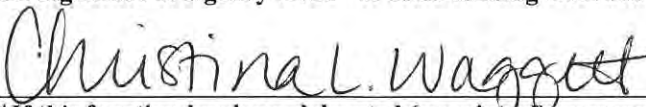
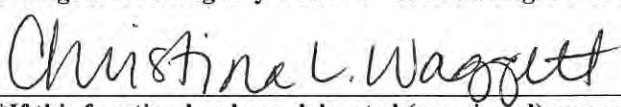


## SUBMISSION FOR PERMANENT RULE

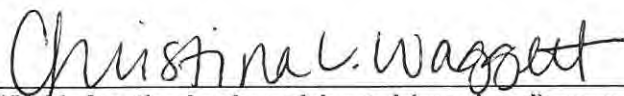
<b>1. Rule-Making Agency:</b> North Carolina Board of Agriculture	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 09G .2010 Restrictions on Dispensing Raw Milk	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/17 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/23/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 48%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other: </div> </div>	
<b>9B. Explain:</b> This rule was classified as "necessary with substantive public interest" during the Periodic Review and Expiration of Existing Rules process. The rule is being readopted without any changes and deals with the restrictions on dispensing raw milk.	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Christina L. Waggett <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Board of Agriculture	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 37 .0203 Nematode Advisory Service	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTED	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/17 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/23/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input checked="" type="checkbox"/> <b>Yes</b> Agency submitted request for consultation on: 9/20/17 Consultation not required. Cite authority: <input type="checkbox"/> <b>No</b>	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> <b>Agency</b>  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> <b>Legislation enacted by the General Assembly</b>            Cite Session Law: S.L. 2016-113, Section 6(a) and 6(b)  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule was classified as "necessary with substantive public interest" during the Periodic Review and Expiration of Existing Rules process. It is being readopted with changes to accurately reflect the fee for routine nematode assay service at \$3.00, and \$10.00 for nematode research and nematode non-resident samples that is currently set in statute. There is also the inclusion of recently added services: pinewood nematode assay and molecular diagnosis.	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Christina L. Waggett <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Agriculture	
2. Rule citation & name (name not required for repeal): 02 NCAC 52J .0901 Eligible Expenses	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 6/1/17 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/23/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law: S.L. 2016-113, Section 6(a) and 6(b)  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: The Animal Shelter Support Fund was created by the General Assembly to reimburse local governments for expenses related to their operation of a registered animal shelter due to the denial, suspension, or revocation of the shelter's registration, or an unforeseen catastrophic disaster at an animal shelter. These rules spell out the eligibility requirements.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

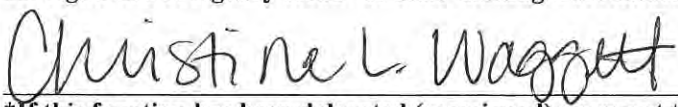
1. Rule-Making Agency: North Carolina Board of Agriculture	
2. Rule citation & name (name not required for repeal): 02 NCAC 52J .0902 Application Guidelines	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 6/1/17 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/23/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: S.L. 2016-113, Section 6(a) and 6(b)  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: The Animal Shelter Support Fund was created by the General Assembly to reimburse local governments for expenses related to their operation of a registered animal shelter due to the denial, suspension, or revocation of the shelter's registration, or an unforeseen catastrophic disaster at an animal shelter. This rule lays out the application guidelines.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center; font-family: cursive; font-size: 1.2em;">Christina L. Waggett</div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 59C .0303 Approvals to Exercise the Powers of Eminent Domain	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 9/1/16 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div>	
<b>9B. Explain:</b> Subchapter 59C covers the Small Watershed Program. The Commission determined Rule 02 NCAC 59C.0303, Approvals to Exercise the Power of Eminent Domain, to be necessary with substantive public interest during the Periodic Review and Expiration of Existing Rules Process. It is being readopted with no changes. N.C. General Statute 139-44 gives the Commission the responsibility to determine whether land sought to be acquired by a county by eminent domain for a small watershed project is for a "proper county purpose." Rule .0303 specifies the information the applicant must submit to enable the Commission to make this determination.	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; font-family: cursive; font-size: 1.2em; margin-top: 10px;">Christina L. Waggett</div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <b>Typed Name:</b> Christina L. Waggett <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

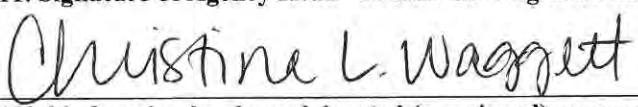
1. Rule-Making Agency: Soil and Water Conservation Commission	
2. Rule citation & name (name not required for repeal): 02 NCAC 59E .0101 Purpose	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This Subchapter describes rules to implement the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged To Surface Waters: Animal Waste Management Systems. This rule was classified as necessary with substantive public interest during the Periodic Review and Expiration of Existing Rule Process and is being readopted with updates to correct references (names and rules). It also removes unnecessary language.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; font-size: 1.2em; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 59E .0102 Definitions	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <p><b>9B. Explain:</b> This Subchapter describes rules to implement the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged To Surface Waters: Animal Waste Management Systems. This rule was classified as necessary with substantive public interest during the Periodic Review and Expiration of Existing Rule Process and is being readopted with updates to correct references (names and rules). It also includes additional definitions of terms currently used.</p>	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; font-family: cursive; font-size: 1.2em; margin-top: 10px;">Christina L. Waggett</div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p><b>Typed Name:</b> Christina L. Waggett  <b>Title:</b> Rule-making Coordinator</p>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 59E .0103 Requirements for Certification of Waste Management Plans	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly <input type="checkbox"/> Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
<b>9B. Explain:</b> This Subchapter describes rules to implement the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged To Surface Waters: Animal Waste Management Systems. This rule was classified as necessary with substantive public interest during the Periodic Review and Expiration of Existing Rule Process and is being readopted with updates to correct references (names and rules), removes unnecessary language and makes other technical changes.	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Christina L. Waggett <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

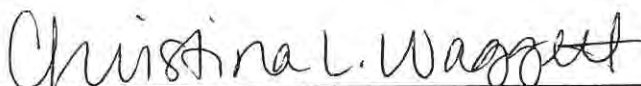
<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 09E .0104 Approved Best Management Practices (BMPs)	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This Subchapter describes rules to implement the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged To Surface Waters: Animal Waste Management Systems. This rule includes technical changes to names and rules and based on public comment and consultation with DWR, proposes to reinstate this paragraph e to allow for existing structures (ex. dairies) to be replaced where no practical alternative exists.	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; font-family: cursive; font-size: 1.2em; margin-top: 10px;">Christina L. Waggett</div> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Christina L. Waggett <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

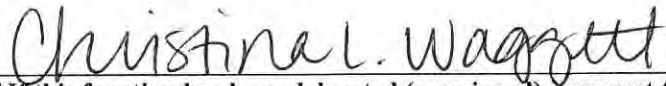
<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 59E .0105	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <p><b>9B. Explain:</b> This Subchapter describes rules to implement the provisions of 15A NCAC 02T Section .1300 - Waste Not Discharged To Surface Waters: Animal Waste Management Systems. This rule was classified as necessary with substantive public interest during the Periodic Review and Expiration of Existing Rule Process and is being repealed and incorporated into Rule 59G .0104.</p>	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; font-family: cursive; font-size: 1.2em; margin-top: 10px;">Christina L. Waggett</div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p><b>Typed Name:</b> Christina L. Waggett  <b>Title:</b> Rule-making Coordinator</p>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

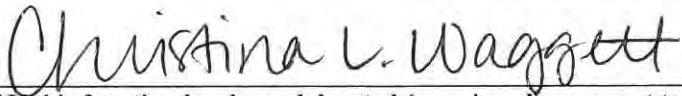
1. Rule-Making Agency: Soil and Water Conservation Commission	
2. Rule citation & name (name not required for repeal): 02 NCAC 59F .0106 Noncompliance with CREP Agreement	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This rule describes the procedures that will be followed by the Division when a landowner is found to be out of compliance with their CREP agreement. This rule was classified as necessary with substantive public interest during the Periodic Review and Expiration of Existing Rule Process and is being readopted with changes to clarify the Division will be the agency sending notice of noncompliance, clarifies what happens when the non-compliance involves a cost-share practice, and clarifies the agencies actions if a landowner does not agree to correct noncompliance.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

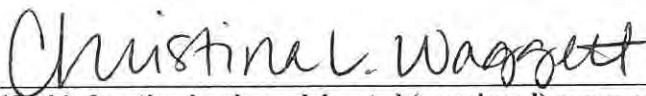
<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 59G .0101 Purpose	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 48%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other: </div> </div>	
<b>9B. Explain:</b> This Subchapter describes criteria and procedures for the Commission to approve water quality technical specialists and to approve BMPs for use in water quality protection programs. This rule was classified as necessary with substantive public interest during the periodic review and expiration of existing rules process and is being readopted with correct references.	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <b>Typed Name:</b> Christina L. Waggett <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Soil and Water Conservation Commission	
2. Rule citation & name (name not required for repeal): 02 NCAC 59G .0102 Definitions	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This Subchapter describes criteria and procedures for the Commission to approve water quality technical specialists and to approve BMPs for use in water quality protection programs. This rule was classified as necessary with substantive public interest during the periodic review and expiration of existing rules process and is being readopted with correct reference and the inclusion of an additional term.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Soil and Water Conservation Commission	
2. Rule citation & name (name not required for repeal): 02 NCAC 59G .0103 Approval of Best Management Practices (BMPs)	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This Subchapter describes criteria and procedures for the Commission to approve water quality technical specialists and to approve BMPs for use in water quality protection programs. This rule was classified as necessary with substantive public interest during the periodic review and expiration of existing rules process and is being readopted with correct references and give further clarification.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Christina L. Waggett Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



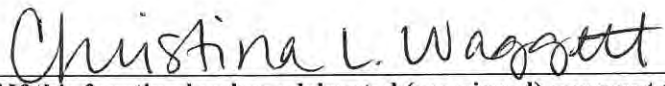
original 9/20/17

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 59G .0104 Approval of Water Quality Technical Specialists	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTIO	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other: </div> </div> <p><b>9B. Explain:</b> This Subchapter describes criteria and procedures for the Commission to approve water quality technical specialists and to approve BMPs for use in water quality protection programs. This rule was classified as necessary with substantive public interest during the periodic review and expiration of existing rules process and is being readopted in response to public comment and to reflect a recent change in state law exempting certain technical specialists from licensing requirements of the NC Board of Professional Engineers for the decommissioning of waste impoundments, other than design or installation of a spillway. There are also technical corrections that fix grammatical errors and corrects references.</p>	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; font-family: cursive; font-size: 1.2em;">Christina L. Waggett</div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p><b>Typed Name:</b> Christina L. Waggett  <b>Title:</b> Rule-making Coordinator</p>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

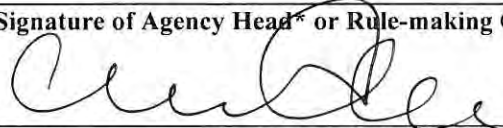


## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Soil and Water Conservation Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 02 NCAC 59G .0105 Application of BMP Approval and Technical Specialist Designation to Water Quality Protection Programs	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: 6/1/2017 Link to Agency notice: <a href="http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm">http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm</a> Hearing on: N/A Adoption by Agency on: 8/30/17 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
<b>9B. Explain:</b> This Subchapter describes criteria and procedures for the Commission to approve water quality technical specialists and to approve BMPs for use in water quality protection programs. This rule was classified as necessary with substantive public interest during the periodic review and expiration of existing rules process and is being readopted with technical changes that provide further clarification.	
<b>10. Rule-making Coordinator:</b> Christina L. Waggett <b>Address:</b> 1001 Mail Service Center Raleigh, NC 27699-1001 <b>Phone:</b> 919-707-3008 <b>E-Mail:</b> <a href="mailto:Christina.waggett@ncagr.gov">Christina.waggett@ncagr.gov</a> <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. <b>Typed Name:</b> Christina L. Waggett <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Industrial Commission	
2. Rule citation & name (name not required for repeal): 04 NCAC 10J .0103, FEES FOR INSTITUTIONAL SERVICES	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 15, 2017 Link to Agency notice: <a href="http://www.ic.nc.gov/proposed10J0103Notice-61517.pdf">http://www.ic.nc.gov/proposed10J0103Notice-61517.pdf</a> Hearing on: July 19, 2017 Adoption by Agency on: September 18, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: Explanation provided on second page	
10. Rule-making Coordinator: Kendall Bourdon Address: 4340 Mail Service Center, Raleigh, NC 27699-4340 Phone: (919) 807-2644 E-Mail: <a href="mailto:kendall.bourdon@ic.nc.gov">kendall.bourdon@ic.nc.gov</a>  Agency Contact, if any: Meredith Henderson Phone: (919) 807-2575 E-Mail: <a href="mailto:meredith.henderson@ic.nc.gov">meredith.henderson@ic.nc.gov</a>	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 20px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Charlton L. Allen Title: Chairman
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

## SUBMISSION FOR PERMANENT RULE

**9B. Explain:** The Industrial Commission proposes to amend the provisions of Rule 04 NCAC 10J .0103 for several reasons. The proposed amendment to Paragraph (a) is a clarification regarding the qualifications for reimbursement under Paragraphs (c), (d), and (f). Next, there are two primary reasons for the proposed amendments to the provisions related to **fees for ambulatory surgical centers**, Paragraphs (g) and (h). First, this rule has been the subject of litigation that is ongoing at the time of filing. The provisions of the rule as adopted on April 1, 2015, that relate to fees for ambulatory surgical centers, specifically Paragraphs (g) and (h) and the reference to (h) in Paragraph (i) were held to be invalid by Wake County Superior Court Judge Paul Ridgeway in an August 9, 2016 Decision. The decision was predicated on the court's belief that those provisions of the rule were not adopted in compliance with the Administrative Procedure Act because no fiscal note was prepared. The Industrial Commission has appealed that ruling, and the matter is pending before the North Carolina Court of Appeals. The August 9, 2016 Decision was stayed by Judge Ridgeway by Order dated September 2, 2016. While the Industrial Commission maintains its position that it was not required to complete a fiscal note to adopt and/or amend the challenged provisions, the Industrial Commission has now completed a fiscal note and seeks to amend the ambulatory surgical centers fee provisions of Rule 04 NCAC 10J .0103. Pending the outcome of the litigation, the amendments are sought to restore certainty and balance to the fee schedule for stakeholders, including payers and medical providers, as to future medical expenses. Moreover, the Industrial Commission is statutorily obligated to periodically review the schedule of maximum fees charged for medical treatment in workers' compensation cases and make revisions if necessary. The proposed amendments to Rule 04 NCAC 10J .0103 incorporate feedback from various stakeholders that the addition of a provision setting maximum fees for ambulatory surgical centers, for additional procedures covered by the Medicare Outpatient Prospective Payment System, would be beneficial to payers, providers, and injured workers.

The fee schedule reimbursement rate for services provided by ambulatory surgical centers covered by the Medicare Ambulatory Surgical Center Payment System will be 200%, in keeping with the rate for 2017 and beyond in the rule as adopted on April 1, 2015. The fee schedule reimbursement rate for additional procedures provided by ambulatory surgical centers that are covered by the Medicare Hospital Outpatient Prospective Payment System will be 135%.

The rates were calculated to fall in the estimated median range of workers' compensation fee schedules nationally, as well as within the range of workers' compensation fee schedules in states that base payment to ambulatory surgical centers on a percentage of the Medicare Hospital Outpatient Prospective Payment System and/or the Medicare Ambulatory Surgical Center Payment Systems. The following studies and data sources were reviewed:

- (1) NORTH CAROLINA WORKERS COMPENSATION INSURANCE: A WHITE PAPER REVIEWING MEDICAL COSTS AND MEDICAL FEE REGULATIONS, Prepared for the National Foundation for Unemployment Compensation and Workers' Compensation; prepared by Philip S. Borba, Ph.D. and Robert K. Briscoe, WCP, Milliman, Inc.; May 23, 2013.

- (2) CompScope™ Medical Benchmarks, 15th Edition, for North Carolina, published by the Workers' Compensation Research Institute, August 2014.

- (3) North Carolina Hospital Association/Optum Group Health survey data, June 2013 and July 2014.

- (4) Review of states' fee schedule structures, nationally and regionally.

- (5) CompScope™ Medical Benchmarks for North Carolina, 16th Edition, published by the Workers' Compensation Research Institute, October 2015.

- (6) CompScope™ Medical Benchmarks for North Carolina, 17th Edition, published by the Workers' Compensation Research Institute, October 2016.

- (7) Payments to Ambulatory Surgery Centers, 2<sup>nd</sup> Edition, published by the Workers' Compensation Research Institute, May 2016.

- (8) Review of medical fee schedules of states that base reimbursement to ambulatory surgical centers on the Medicare Ambulatory Surgical Center Payment System.

- (9) *Analysis of Alternatives to the North Carolina Ambulatory Surgical Center Fee Schedule Proposed to Be Effective October 1, 2017*, prepared by the National Council on Compensation Insurance, May 2, 2017.

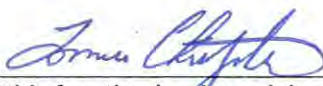
- (10) *Analysis of Alternatives to the North Carolina Ambulatory Surgical Center Fee Schedule Proposed to Be Effective January 1, 2017*, prepared by the National Council on Compensation Insurance, September 19, 2016.

- (11) *Analysis of Proposed Changes to the North Carolina Medical Fee Schedule*, prepared by the National Council on Compensation Insurance, December 4, 2014.




9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16A .0101	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

9/6/17

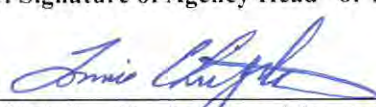
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16A .0104	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16A .0105 Restrictions: Payment of Dividends and Repurchase of Stock	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16A .0201; 04 NCAC 16A .0202; 04 NCAC 16A .0203	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:             </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:             </div> </div> 9B. Explain: These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

FILED

2017 SEP -6 PM 2:50


OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

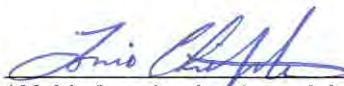
9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16A .0301; 04 NCAC .0302	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center;">   <hr style="width: 100%;"/> </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16A .0401; 04 NCAC 16A .0402; 04 NCAC 16A .0403; 04 NCAC 16A .0404; 04 NCAC 16A .0405; 04 NCAC 16A .0406; 04 NCAC 16A .0407; 04 NCAC 16A .0408; 04 NCAC 16A .0409	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS

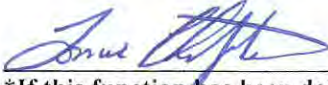
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9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0101; 04 NCAC 16C .0102; 04 NCAC 16C .0103	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

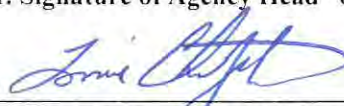
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0104	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0105	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

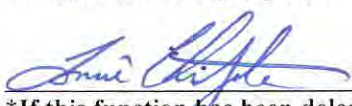
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0201; 04 NCAC 16C .0202; 04 NCAC 16C .0203	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM X No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



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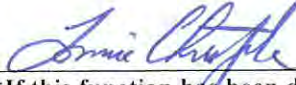
9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0304 Temporary Closing of Office	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0305	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0401; 04 NCAC 16C .0402; 04 NCAC 16C .0403; 04 NCAC 16C .0404; 04 NCAC 16C .0405	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

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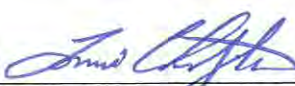
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16C .0501; 04 NCAC 16C .0502; 04 NCAC 16C .0503	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

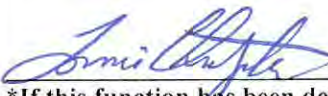
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0101	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

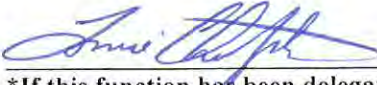
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4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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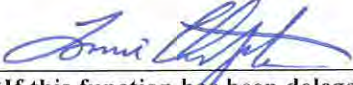
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0201; 04 NCAC 16D .0202; 04 NCAC 16D .0203; 04 NCAC 16D .0204	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0301; 04 NCAC 16D .0302	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
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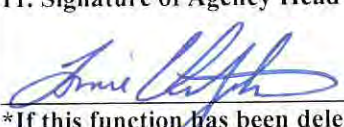


# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0401; 04 NCAC 16D .0402; 04 NCAC 16D .0403	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
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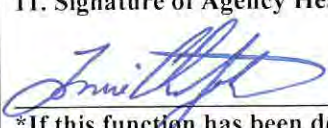
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2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0405	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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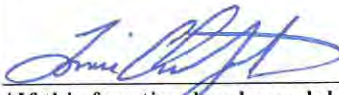
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4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

ORIGINAL

9/6/17

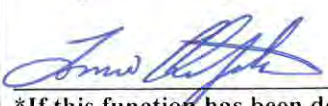
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0501; 04 NCAC 16D .0502	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARING




## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16D .0504	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2017 SEP -6 PM 2:52  
OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0901	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16D .0902	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


OFFICE OF ADMIN HEARINGS

2017 SEP -6 PM 2:56

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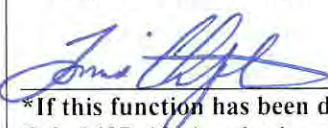
9/16/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0103 Composition of Board of Directors	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0104 Bylaws	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

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9/6/17

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16E.0201; 04 NCAC 16E .0202; 04 NCAC 16E .0203; 04 NCAC 16E .0204	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> <b>9B. Explain:</b> These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2017 SEP -6 PM 3:02

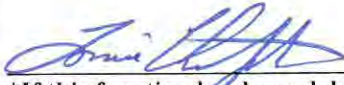
OFFICE OF ADMIN HEARINGS



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9/6/17

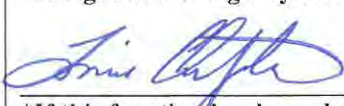
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0301 General Policies	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Legislation enacted by the General Assembly <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Cite Session Law: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Other: 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0302 Retention, Reproduction and Disposition of Records	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;">           9A. What prompted this action? Check all that apply:  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2017 SEP -6 PM 3:02  
 OFFICE OF ADJUTANT GENERAL



# SUBMISSION FOR PERMANENT RULE

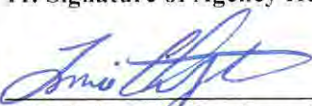
ORIGINAL

9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0303	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION  9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:             </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:             </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center;"> </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <div style="display: flex; flex-direction: row-reverse; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; font-size: 12pt;">FILED</div> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; font-size: 10pt;">2017 SEP - 6 PM 3: 0</div> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; font-size: 10pt;">OFFICE OF ADMIN HEARIN</div> </div> <div style="margin-top: 10px;"> <input type="checkbox"/> RRC extended period of review:  <input type="checkbox"/> RRC determined substantial changes:  <input type="checkbox"/> Withdrawn by agency  <input type="checkbox"/> Subject to Legislative Review  <input type="checkbox"/> Other:         </div>	

9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0401 Servicing Loans	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS


2017 SEP -6 PM 3:02

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9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0402	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

9/6/17

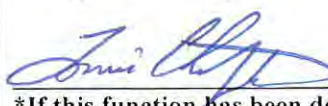
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0403 Records with respect to loans	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



ORIGINAL  
9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E.0404; 04 NCAC 16E .0405	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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OFFICE OF ADMIN HEARINGS

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0501	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



9/6/17

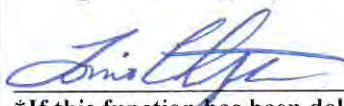
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E .0502 Holding of Certificate or Passbook	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2017 SEP -6 PM 3:04  
 OFFICE OF ADMIN HEARINGS

9/6/17

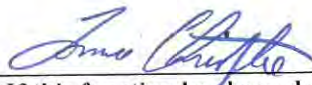
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E.0601; 04 NCAC 16E .0602	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



9/6/17

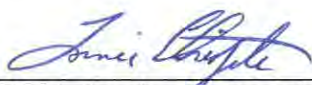
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16E.0701; 04 NCAC 16E .0702	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/16/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16F .0101 Permitted Activities	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16F .0103 Debt Limitation for wholly-owned service corporations	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> <b>9B. Explain:</b> This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

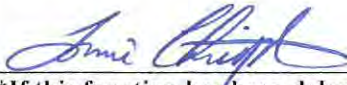
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OFFICE OF ADJ. HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

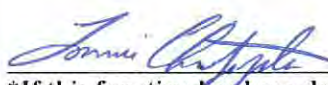
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16F .0105 Amendments to articles of incorporation or bylaws	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



9/6/17

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16F .0106; 04 NCAC 16F .0107; 04 NCAC 16F .0108; 04 NCAC 16F .0109; 04 NCAC 16F .0110; 04 NCAC 16F .0111; 04 NCAC 16F .0112; 04 NCAC 16F .0113	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: X No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: X No
<b>6. Notice for Proposed Rule:</b> X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM X No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


OFFICE OF ADMIN HEARINGS

2017 SEP -6 PM 3:06

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9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0103 Definitions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken:  <input type="checkbox"/> RRC extended period of review; <input type="checkbox"/> RRC determined substantial changes; <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16G .0104 General Requirements	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher <b>Address:</b> 4309 Mail Service Center Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438 <b>E-Mail:</b> lchristopher@nccob.gov  <b>Agency Contact, if any:</b> Katie Bosken <b>Phone:</b> (919) 715-0082 <b>E-Mail:</b> kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;"> </div> <p style="font-size: 0.8em;">*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</p> <b>Typed Name:</b> Lonnie Christopher <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0105	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0106 Confidential Information	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16G .0311 Required Provisions in plan of conversion	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> June 1, 2017 <b>Link to Agency notice:</b> <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> <b>Hearing on:</b> June 16, 2017 <b>Adoption by Agency on:</b> August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher <b>Address:</b> 4309 Mail Service Center Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438 <b>E-Mail:</b> lchristopher@nccob.gov  <b>Agency Contact, if any:</b> Katie Bosken <b>Phone:</b> (919) 715-0082 <b>E-Mail:</b> kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;"> </div> <hr/> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <b>Typed Name:</b> Lonnie Christopher <b>Title:</b> Rule-making Coordinator
RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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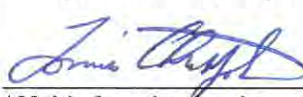
OFFICE OF ADMIN HEARINGS



ORIGINAL


9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0312 Optional provision in plan of conversion	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: <a href="mailto:lchristopher@nccob.gov">lchristopher@nccob.gov</a> Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: <a href="mailto:kbosken@nccob.gov">kbosken@nccob.gov</a>	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

## SUBMISSION FOR PERMANENT RULE


<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16G .0313 Record dates for qualifying deposits	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher <b>Address:</b> 4309 Mail Service Center Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438 <b>E-Mail:</b> lchristopher@nccob.gov  <b>Agency Contact, if any:</b> Katie Bosken <b>Phone:</b> (919) 715-0082 <b>E-Mail:</b> kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Lonnie Christopher <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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OFFICE OF ADMIN HEARINGS



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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0314	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0315 Manipulative and deceptive devices	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



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9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0316	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

## SUBMISSION FOR PERMANENT RULE

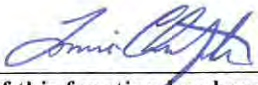
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0404	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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**ORIGINAL**  
9/6/17

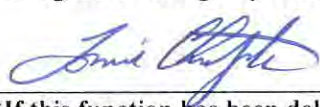
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0405 Notice of filing	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0509 Solicitations to which rules apply	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0510 Use of proxy soliciting material to be authorized	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <p>9A. What prompted this action? Check all that apply:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <p>9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.</p>	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0511 Information to be furnished members	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

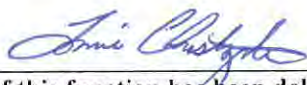
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0512 Requirements as to proxy	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

ORIGINAL

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1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0513 Material required to be filed	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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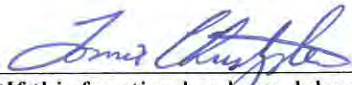
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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0514	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div> <div style="display: inline-block; width: 48%; vertical-align: top;">           9A. What prompted this action? Check all that apply:  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="display: inline-block; width: 48%; vertical-align: top;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <div>           9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.         </div>	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0515 False or misleading statements	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM X No fiscal note required
<div style="text-align: center; font-weight: bold;">9. REASON FOR ACTION</div> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:             </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:             </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN. HEARINGS  
 2017 SEP - 6 PM 3: 08  
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9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0516 Prohibition of certain solicitations	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

ORIGINAL

9/6/17

## SUBMISSION FOR PERMANENT RULE

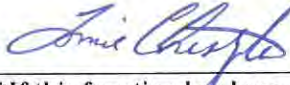
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0606; 04 NCAC 16G .0607; 04 NCAC 16G .0608	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



9/6/17

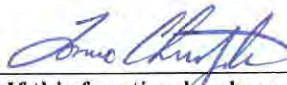
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0712 General	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <p>9A. What prompted this action? Check all that apply:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <p>9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.</p>	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2017 SEP -6 PM 3:09  
 OFFICE OF ADMIN HEARINGS

9/6/17

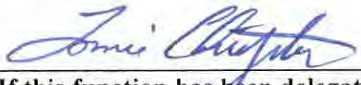
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0713 Distribution of offering materials	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly <input type="checkbox"/> Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0714	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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9/6/17

## SUBMISSION FOR PERMANENT RULE

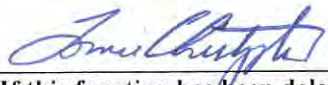
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0715 Prohibited representations	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



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## SUBMISSION FOR PERMANENT RULE

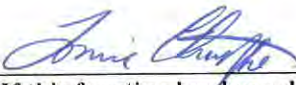
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0716	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <p>9A. What prompted this action? Check all that apply:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <p>9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.</p>	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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ORIGINAL

9/6/17

## SUBMISSION FOR PERMANENT RULE

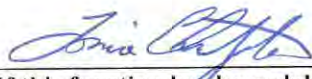
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0717 Pricing materials	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

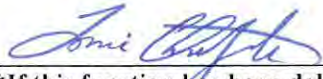
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0718 Order forms for purchasing of capital stock	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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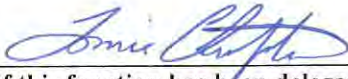
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0719	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM X No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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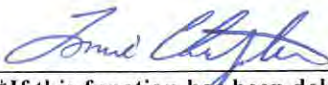
# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0720 Period for completion of sale	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

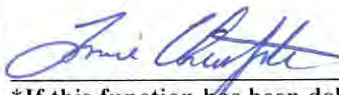
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0722 Extension of time to complete offerings	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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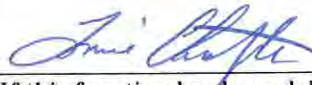
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0822	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM X No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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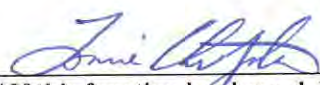
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0823 Additional filing requirements	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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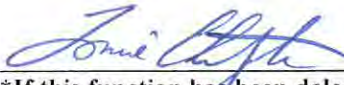
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<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16G .0824; 04 NCAC 16G .0825; 04 NCAC 16G .0826; 04 NCAC 16G .0827; 04 NCAC 16G .0828; 04 NCAC 16G .0829	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher <b>Address:</b> 4309 Mail Service Center Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438 <b>E-Mail:</b> lchristopher@nccob.gov  <b>Agency Contact, if any:</b> Katie Bosken <b>Phone:</b> (919) 715-0082 <b>E-Mail:</b> kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Lonnie Christopher <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .0830; 04 NCAC 16G .0831; 04 NCAC 16G .0832; 04 NCAC 16G .0833	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  X No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM X No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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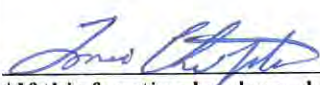
# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16G .0908; 04 NCAC 16G .0909; 04 NCAC 16G .0910; 04 NCAC 16G .0911; NCAC 16G .0912	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: X No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: X No
<b>6. Notice for Proposed Rule:</b> X Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

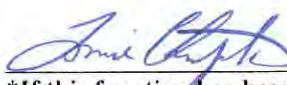
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16G .1203; 04 NCAC 16G .1204	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16H .0101 Definitions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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## SUBMISSION FOR PERMANENT RULE

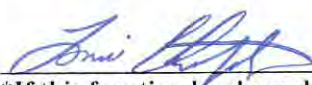
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16H .0103 Notice to savings institution	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16H .0104 Adoption of plan	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

ORIGINAL

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1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16H .0105 Approval by administrator	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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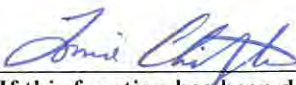
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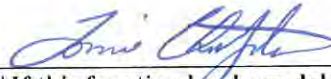
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16H .0106; 04 NCAC 16H .0107	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16H .0108 Waiver	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly <input type="checkbox"/> Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS

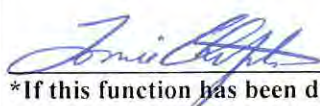
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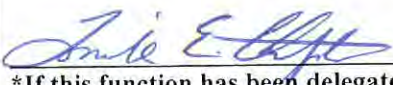
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .0702 Definitions and other terms	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .0703 Standards for approval of acquisition: Duties and conduct	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION  9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

ORIGINAL

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<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16I .0704	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher <b>Address:</b> 4309 Mail Service Center Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438 <b>E-Mail:</b> lchristopher@nccob.gov  <b>Agency Contact, if any:</b> Katie Bosken <b>Phone:</b> (919) 715-0082 <b>E-Mail:</b> kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <div style="text-align: center;">   <hr style="border: 0; border-top: 1px solid black;"/> </div> <p style="font-size: 0.8em;">*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</p> <p><b>Typed Name:</b> Lonnie Christopher  <b>Title:</b> Rule-making Coordinator</p>
RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

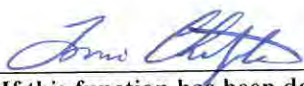
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OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

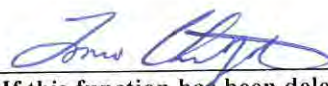
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .0801 Prior written approval required for acquisitions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

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1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .0802 Application fees: Filings	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16I .0803 Confidential information	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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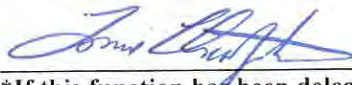
OFFICE OF ADMIN HEARINGS



ORIGINAL

9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .0901 Required acquisition application	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .0902 Acquisition procedure	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



9/6/17

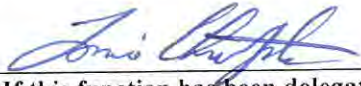
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 161 .1001 Required acquisition applications	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1002 Foreign applicants	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

ORIGINAL

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<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16I .1003 Acquisition procedure	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher <b>Address:</b> 4309 Mail Service Center Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438 <b>E-Mail:</b> lchristopher@nccob.gov  <b>Agency Contact, if any:</b> Katie Bosken <b>Phone:</b> (919) 715-0082 <b>E-Mail:</b> kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;"> </div> <p style="font-size: 0.8em;">*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</p> <b>Typed Name:</b> Lonnie Christopher <b>Title:</b> Rule-making Coordinator
RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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OFFICE OF ADMIN HEARINGS

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9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1101	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule is being repealed because it either restates what is in the general statutes or it is outdated and no longer useful or necessary.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

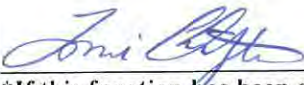
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OFFICE OF ADMIN HEARINGS



ORIGINAL

9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1102 Supervision	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1103 Annual reports	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1104 Filings and approval: Amendments to articles and bylaws	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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9/6/17

## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1105 Reports	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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 OFFICE OF ADMIN HEARINGS



9/6/17

## SUBMISSION FOR PERMANENT RULE

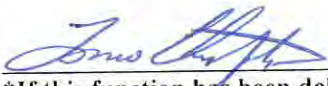
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1106	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

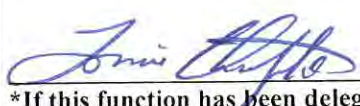
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1201; 04 NCAC 16I .1202; 04 NCAC 16I .1203	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1301 Required acquisition	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

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## SUBMISSION FOR PERMANENT RULE

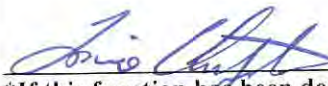
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16I .1302 Acquisition procedure	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16J .0101; 04 NCAC 16J .0102; 04 NCAC 16J .0103	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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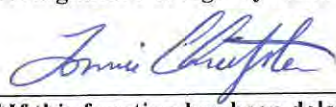
1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0101 Definitions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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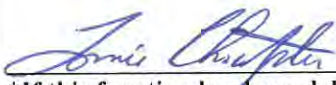
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1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0102 Applicatons	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie Christopher Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

9/6/17


## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0103 Consolidation or merger of two or more savings institutions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



9/6/17

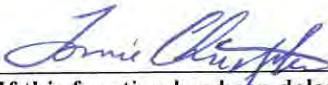
## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0104 Administration of trust powers	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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<b>RRC AND OAH USE ONLY</b>	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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ORIGINAL  
9/6/17

# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0105 Books and accounts	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

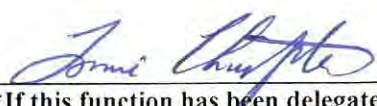
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OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

<b>1. Rule-Making Agency:</b> Office of the Commissioner of Banks	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 04 NCAC 16K .0106 Audit and trust department	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
<b>10. Rule-making Coordinator:</b> Lonnie Christopher <b>Address:</b> 4309 Mail Service Center Raleigh, NC 27699-4309 <b>Phone:</b> (919) 715-7438 <b>E-Mail:</b> lchristopher@nccob.gov  <b>Agency Contact, if any:</b> Katie Bosken <b>Phone:</b> (919) 715-0082 <b>E-Mail:</b> kbosken@nccob.gov	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <p style="font-size: 0.8em;">*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</p> <b>Typed Name:</b> Lonnie Christopher <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

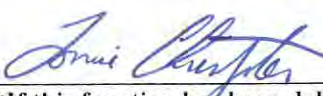
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OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0107 Funds awaiting investment or distribution	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



9/6/17

## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0108 Investment of funds held as fiduciary	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

OFFICE OF ADMIN HEARINGS

2017 SEP - 6 PM 3: 18

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0109 Self-dealing	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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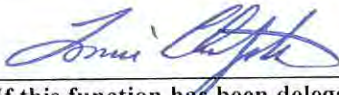
OFFICE OF ADMIN HEARINGS  
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**FILED**



ORIGINAL

9/6/17

## SUBMISSION FOR PERMANENT RULE

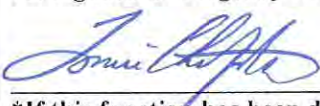
1. Rule-Making Agency: Office of the Commissioner of Banks Custody of investments	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0110	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2017 SEP - 6 PM 3:18  
 OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

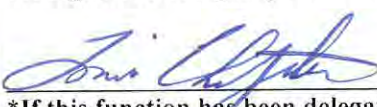
9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0111 Compensation of savings institution	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <p>9A. What prompted this action? Check all that apply:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:             </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:             </div> </div> <p>9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.</p>	
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<b>RRC AND OAH USE ONLY</b>	
<p>Action taken:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> RRC extended period of review:  <input type="checkbox"/> RRC determined substantial changes:  <input type="checkbox"/> Withdrawn by agency  <input type="checkbox"/> Subject to Legislative Review  <input type="checkbox"/> Other:             </div> <div style="width: 45%; text-align: right;"> <p style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;">OFFICE OF ADMIN HEARINGS</p> <p style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;">2017 SEP -6 PM 3:18</p> <p style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; color: blue;">FILED</p> </div> </div>	



9/16/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0112 Collective investment	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:           </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:           </div> </div> 9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.	
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

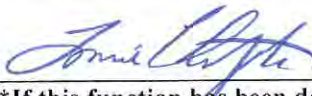
OFFICE OF ADMIN HEARINGS

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FILED

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## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0113; 04 NCAC 16K .0114; 04 NCAC 16K .0115; 04 NCAC 16K .0116	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <p>9A. What prompted this action? Check all that apply:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <p>9B. Explain: These rules are being repealed because they either restate what is in the general statutes or they are outdated and no longer useful or necessary.</p>	
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<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

 OFFICE OF ADMIN HEARINGS  
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 FILED



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16K .0117 Reports and fees	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

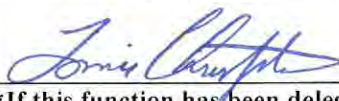
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OFFICE OF ADMIN HEARINGS

9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16L .0101	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2017 SEP - 6 PM 3: 19

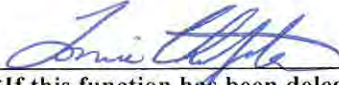
FILED



ORIGINAL

9/6/17

## SUBMISSION FOR PERMANENT RULE


1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16L .0102 Disposition of assets	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

9/6/17

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16L .0103 Revocation and cancellation of dissolution	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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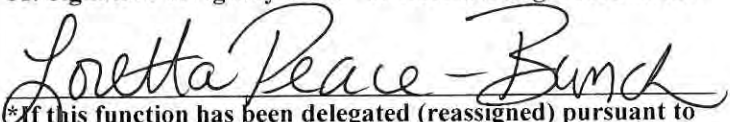
9/6/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Office of the Commissioner of Banks	
2. Rule citation & name (name not required for repeal): 04 NCAC 16L .0104 Waiver	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx">https://www.nccob.gov/Public/financialinstitutions/banks/SavingsAndLoanRules.aspx</a> Hearing on: June 16, 2017 Adoption by Agency on: August 23, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <p>9A. What prompted this action? Check all that apply:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <p>9B. Explain: This rule amendment either seeks to clarify the language, improve readability, correct the regulator, update the rule to current practices, delete unnecessary and outdated language, or make technical changes for consistency in our rules.</p>	
10. Rule-making Coordinator: Lonnie Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov  Agency Contact, if any: Katie Bosken Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator:   <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Lonnie Christopher Title: Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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 OFFICE OF ADMIN HEARINGS

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Department of Insurance	
2. Rule citation & name (name not required for repeal): 11 NCAC 06A .0802 Licensee Requirements	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: July 15, 2017 Link to Agency notice: <a href="http://www.ncdoi.com/LS/Rules.aspx">http://www.ncdoi.com/LS/Rules.aspx</a> Hearing on: July 24, 2017 Adoption by Agency on: August 21, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: <i>This amendment is being proposed to increase the continuing education credit that an agent can receive for participation in an approved professional industry organization from the current 2 credits to 4 credits. In addition, to allow credit to be awarded for participation in a national industry association meetings.</i>	
10. Rule-making Coordinator: Loretta Peace-Bunch Address: 1201 Mail Service Center Raleigh, North Carolina 27699-1201 Phone: 919-807-6004 E-Mail: <a href="mailto:loretta.peace-bunch@ncdoi.gov">loretta.peace-bunch@ncdoi.gov</a>  Agency Contact, if any: John Hoomani Phone: 919-807-6093 E-Mail: <a href="mailto:john.hoomani@ncdoi.gov">john.hoomani@ncdoi.gov</a>	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Loretta Peace-Bunch Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC Sheriffs' Education and Training Standards Commission	
2. Rule citation & name (name not required for repeal): 12NCAC 10B .0301 MINIMUM STANDARDS FOR JUSTICE OFFICERS	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 07/17/2017 Link to Agency notice: <a href="http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards">http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards</a> Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:             </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:             </div> </div> 9B. Explain: Lowers the minimum age requirement for certified telecommunicators from age 21 to 18.	
10. Rule-making Coordinator: Diane Konopka Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E-Mail: <a href="mailto:dkonopka@ncdoj.gov">dkonopka@ncdoj.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center; margin-top: 20px;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division
RRC AND OAH USE ONLY	

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# SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC Sheriffs' Education and Training Standards Commission	
2. Rule citation & name (name not required for repeal): 12NCAC 10B .0304 MEDICAL EXAMINATION	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 07/17/2017 Link to Agency notice: <a href="http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards">http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards</a> Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:             </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                  Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:             </div> </div> 9B. Explain: Allows for a waiver of additional Medical History Statement (Form F-1) and Medical Examination Report (Form F-2) for individuals who have previously completed the basic Telecommunicator Certification Course and who have been continuously employed as a telecommunicator. The F-1 and F-2 forms would have been required for entry into that basic course.	
10. Rule-making Coordinator: Diane Konopka Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E-Mail: <a href="mailto:dkonopka@ncdoj.gov">dkonopka@ncdoj.gov</a>  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  <div style="text-align: center; font-family: cursive; font-size: 1.2em;">Diane Konopka</div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division
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# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> NC Sheriffs' Education and Training Standards Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 12NCAC 10B .0713 ADMISSION OF TRAINEES	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 07/17/2017 Link to Agency notice: <a href="http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards">http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards</a> Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> Lowers the minimum age requirement for admission of trainees into the basic Telecommunicator Certification Course from age 21 to 18.	
<b>10. Rule-making Coordinator:</b> Diane Konopka Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E-Mail: <a href="mailto:dkonopka@ncdoj.gov">dkonopka@ncdoj.gov</a>  Agency Contact, if any: Phone: E-Mail:	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <div style="text-align: center; font-family: cursive;">Diane Konopka</div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division
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# SUBMISSION FOR PERMANENT RULE

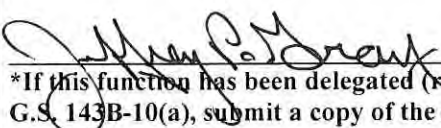
<b>1. Rule-Making Agency: NC Sheriffs' Education and Training Standards Commission</b>	
<b>2. Rule citation &amp; name (name not required for repeal): 12NCAC 10B .2005 MINIMUM TRAINING REQUIREMENTS</b>	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 07/17/2017 Link to Agency notice: <a href="http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards">http://ncdoj.gov/About-DOJ/Law-Enforcement-Training-and-Standards/Sheriffs-Education-and-Training-Standards</a> Hearing on: 08/01/2017 Adoption by Agency on: 9/15/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> Sets out annual in-service training topics for 2018.	
<b>10. Rule-making Coordinator: Diane Konopka</b> Address: 1700 Tryon Park Drive Raleigh, NC 27610 Phone: 919-662-4375 E-Mail: <a href="mailto:dkonopka@ncdoj.gov">dkonopka@ncdoj.gov</a>  Agency Contact, if any: Phone: E-Mail:	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  <div style="text-align: center; margin-top: 20px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Diane Konopka Title: Director, Sheriffs' Standards Division
<b>RRC AND OAH USE ONLY</b>	

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 OFFICE OF ADMINISTRATIVE SERVICES



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

1. Rule-Making Agency: Private Protective Services Board	
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0102 LOCATION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center; font-weight: bold;">9. REASON FOR ACTION</div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           9A. What prompted this action? Check all that apply:  <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: The address for the Private Protective Services Board offices has changed.	
10. Rule-making Coordinator: Jeffrey P. Gray Address: Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 Phone: (919) 828-0731 E-Mail: <a href="mailto:jgray@bdixon.com">jgray@bdixon.com</a>  Agency Contact, if any: Shannon Thongkheuang Phone: (919) 788-5320 E-Mail: <a href="mailto:Shannon.Thongkheuang@ncdps.com">Shannon.Thongkheuang@ncdps.com</a>	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Jeffrey P. Gray Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

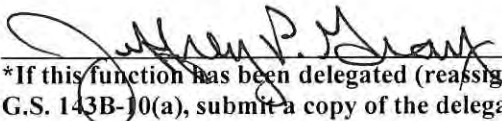
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OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

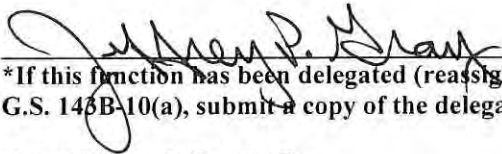
ORIGINAL

<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .0201 APPLICATION FOR LICENSES AND TRAINEE PERMITS	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.	
<b>10. Rule-making Coordinator:</b> Jeffrey P. Gray <b>Address:</b> Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 <b>Phone:</b> (919) 828-0731 <b>E-Mail:</b> jgray@bdixon.com  <b>Agency Contact, if any:</b> Shannon Thongkheuang <b>Phone:</b> (919) 788-5320 <b>E-Mail:</b> Shannon.Thongkheuang@ncdps.com	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Jeffrey P. Gray <b>Title:</b> Rule-making Coordinator
RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS



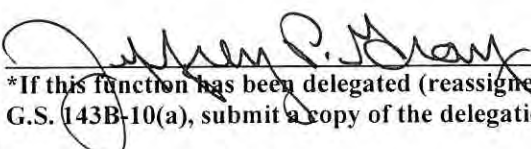
## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .0203 RENEWAL OR RE-ISSUE OF LICENSES AND TRAINEE PERMITS	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2017 AUG 29 PM 1:43  
 OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .0701 APPLICATION FOR UNARMED SECURITY GUARD REGISTRATION	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READoption</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
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<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> <b>Agency</b>  <input type="checkbox"/> <b>Court order / cite:</b>  <input type="checkbox"/> <b>Federal statute / cite:</b>  <input type="checkbox"/> <b>Federal regulation / cite:</b> </div> <div style="width: 45%;"> <input type="checkbox"/> <b>Legislation enacted by the General Assembly</b>              Cite Session Law:  <input type="checkbox"/> <b>Petition for rule-making</b>  <input type="checkbox"/> <b>Other:</b> </div> </div> <b>9B. Explain:</b> The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.	
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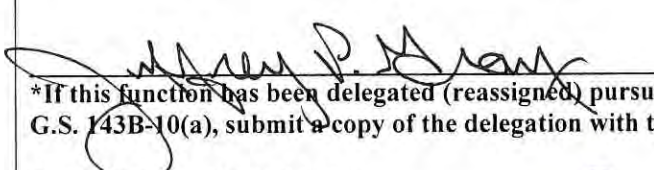
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OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

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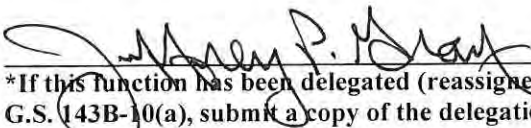
<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .0706 RENEWAL OR REISSUE OF UNARMED SECURITY GUARD REGISTRATION	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READoption</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
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RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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OFFICE OF ADMIN HEARINGS

## SUBMISSION FOR PERMANENT RULE

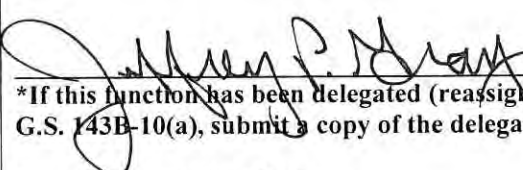
<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .0801 APPLICATION/ARMED SECURITY GUARD FIREARM REGISTRATION PERMIT	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READoption</b>	
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<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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 OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL

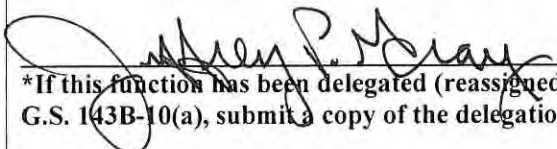
<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .0806 RENEWAL OF ARMED SECURITY GUARD FIREARM REGISTRATION PERMIT	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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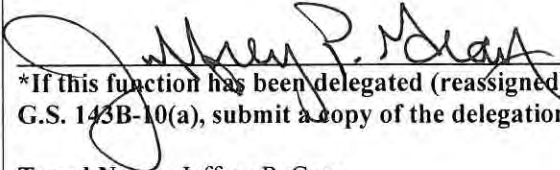
OFFICE OF ADMIN HEARINGS

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Private Protective Services Board	
2. Rule citation & name (name not required for repeal): 14 B NCAC 16 .0902 APPLICATION FOR FIREARMS TRAINER CERTIFICATE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

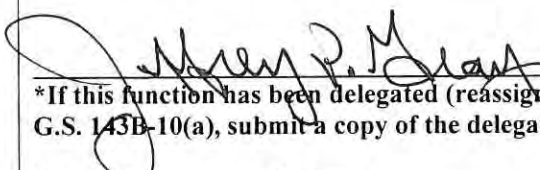


## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .0904 RENEWAL OF A FIREARMS TRAINER CERTIFICATE	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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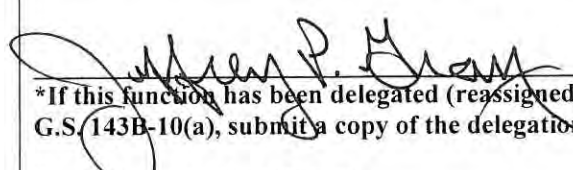
ORIGINAL

<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .1301 APPLICATION FOR UNARMED ARMORED CAR SERVICE GUARD REGISTRATION	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READoption</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.	
<b>10. Rule-making Coordinator:</b> Jeffrey P. Gray <b>Address:</b> Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 <b>Phone:</b> (919) 828-0731 <b>E-Mail:</b> jgray@bdixon.com  <b>Agency Contact, if any:</b> Shannon Thongkheuang <b>Phone:</b> (919) 788-5320 <b>E-Mail:</b> Shannon.Thongkheuang@ncdps.com	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">         _____        *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.   <b>Typed Name:</b> Jeffrey P. Gray  <b>Title:</b> Rule-making Coordinator     </div>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .1306 RENEWAL OR REISSUE OF UNARMED CAR SERVICE GUARD REGISTRATION	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.	
<b>10. Rule-making Coordinator:</b> Jeffrey P. Gray <b>Address:</b> Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 <b>Phone:</b> (919) 828-0731 <b>E-Mail:</b> <a href="mailto:jgray@bdixon.com">jgray@bdixon.com</a>  <b>Agency Contact, if any:</b> Shannon Thongkheuang <b>Phone:</b> (919) 788-5320 <b>E-Mail:</b> <a href="mailto:Shannon.Thongkheuang@ncdps.com">Shannon.Thongkheuang@ncdps.com</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> Jeffrey P. Gray <b>Title:</b> Rule-making Coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

# SUBMISSION FOR PERMANENT RULE

ORIGINAL

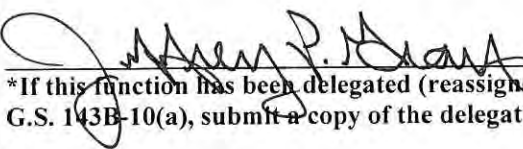
<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .1401 APPLICATION/ARMED ARMORED CAR SERVICE GUARD FIREARM REGISTRATION PERMIT	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.	
<b>10. Rule-making Coordinator:</b> Jeffrey P. Gray <b>Address:</b> Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 <b>Phone:</b> (919) 828-0731 <b>E-Mail:</b> jgray@bdixon.com  <b>Agency Contact, if any:</b> Shannon Thongkheuang <b>Phone:</b> (919) 788-5320 <b>E-Mail:</b> Shannon.Thongkheuang@ncdps.com	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">   <hr style="border: 0.5px solid black;"/> </div> <p style="font-size: 0.8em;">*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</p> <p><b>Typed Name:</b> Jeffrey P. Gray  <b>Title:</b> Rule-making Coordinator</p>
RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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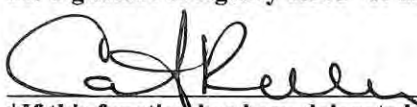
# SUBMISSION FOR PERMANENT RULE

ORIGINAL

<b>1. Rule-Making Agency:</b> Private Protective Services Board	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 14 B NCAC 16 .1406 RENEWAL OF ARMED ARMORED CAR SERVICE GUARD FIREARM REGISTRATION PERMIT	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READoption</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 1, 2017 Link to Agency notice: <a href="https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board">https://www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board</a> Hearing on: June 16, 2017 Adoption by Agency on: August 24, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The e-mail address for applicants to sent photographs required for applications has changed. In addition, this rule modifies the requirements for an applicant providing criminal background information so that the Private Protective Services Board may designate the service used to obtain the background information.	
<b>10. Rule-making Coordinator:</b> Jeffrey P. Gray <b>Address:</b> Bailey & Dixon, LLP, PO Box 1351, Raleigh, NC 27602 <b>Phone:</b> (919) 828-0731 <b>E-Mail:</b> <a href="mailto:jgray@bdixon.com">jgray@bdixon.com</a>  <b>Agency Contact, if any:</b> Shannon Thongkheuang <b>Phone:</b> (919) 788-5320 <b>E-Mail:</b> <a href="mailto:Shannon.Thongkheuang@ncdps.com">Shannon.Thongkheuang@ncdps.com</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">         _____        *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.         Typed Name: Jeffrey P. Gray        Title: Rule-making Coordinator     </div>
RRC AND OAH USE ONLY	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

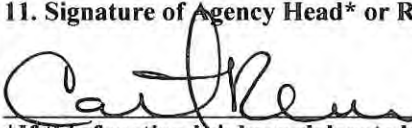
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August 24, 2017

1. Rule-Making Agency: NC Wildlife Resources Commission	
2. Rule citation & name (name not required for repeal): 15A NCAC 10F .0303 Beaufort County	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 3-15-2017 Link to Agency notice: <a href="http://www.ncwildlife.org/proposed-regulations">http://www.ncwildlife.org/proposed-regulations</a> Hearing on: 4-4-2017 Adoption by Agency on: 7-27-2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input checked="" type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: Beaufort County requested rulemaking to mitigate hazards to boating safety.	
10. Rule-making Coordinator: Carrie Ruhlman Address: 1751 Varsity Drive Raleigh, NC 27606 Phone: 919-707-0011 E-Mail: <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  Agency Contact, if any: Betsy Haywood Phone: 919-707-0013 E-Mail: <a href="mailto:betsy.haywood@ncwildlife.org">betsy.haywood@ncwildlife.org</a>	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Carrie Ruhlman Title: Rule-making Coordinator

RRC AND OAH USE ONLY




1. Rule-Making Agency: NC Wildlife Resources Commission	
2. Rule citation & name (name not required for repeal): 15A NCAC 10F .0323 Burke County	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIO	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 3-15-2017 Link to Agency notice: <a href="http://www.ncwildlife.org/proposed-regulations">http://www.ncwildlife.org/proposed-regulations</a> Hearing on: 4-4-2017 Adoption by Agency on: 7-27-2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input checked="" type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other: </div> </div> 9B. Explain: Burke County requested rulemaking to mitigate hazards to boating safety.	
10. Rule-making Coordinator: Carrie Ruhlman Address: 1751 Varsity Drive Raleigh, NC 27606 Phone: 919-707-0011 E-Mail: <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  Agency Contact, if any: Betsy Haywood Phone: 919-707-0013 E-Mail: <a href="mailto:betsy.haywood@ncwildlife.org">betsy.haywood@ncwildlife.org</a>	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Carrie Ruhlman Title: Rule-making Coordinator

RRC AND OAH USE ONLY

# SUBMISSION FOR PERMANENT RULE


August 24, 2017

1. Rule-Making Agency: NC Wildlife Resources Commission	
2. Rule citation & name (name not required for repeal): 15A NCAC 10F .0339 McDowell County	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 3-15-2017 Link to Agency notice: <a href="http://www.ncwildlife.org/proposed-regulations">http://www.ncwildlife.org/proposed-regulations</a> Hearing on: 4-4-2017 Adoption by Agency on: 7-27-2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input checked="" type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: McDowell County requested rulemaking to mitigate hazards to boating safety.	
10. Rule-making Coordinator: Carrie Ruhlman Address: 1751 Varsity Drive Raleigh, NC 27606 Phone: 919-707-0011 E-Mail: <a href="mailto:carrie.ruhlman@ncwildlife.org">carrie.ruhlman@ncwildlife.org</a>  Agency Contact, if any: Betsy Haywood Phone: 919-707-0013 E-Mail: <a href="mailto:betsy.haywood@ncwildlife.org">betsy.haywood@ncwildlife.org</a>	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Carrie Ruhlman Title: Rule-making Coordinator
RRC AND OAH USE ONLY	

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2017 AUG 24 AM 8:49  
OFFICE OF ADMIN HEARINGS

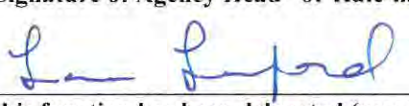


# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12A .0201	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div>	
<b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12A .0202	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12A .0303	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12A .0503	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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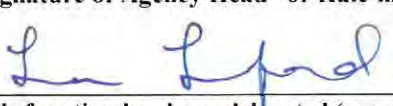


## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0106	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0107	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0402	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0403	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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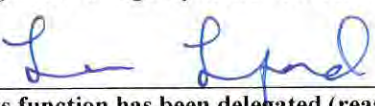


## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0404	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 48%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <div style="margin-top: 10px;"> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p><b>Typed Name:</b> Laura Lansford  <b>Title:</b> Agency Legal Specialist II</p> </div>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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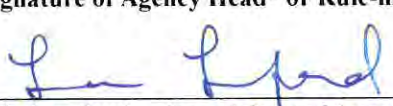
# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0410	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <div style="margin-top: 10px;"> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p><b>Typed Name:</b> Laura Lansford  <b>Title:</b> Agency Legal Specialist II</p> </div>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0412	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0413	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <div style="margin-top: 10px;"> <small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small> </div> <div style="margin-top: 10px;"> <b>Typed Name:</b> Laura Lansford  <b>Title:</b> Agency Legal Specialist II         </div>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0414	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was originally classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. However, during the re-adoption process, the rule was determined to be unnecessary.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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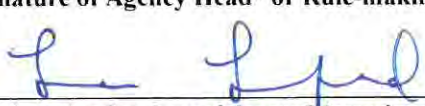
# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12B .0502	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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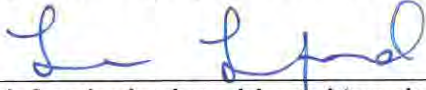


# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12C .0301	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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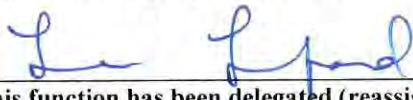
## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12C .0302	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12: The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2017 AUG 24 PM 3:24  
OFFICE OF ADMIN HEARINGS

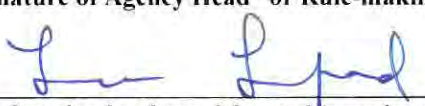


## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12C .0304	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

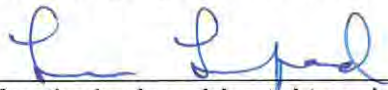
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 OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12D .0102	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b>	
<b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div>	
<b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



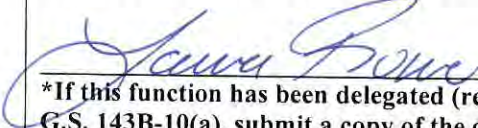
# SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Department of Revenue	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 17 NCAC 12D .0103	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input checked="" type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-1(d)(4) Adoption by Agency on: August 31, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>9A. What prompted this action? Check all that apply:</b>  <input type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input checked="" type="checkbox"/> Other: Periodic Rules Review         </div> </div> <b>9B. Explain:</b> This rule was classified as necessary with substantive public interest during the periodic review of Title 17 Chapter 12. The rule is being readopted with changes.	
<b>10. Rule-making Coordinator:</b> Laura Lansford <b>Address:</b> 1429 Rock Quarry Road, Suite 105 Raleigh, NC 27610 <b>Phone:</b> (919) 707-7555 <b>E-Mail:</b> laura.lansford@ncdor.gov <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Laura Lansford <b>Title:</b> Agency Legal Specialist II
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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2017 AUG 24 PM 3:24  
OFFICE OF ADMIN HEARINGS

Original 9/13/2017

# SUBMISSION FOR PERMANENT RULE

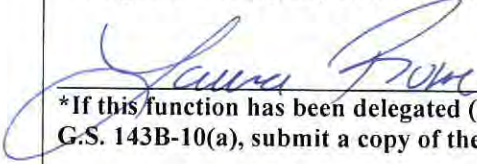
<b>1. Rule-Making Agency:</b> Local Government Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 20 NCAC 03 .0409 Exemption from Preaudit Certificate Requirement for Electronic Transactions	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READOPTION</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 15, 2017 Link to Agency notice: <a href="http://www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx">www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx</a> Hearing on: No hearing scheduled or requested Adoption by Agency on: September 12, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b>  <input type="checkbox"/> <b>Yes</b> Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> <b>No</b>	<b>8. Fiscal impact (check all that apply):</b>  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly          Cite Session Law: S.L. 2015-246, s.6(a); S.L. 2015-246, s. 6(b)  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> Proposed rules are in accordance with statutory mandate at 159-28(f)(3) and 115C-441(f)(3) for the Local Government Commission to adopt rules that set forth when preaudit and disbursement certifications are not required for electronic transactions by local governments, public authorities or local school administrative units.	
<b>10. Rule-making Coordinator:</b> Laura Rowe <b>Address:</b> 3200 Atlantic Avenue, Raleigh, NC 27604  <b>Phone:</b> 919-814-3851 <b>E-Mail:</b> <a href="mailto:laura.rowe@nctreasurer.com">laura.rowe@nctreasurer.com</a>  <b>Agency Contact, if any:</b> Cindy Aiken <b>Phone:</b> 919-814-3846 <b>E-Mail:</b> <a href="mailto:cindy.aiken@nctreasurer.com">cindy.aiken@nctreasurer.com</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> <b>Title:</b>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 2017 SEP 14 PM 12:40  
 OFFICE OF ADMIN HEARINGS



Original 4/13/2017

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> Local Government Commission	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 20 NCAC 03 .0410 Exemption from Disbursement Certificate Requirement for Electronic Transactions	
<b>3. Action:</b> <input checked="" type="checkbox"/> <b>ADOPTION</b> <input type="checkbox"/> <b>AMENDMENT</b> <input type="checkbox"/> <b>REPEAL</b> <input type="checkbox"/> <b>READOPTION</b>	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> Notice of Text published on: June 15, 2017 Link to Agency notice: <a href="http://www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx">www.nctreasurer.com/inside-the-department/OpenGovernment/proposed-rules/Pages/default.aspx</a> Hearing on: No hearing scheduled or requested Adoption by Agency on: September 12, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: S.L. 2015-246, s.6(a); S.L. 2015-246, s. 6(b) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:	
<b>9B. Explain:</b> Proposed rules are in accordance with statutory mandate at 159-28(f)(3) and 115C-441(f)(3) for the Local Government Commission to adopt rules that set forth when preaudit and disbursement certifications are not required for electronic transactions by local governments, public authorities or local school administrative units.	
<b>10. Rule-making Coordinator:</b> Laura Rowe <b>Address:</b> 3200 Atlantic Avenue, Raleigh, NC 27604  <b>Phone:</b> 919-814-3851 <b>E-Mail:</b> <a href="mailto:laura.rowe@nctreasurer.com">laura.rowe@nctreasurer.com</a>  <b>Agency Contact, if any:</b> Cindy Aiken <b>Phone:</b> 919-814-3846 <b>E-Mail:</b> <a href="mailto:cindy.aiken@nctreasurer.com">cindy.aiken@nctreasurer.com</a>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> <b>Title:</b>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

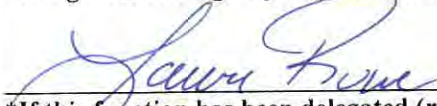
OFFICE OF ADMIN HEARINGS

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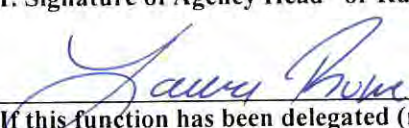
## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Capital Facilities Finance Agency	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 20 NCAC 09 .0101 Organization and Functions	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-21.5(a)(2) and (a)(4) Adoption by Agency on: September 12, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law: S.L. 2000-179  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The North Carolina Capital Facilities Finance Agency ("Agency") is seeking to amend its rules to use its new statutory name. The Agency was previously known as the Educational Facilities Finance Agency and was renamed by statute in 2000 to the North Carolina Capital Facilities Finance Agency. The Agency is also seeking to amend its rules to correct the mailing and physical address of its offices. The location of the Agency changed when the Department of State Treasurer moved from its location downtown. The Agency has recently been informed that the USPS will no longer forward mail that is sent to the prior mailing address.	
<b>10. Rule-making Coordinator:</b> Laura Rowe <b>Address:</b> 3200 Atlantic Avenue Raleigh, NC 27604 <b>Phone:</b> 919-814-3851 <b>E-Mail:</b> laura.rowe@nctreasurer.com  <b>Agency Contact, if any:</b> Cindy Aiken <b>Phone:</b> 919-814-3846 <b>E-Mail:</b> cindy.aiken@nctreasurer.com	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> <b>Title:</b>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



Original 9/3/2017

## SUBMISSION FOR PERMANENT RULE

<b>1. Rule-Making Agency:</b> North Carolina Capital Facilities Finance Agency	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 20 NCAC 09 .0102 Definitions	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READoption	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input type="checkbox"/> Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: <input checked="" type="checkbox"/> Notice not required under G.S.: 150B-21.5(a)(2) and (4) Adoption by Agency on: September 12, 2017	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq \$1,000,000$ ) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<b>9. REASON FOR ACTION</b> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly            Cite Session Law: S.L. 2000-179  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> The North Carolina Capital Facilities Finance Agency ("Agency") is seeking to amend its rules to use its new statutory name. The Agency was previously known as the Educational Facilities Finance Agency and was renamed by statute in 2000 to the North Carolina Capital Facilities Finance Agency.	
<b>10. Rule-making Coordinator:</b> Laura Rowe <b>Address:</b> 3200 Atlantic Avenue Raleigh, NC 27604 <b>Phone:</b> 919-814-3851 <b>E-Mail:</b> laura.rowe@nctreasurer.com  <b>Agency Contact, if any:</b> Cindy Aiken <b>Phone:</b> 919-814-3846 <b>E-Mail:</b> cindy.aiken@nctreasurer.com	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name:</b> <b>Title:</b>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b> <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

# SUBMISSION FOR PERMANENT RULE

ORIGINAL  
9/15/17

<b>1. Rule-Making Agency: NC Board of Architecture</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 02 .0108 Fees	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:                         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                      Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:                         </div> </div> <b>9B. Explain: Remove application fee for file review</b>	
<b>10. Rule-making Coordinator: Cathe M Evans</b> <b>Address: 127 W. Hargett St #304 Raleigh, NC 27601</b>  <b>Phone: 919-733-9544</b> <b>E-Mail: cathe@ncbarch.org</b>  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b>  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  <b>Typed Name: Cathe M. Evans</b> <b>Title: Executive Director</b>
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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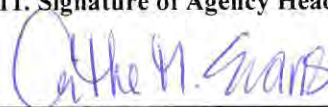
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OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

ORIGINAL  
9/15/17

<b>1. Rule-Making Agency: NC Board of Architecture</b>	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 02 .0206 Requirement for and Use of Professional Seal	
<b>3. Action:</b> <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTIOIN	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;"><b>9. REASON FOR ACTION</b></p> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:                         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly                              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:                         </div> </div> <b>9B. Explain: Clarify Rule and update electronic seal/digital signature requirements</b>	
<b>10. Rule-making Coordinator: Cathe M Evans</b> <b>Address:</b> 127 W. Hargett St #304 Raleigh, NC 27601  <b>Phone:</b> 919-733-9544 <b>E-Mail:</b> cathe@ncbarch.org  <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 10px;">  </div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p style="font-size: small;">*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</p> <b>Typed Name:</b> Cathe M. Evans <b>Title:</b> Executive Director
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	


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OFFICE OF ADMIN HEARINGS

ORIGINAL 9/5/17

## SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC Board of Architecture	
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0209 Unprofessional Conduct	
3. Action: X ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ( $\geq$ \$1,000,000) <input type="checkbox"/> Approved by OSBM X No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: X Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: 9B. Explain: Add two statutes that were amended by HB 255 to include architects, Clarify that failing to renew and continuing to practice is considered unprofessional conduct.	
10. Rule-making Coordinator: Cathe M Evans Address: 127 W. Hargett St #304 Raleigh, NC 27601  Phone: 919-733-9544 E-Mail: cathe@ncbarch.org  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Cathe M. Evans Title: Executive Director
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



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OFFICE OF ADMIN HEARINGS


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OFFICE OF ADMIN HEARINGS



# SUBMISSION FOR PERMANENT RULE

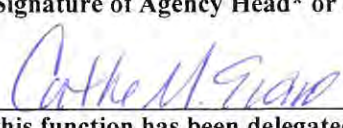
ORIGINAL  
9/15/17

1. Rule-Making Agency: NC Board of Architecture	
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0301	
3. Action: ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> XX REPEAL <input checked="" type="checkbox"/> READOPTION <input type="checkbox"/>	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: X No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: X No
6. Notice for Proposed Rule: X Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 7/14/2017 Adoption by Agency on: 09/13/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
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9B. Explain: repeal rule that is no longer relevant	
10. Rule-making Coordinator: Cathe M Evans Address: 127 W. Hargett St #304 Raleigh, NC 27601  Phone: 919-733-9544 E-Mail: cathe@ncbarch.org  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:   *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Cathe M. Evans Title: Executive Director
RRC AND OAH USE ONLY	
Action taken:	
<input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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 OFFICE OF ADMIN HEARINGS

# SUBMISSION FOR PERMANENT RULE

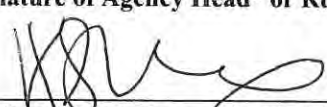
ORIGINAL  
9/15/17

1. Rule-Making Agency: NC Board of Architecture	
2. Rule citation & name (name not required for repeal): 21 NCAC 02 .0302 Examination	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 06/01/2017 Link to Agency notice: ncbarch.org Hearing on: 07/14/17 Adoption by Agency on: 09/13/2017 <input type="checkbox"/> Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)  <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority:  <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply):  <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> 9B. Explain: To update rule to accurately reflect the administrative processes in place now that the NCARB have updated the experience program and submission process.	
10. Rule-making Coordinator: Cathe M Evans Address: 127 W. Hargett Street #304 Raleigh, NC 27601  Phone: 919-733-9544 E-Mail: cathe@ncbarch.org  Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 20px;">  </div> <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.  Typed Name: Cathe M. Evans Title: Executive Director
RRC AND OAH USE ONLY	
Action taken:  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	



# SUBMISSION FOR PERMANENT RULE

Original  
September 19, 2017

<b>1. Rule-Making Agency:</b> North Carolina State Board of Dental Examiners	
<b>2. Rule citation &amp; name (name not required for repeal):</b> 21 NCAC 16Y.0104	
<b>3. Action:</b> <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL <input type="checkbox"/> READOPTION	
<b>4. Rule exempt from RRC review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	<b>5. Rule automatically subject to legislative review?</b> <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
<b>6. Notice for Proposed Rule:</b> <input checked="" type="checkbox"/> <b>Notice Required</b> <b>Notice of Text published on:</b> May 15, 2017 <b>Link to Agency notice:</b> www.ncdentalboard.org <b>Hearing on:</b> June 15, 2017 <b>Adoption by Agency on:</b> September 8, 2017 <input type="checkbox"/> <b>Notice not required under G.S.:</b> <b>Adoption by Agency on:</b>	
<b>7. Rule establishes or increases a fee? (See G.S. 12-3.1)</b> <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	<b>8. Fiscal impact (check all that apply):</b> <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<div style="text-align: center;"><b>9. REASON FOR ACTION</b></div> <b>9A. What prompted this action? Check all that apply:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency  <input type="checkbox"/> Court order / cite:  <input type="checkbox"/> Federal statute / cite:  <input type="checkbox"/> Federal regulation / cite:         </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly              Cite Session Law:  <input type="checkbox"/> Petition for rule-making  <input type="checkbox"/> Other:         </div> </div> <b>9B. Explain:</b> Amendment is to allow an intern permit holder who is required to be on call to practice under the direction of a licensed dentist.	
<b>10. Rule-making Coordinator:</b> Whitney Waldenberg <b>Address:</b> 5540 Centerview Drive, Suite 200, Raleigh, North Carolina 27606 <b>Phone:</b> (919)-424-6334 <b>E-Mail:</b> whitney@brockerlawfirm.com <b>Agency Contact, if any:</b> <b>Phone:</b> <b>E-Mail:</b>	<b>11. Signature of Agency Head* or Rule-making Coordinator:</b> <div style="text-align: center; margin-top: 20px;">  </div> <hr/> <b>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</b>  <b>Typed Name:</b> Whitney Waldenberg <b>Title:</b> Rulemaking coordinator
<b>RRC AND OAH USE ONLY</b>	
<b>Action taken:</b>  <input type="checkbox"/> RRC extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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