No fiscal note required		
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest changes.	☐ Legislation enacted by the General As Cite Session Law: ☐ Petition for rule-making ☒ Other: Periodic Rules Review  t during the periodic review of Title 17 Chapter 12: The		with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-ma	king Coordinator:	
Address: 1429 Rock Quarry Road, Suite 105	0 0	)	
Raleigh, NC 27610	7 1 1 1		
Phone: (919) 707-7555			
E-Mail:	*If this function has been delegated (reassi G.S. 143B-10(a), submit a copy of the deleg		
laura.lansford@ncdor.gov Agency Contact, if any:		gation with this form	
Phone:	Typed Name: Laura Lansford		
E-Mail:	Title: Agency Legal Specialist II		
	ND OAH USE ONLY		
Action taken:			
RRC extended period of review:			
RRC determined substantial changes:			
☐ Withdrawn by agency ☐ Subject to Legislative Review			
Other:			

1. Rule-Making Agency: North Carolina Department of Reve	enue
2. Rule citation & name (name not required for repeal): 17 NCAC 12C .0304	
3. Action:	
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	Yes. Cite authority:
⊠ No	⊠ No
6. Notice for Proposed Rule:	
☐ Notice Required	20FF
Notice of Text published on:	7017 FFIC
Link to Agency notice:	AUG TO
Hearing on:	~ ∞ <del>~</del> ~ ~
Adoption by Agency on:	FILE 24
Notice not required under G.S.: 150B-1(d)(4)	
Adoption by Agency on: August 31, 2017	8. Fiscal impact (check all that apply):  State Guide effected.
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes	State funds affected
Agency submitted request for consultation on:	Environmental permitting of DO1 affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
	☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000)
⊠ No	Approved by OSBM
	■ Approved by Osbir
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest changes.	□ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ☑ Other: Periodic Rules Review  during the periodic review of Title 17 Chapter 12. The rule is being readopted with the periodic review of Title 17 Chapter 12.
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610	9 9 1 2
Phone: (919) 707-7555	tight: 6 which has been all and down in the
E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
laura.lansford@ncdor.gov	G.5. 145D-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Phone:	Typed Name: Laura Lansford
E-Mail:	Title: Agency Legal Specialist II
	ND OAH USE ONLY
Action taken:	
☐ RRC extended period of review: ☐ RRC determined substantial changes: ☐ Withdrawn by agency ☐ Subject to Legislative Review ☐ Other:	

1. Rule-Making Agency: North Carolina Department of Rever	nue
2. Rule citation & name (name not required for repeal): 17 NCAC 12D .0102	
3. Action:  ADOPTION AMENDMENT REPEAL	× READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  ☒ No	5. Rule automatically subject to legislative review?  Yes. Cite authority:
6. Notice for Proposed Rule:	7 6 1
<ul> <li>Notice Required         Notice of Text published on:         Link to Agency notice:         Hearing on:         Adoption by Agency on:     </li> <li>Notice not required under G.S.: 150B-1(d)(4)</li> <li>Adoption by Agency on: August 31, 2017</li> </ul>	OF ADMIN HEARINGS
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
<ul> <li>☐ Yes</li> <li>Agency submitted request for consultation on:</li> <li>Consultation not required. Cite authority:</li> <li>☒ No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> </ul>
	No fiscal note required
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: This rule was classified as necessary with substantive public interest dichanges.	□ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ☑ Other: Periodic Rules Review  uring the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 Phone: (919) 707-7555	La Land
E-Mail:	*If this function has been delegated (reassigned) pursuant to
laura.lansford@ncdor.gov Agency Contact, if any:	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	Typed Name: Laura Lansford
E-Mail:	Title: Agency Legal Specialist II
	O OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: North Carolina Department of Rever	nue
2. Rule citation & name (name not required for repeal): 17 NCAC 12D .0103	
3. Action:  ADOPTION AMENDMENT REPEAL	× READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
⊠ No	No FFIC 2017
6. Notice for Proposed Rule:	m -
	E OF
Notice Required Notice of Text published on:	FILED JG 24 PM 3: 24 DF ADMIN HEARING
Link to Agency notice:	
Hearing on:	N PR
Adoption by Agency on:	市 11
Notice not required under G.S.: 150B-1(d)(4)	R
Adoption by Agency on: August 31, 2017	FILED  OF ADMIN HEARINGS
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	State funds affected
Yes Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation
Consultation not required the many right	Local funds affected
⊠ No	<ul> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> </ul>
15 43	■ Approved by OSBM  No fiscal note required
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: This rule was classified as necessary with substantive public interest dichanges.	□ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ☑ Other: Periodic Rules Review  uring the periodic review of Title 17 Chapter 12. The rule is being readopted with
10. Rule-making Coordinator: Laura Lansford	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 1429 Rock Quarry Road, Suite 105	^ ^
Raleigh, NC 27610	$\mathcal{L}$
Phone: (919) 707-7555	
E-Mail: laura.lansford@ncdor.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any:	
Phone:	Typed Name: Laura Lansford
E-Mail:	Title: Agency Legal Specialist II
	O OAH USE ONLY
Action taken:	
☐ RRC extended period of review: ☐ RRC determined substantial changes: ☐ Withdrawn by agency ☐ Subject to Legislative Review ☐ Other:	

Ongmal 9/15/2017

1. Rule-Making Agency: Local Government Commission	
2. Rule citation & name (name not required for reneal):	
20 NCAC O3 .0409 Exemption from Preaudit Certificate Requ	uirement for Electronic Transactions
3. Action:  ADOPTION AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
⊠ No	⊠ No
6. Notice for Proposed Rule:	
Notice Required     ■	
Notice of Text published on: June 15, 2017	
Hearing on: No hearing scheduled or requested	department/OpenGovernment/proposed-rules/Pages/default.aspx
Adoption by Agency on: September 12, 2017	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes	State funds affected
Agency submitted request for consultation on:	Environmental permitting of DOT affected and analysis submitted to Board of Transportation
Consultation not required. Cite authority:	Local funds affected
⊠ No	☐ Substantial economic impact (≥\$1,000,000)
	<ul><li>☑ Approved by OSBM</li><li>☐ No fiscal note required</li></ul>
0 DEASO	N FOR ACTION
9A. What prompted this action? Check all that apply:	N FOR ACTION
	☐ Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law: S.L. 2015-246, s.6(a); S.L. 2015-246, s. 6(b)
<ul><li>☐ Federal statute / cite:</li><li>☐ Federal regulation / cite:</li></ul>	Petition for rule-making
9B. Explain:	☐ Other:
Proposed rules are in accordance with statutory mandate at 159	-28(f)(3) and 115C-441(f)(3) for the Local Government Commission
to adopt fules that set forth when preaudit and dispursement cer	tilications are not required for electronic transactions by local
governments, public authorities or local school administrative u	mits.
10. Rule-making Coordinator: Laura Rowe	II Simulation CA VI No. 1
Address: 3200 Atlantic Avenue, Raleigh, NC 27604	11. Signature of Agency Head* or Rule-making Coordinator:
Ph 010 014 2051	+1 (2
Phone: 919-814-3851 E-Mail: laura.rowe@nctreasurer.com	James Tour
	If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Cindy Aiken	ois. 1100 10(a), submit a copy of the delegation with this form.
F Maile air I ii o	Гуреd Name:
	Title:
Action taken:	
	IT SI
RRC extended period of review:	A P
RRC determined substantial changes:	
Withdrawn by agency     ■ Withdrawn	FILED ADMINHI
Subject to Legislative Review Other:	FILED SEP 14 PM 12: OF ADMIN HEAR!
_ Suite.	RIN 2

Chyma/ 4/13/213

1. Rule-Making Agency: Local Government Commission		
2. Rule citation & name (name not required for repeal): 20 NCAC O3 .0410 Exemption from Disbursement Certificat	te Requirement for Electronic Transactions	
3. Action:		
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	,	
Yes. Cite authority:	<ul><li>5. Rule automatically subject to legislative review?</li><li>☐ Yes. Cite authority:</li><li>☒ No</li></ul>	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 15, 2017 Link to Agency notice: www.nctreasurer.com/inside-the Hearing on: No hearing scheduled or requested Adoption by Agency on: September 12, 2017 Notice not required under G.S.: Adoption by Agency on:	e-department/OpenGovernment/proposed-rules/Pages/default.aspx	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:     </li> <li>No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: Proposed rules are in accordance with statutory mandate at 15t to adopt rules that set forth when preaudit and disbursement ce governments, public authorities or local school administrative	Legislation enacted by the General Assembly Cite Session Law: S.L. 2015-246, s.6(a); S.L. 2015-246, s. 6(b) Petition for rule-making Other:  9-28(f)(3) and 115C-441(f)(3) for the Local Government Commission ertifications are not required for electronic transactions by local units.	
10 Pule making Coordinators Laura Parre	AU AU	
10. Rule-making Coordinator: Laura Rowe Address: 3200 Atlantic Avenue, Raleigh, NC 27604 Phone: 919-814-3851	11. Signature of Agency Head* or Rule-making Coordinator:	
E-Mail: laura.rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Cindy Aiken		
Phone: 919-814-3846 E-Mail: cindy.aiken@nctreasurer.com	Typed Name:	
	Title:	
Action taken:  RRC AND OAH USE ONLY		
	SEMINAEM HIMOA TO SOIFTO	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency	SOIL SEP 1 4 PM 12: 40	
Subject to Legislative Review Other:	EILED	

Original 4/13/17

1. Rule-Making Agency: North Carolina Capital Facilities F	inance Agency
2. Rule citation & name (name not required for repeal): 20 NCAC 09 .0101 Organization and Functions	
3. Action:  ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
⊠ No	No T
	FILED P 14 AM II: 17 P ADMIN HEARINGS
6. Notice for Proposed Rule:	
☐ Notice Required	而 <u>二</u>
Notice of Text published on:	₹ <del></del>
Link to Agency notice:	II: 17
Hearing on:	88
Adoption by Agency on:  Notice not required under G.S.: 150B-21.5(a)(2) and (a)	a)/A)
Adoption by Agency on: September 12, 2017	a)( <del>1</del> )
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
	☐ State funds affected
Yes	Environmental permitting of DOT affected and
Agency submitted request for consultation on:	analysis submitted to Board of Transportation
Consultation not required. Cite authority:	☐ Local funds affected
	Substantial economic impact (≥\$1,000,000)
⊠ No	Approved by OSBM
	No fiscal note required
Agency was previously known as the Educational Facilities Carolina Capital Facilities Finance Agency. The Agency is	Legislation enacted by the General Assembly Cite Session Law: S.L. 2000-179 Petition for rule-making Other:  ency") is seeking to amend its rules to use its new statutory name. The Finance Agency and was renamed by statute in 2000 to the North also seeking to amend its rules to correct the mailing and physical
address of its offices. The location of the Agency changed v	when the Department of State Treasurer moved from its location e USPS will no longer forward mail that is sent to the prior mailing
address.	The distribution of the di
10. Rule-making Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 3200 Atlantic Avenue	
Raleigh, NC 27604	Laury From
Phone: 919-814-3851 E-Mail: laura.rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Cindy Aiken	Typed Name:
Phone: 919-814-3846	Title:
E-Mail: cindy.aiken@nctreasurer.com	ND OAH USE ONLY
Action taken:	
RRC extended period of review: RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

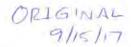
Congrad 9/13/2017

1. Rule-Making Agency: North Carolina Capital Facilities F	inance Agency	
2. Rule citation & name (name not required for repeal): 20 NCAC 09 .0102 Definitions		
3. Action: □ ADOPTION ⊠ AMENDMENT □ REPEAL	READOPTION	
☐ ADOPTION ☑ AMENDMENT ☐ REPEAL 4. Rule exempt from RRC review?	5. Rule automatically subject to legislative	e review?
Yes. Cite authority:	Yes. Cite authority:	
No	⊠ No	0
		7017 FFIC
6. Notice for Proposed Rule:		CE 7
☐ Notice Required		SEP TO
Notice of Text published on: Link to Agency notice:		VDW 1-4
Hearing on:		FILE F 14
Adoption by Agency on:		<b>E E</b>
Notice not required under G.S.: 150B-21.5(a)(2) and (	4)	EAR =
Adoption by Agency on: September 12, 2017		Z -
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	98
□ v	☐ State funds affected	
Yes Agency submitted request for consultation on:  Agency submitted request for consultation on:		ffected and
Consultation not required. Cite authority:	analysis submitted to Board of Trans  Local funds affected	sportation
	Substantial economic impact (≥\$1,00	0,000)
⊠ No	Approved by OSBM	
	No fiscal note required	
	Cite Session Law: S.L. 2000-179  Petition for rule-making Other: ency") is seeking to amend its rules to use its new Finance Agency and was renamed by statute in	ew statutory name. The a 2000 to the North
10. Rule-making Coordinator: Laura Rowe Address: 3200 Atlantic Avenue Raleigh, NC 27604	11. Signature of Agency Head* or Rule-m	naking Coordinator:
Phone: 919-814-3851	Jawy nope	
E-Mail: laura.rowe@nctreasurer.com	*If this function has been delegated (reas G.S. 143B-10(a), submit a copy of the dele	signed) pursuant to egation with this form.
Agency Contact, if any: Cindy Aiken	Typed Name:	
Phone: 919-814-3846 E-Mail: cindy.aiken@nctreasurer.com	Title:	
RRC A	ND OAH USE ONLY	
Action taken:		
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

# SUBMISSION FOR PERMANENT RULE ORIGINAL 9/15/17



1. Rule-Making Agency: NC Board of Architecture	
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0108 Fees	
3. Action: X ADOPTION AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?  ☐ Yes. Cite authority:  X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:  X No  TLE
6. Notice for Proposed Rule:	9F FP
X Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017  ☐ Notice not required under G.S.: Adoption by Agency on:	Yes. Cite authority: X No  FILE  OFFICE OF ADMIN HEARINGS  OFFICE OF ADMIN HEARINGS
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Remove application fee for file review	<ul> <li>Legislation enacted by the General Assembly         <ul> <li>Cite Session Law:</li> <li>Petition for rule-making</li> <li>Other:</li> </ul> </li> </ul>
10. Rule-making Coordinator: Cathe M Evans Address: 127 W. Hargett St #304 Raleigh, NC 27601 Phone: 919-733-9544	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to
E-Mail: cathe@ncbarch.org  Agency Contact, if any:	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	Typed Name: Cathe M. Evans
E-Mail:	Title: Executive Director
Action taken:	ID OAH USE ONLY
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	



1. Rule-Making Agency: NC Board of Architecture	
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0206 Requirement for and Use of Professional	Seal
3. Action: X ADOPTION AMENDMENT REPEAL	☐ READOPTION
4. Rule exempt from RRC review?  Yes. Cite authority: X No	5. Rule automatically subject to legislative review?  Yes. Cite authority:
6. Notice for Proposed Rule:	F A
X Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017  □ Notice not required under G.S.: Adoption by Agency on:	FILED OF ADMIN HEARINGS
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>
☐ Court order / cite: ☐ Federal statute / cite: ☐ Federal regulation / cite: 9B. Explain: Clarify Rule and update electronic seal/dig	Cite Session Law: Petition for rule-making Other: ital signature requirements
Address: 127 W. Hargett St #304 Raleigh, NC 27601  Phone: 919-733-9544  E-Mail: cathe@ncbarch.org  *If this function has been delegated (reassigned) G.S. 143B-10(a), submit a copy of the delegation	11. Signature of Agency Head* or Rule-making Coordinator:
	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any: Phone: E-Mail:	Typed Name: Cathe M. Evans Title: Executive Director
	D OAH USE ONLY
Action taken:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

ORIGINAS/17

1. Rule-Making Agency: NC Board of Architecture		
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0209 Unprofessional Conduct		
3. Action: X ADOPTION AMENDMENT REPEAL	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	Yes. Cite authority:	
X No	X No E S	
6 Notice for Proposed Rule:		
X Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017  Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
9A. What prompted this action? Check all that apply:  X Agency		
10. Rule-making Coordinator: Cathe M Evans Address: 127 W. Hargett St #304 Raleigh, NC 27601 Phone: 919-733-9544	11. Signature of Agency Head* or Rule-making Coordinator:	
E-Mail: cathe@ncbarch.org	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:		
Phone:	Typed Name: Cathe M. Evans	
E-Mail:	Title: Executive Director DOAH USE ONLY	
Action taken:	DOAN USE ONL!	
RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		



1. Rule-Making Agency: NC Board of Architecture		
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0213 Individual Licenses		
3. Action:	☐ READOPTION	
X ADOPTION AMENDMENT REPEAL	5. Rule automatically subject to legislative review?	-
Yes. Cite authority:  X No  X No		
X No	0	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: 06/01/2017		TI
Link to Agency notice: ncbarch.org	CE OF ADN	E
Hearing on: 7/14/2017	W. 9	Ш
Adoption by Agency on: 09/13/2017	N R	
Notice not required under G.S.:	بب 😤	
Adoption by Agency on:	(A. C. 1) (A. A. B. C. A. B. C. A. B. C. B. C. A. B. C. A. B. C. B. C. A. B. C. B. C. A. B. C	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes	☐ State funds affected	
Agency submitted request for consultation on:	☐ Environmental permitting of DOT affected and	
Consultation not required. Cite authority:	analysis submitted to Board of Transportation	
and the state of t	Local funds affected	
X No	Substantial economic impact (≥\$1,000,000)  Approved by OSBM	
	X No fiscal note required	
9A. What prompted this action? Check all that apply:  X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain: Clarify administrative process for renewals.	<ul> <li>☐ Legislation enacted by the General Assembly         Cite Session Law:</li> <li>☐ Petition for rule-making</li> <li>☐ Other:</li> </ul>	
10. Rule-making Coordinator: Cathe M Evans	11. Signature of Agency Head* or Rule-making Coordinato	r:
Address: 127 W. Hargett St #304 Raleigh, NC 27601	Address: 127 W. Hargett St #304 Raleigh, NC 27601	
DI 010 522 0544		
Phone: 919-733-9544	*If this function has been delegated (reassigned) pursuant to	0
E-Mail: cathe@ncbarch.org	G.S. 143B-10(a), submit a copy of the delegation with this fo	
Agency Contact, if any:	Size a total a supply of the delegation with this to	
Phone:		
E-Mail:		
RRC AND OAH USE ONLY		
Action taken:		
RRC extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review		
Other:		

ORIGINAL
PLE 9/15/17

1. Rule-Making Agency: NC Board of Architecture	
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0217 Emeritus Status	
3. Action:	
X ADOPTION AMENDMENT REPEAL	READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
X No	X No
6. Notice for Proposed Rule:	0 .
	7017 FFIC
X Notice Required Notice of Text published on: 06/01/2017	
Link to Agency notice: ncbarch.org	FILED SEP 19 P
Hearing on: 7/14/2017	
Adoption by Agency on: 09/13/2017	
☐ Notice not required under G.S.:	
Adoption by Agency on:	To the second se
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):
Yes	State fullus affected
Agency submitted request for consultation on:	Environmental permitting of DOT affected and
Consultation not required. Cite authority:	analysis submitted to Board of Transportation  Local funds affected
	Substantial economic impact (≥\$1,000,000)
X No	Approved by OSBM
	X No fiscal note required
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: To update rule to be consistent with national	☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☐ Other: al standards for emeritus status.
10. Rule-making Coordinator: Cathe M Evans	11. Signature of Agency Head* or Rule-making Coordinator:
Address: 127 W. Hargett St #304 Raleigh, NC 27601	
	Calle M. Fran
Phone: 919-733-9544	*If this function has been delegated (reassigned) pursuant to
E-Mail: cathe@ncbarch.org	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any:	Towns News Cottle M. France
Phone: E-Mail:	Typed Name: Cathe M. Evans Title: Executive Director
	ID OAH USE ONLY
Action taken:	
And the second s	
RRC extended period of review:	
RRC determined substantial changes: Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: NC Board of Architecture		
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0301		
3. Action: ADOPTION AMENDMENT XX REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legis	slative review?
Yes. Cite authority:	Yes. Cite authority:	
X No	X No	2017 S
	* I	m - m
6. Notice for Proposed Rule:		아 F /
X Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017  Notice not required under G.S.: Adoption by Agency on:		FILED  EP 19 PM 3: 25  OF ADMIN HEARINGS
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply	/ <b>):</b>
<ul> <li>Yes         Agency submitted request for consultation on:         Consultation not required. Cite authority:</li> <li>X No</li> </ul>	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
Federal statute / cite: Federal regulation / cite:  9B. Explain: repeal rule that is no longer relevant	☐ Petition for rule-making ☐ Other:	
10. Rule-making Coordinator: Cathe M Evans Address: 127 W. Hargett St #304 Raleigh, NC 27601	11. Signature of Agency Head* or Ru	lle-making Coordinator:
Phone: 919-733-9544 E-Mail: cathe@ncbarch.org	*If this function has been delegated (	reassigned) pursuant to
D. Main. Cathewhebaremorg	G.S. 143B-10(a), submit a copy of the	delegation with this form.
Agency Contact, if any:		
Phone:	Typed Name: Cathe M. Evans	
E-Mail:	Title: Executive Director	
Action taken:	ND OAH USE ONLY	
☐ RRC extended period of review: ☐ RRC determined substantial changes: ☐ Withdrawn by agency ☐ Subject to Legislative Review ☐ Other:		

# ORIGINAL 9/15/17

1. Rule-Making Agency: NC Board of Architecture		
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0302 Examination		
3. Action:		
X ADOPTION	READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
X No	□ No FE 297	
6. Notice for Proposed Rule:	SEP T	
X Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: nebarch.org Hearing on: 07/14/17 Adoption by Agency on: 09/13/2017  Notice not required under G.S.: Adoption by Agency on:	Yes. Cite authority:  OFFICE OF ADMIN HEARINGS  OFFICE OF ADMIN HEARINGS	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	<ul> <li>State funds affected</li> <li>Environmental permitting of DOT affected and analysis submitted to Board of Transportation</li> <li>Local funds affected</li> <li>Substantial economic impact (≥\$1,000,000)</li> <li>Approved by OSBM</li> <li>X No fiscal note required</li> </ul>	
Federal regulation / cite:  9B. Explain: To update rule to accurately reflect the admitted the experience program and submission process.	Other:  ninistrative processes in place now that the NCARB have updated	
10. Rule-making Coordinator: Cathe M Evans Address: 127 W. Hargett Street #304 Raleigh, NC 27601	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-733-9544	*If this function has been delegated (reassigned) pursuant to	
E-Mail: cathe@ncbarch.org	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	Typed Name: Cathe M. Evans	
Phone:	Title: Executive Director	
E-Mail:	The state of the s	
	ND OAH USE ONLY	
Action taken:		
RRC extended period of review:  RRC determined substantial changes:  Withdrawn by agency Subject to Legislative Review Other:		

Original September 19,201

1. Rule-Making Agency: North Carolina State Board of Denta	al Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 16Y.0104		
3. Action:		
☐ ADOPTION ☒ AMENDMENT ☐ REPEAL	☐ READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
⊠ No	⊠ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: May 15, 2017 Link to Agency notice: www.ncdentalboard.org Hearing on: June 15, 2017 Adoption by Agency on: September 8, 2017 Notice not required under G.S.: Adoption by Agency on:	2017 OFFIC	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)		
	По т Т Т	
☐ Yes	State funds affected Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected	
⊠ No	☐ Substantial economic impact (≥\$1,000,000) ☐	
	Anneound by OCDM	
	No fiscal note required   □ Approved by OSBIN	
9A. What prompted this action? Check all that apply:  Agency Court order / cite: Federal statute / cite: Federal regulation / cite:  9B. Explain:  Amendment is to allow an intern permit holder who is required to be on a	Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:  call to practice under the direction of a licensed dentist.	
10. Rule-making Coordinator: Whitney Waldenberg	11 Signature of Agency Head's at Duly making Constitution	
Address: 5540 Centerview Drive, Suite 200,	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, North Carolina 27606	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	
Phone: (919)-424-6334	MAU	
	E-Mail: *If this function has been delegated (reassigned) pursuant to	
whitney@brockerlawfirm.com  G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Phone:	Typed Name: Whitney Waldenberg	
E-Mail:	Title: Rulemaking coordinator	
	OAH USE ONLY	
Action ta'cen:  RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		