

G.S. 150B-21.3A Report for 20 NCAC 03, LOCAL GOVERNMENT COMMISSION								
Agency - State Treasurer, Department of								
Comment Period - July 15, 2016 through September 15, 2016								
Date Submitted to APO - Filled in by RRC staff								
Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(e)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
SECTION .0100 - GENERAL PROVISIONS	20 NCAC 03 .0101	ORGANIZATION AND FUNCTIONS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0102	DEFINITIONS	Amended Eff. August 1, 1987	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0103	RULE-MAKING PROCEDURES	Amended Eff. August 1, 1987	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0104	DECLARATORY RULES	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0105	CONTESTED CASE PROCEDURES	Amended Eff. August 1, 1987	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0106	ADDITIONAL REQUIREMENTS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0107	OTHER REQUESTS FOR ACTION	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0108	STATUS OF MEMORANDA: STATEMENTS AND PUBLICATIONS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0109	ENFORCEMENT PROCEDURES	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0110	MAILING LISTS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0111	SETTING FEES ON REVENUE BOND APPROVALS	Eff. August 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0112	FEES	Amended Eff. January 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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SECTION .0200 - APPROVAL OF DEBT OBLIGATIONS	20 NCAC 03 .0202	APPROVAL OF GENERAL OBLIGATION BONDS	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0203	ADDITIONAL DOCUMENTS TO BE FILED	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0204	APPROVAL OF REVENUE BONDS	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0205	APPROVAL OF TAX ANTICIPATION NOTES	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0206	APPROVAL OF REVENUE ANTICIPATION NOTES	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0207	APPROVAL OF GRANT ANTICIPATION NOTES	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0208	SPECIAL PURPOSE BONDS	Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SECTION .0300 - SALE AND DELIVERY OF BONDS AND NOTES	20 NCAC 03 .0301	PRESALE REQUIREMENTS	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0302	SALE OF BONDS OR NOTES	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0303	DELIVERY OF BONDS OR NOTES	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0304	FEES FOR SALE AND DELIVERY OF BONDS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0305	MATURITIES OF BONDS	Amended Eff. June 1, 1991	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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SECTION .0400 - ACCOUNTING AND INTERNAL CONTROLS	20 NCAC 03 .0401	ACCOUNTING AND INTERNAL CONTROL SYSTEMS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0402	REVENUES SUSCEPTIBLE TO ACCRUAL	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0403	APPROVAL TO USE ACCRUAL BASIS ACCOUNTING	Readopted Eff. September 23, 1977	Unnecessary	No		No	Unnecessary
	20 NCAC 03 .0405	COMMENTS TO FEDERAL AND STATE GRANTOR AGENCIES	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0406	APPROVAL OF FINANCE OFFICER ACTING AS TAX COLLECTOR	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0407	REPORT OF CASH BALANCE	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0408	REPORT OF FINANCIAL INFORMATION	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SECTION .0500 - AUDIT CONTRACTS	20 NCAC 03 .0501	APPLICATION FOR APPROVAL OF AUDIT CONTRACT	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0502	AUDIT CONTRACT	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0503	AUDIT ENGAGEMENTS DEFINED	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0504	WHO MAY PERFORM AUDITS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0505	AUDIT BILLINGS	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0506	WITHHOLDING OF APPROVAL	Readopted Eff. September 23, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SECTION .0600 - SCHOOL BUDGETING AND ACCOUNTING	20 NCAC 03 .0601	ENCUMBRANCE ACCOUNTING	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SECTION .0700 - MUTUAL FUND FOR LOCAL GOVERNMENT INVESTMENT	20 NCAC 03 .0701	GENERAL INFORMATION	Eff. February 1, 1982	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0702	DEFINITION OF TERMS	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0703	MINIMUM FUND STANDARDS	Amended Eff. April 1, 1987	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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	20 NCAC 03 .0704	REQUIREMENTS FOR THE RFP	Eff. February 1, 1982	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0705	ISSUANCE OF THE RFP	Eff. February 1, 1982	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0706	CERTIFICATION AND TERMINATION OF MANAGER	Amended Eff. April 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0707	TERMINATION FOR CAUSE	Eff. February 1, 1982	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0708	REVIEW OF MANAGER	Eff. February 1, 1982	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0709	DELEGATION OF AUTHORITY	Eff. February 1, 1982	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SECTION .0800 - SYSTEM OF REGISTRATION	20 NCAC 03 .0801	APPROVAL OF A SYSTEM OF REGISTRATION	Eff. August 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0802	APPROVAL OF APPOINTMENT OF AGENTS	Eff. August 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0803	MAXIMUM RATES FOR AGENCY SERVICES	Eff. August 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SECTION .0900 - OTHER REQUESTS FOR APPROVAL	20 NCAC 03 .0901	PROCESSING APPLICATIONS	Eff. November 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0902	HEARING ON CONFORMANCE TO PRIOR APPROVALS	Eff. November 1, 1983	Unnecessary	No		No	Unnecessary
	20 NCAC 03 .0903	APPLICATION TO AMEND: PRIOR APPROVALS	Eff. November 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0904	COUNTY USE OF SALES TAXES PURSUANT TO G.S. 105-487(C)	Eff. November 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0905	MUNICIPALITY USE OF SALES TAXES PURSUANT TO G.S.105-487(C)	Eff. November 1, 1983	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0906	ANNEXATION: REQUESTS BY RURAL FIRE DEPARTMENT	Eff. April 1, 1984	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .0907	ANNEXATION: ASSUMPTION OF DEBT	Eff. April 1, 1984	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SECTION .1000 - EVIDENTIARY HEARING ON REFUNDING BONDS	20 NCAC 03 .1001	DEFINITIONS	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .1002	WHO MAY REQUEST A HEARING	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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	20 NCAC 03 .1003	PETITION FOR HEARING	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .1004	HEARING OFFICER	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .1005	TIME FOR THE HEARING	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .1006	NOTICE OF HEARING	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .1007	CONDUCT OF THE HEARING	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .1008	RECOMMENDED DECISION	Eff. November 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	20 NCAC 03 .1009	FINAL DECISION	Eff. November 1, 1990	Necessary without substantive public interest	No		no	Necessary without substantive public interest