| 1 | 21 NCAC 36 .012 | 20 is amended, with changes, as published in NCR 31:14, pages 1403 – 1406, as follows: |
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| 3 | 21 NCAC 36 .012 | 20 DEFINITIONS |
| 4 | The following de | finitions apply throughout this chapter unless the context indicates otherwise: |
| 5 | <u>(1)</u> | "Administrative Law Counsel" means an attorney whom the Board of Nursing has retained to serve |
| 6 | | as procedural officer for contested cases. |
| 7 | (1) (2) | "Academic term" means one semester of a school year. |
| 8 | (2) (3) | "Accountability/Responsibility" means being answerable for action or inaction of self, and of others |
| 9 | | in the context of delegation or assignment. |
| 10 | (3) (4) | "Accredited institution" means an institution accredited by a United States Department of Education |
| 11 | | approved institutional accrediting body. |
| 12 | (4) (5) | "Active Practice" means activities that are performed, either for compensation or without |
| 13 | | compensation, consistent with the scope of practice for each level of licensee as defined in G.S. 90- |
| 14 | | 171.20(4), (7) <u>(7)</u> , and (8). |
| 15 | (5) (6) | "Advanced Practice Registered Nurse (APRN)" means a nurse practitioner, nurse anesthetist, nurse- |
| 16 | | midwife or clinical nurse specialist. |
| 17 | (6) (7) | "Assigning" means designating responsibility for implementation of a specific activity or set of |
| 18 | | activities to a person licensed and competent to perform such activities. |
| 19 | (7) (8) | "Clinical experience" means application of nursing knowledge in demonstrating clinical judgment |
| 20 | | in a current or evolving practice setting where the student provides care to clients under the |
| 21 | | supervision of faculty or a preceptor. |
| 22 | (8) (9) | "Clinical judgment" means the application of the nursing knowledge, skills, abilities, and experience |
| 23 | | in making decisions about client care. |
| 24 | (9) (10) | "Competent" means having the knowledge, skills, and ability to safely perform an activity or role. |
| 25 | (10) (11) | "Continuing Competence" means the on-going acquisition and application of knowledge and the |
| 26 | | decision-making, psychomotor, and interpersonal skills expected of the licensed nurse resulting in |
| 27 | | nursing care that contributes to the health and welfare of clients served. |
| 28 | (11) (12) | "Contact Hour" means 60 minutes of an organized learning experience. |
| 29 | (12) (13) | "Continuing Education Activity" means a planned, organized learning experience that is related to |
| 30 | | the practice of nursing or contributes to the competency of a nurse as outlined in 21 NCAC 36.0223 |
| 31 | | Subparagraph (a)(2). |
| 32 | (13) (14) | $"Controlling\ institution"\ means\ the\ degree-granting\ organization\ or\ hospital\ under\ which\ the\ nursing$ |
| 33 | | education program is operating. |
| 34 | (14) (15) | "Curriculum" means an organized system of teaching and learning activities directed toward the |
| 35 | | achievement of specified learning objectives and outcomes. |
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| 1 | (15)(16) "Delegation" means transferring to a competent individual the authority to perform a selected |
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| 2 | nursing activity in a selected situation. The nurse retains accountability/responsibility for the |
| 3 | delegation. |
| 4 | (16)(17) "Debriefing" means an activity that follows a clinical or simulated experience and is led by a trained |
| 5 | faculty facilitator. Students' reflective thinking is encouraged, and feedback is provided regarding |
| 6 | the students' performance during discussion of various aspects of the completed experiences. |
| 7 | (17)(18) "Dimensions of Practice" means those aspects of nursing practice that include professional |
| 8 | responsibility, knowledge-based practice, ethical and legal practice, and collaborating with others, |
| 9 | consistent with G.S. 90-171.20(4), (7) (7), and (8). |
| 10 | (18)(19) "Distance education" means teaching and learning strategies used to meet the learning needs of |
| 11 | students when the students and faculty are not in the same location. |
| 12 | (19)(20) "External standardized examination" means a commercially available standardized predictive test |
| 13 | that provides individual student scores that are linked to a probability of passing the $NCLEX^{TM}$ |
| 14 | examination. |
| 15 | (20)(21) "Faculty directed clinical practice" means clinical experiences provided under the |
| 16 | accountability/responsibility and direction of nursing program faculty. |
| 17 | (21)(22) "Focused client care experience" means a clinical experience that emulates an entry-level work |
| 18 | experience in nursing. The intent is to assist the student to transition to an entry-level nursing |
| 19 | practice. There is no specific setting requirement. Supervision may be by faculty and preceptor |
| 20 | dyad or direct faculty supervision. |
| 21 | (22)(23) "Interdisciplinary faculty" means faculty from professions other than nursing. |
| 22 | (23)(24) "Interdisciplinary team" means all individuals involved in providing a client's care who cooperate, |
| 23 | collaborate, communicate, and integrate care to ensure that care is continuous and reliable. |
| 24 | (24)(25) "Learning resources" means materials that faculty use to assist students in meeting the expectations |
| 25 | for learning defined by the curriculum. |
| 26 | (25)(26) "Level of Licensure" means practice of nursing by either a Licensed Practical Nurse or a Registered |
| 27 | Nurse as defined in G.S. 90-171.20(7) and (8). |
| 28 | (26)(27) "Level of student" means the point in the program to which the student has progressed. |
| 29 | (27)(28) "Maximum enrollment" means the total number of pre-licensure students that can be enrolled in the |
| 30 | nursing program at any one time. The number reflects the capacity of the nursing program based |
| 31 | on demonstrated resources sufficient to implement the curriculum. |
| 32 | (28)(29) "Methods of Instruction" means the planned process through which teacher and student interact with |
| 33 | selected environment and content so that the response of the student gives evidence that learning |
| 34 | has taken place. It is based upon stated course objectives and outcomes for learning experiences in |
| 35 | classroom, laboratory, simulation and clinical settings. |
| 36 | (29)(30) "National Credentialing Body" means a credentialing body that offers certification or re-certification |
| 37 | in the licensed nurse's or Advanced Practice Registered Nurse's specialty area of practice. |

| 1 | (30) (31) "NCLE | EX-PN TM " means the National Council Licensure Examinations for Practical Nurses. |
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| 2 | (31)(32) "NCLE | EX-RN TM " means the National Council Licensure Examinations for Registered Nurses. |
| 3 | (32) (33) "Nursii | ng Accreditation body" means a national nursing accrediting body, recognized by the United |
| 4 | States 1 | Department of Education. |
| 5 | (33)(34) "Nursii | ng program faculty" means individuals employed full or part-time by academic institution |
| 6 | respons | sible for developing, implementing, evaluation evaluating and updating nursing curricula. |
| 7 | (34)(35) "Nursii | ng project" means a project or research study of a topic related to nursing practice that |
| 8 | include | es a problem statement, objectives, methodology and summary of findings. |
| 9 | (35)(36) "Partic | ipating in" means to have a part in or contribute to the elements of the nursing process. |
| 10 | (36) (37) "Patter | n of noncompliance" means episodes of recurring non-compliance with one or more Rules in |
| 11 | Section | 1.0300. |
| 12 | (37) (38) "Precep | otor" means a registered nurse at or above the level of licensure that an assigned student is |
| 13 | seeking | g, who may serve as a teacher, mentor, role model and supervisor for a faculty directed clinical |
| 14 | experie | ence. |
| 15 | (38)(39) "Prescr | ribing Authority" means the legal permission granted by the Board of Nursing and Medical |
| 16 | Board | for the nurse practitioner and nurse midwife to procure and prescribe legend and controlled |
| 17 | pharma | acological agents and devices to a client in compliance with Board of Nursing rules and other |
| 18 | applica | ble federal and state law and regulations. |
| 19 | (39) (40) "Progra | am Closure" means to cease operation of a nursing program. |
| 20 | (40)(41) "Progra | am" means a course of study that prepares an individual to function as an entry-level |
| 21 | practiti | oner of nursing. The three "Program Types" are: |
| 22 | (a) | BSN - Curriculum components for Bachelor of Science in Nursing provides for the |
| 23 | | attainment of knowledge and skill sets in the current practice in nursing, nursing theory, |
| 24 | | nursing research, community and public health, health care policy, health care delivery and |
| 25 | | finance, communications, therapeutic interventions and current trends in health care. For |
| 26 | | this program type, the client is the individual, family, group, and community. |
| 27 | (b) | Associate Degree in Nursing (ADN)/Diploma in Registered Nursing - Curriculum |
| 28 | | components for the ADN/Diploma in Registered Nursing provides for the attainment of |
| 29 | | knowledge and skill sets in the current practice in nursing, community concepts, health |
| 30 | | care delivery, communications, therapeutic interventions and current trends in health care. |
| 31 | | For this program type, client is the individual, group of individuals, and family. |
| 32 | (c) | Practical Nurse Diploma - Curriculum prepares for providing direct nursing care under the |
| 33 | | supervision of a registered nurse or other health care provider as defined by the Nursing |
| 34 | | Practice Act. Curriculum components provide for the attainment of knowledge and skill |
| 35 | | sets in the current practice of practical nursing, communications, therapeutic interventions, |
| 36 | | including pharmacology, growth and development, and current trends in health care. For |
| 37 | | this program type client is the individual or group of individuals. |
| | | |

| 1 | ((42) "Prosecuting Attorney" means the attorney representing the Board of Nursing to prepare an |
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| 2 | prosecute contested cases.] |
| 3 | (41)[(43)](42) "Review" means collecting and analyzing information to assess compliance with Section .030 |
| 4 | of this Chapter. Information may be collected by multiple methods, including review of writte |
| 5 | reports and materials, on-site observations, review of documents, and in-person or telephon |
| 6 | interview(s) and conference(s). |
| 7 | (42)[(44)](43) "Rescind Approval" means a Board action that removes the approval status previously grante |
| 8 | by the Board. |
| 9 | (43)[(45)](44) "Self Assessment" "Self-Assessment" means the process whereby an individual reviews he |
| 10 | or his own nursing practice and identifies the knowledge and skills possessed as well as those skill |
| 11 | to be strengthened or acquired. |
| 12 | (44)[(46)](45) "Simulation" means a technique, not a technology, to replace or amplify clinical experience |
| 13 | with guided experiences that evoke or replicate substantial aspects of the real world of nursing |
| 14 | practice in a fully interactive manner. |
| 15 | (45)[(47)](46) "Specialty" means a broad, population-based focus of study encompassing the commo |
| 16 | health-related problems of a particular group of patients and the likely co-morbidities, interventions |
| 17 | and responses to those problems. |
| 18 | (46)[(48)](47) "Supervision" means the provision of guidance or direction, evaluation, and follow-up by |
| 19 | licensed nurse to accomplish an assigned or delegated nursing activity or set of activities. |
| 20 | (47)[(49)](48) "Survey" means an on-site visit for the purpose of gathering data in relation to reviewing |
| 21 | nursing program's compliance with Section .0300 of this Chapter. |
| 22 | |
| 23 | History Note: Authority G.S. 90-171.23; 90-171.38; |
| 24 | Eff. April 1, 2003; |
| 25 | Amended Eff. June 1, 2017; December 1, 2016; July 1, 2012; November 1, 2008; May 1, 2006 |
| 26 | December 1, 2005; August 1, 2005. |

| 1 | 21 NCAC 36 .02 | 17 is amended, with changes, as published in NCR 31:14, pages 1406-1410, as follows: |
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| 2 | | |
| 3 | 21 NCAC 36 .02 | 17 REVOCATION, SUSPENSION, OR DENIAL OF LICENSE INVESTIGATIONS: |
| 4 | | <u>DISCIPLINARY HEARINGS</u> |
| 5 | (a) The definition | ons contained in G.S. 90 171.20 and G.S. 150B 2 (01), (2), (2b), (3), (4), (5), (8), (8a), and (8b) apply. |
| 6 | In addition, the fo | ollowing definitions apply: |
| 7 | (1) | "Investigation" means an exploration of the events and circumstances related to reported information |
| 8 | | in an effort to determine if there is a violation of any provisions of this Act or any rule promulgated by |
| 9 | | the Board. |
| 10 | (2) | "Administrative Law Counsel" means an attorney whom the Board of Nursing has retained to serve as |
| 11 | | procedural officer for contested cases. |
| 12 | (3) | "Prosecuting Attorney" means the attorney retained by the Board of Nursing to prepare and prosecute |
| 13 | | contested cases. |
| 14 | (b) A nursing lie | cense which has been forfeited under G.S. 15A 1331A may not be reinstated until the licensee has |
| 15 | successfully com | plied with the court's requirements, has petitioned the Board for reinstatement, has appeared before the |
| 16 | Licensure Comm | ittee, and has had reinstatement approved. The license may initially be reinstated with restrictions. |
| 17 | (e)(a) Behaviors | and activities which that may result in disciplinary action by the Board include the following: |
| 18 | (1) | drug or alcohol abuse; abuse or use of any substance or other agents while on duty or on call to the |
| 19 | | extent that such use [may impair] impairs the nurse's ability to [safely] practice nursing; |
| 20 | (2) | testing positive on a drug screen for a non-prescribed drug or illicit substance; |
| 21 | (2) (3) | illegally obtaining, possessing possessing, or distributing drugs or alcohol for personal or other use, or |
| 22 | | other violations of the North Carolina Controlled Substances Act., G.S. 90-86 to 90-113.8; et seq.; |
| 23 | (3) (4) | commission conviction of any crime which that bears on a licensee's fitness to practice nursing as set |
| 24 | | out in G.S. 90 171.48(a)(2); [nursing;] nursing as set forth in G.S. 90-171.37(a); |
| 25 | (4) (5) | $failure\ to\ make\ available\ to\ another\ health\ care\ professional\ any\ client\ \frac{information\ crucial\ to\ the\ safety}{information\ crucial\ to\ the\ safety}$ |
| 26 | | of the client's health care; information; |
| 27 | (5) | delegating responsibilities to a person when the licensee delegating knows or has reason to know that |
| 28 | | the competency of that person is impaired by physical or psychological ailments, or by alcohol or |
| 29 | | other pharmacological agents, prescribed or not; |
| 30 | (6) | practicing or offering to practice beyond the scope permitted by law; |
| 31 | (7) | accepting and performing professional responsibilities which that the licensee knows or has reason to |
| 32 | | know that he or she is not competent to perform; |
| 33 | (8) | performing, without adequate supervision, professional services which that the licensee is authorized |
| 34 | | to perform only under the supervision of a licensed professional, except in an emergency situation |
| 35 | | where a person's life or health is in danger; professional; |
| 36 | (9) | abandoning or neglecting a an assigned client who is in need of nursing care, without making |
| 37 | | reasonable arrangements for the continuation of such equivalent nursing care; |

| 1 | (10) | neglecting a client in need of nursing care; |
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| 2 | (10) (11 |)threatening, harassing, abusing, or intimidating a client either physically or verbally; client; |
| 3 | (11) (12 | () failure failing to maintain an accurate record for each client which records of all pertinent health care |
| 4 | | information as defined in Rule .0224(f)(2) or .0225(f)(2); .0225(f)(2) for each client; |
| 5 | (12) (13 | () failure failing to exercise supervision over persons who are authorized to practice only under the |
| 6 | | supervision of the licensed professional; |
| 7 | (13) (14 | exercising undue influence on the client, including the promotion of the sale of services, appliances, or |
| 8 | | drugs client for the financial or personal gain of the practitioner or of a third party; licensee; |
| 9 | (14) (15 | (i) directly or indirectly offering, giving, soliciting, or receiving or agreeing to receive, any fee or other |
| 10 | | consideration to or from a third party for the referral of a client, or other violations of G.S. 90-401; |
| 11 | (15) (16 | (i) failure failing to file a report, or filing a false report, required by law or by the Board, Board or |
| 12 | | impeding or obstructing such filing or inducing another person to do so; |
| 13 | (16) (17 |) obtaining, [accessing] accessing, or revealing identifiable data, or healthcare information obtained |
| 14 | | in a professional capacity, without prior consent of the client, from a client record or other source, |
| 15 | | except as required by professional duties or authorized or required by law; |
| 16 | (17) | guaranteeing that a cure will result from the performance of professional services; |
| 17 | (18) | altering a license, using a license that has been altered or permitting or allowing another person to use |
| 18 | | his or her license for the purpose of nursing. Altering is defined to include changing the expiration |
| 19 | | date, certification number, or any other information appearing on the license; presenting false or |
| 20 | | fraudulent licensure information for any purpose; |
| 21 | (19) | assigning or delegating professional responsibilities to a person when the licensee assigning or |
| 22 | | delegating such these responsibilities knows or has reason to know that such a person is not qualified |
| 23 | | by training, by experience, experience or by licensure; |
| 24 | (20) | assigning or delegating responsibilities to a person when the licensee assigning or delegating knows or |
| 25 | | has reason to know that the competency of that person is impaired by sleep deprivation, physical or |
| 26 | | psychological [conditions] conditions, or by alcohol or other agents, prescribed or not; |
| 27 | (21) | accepting responsibility for client care while impaired by sleep deprivation, physical or psychological |
| 28 | | [eonditions;] conditions, or by alcohol or other pharmacological agents; agents, prescribed or not; |
| 29 | (22) | falsifying a client's record or the controlled substance records of the agency; or records; |
| 30 | (23) | engaging in any activities of a sexual nature with a client including kissing, fondling or touching while |
| 31 | | responsible for the care of that individual. violating boundaries of a professional relationship including |
| 32 | | but not limited to physical, sexual, [emotional] emotional, or financial exploitation of the client or the |
| 33 | | client's [significant other(s);] family member or caregiver. Financial exploitation includes accepting |
| 34 | | or soliciting money, gifts, or the equivalent during the professional relationship; |
| 35 | (24) | misappropriating, in connection with the practice of nursing, anything of value or benefit, including |
| 36 | | but not limited to, any property, real or personal of the client, [employer] employer, or any other |
| 37 | | person or entity, or failing to take precautions to prevent such [misappropriation; or] misappropriation. |

| 1 | Failure to take precautions to prevent misappropriations includes failing to secure anything of value or |
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| 2 | benefit, such as medication or property, of the client, employer, or any other person or entity; or |
| 3 | (20)(25) violating any term of probation, condition, or limitation imposed on the licensee by the Board; Board. |
| 4 | (d)[(b)] When a person licensed to practice nursing as a licensed practical nurse or as a registered nurse is also licensed |
| 5 | or has privilege to practice in another jurisdiction and that other jurisdiction takes disciplinary action against the licensee, |
| 6 | the North Carolina Board of Nursing may summarily impose the same or lesser disciplinary action upon receipt of the |
| 7 | other jurisdiction's action. The licensee may request a hearing. At the hearing the issues will shall be limited to: |
| 8 | (1) whether the person against whom action was taken by the other jurisdiction and the North Carolina |
| 9 | licensee are the same person; |
| 10 | (2) whether the conduct found by the other jurisdiction also violates the North Carolina Nursing Practice |
| 11 | Act; and |
| 12 | (3) whether the sanction imposed by the other jurisdiction is lawful under North Carolina law.] |
| 13 | (e) Before the North Carolina Board of Nursing makes a final decision in any contested case, the person, applicant or |
| 14 | licensee affected by such decision shall be afforded an administrative hearing pursuant to the provisions of G.S.150B, |
| 15 | Article 3A. |
| 16 | (1) The Paragraphs contained in this Rule shall apply to conduct of all contested cases heard before or for |
| 17 | the North Carolina Board of Nursing. |
| 18 | (2) The following general statutes, rules, and procedures apply unless another specific statute or rule of |
| 19 | the North Carolina Board of Nursing provides otherwise: Rules of Civil Procedure as contained in |
| 20 | G.S. 1A 1 and Rules of Evidence pursuant to G.S. Chapter 8C; G.S. 90 86 through 90 113.8; 21 |
| 21 | NCAC 36 .0224 .0225; Article 3A, Chapter 150B; and Rule 6 of the General Rules of Practice for |
| 22 | Superior and District Court. |
| 23 | (3) Every document filed with the Board of Nursing shall be signed by the person, applicant, licensee, or |
| 24 | his attorney who prepares the document and shall contain his name, title/position, address, and |
| 25 | telephone number. If the individual involved is a licensed nurse the nursing license certificate number |
| 26 | shall appear on all correspondence with the Board of Nursing. |
| 27 | (f)[(e)](b) In accordance with G.S. 150B 3(c) a license may be summarily suspended if the public health, safety, or |
| 28 | welfare requires emergency action. This determination is delegated to the Chairman or Executive Director of the Board |
| 29 | pursuant to G.S. 90 171.23(b)(3). Such a finding shall be incorporated with the order of the Board of Nursing and If a |
| 30 | summary suspension is issued pursuant to G.S. 150B-3(c), the order is effective on the date specified in the order or on |
| 31 | service of the certified copy of the order at the last known address of the licensee, whichever is later, and continues to be |
| 32 | effective during the proceedings. Failure to receive the order because of refusal of service or unknown address does not |
| 33 | invalidate the order. Proceedings shall be commenced in a timely manner. |
| 34 | (g) Board staff shall issue a Letter of Charges only upon completion of an investigation, by authorized Board staff, of a |
| 35 | written or verbal complaint and review with legal counsel or prosecuting attorney or Executive Director. |
| 36 | (1) Subsequent to an investigation and validation of a complaint, a Letter of Charges shall be sent on |
| 37 | behalf of the Board of Nursing to the person who is the subject of the complaint. |

| 1 | | (A) The Letter of Charges shall be served in accordance with G.S. 1A-1, Rule 4, Rules of Civil |
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| 2 | | Procedure. |
| 3 | | (B) The Letter of Charges serves as the Board's formal notification to the person that an |
| 4 | | allegation of possible violation(s) of the Nursing Practice Act has been initiated. |
| 5 | | (C) The Letter of Charges does not in and of itself constitute a contested case. |
| 6 | (2) | The Letter of Charges shall include the following: |
| 7 | | (A) a short and plain statement of the factual allegations; |
| 8 | | (B) a citation of the relevant sections of the statutes or rules involved; |
| 9 | | (C) notification that a settlement conference will be scheduled upon request; |
| 10 | | (D) explanation of the procedure used to govern the settlement conference; |
| 11 | | (E) notification that if a settlement conference is not requested, or if held, does not result in |
| 12 | | resolution of the case, an administrative hearing shall be scheduled; and |
| 13 | | (F) if applicable, any sanction or remediation in accordance with Board-adopted policy may be |
| 14 | | included. |
| 15 | (3) | A case becomes a contested case after the person disputes the allegations contained in the Letter of |
| 16 | | Charges, requests an administrative hearing, or refuses to accept a settlement offer extended by the |
| 17 | | Board of Nursing. |
| 18 | (h) No Board m | ember shall discuss with any person the merits of any case pending before the Board of Nursing. Any |
| 19 | Board member v | who has direct knowledge about a case prior to the commencement of the proceeding shall disqualify |
| 20 | himself from an | y participation with the majority of the Board of Nursing hearing the case. |
| 21 | (i) A settlement | conference, if requested by the person, shall be held for the purpose of attempting to resolve a dispute |
| 22 | through informa | l procedures prior to the commencement of formal administrative proceedings. |
| 23 | (1) | The conference shall be held in the offices of the Board of Nursing, unless another site is designated |
| 24 | | by mutual agreement of all involved parties. |
| 25 | (2) | All parties shall attend or be represented at the settlement conference. The parties shall be prepared to |
| 26 | | discuss the alleged violations and the incidents on which these are based. |
| 27 | (3) | Prior to the commencement of the settlement conference, a form shall be signed by the person which |
| 28 | | invalidates all previous offers made to the person by the Board. |
| 29 | (4) | At the conclusion of the day during which the settlement conference is held, a form shall be signed by |
| 30 | | all parties which indicates whether the settlement offer is accepted or rejected. Subsequent to this |
| 31 | | decision: |
| 32 | | (A) if a settlement is reached, the Board of Nursing shall forward a written settlement agreement |
| 33 | | containing all conditions of the settlement to the other party(ies); or |
| 34 | | (B) if a settlement cannot be reached, the case shall proceed to a formal administrative hearing. |
| 35 | (j) Disposition r | nay be made of any contested case or an issue in a contested case by stipulation, agreement, or consent |
| 36 | order at any time | e prior to or during the hearing of a contested case. |
| | | |

| 1 | (k) The Board of Nursing shall give the parties in a contested case a Notice of Hearing not less than 15 calendar days |
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| 2 | before the hearing. The Notice shall be given in accordance with G.S. 1A 1, Rule 4, Rules of Civil Procedure. The |
| 3 | notice shall include: |
| 4 | (1) Acknowledgment of service, or attempted service, of the Letter of Charges in compliance with Part |
| 5 | (g)(1)(A) of this Rule; |
| 6 | (2) Date, time, and place of the hearing; |
| 7 | (3) Notification of the right of a party to represent himself or to be represented by an attorney; |
| 8 | (4) A statement that, pursuant to Paragraph (n) of this Rule, subpoenas may be requested by the licensee |
| 9 | to compel the attendance of witnesses or the production of documents; |
| 10 | (5) A statement advising the licensee that a notice of representation, containing the name of licensee's |
| 11 | counsel, if any, shall be filed with the Board of Nursing not less than 10 calendar days prior to the |
| 12 | scheduled date of the hearing; |
| 13 | (6) A statement advising the licensee that a list of all witnesses for the licensee shall be filed with the |
| 14 | Board of Nursing not less than 10 calendar days prior to the scheduled date of the hearing; and |
| 15 | (7) A statement advising the licensee that failure to appear at the hearing may result in the allegations of |
| 16 | the Letter of Charges being taken as true and that the Board may proceed on that assumption. |
| 17 | (l) Pre hearing conferences may be held to simplify the issues to be determined, to obtain stipulations in regards to |
| 18 | testimony or exhibits, to obtain stipulations of agreement on nondisputed facts or the application of particular laws, to |
| 19 | consider the proposed witnesses for each party, to identify and exchange documentary evidence intended to be introduced |
| 20 | at the hearing, and to consider such other matters that may be necessary or advisable for the efficient and expeditious |
| 21 | conduct of the hearing. |
| 22 | (1) The pre hearing conference shall be conducted in the offices of the Board of Nursing, unless another |
| 23 | site is designated by mutual agreement of all parties. |
| 24 | (2) The pre hearing conference shall be an informal proceeding and shall be conducted by a |
| 25 | Board designated administrative law counsel. |
| 26 | (3) All agreements, stipulations, amendments, or other matters resulting from the pre-hearing conference |
| 27 | shall be in writing, signed by all parties, and introduced into the record at the beginning of the formal |
| 28 | administrative hearing. |
| 29 | (m) Administrative hearings conducted before a majority of Board members shall be held in Wake County or, by mutual |
| 30 | consent in another location when a majority of the Board has convened in that location for the purpose of conducting |
| 31 | business. For those proceedings conducted by an Administrative Law Judge the venue shall be determined in accordance |
| 32 | with G. S. 150B 38(e). All hearings conducted by the Board of Nursing shall be open to the public. |
| 33 | (n) The Board of Nursing, through its Executive Director, may issue subpoenas for the Board or a licensee, in |
| 34 | preparation for, or in the conduct of, a contested case. |
| 35 | (1) Subpoenas may be issued for the appearance of witnesses or the production of documents or |
| 36 | information, either at the hearing or for the purposes of discovery. |

| 1 | (2) | Requests by a licensee for subpoenas shall be made in writing to the Executive Director and shall |
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| 2 | | include the following: |
| 3 | | (A) the full name and home or business address of all persons to be subpoenaed; and |
| 4 | | (B) the identification, with specificity, of any documents or information being sought. |
| 5 | (3) | Subpoenas shall include the date, time, and place of the hearing and the name and address of the party |
| 6 | | requesting the subpoena. In the case of subpoenas for the purpose of discovery, the subpoena shall |
| 7 | | include the date, time, and place for responding to the subpoena. |
| 8 | (4) | Subpoenas shall be served as provided by the Rules of Civil Procedure, G.S. 1A-1. The cost of |
| 9 | | service, fees, and expenses of any witnesses or documents subpoenaed shall be paid by the party |
| 10 | | requesting the witnesses. |
| 11 | (o)<mark>[(d)](c)</mark> [Wh | en practical,] All [all] motions related to a contested case, except motions for continuance and those |
| 12 | made during the | hearing, shall be in writing and submitted to the Board of Nursing at least 10 calendar days before the |
| 13 | hearing. Pre-hearing. | aring motions shall be heard at a pre-hearing conference or at the contested case hearing prior to the |
| 14 | commencement | of testimony. The designated administrative law counsel shall hear the motions and the response from |
| 15 | the non-moving | party pursuant to Rule 6 of the General Rules of Practice for the Superior and District Courts and rule on |
| 16 | such the motions | s. If the pre hearing motions are heard by an Administrative Law Judge from Office of Administrative |
| 17 | Hearings the pro | ovisions of G.S. 150B-40(e) shall govern the proceedings. |
| 18 | (p) [(e)] (<u>d)</u> Motion | ons for a continuance of a hearing may be granted upon a showing of good cause. Motions for a |
| 19 | continuance mus | * shall be in writing and received in the office of the Board of Nursing no less than seven calendar days |
| 20 | before the hearing | ng date. In determining whether good cause exists, consideration will shall be given to the ability of the |
| 21 | party requesting | a continuance to proceed effectively without a continuance. A motion for a continuance filed less than |
| 22 | seven calendar d | lays from the date of the hearing shall be denied unless the reason for the motion could not have been |
| 23 | ascertained earli | er. Motions for continuance filed prior to the date of the hearing shall be ruled on by the Administrative |
| 24 | Law Counsel <u>ad</u> | ministrative law counsel of the Board. All other motions Motions for continuance shall be ruled on by |
| 25 | the majority of the | ne Board members or Administrative Law Counsel sitting at hearing. filed on the date of hearing shall be |
| 26 | ruled on by the l | Board. |
| 27 | (q)[(f)](e) All he | parings by the Board of Nursing shall be conducted by a majority of members of the Board of Nursing, |
| 28 | except as provid | ed in Subparagraph (1) of this Paragraph. The Board of Nursing shall designate one of its members to |
| 29 | preside at the he | earing. The Board of Nursing shall designate an administrative law counsel who shall advise the |
| 30 | presiding officer | r. The seated members of the Board of Nursing shall hear all evidence, make findings of fact and |
| 31 | conclusions of la | nw, and issue an order reflecting a majority decision of the Board. |
| 32 | (1)[(g)](f) When | a majority of the members of the Board of Nursing is unable or elects not to hear a contested case, the |
| 33 | Board of Nursing | g shall request the designation of an administrative law judge from the Office of Administrative Hearings |
| 34 | to preside at the | hearing. The provisions of G.S. 150B, Article 3A and 21 NCAC 36 .0217 this Rule shall govern a |
| 35 | contested case in | n which an administrative law judge is designated as the Hearing Officer. |
| 36 | (2) | In the event that any party or attorney or other representative of a party engages in conduct which |

obstructs the proceedings or would constitute contempt if done in the General Court of Justice, the

37

| 1 | | Board may apply to the applicable superior court for an order to show cause why the person(s) should |
|----|-------------------|--|
| 2 | | not be held in contempt of the Board and its processes. |
| 3 | (3) | During a hearing, if it appears in the interest of justice that further testimony should be received and |
| 4 | | sufficient time does not remain to conclude the testimony, the Board of Nursing may continue the |
| 5 | | hearing to a future date to allow for the additional testimony to be taken by deposition or to be |
| 6 | | presented orally. In such situations and to such extent as possible, the seated members of the Board of |
| 7 | | Nursing and the designated administrative law counsel shall receive the additional testimony. In the |
| 8 | | event that new members of the Board or a different administrative law counsel must participate, a copy |
| 9 | | of the transcript of the hearing shall be provided to them prior to the receipt of the additional |
| 10 | | testimony. |
| 11 | (r) All parties h | ave the right to present evidence, rebuttal testimony, and argument with respect to the issues of law, and |
| 12 | to cross examin | e witnesses. The North Carolina Rules of Evidence in G.S. 8C shall apply to contested case proceedings, |
| 13 | except as provi | led otherwise in this Rule and G.S. 150B-41. |
| 14 | (1)[(h)](g) Swo | orn affidavits may be introduced by mutual agreement from all parties. |
| 15 | (2) | All oral testimony shall be under oath or affirmation and shall be recorded. Unless otherwise |
| 16 | | stipulated by all parties, witnesses are excluded from the hearing room until such time that they have |
| 17 | | completed their testimony and have been released. |
| 18 | (s) Any form (| or Board-approved policy or procedure referenced in this Rule, or any rules applicable to a case, are |
| 19 | available upon | request from the Board of Nursing and shall be supplied at cost. |
| 20 | | |
| 21 | History Note: | Authority G.S. 14 208.5; 15A 1331A; 90 171.23(b)(3)(7); G.S. 90-171.23(b)(3); 90-171.23(b)(7); |
| 22 | | 90-171.37; 90-171.47; 90-401; 150B-3(c); 150B-11; 150B-14; 150B-38 through 150B-42; <u>150B-38;</u> |
| 23 | | 150B-39; 150B-40; 150B-41; 150B-42; |
| 24 | | Eff. February 1, 1976; |
| 25 | | Amended Eff. October 1, 1989; November 1, 1988; July 1, 1986; July 1, 1984; |
| 26 | | Temporary Amendment Eff. December 7, 1990 for a period of 180 days to expire on June 5, 1991; |
| 27 | | ARRC Objection Lodged December 20, 1990; |
| 28 | | Amended Eff. January 1, 1991; |
| 29 | | ARRC Objection Removed February 25, 1991; |
| 30 | | Temporary Amendment Eff. February 26, 1991 for a period of 35 days to expire on April 1, 1991; |
| 31 | | Amended Eff. January 1, 1996; February 1, 1995; April 1, 1991; |
| 32 | | Temporary Amendment Eff. March 5, 2001; |
| 33 | | Amended Eff. <u>June 1, 2017;</u> January 1, 2007; August 2, 2002. |