AGENCY: Board of Dental Examiners

RULE CITATION: All rules

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

Please insert an effective date in each Rule. The date is within the agency's discretion. If approved at this meeting, they can become effective April 1, 2015.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16G .0101

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

The name of the Rule is not part of the formal rulemaking process, and therefore can be changed with notice to the Codifier. However, I recommend you rename it "Functions that may be delegated." If you do this, you will need to submit a new Submission for Permanent Rule Form with the new rule.

On line 7, please either keep "21 NCAC 16H .0203" as you published it or state "Rule 21 NCAC 16H .0203"

In Items (23) and (24), lines 34 and 35, please replace "which" with "that"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

2	21 NCAC 16G	.0101 is amended as published in 28:22 NCR 2/18 with changes as follows:
3	21 NCAC 16G	.0101 FUNCTIONS WHICH MAY BE DELEGATED
4	A dental hygier	nist may be delegated appropriate functions to be performed under the direct control and supervision
5	of a dentist who	shall be personally and professionally responsible and liable for any and all consequences or results
6	arising from pe	rformance of such acts and functions. In addition to the functions set out in G.S. 90-221(a) and 21
7	NCAC <del>16H .02</del>	<del>201</del> , <u>Rule 16H .0203,</u> functions <u>that</u> <del>which</del> may be delegated to a dental hygienist <u>provided that a</u>
8	<u>dentist has exar</u>	nined the patient and prescribed the procedure include:
9	(1)	Taking impressions for study models and opposing casts that which will not be used for
10		construction of permanent dental appliances, but which may be used for the fabrication of
11		adjustable orthodontic appliances, nightguards and the repair of dentures or partials;
12	(2)	Applying sealants to teeth that do not require mechanical alteration prior to the application of such
13		sealants. sealants, provided that a dentist has examined the patient and prescribed the procedure;
14	(3)	Inserting matrix bands and wedges;
15	(4)	Placing cavity bases and liners;
16	(5)	Placing and/or and removing rubber dams;
17	(6)	Cementing temporary restorations using temporary cement;
18	(7)	Applying acid etch materials and rinses; materials/rinses;
19	(8)	Applying bonding agents;
20	(9)	Removing periodontal dressings;
21	(10)	Removing sutures;
22	(11)	Placing and removing gingival retraction cord;
23	(12)	Removing excess cement;
24	(13)	Flushing, drying and temporarily closing root canals;
25	(14)	Placing and removing temporary restorations;
26	(15)	Placing and tying in or untying and removing orthodontic arch wires;
27	(16)	Inserting interdental spacers;
28	(17)	Fitting (sizing) orthodontic bands or brackets;
29	(18)	Applying dentin desensitizing solutions;
30	(19)	Performing periodontal screening;
31	(20)	Performing periodontal probing;
32	(21)	Performing subgingival exploration for or removal of hard or soft deposits;
33	(22)	Performing sulcular irrigation;
34	(23)	Applying sulcular antimicrobial or antibiotic agents which are resorbable;
35	(24)	Performing extra-oral adjustments which affect function, fit, or occlusion of any temporary
36		restoration or appliance; and
37	(25)	Initially forming and sizing orthodontic arch wires and placing place arch wires after final
38		adjustment and approval by the dentist.

1		
2	History Note:	Authority G.S. 90-221; 90-223(b); 90-233;
3		Eff. September 3, 1976;
4		Readopted Eff. September 26, 1977;
5		Amended Eff, 2015; August 1, 2008; August 1, 2000;
6		May 1, 1989; October 1, 1985; March 1, 1985.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16H .0104

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

Please bracket the deletion of "(b)," "(c)," and "(e)" on lines 16, 17 and 20.

Also, please remove the underline from "(c)" on line 17.

In Sub-Items (3)(a) and (b), who offers these courses? Does your regulated public know?

In (3)(d), line 20, please state "Sub-Items (3)(b)..."

1 2	21 NCAC 16H .	04 is amended as published in 28:22 NCR 2718 with changes as follows:
3	21 NCAC 16H .	104 APPROVED EDUCATION AND TRAINING PROGRAMS
4	To be classified	a Dental Assistant II, an assistant must shall meet one of the following criteria:
5	(1)	<del>successful</del> completion of:
6		an ADA-accredited dental assisting program and current certification in CPR; or
7		(b) one academic year or longer in an ADA-accredited dental hygiene program, and current
8		certification in CPR; or
9	(2)	successful] completion of the Dental Assistant certification examination(s) administered by the
10		Dental Assisting National Board and current certification in CPR; or
11	<del>(2)</del> <u>(3)</u>	successful completion of:
12		(a) full time employment and experience as a chairside assistant for two years (3,000 hours)
13		of the preceding five; during which period the assistant may be trained in any dental
14		delivery setting and allowed to perform the functions of a Dental Assistant II under the
15		direct control and supervision of a licensed dentist;
16		(a) (b) a 3-hour course in sterilization and infection control;
17		(e) (b) a 3-hour course in dental office emergencies; emergencies; and
18		(d) radiology training consistent with G.S. 90 29(c)(12); and
19		(e) (c) current certification in CPR; or CPR.
20		(d)(e) after completing [Sub items] Sub-Item (3)(b), (c), and (d) of this Rule, dental assistants
21		may be trained in any dental delivery setting and allowed to perform the functions of a Dental
22		Assistant II under the direct control and supervision of a licensed dentist, except as listed in [Sub-
23		tem 3(f)] Sub-Item 3(e) of this Rule.
24		(e) dental assistants may take radiographs after completing radiology training consistent with
25		G.S. 90-29(c)(12).
26	(3)	successful completion of the certification examination administered by the Dental Assisting
27		National Board, and current certification in CPR.
28		
29 30 31 32 33	History Note:	Authority G.S. 90-29(c)(9); Eff. September 3, 1976; Readopted Eff. September 26, 1977; Amended Eff, 2015; August 1, 2000; November 1, 1996; January 1, 1994; September 1, 1998; May 1, 1989; October 1, 1986.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16H .0203

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In lines 7 and 8, why is this language in the present tense, but it is not in Rule 16G .0101? Since this is the same sentence, why is the tense different from that Rule?

I take it you are relying upon G.S. 90-41(21) to create professional and personal responsibility?

# § 90-41. Disciplinary action.

(a) The North Carolina State Board of Dental Examiners shall have the power and authority to (i) Refuse to issue a license to practice dentistry; (ii) Refuse to issue a certificate of renewal of a license to practice dentistry; (iii) Revoke or suspend a license to practice dentistry; and (iv) Invoke such other disciplinary measures, censure, or probative terms against a licensee as it deems fit and proper;

in any instance or instances in which the Board is satisfied that such applicant or licensee:

(21) Has permitted a dental hygienist or a dental assistant in his employ or under his supervision to do or perform any act or acts violative of this Article, or of Article 16 of this Chapter, or of the rules and regulations promulgated by the Board:

If so, please insert this citation in your History Note.

In Subparagraph (a)(1), as "that" is existing language, please simply highlight it and bracket, strike, and highlight "which" (as set in Rule 26 NCAC 02C .0405(b)(2)).

In (a)(13), please insert a comma after "dry" on line 24.

In (a)(19), line 30, please replace "which" with "that"

In (a)(21), line 35, please state "Paragraph (b) of this Rule"

On line 35, please replace the semicolon after "only" with a colon.

In (b), on Page 2, line 4, please insert a comma after "aids"

2	21 NCAC 16H	.0203 is amended as published in 28:22 NCR 2/18 with changes as follows:
3	21 NCAC 16H	.0203 PERMITTED FUNCTIONS OF DENTAL ASSISTANT II
4	(a) A Dental A	ssistant II may perform all acts or procedures which that may be performed by a Dental Assistant I.
5	In addition, a D	ental Assistant II may be delegated the following functions to be performed under the direct control
6	and supervision	n of a dentist who shall be personally and professionally responsible and liable for any and all
7	consequences of	r results arising from the performance of such acts and functions; functions, provided that the dentist
8	first examines th	he patient and prescribes the procedure:
9	(1)	Take impressions for study models and opposing casts that which will not be used for construction
10		of dental appliances, but that [which] may be used for the fabrication of adjustable orthodontic
11		appliances, nightguards and the repair of dentures or partials;
12	(2)	Apply sealants to teeth that do not require mechanical alteration prior to the application of such
13		sealants, provided a dentist has examined the patient and prescribed the procedure; sealants.
14	(3)	Insert matrix bands and wedges;
15	(4)	Place cavity bases and liners;
16	(5)	Place and remove rubber dams;
17	(6)	Cement temporary restorations using temporary cement;
18	(7)	Apply acid etch materials and rinses; materials/rinses;
19	(8)	Apply bonding agents;
20	(9)	Remove periodontal dressings;
21	(10)	Remove sutures;
22	(11)	Place and remove gingival retraction cord;
23	(12)	Remove excess cement;
24	(13)	Flush, dry and temporarily close root canals;
25	(14)	Place and remove temporary restorations;
26	(15)	Place and tie in or untie and remove orthodontic arch wires;
27	(16)	Insert interdental spacers;
28	(17)	Fit (size) orthodontic bands or brackets;
29	(18)	Apply dentin desensitizing solutions;
30	(19)	Perform extra-oral adjustments which affect function, fit or occlusion of any temporary restoration
31		or appliance;
32	(20)	Initially form and size orthodontic arch wires and place arch wires after final adjustment and
33		approval by the dentist; and
34	(21)	Polish the clinical erown crown, [as allowed by 21 NCAC 16H .0104(3)(e),] pursuant to [Rule
35		.0104(3)(e) of this Subchapter] sub-paragraph (b) of this Rule using only;
36		(A) a hand-held brush and appropriate polishing agents; or
37		(B) a combination of a slow speed handpiece (not to exceed 10,000 rpm) with attached
38		rubber cup or bristle brush, and appropriate polishing agents.

1 (b) A Dental Assistant II must shall complete a course in coronal polishing identical to that taught in an ADA 2 accredited dental assisting program, or by a licensed North Carolina hygienist or dentist lasting at least seven clock 3 hours before using a slow speed handpiece with rubber cup or bristle brush attachment. The course must shall 4 include instruction on dental morphology, the periodontal complex, operation of handpieces, polish aids and patient 5 safety. A coronal polishing procedure shall not be represented to the patient as a prophylaxis and no coronal 6 polishing procedure may be billed as a prophylaxis unless the dentist has performed an evaluation for calculus, 7 deposits, or accretions and a dentist or dental hygienist has removed any substances detected. 8 9 Authority G.S. 90-29(c)(9); 90-48; History Note: 10 Eff. September 3, 1976; 11 Readopted Eff. September 26, 1977; Amended Eff. \_ 12 \_\_\_\_\_, 2015; January 1, 2014; September 1, 2009; 13 September 1, 2008; August 1, 2000; October 1, 1996; January 1, 1994; 14 May 1, 1989; October 1, 1985; March 1, 1985.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16I .0101

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

Rule 26 NCAC 02C .0405(b)(2) requires the following:

On line 4, remove the brackets and underline from "A renewal application" Simply highlight it.

Also on line 4, don't highlight "must" Just keep it struck through.

On line 4, remove the bracket from "be"

On line 6, please bracket "year for renewal without a fee for late filing." Keep the highlighting.

On line 4, what is meant by "full"? Does your regulated public know?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

2	21 NCAC 161 .0101 is	amended as published in 28:22 NCR 2/18 with changes as follows:
3	21 NCAC 16I .0101	APPLICATIONS
4	[A renewal application	] must shall [be] completed in full and received in the Board's office before midnight by the
5	close of business on Jan	nuary 31 of each year. Any renewal applications received after that date will require a late
6	<u>fee.</u> year for renewal v	vithout a fee for late filing. All applications submitted to the Board must be completed in full
7	History Note:	Authority G.S. 90-227;
8		Eff. September 3, 1976;
9		Readopted Eff. September 26, 1977;
10		Amended Eff, 2015; February 1, 2008; April 1, 2003;
11		August 1, 1998; May 1, 1989.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16I .0102

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In (a), line 7, how does one know if the sponsor is Board approved? Is this governed by other Rules?

On line 8, what does "successfully" mean?

In (c), line 15, please insert a comma after "education"

In (d), I take it you are relying upon G.S. 90-229 for the authority to require additional tests for addictions?

#### § 90-229. Disciplinary measures.

- (a) The North Carolina State Board of Dental Examiners shall have the power and authority to (i) Refuse to issue a license to practice dental hygiene; (ii) Refuse to issue a certificate of renewal to practice dental hygiene; (iii) Revoke or suspend a license to practice dental hygiene; [and] (iv) Invoke such other disciplinary measures, censure or probative terms against a licensee as it deems proper; in any instance or instances in which the Board is satisfied that such applicant or licensee:
- (4) Is a chronic or persistent user of intoxicants, drugs or narcotics to the extent that the same impairs her ability to practice dental hygiene;

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 21 NCAC 16I .0102 is amended as published in 28:22 NCR 2718 with changes as follows: 2 3 CONTINUING EDUCATION REQUIRED 21 NCAC 16I .0102 (a) As a condition of license renewal, each dental hygienist must shall complete a minimum of six clock hours of 4 5 continuing education each calendar year. Any or all the hours may be acquired through self study courses. To count 6 toward the mandatory continuing education requirement, For self study courses to be counted towards this 7 continuing education requirement, must shall be related to clinical patient care and offered by a board approved 8 sponsor. the The hygienist must shall successfully complete a test following the course and obtain a certificate of 9 completion. 10 (b) Current certification in CPR is required in addition to the mandatory continuing education hours. 11 (b) (c) A dental hygienist who can demonstrate a disabling condition may request a variance in required continuing 12 education hours during a particular period. Written documentation of a disabling condition that interferes with the 13 hygienist's ability to complete the required hours shall be provided to the Board. The Board may grant or deny such 14 requests on a case by case basis, depending upon the nature of the disabling condition, its impact on the hygienist's ability to complete continuing education and the likely duration of the disability. basis according to this standard. In 15 considering the request, the Board may require additional documentation substantiating any specified disability. 16 17 (c) (d) If a licensee who has been exempted from continuing education requirements wishes to resume practice, the 18 licensee must shall complete continuing education courses in accordance with this Rule. The Board may require 19 licensees who have not practiced dental hygiene for more than a year to undergo a [bench]clinical test before allowing the licensee to resume practice where there is indication of inability to practice dental hygiene.] if there is 20 21 evidence that the licensee suffers from addiction or a mental or physical condition that impairs the licensee's ability 22 to practice hygiene competently. 23 24 Authority G.S. 90-225.1; History Note: 25 Eff. May 1, 1994; Amended Eff. \_\_\_\_\_, 2015; November 1, 2008; April 1, 2001; August 1, 1998. 26 27

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16I .0105

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In (a), line 6, please remove the underline from "may"

Isn't G.S. 90-227 also applicable here? If so, please add it to your History Note.

#### § 90-227. Renewal certificates.

- (a) The Board shall issue annual renewal certificates to licensed dental hygienists.
- (b) The Board shall have the authority to establish in its rules and regulations:
  - (1) The form of application for renewal certificates;
  - (2) The time the application must be submitted;
  - (3) The type of certificate to be issued;
  - (4) How the certificate must be displayed;
  - (5) The penalty for late application;
  - (6) The automatic loss of license if applications are not submitted. (1945, c. 639, s. 6; 1971, c. 756, s. 6.)

2 3 21 NCAC 16I .0105 PENALTY/NON-COMPLIANCE/CONTINUING EDUCATION REQUIREMENT 4 (a) If the applicant for a renewal certificate fails to provide proof of completion of reported continuing education hours for the current year as required by 21 NCAC 161 Rules .0102 and .0104 of this Subchapter, the Board shall 5 6 <del>may</del> refuse to issue a renewal certificate for the year for which renewal is sought until such time as the licensee 7 completes the required hours of education for the current year and meets all other qualifications for renewal. If the 8 applicant applies for credit for continuing education hours or a reduction of continuing education hours and fails to 9 provide the required documentation upon request, the Board may shall refuse to issue a certificate of renewal until 10 such time as the applicant meets the qualifications for credit. 11 (b) If an applicant fails to meet the qualifications for renewal, including completing the required hours of continuing 12 education and delivering the required documentation to the Board's office before midnight the close of business on March 31 of each year, the license becomes void and must be reinstated. and the holder must petition the Board for 13 14 reinstatement. 15 Authority G.S. 90-225.1; 16 History Note: 17 Eff. May 1, 1994; Amended Eff. \_\_\_\_\_, 2015; February 1, 2008; April 1, 2001. 18

21 NCAC 16I .0105 is amended as published in 28:22 NCR 2718, with changes, as follows:

1

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16I .0107

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

On line 4, please either insert a comma after "certificate" or remove the comma after "fee"

1 21 NCAC 16I .0107 is amended as published in 28:22 NCR 2718 with changes as follows: 2 3 21 NCAC 16I .0107 LICENSE VOID UPON FAILURE TO RENEW 4 If an application for a renewal certificate accompanied by the renewal fee, plus fee and the additional late filing fee, is not received in the Board's office before midnight the close of business on March 31 of each year, the license 5 becomes void and [must be reinstated.] the hygienist must petition the Board for reinstatement. void. Should the 6 7 license become void due to failure to timely renew, the applicant must apply for reinstatement. 8 9 History Note: Authority G.S. 90-227; 10 Eff. September 3, 1976; 11 Readopted Eff. September 26, 1977; Transferred and Recodified from 21 NCAC 16I .0003 Eff. May 1, 1994; 12 13 Amended Eff. \_\_\_\_\_, 2015; February 1, 2008; April 1, 2003; 14 August 1, 2002.

1 21 NCAC 16I .0108 is amended as published in 28:22 NCR 2718 as follows: 2 3 21 NCAC 16I .0108 FORM OF CERTIFICATE 4 The certificate of renewal of license shall bear the original license number, a serial number which need not be the 5 serial number of the original license issued, the full name of the applicant, and the date of issuance. 6 7 History Note: Authority G.S. 90-222; 90-223; 90-227; 8 9 Eff. September 3, 1976; Readopted Eff. September 26, 1977; 10 Amended Eff. May 1, 1991; 11 Transferred and Recodified from 21 NCAC 16I .0004 Eff. May 1, 1994; 12 Amended Eff. \_\_\_\_\_\_, 2015. 13

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16I .0110

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In Item (4), I take it your regulated public will know when they are practicing under probationary terms?

In the History Note, G.S. 90-28 governs the Board's authority over dentists, and this Rule addresses hygienists. Wouldn't G.S. 90-222 or 223 be a more appropriate citation, as that governs the Boards authority over hygienists?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 2	21 NCAC 16I .	0110 is amended as published in 28:22 NCR 2718 as follows:
3	21 NCAC 16I .	0110 DEFINITIONS
4	The following d	lefinitions apply only to this Subchapter:
5	(1)	"Dental Board" the North Carolina State Board of Dental Examiners.
6	(2)	"Eligible licensees" all hygienists currently licensed by and in good standing with the North
7		Carolina State Board of Dental Examiners who are serving in the armed forces of the United
8		States and who are eligible for an extension of time to file a tax return pursuant to G.S. 105-249.2
9	(3)	"Extension period" the time period disregarded pursuant to 26 U.S.C. 7508.
10	(4)	"Good standing" – a hygienist whose license is not suspended or revoked. revoked and who is no
11		practicing under any probationary terms.
12		
13 14 15	History Note:	Authority G.S. 90-28; 93B-15; Eff. April 1, 2010; Amended Eff. 2015: May 1, 1989

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16I .0111

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

On line 5, please confirm you meant to strike "requirements." I think that language should remain, and believe you may have inadvertently struck "requirements." to remove the period without replacing it with "requirements"

In the History Note, G.S. 90-28 governs the Board's authority over dentists, and this Rule addresses hygienists. Wouldn't G.S. 90-222 or 223 be a more appropriate citation, as that governs the Boards authority over hygienists?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 2	21 NCAC 16I .0111 is	amended as published in 28:22 NCR 2718 with changes as follows:
3	21 NCAC 16I .0111	EXEMPTIONS GRANTED
4	(a) Eligible licensees,	as defined in Rule .0110 of this Section, are granted a waiver of their mandatory continuing
5	education requirements	- for the period allowed pursuant to G.S. 105-249.2
6	(b) Eligible licensees a	are granted an extension for the period allowed pursuant to G.S. 105-249.2 in which to pay
7	license renewal fees a	nd comply with all other requirements imposed by the Dental Board as conditions for
8	maintaining <u>licensure</u> . 1	icensure and current sedation permits.
9		
10	History Note:	Authority G.S. 90-28; 93B-15;
11		Eff. April 1, 2010;
12		Amended Eff2015.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16J .0101

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

Please submit a signed copy of the Submission for Permanent Rule Form.

In Paragraph (a), line 5, I take it your regulated public knows what a "safety hazard" is?

In (e), line 11, I take it your regulated public understands what "comfortable" is?

In (f) and (g), there is no Department of Environment, Health and Natural Resources. You may wish to cite the Department of Environment and Natural Resources.

In the History Note, I don't think the citation to G.S. 90-23 is applicable here and recommend removal.

## § 90-23. Officers; common seal.

The North Carolina State Board of Dental Examiners shall, at each annual meeting thereof, elect one of its members president and one secretary-treasurer. The common seal which has already been adopted by said Board, pursuant to law, shall be continued as the seal of said Board. (1935, c. 66, s. 2.)

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 21 NCAC 16J .0101 is amended as published in 28:22 NCR 2718 with changes as follows: 2 3 **PREMISES** 21 NCAC 16J .0101 4 (a) The premises of a dental facility shall be kept neat and clean and free of accumulated rubbish and any 5 substances of a similar nature which that create a public health or safety hazard. nuisance. 6 (b) The premises shall be kept free of all insects and vermin. Proper methods for their eradication or control shall 7 <del>be utilized.</del> 8 (c) Water of a safe, sanitary quality quality, from a source approved by the health officer, shall be piped under 9 pressure, and in an approved manner, to all equipment and fixtures where the use of water is required. 10 (d) All plumbing shall be in accordance with the local plumbing ordinances. 11 (e) Comfortable and sanitary conditions for patients and employees shall be maintained constantly, at all times. 12 (f) All liquid and human waste, including floor wash water, shall be disposed of through trapped drains into a public 13 sanitary sewer system in localities where such system is available. In localities where a public sanitary system is not 14 available, liquid and human waste shall be disposed of in a manner approved by the Environmental Health Section of the Division of Health Services, State Department of Human Resources. the state Department of Environment, 15 16 Health and Natural Resources. 17 (g) There shall be adequate functioning toilet facilities on the premises of every dental office. They shall conform to standards of the the state Department of Environment, Health and Natural Resources. Environmental Health 18 Section of the Division of Health Services, State Department of Human Resources. 19 (h) No animals, except certified assistance animals required to assist disabled individuals, [are] shall be allowed in 20 21 any area of a dental office where clinical work is being performed. 22 23 History Note: Authority G.S. 90-23; 90-41(a)(23); 90-48; 24 Eff. September 3, 1976;

Readopted Eff. September 26, 1977;

Amended Eff. May 1, 1989; \_\_\_\_\_, 2015.

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AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16K .0103

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

Please submit a signed copy of the Submission for Permanent Rule Form.

I take it your regulated public knows who the official is referenced on line 4?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 21 NCAC 16K .0103 is amended as published in 28:22 NCR 2718 as follows: 2 3 21 NCAC 16K .0103 INSTRUCTORS TO BE APPROVED 4 All dentists acting as instructors in dental school extension facilities shall be approved by that official of the School 5 of Dentistry a North Carolina school of dentistry who is generally responsible for faculty appointments. 6 7 History Note: Authority G.S. 90-29(c)(4); 8 9 Eff. September 3, 1976; Readopted Eff. September 26, 1977; 10 Amended Eff. \_\_\_\_\_\_, 2015; May 1, 1989. 11

1 2	21 NCAC 16K .0106	is amended as published in 28:22 NCR 2718 with changes as follows:
3	21 NCAC 16K .0106	REPORTS TO BOARD
4	Every North Carolina	school of dentistry The School of Dentistry shall keep the Board continuously informed as to
5	of the:	
6	(1) the location and no	ture of kind of patients seen at each dental school extension facility;
7	(2) the names of the st	udents assigned <del>thereto,</del> there; and
8	(3) the names and qua	lifications of all instructors functioning therein.
9		
10	History Note:	Authority G.S. $90-29(c)(4)$ ;
11		Eff. September 3, 1976;
12		Readopted Eff. September 26, 1977;
13		Amended Eff, 2015; May 1, 1989.
14		

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16N .0502

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In (a), please insert a comma after "duties" on line 4.

Just so I understand, if the individual didn't know of the right to a hearing, how would they know they may request one?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 2	21 NCAC 16N	.0502 is amended as published in 28:22 NCR 2718 as follows:
3	21 NCAC 16N	.0502 REQUEST FOR HEARING
4	(a) Any <del>time a</del>	undividual who believes his or her rights, duties or privileges have been affected by the Board's
5	administrative a	action, but who has not received a notice of a right to an administrative hearing, that individual may
6	file a request fo	r hearing.
7	(b) The individ	dual shall submit a request to the Board's office, with the request bearing the notation: REQUEST
8	FOR ADMINIS	STRATIVE HEARING. The request should contain containing the following information:
9	(1)	Name and address of the petitioner;
10	(2)	A concise statement of the action taken by the Board which is challenged;
11	(3)	A concise statement of the way in which petitioner has been aggrieved; and
12	(4)	A clear and specific statement of request for a hearing.
13		
14		
15 16 17 18	History Note:	Authority G.S. 150B-38; Eff. August 25, 1977; Amended Eff, 2015; May 1, 1989; March 1, 1985; November 20, 1980.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16N .0504

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In (a)(2), line 10, please insert a comma after "evidence"

In (a)(2), line 11, what the "Investigative Panel"? I don't see that term used elsewhere in this Subchapter.

In (b), line 12, please insert a comma after "safety"

On line 14, I know you are reciting statute, but does the Board have an idea of what "promptly" means in this context?

1 21 NCAC 16N .0504 is amended as published in 28:22 NCR 2718 with changes as follows: 2 3 NOTICE OF HEARING 21 NCAC 16N .0504 (a) The Board shall give the party or parties in a contested case a notice of hearing not less than 15 days before the 4 5 hearing. Said notice shall contain the following information, in addition to the items specified in G.S. 150B-38(b): 6 (1) The name, position, address and telephone number of a person at the offices of the Board to 7 contact for further information or discussion; and 8 (2) The date, time, and place for a prehearing conference, if any; and 9 (3) (2) Any other information deemed relevant to informing the parties as to the procedure of the hearing. A statement explaining that the Respondent may be represented by counsel, testify, offer evidence 10 and cross examine the Investigative Panel's witnesses at the hearing. 11 12 (b) If the Board determines that the public health, safety or welfare requires such action, it may issue an order summarily suspending a license, license pursuant to G.S. 150B-3. Upon service of the order, the licensee to whom 13 14 the order is directed shall immediately cease practicing in North Carolina. The Board shall promptly give notice of 15 hearing pursuant to G.S. 150B-38 following service of the order. The suspension shall remain in effect pending 16 issuance by the Board of a final agency decision pursuant to G.S. 150B-42. 17 18 History Note: Authority G.S. 150B-3; 150B-38; 19 Eff. August 25, 1977; 20 Amended Eff. \_\_\_\_\_\_, 2015; May 1, 1989; April 1, 1988; October 1, 1986; November 20, 1980. 21

1 21 NCAC 16N .0505 is amended as published in 28:22 NCR 2718 with changes as follows: 2 3 WHO SHALL HEAR CONTESTED CASES 21 NCAC 16N .0505 4 All administrative hearings will shall be conducted by the Board, a panel consisting of a majority of Board members 5 members, eligible to vote on the issue, or an administrative law judge designated to hear the case pursuant to G.S. 150B-40(e). 6 7 8 Authority G.S. 150B-38; 150B-40; History Note: 9 Eff. August 25, 1997; Amended Eff. \_\_\_\_\_, 2015; March 1, 1988; 10 11 October 1, 1986; November 20, 1980.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16N .0506

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In (b)(2), line 8, please replace the comma after "petitioner" with a semicolon.

In (d), line 18, please insert a comma after "evidence"

1 2	21 NCAC 16N .0	506 is amended as published in 28:22 NCR 2718 with changes as follows:
3	21 NCAC 16N .0	9506 PETITION FOR INTERVENTION
4	(a) A person des	iring to intervene in a contested case must shall file a written petition with the Board's office. The
5	request <u>shall</u> <del>shot</del>	bear the notation: PETITION TO INTERVENE IN THE CASE OF (NAME OF CASE).
6	(b) The petition	shall must include the following information:
7	(1)	The the name and address of petitioner;
8	(2)	The the business or occupation of petitioner, where relevant;
9	(3)	A the full identification name and citation of the hearing in which petitioner is seeking to
10		intervene;
11	(4)	[The] the [statutory or non-statutory] grounds for intervention if any, if not, so state; or a statement
12		that no grounds exist;
13	(5)	Any any claim or defense in respect to which intervention is sought; and
14	(6)	A a summary of the arguments or evidence petitioner seeks to present.
15	(c) The person de	esiring to intervene shall serve copies of the petition on all parties to the case.
16	(d) If the Board	determines to allow intervention, it shall send written notice to the petitioner and all parties. notice
17	of that decision v	will be issued promptly to all parties and to the petitioner. In cases of discretionary intervention,
18	such notification	shall will include a statement of any limitations of time, subject matter, evidence or whatever else
19	<del>is deemed</del> <u>the Bo</u>	ard deems necessary which that are imposed on the intervenor.
20	(e) If the Board	decides Board's decision is to deny intervention it shall send written notice to the petitioner and all
21	parties, stating th	ne reasons for the denial. petitioner will be notified promptly. Such notice will be in writing,
22	identifying the re	asons for the denial, and will be issued to the petitioner and to all parties.
23		
24	highlighting and	strike thrus are wrong
25	History Note:	Authority G.S. 150B-38;
26		Eff. August 25, 1977;
27		Amended Eff, 2015; May 1, 1989; March 1, 1985; November 20, 1980.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16N .0508

**DEADLINE FOR RECEIPT: Friday, March 13, 2015** 

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In (d), line 18, I suggest starting the sentence, "The petitioner..."

In (e)(5), line 28, please insert a comma after "disqualified"

On line 29, I recommend replacing "such" with "the"

21 NCAC 16N .0508 is amended as published in 28:22 NCR 2718 with changes as follows:

1 2 3

#### 21 NCAC 16N .0508 DISQUALIFICATION OF BOARD MEMBERS

- 4 (a) Self Disqualification. If for any reason a Board member determines that personal bias or other factors render
- 5 that member unable to hear a contested case and perform all duties in an impartial manner, that Board member shall
- 6 voluntarily decline to participate in the hearing or decision.
- 7 (b) Petition for Disqualification. If for any reason any party in a contested case believes that a Board member is
- 8 personally biased or otherwise unable to hear a contested case and perform all duties in an impartial manner, the
- 9 party may file a sworn, notarized affidavit with the Board. The title of such affidavit shall should bear the notation:
- 10 AFFIDAVIT OF DISQUALIFICATION OF BOARD MEMBER IN THE CASE OF (NAME OF CASE).
- 11 (c) Contents of Affidavit. The affidavit shall must state all facts the party deems to be relevant to the
- 12 disqualification of the Board member.
- 13 (d) Timeliness and Effect of Affidavit. An affidavit of disqualification shall will be considered timely if filed ten 10
- days before commencement of the hearing. Any other affidavit shall will be considered timely provided it is filed at
- 15 the first opportunity after the party becomes aware of facts which give rise to a reasonable belief that a Board
- member may be disqualified under this Rule. When a petition for disqualification is filed less than ten 10 days
- before or during the course of a hearing, the hearing shall continue with the challenged Board member sitting.
- Petitioner shall have the opportunity to present evidence supporting his or her petition, and the petition and any
- evidence relative thereto presented at the hearing shall be made a part of the record. The Board, before rendering its
- 20 decision, shall decide whether the evidence justifies disqualification. In the event of disqualification, the
- 21 disqualified member shall will not participate in further deliberation or decision of the case.
- 22 (e) Procedure for Determining Disqualification:
- 23 (1) The Board shall will appoint a Board member to investigate the allegations of the affidavit.
  - (2) The investigator shall will report to the Board the findings of the investigation.
  - (3) The Board shall decide whether to disqualify the challenged individual.
    - (4) The person whose disqualification is to be determined <u>shall will</u> not participate in the decision but may be called upon to furnish information to the other members of the Board.
    - When a Board member is disqualified prior to the commencement of the hearing or after the hearing has begun, such hearing shall will continue with the remaining members sitting provided that the remaining members still constitute a majority of the Board. Board who are eligible to vote.
    - (6) If a majority of the members of the Board who are eligible to vote three or more members of the Board are disqualified pursuant to this Rule, the Board shall petition the Office of Administrative Hearings to appoint an administrative law judge to hear the contested case pursuant to G.S. 150B-40(e).

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- 37 *History Note: Authority G.S. 150B-38; 150B-40;*
- 38 Eff. August 25, 1977;

1 Amended Eff. \_\_\_\_\_, 2015; May 1, 1989; 2 October 1, 1986; November 20, 1980. 3 .

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16N .0603

**DEADLINE FOR RECEIPT:** Friday, March 13, 2015

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made:

In (a)(2), line 7, please replace the comma after "specificity" with a semicolon.

In (a)(3), line 8, please underline "(3)" and end the Subparagraph after "subpoenaed" so it reads, "all persons to be subpoenaed; and"

Then make "if known..." (a)(4), and please properly format the text with indention. (Note the same regarding indention on line 15).

In (c), please underline "(1)," "(2)", "(3)," and "(4)" on lines 12-15, and "(5)" and "(6)" on lines 17 and 18.

In (c)(4), line 15, please insert a comma after "records"

In (c)(5), line 17, please replace the comma after "issued" with as semicolon.

In (c)(6), I am not sure you need to keep "return of service" in quotation marks, given the reorganization of the rule. However, I do think it should read, "return of service <u>form.</u>" given the language in (d).

In (e), line 23, I am confident this was inadvertent, but the agency published the rule to remove "Subpoenas shall be served" It is understandable that you are keeping it, but please highlight it to conform to Rule 26 NCAC 02C .0405(b)(2)(c).

On line 24, I suggest stating, "Civil Procedure, as set forth in G.S. 1A-1."

Beginning on Page 1, line 34, please make current (f) into "(g)" and so forth.

On Page 2, current (i), line 6, I believe you meant to strike, rather than underline, "[shall]"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 21 NCAC 16N .0603 is amended as published in 28:22 NCR 2718 with changes as follows:

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#### **SUBPOENAS** 21 NCAC 16N .0603

- (a) A request for subpoenas for the attendance and testimony of witnesses or for the production of documents, either at a hearing or for the purposes of discovery, shall-shall:
- (1) be made in writing to the Board;
- 7 (2) shall identify any documents sought with specificity, and
- 8 (3) shall include the full name and home or business address of all persons to be subpoenaed and, if known, 9 the date, time, and place for responding to the subpoena.
- 10 (b) The Board shall issue the requested subpoenas within three days of the receipt of the request.
- 11 (b) (c) Subpoenas shall contain:
- 12 (1) the caption of the case;
  - (2) the name and address of the person subpoenaed;
  - (3) the date, hour and location of the hearing in which the witness is commanded to appear;
- 15 (4) a particularized description of the books, papers, records or objects the witness is directed to bring with 16 him to the hearing, if any;
  - (5) the identity of the party on whose application the subpoena was issued, and
  - (6) a "return of service".
- (d) The "return of service" form, as filled out, shows the name and capacity of the person serving the subpoena, the 20 date on which the subpoena was delivered to the person directed to make service, the date on which service was
- 21 made, the person on whom service was made, the manner in which service was made, and the signature of the
- 22 person making service.
- 23 (e) Subpoenas shall be served by the sheriff of the county in which the person subpoenaed resides, as permitted
- 24 by Rule 45 of the North Carolina Rules of Civil Procedure. when the party requesting such subpoena prepays the
- 25 sheriff's service fee. The subpoena shall be issued in duplicate, with a "return of service" form attached to each
- 26 copy. A person serving the subpoena shall fill out "return of service" form for each copy and promptly return one
- 27 copy of the subpoena, with the attached "return of service" form completed, to the Board.
- 28 (f) Any person receiving a subpoena from the Board may object thereto by filing a written objection to the
- 29 subpoena with the Board's office. (e) Such objection shall include a concise, but complete, statement of all reasons
- 30 why the subpoena should be revoked or modified. These reasons may include lack of relevancy sought, or any other
- 31 any basis reasons sufficient in law for holding the subpoena invalid, such as that the evidence is privileged, that
- 32 appearance or production would be so disruptive as to be unreasonable in light of the significance of the evidence
- 33 sought, or other undue hardship.
- 34 (f) Any objection to a subpoena shall must be served on the party who requested the subpoena simultaneously with
- 35 the filing of the objection with the Board.
- 36 (g) The party who requested the subpoena, at such time as may be granted by the Board, may file a written response
- 37 to the objection. The written response shall be served by the requesting party on the objecting witness
- 38 simultaneously with the filing of the response with the Board.

- 1 (h) After receipt of the objection and response thereto, if any, the Board shall issue a notice to the party who
- 2 requested the subpoena and the party challenging the subpoena, and may notify any other party or parties of an open
- a hearing, to be scheduled as soon as practicable, at which evidence and testimony may be presented, limited to the
- 4 narrow questions raised by the objection and response.
- 5 (i) Promptly after the close of such hearing, the The majority of the Board members hearing the contested case
- 6 shall will rule on the challenge and issue a written decision. A copy of the decision [shall-] will be issued decision to
- 7 all parties and made a part of the record.
- 8 History Note: Legislative Objection Lodged Eff. November 20, 1980;
- 9 Legislative Objection Removed Eff. March 19, 1981;
- 10 Authority G.S. 90-28; 90-48; 90-223(b); 150B-39; 150B-40
- 11 Eff. August 25, 1977;
- 12 Amended Eff. \_\_\_\_\_, 2015; May 1, 1989; March 1, 1988;
- 13 October 1, 1986; March 1, 1985.