ORIGINAL 7/3//14

1. Rule-Making Agency: Office of the Commissioner of Ban		
	4 NCAC 03C .0101; 04 NCAC 03C .0102; 04 NCAC 03C .0103; 04	
NCAC 03C .0104; 04 NCAC 03C .0107; 04 NCAC 03C .0111	; 04 NCAC 03C .0112	
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
ADOPTION AMENDMENT X REPEAL	session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:		
X OSBM certified on: 03/26/14 RRC certifie	d on: Not Required	
6. Notice for Proposed Rule:		
·		
X Notice Required Notice of Text published on: 05/01/2014	•	
Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx		
Hearing on: 05/16/14		
Adoption by agency on: 07/30/14		
☐ Notice not required under G.S.:		
Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	. , , , , , , , , , , , , , , , , , , ,	
5.1)	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
X No	X No fiscal note required	
	1 110 Index index adjusted	
0 DEASC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	JN FOR ACTION	
	Legislation enacted in last General Assembly session	
X Agency Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal statute / cite:	Other:	
	'' '	
9B. Explain: Session Law 2012-56 repealed Articles 1 through	gh 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled	
"Regulation of Banks." These rules are being repealed becaus	te they are no longer necessary or just restate what is in the general	
statutes contained in the newly created Chapter 53C.		
10. Rule-making Coordinator: Lonnie E. Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center		
Raleigh, NC 27699-4309	Tomic E. Chistories	
Phone: (919) 715-7438		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken, Bank Supervision	Tymod Nomes I onnie E. Christonher	
Attorney	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator	
Phone: (919) 715-0082	Title. 1/010-making Coordinator	
E-Mail: kbosken@nccob.gov	OAH JISE ONI V	
RRC AND OAH USE ONLY		
Action taken:		
RECEIVED JUL 3 1 2014		
RRC Extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review		
Other:	·	



SUBMISSION FO	R PERMANENT RULE ORIGINAL	
1. Rule-Making Agency: Office of the Commissioner of Bar		
2. Rule citation & name (name not required for repeal):	04 NCAC 03C .0201	
3. Action: ADOPTION AMENDMENT X REPEAL 5. Action:	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification: X OSBM certified on: 03/26/14 RRC certified 6. Notice for Proposed Rule:	ed on: Not Required	
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/Fin Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:	ancialInstitutions/Banks/BankingRules.aspx	
 7. Fee. Rule establishes or increases a fee? (See G.S. 12-3.1) Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No 	8. Fiscal impact (check all that apply): ☐ State funds affected ☐ Environmental permitting of DOT affected and analysis submitted to Board of Transportation ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM X No fiscal note required	
9A. What prompted this action? Check all that apply: X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2012-56 repealed Articles 1 through	DN FOR ACTION Legislation enacted in last General Assembly session Cite Session Law: Petition for rule-making Other: gh 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled is no longer necessary and it just restates what is contained in the	
10. Rule-making Coordinator: Lonnie E. Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov Agency Contact, if any: Katie Bosken, Bank Supervision Attorney Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	RECEIVED JUL 3 1 2014	

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 0 NCAC 03C .0407	4 NCAC 03C .0403; 04 NCAC 03C .0404; 04 NCAC 03C .0405; 04		
3. Action: ADOPTION AMENDMENT X REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification: X OSBM certified on: 03/26/14 RRC certifie	d on:		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
 3.1) Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No 	 State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM X No fiscal note required 		
9. REASO	ON FOR ACTION		
"Regulation of Banks." These rules are being repealed because	Legislation enacted in last General Assembly session Cite Session Law: Petition for rule-making Other: th 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled e they are no longer necessary or they just restate what is in the		
statutes contained in the newly created Chapter 53C.			
10. Rule-making Coordinator: Lonnie E. Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or Rule-making Coordinator:		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov RRC AND	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator OAH USE ONLY		
Action taken:			
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review	RECEIVED JUL 3 1 2014		

SUBMISSION FOR PERMANENT RULE

7/31/14

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 0			
, , ,			
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite		
ADOPTION X AMENDMENT REPEAL	session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:			
X OSBM certified on: 03/26/14 RRC certified	d on: Not Required		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
3.1)	State funds affected		
 	Environmental permitting of DOT affected and		
Yes Agency submitted request for consultation on:	analysis submitted to Board of Transportation		
Consultation not required. Cite authority:	Local funds affected Substantial economic impact (≥\$1,000,000)		
	Substantial economic impact (\geq 51,000,000) Approved by OSBM		
X No	X No fiscal note required		

9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: X Agency			
Federal statute / cite:	Petition for rule-making		
Federal regulation / cite:	Other:		
9B. Explain: Session Law 2012-56 repealed Articles 1 through 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled "Regulation of Banks." This rule amendment seeks to clarify the language of the rule, meet the requirements of the newly created Chapter 53C, and make technical changes for consistency in our rules.			
10. Rule-making Coordinator: Lonnie E. Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center	1 6 10-1		
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Jonie C. Chestytes		
E-Mail: lechristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to		
E-Mauni recimiscopiios (gineraus ger	G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision	The Late of Challetonham		
Attorney	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator		
Phone: (919) 715-0082	Title, Rule-making Coordinator		
E-Mail: kbosken@nccob.gov	O OAH USE ONLY		
Action taken:			
RRC Extended period of review: RRC determined substantial changes:	RECEIVED JUL 3 1 2014		
Withdrawn by agency Subject to Legislative Review			
Other:	<u></u>		

SUBMISSION FOR PERMANENT RULE

7/31/14

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 0	4 NCAC .0902 Required Accounts		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite		
ADOPTION X AMENDMENT REPEAL	session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:			
X OSBM certified on: 03/26/14 RRC certifie	ed on: Not Required		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
3.1)	☐ State funds affected		
Yes	Environmental permitting of DOT affected and		
Agency submitted request for consultation on:	analysis submitted to Board of Transportation Local funds affected		
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)		
X No	Approved by OSBM		
	X No fiscal note required		
9. REASO 9A. What prompted this action? Check all that apply: X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	ON FOR ACTION Legislation enacted in last General Assembly session Cite Session Law: Petition for rule-making Other:		
9B. Explain: Session Law 2012-56 repealed Articles 1 through 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled "Regulation of Banks." This rule amendment seeks to clarify the language of the rule, meet the requirements of the newly created Chapter 53C, and make technical changes for consistency in our rules.			
	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center	1.0 8386		
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Fre E. Cheryler		
E-Mail: lchristopher@nccob.gov	*If this function has been deegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision	Thursd Names I amide E. Chuistember		
Attorney Phone: (919) 715-0082	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator		
E-Mail: kbosken@nccob.gov			
RRC AND OAH USE ONLY			
Action taken:			
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency	RECEIVED JUL 3 1 2014		
Subject to Legislative Review			



1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): (Records	04 NCAC .0903 Retention: Reproduction and Disposition of Bank		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite		
ADOPTION X AMENDMENT REPEAL	session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:			
X OSBM certified on: 03/26/14 RRC certified	ed on: Not Required		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
3.1)	State funds affected		
Yes	☐ Environmental permitting of DOT affected and		
Agency submitted request for consultation on:	analysis submitted to Board of Transportation Local funds affected		
Consultation not required. Cite authority:	☐ Substantial economic impact (≥\$1,000,000)		
	Approved by OSBM		
X No	X No fiscal note required		
	ON FOR ACTION		
9A. What prompted this action? Check all that apply:	T Y 114' d in least Command Accomply according		
X Agency Court order / cite:	☐ Legislation enacted in last General Assembly session Cite Session Law:		
Federal statute / cite:	Petition for rule-making		
Federal regulation / cite:	Other:		
9B. Explain: Session Law 2012-56 repealed Articles 1 through 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled "Regulation of Banks." This rule amendment seeks to clarify the language of the rule, meet the requirements of the newly created Chapter 53C, and make technical changes for consistency in our rules.			
10. Rule-making Coordinator: Lonnie E. Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center	1.6		
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Tomis E. Wilgelis		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to		
	G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision	There d Name a Lauria E. Christophan		
Attorney	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator		
Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Title. Ruic-making Coordinator		
RRC AND OAH USE ONLY			
Action taken:			
	DEGENOR		
_	RECEIVED JUL 3 1 2014		
RRC Extended period of review:			
RRC determined substantial changes: Withdrawn by agency			
Subject to Legislative Review			
Other:			

SUBMISSION FOR PERMANENT RULE 2/3///9

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 0	4 NCAC .0904 Letters of Credit		
	A D. C. D. L. C. D. D. C. C. D. C.		
3. Action: ADOPTION X AMENDMENT REPEAL REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:			
X OSBM certified on: 03/26/14 RRC certifie	d on: Not Required		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
 3.1) Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No 	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM X No fiscal note required		
9. REASO 9A. What prompted this action? Check all that apply:	ON FOR ACTION		
X Agency			
10. Rule-making Coordinator: Lonnie E. Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center	1. (184)		
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Jonnie E. Thistoyler		
E-Mail: lchristopher@nccob.gov	*If this function has been defegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator		
RRC AND OAH USE ONLY			
Action taken:	RECEIVED JUL 3 1 2014		
RRC Extended period of review: RRC determined substantial changes:			
Withdrawn by agency			
Subject to Legislative Review Other:			

SUBMISSION FOR PERMANENT RULE

7/31/14

1. Rule-Making Agency: Office of the Commissioner of Ban	ks	
2. Rule citation & name (name not required for repeal): 0	4 NCAC .0905 Investment Authority	
3. Action: X ADOPTION AMENDMENT REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	session law or general statement.	
X OSBM certified on: 04/09/14 RRC certifie	d on: Not Required	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/Fina	ancialInstitutions/Banks/BankingRules.aspx	
Hearing on: 05/16/14		
Adoption by agency on: 07/30/14		
Notice not required under G.S.: Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation Local funds affected	
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)	
X No	Approved by OSBM	
A IW	X No fiscal note required	
Q REASC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	NYFORMETION	
X Agency	☐ Legislation enacted in last General Assembly session	
Court order / cite:	Cite Session Law:	
Federal statute / cite: Federal regulation / cite:	Petition for rule-making Other:	
	_	
9B. Explain: Session Law 2012-56 repealed Articles I throug	th 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled requirements of N.C. Gen. Stat. 53C-5-2(a)(6) of the newly created	
Chapter 53C to give state-chartered banks authority to invest i	in mutual funds to the same extent as national banks.	
	·	
, ,	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1	
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Come Ellipste	
E-Mail: lchristopher@nccob.gov	*If this function has been relegated (reassigned) pursuant to	
A Contact if any Valis Danks Danks Commission	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney	Typed Name: Lonnie E. Christopher	
Phone: (919) 715-0082	Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	OAU USE ONLY	
RRC AND OAH USE ONLY Action taken:		
RECEIVED JUL 3 1 2014		
RRC Extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency Subject to Legislative Review		
Other:		



1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 04	4 NCAC .1001 Loan Documentation		
2.4.0	4. Exemption. Rule exempt from RRC review according to (cite		
3. Action: ADOPTION X AMENDMENT REPEAL	session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:			
X OSBM certified on: 03/26/14 RRC certified	d on: Not Required		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
3.1) □ Yes	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation		
Agency submitted request for consultation on: Consultation not required. Cite authority: X No	☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM		
	X No fiscal note required		
9. REASO 9A. What prompted this action? Check all that apply:	ON FOR ACTION		
X Agency	Legislation enacted in last General Assembly session		
Court order / cite:	Cite Session Law:		
Federal statute / cite:	Petition for rule-making Other:		
Federal regulation / cite: 9B. Explain: Session Law 2012-56 repealed Articles 1 through 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled "Regulation of Banks." This rule amendment seeks to clarify the language of the rule meet the requirements of the newly created Chapter 53C, and make technical changes for consistency in our rules.			
10. Rule-making Coordinator: Lonnie E. Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center	1:10:1		
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Somes C. Chestale		
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney Typed Name: Lonnie E. Christopher			
Phone: (919) 715-0082	Title: Rule-making Coordinator		
E-Mail: kbosken@nccob.gov	OAH LISE ONLY		
RRC AND OAH USE ONLY Action taken:			
Action taken.	RECEIVED JUL 3 1 2014		
RRC Extended period of review:			
RRC determined substantial changes: Withdrawn by agency			
Subject to Legislative Review	·		
Other:	•		

UKIGINAL

1. Rule-Making Agency: Office of the Commissioner of Banks			
2. Rule citation & name (name not required for repeal): 0	4 NCAC .1002 Leasing of Personal Property		
3. Action: ADOPTION X AMENDMENT REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:	Session law of general statute).		
X OSBM certified on: 03/26/14 RRC certifie	d on:		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.:			
Adoption by agency on: 7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
3.1)			
Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM X No fiscal note required		
L	ON FOR ACTION		
9A. What prompted this action? Check all that apply: X Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	 □ Legislation enacted in last General Assembly session □ Cite Session Law: □ Petition for rule-making □ Other: 		
9B. Explain: Session Law 2012-56 repealed Articles 1 through 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled "Regulation of Banks." This rule amendment seeks to clarify the language of the rule meet the requirements of the newly created Chapter 53C, and make technical changes for consistency in our rules.			
10. Rule-making Coordinator: Lonnie E. Christopher	11. Signature of Agency Head* or Rule-making Coordinator:		
Address: 4309 Mail Service Center			
Raleigh, NC 27699-4309	Come & Partit		
Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to		
is-realist termistopher (symbologic	G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision	Typed Name: Lonnie E. Christopher		
Attorney Phone: (919) 715-0082	Title: Rule-making Coordinator		
E-Mail: kbosken@nccob.gov			
RRC AND OAH USE ONLY			
Action taken:			
RRC Extended period of review:	RECEIVED JUL 3 1 2014		
RRC determined substantial changes: Withdrawn by agency			
Subject to Legislative Review			



1. Rule-Making Agency: Office of the Commissioner of Ban	ks		
2. Rule citation & name (name not required for repeal): 0	4 NCAC .1402 Basis For Computation and Maintenance		
3. Action: ADOPTION X AMENDMENT REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:	session law of general statute).		
X OSBM certified on: 03/26/14 RRC certifie	d on:		
6. Notice for Proposed Rule:			
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14			
Notice not required under G.S.: Adoption by agency on:			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
 3.1) Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No 	 State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM X No fiscal note required 		
9. REASO	ON FOR ACTION		
9A. What prompted this action? Check all that apply:			
X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2012-56 repealed Articles 1 through	Legislation enacted in last General Assembly session Cite Session Law: Petition for rule-making Other: the 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled		
"Regulation of Banks." This rule amendment seeks to clarify the language of the rule meet the requirements of the newly created Chapter 53C, and make technical changes for consistency in our rules.			
10. Rule-making Coordinator: Lonnie E. Christopher Address: 4309 Mail Service Center	11. Signature of Agency Head* or Rule-making Coordinator:		
Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.		
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator		
RRC AND OAH USE ONLY Action taken:			
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency	RECEIVED JUL 3 1 2014		
Subject to Legislative Review			

<i>Ul</i>	11		V
71	3//	1/4	

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC .1601 Fees, Copies and Publication Costs		
3. Action: ADOPTION X AMENDMENT REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
ADOPTION X AMENDMENT REPEAL 5. Agency obtained G.S. 150B-19.1 certification:	session law of general statute).	
X OSBM certified on: 03/26/14 RRC certifie	d on:	
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) X Yes Agency submitted request for consultation on: Consultation not required. Cite authority: 53C-7- 101(b); 53C-7-201; 53C-7-207(b); 53C-7-301(a); 53C-10- 102(b); 53C-10-103(a)(2); 53C-10-201 No	 State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) X Approved by OSBM X No fiscal note required 	
9. REASC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
X Agency		
Chapter 53C, and make technical changes for consistency in o	ut rules.	
10. Rule-making Coordinator: Lonnie E. Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 E-Mail: lchristopher@nccob.gov	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to	
k-ivian: ichristopher@nccoo.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator OAH USE ONLY	
Action taken:		
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency	RECEIVED JUL 3 1 2014	
Subject to Legislative Review		





1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 04 NCAC 1702 Establishment of a Non-Branch Banking Business Office (NBBQ)		
3. Action: ADOPTION X AMENDMENT REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification: X OSBM certified on: 03/26/14 RRC certifie		
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No	 State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM X No fiscal note required 	
9. REASC	ON FOR ACTION	
9A. What prompted this action? Check all that apply: X Agency		
10. Rule-making Coordinator: Lonnie E. Christopher Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438	11. Signature of Agency Head* or Rule-making Coordinator:	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov	G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Lonnie E. Christopher Title: Rule-making Coordinator OAH USE ONLY	
Action taken:		
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	RECEIVED JUL 3 1 2014	

ORIGINAL 7/31/14

1. Rule-Making Agency: Office of the Commissioner of Banks		
2. Rule citation & name (name not required for repeal): 0	4 NCAC .1801 Establishment of Courier Services	
2 Andrews	4. Exemption. Rule exempt from RRC review according to (cite	
3. Action: ADOPTION X AMENDMENT REPEAL	session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:		
X OSBM certified on: 03/26/14 RRC certifie	d on: Not Required	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx		
Hearing on: 05/16/14		
Adoption by agency on: 07/30/14 Notice not required under G.S.:	·	
Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation Local funds affected	
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)	
X No	Approved by OSBM X No fiscal note required	
	A No fiscal note required	
9. REASO	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
X Agency	Legislation enacted in last General Assembly session Cite Session Law:	
Court order / cite: Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other:	
9B. Explain: Session Law 2012-56 repealed Articles 1 through 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled "Regulation of Banks." This rule amendment seeks to clarify the language of the rule meet the requirements of the newly created Chapter 53C, and make technical changes for consistency in our rules.		
10. Rule-making Coordinator: Lonnie E. Christopher	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 4309 Mail Service Center	1 - 10.	
Raleigh, NC 27699-4309 Phone: (919) 715-7438	Jone E. Thysolo	
E-Mail: lchristopher@nccob.gov	*If this function has been delegated (reassigned) pursuant to	
77 (P 1 P 1 P 1 P 1 P 1 P 1 P 1 P 1 P 1 P	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Katie Bosken, Bank Supervision Attorney	Typed Name: Lonnie E. Christopher	
Phone: (919) 715-0082	Title: Rule-making Coordinator	
E-Mail: kbosken@nccob.gov	OAH HEE OM V	
RRC AND OAH USE ONLY Action taken:		
	RECEIVED JUL 3 1 2014	
RRC Extended period of review: RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review		
Other:		

SUBMISSION FOR PERMANENT RULE

-			
_	1.		
7	/२/	//4	
•	''	///	

1. Rule-Making Agency: Office of the Commissioner of Banks 2. Rule citation & name (name not required for repeal): 04 NCAC 03C .1802 4. Exemption. Rule exempt from RRC review according to (cite 3. Action: ☐ ADOPTION ☐ AMENDMENT X REPEAL session law or general statute): 5. Agency obtained G.S. 150B-19.1 certification: Not Required RRC certified on: X OSBM certified on: 03/26/14 6. Notice for Proposed Rule: X Notice Required Notice of Text published on: 05/01/2014 Link to Agency notice: http://www.nccob.org/Public/FinancialInstitutions/Banks/BankingRules.aspx Hearing on: 05/16/14 Adoption by agency on: 07/30/14 Notice not required under G.S.: Adoption by agency on: 8. Fiscal impact (check all that apply): 7. Fee. Rule establishes or increases a fee? (See G.S. 12-3.1) State funds affected Environmental permitting of DOT affected and ☐ Yes analysis submitted to Board of Transportation Agency submitted request for consultation on: Local funds affected Consultation not required. Cite authority: Substantial economic impact (≥\$1,000,000) Approved by QSBM X No X No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Legislation enacted in last General Assembly session X Agency Cite Session Law: ☐ Court order / cite: Petition for rule-making Federal statute / cite: Other: Federal regulation / cite: 9B. Explain: Session Law 2012-56 repealed Articles 1 through 10, 12 and 13 of Chapter 53 and created a new Chapter 53C entitled "Regulation of Banks." This rule is being repealed because it is no longer necessary and it just restates what is contained in the statutes. 10. Rule-making Coordinator: Lonnie E. Christopher 11. Signature of Agency Head* or Rule-making Coordinator: Address: 4309 Mail Service Center Raleigh, NC 27699-4309 Phone: (919) 715-7438 *If this function has been delegated (reassigned) pursuant to E-Mail: lchristopher@nccob.gov G.S. 143B-10(a), submit a copy of the delegation with this form. Agency Contact, if any: Katie Bosken, Bank Supervision Typed Name: Lonnie E. Christopher Attorney Title: Rule-making Coordinator Phone: (919) 715-0082 E-Mail: kbosken@nccob.gov RRC AND OAH USE ONLY Action taken: RECEIVED JUL 3 1 2014 ☐ RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:

1. Rule-Making Agency: North Carolina State Board of Elections		
2. Rule citation & name (name not required for repeal): 08 NCAC 15 .0101		
INSTRUCTIONS FOR FILING A PETITION FOR RULE-MAKING		
3. Action: ☑ ADOPTION	4. Exemption. Rule exempt from RRC review according to (cite	
SAMENDMENT ■ REPEAL S. Agency obtained G.S. 150B-19.1 certification:	session law or general statute):	
OSBM certified on:	ed on: 🔀 Not Required	
6. Notice for Proposed Rule:	Ex 1100 Med Miles	
Notice Required Notice Required		
Notice of Text published on: 3/14/14 Link to Agency notice: http://www.ncsbe.gov/ncsbe/Portals/	O/FilesT/rules explainer procedures 2014-03 adf	
Hearing on: 6/4/14	off lies fridies_explaine_procedures_2014-00.pdf	
Adoption by agency on: 7/29/14		
☐ Notice not required under G.S.:	Finance-village	
Adoption by agency on:	VON ARTIN	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	☐ State funds affected	
☐ Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	Local funds affected Substantial economic impact (≥\$1,000,000)	
N N a	Approved by OSBM	
⊠ No	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
X Agency	 Legislation enacted in last General Assembly session Cite Session Law: 	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other:	
9B. Explain:		
Rule-making done to bring agency in compliance with G.S. 150B-20(a)		
	· ·	
10. Rule-making Coordinator: George McCue	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: P.O. Box 27255, Raleigh, NC 27611	11. Signature of Agency freat of Kule-making Coordinator:	
	41=1M/.	
Phone: 919-715-8213	ALCON U	
E-Mail: george.mccue@ncsbe.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:		
Phone:	Typed Name: George McCue	
E-Mail:	Title: Hule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes:		
☐ Withdrawn by agency ☐ Subject to Legislative Review		
Other:		

1. Rule-Making Agency: North Carolina State Board of Elections		
2. Rule citation & name (name not required for repeal): 08 NCAC 15 .0102		
DECLARATORY RULINGS: AVAILABILITY		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
☒ ADOPTION ☐ AMENDMENT ☐ REPEAL		
5. Agency obtained G.S. 150B-19.1 certification:	beation in the general statement.	
☐ OSBM certified on: ☐ RRC certified	ed on: 🗵 Not Required	
6. Notice for Proposed Rule:	23 1100 Acquired	
<u> </u>	•	
X Notice Required Notice of Text published on: 3/14/14	፣	
Link to Agency notice: http://www.ncsbe.gov/ncsbe/Portals/	0/FilesT/rules explainer procedures 2014-03 pdf	
Hearing on: 6/4/14	57.755.755.755.755.755.755.755.755.755.	
Adoption by agency on: 7/29/14	Matter America	
Notice not required under G.S.:		
Adoption by agency on:	13 to 12	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)		
<u> _</u>	State funds affected Environmental permitting of DOT affected and	
∐ Yes	analysis submitted to Paged of Tuesca Maties	
Agency submitted request for consultation on:	Local funds affected	
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	No fiscal note required □	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted in last General Assembly session	
Court order / cite: Federal statute / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making Other:	
9B. Explain:	Other.	
Rule-making done to bring agency in compliance with G.S. 150B-4.		
10. Rule-making Coordinator: George McCue	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: P.O. Box 27255, Raleigh, NC 27611	A Secretary of Agency fread of Auto-making Containant:	
1.0. Dux 21233, naicigii, NO 2/011	41-M/	
Phone: 919-715-8213	TE MU	
E-Mail: george.mccue@ncsbe.gov	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Phone:	Typed Name: George McCue	
E-Mail:	Title: Rule-making Coordinator	
	OAH USE ONLY	
Action taken:		
•		
C ppcp		
RRC Extended period of review: RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review		
Other:		
	<u></u>	

1. Rule-Making Agency: North Carolina State Board of Elections		
2. Rule citation & name (name not required for repeal): 08 NCAC 16 .0101 MULTIPARTISAN ASSISTANCE TEAMS		
	Tan a pro-	12 ()
3. Action:	4. Exemption. Rule exempt from RRC resession law or general statute):	view according to (cite
5. Agency obtained G.S. 150B-19.1 certification:	session in work general statute;	
OSBM certified on: RRC certified	ed on: 🗵 Not Require	d
6. Notice for Proposed Rule:		
Notice Required		Non-controlled
Notice of Text published on: 3/14/14 Link to Agency notice: http://www.ncsbe.gov/ncsbe/Portals/0/FilesT/rules_explainer_procedures_2014-03.pdf		Transporter
Hearing on: 6/4/14		
Adoption by agency on: 7/29/14		
Notice not required under G.S.: Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	N 12
3.1)		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
	☐ State funds affected☐ Environmental permitting of DOT a	ffected and
Yes	analysis submitted to Board of Tran	
Agency submitted request for consultation on: Consultation not required. Cite authority:	Local funds affected	-
	☐ Substantial economic impact (≥\$1,00☐ Approved by OSBM	0,000)
No No		
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
☐ Agency☐ Court order / cite:	X Legislation enacted in last General A Cite Session Law: 2013-381, Section 4.6	
Federal statute / cite:	Petition for rule-making	3(0)
Federal regulation / cite:	Other:	
9B. Explain:	o State Board of Classians shall adopt rules arise to	October 1 2012 concerning
Session Law 2013-381, Section 4.6(b) mandates this Rule-making: "The multipartisan teams authorized by G.S. 163-226.3(a)(4), as amende	ed by subsection (a) of this section, to ensure that e	each county has, no later than
the day absentee voting begins for each primary and election, trained to home, or rest home in that county in casting absentee ballots as provide		
accordance with Chapter 150B of the General Statutes." The predecess		
published as a temporary Rule, effective January 1, 2014.		
10. Rule-making Coordinator: George McCue	11. Signature of Agency Head* or Rule-n	naking Coordinator:
Address: P.O. Box 27255, Raleigh, NC 27611	1	
Phone: 919-715-8213	STEWIG-	•
E-Mail: george.mccue@ncsbe.gov	*If this function has been delegated (reas	signed) pursuant to
	G.S. 143B-10(a), submit a copy of the dele	egation with this form.
Agency Contact, if any: Phone:	Typed Name: George McCue	
E-Mail:	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:		
6		
RRC Extended period of review:		
RRC determined substantial changes: Withdrawn by agency		
Subject to Legislative Review		
Other:		

1. Rule-Making Agency: North Carolina State Board of Elections		
2. Rule citation & name (name not required for repeal): 08 NCAC 16.0102 TEAM MEMBERS		
3. Action: ☑ ADOPTION ☐ AMENDMENT ☐ REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	session law of general statute).	
☐ OSBM certified on: ☐ RRC certified	ed on: 🔀 Not Required	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 3/14/14 Link to Agency notice: http://www.ncsbe.gov/ncsbe/Portals/0/FilesT/rules_explainer_procedures_2014-03.pdf Hearing on: 6/4/14 Adoption by agency on: 7/29/14 Notice not required under G.S.: Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	
9. REASO 9A. What prompted this action? Check all that apply: Agency	ON FOR ACTION Legislation enacted in last General Assembly session	
Court order / cite:	Cite Session Law: 2013-381, Section 4.6(b)	
Federal statute / cite:	☐ Petition for rule-making ☐ Other:	
Federal regulation / cite: 9B. Explain:	Utiler:	
Session Law 2013-381, Section 4.6(b) mandates this Rule-making: "The State Board of Elections shall adopt rules prior to October 1, 2013, concerning the multipartisan teams authorized by G.S. 163-226.3(a)(4), as amended by subsection (a) of this section, to ensure that each county has, no later than the day absentee voting begins for each primary and election, trained teams to promptly assist patients and residents of any hospital, clinic, nursing home, or rest home in that county in casting absentee ballots as provided by law. Such rules shall be initially established as temporary rules in accordance with Chapter 150B of the General Statutes." The predecessor of this permanent Rule, 08 NCAC 13.0202, was successfully adopted and published as a temporary Rule, effective January 1, 2014.		
10. Rule-making Coordinator: George McCue	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: P.O. Box 27255, Raleigh, NC 27611	41-101	
Phone: 919-715-8213	tt'Wu	
E-Mail: george.mccue@ncsbe.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	O	
Phone:	Typed Name: George McCue Title: Rule-making Coordinator	
E-Mail:	O OAH USE ONLY	
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes: Withdrawn by agency		
Subject to Legislative Review		
Other:		

1. Rule-Making Agency: North Carolina State Board of Elections		
2. Rule citation & name (name not required for repeal): 08 NCAC 16 .0103 TRAINING AND CERTIFICATION OF TEAM MEMBERS		
	I a second secon	
3. Action: ☑ ADOPTION □ AMENDMENT □ REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	session law of general statute).	
OSBM certified on:	ed on: 🛛 Not Required	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 3/14/14 Link to Agency notice: http://www.ncsbe.gov/ncsbe/Portals/ Hearing on: 6/4/14 Adoption by agency on: 7/29/14 Notice not required under G.S.: Adoption by agency on:	monance productive pro	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☒ No	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Court order / cite: Cite Session Law: 2013-381, Section 4.6(b) Federal statute / cite: Petition for rule-making Other: 9B. Explain: Session Law 2013-381, Section 4.6(b) mandates this Rule-making: "The State Board of Elections shall adopt rules prior to October 1, 2013, concerning the multipartisan teams authorized by G.S. 163-226.3(a)(4), as amended by subsection (a) of this section, to ensure that each county has, no later than the day absentee voting begins for each primary and election, trained teams to promptly assist patients and residents of any hospital, clinic, nursing home, or rest home in that county in casting absentee ballots as provided by law. Such rules shall be initially established as temporary rules in accordance with Chapter 150B of the General Statutes." The predecessor of this permanent Rule, 08 NCAC 13 .0203, was successfully adopted and published as a temporary Rule, effective January 1, 2014.		
10. Rule-making Coordinator: George McCue	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: P.O. Box 27255, Raleigh, NC 27611	4 = M/	
Phone: 919-715-8213	*If this function has been delegated (reassigned) pursuant to	
E-Mail: george.mccue@ncsbe.gov	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	Typed Name: George McCue	
Phone: E-Mail:	Typed Name: Title: Rule-making Coordinator	
	O OAH USE ONLY	
Action taken: RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review		
Other:		

1. Rule-Making Agency: North Carolina State Board of Elections		
2. Rule citation & name (name not required for repeal): 08 NCAC 16.0104 VISITS BY MULTIPARTISAN ASSISTANCE TEAMS		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
ADOPTION AMENDMENT REPEAL 5. Agency obtained G.S. 150B-19.1 certification:	session law or general statute):	
OSBM certified on: RRC certific	ed on:	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: 3/14/14	O/FilesT/rules explainer procedures 2014 03 pdf	
Link to Agency notice: http://www.ncsbe.gov/ncsbe/Portals/	of files fridies_explainer_procedures_2014-03.pdf	
Hearing on: 6/4/14		
Adoption by agency on: 7/29/14 Notice not required under G.S.:		
Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	C-	
	State funds affected	
☐ Yes	Environmental permitting of DOT affected and analysis submitted to Board of Transportation	
Agency submitted request for consultation on: Consultation not required. Cite authority:	Local funds affected	
Consultation not required. Cite authority:	☐ Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	★ No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Legislation enacted in last General Assembly session		
Court order / cite:	Cite Session Law: 2013-381, Section 4.6(b)	
Federal statute / cite:	Petition for rule-making	
☐ Federal regulation / cite: ☐ Other: 9B. Explain:		
Session Law 2013-381, Section 4.6(b) mandates this Rule-making: "Th	ne State Board of Elections shall adopt rules prior to October 1, 2013, concerning	
the multipartisan teams authorized by G.S. 163-226.3(a)(4), as amende	ed by subsection (a) of this section, to ensure that each county has, no later than eams to promptly assist patients and residents of any hospital, clinic, nursing	
I home, or rest home in that county in casting absentee ballots as provid	ed by law. Such rules shall be initially established as temporary rules in	
accordance with Chapter 150B of the General Statutes." The predeces: published as a temporary Rule, effective January 1, 2014.	sor of this permanent Rule, 08 NCAC 13 .0204, was successfully adopted and	
10. Rule-making Coordinator: George McCue	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: P.O. Box 27255, Raleigh, NC 27611	1-200	
Phone: 919-715-8213	EEW.C.	
E-Mail: george.mccue@ncsbe.gov	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Phone:	Typed Name: George McCue	
E-Mail:	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency Subject to Legislative Persons	į	
☐ Subject to Legislative Review ☐ Other:		
The state of the s		

1. Rule-Making Agency: North Carolina State Board of Elections		
2. Rule citation & name (name not required for repeal): 08 NCAC 16 .0105 REMOVAL OF TEAM MEMBERS		
	T. 5	
3. Action: ☑ ADOPTION □ AMENDMENT □ REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	session law of general statute).	
OSBM certified on: RRC certific	ed on: Not Required	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 3/14/14 Link to Agency notice: http://www.ncsbe.gov/ncsbe/Portals/0/FilesT/rules_explainer_procedures_2014-03.pdf Hearing on: 6/4/14 Adoption by agency on: 7/29/14 Notice not required under G.S.: Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☒ No	State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Court order / cite: Cite Session Law: 2013-381, Section 4.6(b) Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 9B. Explain: Session Law 2013-381, Section 4.6(b) mandates this Rule-making: "The State Board of Elections shall adopt rules prior to October 1, 2013, concerning the multipartisan teams authorized by G.S. 163-226.3(a)(4), as amended by subsection (a) of this section, to ensure that each county has, no later than the day absentee voting begins for each primary and election, trained teams to promptly assist patients and residents of any hospital, clinic, nursing home, or rest home in that county in casting absentee ballots as provided by law. Such rules shall be initially established as temporary rules in accordance with Chapter 150B of the General Statutes." The predecessor of this permanent Rule, 08 NCAC 13.0205, was successfully adopted and published as a temporary Rule, effective January 1, 2014.		
10. Rule-making Coordinator: George McCue	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: P.O. Box 27255, Raleigh, NC 27611	A-M/	
Phone: 919-715-8213	TI IVIC	
E-Mail: george.mccue@ncsbe.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	0	
Phone:	George McCue Typed Name:	
E-Mail: Title: Rule-making Coordinator RRC AND OAH USE ONLY		
Action taken:		
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

SUBMISSION FOR PERMANENT RULE 1. Rule-Making Agency: Commissioner of insurance 2. Rule citation & name (name not required for repeal): 11 NCAC 06A.0809 - APPROVAL OF COURSES 4. Exemption. Rule exempt from REC review recording to could ANCE 3. Action: session law or general statute): ☐ ADOPTION ☐ AMENDMENT 5. Agency obtained G.S. 150B-19.1 certification: Not Required RRC certified on: 5/15/14 OSBM certified on: 6. Notice for Proposed Rule: Notice Required Notice of Text published on: 6/16/14 Link to Agency notice: http://www.ncdoi.com/LS/LS_Rules.aspx Hearing on: 7/11/14 Adoption by agency on: 8/18/14 Notice not required under G.S.: Adoption by agency on: 8. Fiscal impact (check all that apply): 7. Fee. Rule establishes or increases a fee? (See G.S. 12-State funds affected Environmental permitting of DOT affected and Yes analysis submitted to Board of Transportation Agency submitted request for consultation on: Local funds affected Consultation not required. Cite authority: Substantial economic impact (≥\$1,000,000) Approved by OSBM ⊠ No X No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Legislation enacted in last General Assembly session ★ Agency Cite Session Law: Court order / cite: Petition for rule-making Federal statute / cite: Federal regulation / cite: Other: 9B. Explain: The national standard for CE courses is eight hours per day and most states offer courses that are 16 hours - two day seminars. Due to NC's exam requirements for courses more than six hours in length, national providers have had to adjust their courses to six hours (no exam). This limits those licensees/designees from surrounding states from earning any CE for their resident state's requirement because the national standard for approved courses is eight hours. The Dept. of Insurance rec'd comment stating the seven hour change as originally published in the NCR does not help industry, ; therefore, based upon industry's recommendation, the DOI agrees with changing the number of CE hours from seven to eight. This will make the CE requirement less burdensome on licensees/designees. 11. Signature of Agency Head* or Rule-making Coordinator: 10. Rule-making Coordinator: Karen E. Waddell Address: 1201 Mail Service Center. Rateigh, NC 27699-1201 Phone: 919-807-6004 *If this function has been delegated (reassigned) pursuant to E-Mail: karen.waddell@ncdoi.gov G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Karen E. Waddell Agency Contact, if any: Phone: Title: APA Coordinator E-Mail: RRC AND OAH USE ONLY Action taken: RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:

ORIGINAL

1. Rule-Making Agency: Commissioner or Insurance		
2. Rule citation & name (name not required for repeal): 11 NCAC 11F .0501, 11 NCAC 11F .0502, 11 NCAC 11F .0503, 11		
NCAC 11F .0504		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
ADOPTION AMENDMENT REPEAL	session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	S = 110110	
OSBM certified on: RRC certified	ed on: 7/18/13	
6. Notice for Proposed Rule:	·	
Notice Required ■ Property		
Notice of Text published on: August 15, 2013	> = 1	
Link to Agency notice: http://www.ncdoi.com/LS/		
Hearing on: September 13, 2013	>4 6 1	
Adoption by agency on: 8/4/14 Notice not required under G.S.:		
Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)		
W+±/	State funds affected Environmental permitting of DOT affected and	
☐ Yes	analysis submitted to Board of Transportation	
Agency submitted request for consultation on:	Local funds affected	
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)	
M N-	Approved by OSBM	
⊠ No	No fiscal note required No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
△ Agency	Legislation enacted in last General Assembly session	
Court order / cite:	Cite Session Law: Petition for rule-making	
Federal statute / cite: Federal regulation / cite:	Other:	
9B. Explain: Repeal of 11 NCAC 11F.0501 – 11 NCAC 11	1F .0504 are replaced by 11 NCAC 11F .0505	
10. Rule-making Coordinator: Karen E. Waddell	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 1201 Mail Service Center		
Raleigh, NC 27699-1201	1 2- 101	
Phone: 919-807-6004	Karin t. Waardell	
E-Mail: Karen.waddell@ncdoi.gov		
Control Section	*If this function has been delegated (reassigned) pursuant to	
Agency Contact, if any:	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: E-Mail:		
AN AVAGEAGE	Typed Name: Karen E. Waddell	
	Title: APA Coordinator	
The state of the s	O OAH USE ONLY	
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review		
Other:		

SUBMISSION FOR PERMANENT RULE ORIGINAL

1. Rule-Making Agency: Commissioner or Insurance		
2. Rule citation & name (name not required for repeal): 11 NCAC 11F .0505 - MODEL RULE FOR RECOGNIZING A NEW ANNUITY MORTALITY TABLE FOR USE IN DETERMINING RESERVE LIABILITIES FOR ANNUITIES		
3. Action: ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification: OSBM certified on: RRC certified	ed on: 7/18/13 Not Required	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: August 15, 2013 Link to Agency notice: http://www.ncdoi.com/LS/ Hearing on: September 13, 2013 Adoption by agency on: 8/4/14 □ Notice not required under G.S.: Adoption by agency on:	8. Fiscal impact (check all that apply):	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No	 State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REASO	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	 Legislation enacted in last General Assembly session Cite Session Law: Petition for rule-making Other: 	
9B. Explain: Is proposed for adoption so that the new mortality table for use in determining reserve liabilities will be effective for policies issue January 1, 2015 and later. The proposed rule was originally filed with an effective date of January 1, 2014, however, based upon comments received from industry, the effective date of January 1, 2015 provides for the most orderly implementation. The agency elects to delay the effective date to January 1, 2015.		
10. Rule-making Coordinator: Karen E. Waddell Address: 1201 Mail Service Center Raleigh, NC 27699-1201 Phone: 919-807-6004 E-Mail: Karen.waddell@ncdoi.gov	11. Signature of Agency Head* or Rule-making Coordinator: Kaum T. Waceelll	
Agency Contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
	Typed Name: Karen E. Waddell Title: APA Coordinator	
	OAH USE ONLY	
Action taken: RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

griginal 8/14/14

1. Rule-Making Agency: Coastal Resources Commission		
2. Rule citation & name (name not required for repeal): 15A NCAC 07H .2601 Purpose		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL 5. Agency obtained G.S. 150B-19.1 certification:	session law or general statute):	
S Agency obtained 6.5. 1565-15.1 certification. S OSBM certified on: 2/15/14 RRC certifi	ed on:	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: 4/15/14		
Link to Agency notice: http://portal.ncdenr.org/web/cm/	proposed-rules $\leq \subseteq$	
Hearing on: 5/14/14 Adoption by agency on: 7/31/14		
Notice not required under G.S.:		
Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	State funds affected	
Yes	Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	☐ No fiscal note required	
O DEAC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	ON FOR ACTION	
⊠ Agency	Legislation enacted in last General Assembly session	
Court order / cite:	_ Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite: 9B. Explain:	Other:	
Section 7H .2600 defines specific development requirements:	for the construction of wetland, stream and buffer mitigation sites by	
	C Wetlands Restoration Program (NCWRP). The Coastal Resources	
fee projects, and not only those related to the NCEEP and/or t	expand this General Permit to include all mitigation bank and in-lieu he NCWRP	
ee projects, and not only those related to the NCEEF and/or the NCWKI.		
10. Rule-making Coordinator: Jennifer Everett	11. Signature of Agency Head* or Rule-making Coordinator:	
Address:	11. Signature of Agency riead of Rule-making Coordinator:	
1601 MSC	1 PY H	
Raleigh, NC 27699-1601	J. WWW	
Phone: (919) 707-8614 E-Mail: Jennifer.Everett@ncdenr.gov	If this function has been delegated (reassigned) pursuant to G.S. 148B-10(a), submit a copy of the delegation with this form.	
E-1444. Johnnor, Evolution acting gov	1.33 1.4010-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Mike Lopazanski	Typed Name: Jennifer Everett	
Phone: (252) 808-2808	Title: DENR Rulemaking Coordinator	
E-Mail: Mike.Lopazanski@ncdenr.gov RRC AND OAH USE ONLY		
Action taken:		
. · ·		
RRC Extended period of review:		
RRC determined substantial changes:		
☐ Withdrawn by agency ☐ Subject to Legislative Review		
Other:		

oviginal 8/14/14

1. Rule-Making Agency: Coastal Resources Commission		
2. Rule citation & name (name not required for repeal): 15A NCAC 07H .2602 Approval Procedures		
	A Francisco Dula assume from DDC navious according to (site	
3. Action: ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:		
SBM certified on: 2/15/14 ☐ RRC certified	ed on: Not Required	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: 4/15/14 Link to Agency notice: http://portal.ncdenr.org/web/cm/proposed-rules Hearing on: 5/14/14 Adoption by agency on: 7/31/14 Notice not required under G.S.: Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☒ No	 State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REASO	ON FOR ACTION	
the NC Ecosystem Enhancement Program (NCEEP) or the NC	Legislation enacted in last General Assembly session Cite Session Law: Petition for rule-making Other: Or the construction of wetland, stream and buffer mitigation sites by Wetlands Restoration Program (NCWRP). The Coastal Resources expand this General Permit to include all mitigation bank and in-lieu the NCWRP.	
	11 C' / CA LINE DA LINE CONTRACTOR	
10. Rule-making Coordinator: Jennifer Everett Address: 1601 MSC Raleigh, NC 27699-1601 Phone: (919) 707-8614 E-Mail: Jennifer.Everett@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to GS. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Mike Lopazanski Phone: (252) 808-2808	Typed Name: Jennifer Everett Title: DENR Rulemaking Coordinator	
E-Mail: Mike.Lopazanski@ncdenr.gov RRC AND OAH USE ONLY		
Action taken: RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review		
Other:		

original 8/14/14

1. Rule-Making Agency: Coastal Resources Commission		
2. Rule citation & name (name not required for repeal): 15A NCAC 07H .2604 General Conditions		
2. Man estation of name (name not required for repeat). I.	71110110 V/11 .2007 Concidi Conditions	
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	, `	
OSBM certified on: 2/15/14 RRC certified	ed on: Not Required	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: 4/15/14 Link to Agency notice: http://portal.ncdenr.org/web/cm/j	All Annaham and an annaham and an annaham an	
Hearing on: 5/14/14	proposed-rules ————————————————————————————————————	
Adoption by agency on: 7/31/14	型 _の 3 川 I	
Notice not required under G.S.:	proposed-rules HARCE FOR THE PROPERTY OF THE	
Adoption by agency on:	<u></u>	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact (check all that apply):	
3.13	State funds affected	
☐ Yes	Environmental permitting of DOT affected and analysis submitted to Board of Transportation	
Agency submitted request for consultation on:	Local funds affected	
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	☐ No fiscal note required	
Q DEAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	ON FOR ACTION	
⊠ Agency	Legislation enacted in last General Assembly session	
Court order / cite:	Cite Session Law:	
Federal statute / cite: Federal regulation / cite:	Petition for rule-making	
9B. Explain:	U Other:	
Section 7H .2600 defines specific development requirements f	or the construction of wetland, stream and buffer mitigation sites by	
	C Wetlands Restoration Program (NCWRP). The Coastal Resources	
fee projects, and not only those related to the NCEEP and/or the	expand this General Permit to include all mitigation bank and in-lieu	
lee projects, and not only those related to the NCEEP and/or the NCWRP.		
10. Rule-making Coordinator: Jennifer Everett	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 1601 MSC	1	
Raleigh, NC 27699-1601	Let & Truett	
Phone: (919) 707-8614	*If this function has been delegated (reassigned) pursuant to	
E-Mail: Jennifer.Everett@ncdenr.gov	G.S/143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Mike Lopazanski	Typed Name: Jennifer Everett	
Phone: (252) 808-2808	Title: DENR Rulemaking Coordinator	
E-Mail: Mike.Lopazanski@ncdenr.gov	OATT LICE ONLY	
Action taken:	OAH USE ONLY	
	·	
RRC Extended period of review:		
RRC extended period of review: RRC determined substantial changes:	*	
Withdrawn by agency		
Subject to Legislative Review		
Other:	and the second of the second o	

original 8/14/14

1. Rule-Making Agency: Coastal Resources Commission		
2. Rule citation & name (name not required for repeal): 15	A NCAC 07H .2605 Specific Conditions	
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
ADOPTION AMENDMENT REPEAL	session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification: OSBM certified on: 2/15/14 RRC certified	ed on: Not Required	
OSBM certified on: 2/15/14 RRC certified RRC	ed on: Not Required	
<u> </u>	·	
Notice Required Notice of Text published on: 4/15/14		
Link to Agency notice: http://portal.ncdenr.org/web/cm/g	proposed-rules	
Hearing on: 5/14/14		
Adoption by agency on: 7/31/14		
Notice not required under G.S.: Adoption by agency on:	₹	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)		
	State funds affected Environmental permitting of DOT affected and	
☐ Yes	analysis submitted to Board of Transportation	
Agency submitted request for consultation on: Consultation not required. Cite authority:	☐ Local funds affected	
Consultation not required. The authority.	Substantial economic impact (≥\$1,000,\$60)	
⊠ No	✓ Approved by OSBM✓ No fiscal note required	
	140 fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
⊠ Agency	☐ Legislation enacted in last General Assembly session	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	☐ Petition for rule-making ☐ Other:	
Federal regulation / cite: 9B. Explain:	Other.	
Section 7H .2600 defines specific development requirements to	for the construction of wetland, stream and buffer mitigation sites by	
the NC Ecosystem Enhancement Program (NCEEP) or the NC	Wetlands Restoration Program (NCWRP). The Coastal Resources	
fee projects, and not only those related to the NCEEP and/or t	expand this General Permit to include all mitigation bank and in-lieu he NCWRP	
tee projects, and not only those rotated to the recent and of the		
10. Rule-making Coordinator: Jennifer Everett	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 1601 MSC		
Raleigh, NC 27699-1601	Les & / went	
Phone: (919) 707-8614	*If this function has been delegated (reassigned) pursuant to	
E-Mail: Jennifer.Everett@ncdenr.gov	G.S. 14B-1b(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Mike Lopazanski	Typed Name: Jennifer Everett	
Phone: (252) 808-2808	Title: DENR Rulemaking Coordinator	
E-Mail: Mike.Lopazanski@ncdenr.gov		
I	O OAH USE ONLY	
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes: Withdrawn by agency		
Subject to Legislative Review		
Other		

ORIFTIAL

1. Rule-Making Agency: NC Department of Transportation		
2. Rule citation & name (name not required for repeal): 19A NCAC 02E .0219 Eligibility for Program		
2.10		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	session law of general statute):	
	C certified on:	
6. Notice for Proposed Rule:	C) words	
Notice Required Notice of Text published on: May 1, 2014 Link to Agency notice: http://www.ncdot.gov/about/regulations/rules Hearing on: May 20, 2014 Adoption by agency on: Notice not required under G.S.:		
Adoption by agency on: August 15, 2014		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☒ No	 State funds affected Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REASO 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
 ✓ Agency ☐ Court order / cite: ☐ Federal statute / cite: ☐ Federal regulation / cite: 	 ☐ Legislation enacted in last General Assembly session Cite Session Law: ☐ Petition for rule-making ☐ Other: 	
9B. Explain:		
A first Logo Program provides eligible businesses with the op-	oportunity to list their business identification on official highway aways. The various service types are gas, food, lodging, camping,	
and attraction. As of today, NC has 14,733 installed logo si	gn panels, of which, 284 are for attraction services. More	
attraction facilities, particularly in rural areas, could participate in the program but do not meet the hours and days of operations requirement. The proposed changes to 19A NCAC 02E .0219 will allow facilities that have the primary purpose of providing amusement, historical, cultural, or leisure attractions that would not fully meet the qualifying hours and days of operation or distance to have a provisional contract. This provisional contract shall be written with the understanding that if a fully qualifying attraction business or facility applies, and there is no room on the sign for the new business or facility, then the last provisional contract shall be cancelled. The proposed change will allow attraction facilities that do not meet the hours and days of operation, and opportunity to participate in the program only where there is room on a sign.		
10. Rule-making Coordinator: Helen Landi Address: 1578 Mail Service Center - NCDOT	11. Signature of Agency Head* or Rule-making Coordinator:	
Raleigh, NC 27699-1578	Xa, I a. a.	
Phone: 919-707-2842	_ July Vand	
E-Mail: hlandi@ncdot.gov	*If this function has been delegated (reassigned) pursuant to	
Aganay Contact if any	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any: Phone:	Typed Name: Halan Landi	
E-Mail:	Typed Name: Helen Landi Title: Rule-making Coordinator	
	OAH USE ONLY	

Action taken:		
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review		
Other:		

1. Rule-Making Agency: NC Department of Transportation	1. Rule-Making Agency: NC Department of Transportation		
2. Rule citation & name (name not required for repeal): 19.	A NCAC 02E .0702 Solicitation and Award of Contract		
	4. Exemption. Rule exempt from RRC review according to (cite		
3. Action: ☐ ADOPTION ☐ AMENDMENT ☐ REPEAL	session law or general statute):		
5. Agency obtained G.S. 150B-19.1 certification:	- Deboton in the second		
	C certified on: Not Required		
6. Notice for Proposed Rule:	2 = 1		
Notice Required Notice of Text published on: May 1, 2014 Link to Agency notice: http://www.ncdot.gov/about/regulations/rules Hearing on: May 20, 2014 Adoption by agency on: Notice not required under G.S.: Adoption by agency on: August 15, 2014			
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):		
3.1)	State funds affected		
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☒ No 	Environmental permitting of DOT affected and analysis submitted to Board of Transportation Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required		
	ON FOR ACTION		
9A. What prompted this action? Check all that apply: Agency			

10. Rule-making Coordinator: Helen Landi Address: 1578 Mail Service Center - NCDOT Raleigh, NC 27699-1578 Phone: 919-707-2842 E-Mail: hlandi@ncdot.gov	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Agency Contact, if any:	
Phone:	Typed Name: Helen Landi
E-Mail:	Title: Rule-making Coordinator
RRC A	ND OAH USE ONLY
Action taken:	
☐ RRC Extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	
Li Calci.	

SUBMISSION FOR PERMANENT RULE ORIGINAL



1. Rule-Making Agency: NC Department of Transportation		
2. Rule citation & name (name not required for repeal): 19A NCAC 02E .0703 Prequalifying to Award - Professional Services		
Firms	DDC	
3. Action: ☑ ADOPTION ☐ AMENDMENT ☐ REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification:	session law of general statute).	
_	C certified on:	
6. Notice for Proposed Rule:	C certified on: Not Required	
☑ Notice Required Notice of Text published on: May 1, 2014		
Link to Agency notice: http://www.ncdot.gov/about/res	gulations/rules I I To To I I I	
Hearing on: May 20, 2014		
Adoption by agency on:		
Notice not required under G.S.:		
Adoption by agency on: August 15, 2014		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	State funds affected	
☐ Yes	Environmental permitting of DOT affected and analysis submitted to Board of Transportation	
Agency submitted request for consultation on:	Local funds affected	
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	☐ No fiscal note required	
0 DEAC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	ON FOR ACTION	
⊠ Agency	Legislation enacted in last General Assembly session	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
	Other:	
The proposed rule revisions are necessary to comply with state	e requirements, clarify existing rule requirements, reorganize existing	
rules to improve usability, and codify existing policies. New a	dministration code is being developed to codify an existing NCDOT	
program. The professional or specialized services have been ex	xpanded and amended to meet the requirements and to improve the	
usability of the rules. The proposed rule revisions will enable l	Department staff to ensure contractors are properly qualified to	
perform the work and save project time and resources. Based of	on the current policy, the Department prequalifies Professional proval, firms must renew their status by undergoing a shortened review	
process to verify that the key personnel of their firms have not	changed. If the key personnel have not changed, the firm is renewed	
for one additional year, barring any professional or performance	ce issues. Every three years after the first approval, the firm must go	
through a full review of their qualification to determine whether the key personnel are still qualified to perform the services the firm		
wishes to perform on Department contracts. The prequalification policy that the Department proposes to adopt through Rule .0703		
results in additional savings during the selection process of professional services contracts The Department currently receives		
approximately 600 Letters of Interest (LOI) from teams of Professional Services firms for advertised contacts each year. The prequalification process for Professional Services firms allows the Department to verify whether a firm is qualified when these LOI's		
are received. The savings come by only reviewing a firm's qualification every three years while verifying key staff of the firm		
annually versus reviewing a firm's qualifications every time the firm submits a LOI or responds to Request for Proposals (RFP). This		
also results in cost savings for Professional Services firms in time and materials. Without the prequalification process, the		
Department would have to review every firm's qualification for every LOI or response to RFP submitted. Many Professional Services Firms submit multiple LOI's in a year for different items of work (referred to as disciplines). This would result in greater		
number of man-hours spent by both the Department reviewing the qualification for every contract advertised, and for the		
Professional Services Firms preparing their qualification on each contract advertised, increasing the labor cost for both. Furthermore,		
review and preparation of documents for each LOI or response to RFP would suspend each contract until the qualification reviews		
are completed that could in turn cause delays to the project schedule and ultimately delays to the traveling public.		

10. Rule-making Coordinator: Helen Landi Address: 1578 Mail Service Center - NCDOT Raleigh, NC 27699-1578 Phone: 919-707-2842 E-Mail: hlandi@ncdot.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:		
Phone:	Typed Name: Helen Landi	
E-Mail:	Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:		
RRC Extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review		

Original 8-20-14

1. Rule-Making Agency: Board of Podiatry Examiners		
2. Rule citation & name (name not required for repeal): 21 NCAC 52. 0213 TEMPORARY LICENSE FOR CLINICAL RESIDENCY/FELLOWSHIP		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
X ADOPTION AMENDMENT REPEAL	session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification: OSBM certified on: RRC certified	ed on: X Not Required	
	A Not required	
6. Notice for Proposed Rule:		
X Notice Required		
Notice of Text published on: May 15, 2014 Link to Agency notice: http://www.ncbpe.org/content/executive-board		
Hearing on: July 3, 2014		
Adoption by agency on: August 18, 2014		
☐ Notice not required under G.S.:	8 0 9	
Adoption by agency on:		
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	☐ State funds affected	
Yes	☐ Environmental permitting of DOT affected and	
Agency submitted request for consultation on:	analysis submitted to Board of Transportation	
Consultation not required. Cite authority:	☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
X No	X No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
X Agency	Legislation enacted in last General Assembly session	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	☐ Petition for rule-making ☐ Other:	
Federal regulation / cite: U Other: 9B. Explain: NCGS 90-202.5(b) allows the Board "to issue a temporary license to practice podiatry to any applicant for		
licensure for a period and under conditions established by	the Board, while the person resides in North Carolina and is	
participating in a clinical residency or other equivalent po	stgraduate clinical program approved by the Board" This Rule	
sets forth the approval process and temporary licensing pr	rocedures for such postgraduate clinical programs. There are a	
number of hospitals in North Carolina that are in the proc	cess of creating clinical residencies in podiatry, something which at WOMAC Army Hospital. (The Board has an Administrative	
Rule that addresses clinical residencies on military bases a	dready. This Rule is for civilian clinical residencies.)	
10. Rule-making Coordinator: Penney De Pas	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: NCBPE	Perney DePas	
1500 Sunday Dr., Suite 102, Raleigh, NC 27607		
Phone: 919-861-5583	*If this function has been delegated (reassigned) pursuant to	
E-Mail: info@ncbpe.org	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	Tuned Names Demoy De Bee	
Phone:	Typed Name: Penney De Pas Title: Rulemaking Coordinator/Executive Secretary	
E-Mail:		
	O OAH USE ONLY	
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes:		
☐ Withdrawn by agency ☐ Subject to Legislative Review		
Other:		

SUBMISSION FOR PERMANENT RULE Original 8-20-14

1. Rule-Making Agency: Board of Podiatry Examiners		
2. Rule citation & name (name not required for repeal): 21 NCAC 52. 0611 FORMS AND APPLICATIONS		
3. Action: ADOPTION X AMENDMENT REPEAL	4. Exemption. Rule exempt from RRC review according to (cite session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification: OSPM cortified on: X Not Required X		
OSBM certified on:		
6. Notice for Proposed Rule:		
X Notice Required Notice of Text published on: May 15, 2014	- + = 2	
Notice of Text published on: May 15, 2014 Link to Agency notice: http://www.ncbpe.org/content/executive-board Hearing on: July 3, 2014 Adoption by agency on: August 18, 2014 Notice not required under G.S.:		
Hearing on: July 3, 2014		
Adoption by agency on: August 18, 2014	1: 02 U	
Notice not required under G.S.: Adoption by agency on:	0, 5	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	State funds affected	
	Environmental permitting of DOT affected and	
☐ Yes	analysis submitted to Board of Transportation	
Agency submitted request for consultation on:	Local funds affected	
Consultation not required. Cite authority:	☐ Substantial economic impact (≥\$1,000,000)	
X No	Approved by OSBM	
A IN	X No fiscal note required	
9. REASON FOR ACTION		
9A. What prompted this action? Check all that apply:	ON FOR ACTION	
	☐ Legislation enacted in last General Assembly session	
X Agency Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other:	
9B. Explain: 21 NCAC 52 .0208 CONTINUING EDUCA	TION requires a form, "CME Submission Form," so this	
Amendment adds that form to the Board's list of Forms.		
10. Rule-making Coordinator: Penney De Pas	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: NCBPE	Penney Defas	
1500 Sunday Dr., Suite 102, Raleigh, NC 27607 Phone: 919-861-5583		
E-Mail: info@ncbpe.org	*If this function has been delegated (reassigned) pursuant to	
L-Man modeles porong	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	Typed Name: Penney De Pas	
Phone:	Title: Rulemaking Coordinator/Executive Secretary	
E-Mail:		
RRC AND OAH USE ONLY		
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes: Withdrawn by agency		
Subject to Legislative Review		
Other:		

original.

1. Rule-Making Agency: NC Respiratory Care Board		
2. Rule citation & name (name not required for repeal):		
21 NCAC 61 .0102 BOARD OFFICE		
3. Action:	4. Exemption. Rule exempt from RRC review according to (cite	
ADOPTION AMENDMENT REPEAL	session law or general statute):	
5. Agency obtained G.S. 150B-19.1 certification: OSBM certified on: RRC certified on: Not Require		
OSBM certified on: RRC certifie		
6. Notice for Proposed Rule:		
Notice Required	and the second	
Notice of Text published on:		
Link to Agency notice:		
Hearing on: Adoption by agency on:		
Notice not required under G.S.: § 150B-21.5.	δ w	
Adoption by agency on: July 10th, 2014	S - W	
7. Fee. Rule establishes or increases a fee? (See G.S. 12-	8. Fiscal impact (check all that apply):	
3.1)	State funds affected	
	Environmental permitting of DOT affected and	
☐ Yes	analysis submitted to Board of Transportation	
Agency submitted request for consultation on:	☐ Local funds affected	
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)	
⊠ No	Approved by OSBM	
	⊠ No fiscal note required	
9. REASON FOR ACTION		
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted in last General Assembly session Cite Session Law:	
Court order / cite: Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	X Other:	
9B. Explain:	- ·	
Lease expired in current location. Board signed new lease to move office to Cary, NC. Hours and address changed for the new location.		
10. Rule-making Coordinator: William L. Croft, PhD, RRT RCP	11. Signature of Agency Head* or Rule-making Coordinator:	
Address: 1100 Navaho Dr., Suite 242, Raleigh, NC 27609	1 A O O	
	11. UC TIME	
Phone: 919-878-5595	*YOU is function has been delegated (reasonized) nursuant to	
E-Mail: bcroft@ncrcb.org	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Agency Contact, if any:	, , ,	
Phone:	Typed Name: William L. Croft, PhD, RRT RCP	
E-Mail:	Title: Executive Director	
RRC AND OAH USE ONLY		
Action taken:		
RRC Extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review		
Other:		