

1 10A NCAC .0102 is adopted with changes as published in 29:11 NCR 1298-1301 as follows:

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3 **10A NCAC 43K .0102 SCREENING REQUIREMENTS**

4 (a) All medical facilities and attending providers of a neonate or infant shall assure the following:

5 (1) Screening of every neonate for critical congenital heart defects (CCHD) using pulse oximetry shall  
6 be performed at 24 to 48 hours of age using a written protocol developed by the provider based  
7 upon and in accordance with the most current recommendations from the American Academy of  
8 Pediatrics and American Heart Association (AAP/AHA) which are incorporated by reference  
9 including subsequent amendments and editions, unless a diagnostic neonatal echocardiogram has  
10 been performed. A copy of the recommendations is available for inspection at the NC Division of  
11 Public Health, Women's and Children's Health Section, Children and Youth Branch, 5601 Six  
12 Forks Road, Raleigh, NC 27609. In addition, the recommendations can be accessed at the  
13 American Academy of Pediatrics website at:  
14 [http://pediatrics.aappublications.org/content/128/5/e1259.full.pdf+html?sid=85e81711-f9b8-43d1-](http://pediatrics.aappublications.org/content/128/5/e1259.full.pdf+html?sid=85e81711-f9b8-43d1-a352-479168895a72)  
15 [a352-479168895a72.](http://pediatrics.aappublications.org/content/128/5/e1259.full.pdf+html?sid=85e81711-f9b8-43d1-a352-479168895a72)

16 (2) Screening of a neonate for CCHD who is born in a free-standing birthing center or home may  
17 occur as early as 6 hours of age but shall not occur later than 48 hours of age using the AAP/AHA  
18 recommendations.

19 ~~(3)~~(2) Screening of neonates and infants in neonatal intensive care units for critical congenital heart  
20 defects using pulse oximetry screening shall be performed using a written protocol ~~protocol~~ based  
21 on the AAP/AHA recommendations as soon as the neonate or infant is ~~stable~~ stable, as determined  
22 by the attending provider, and off oxygen and before discharge unless a diagnostic  
23 echocardiogram is performed on the neonate or infant after birth and prior to discharge from the  
24 medical facility.

25 ~~(4)~~(3) Only U.S. Food and Drug Administration approved pulse oximetry equipment is used and  
26 maintained to screen the neonate or infant for the presence of critical congenital heart defects.

27 (b) Parents or guardians may object to the critical congenital heart defects screening at any time before the  
28 screening is performed in accordance with G.S. 130A-125.

29 (c) All medical facilities and attending providers of the neonate or infant shall have and implement a written  
30 protocol developed by the provider ~~plan~~ for evaluation and follow up of positive critical congenital heart defect  
31 screenings.

32 (1) Evaluation and follow up of a positive screening for all neonates shall occur as soon as possible  
33 but no later than 24 hours of obtaining a positive screening result. Evaluation and follow-up shall  
34 be in accordance with the most current published recommendations from the American Academy  
35 of Pediatrics and American Heart Association (AAP/AHA) which is incorporated by reference  
36 including subsequent amendments and editions. A copy of the recommendations is available for  
37 inspection at the NC Division of Public Health, Women's and Children's Health Section, Children

1 and Youth Branch, 5601 Six Forks Road, Raleigh, NC 27609. In addition, the recommendations  
2 can be accessed at the American Academy of Pediatrics website at:  
3 [http://pediatrics.aappublications.org/content/128/5/e1259.full.pdf+html?sid=85e81711-f9b8-43d1-  
5 a352-479168895a72](http://pediatrics.aappublications.org/content/128/5/e1259.full.pdf+html?sid=85e81711-f9b8-43d1-<br/>4 a352-479168895a72).

6 ~~(2) For neonates with positive screenings who are born in a birthing facility, a home, or other location,~~  
7 ~~the AAP/AHA recommended evaluation and follow up shall occur as soon as possible but no later~~  
8 ~~than 24 hours after obtaining the positive screening result.~~

9  
10 (2) ~~(3)~~—Attending providers of neonates and infants in neonatal intensive care units must have a written  
11 protocol developed by the provider process for evaluation and follow up of positive screenings in  
12 place at their medical facility.

13 (3) ~~(4)~~ Options for neonatal or infant echocardiograms may include on-site, telemedicine, or by transfer  
14 or referral to an appropriate medical facility with the capacity to perform and interpret a neonatal  
15 or infant echocardiogram. Echocardiograms must be interpreted as recommended by the most  
16 current recommendations from the AAP/AHA, which are incorporated by reference including  
17 subsequent amendments and editions. A copy of the recommendations is available for inspection  
18 at the NC Division of Public Health, Women's and Children's Health Section, Children and Youth  
19 Branch, 5601 Six Forks Road, Raleigh, NC 27609. In addition, the recommendations can be  
20 accessed at the American Academy of Pediatrics website at:  
21 [http://pediatrics.aappublications.org/content/128/5/e1259.full.pdf+html?sid=85e81711-f9b8-43d1-  
23 a352-479168895a72](http://pediatrics.aappublications.org/content/128/5/e1259.full.pdf+html?sid=85e81711-f9b8-43d1-<br/>22 a352-479168895a72).

24 *History Note:* Authority G.S. 130A-125;  
25 Temporary Adoption Eff. July 25, 2014;  
26 Eff. Date April 1, 2015.

1 10A NCA 43K .0103 is adopted with changes as published in 29:11 NCR 1298-1301 as follows:

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3 **10A NCAC 43K .0103 REPORTING REQUIREMENTS**

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5 (a) All medical facilities and attending providers of neonates or infants performing critical congenital heart defect  
6 (CCHD) screening shall report the information described below about positive screenings to a statewide CCHD  
7 database maintained by the Perinatal Quality Collaborative of North Carolina (PQCNC). The following information  
8 must be reported by medical facilities and attending providers within seven days of all positive screenings:

- 9 (1) date and time of birth of the neonate or infant, gestational age, and the medical facility or birth  
10 location, and  
11 (2) age in hours at time of screening; all pulse oximetry saturation values, including initial,  
12 subsequent, and final screening results; final diagnosis if known; any known interventions and  
13 treatment, and any need for transport or transfer; and the location of the transfer or transport if  
14 known.

15 (b) Within two weeks of receiving a positive screening, PQCNC shall report the above information from the CCHD  
16 database to the NC Birth Defects Monitoring Program using ~~a process that provides~~ a unique identifier generated by  
17 the CCHD database for the neonate or infant. The unique identifier shall be retained by the source medical facility  
18 or attending provider for help with identification of the neonate or infant.

19 (c) All medical facilities and attending providers of neonates or infants performing critical congenital heart defect  
20 screening shall report aggregate information described in Sub-paragraphs (e)(1) through (e)(7) ~~below~~ quarterly and  
21 no later than 15 days after the end of each quarter of the state fiscal year to a statewide CCHD database maintained  
22 by the Perinatal Quality Collaborative of North Carolina (PQCNC).

23 (d) PQCNC shall report the aggregate information described in Sub-paragraphs (e)(1) through (e)(7) ~~below~~ to the  
24 NC Birth Defects Monitoring Program within 30 days after the end of each quarter of the state fiscal year.

25 (e) The required quarterly aggregate information from medical facilities and attending providers of neonates or  
26 infants reported to PQCNC and that PQCNC reports to the NC Birth Defects Monitoring Program shall include the  
27 total unduplicated counts of:

- 28 (1) live births;  
29 (2) neonates and infants who were screened;  
30 (3) negative screenings;  
31 (4) positive screenings;  
32 (5) neonates or infants whose parents or guardians objected to the critical congenital heart defect  
33 screenings;  
34 (6) transfers into the medical facility, not previously screened; and  
35 (7) neonates and infants not screened and the reasons if known which include a diagnostic  
36 echocardiogram being performed after birth and prior to discharge, transfer out of the medical  
37 facility before screening, or death .  
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1 *History Note:* *Authority G.S. 130A-125;*  
2 *Temporary Adoption Eff. July 25, 2014;*  
3 *Eff April 1, 2015.*

1 10A NCAC 43B.1304 is amended with changes as published in 29:11 NCR 1301-1304 as follows:

2 **10A NCAC 48B .1304 BENCHMARK 37**

3 (a) Benchmark: The local board of health shall assure the development, ~~implementation-implementation~~, and  
4 evaluation of local health services and programs to protect and promote the public's health.

5 (b) Activities:

6 (1) The local board of health or the consolidated human services director shall assure that a qualified  
7 local health ~~director, director has been appointed~~ in accordance with G.S. 130A-40 or ~~40.1, is in~~  
8 ~~place to lead the agency. 40.1~~

9 (2) The local board of health shall approve policies for the administration of local public health  
10 programs.

11 (3) The local board of health or the consolidated human services director shall describe and define the  
12 knowledge, skills, and abilities that must be met by the local health director, consistent with the  
13 requirements in G.S. 130A-40.

14 (4) The local board of health or the consolidated human services director shall review and approve the  
15 job description of the local health director.

16 (5) The ~~supervisor local board of health [or the consolidated human services director]~~ shall conduct an  
17 annual performance review of the health director.

18 (6) The local board of health or the consolidated human services director shall approve policies for the  
19 recruitment, retention and workforce development for agency staff.

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21 *History Note:* Authority G.S. 130A-34.1;  
22 Temporary Adoption Eff. January 1, 2006;  
23 Eff. April 1, 2015; October 1, 2006.

1 10A NCAC 43B.1305 is amended as published in 29:11 NCR 1301-1304 as follows:

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3 **10A NCAC 48B .1305 BENCHMARK 38**

4 (a) Benchmark: The local board of health shall participate in the establishment of public health goals and objectives.

5 (b) Activities:

6 (1) The local board of health shall annually review reports provided by the local health department on  
7 the community's health.

8 (2) The local board of health or the advisory committee on health shall review community health  
9 assessment data and citizen input used to plan and monitor progress toward health-related goals.

10 (3) The local board of health or the advisory committee on health shall assure that individuals,  
11 agencies, and organizations have the opportunity to participate in the development of goals,  
12 objectives and strategies for community health improvement.

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14 *History Note: Authority G.S. 130A-34.1;*  
15 *Temporary Adoption Eff. January 1, 2006;*  
16 *Eff. April 1, 2015; October 1, 2006.*

1 10A NCAC 43B.1306 is amended as published in 29:11 NCR 1301-1304 as follows:

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3 **10A NCAC 48B .1306 BENCHMARK 39**

4 (a) Benchmark: The local board of health shall assure the availability of resources to implement the essential  
5 services described in G.S. 130A-34.1(e)(2).

6 (b) Activities:

7 (1) The local board of health or the advisory committee on health shall communicate with the board of  
8 county commissioners, units of government and private foundations in support of local health  
9 department efforts to secure national, state and local financial resources.

10 (2) The local board of health shall review fiscal reports to assure essential services of public health are  
11 being provided in accordance with local, state and federal requirements.

12 (3) The local board of health shall annually review and approve the local health department budget  
13 and approve fees in accordance with G.S. 130A-39(g).

14 (4) The local board of health or the advisory committee on health shall communicate with the board of  
15 county commissioners, units of government and private foundations in support of the  
16 development, implementation and evaluation of public health programs and a community health  
17 improvement process.

18 (5) The local board of health shall assure that the proposed budget for the local health department  
19 meets maintenance of effort requirement in the consolidated agreement between the Division of  
20 Public Health and local health department.

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22 *History Note: Authority G.S. 130A-34.1;*  
23 *Temporary Adoption Eff. January 1, 2006;*  
24 *Eff. April 1, 2015; October 1, 2006.*

1 10A NCAC 43B.1307 is amended as published in 29:11 NCR 1301-1304 as follows:

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3 **10A NCAC 48B .1307 BENCHMARK 40**

4 (a) Benchmark: The local board of health or the advisory committee on health shall advocate in the community on  
5 behalf of public health.

6 (b) Activities:

7 (1) The local board of health or the advisory committee on health shall inform elected officials and  
8 community boards about community health issues.

9 (2) The local board of health or the advisory committee on health shall communicate support for the  
10 enactment and retention of laws and rules and the development of public health interventions that  
11 protect health and ensure safety.

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13 *History Note: Authority G.S. 130A-34.1;*  
14 *Temporary Adoption Eff. January 1, 2006;*  
15 *Eff. April 1, 2015; October 1, 2006.*