

G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - Filled in by RRC staff

| Subchapter | Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
|------------|---|------------------|---|---|---|--|-----------------------------|--|--|
| | SECTION .0100 - GENERAL PROVISIONS | 11 NCAC 10 .0104 | REFUSAL TO INSURE | Eff. July 11, 1978 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0105 | MANUSCRIPT OR INDIVIDUAL RISK FILINGS | Amended Eff. July 1, 2000 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .0300 - RULES AND INTERPRETATIONS | 11 NCAC 10 .0305 | EMPLOYEES: CARS: NOT INSURED IN EMPLOYER'S FLEET POLICY | Amended Eff. July 1, 1986 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0306 | DIVIDEND PAYMENT REQUIREMENTS | Amended Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0307 | NATION-WIDE MARINE DEFINITION ADOPTED | Amended Eff. July 1, 1986 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0313 | ENDORSEMENTS TO BUREAU RESIDENTIAL PROGRAMS | Eff. December 1, 1994 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .0400 - FIRE AND CASUALTY RATING ORGANIZATIONS | 11 NCAC 10 .0406 | INSURER'S REPORT ON RATING ORGANIZATIONS | Eff. July 11, 1978 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .0600 - CONSENT TO RATE | 11 NCAC 10 .0602 | CONSENT TO RATE PROCEDURES: RATE BUREAU COVERAGES | Amended Eff. July 1, 1998 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0603 | CONSENT TO RATE PROCEDURES: COMMERCIAL COVERAGES | Amended Eff. July 1, 1998 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0605 | CONSENT TO RATE AUTO LIABILITY COVERAGE | Eff. February 1, 1996 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0606 | CONSENT TO RATE PROCEDURES | Eff. July 1, 1998 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |

G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - Filled in by RRC staff

| Subchapter | Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
|------------|--|------------------|--|---|---|--|-----------------------------|--|--|
| | SECTION .0700 - INSURANCE IN UNLICENSED FOREIGN AND ALIEN COMPANIES | 11 NCAC 10 .0701 | GENERAL INFORMATION | Amended Eff. February 1, 1991 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0703 | APPROVAL OF INSURANCE IN NON- ADMITTED INSURANCE COMPANIES | Amended Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0713 | FORM E-1 | Amended Eff. July 1, 1986 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0717 | SURPLUS LICENSEE REPORT | Eff. April 1, 2001 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .0718 | "FORM F" REPORT | Amended Eff. December 1, 2007 | Unnecessary | No | | No | Unnecessary |
| | SECTION .1000 - LICENSING OF JOINT UNDERWRITING ORGANIZATIONS | 11 NCAC 10 .1001 | GENERAL INFORMATION | Amended Eff. February 1, 1991 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1002 | PROCEDURE FOR APPLICATION OF NEW LICENSE | Amended Eff. April 8, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1003 | CHANGES IN FILED INFORMATION | Amended Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1004 | PROHIBITED PRACTICES | Eff. July 11, 1978 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1006 | FORM: LICENSE CERTIFICATE | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1007 | RENEWAL LICENSE FEE FOR LICENSED ORGANIZATIONS | Amended Eff. April 8, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .1100 - RATE FILINGS | 11 NCAC 10 .1101 | PURPOSE | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |

G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - Filled in by RRC staff

| Subchapter | Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
|------------|--------------|------------------|---|---|---|--|-----------------------------|--|--|
| | | 11 NCAC 10 .1102 | APPLICABILITY | Amended Eff. February 1, 1996 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1104 | NONFLEET PRIVATE PASSENGER AUTOMOBILE | Amended Eff. October 1, 1990 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1105 | OTHER RATE BUREAU LINES | Eff. October 1, 1990 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1106 | DEVIATIONS FROM RATES OF THE NORTH CAROLINA RATE BUREAU | Amended Eff. October 1, 2004 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1107 | COMMERCIAL LINES QUESTIONNAIRE | Amended Eff. April 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1108 | NONESSENTIAL LINES ADDITIONAL DATA | Amended Eff. April 8, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1109 | RATING ORGANIZATIONS | Eff. January 1, 1990 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1110 | WORKERS' COMPENSATION LOSS COSTS QUESTIONNAIRE | Amended Eff. July 1, 2000 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1111 | WORKERS' COMPENSATION | Eff. February 1, 1996 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1112 | ACTUARIAL CERTIFICATION | Eff. July 18, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1113 | REFERENCE FILINGS | Eff. August 1, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |

G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - Filled in by RRC staff

| Subchapter | Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
|------------|--|------------------|---|---|---|--|-----------------------------|--|--|
| | | 11 NCAC 10 .1114 | TRANSMITTAL HEADER | Eff. August 1, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .1200 - FORMS FILINGS | 11 NCAC 10 .1201 | GENERAL REQUIREMENTS | Amended Eff. May 1, 1994 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1202 | REFERENCE FILINGS | Amended Eff. May 1, 1994 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1204 | PROHIBITED POLICY PROVISIONS | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1205 | ESSENTIAL LINES - N.C. RATE BUREAU (BUREAU) | Amended Eff. April 8, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1206 | COMMERCIAL LINES | Amended Eff. August 1, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1207 | FORM(S) QUESTIONNAIRE | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1208 | HEALTH CARE PROVIDER DEFINED; CGL POLICIES | Eff. February 1, 1994 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1209 | TRANSMITTAL HEADER | Eff. August 1, 2002 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .1300 - NORTH CAROLINA JOINT UNDERWRITING ASSOCIATION | 11 NCAC 10 .1301 | RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1302 | CHANGE TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |

G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - Filled in by RRC staff

| Subchapter | Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
|------------|--|------------------|--|---|---|--|-----------------------------|--|--|
| | | 11 NCAC 10 .1303 | LOSS COST RATE FILINGS | Eff. May 1, 1994 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .1400 - NORTH CAROLINA INSURANCE UNDERWRITING ASSOCIATION | 11 NCAC 10 .1401 | RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1402 | CHANGES TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE | Eff. January 1, 1989 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1403 | LOSS COST RATE FILINGS | Eff. May 1, 1994 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .1600 - PROSPECTIVE LOSS COSTS FILINGS | 11 NCAC 10 .1601 | PURPOSE; SCOPE; APPLICABILITY | Amended Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1602 | DEFINITIONS | Eff. February 1, 1991 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1603 | RATE AND LOSS COSTS | Amended Eff. February 1, 1996 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1604 | SUPPLEMENTARY RATING INFORMATION | Amended Eff. April 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | SECTION .1700 - LICENSING OF STATISTICAL ORGANIZATIONS | 11 NCAC 10 .1701 | GENERAL REQUIREMENTS | Eff. October 1, 2006 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |
| | | 11 NCAC 10 .1702 | PROCEDURE FOR APPLICATION OF NEW LICENSE | Eff. October 1, 2006 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest |