



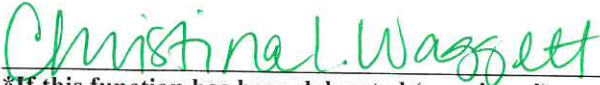
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .0504 Definitions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input checked="" type="checkbox"/> Other: EPA reclassification </div> </div> 9B. Explain: As required by updated soil fumigant product labels, certified commercial and private applicators must successfully complete an EPA-approved training program covering the new soil fumigant provisions. This training must be completed every three years, and requires that the applicator pass a comprehensive examination on each of the soil fumigants. EPA requirements contain the provision that applicators who are certified in a soil fumigation category or subcategory in a state, and the state's program has been approved by EPA, do not have to complete the EPA soil fumigant training/examination program. By adopting these soil and media fumigation rules, applicators in North Carolina will continue to have access to necessary soil fumigants without having to periodically undergo a national "one size fits all" training program and examination, which contain much information which is not relevant to our state's climate, cropping practices and pesticide application practices. These rules will enable the NC Pesticide Board to ensure that North Carolina fumigators are trained and qualified under information relevant to our state and actual production needs and practices. As long as the applicator maintains continuing certification training requirements established by the Pesticide Board, such applicators will not be required to retest every three years.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: christina.waggett@ncagr.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Christina L. Waggett Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	


SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .0505 Classifications	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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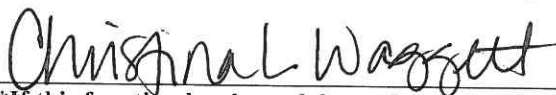
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .0507 Categories of Consultants	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ($\geq \$1,000,000$) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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9B. Explain: As required by updated soil fumigant product labels, certified commercial and private applicators must successfully complete an EPA-approved training program covering the new soil fumigant provisions. This training must be completed every three years, and requires that the applicator pass a comprehensive examination on each of the soil fumigants. EPA requirements contain the provision that applicators who are certified in a soil fumigation category or subcategory in a state, and the state's program has been approved by EPA, do not have to complete the EPA soil fumigant training/examination program. By adopting these soil and media fumigation rules, applicators in North Carolina will continue to have access to necessary soil fumigants without having to periodically undergo a national "one size fits all" training program and examination, which contain much information which is not relevant to our state's climate, cropping practices and pesticide application practices. These rules will enable the N C Pesticide Board to ensure that North Carolina fumigators are trained and qualified under information relevant to our state and actual production needs and practices. As long as the applicator maintains continuing certification training requirements established by the Pesticide Board, such applicators will not be required to retest every three years.	
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RRC AND OAH USE ONLY	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .0522 Recertification Options	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ($\geq \$1,000,000$) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	


SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .0529 Soil and Growing Media Fumigation Examination Waver	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	


SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .1102 Definitions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
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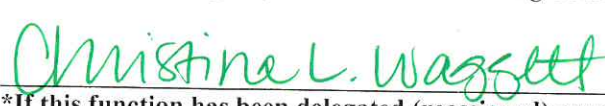
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .1103 Certification Examination	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .1104 Single Purchase Emergency Certification Permit	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE


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2. Rule citation & name (name not required for repeal): 02 NCAC 09L .1108 Term of Certification; Recertification	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input checked="" type="checkbox"/> Other: EPA reclassification </div> </div>	
9B. Explain: As required by updated soil fumigant product labels, certified commercial and private applicators must successfully complete an EPA-approved training program covering the new soil fumigant provisions. This training must be completed every three years, and requires that the applicator pass a comprehensive examination on each of the soil fumigants. EPA requirements contain the provision that applicators who are certified in a soil fumigation category or subcategory in a state, and the state's program has been approved by EPA, do not have to complete the EPA soil fumigant training/examination program. By adopting these soil and media fumigation rules, applicators in North Carolina will continue to have access to necessary soil fumigants without having to periodically undergo a national "one size fits all" training program and examination, which contain much information which is not relevant to our state's climate, cropping practices and pesticide application practices. These rules will enable the N C Pesticide Board to ensure that North Carolina fumigators are trained and qualified under information relevant to our state and actual production needs and practices. As long as the applicator maintains continuing certification training requirements established by the Pesticide Board, such applicators will not be required to retest every three years.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: christina.waggett@ncagr.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 20px;">  </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p>Typed Name: Christina L. Waggett Title: Rulemaking Coordinator</p>
RRC AND OAH USE ONLY	

SUBMISSION FOR PERMANENT RULE

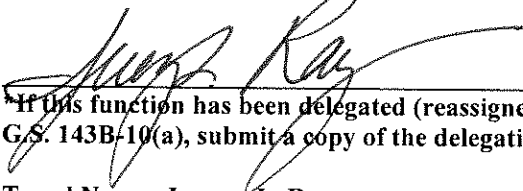
1. Rule-Making Agency: The NC Pesticide Board	
2. Rule citation & name (name not required for repeal): 02 NCAC 09L .1109 Certification of Private Applicators	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 02/16/15 Link to Agency notice: 02/16/15 http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact ($\geq \$1,000,000$) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply:	
<input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input checked="" type="checkbox"/> Other: EPA reclassification
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RRC AND OAH USE ONLY	

original 5/20/15

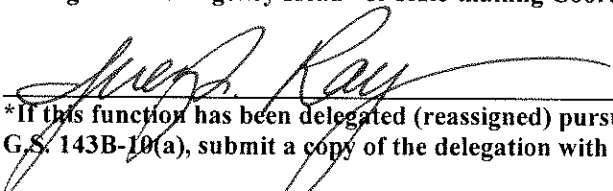
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Commissioner of Agriculture	
2. Rule citation & name (name not required for repeal): 02 NCAC 09M .0101 Manufacturer Registration	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: 2/16/15 Link to Agency notice: http://www.ncagr.gov/AdministrativeRules/ProposedRules/index.htm Hearing on: N/A Adoption by agency on: 4/27/15 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input checked="" type="checkbox"/> Federal regulation / cite: DQSA, HR 3204 </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The Drug Quality and Security Act (DQSA), HR3204, was enacted on 11/27/2013. Title I-Drug Compounding amends the federal Food, Drug, and Cosmetic Act to provide for the establishment, registration, and regulation of voluntary outsourcing facilities, defined as "a facility at one geographic location or address that is engaged in the compounding of sterile drugs, has elected to register as an outsourcing facility, and complies with all the requirements of this section [503B]." Outsourcing facilities that compound and distribute drugs for office use are actually operating as a "manufacturer" and "wholesale distributor" as defined in NC General Statutes Article 12 (Food, Drugs and Cosmetics) and Article 12A (Wholesale Prescription Drug Distributors), and should be licensed as such before operating in this state. This amendment addresses that issue and brings NC in line with the federal regulations.	
10. Rule-making Coordinator: Christina L. Waggett Address: 1001 Mail Service Center Raleigh, NC 27699-1001 Phone: 919-707-3008 E-Mail: christina.waggett@ncagr.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; font-size: 1.2em; color: green;">  </div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Christina L. Waggett Title: Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

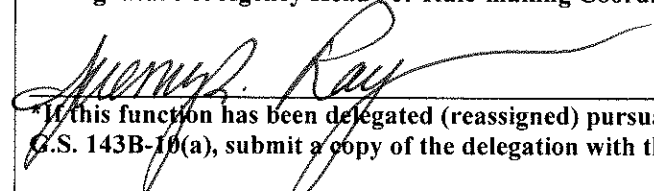
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0101 – OFFICE LOCATION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
10. Rule-making Coordinator: Jeremy L. Ray Address: DES Main Campus 700 Wade Avenue Raleigh, NC 27605 Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com Agency Contact, if any: Jeremy L. Ray Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com	11. Signature of Agency Head*or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jeremy L. Ray Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

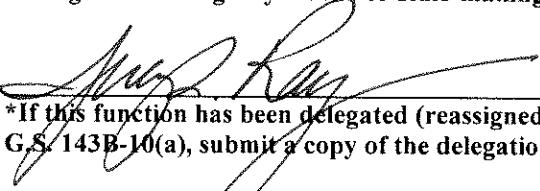
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0102 – ADDRESS CHANGES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
10. Rule-making Coordinator: Jeremy L. Ray Address: DES Main Campus 700 Wade Avenue Raleigh, NC 27605 Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com Agency Contact, if any: Jeremy L. Ray Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jeremy L. Ray Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

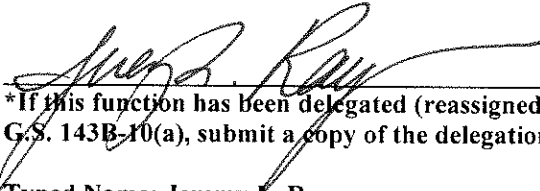
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0103 – ADDRESSES FOR NOTICE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: <i>The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.</i>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

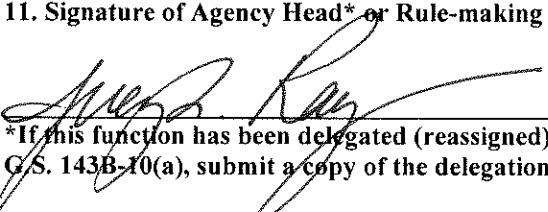
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0104 - ADDRESSES FOR FILING CLAIMS, APPEALS, EXCEPTIONS, REQUESTS OR PROTESTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

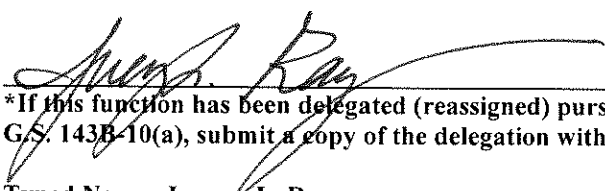
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0105 - DEFINITIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply:	
<input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:	<input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:
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RRC AND OAH USE ONLY	
Action taken:	
<input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .106 – FILING/MAILING DATES AND USE OF FORMS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

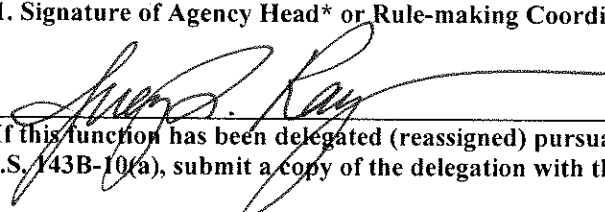
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0107 – DIGITAL SIGNATURES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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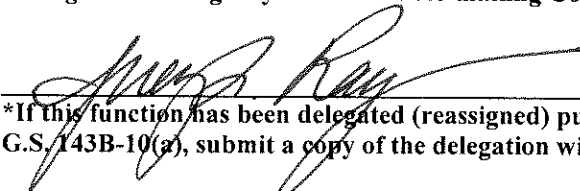
SUBMISSION FOR PERMANENT RULE

ORIGINAL

MAY 20 2015

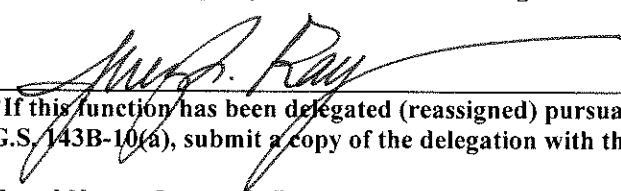
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0108 – SIGNATURES ON REPORTS AND FORMS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0109 – POWER OF ATTORNEY	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

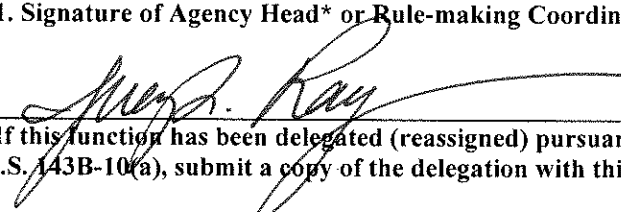
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0201 – WRITTEN REQUEST REQUIRED	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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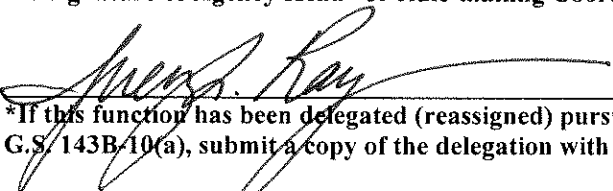
SUBMISSION FOR PERMANENT RULE

ORIGINAL

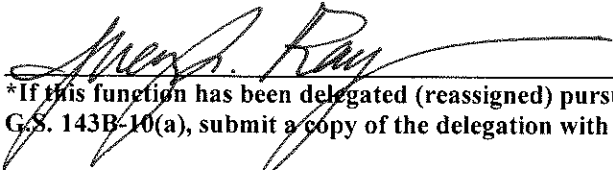
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0202 – CLEAR DESCRIPTION OF RECORDS REQUIRED	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0203 - DETERMINATION AS TO DISCLOSURE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

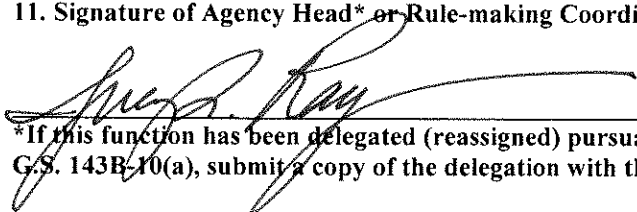
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0204 – RELEASE OF INFORMATION TO THIRD PARTY	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
10. Rule-making Coordinator: Jeremy L. Ray Address: DES Main Campus 700 Wade Avenue Raleigh, NC 27605 Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com Agency Contact, if any: Jeremy L. Ray Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jeremy L. Ray Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

ORIGINAL

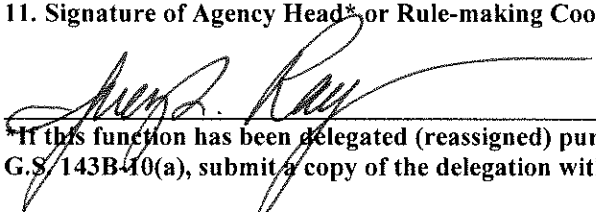
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0205 – FEES FOR COPIES AND SERVICES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input checked="" type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: G.S. 12-3.1(c) <input type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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SUBMISSION FOR PERMANENT RULE

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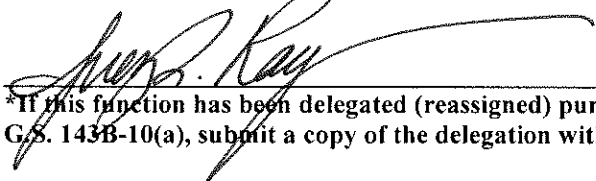
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0206 – METHOD OF PAYMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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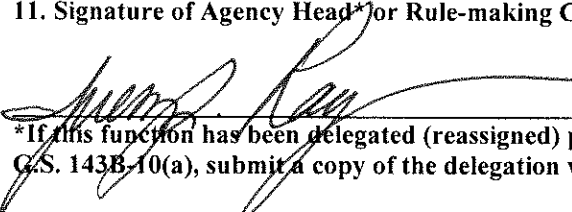
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0207 – PAYMENT REQUIRED BEFORE INFORMATION RELEASE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

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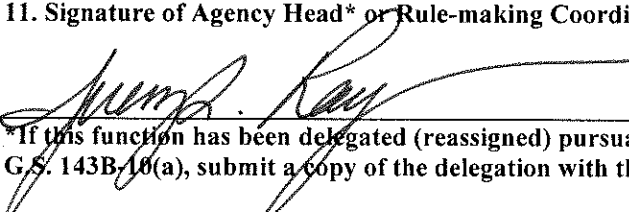
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0301 – RIGHT TO PETITION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

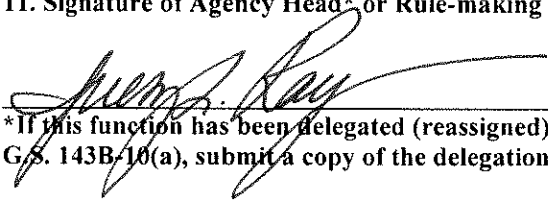
SUBMISSION FOR PERMANENT RULE

ORIGINAL

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24A .0302 – DISPOSITION OF PETITION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

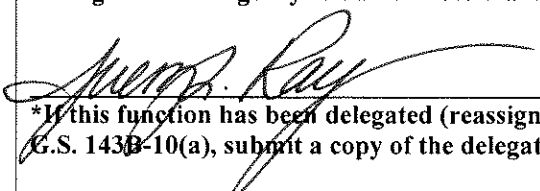
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0101 – FILING A CLAIM	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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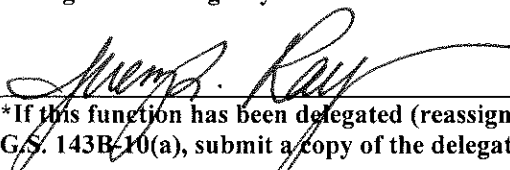
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0102 – ALTERNATIVE FILING METHODS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

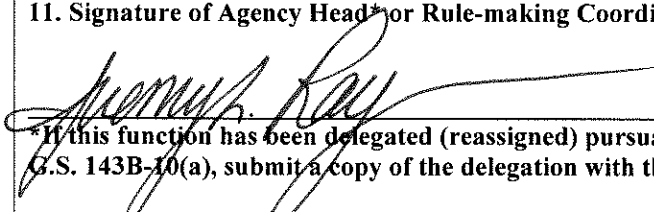
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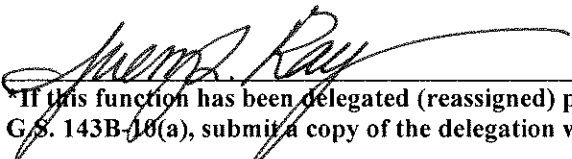
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0103 – WEEKLY CERTIFICATIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

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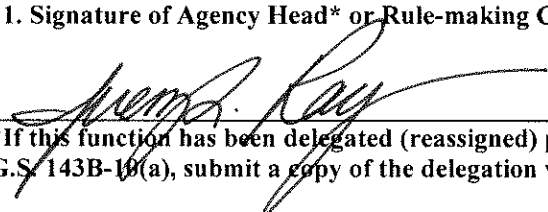
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0104 – INFORMATION TO BE PROVIDED TO NEW CLAIMANTS FILING A CLAIM	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0105 - ANTEDATING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact ($\geq \$1,000,000$) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

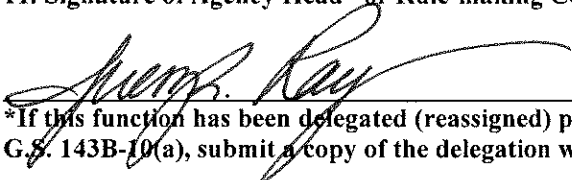
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1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0106 – SUSPENSION OF BENEFITS FOR PROBATION VIOLATORS WHO AVOID ARREST	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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SUBMISSION FOR PERMANENT RULE

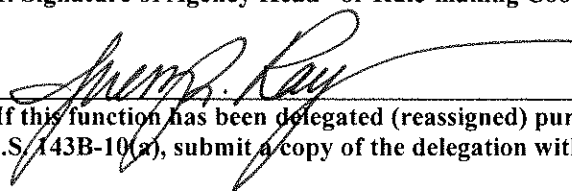
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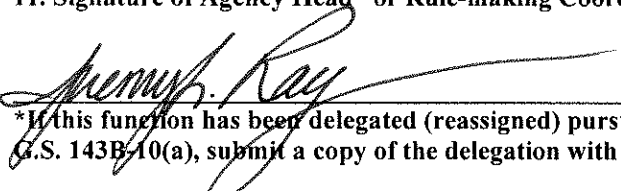
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2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0201 – REGISTRATION FOR WORK	
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4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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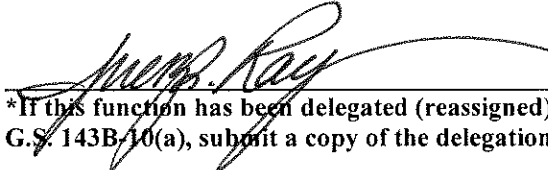
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0202 – BENEFIT RIGHTS OF INTERSTATE CLAIMANTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0203 – CLAIMS FOR BENEFITS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

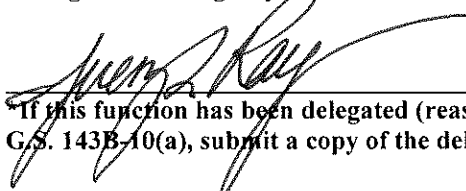
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0204 – DETERMINATION OF CLAIMS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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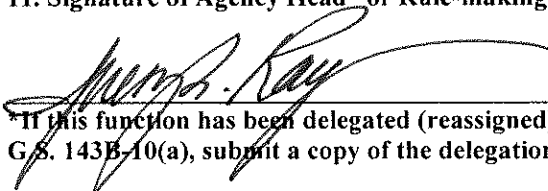
ORIGINAL

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0205 – APPELLATE PROCEDURE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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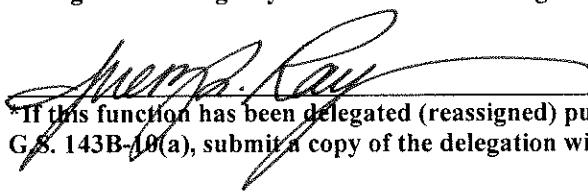
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0206 – CANADIAN CLAIMS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

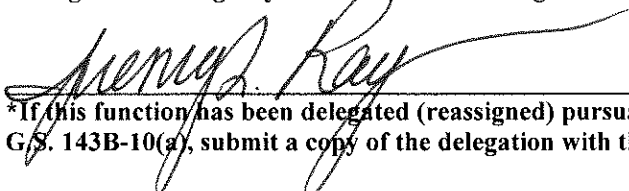
SUBMISSION FOR PERMANENT RULE

ORIGINAL

MAY 20 2015

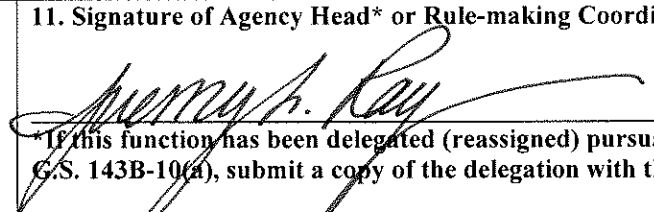
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0207 – NOTIFICATION OF INTERSTATE CLAIM	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0301 – REQUIREMENTS FOR CLAIMANTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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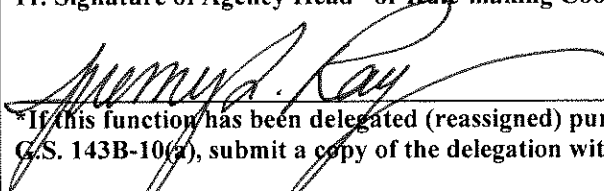
SUBMISSION FOR PERMANENT RULE

ORIGINAL MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0302 – RECORD OF WORK AND WAGES OF CLAIMANTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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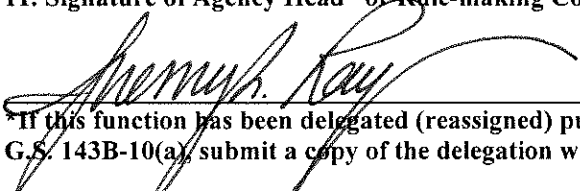
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0401 - DETERMINATIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

ORIGINAL

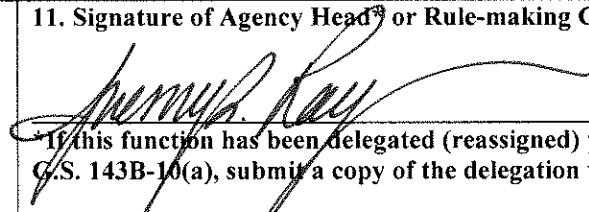
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0402 – REQUEST FOR SEPERATION INFORMATION FROM EMPLOYER	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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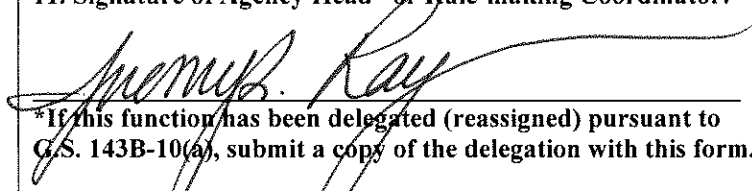
ORIGINAL

MAY 29 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0501 – NOTICE TO EMPLOYER OF LABOR DISPUTE CLAIM	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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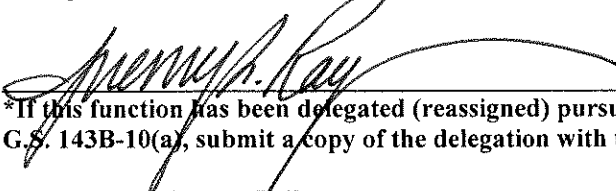
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0502 – EMPLOYER RESPONSE REQUIREMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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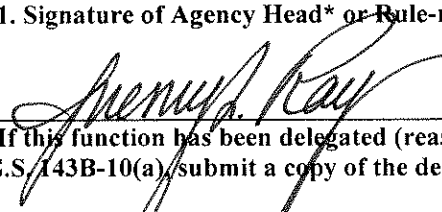
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0503 – DETERMINATION OF LABOR DISPUTE AND REFERRAL FOR HEARING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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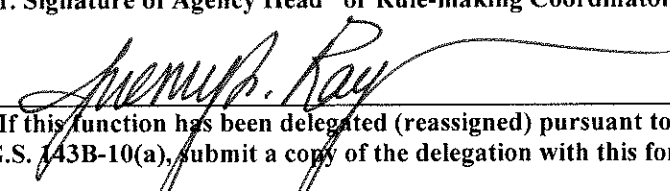
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0504 - ISSUES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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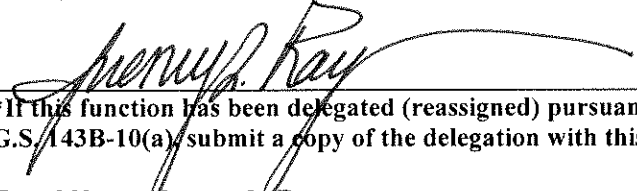
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0601 – NOTICE REQUIREMENT FOR OVERPAYMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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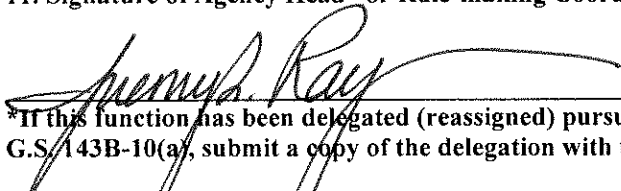
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0602 – BILL FOR REPAYMENT OF OVERPAYMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

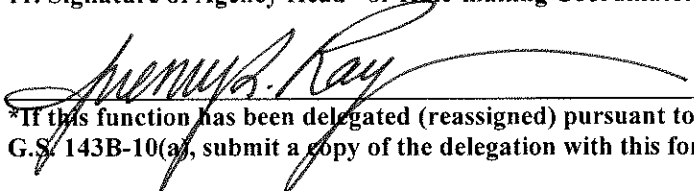
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0603 – WAGE AUDIT NOTICE FOR EMPLOYERS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0701 – WAIVER OF REPAYMENT OF NONFRAUDULENT OVERPAYMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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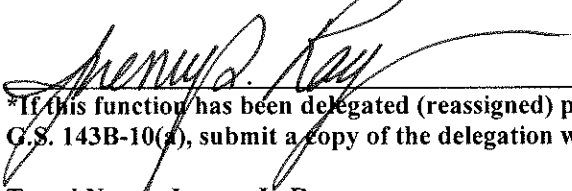
SUBMISSION FOR PERMANENT RULE

MAY 7 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0702 – WAVIER OF REPAYMENT OF FRAUDULENT OVERPAYMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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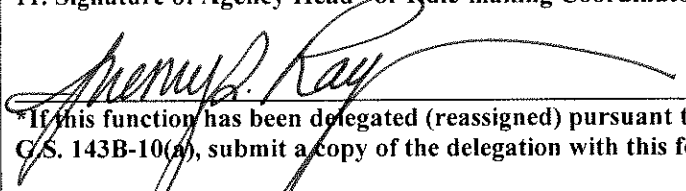
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0703 DECISION AND CONSIDERATION OF WAIVER PETITIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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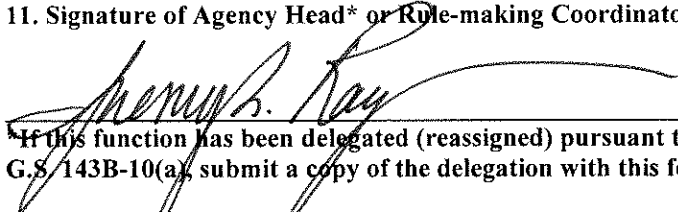
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0704 – FACTORS IN DETERMINING EQUITY AND GOOD CONSCIENCE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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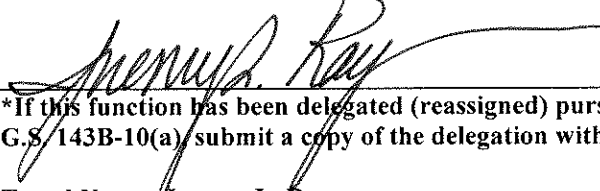
SUBMISSION FOR PERMANENT RULE

ORIGINAL
MAY 1 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0705 – FACTORS IN DETERMINING FAULT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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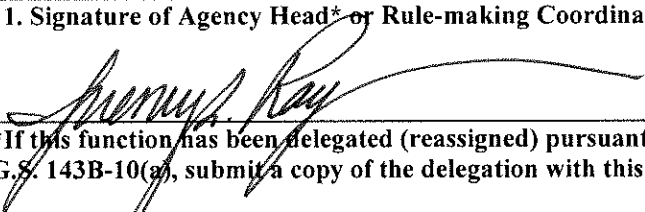
SUBMISSION FOR PERMANENT RULE

OFFICIAL
MAY 10 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0801 – DUTIES OF THE REQUESTING STATE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

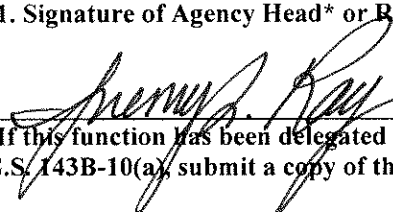
SUBMISSION FOR PERMANENT RULE

ORIGINAL
MAY 12 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0802 – DUTIES OF RECOVERING STATE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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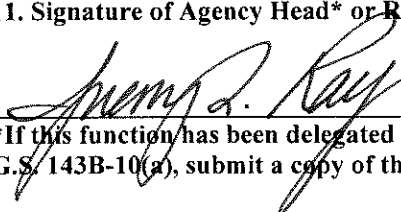
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0803 – DUTIES OF PAYING STATE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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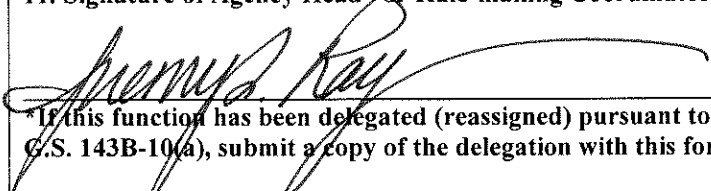
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0804 – WITHDRAWALS OF COMBINED WAGE CLAIMS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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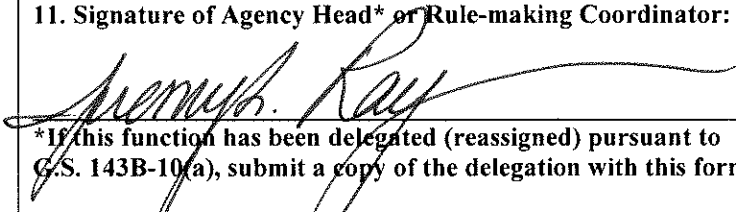
SUBMISSION FOR PERMANENT RULE

ORIGINAL
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .0901 – SETOFF DEBT COLLECTION ACT HEARINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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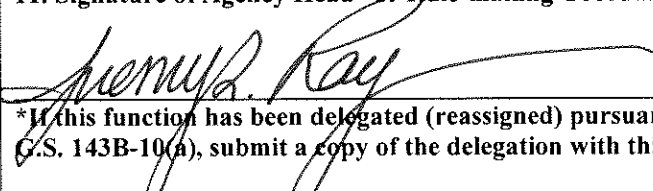
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .1001 – NOTICE OF REFERRAL	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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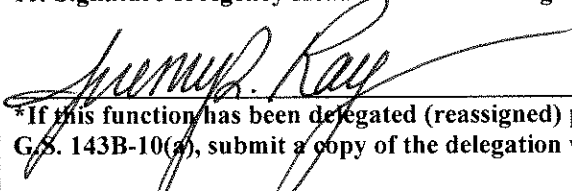
ORIGINAL
MAY 29 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24B .1002 – REEVALUATION OF DEBT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

ORIGINAL

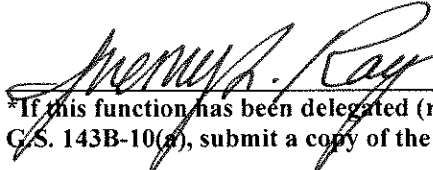
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0101 – APPEAL DATE ESTABLISHED BY TESTIMONY	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

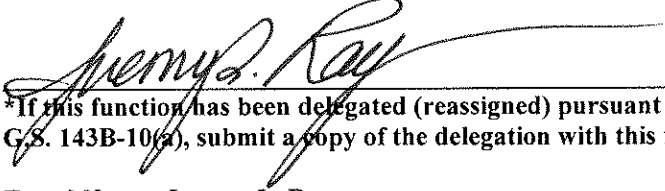
UNCLASSIFIED

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0102 – EXCEPTION TO TIMELINESS REQUIREMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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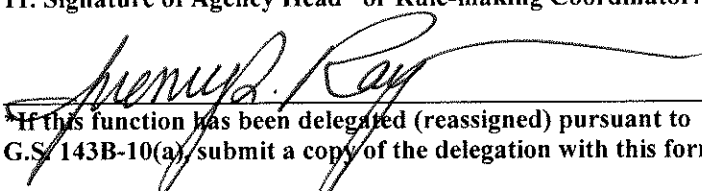
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0103 – BASE PERIOD EMPLOYER DENIED NONCHARGING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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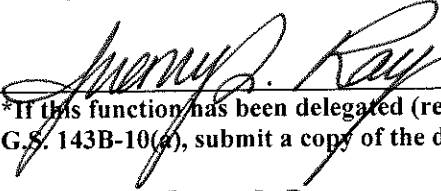
SUBMISSION FOR PERMANENT RULE

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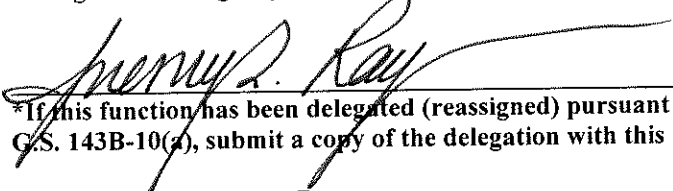
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0104 – EMPLOYER PARTY TO DETERMINATION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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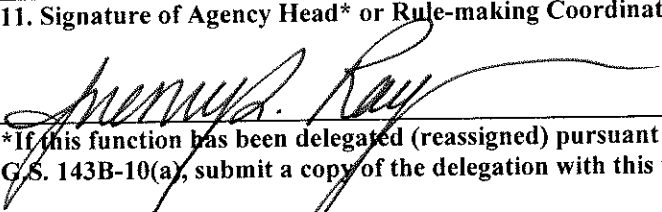
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0201 – APPEARANCE BY PARTY	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0202 - PRESENTING AND SCHEDULING APPEALED CLAIMS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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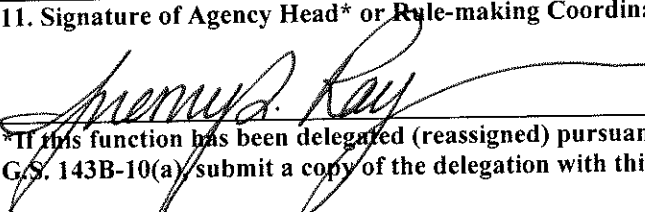
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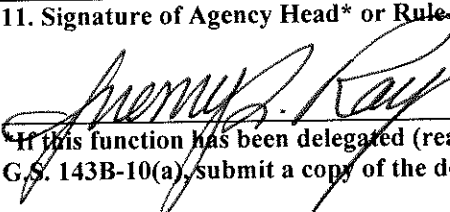
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0203 – CONTENTS OF APPEAL TO APPEALS SECTION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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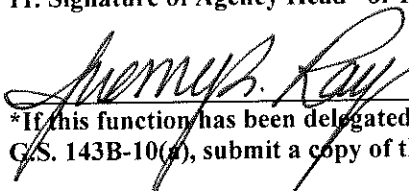
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0204 – APPEALS HEARING NOTICE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

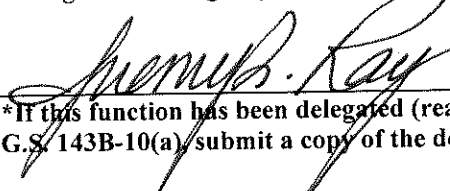
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0205 – TELEPHONE HEARINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

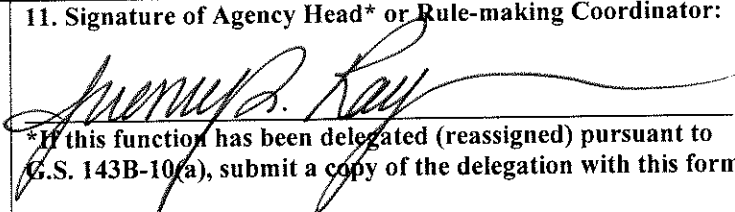
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0206 – IN-PERSON HEARINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

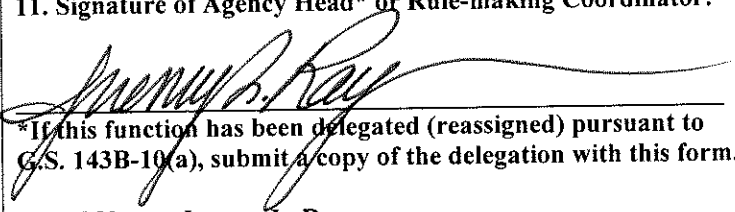
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0207 – RESCHEDULING A HEARING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

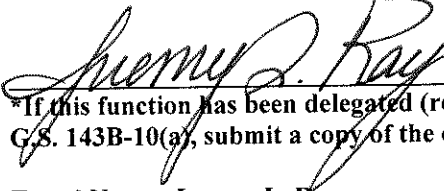
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0208 – DISQUALIFICATION OF APPEALS REFEREE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

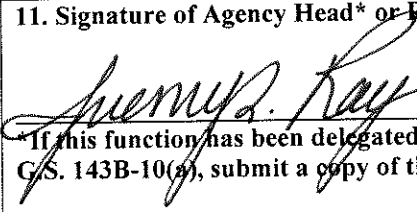
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0209 – CONDUCT OF HEARINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0210 - HEARSAY	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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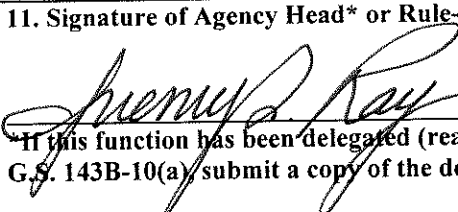
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0211 – CONTROLLED SUBSTANCE RESULTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

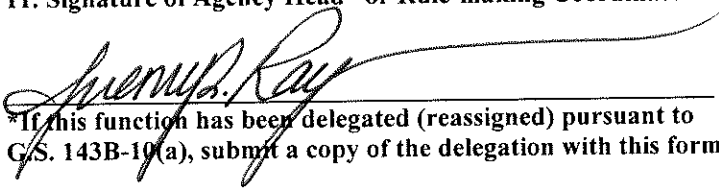
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0212 – CONTENTS OF APPEALS DECISION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

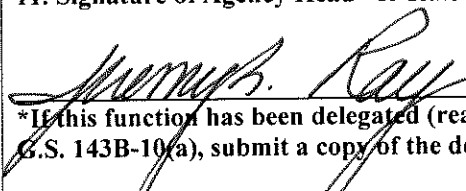
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0301 – ADMINISTRATIVE PROCEEDINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

MAY 20 2015

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0302 – NOTICES AND SERVICE TO PARTY	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)

2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0401 – ISSUANCE OF SUBPOENAS

3. Action:

☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review?

☐ Yes. Cite authority:☒ No

5. Rule automatically subject to legislative review?

☐ Yes. Cite authority:☒ No

6. Notice for Proposed Rule:

☒ Notice Required

Notice of Text published on: March 2, 2015

Link to Agency notice: www.ncesc.com (Legal Tab)

Hearing on: April 10, 2015

Adoption by agency on: May 14, 2015

☐ Notice not required under G.S.:

Adoption by agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes

Agency submitted request for consultation on:

Consultation not required. Cite authority:

☒ No

8. Fiscal impact (check all that apply):

☒ State funds affected☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation☒ Local funds affected☒ Substantial economic impact ($\geq \$1,000,000$)☒ Approved by OSBM☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ Agency☐ Court order / cite:☐ Federal statute / cite:☐ Federal regulation / cite:☒ Legislation enacted by the General Assembly

Cite Session Law: Session Law 2011-401 (Senate Bill 532)

☐ Petition for rule-making☐ Other:

9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.

10. Rule-making Coordinator: Jeremy L. Ray

Address: DES Main Campus

700 Wade Avenue

Raleigh, NC 27605

Phone: 919-707-1606

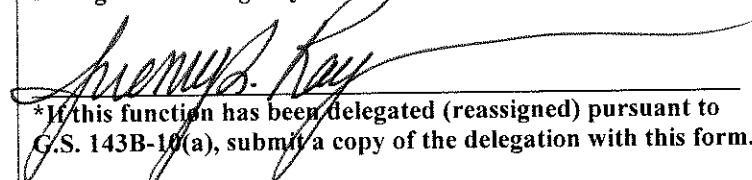
E-Mail: jeremy.ray@nccommerce.com

Agency Contact, if any: Jeremy L. Ray

Phone: 919-707-1606

E-Mail: jeremy.ray@nccommerce.com

11. Signature of Agency Head or Rule-making Coordinator:



*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Jeremy L. Ray

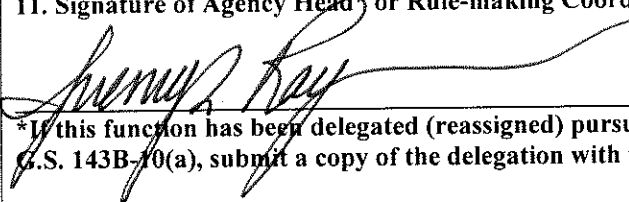
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

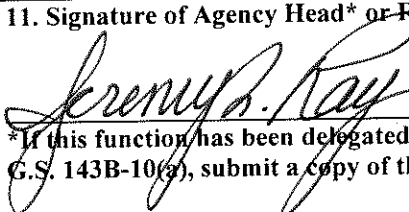
Action taken:

☐ RRC Extended period of review:☐ RRC determined substantial changes:☐ Withdrawn by agency☐ Subject to Legislative Review☐ Other:

SUBMISSION FOR PERMANENT RULE

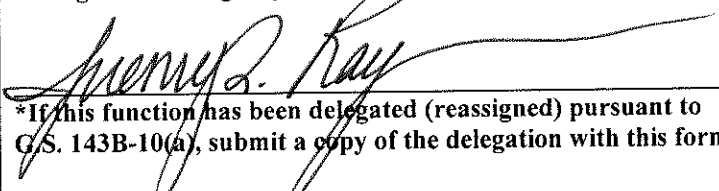
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0402 – OBJECTION TO SUBPOENA	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0501 – REQUIREMENTS FOR APPEAL STATEMENT TO BOARD TO REVIEW	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0502 – ACKNOWLEDGMENT OF APPEAL	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)
 2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0503 – ORAL ARGUMENTS

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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6. Notice for Proposed Rule:
☒ Notice Required
 Notice of Text published on: March 2, 2015
 Link to Agency notice: www.ncesc.com (Legal Tab)
 Hearing on: April 10, 2015
 Adoption by agency on: May 14, 2015
☐ Notice not required under G.S.:
 Adoption by agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

- | | |
|--|---|
| <input type="checkbox"/> Agency
<input type="checkbox"/> Court order / cite:
<input type="checkbox"/> Federal statute / cite:
<input type="checkbox"/> Federal regulation / cite: | <input checked="" type="checkbox"/> Legislation enacted by the General Assembly
Cite Session Law: Session Law 2011-401 (Senate Bill 532)
<input type="checkbox"/> Petition for rule-making
<input type="checkbox"/> Other: |
|--|---|

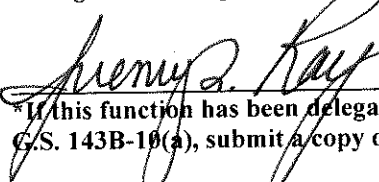
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.

10. Rule-making Coordinator: Jeremy L. Ray
 Address: DES Main Campus
 700 Wade Avenue
 Raleigh, NC 27605

Phone: 919-707-1606
 E-Mail: jeremy.ray@nccommerce.com

Agency Contact, if any: Jeremy L. Ray
 Phone: 919-707-1606
 E-Mail: jeremy.ray@nccommerce.com

11. Signature of Agency Head* or Rule-making Coordinator:


 *If this function has been delegated (reassigned) pursuant to G.S. 143B-19(a), submit a copy of the delegation with this form.
 Typed Name: Jeremy L. Ray
 Title: Rule-making Coordinator

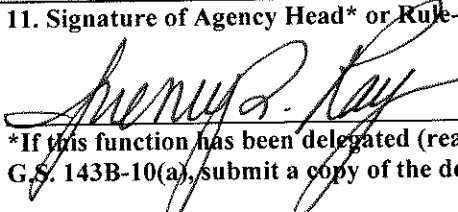
RRC AND OAH USE ONLY

Action taken:

- ☐ RRC Extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0504 – LEGAL REPRESENTATION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)
 2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0505 – INTRODUCTION OF EVIDENCE IN HIGHER AUTHORITY HEARINGS

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review?

☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?

☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
 Notice of Text published on: March 2, 2015
 Link to Agency notice: www.ncesc.com (Legal Tab)
 Hearing on: April 10, 2015
 Adoption by agency on: May 14, 2015
☐ Notice not required under G.S.:
 Adoption by agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
 Agency submitted request for consultation on:
 Consultation not required. Cite authority:
☒ No

8. Fiscal impact (check all that apply):

☒ State funds affected
☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation
☒ Local funds affected
☒ Substantial economic impact (≥\$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:

☒ Legislation enacted by the General Assembly
 Cite Session Law: Session Law 2011-401 (Senate Bill 532)
☐ Petition for rule-making
☐ Other:

9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.

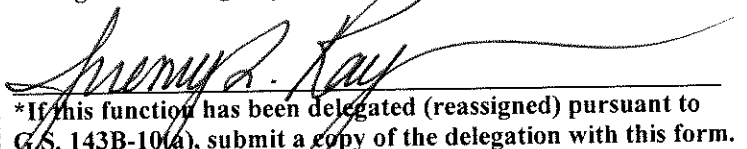
10. Rule-making Coordinator: Jeremy L. Ray

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Phone: 919-707-1606
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Typed Name: Jeremy L. Ray
 Title: Rule-making Coordinator

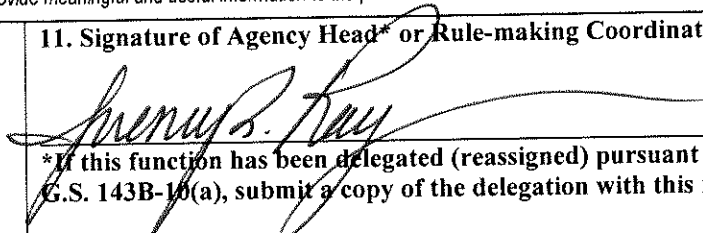
RRC AND OAH USE ONLY

Action taken:

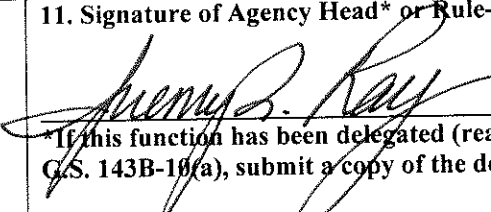
☐ RRC Extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0506 – CONTENT OF HIGHER AUTHORITY DECISION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<p style="text-align: center;">9. REASON FOR ACTION</p> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> <p><small>9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.</small></p>	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24C .0601 – POST-DECISION RELIEF	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)
 2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0101 – NOTICE OF CLAIM TO EMPLOYER

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
 Notice of Text published on: March 2, 2015
 Link to Agency notice: www.ncesc.com (Legal Tab)
 Hearing on: April 10, 2015
 Adoption by agency on: May 14, 2015
☐ Notice not required under G.S.:
 Adoption by agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
 Agency submitted request for consultation on:
 Consultation not required. Cite authority:
☒ No

8. Fiscal impact (check all that apply):
☒ State funds affected
☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation
☒ Local funds affected
☒ Substantial economic impact ($\geq \$1,000,000$)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

- | | |
|--|---|
| <input type="checkbox"/> Agency
<input type="checkbox"/> Court order / cite:
<input type="checkbox"/> Federal statute / cite:
<input type="checkbox"/> Federal regulation / cite: | <input checked="" type="checkbox"/> Legislation enacted by the General Assembly
Cite Session Law: Session Law 2011-401 (Senate Bill 532)
<input type="checkbox"/> Petition for rule-making
<input type="checkbox"/> Other: |
|--|---|

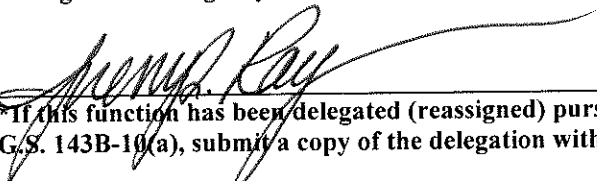
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11. Signature of Agency Head* or Rule-making Coordinator:


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 Title: Rule-making Coordinator

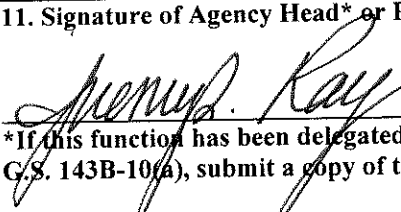
RRC AND OAH USE ONLY

Action taken:

- ☐ RRC Extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

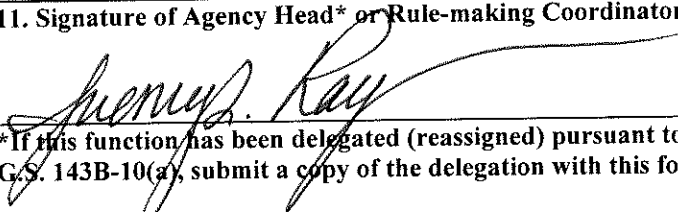
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0102 – NOTICE TO EMPLOYER OF POTENTIAL CHARGES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

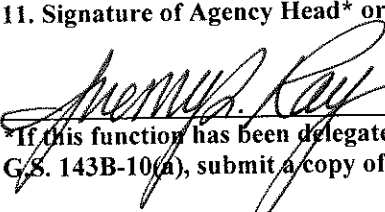
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0103 – REQUIREMENTS FOR FILING PROTESTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

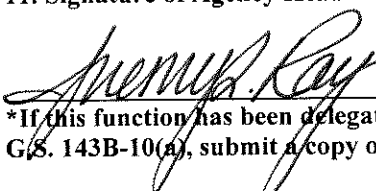
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0104 – TIME FOR FILING PROTESTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
10. Rule-making Coordinator: Jeremy L. Ray Address: DES Main Campus 700 Wade Avenue Raleigh, NC 27605 Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com Agency Contact, if any: Jeremy L. Ray Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 20px;">  _____ *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jeremy L. Ray Title: Rule-making Coordinator </div>
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

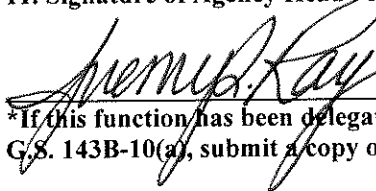
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0105 – GROUNDS FOR PROTEST	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<div style="text-align: center;">9. REASON FOR ACTION</div> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

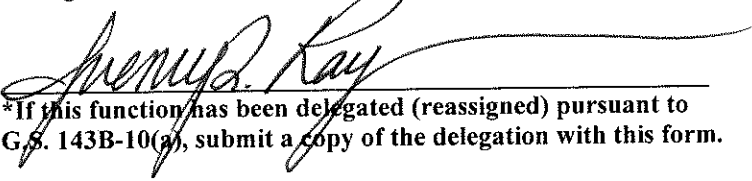
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0106 – DES'S RESPONSIBILITIES UPON RECEIPT OF PROTEST	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

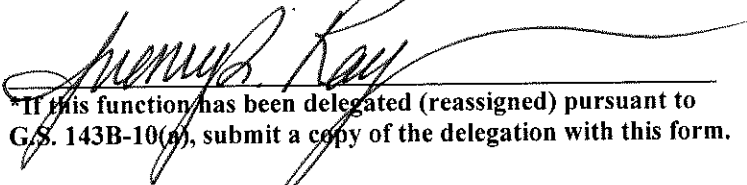
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0107 – DETERMINATION ON GROUNDS CONTAINED IN PROTEST	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: <i>The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.</i>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

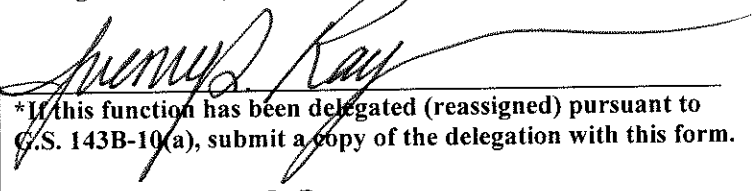
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0201 – MAKING THE REQUEST FOR NONCHARGING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

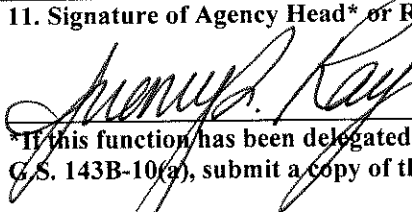
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

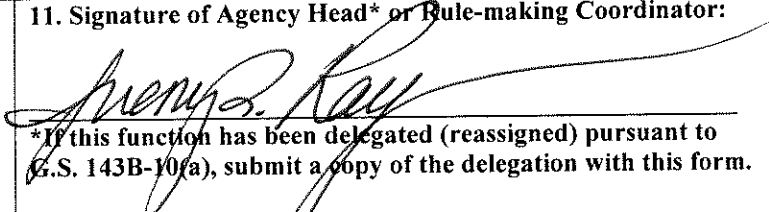
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0202 – DETERMINATION ON REQUESTS FOR NONCHARGING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

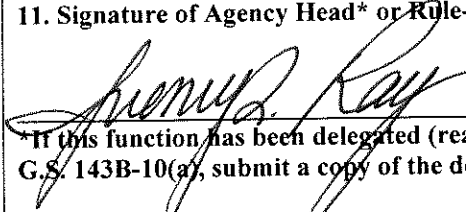
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0203 – APPEALING DENIAL OF REQUEST FOR NONCHARGING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0301 – ADEQUACY THRESHOLD	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<div style="text-align: center;">9. REASON FOR ACTION</div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> 9A. What prompted this action? Check all that apply: <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input checked="" type="checkbox"/> Other: Session Law 2013-2 (House Bill 4) </div> </div> <p style="font-size: small;">9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.</p>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0302 – ADEQUACY THRESHOLD DETERMINATION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncsc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)
 2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0303 – ADEQUACY PENALTY DETERMINATION

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
 Notice of Text published on: March 2, 2015
 Link to Agency notice: www.ncesc.com (Legal Tab)
 Hearing on: April 10, 2015
 Adoption by agency on: May 14, 2015
☐ Notice not required under G.S.:
 Adoption by agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
 Agency submitted request for consultation on:
 Consultation not required. Cite authority:
☒ No

8. Fiscal impact (check all that apply):
☒ State funds affected
☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation
☒ Local funds affected
☒ Substantial economic impact (≥\$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

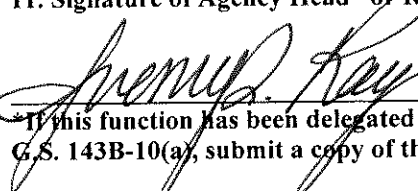
- | | |
|--|--|
| <input type="checkbox"/> Agency
<input type="checkbox"/> Court order / cite:
<input type="checkbox"/> Federal statute / cite:
<input type="checkbox"/> Federal regulation / cite: | <input checked="" type="checkbox"/> Legislation enacted by the General Assembly
Cite Session Law: Session Law 2011-401 (Senate Bill 532)
<input type="checkbox"/> Petition for rule-making
<input checked="" type="checkbox"/> Other: Session Law 2013-2 (House Bill 4) |
|--|--|

9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.

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 700 Wade Avenue
 Raleigh, NC 27605

 Phone: 919-707-1606
 E-Mail: jeremy.ray@nccommerce.com

 Agency Contact, if any: Jeremy L. Ray
 Phone: 919-707-1606
 E-Mail: jeremy.ray@nccommerce.com

11. Signature of Agency Head* or Rule-making Coordinator:

 *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
 Typed Name: Jeremy L. Ray
 Title: Rule-making Coordinator

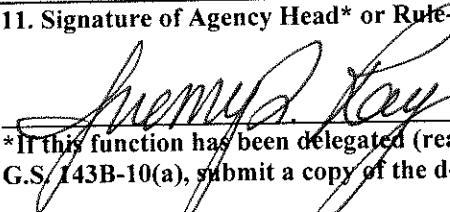
RRC AND OAH USE ONLY

Action taken:

- ☐ RRC Extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

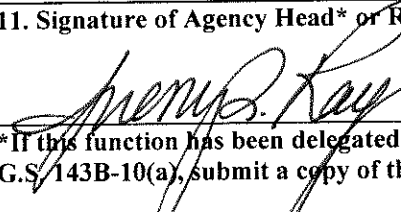
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

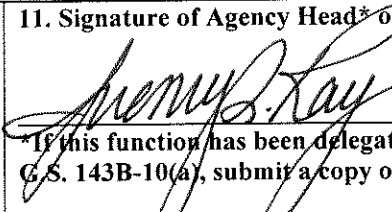
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0304 – APPEALING ADEQUACY PENALTY DETERMINATIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input checked="" type="checkbox"/> Other: Session Law 2013-2 (House Bill 4) </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

ORIGINAL
MAY 20 2015

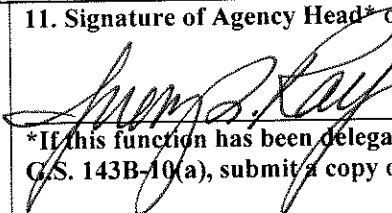
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0401 – VOLUNTARY ELECTION BY EMPLOYERS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0402 – ELECTION TO REIMBURSE IN LIEU OF CONTRIBUTIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0403 – PAYMENT OF EMPLOYER TAXES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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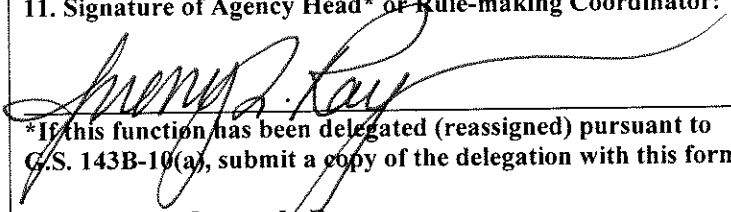
RRC AND OAH USE ONLY

Action taken:

- ☐ RRC Extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

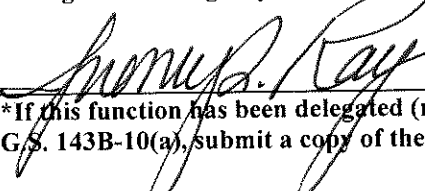
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0501 – RECORDS OF EMPLOYERS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

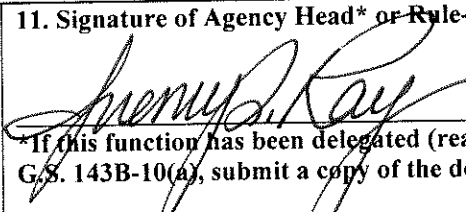
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0502 – WAGE RECORDS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

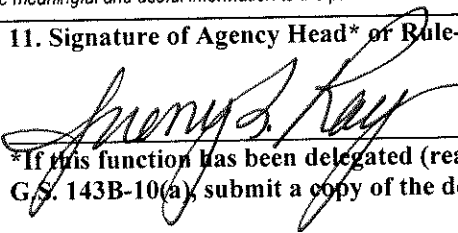
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0503 – ALLOWANCES AND REIMBURSEMENT ADVANCES	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

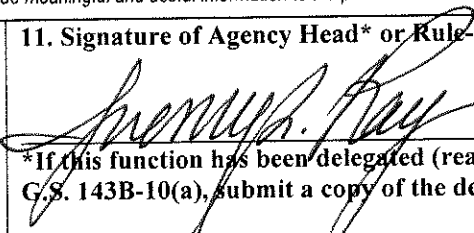
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0504 – MANNER OF RECORDKEEPING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

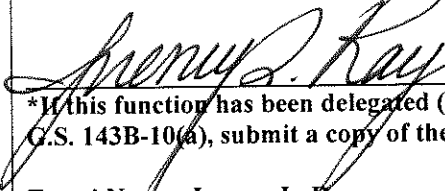
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0601 – GENERAL FORMAT OF REPORTS AND FORMS AND METHODS OF SUBMISSION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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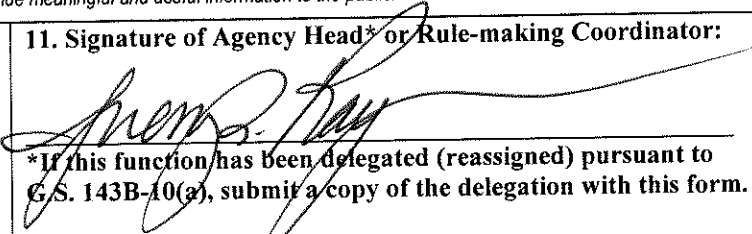
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0602 – STATUS REPORTS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0603 – QUARTERLY REPORTS FROM TAXED EMPLOYERS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
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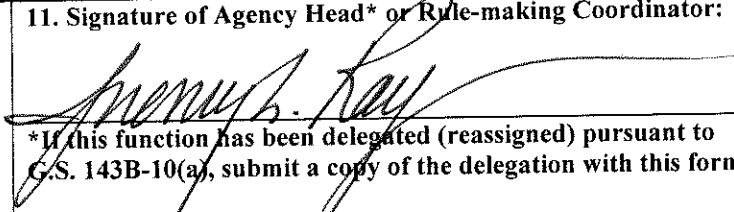
RRC AND OAH USE ONLY

Action taken:

- ☐ RRC Extended period of review:
- ☐ RRC determined substantial changes:
- ☐ Withdrawn by agency
- ☐ Subject to Legislative Review
- ☐ Other:

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0604 – ANNUAL REPORTS FROM DOMESTIC EMPLOYERS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)

2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0701 – TRANSFER OF EXPERIENCE

3. Action:

☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review?

☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?

☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: March 2, 2015
Link to Agency notice: www.ncesc.com (Legal Tab)
Hearing on: April 10, 2015
Adoption by agency on: May 14, 2015
☐ Notice not required under G.S.:
Adoption by agency on:

Office of
Administration
2015 MAY 20 PM 3:57
FILED

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact (check all that apply):

☒ State funds affected
☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation
☒ Local funds affected
☒ Substantial economic impact ($\geq \$1,000,000$)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:

☒ Legislation enacted by the General Assembly
Cite Session Law: Session Law 2011-401 (Senate Bill 532)
☐ Petition for rule-making
☐ Other:

9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.

10. Rule-making Coordinator: Jeremy L. Ray

Address: DES Main Campus
700 Wade Avenue
Raleigh, NC 27605

Phone: 919-707-1606

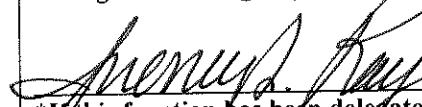
E-Mail: jeremy.ray@nccommerce.com

Agency Contact, if any: Jeremy L. Ray

Phone: 919-707-1606

E-Mail: jeremy.ray@nccommerce.com

11. Signature of Agency Head* or Rule-making Coordinator:



*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Jeremy L. Ray

Title: Rule-making Coordinator

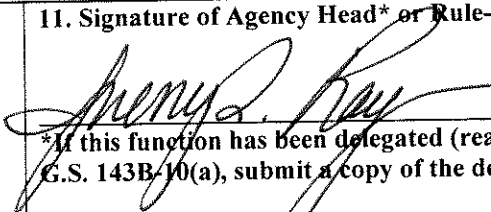
RRC AND OAH USE ONLY

Action taken:

☐ RRC Extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

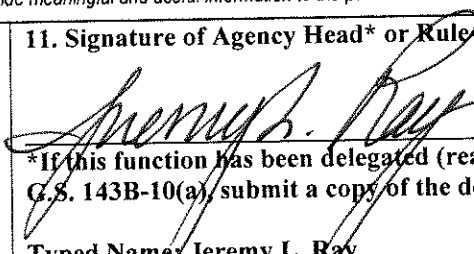
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

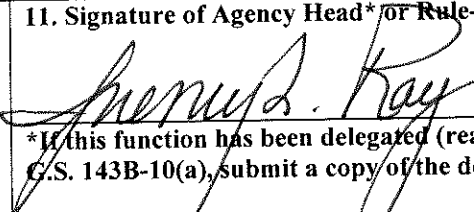
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0702 – REQUIREMENTS FOR TRANSFER OF EXPERIENCE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

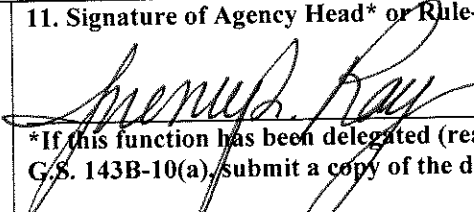
MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0801 - APPLICATION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

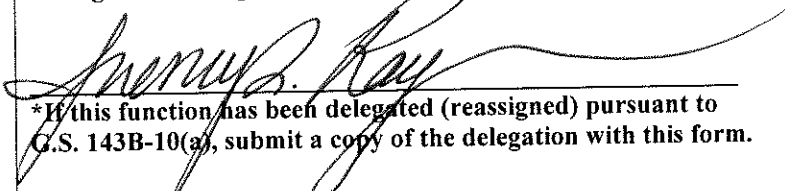
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .0901 – SPECIAL TAX INVESTIGATIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1001 – REQUEST FOR REDETERMINATION OF TAX RATE	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

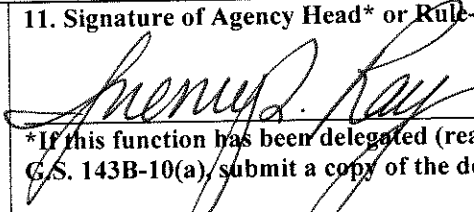
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1002 – DIVISION'S OBLIGATIONS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

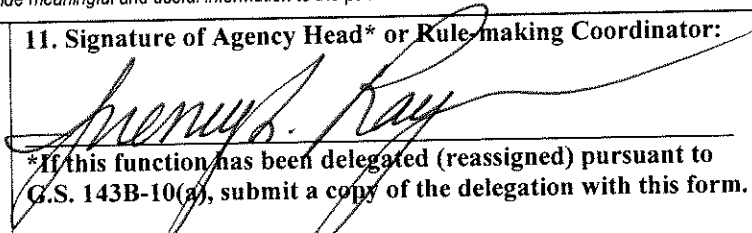
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1003 – CONTINUED PAYMENTS REQUIRED	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

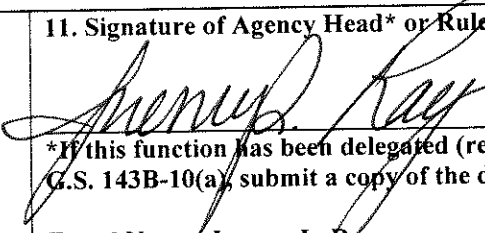
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

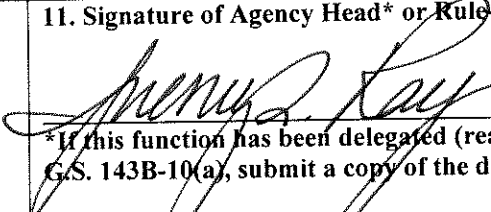
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2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1101 – APPEALING A TAX MATTER	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

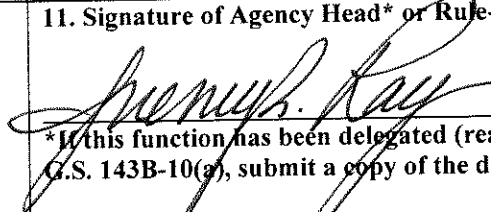
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1102 – SCHEDULING TAX HEARINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

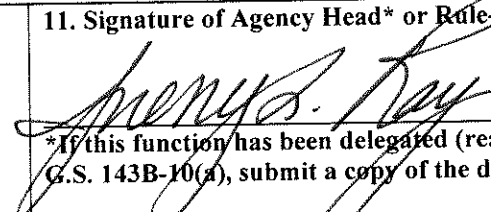
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1103 – TELEPHONE HEARINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

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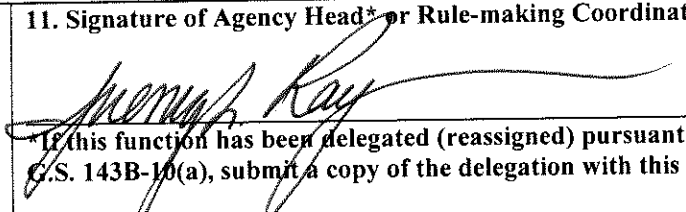
1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1104 – IN-PERSON HEARINGS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1105 – RESCHEDULING A TAX HEARING	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

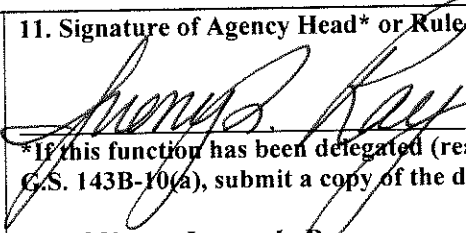
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

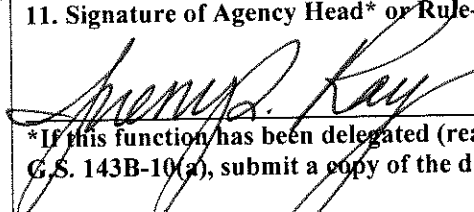
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6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1107 – THE TAX OPINION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1201 – REQUEST FOR SEASONAL DETERMINATION	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
10. Rule-making Coordinator: Jeremy L. Ray Address: DES Main Campus 700 Wade Avenue Raleigh, NC 27605 Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com Agency Contact, if any: Jeremy L. Ray Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com	11. Signature of Agency Head* or Rule-making Coordinator:  *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jeremy L. Ray Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)

2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1202 – WRITTEN DETERMINATION

3. Action:

☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review?

☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?

☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:

☒ Notice Required
Notice of Text published on: March 2, 2015
Link to Agency notice: www.ncesc.com (Legal Tab)
Hearing on: April 10, 2015
Adoption by agency on: May 14, 2015
☐ Notice not required under G.S.:
Adoption by agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)

☐ Yes
Agency submitted request for consultation on:
Consultation not required. Cite authority:
☒ No

8. Fiscal impact (check all that apply):

☒ State funds affected
☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation
☒ Local funds affected
☒ Substantial economic impact ($\geq \$1,000,000$)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:

☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:

☒ Legislation enacted by the General Assembly
Cite Session Law: Session Law 2011-401 (Senate Bill 532)
☐ Petition for rule-making
☐ Other:

9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.

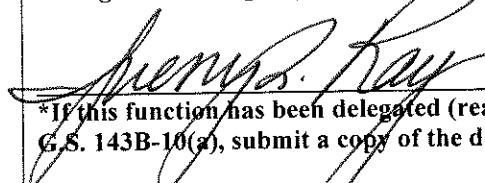
10. Rule-making Coordinator: Jeremy L. Ray

Address: DES Main Campus
700 Wade Avenue
Raleigh, NC 27605

Phone: 919-707-1606
E-Mail: jeremy.ray@nccommerce.com

Agency Contact, if any: Jeremy L. Ray
Phone: 919-707-1606
E-Mail: jeremy.ray@nccommerce.com

11. Signature of Agency Head* or Rule-making Coordinator:



*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

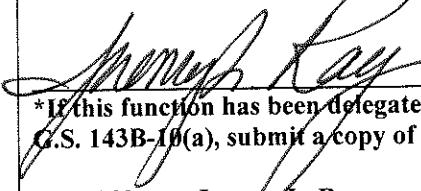
Typed Name: Jeremy L. Ray
Title: Rule-making Coordinator

RRC AND OAH USE ONLY

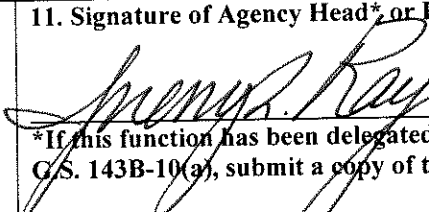
Action taken:

☐ RRC Extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

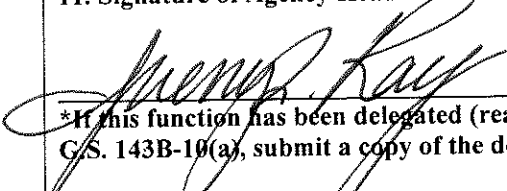
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1203 – DISPLAY REQUIRED	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1204 – WAGE RECORDS AND REPORTS REQUIREMENT	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1301 – NOTICE TO EMPLOYER	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)
 2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1302 – NOTICE TO GARNISHEE

3. Action:
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL

4. Rule exempt from RRC review?
☐ Yes. Cite authority:
☒ No

5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:
☒ No

6. Notice for Proposed Rule:
☒ Notice Required
 Notice of Text published on: March 2, 2015
 Link to Agency notice: www.ncesc.com (Legal Tab)
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 Adoption by agency on:

7. Rule establishes or increases a fee? (See G.S. 12-3.1)
☐ Yes
 Agency submitted request for consultation on:
 Consultation not required. Cite authority:
☒ No

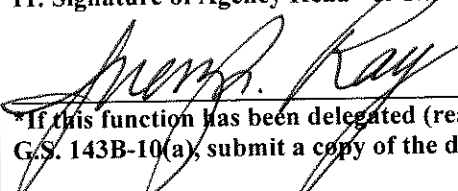
8. Fiscal impact (check all that apply):
☒ State funds affected
☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation
☒ Local funds affected
☒ Substantial economic impact (≥\$1,000,000)
☒ Approved by OSBM
☐ No fiscal note required

9. REASON FOR ACTION

9A. What prompted this action? Check all that apply:
☐ Agency
☐ Court order / cite:
☐ Federal statute / cite:
☐ Federal regulation / cite:
☒ Legislation enacted by the General Assembly
 Cite Session Law: Session Law 2011-401 (Senate Bill 532)
☐ Petition for rule-making
☐ Other:

9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.

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 Agency Contact, if any: Jeremy L. Ray
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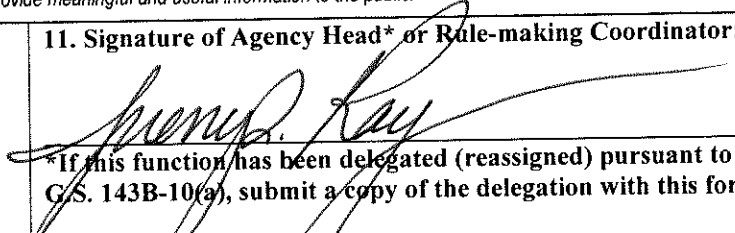
11. Signature of Agency Head* or Rule-making Coordinator:

 *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
 Typed Name: Jeremy L. Ray
 Title: Rule-making Coordinator

RRC AND OAH USE ONLY

Action taken:
☐ RRC Extended period of review:
☐ RRC determined substantial changes:
☐ Withdrawn by agency
☐ Subject to Legislative Review
☐ Other:

SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24D .1401 – OFFICIAL FORMS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: March 2, 2015 Link to Agency notice: www.ncesc.com (Legal Tab) Hearing on: April 10, 2015 Adoption by agency on: May 14, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

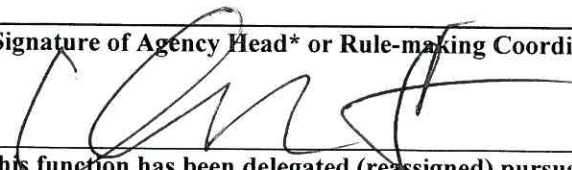
SUBMISSION FOR PERMANENT RULE

MAY 20 2015

1. Rule-Making Agency: The North Carolina Department of Commerce, Division of Employment Security (DES)	
2. Rule citation & name (name not required for repeal): 04 NCAC 24E .0101 - .0104	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
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7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input checked="" type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: G.S. 12-3.1(c) <input type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input checked="" type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: Session Law 2011-401 (Senate Bill 532) <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The North Carolina Department of Commerce, Division of Employment Security became subject to G.S. 150B, pursuant to G.S. 96-4(d) and Section 1.10(c), Part 1, Session Law 2011-401 (Senate Bill 532). The intent of the Act was to reform the Employment Security Laws of North Carolina, including making DES subject to Rule Making under Article 2A of Chapter 150B of the North Carolina General Statutes. The changes were intended to improve transparency in the State's administration of the unemployment insurance (UI) program. The Act requires the NC Dept. of Commerce to adopt rules to ensure that the administration of the UI program and federal law provisions are properly implemented. These rules are being adopted to codify many of the existing policies and practices in the UI program. The availability of clear and understandable information is of significant importance to the citizens of North Carolina and these rules are the first step to provide meaningful and useful information to the public.	
10. Rule-making Coordinator: Jeremy L. Ray Address: DES Main Campus 700 Wade Avenue Raleigh, NC 27605 Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com Agency Contact, if any: Jeremy L. Ray Phone: 919-707-1606 E-Mail: jeremy.ray@nccommerce.com	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 20px;"> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jeremy L. Ray Title: Rule-making Coordinator </div>
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

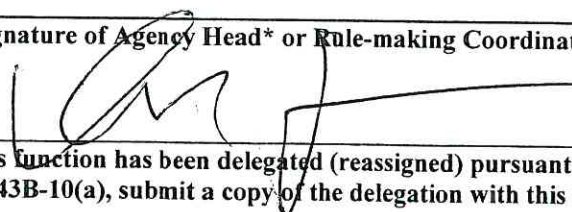
ORIGINAL 5/20/15

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Commission for Public Health	
2. Rule citation & name (name not required for repeal): 10A NCAC 46 .0201 MANDATED SERVICES	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 16, 2015 Link to Agency notice: http://cph.publichealth.nc.gov/ Hearing on: March 6, 2015 Adoption by agency on: May 13, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (\geq \$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: SL2013-45 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: Per SESSION LAW 2011-145, the Commission for Public Health no longer has statutory authority to regulate milk sanitation. The rule making authority has been transferred to the Board of Agriculture and the Department of Agriculture is responsible for the milk sanitation program. Therefore, the Commission for Public Health can no longer require local health departments to provide milk sanitation as a mandated public health service.	
10. Rule-making Coordinator: Chris Hoke, JD Address: 5605 Six Forks Rd. Raleigh, NC 27609 Phone: 9-19-505-5006 E-Mail: chris.hoke@dhhs.nc.gov Agency Contact, if any: Bob martin Phone: 919-707-5179 E-Mail: bob.martin@dhhs.nc.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Chris Hoke Title: Chief, Legal and Regulatory Affairs
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

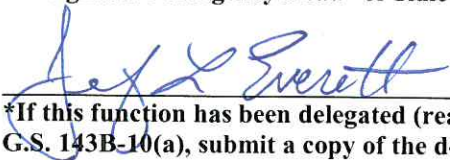
ORIGINAL 4/20/15

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: Commission for Public Health	
2. Rule citation & name (name not required for repeal): 10A NCAC 46 .0212 GRADE A MILK SANITATION	
3. Action: <input type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input checked="" type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 16, 2015 Link to Agency notice: http://cph.publichealth.nc.gov/ Hearing on: March 6, 2015 Adoption by agency on: May 13, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: SL2013-45 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: Per SESSION LAW 2011-145, the Commission for Public Health no longer has statutory authority to regulate milk sanitation. The rule making authority has been transferred to the Board of Agriculture and the Department of Agriculture is responsible for the milk sanitation program.	
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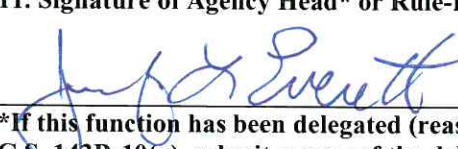
SUBMISSION FOR PERMANENT RULE

original
5/14/15

1. Rule-Making Agency: Coastal Resources Commission	
2. Rule citation & name (name not required for repeal): 15A NCAC 07H .1501 General Permit for Excavation Within or Connecting to Existing Canals, Channels, Basins, or Ditches in Estuarine Waters, Public Trust Waters, and Coastal Shoreline AECs	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 2, 2015 Link to Agency notice: http://www.nccoastalmanagement.net/web/cm/proposed-rules Hearing on: February 19, 2015 Adoption by agency on: April 29, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: 7H .1500 authorizes excavation within existing canals, channels, basins and ditches in estuarine and public trust waters for the purpose of maintaining previous water depths and creating new boat basins from non-wetland areas that will be used for private, non-commercial activities. The permit is limited to development off of existing manmade systems. The CRC is proposing to amend its rules governing excavation of upland basins. The CRC is seeking to provide financial and administrative relief to applicants who wish to perform upland excavation in conjunction with stabilization of the basin shoreline. The amendments will allow both activities to occur under a single General Permit instead of two.	
10. Rule-making Coordinator: Jennifer Everett Address: 1601 MSC Raleigh, NC 27699-1601 Phone: (919) 707-8614 E-Mail: Jennifer.Everett@ncdenr.gov Agency Contact, if any: Mike Lopazanski Phone: (252) 808-2808 E-Mail: Mike.Lopazanski@ncdenr.gov	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: DENR Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

SUBMISSION FOR PERMANENT RULE

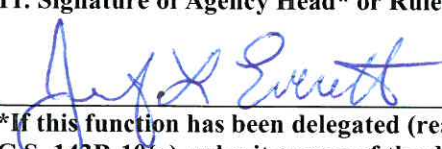
original
5/14/15

1. Rule-Making Agency: Coastal Resources Commission	
2. Rule citation & name (name not required for repeal): 15A NCAC 07H .1502 Approval Procedures	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 2, 2015 Link to Agency notice: http://www.nccoastalmanagement.net/web/cm/proposed-rules Hearing on: February 19, 2015 Adoption by agency on: April 29, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

FILED
 2015 MAY 14 PM 4:20
 OFFICE OF
 ADMIN HEARINGS

SUBMISSION FOR PERMANENT RULE

original
5/14/15

1. Rule-Making Agency: Coastal Resources Commission	
2. Rule citation & name (name not required for repeal): 15A NCAC 07H .1504 General Conditions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 2, 2015 Link to Agency notice: http://www.nccoastalmanagement.net/web/cm/proposed-rules Hearing on: February 19, 2015 Adoption by agency on: April 29, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<p style="text-align: center;">9. REASON FOR ACTION</p> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: 7H .1500 authorizes excavation within existing canals, channels, basins and ditches in estuarine and public trust waters for the purpose of maintaining previous water depths and creating new boat basins from non-wetland areas that will be used for private, non-commercial activities. The permit is limited to development off of existing manmade systems. The CRC is proposing to amend its rules governing excavation of upland basins. The CRC is seeking to provide financial and administrative relief to applicants who wish to perform upland excavation in conjunction with stabilization of the basin shoreline. The amendments will allow both activities to occur under a single General Permit instead of two.	
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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OFFICE OF
ADMIN HEARINGS

SUBMISSION FOR PERMANENT RULE

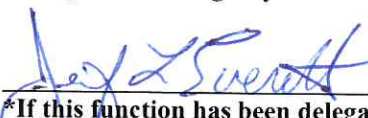
Original
5/14/15

1. Rule-Making Agency: Coastal Resources Commission	
2. Rule citation & name (name not required for repeal): 15A NCAC 07H .1505 Specific Conditions	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 2, 2015 Link to Agency notice: http://www.nccoastalmanagement.net/web/cm/proposed-rules Hearing on: February 19, 2015 Adoption by agency on: April 29, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
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RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

FILED
2015 MAY 14 PM 4:20
OFFICE OF
ADMIN HEARINGS


SUBMISSION FOR PERMANENT RULE

original
5/14/15


1. Rule-Making Agency: Commission for Public Health	
2. Rule citation & name (name not required for repeal): 15A NCAC 18C .1539 - Revised Total Coliform Rule	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 16, 2015 Link to Agency notice: http://portal.ncdenr.org/web/wq/rules Hearing on: March 3, 2015 Adoption by agency on: May 13, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input checked="" type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input checked="" type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input checked="" type="checkbox"/> Approved by OSBM <input type="checkbox"/> No fiscal note required
<div style="text-align: center;">9. REASON FOR ACTION</div> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input checked="" type="checkbox"/> Federal regulation / cite: 40 CFR 141, Subpart Y </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div>	
9B. Explain: The federal government recently revised the 1989 Total Coliform Rule to strengthen public protection from bacteriological contamination in drinking water. EPA amended the National Primary Drinking Water Regulations to distinguish between the compliance dates of the current federal rule and the new, revised rule. The Public Water Supply Section initially adopted the 1989 Total Coliform Rule requirements in Rules .1534 and .1535 of the <i>Rules Governing Public Water Systems</i> (which can be found in subchapter 15A NCAC 18C), effective January 1, 1991. Rules .1534 and .1535 reference the federal rules 40 CFR 141.21, 141.52 and 141.63. These federal rules specify that systems must comply with the provisions of new Subpart Y beginning April 1, 2016. Therefore, North Carolina must now adopt the new federal rule (40 CFR 141, Subpart Y – Revised Total Coliform Rule) in order to maintain the primary enforcement responsibility over the Total Coliform Rule in the state of North Carolina. Were the State not to adopt the federal rule, public water systems would be subject to the rule under federal enforcement by EPA.	
10. Rule-making Coordinator: Jennifer Everett Address: Office of General Counsel 1601 Mail Service Center, Raleigh, NC 27699-1601 Phone: (919) 707-8614 E-Mail: Jennifer.Everett@ncdenr.gov Agency Contact, if any: Linda Raynor Phone: (919) 707-9095 E-Mail: Linda.Raynor@ncdenr.gov	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center;">  <hr style="border: 0; border-top: 1px solid black;"/> </div> <p><small>*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</small></p> <p>Typed Name: Jennifer Everett Title: DENR Rulemaking Coordinator</p>
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SUBMISSION FOR PERMANENT RULE

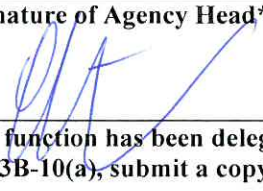
ORIGINAL
5-13-15

1. Rule-Making Agency: North Carolina State Board of Certified Public Accountant Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 08G .0409 COMPUTATION OF CPE CREDITS	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):
5. Agency obtained G.S. 150B-19.1 certification: <input type="checkbox"/> OSBM certified on: <input type="checkbox"/> RRC certified on: <input checked="" type="checkbox"/> Not Required	
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 16, 2015 Link to Agency notice: www.nccpaboard.gov Hearing on: March 18, 2015 Adoption by agency on: July 1, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
<p style="text-align: center;">9. REASON FOR ACTION</p> 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted in last General Assembly session Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> The amendment was made to change how credits for continuing professional education are computed. </div>	
10. Rule-making Coordinator: Felecia Ashe Address: 1101 Oberlin Road, Suite 104. Raleigh, NC 27605 Phone: (919) 733-4223 E-Mail: feleciaa@nccpaboard.gov Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center; margin-top: 10px;">  </div> <p style="font-size: small;">*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.</p> <div style="margin-top: 10px;"> Typed Name: Felecia Ashe Title: Accounting Specialist </div>
RRC AND OAH USE ONLY	
Action taken: <div style="margin-top: 20px;"> <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other: </div>	

SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: NC Board of Chiropractic Examiners	
2. Rule citation & name (name not required for repeal): 21 NCAC 10 .0305 PEER REVIEWS	
3. Action: <input checked="" type="checkbox"/> ADOPTION <input type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 2, 2015 Link to Agency notice: www.ncchiroboard.com Hearing on: March 3, 2105 Adoption by agency on: April 25, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite: </div> <div style="width: 45%;"> <input type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other: </div> </div> 9B. Explain: <p>The purpose of the rule is to define "peer review" within the context of insurance claims for personal injury and to mandate that persons who perform peer reviews be licensed by the N.C. Board of Chiropractic Examiners, possess adequate clinical experience and apply North Carolina standards of acceptable care.</p>	
10. Rule-making Coordinator: Vance C. Kinlaw Address: 101 S. Elm Street, Suite 215 Greensboro, NC 27401 Phone: (336) 272-7341 E-Mail: kinlawyer@triad.twcbc.com Agency Contact, if any: Phone: E-Mail:	11. Signature of Agency Head* or Rule-making Coordinator:  <hr/> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Vance C. Kinlaw Title: Attorney for the NC Board of Chiropractic Examiners
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

ORIGINAL
SUBMISSION FOR PERMANENT RULE

1. Rule-Making Agency: North Carolina Board of Pharmacy	
2. Rule citation & name (name not required for repeal): 21 NCAC 46 .3301 REGISTRATION	
3. Action: <input type="checkbox"/> ADOPTION <input checked="" type="checkbox"/> AMENDMENT <input type="checkbox"/> REPEAL	
4. Rule exempt from RRC review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No	5. Rule automatically subject to legislative review? <input type="checkbox"/> Yes. Cite authority: <input checked="" type="checkbox"/> No
6. Notice for Proposed Rule: <input checked="" type="checkbox"/> Notice Required Notice of Text published on: February 16, 2015 Link to Agency notice: www.ncbop.org/lawandrules.htm Hearing on: May 12, 2015 Adoption by agency on: May 12, 2015 <input type="checkbox"/> Notice not required under G.S.: Adoption by agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1) <input type="checkbox"/> Yes Agency submitted request for consultation on: Consultation not required. Cite authority: <input checked="" type="checkbox"/> No	8. Fiscal impact (check all that apply): <input type="checkbox"/> State funds affected <input type="checkbox"/> Environmental permitting of DOT affected and analysis submitted to Board of Transportation <input type="checkbox"/> Local funds affected <input type="checkbox"/> Substantial economic impact (≥\$1,000,000) <input type="checkbox"/> Approved by OSBM <input checked="" type="checkbox"/> No fiscal note required
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: <div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><input checked="" type="checkbox"/> Agency <input type="checkbox"/> Court order / cite: <input type="checkbox"/> Federal statute / cite: <input type="checkbox"/> Federal regulation / cite:</div><div style="width: 45%;"><input checked="" type="checkbox"/> Legislation enacted by the General Assembly Cite Session Law: 2013-379 <input type="checkbox"/> Petition for rule-making <input type="checkbox"/> Other:</div></div>	
9B. Explain: Revisions required to improve conformity to the statutory requirements of G.S. 90-85.15A, including those adopted in Session Law 2013-379.	
10. Rule-making Coordinator: Clinton R. Pinyan Address: Brooks, Pierce, McLendon, Humphrey & Leonard, LLP, PO Box 26000, Greensboro, NC 27420 Phone: (336) 373-8850 E-Mail: cpinyan@brookspierce.com Agency Contact, if any: Jay Campbell Phone: (919) 246-1050 E-Mail: jcampbell@ncbop.org	11. Signature of Agency Head* or Rule-making Coordinator: <div style="text-align: center;"></div> *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Clinton R. Pinyan Title: General Counsel and Rulemaking Coordinator
RRC AND OAH USE ONLY	
Action taken: <input type="checkbox"/> RRC Extended period of review: <input type="checkbox"/> RRC determined substantial changes: <input type="checkbox"/> Withdrawn by agency <input type="checkbox"/> Subject to Legislative Review <input type="checkbox"/> Other:	

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