

G.S. 150B-21.3A Report for 11 NCAC 11, FINANCIAL EVALUATION DIVISION									
Agency - Department of Insurance									
Comment Period - February 9, 2015 - April 13, 2015									
Date Submitted to APO - Filled in by RRC staff									
Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
SUBCHAPTER 11A - GENERAL PROVISIONS	SECTION .0100 - DEFINITIONS	11 NCAC 11A .0105	MULTIPLE-LINE INSURER	Amended Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11A .0106	DEEMER CLAUSE OR DEEMER PROVISION	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SUBCHAPTER 11B - SPECIAL PROGRAMS	SECTION .0100 - SECURITIES	11 NCAC 11B .0152	CUSTODY AGREEMENT FOR SECURITIES AND OTHER ASSETS DEPOSITED WITH THE COMMISSIONER	Eff. July 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0200 - INSURANCE HOLDING COMPANY SYSTEMS	11 NCAC 11B .0215	DEFINITIONS	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0216	FORMS - GENERAL REQUIREMENTS	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0217	ACQUISITION OF CONTROL - STATEMENT FILING	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0218	ACQUISITION OF CONTROL - SOURCE OF CONSIDERATION	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0219	ANNUAL REGISTRATION OF INSURERS - STATEMENT FILING	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0220	ALTERNATIVE AND CONSOLIDATED REGISTRATIONS	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0221	DISCLAIMERS AND TERMINATION OF REGISTRATION	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0222	TRANSACTIONS SUBJECT TO PRIOR NOTICE - NOTICE FILING	Eff. August 1, 2007	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11B .0223	ADEQUACY OF SURPLUS	Amended Eff. June 1, 2007	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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	SECTION .0300 - VARIABLE ANNUITIES	11 NCAC 11B .0303	INFORMATION REQUIRED PRIOR TO APPROVAL	Amended Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SUBCHAPTER 11C - ANALYSIS AND EXAMINATIONS	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 11C .0102	FORM OF REPORT ON EXAMINATION	Readopted Eff. February 28, 1978	Unnecessary	No		No	Unnecessary
		11 NCAC 11C .0103	REPRODUCTION OF REPORTS ON EXAMINATION	Amended Eff. April 1, 1993	Unnecessary	No		No	Unnecessary
		11 NCAC 11C .0104	RECORD OF BUSINESS TO BE KEPT BY COMPANY	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0105	RETENTION OF RECORDS OF DOMESTIC INSURANCE COMPANIES	Amended Eff. September 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0114	CERTIFICATES OF CONTRIBUTION	Amended Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0117	CONFLICT OF INTEREST STATEMENTS MUST BE EXECUTED ANNUALLY	Amended Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0119	MORTGAGE LOANS TO BE SOLD WITHOUT RECOURSE	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0129	FORM OF REPORTS OF EXAMINATION: FOREIGN COMPANIES	Amended Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0132	ACCOUNTING FOR SALVAGE AND SUBROGATION	Amended Eff. February 1, 1996	Unnecessary	No		No	Unnecessary
		11 NCAC 11C .0133	ACCOUNTING FOR LIFE AND HEALTH REINSURANCE AGREEMENTS	Eff. April 1, 1994	Unnecessary	No		No	Unnecessary
	SECTION .0300 - HEALTH MAINTENANCE ORGANIZATIONS	11 NCAC 11C .0307	FINANCIAL CERTIFICATION: HMO	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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		11 NCAC 11C .0310	REINSURANCE AGREEMENTS: HMO	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0311	EXPANSION OF SERVICE AREA: HMO	Amended Eff. April 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0312	FINANCIAL STATEMENTS: HMO	Eff. April 1, 1993	Unnecessary	No		No	Unnecessary
		11 NCAC 11C .0313	NET EARNED INCOME DEFINITION: HMO	Eff. February 1, 1996	Unnecessary	No		No	Unnecessary
	SECTION .0400 - MORTGAGE GUARANTY INSURANCE	11 NCAC 11C .0405	POLICY FORMS: MORTGAGE GUARANTY INSURANCE	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0406	APPRAISAL REVIEW FEES ARE PART OF PREMIUM CHARGE	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0407	REPORT OF POLICYHOLDERS POSITION – MORTGAGE GUARANTY INSURERS	Amended Eff. June 1, 2007	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0500 - REINSURANCE	11 NCAC 11C .0501	ACCREDITED REINSURER - APPLICATION	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0502	ACCREDITED REINSURER - FILING REQUIREMENTS	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0503	ACCREDITED REINSURER - REVOCATION OF ACCREDITATION	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0504	TRUST AGREEMENTS QUALIFIED UNDER G.S. 58-7-26	Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0505	LETTERS OF CREDIT	Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0600 - PREMIUMS IN THE COURSE OF COLLECTION	11 NCAC 11C .0601	DEFINITIONS	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0602	APPLICABILITY	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0603	COMPLIANCE-TRUST ACCOUNT	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0604	COMPLIANCE: LETTER OF CREDIT	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11C .0605	COMPLIANCE: FINANCIAL GUARANTY BOND	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SUBCHAPTER 11D – PROXY: LIQUIDATION AND MERGER	SECTION .0100 – GENERAL PROVISIONS	11 NCAC 11D .0104	APPLICABILITY OF LAWS AFTER REVOCATION OR SUSPENSION	Amended Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11D .0107	BUSINESS IN OWN NAME: EMBLEMS: INSIGNIAS: ETC	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11D .0110	SIZE OF TYPE OF ALL NOTICES REQUIRED TO BE PUBLISHED	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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		11 NCAC 11D .0111	CERTIFICATE OF INCORPORATION AND AMENDMENTS: APPROVAL	Amended Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11D .0112	DOMESTIC STOCK TITLE INS COMPANIES: CAPITAL REQUIREMENTS	Amended Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11D .0165	EXEMPTION FROM SUBSECTION (c): DISTRIBUTION	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11D .0169	NOTICE OF HEARING ON REVOCATION OR SUSPENSION OF LICENSE	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11D .0170	WRITTEN ORDER: HEARING ON REVOCATION OR SUSPENSION	Readopted Eff. February 28, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
SUBCHAPTER 11F - ACTUARIAL	SECTION .0200 - HEALTH INSURANCE MINIMUM RESERVE STANDARDS	11 NCAC 11F .0201	DEFINITIONS	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0202	GENERAL	Eff. April 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0203	CLAIM RESERVES	Eff. April 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0204	PREMIUM RESERVES	Eff. April 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F.0205	CONTRACT RESERVES	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0206	REINSURANCE	Eff. April 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0207	SPECIFIC STANDARDS FOR MORBIDITY, INTEREST AND MORTALITY	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0208	RESERVES FOR WAIVER OF PREMIUM	Eff. April 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0300 - ACTUARIAL OPINION AND MEMORANDUM	11 NCAC 11F .0301	APPLICABILITY AND SCOPE	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0302	DEFINITIONS	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0303	GENERAL REQUIREMENTS	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0306	OPINION BASED ON ASSET ADEQUACY ANALYSIS	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0307	ACTUARIAL MEMORANDUM WITH ASSET ADEQUACY ANALYSIS	Amended Eff. March 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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	SECTION .0400 – COMMISSIONER'S RESERVE VALUATION METHOD	11 NCAC 11F .0401	APPLICABILITY	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0402	DEFINITIONS	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0403	BASIC AND PREMIUM DEFICIENCY RESERVES	Amended Eff. March 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0404	CALCULATION OF 11 NCAC 11f .0401(b)	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0405	CALCULATION OF 11 NCAC 11F .0401(c)	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0406	LIMITED USE OF ANTICIPATED WITHDRAWAL RATES	Amended Eff. March 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0500 – NEW ANNUITY VALUATION MORTALITY TABLES	11 NCAC 11F .0501	DEFINITIONS	Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		1 NCAC 11F .0502	INDIVIDUAL ANNUITY OR PURE ENDOWMENT CONTRACTS	Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0503	GROUP ANNUITY OR PURE ENDOWMENT CONTRACTS	Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0504	APPLICATION OF THE 1994 GAR TABLE	Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 11F .0601	DEFINITIONS	Amended Eff. December 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0602	2001 CSO MORTALITY TABLE AS MINIMUM STANDARD	Amended Eff. December 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0603	CONDITIONS	Amended Eff. December 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0604	APPLICABILITY OF THE 2001 CSO MORTALITY TABLE TO 11 NCAC 11F .0400	Eff. March 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0605	GENDER-BLENDED TABLES	Amended Eff. December 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11F .0606	MINIMUM STANDARDS FOR PRENEED LIFE INSURANCE	Eff. December 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0700 – DETERMINING MINIMUM RESERVE LIABILITIES FOR CREDIT LIFE INSURANCE	11 NCAC 11F .0701	DETERMINING RESERVE LIABILITIES FOR CREDIT LIFE INSURANCE MODEL REGULATION	Eff. September 1, 2005	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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	SECTION .0800 - PREFERRED CLASS STRUCTURE MORTALITY TABLE	11 NCAC 11F .0801	MODEL REGULATION PERMITTING THE RECOGNITION OF PREFERRED MORTALITY TABLES FOR USE IN DETERMINING MINIMUM RESERVE LIABILITIES	Amended Eff. March 1, 2010	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SUBCHAPTER 11H - CONTINUING CARE FACILITIES	11 NCAC 11H .0101	DEFINITIONS	Eff. June 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0102	LICENSE - STEPS	Amended Eff. September 1, 2007	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0103	REVOCATION OF LICENSE	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0104	SALE OR TRANSFER OF OWNERSHIP	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0105	STANDARDIZED DISCLOSURE STATEMENT FORMAT	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0106	HEALTH AND FINANCIAL CONDITIONS FOR ACCEPTANCE	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0107	FINANCIAL STATEMENTS AND COMPILED FIVE YEAR FORECASTS	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0108	COMPILED FIVE YEAR FORECAST	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0109	PROPOSED OR DEVELOPMENT STAGE FACILITIES	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0110	CONTRACT SPECIFICATION - LIVING UNIT	Eff. April 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 11H .0111	INSOLVENCY OR HAZARDOUS FINANCIAL CONDITION	Eff. January 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest