

**G.S. 150B-21.3A Report for 20 NCAC Chapter 01, Departmental Rules**

Agency - State Treasurer, Department of

Comment Period - 08/24/2015 through October 25, 2016

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
SUBCHAPTER 1A - ORGANIZATIONAL RULES		20 NCAC 01A .0101	GENERAL INFORMATION	Readopted Eff. September 15, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		20 NCAC 01A .0102	PURPOSES: FUNCTIONS: DUTIES OF THE STATE TREASURER	Amended Eff. December 1, 1982	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SUBCHAPTER 1C - INVESTMENT AND BANKING	SECTION .0400 - BANKING OPERATIONS	20 NCAC 01C .0402	DISBURSING ACCOUNTS	Amended Eff. March 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		20 NCAC 01C .0404	PROCEDURES FOR DEMAND DEPOSIT ACCOUNTS OF STATE FUNDS	Amended Eff. March 1, 1985	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SUBCHAPTER 1E - STATE DEBT MANAGEMENT		20 NCAC 01E .0101	PUBLIC DEBT MANAGEMENT AND SALE OF STATE'S OBLIGATIONS	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01E .0102	SUBMISSION OF BIDS FOR SALE OF BONDS AND NOTES	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01E .0104	NEGOTIATED SALE OF BONDS AND NOTES	Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
SUBCHAPTER 1F - PROCEDURAL RIGHTS	SECTION .0100 - RULE-MAKING PROCEDURES	20 NCAC 01F .0101	ESTABLISHMENT OF PROCEDURAL RIGHTS	Readopted Eff. September 15, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		20 NCAC 01F .0102	CORRESPONDENCE	Readopted Eff. September 15, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		20 NCAC 01F .0103	PETITIONING FOR RULE-MAKING	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0104	NOTICE OF RULE-MAKING HEARING	Amended Eff. July 1, 1987	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0105	LOCATION OF HEARING	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0106	ORAL PRESENTATION	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0107	WRITTEN SUBMISSION	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0108	PRESIDING OFFICER	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary

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		20 NCAC 01F .0109	REQUEST FOR STATEMENT OF REASON	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0110	RECORD OF PROCEEDINGS	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
	<b>SECTION .0200 - DECLARATORY RULINGS</b>	20 NCAC 01F .0201	ESTABLISHMENT OF PROCEDURAL RIGHTS	Readopted Eff. September 15, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		20 NCAC 01F .0202	REQUESTS FOR RULING	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0203	FORM OF REQUESTS	Readopted Eff. September 15, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		20 NCAC 01F .0204	WHO MAKES RULING	Readopted Eff. September 15, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		20 NCAC 01F .0205	REFUSAL	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0206	ISSUANCE	Readopted Eff. September 15, 1977	Unnecessary	No		No	Unnecessary
		20 NCAC 01F .0207	RULING PROCEDURES	Readopted Eff. September 15, 1977	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	<b>SECTION .0300 - CONTESTED CASE PROCEDURES</b>	20 NCAC 01F .0301	PROCEDURES FOR CONTESTED CASES	Amended Eff. August 1, 1988	Unnecessary	No		No	Unnecessary