AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: ALL RULES

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

#### Forms:

All forms must be revised and resubmitted. Box 2 on the form should contain the complete rule citation of "21 NCAC 53 #." Please make this correction to all of the forms.

### Rules:

All rules must be revised and resubmitted. Line 1 on each rule has the statement related to publication. This statement should be updated to reflect the action being taken by the agency, either adoption or amendment, whether there has been any changes since publication, and the publication information. See 26 NCAC 02C .0404.

# Submission:

Future submissions must be in accordance with 26 NCAC 02C .0103, meaning that the submission should contain the following and the documents should be marked whether it is an original or a copy, along with the date of submission:

- (d) An agency shall submit documents in the following order:
  - (1) the original submission form;
  - (2) the original of the rule:
  - (3) a copy of the submission form:
  - (4) a copy of the rule; and
  - (5) a copy of the rule for the RRC

#### Content of the rules:

Abigail M. Hammond Commission Counsel Date submitted to agency: Thursday, May 22, 2014 Several rules do not correctly reflect changes from language currently within the North Carolina Administrative Code or changes since publication in the North Carolina Register. Please remember to reflect all future changes on these rules in accordance with 26 NCAC 02C .0405.

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0102

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

This Rule, as filed, fails to properly reflect the language as published in the North Carolina Register on March 17, 2014. The language in this Rule needs to reflect the language as it was published, with any subsequent changes since publication made in accordance with 26 NCAC 02C .0405(b).

Once the published language is corrected for this rule, in Paragraph (a) after "Ethics" and in Paragraph (b) after "Education", please add the following clause including the comma:

"that are hereby incorporated by reference,"

21 NCAC 53 .0102 is proposed for amendment as follows:

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2 3 21 NCAC 53 .0102 **PROFESSIONAL ETHICS** 4 The Board of Licensed Professional Counselors has adopted the Code of Ethics and Standards of Practice 5 promulgated by the American Counseling Association, effective 2005, Association, including the guidelines for the 6 practice of online counseling adopted in October 1999 and any subsequent revisions of or amendments to the Code 7 of Ethics and Standards published by the American Counseling Association and they are hereby incorporated by 8 reference. Copies of the Code of Ethics and Standards are available free of charge from the American Counseling 9 Association, online at www.counseling.org. In addition, the Board has adopted the Approved Clinical Supervisor 10 (ACS) Code of Ethics promulgated by the Center for Credentialing and Education, effective 2008, Education and 11 any subsequent revisions of or amendments to the Code of Ethics by the Center for Credentialing and Education and 12 they are hereby incorporated by reference. Copies of the Approved Clinical Supervisor (ACS) Code of Ethics are 13 available free of charge from the Center for Credentialing and Education online at www.cce global.org. 14 (a) The Board of Licensed Professional Counselors has adopted the American Counseling Association (ACA) Code 15 of Ethics and Standards of Practice promulgated by the American Counseling Association, effective 2005, 16 Association, including the guidelines for the practice of online counseling adopted in October 1999 and any 17 subsequent revisions of or amendments to the ACA Code of Ethics and Standards published by the American 18 Counseling Association and they are hereby incorporated by reference. Copies of the ACA Code of Ethics and 19 Standards are available free of charge and includes subsequent amendments and editions. A free copy may be 20 obtained from the American Counseling Association, Association online at www.counseling.org. 21 (b) In addition, the Board has adopted the Approved Clinical Supervisor (ACS) Code of Ethics promulgated by the 22 Center for Credentialing and Education, effective 2008, Education any subsequent revisions of or amendments to the 23 ACS Code of Ethics by the Center for Credentialing and Education and they are hereby incorporated by reference. 24 Copies of the ACS Code of Ethics are available free of charge and includes subsequent amendments and editions. A 25 free copy may be obtained from the Center for Credentialing and Education online at www.cce-global.org. 26 27 History Note: *Authority G.S.* 90-334(h); 28 Temporary Rule Eff. February 21, 1984, for a Period of 120 Days to Expire on June 19, 1984; 29 Eff. July 1, 1984; 30 Amended Eff. July 1, 2014; January 1, 2010; July 1, 2006; July 1, 1994.

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0204

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 10, add an "or" after "certificates,"

Line 26, the language does not reflect the change from the language in the Administrative Code. This line should reflect the deletion of "disclosure statement" and the additional of "Professional Disclosure Statement"

1 21 NCAC 53 .0204 is proposed for amendment as follows: 2 PROFESSIONAL DISCLOSURE STATEMENT REQUIREMENTS FOR LPCA 3 21 NCAC 53 .0204 4 AND LPC 5 A professional disclosure statement Professional Disclosure Statement is a printed document that includes the 6 following information: 7 (1) name of licensee; licensee or applicant; 8 (2) the licensee's licensee's or applicant's highest relevant degree, year degree received, discipline of 9 degree (e.g., counseling, school counseling), and name of institution granting the degree; 10 (3) names and numbers of all relevant credentials (licenses, certificates, registrations); 11 (4) number of years of counseling experience; 12 (5) description of services offered and clientele (populations) served; 13 (6) length of sessions, specific fee or range of fees charged per session (if no fee is charged, a 14 statement to that effect), and methods of payments for services, including information about 15 billing/insurance billing or insurance reimbursement; 16 (7) an explanation of confidentiality, including responsibilities and exceptions; exceptions (e.g., child 17 or elder abuse, court order); 18 (8) a statement of procedure for registering complaints, including the full name and address full name, 19 address, and telephone number of the Board; and 20 (9) signature and date spaces for both the client and licensee; and 21 (10)level of licensure and whether the licensee is under supervision. If under supervision, include 22 name of supervisor. 23 A current copy of this statement shall be provided to each client prior to the performance of professional counseling 24 services. An updated professional disclosure statement Professional Disclosure Statement shall be submitted at the 25 time of renewal to the Board's office at 111 West Main Street, Suite 100, Garner, North Carolina 27529. The 26 counselor shall retain a file copy of the Professional Disclosure Statement signed by each client. 27 28 History Note: Authority G.S. 90-334; <u>90-334(h)</u>; 90-343; 29 Eff. July 1, 1994; 30 Amended Eff. July 1, 2014; January 1, 2010; July 1, 1995. 31

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0205

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 16, please note that this Rule was published with a comma between the (h) and (i). As the comma was in the publication, merely add it to the filed adopted rule without reflecting the change in accordance with 26 NCAC 02C .0405.

21 NCAC 53 .0205 is proposed for amendment as follows:

1 2

#### 3 21 NCAC 53 .0205 **COUNSELING EXPERIENCE**

4 Counseling [counseling services as defined in G.S. 90 330(a)(3)] experience applicable to the experience 5 requirement for licensure consists of a minimum of 3000 hours of supervised professional practice after the graduate 6 degree in counseling or counseling related field has been conferred. At least 2000 hours of the supervised 7 professional practice hours must consist of direct counseling experience. The counseling experience required by 8 G.S. 90-336(c)(2) shall include a minimum of 2000 hours of supervised professional practice hours of direct 9 counseling experience. Direct counseling experience consists of live contact with individuals, groups, and or 10 families through counseling as defined in G.S. 90-330(a)(3)a through and b. To be applicable, experience 11 Experience shall be gained at a rate of not less than eight hours per week but no more than 40 hours per week. At least 100 hours of clinical supervision, as defined in Rule .0210 and Rule .0211 of this Section, shall be documented 12 13

during the minimum of 3000 hours of supervised professional practice, as defined in Rule .0208 of this Section. No

less than three-quarters of the hours of clinical supervision shall be individual clinical supervision.

14 15

16 Authority G.S. 90-330(a)(3); 90-334(i); 90-334(h)(i); 90-336(c). History Note:

17 Eff. July 1, 1984;

18 Amended Eff. July 1, 2014; January 1, 2010; July 1, 2006; July 1, 1994.

19

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0206

DEADLINE FOR RECEIPT: Tuesday, June 10, 2014

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Paragraph (b) has been added since publication. This change should be reflected in accordance with 26 NCAC 02C .0405. Further, please make the two paragraphs consistent, as follows:

Line 6, replace "The supervision" with "Supervised graduate counseling"

Lines 7 and 15 reference a "form." Where is the form located?

Line 9, replace "60 percent" with "180 hours"

Line 16, add "supervised" before "graduate"

Lines 10 and 18, please confirm that the use of "graduate" is necessary, as that term is not part of the word defined in Rule .205 of this Section.

Line 12, replace "will" with "shall"

Lines 12 thru 14, please consider re-writing as a list as follows:

"shall consist of the following separate courses:

- (1) practicum totaling at least three semester hours or five quarter hours;
- (2) internship totaling at least three semester hours or five quarter hours; and
- (3) at least 17 hours of graduated counseling supervision, as defined in Rules"

If the above re-write is not considered, then the following changes will remain:

Abigail M. Hammond Commission Counsel Date submitted to agency: Thursday, May 22, 2014 Lines 12 and 13, add "hours" after "semester"

Line 12, delete the "hours and" and replace it with "hours;"

Line 13, add a semicolon after "hours"

Line 13, delete "as part of the graduate course study with" and replace with "and"

Line 14, delete "Rule" and replace with "Rules"

Line 21, delete the duplicative authority note

Line 22, please note that this Rule was published with a comma between the (h) and (i). As the comma was in the publication, merely add it to the filed adopted rule without reflecting the change in accordance with 26 NCAC 02C .0405.

2 3 21 NCAC 53 .0206 GRADUATE COUNSELING EXPERIENCE 4 For applicants enrolled in master's programs before July 1, 2015, a separate practicum and an internship 5 must be completed as part of the graduate course of study with at least 17 hours of graduate counseling supervision, 6 as defined in Rule .0210 and Rule .0211 of this Section. The supervision shall be verified by a university faculty 7 member on forms provided by the Board and shall consist of a minimum of 300 hours of supervised graduate 8 counseling experience at a rate of not less than one hour of clinical supervision per 40 hours of graduate counseling 9 experience, as defined by Rule .0701(a)(2)(b) of this Chapter. At least 60 percent of this counseling experience shall 10 be direct graduate counseling experience as defined in Rule .0205 of this Section. 11 For applicants who enroll in a master's program on or after July 1, 2015, graduate counseling experience 12 will consist of separate courses in practicum totaling at least three semester or five quarter hours and internship 13 totaling at least three semester or five quarter hours as part of the graduate course of study with at least 17 hours of 14 graduate counseling supervision, as defined in Rule .0210 and Rule .0211 of this Section. Supervised graduate 15 counseling shall be verified by a university faculty member on forms provided by the Board and shall consist of a

19 20 21

23

Section.

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17 18

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Authority G.S. 90-332.1(a)(3); 90-334(i); 90-336(b)(1).

21 NCAC 53 .0206 is proposed for amendment as follows:

22 *History Note: Authority* 90-332.1(a)(3); <del>90-334(i)</del>; <u>90-334(h)(i)</u>; 90-336(b)(1);

Temporary Rule Eff. February 21, 1984, for a Period of 120 Days to Expire on June 19, 1984;

minimum of 300 hours of graduate counseling experience at a rate of not less than one hour of clinical supervision per 40 hours of graduate counseling experience, as defined by Rule .0701(a)(2)(b) of this Chapter. At least 180

hours of this counseling experience shall be direct graduate counseling experience as defined in Rule .0205 of this

24 Eff. July 1, 1984;

25 Amended Eff. <u>July 1, 2014</u>; January 1, 2010; July 1, 2006; July 1, 1994.

26

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0208

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 12, replace "which" with "that"

Line 17, please note that this Rule was published with a comma between the (h) and (i). As the comma was in the publication, merely add it to the filed adopted rule without reflecting the change in accordance with 26 NCAC 02C .0405.

21 NCAC 53 .0208 is proposed for amendment as follows:

#### 21 NCAC 53 .0208 SUPERVISED PROFESSIONAL PRACTICE

Supervised professional practice eonsists of means counseling experience under the supervision of a qualified clinical supervisor, as defined in Rule .0209 of this Section, including and includes a minimum of one hour of individual or two hours of group clinical supervision per 40 hours of supervised professional counseling practice. Individual clinical supervision hours do not count towards the 40 hours of supervised professional practice. At least three-quarters of the hours of clinical supervision shall be individual. Persons who have met all licensure requirements except the supervised professional practice who wish to counsel as supervised counselors in supervised professional settings, as defined in Rule .0207 of the Section, shall apply to become a Licensed Professional Counselor Associate licensed professional counselor associate as defined in Section. 0700. Rule .0701 of this Chapter. The focus of a supervision session shall be on raw data from clinical work which is made available to the supervisor through such means as direct (live) live observation, co-therapy, audio and video recordings, and live supervision. Written materials and self-reports by the supervised counselor may supplement the supervision process but shall not be the sole basis of any supervision session.

History Note: Authority 90-334(i); 90-334(h)(i); 90-336(c)(2);

Eff. July 1, 1995;

19 Amended Eff. <u>July 1, 2014</u>; January 1, 2010; July 1, 2006; July 1, 2006.

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0209

DEADLINE FOR RECEIPT: Tuesday, June 10, 2014

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 22, replace "must" with "shall"

Page 2, line 6, the phrase "licensed and experience" is struck through, but this entire paragraph is new. This was published, but is not currently in the Administrative Code. Please place this language in brackets and highlight.

Page 2, Line 17, replace the capitalized "A" with a lowercase "a" at the beginning of the clause.

Page 2, line 21, please note that this Rule was published with a comma between the (h) and (i). As the comma was in the publication, merely add it to the filed adopted rule without reflecting the change in accordance with 26 NCAC 02C .0405.

21 NCAC 53 .0209 is proposed for amendment as follows:

### 21 NCAC 53 .0209 QUALIFIED CLINICAL SUPERVISOR

(a) A qualified clinical supervisor is:

- (1) A licensed professional counselor with at least: the following: a master's degree as defined in G.S. 90-336(b)(1) who has an active and unrestricted license, the equivalent of three semester graduate credits in clinical supervision from a regionally accredited institution of higher education as documented by an official transcript or 45 contact hours of continuing education in clinical supervision as defined by Rule .0603(c) in this Chapter, in clinical supervision, and a minimum of five years of post-graduate counseling experience with a minimum of two years post-licensure experience, or
  - (a) a master's degree as defined in G.S. 90-336(b)(1);
  - (b) an active and unrestricted license, independent license that is not under supervision;
  - (c) the equivalent of three semester graduate credits in clinical supervision from a regionally accredited institution of higher education as documented by an official transcript or 45 contact hours of continuing education <u>in clinical supervision</u> as defined by Rule .0603(c) in this <u>Chapter</u>; <u>Chapter</u>; in clinical supervision, and
  - (c) a minimum of five years of post-graduate counseling experience with a minimum of two years post licensure experience; experience; or
- (2) Other equivalently An equivalently and actively licensed and experienced mental health professional professional as defined in Paragraph (c) of this Rule.
- (b) As of July 1, 2014, 2017, all qualified clinical supervisors must hold the credential of Licensed Professional Counselor Supervisor or be another equivalently licensed and experienced mental health professional. <u>licensed professional counselor supervisor or be a licensed professional counselor, or an equivalently and actively licensed mental health professional, as defined in Paragraph (c) of this Rule. All supervision arrangements for which a Verification of Arrangement for Clinical Supervision were approved by the Board prior to October 1, 2009 shall be honored by the Board.</u>
- (c) Supervisors who received Board approval to provide clinical supervision for any applicant prior to October 1, 2009 have until the following deadlines to complete the educational requirements listed:
  - (1) December 31, 2010 to acquire the equivalent of one semester graduate credit in clinical supervision from a regionally accredited institution of higher education as documented by an official transcript or 15 contact hours of continuing education, as defined by Rule .0603(c) in this Chapter, in clinical supervision;
  - (2) December 31, 2011 to acquire the equivalent of two semester graduate credits in clinical supervision from a regionally accredited institution of higher education as documented by an official transcript or 30 contact hours of continuing education, as defined by Rule .0603(c) in this Chapter, in clinical supervision;

1	(3)	December 31, 2012 to acquire the equivalent of three semester graduate credits in clinical
2		supervision from a regionally accredited institution of higher education as documented by an
3		official transcript or 45 contact hours of continuing education, as defined by Rule .0603(c) in this
4		Chapter, in clinical supervision;
5	(d) Equivalently	vicensed and experienced means that the mental health professional has:
6	(c) Equivalently	licensed and experienced and actively licensed means that the mental health professional shall
7	have:	
8	(1)	at least a master's degree as defined in G.S. 90-336(b)(1);
9	(2)	an active and unrestricted license; independent license that is not under supervision and is in good
10		standing with the respective licensing board;
11	(3)	the equivalent of three semester graduate credits in clinical supervision from a regionally
12		accredited institution of higher education as documented by an official transcript or 45 contact
13		hours of continuing education in clinical supervision, as defined by Rule .0603(c) in this Section,
14		in clinical supervision; and this Chapter;
15	(4)	a minimum of five years post-graduate counseling experience, with a minimum of two years post
16		licensure experience: experience; and
17	<u>(5)</u>	A minimum of 10 contact hours of continuing education in professional knowledge and
18		competency in the field of counseling supervision completed every two years and submitted to the
19		Board.
20	I	
21	History Note:	Authority G.S. 90-330(a)(4); 90-334(i); 90-334(h)(i); 90-336(d);
22		Eff. July 1, 1995;
23		Amended Eff. <u>July 1, 2014;</u> January 1, 2010; July 1, 2006.
24		

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0210

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Lines 7 thru 8, please confirm that this sentence is only applicable to this Rule and should not also be in 21 NCAC 53 .0211.

Line 10, please note that this Rule was published with (h) and (i) being separate. Please combine to be like other citations.

1 21 NCAC 53 .0210 is proposed for amendment as follows: 2 3 INDIVIDUAL CLINICAL SUPERVISION 21 NCAC 53 .0210 4 Individual clinical supervision consists of means face-to-face supervision, as defined in Rule .0212 of this Section, 5 of one or two supervisees with and a qualified clinical supervisor, as defined in Rule .0209 of this Section, at a rate 6 of for a period not less than one hour of clinical supervision, supervision per session, as defined in Rule .0208 of this 7 Section, per 40 hours of supervised professional practice, as defined in Rule .0205 of this Section. Face-to-face 8 hours with the qualified clinical supervisor do not count toward the 40 hours of supervised professional practice. 9 10 History Note: Authority G.S. 90-334(h); G.S. 90-334(i); 90-336(c)(2); 11 Eff. July 1, 1995; 12 Amended Eff. July 1, 2014; January 1, 2010.

13

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0211

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Lines 4 thru 5 of this Rule do not reflect the publication in the Register. ".0209" was not published and is not currently in the Administrative Code. Please delete that reference and underline the remaining language that was published in the Register.

Line 10, please note that this Rule was published with (h) and (i) being separate. Please combine to be like other citations.

1 21 NCAC 53 .0211 is proposed for amendment as follows: 2 3 GROUP CLINICAL SUPERVISION 21 NCAC 53 .0211 4 Group clinical supervision consists of means face-to-face scheduled supervision supervision, as defined in Rule 5 .0219 .0212 of this Section between groups of supervisees, not to exceed 12 supervisees per group, and a qualified 6 clinical supervisor, as defined in Rule .0209 of this Section, for a period of not less than one and 7 one half hours two hours of clinical supervision per session, per 40 hours of supervised professional practice, 8 as defined in Rule .0208 of this Section per session. Section. 9 10 History Note: Authority G.S. 90-334(h); G.S. 90-334(i); 90-336(c)(2); 11 Eff. July 1, 1995; 12 Amended Eff. July 1, 2014; January 1, 2010. 13

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0212

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Lines 7 thru 8 of this Rule do not reflect the publication in the Register. Neither "video/audio" or "Ethics." were published and are not currently in the Administrative Code. Please delete that language.

1 21 NCAC 53 .0212 is proposed for amendment as follows: 2 3 FACE TO FACE SUPERVISON DEFINED 21 NCAC 53 .0212 4 For the purposes of this Chapter, face to face Face-to-face clinical supervision means supervision that is live, 5 interactive, and visual. Video supervision is permitted as long as the session is synchronous (real time) and involves 6 verbal and visual interaction during the supervision as defined in Rule .0209 of this Section. supervision. All 7 supervision, whether live or video/audio, audio and video recordings must be done in a confidential manner in 8 accordance with the ACA Code of Ethics. Ethics as set forth in Rule .0102 of this Chapter. 9 10 History Note: Authority G.S. 90-334(h); 11 Eff. July 1, 2006; 12 Amended Eff. July 1, 2014; January 1, 2010. 13

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0301

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Line 5 does not properly track the language as published in the Register. Please underline new language.

Line 7, delete "as set forth in Rule .0204 of this Chapter"

1 21 NCAC 53 .0301 is proposed for amendment as follows: 2 3 21 NCAC 53 .0301 APPLICATIONS Applications and forms shall be obtained from and returned to the Administrator of the Board. Applications shall 4 5 be submitted only on forms obtained from the Board's office at the address set forth in Rule .0204 of this Chapter or 6 website, www.ncblpc.org. Applications may be submitted electronically or mailed in paper format to the Board's 7 office as set forth in Rule .0204 of this Chapter. 8 9 History Note: Authority G.S. 90-334; 90-336(a); 10 Temporary Rule Eff. February 21, 1984, for a Period of 120 Days to Expire on June 19, 1984; 11 Eff. July 1, 1984; 12 Amended Eff. July 1, 2014; January 1, 2010; July 1, 1995; July 1, 1994; April 1, 1989. 13

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0302

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 4, replace "must" with "shall"

1 21 NCAC 53 .0302 is proposed for amendment as follows: 2 3 21 NCAC 53 .0302 TRANSCRIPTS 4 The applicant must have official transcripts sent from institutions, institutions, either electronically or in paper 5 format, where graduate credit was earned. If the transcript course titles are ambiguous or do not convey the 6 pertinent content of the courses, the board Board shall require additional documentation from the applicant. 7 applicant (i.e. course descriptions and/or syllabi, from the same time period that the applicant was enrolled). 8 applicant, such as a course description or syllabi from the same time period that the applicant was enrolled. 9 10 History Note: Authority G.S. 90-334; 90-336; 11 Temporary Rule Eff. February 21, 1984, for a Period of 120 Days to Expire on June 19, 1984; 12 Eff. July 1, 1984; 13 Amended Eff. July 1, 2014; January 1, 2010. 14

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0304

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 4, delete the blank line

Line 5, replace "must" with "shall"

Line 13, add an "and" after "supervision,"

1 21 NCAC 53 .0304 is proposed for amendment as follows: 2 3 21 NCAC 53 .0304 APPLICANTS LICENSED IN OTHER STATES 4 5 If a candidate an applicant is licensed to practice counseling by a Board in another state, the applicant must apply for 6 licensure with the North Carolina Board. Board and shall meet the following requirements: The Board shall 7 consider the application in accordance with the provisions of G.S. 90 336 and G.S. 90 337. 8 shall have a minimum of five years full time counseling experience, or eight years part time 9 counseling experience, or a combination of full time and part time counseling experience 10 equivalent to five years full time counseling experience, within 10 years directly prior to 11 application; shall have a minimum of 2500 hours of direct client contact; 12 (2) 13 (3) shall have an active independent license that does not require supervision, in good standing as a 14 licensed professional counselor in another state for a minimum of two years directly prior to 15 application; and 16 shall comply with all other applicable rules for licensure as a licensed professional counselor.  $(4)_{-}$ 17 18 History Note: Authority G.S. 90-334; 90-337; 1993 S.L. c. 514, s. 5; 19 Temporary Rule Eff. February 21, 1984, for a Period of 120 Days to Expire on June 19, 1984; 20 Eff. July 1, 1984; 21 Amended Eff. July 1, 2014; July 1, 2006; July 1, 1994. 22

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0305

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 16, this Rule does not reflect the deletion of the clause "or renewal" that is currently in the Administrative Code. Please reflect this deletion.

Line 19, please note that this Rule was published with a comma between the (h) and (i). As the comma was in the publication, merely add it to the filed adopted rule without reflecting the change in accordance with 26 NCAC 02C .0405.

21 NCAC 53 .0305 is proposed for amendment as follows:

1 2 3

### 21 NCAC 53 .0305 EXAMINATION

4

5 The National Counseling Counselor Examination (NCE), the National Clinical Mental Health Counselor 6 Examination (NCMHCE), or the Counselor Certified Rehabilitation Certification Counselor Examination (CRC) 7 may be taken to complete the examination requirement for Licensed Professional Counselor Associate (LPCA) 8 licensed professional counselor associate and Licensed Professional Counselor (LPC) licensed professional 9 counselor licensure. The Board shall accept examinations administered by other state counselor licensing boards and 10 professional counselor credentialing associations if the Board determines that such examinations are equivalent to 11 the NCE, NCMHCE, or CRC relative to content and minimum satisfactory performance levels for counselors. 12 Beginning July 1, 2011 The completion of a no fail jurisprudence exam, as selected by the Board, is required for 13 LPC licensure application at each level (LPCA, LPC, LPCS) (licensed professional counselor associate, license 14 professional counselor, and licensed professional counselor supervisor) and for each consecutive renewal period. 15 Applicants and renewing LPCs licensees shall submit documentation of completion of the no fail jurisprudence 16 exam, taken within six months prior to application for licensure. to the Board for licensure or within six months

17 18

19 *History Note:* Authority G.S. 90-334(g); 90-334(g)(h); 90-336(b)(3); 90-337;

20 Eff. July 1, 1995;

prior to the date of expiration of the license.

21 Amended Eff. <u>July 1, 2014;</u> January 1, 2010; July 1, 2006.

22

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0307

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 9, replace "denied and such" with "denied. The"

Line 12, please note that this Rule was published with a comma between the (h) and (i). As the comma was in the publication, merely add it to the filed adopted rule without reflecting the change in accordance with 26 NCAC 02C .0405.

1 21 NCAC 53 .0307 is proposed for amendment as follows: 2 3 RETAKING OF EXAMINATION 21 NCAC 53 .0307 4 5 Applicants who do not pass the examination examinations as set forth in Rule .0305 of this Section may retake it at 6 the next regularly scheduled examination date upon registering and paying the required examination fee. fee to the 7 National Board of Certified Counselors or to the Commission on Rehabilitation Counselor Certification. Applicants 8 who fail the examination a second time during an application period shall be denied licensure; such applicants may 9 reapply for licensure, have their application denied and such applicants may reapply for licensure and shall be 10 subject to the requirements at the time of reapplication. 11 12 Authority G.S. 90-334(g)(j); 90-334(g)(h)(j); History Note: 13 Eff. July 1, 1995; 14 Amended Eff. July 1, 2014. 15

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0308

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 5, the use of "active" is unclear. Consider re-writing this portion as follows:

All requirements for applications shall be satisfied in accordance with Article 24 of G.S. 90 and the rules of this Chapter within two years from the date of receipt of the application or the application shall be denied by the Board.

Line 7 does not properly track the language as published in the Register. Underline new language and strike through deleted language.

Lines 10 and 14, delete "such"

Line 12, references a "form." Where is the form located?

1 21 NCAC 53 .0308 is proposed for amendment as follows: 2 3 21 NCAC 53 .0308 RECEIPT OF APPLICATION 4 5 (a) The active application period of applications received by the Board is no more than two years from date of 6 receipt. If all requirements for an application have not been met by this date, the application shall be denied by the 7 Board. The applicant may reapply for licensure and shall be subject to the requirements at the time of reapplication. 8 (b) Change of Address. It shall be the responsibility of an applicant to inform the Board of any change in his or her 9 mailing address. Updated address information shall be forwarded to the Board's office at the address set forth in 10 Rule .0204 of this Chapter in writing within 60 days after any such change. (c) Change of Name. It shall be the responsibility of an applicant to inform the Board of any change in his or her 11 12 name. A name change form shall be completed and include any required legal documentation, such as a marriage 13 certificate, divorce decree, or court order. A name change shall be forwarded to the Board's office at the address set 14 forth in Rule .0204 of this Chapter in writing within 60 days after any such change. 15 16 History Note: Authority G.S. <u>90-334(h);</u> 90-336(a); 17 Eff. July 1, 1995; 18 Amended Eff. July 1, 2014; January 1, 2010; July 1, 2006. 19

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0310

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 13, capitalize "credentials" if it is part of a formal name

Line 13 thru 14, how does the regulated public know who the members are for this group? Add incorporation language.

Line 17, replace "cannot be provided directly by" with "is not available directly from"

Line 21, delete the clause "who is approved by the Board"

Lines 21 and 22, replace "Such individuals include" with "The individual providing the translation may be a"

1	21 NCAC 53 .0310 is proposed for adoption as follows:	
2		
3	21 NCAC 53 .0310 FOREIGN DEGREE APPLICANTS	
4		
5	(a) Applicants applying for licensure on the basis of a foreign degree shall provide documentation, in addition to all	
6	other documents required for licensure, that establishes the following:	
7	(1) the existence of the degree granting institution;	
8	(2) the authenticity of the degree, transcripts, and any supporting documents;	
9	(3) the equivalence of the degree in terms of level of training, content of curriculum, and course	
10	credits; and	
11	(4) the equivalence of any post-graduate supervised experience obtained in the foreign country.	
12	(b) Documentation shall be in the form of a course-by-course evaluation of credentials submitted directly to the	
13	Board from an evaluation service that is a member of the National Association of credentials Evaluation Services,	
14	<u>Inc.</u>	
15	(c) Except as described in Paragraph (b) of this Rule, only official documents shall be submitted in support of the	
16	application and shall be received directly from the institution or individual involved.	
17	(d) When an official document cannot be provided directly by the institution or individual involved, an original	
18	document possessed by the applicant may be reviewed and copied by a Board member or designee.	
19	(e) Any document that is in a language other than English shall be accompanied by a translation with notarized	
20	verification of the translation's accuracy and completeness. This translation shall be completed by an individual,	
21	other than the applicant, who is approved by the Board and demonstrates no conflict of interest. Such individuals	
22	include college or university language faculty, a translation service, or an American consul.	
23		
24	History Note: Authority G.S. 90-334;	
25	Eff. <u>July 1, 2014.</u>	
26		

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0311

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 5, replace "listed" with "designated"

Lines 10 and 18, replace "must" with "shall"

Lines 15 and 18, add "designation" after "CFL-P"

Line 16, replace "status" with "designation"

Line 17, add "by the Board" after "approval"

Line 19, add the following clause after "documents"

"subject to the two-year period set forth in Rule .0308 of this Section."

1 21 NCAC 53 .0311 is proposed for adoption as follows: 2 3 21 NCAC 53 .0311 REQUIREMENTS FOR CANDIDATE FOR LICENSURE PENDING STATUS 4 5 (a) Applicants for licensure may be listed as a "Candidate for Licensure Pending" (CFL-P) if the application is 6 missing one or more of the following requirements: 7 (1) official exam score from the examining board; 8 (2) official transcript from a regionally accredited higher education institution; or 9 (3) Professional Disclosure Statement for the level that they are applying. 10 (b) For the applicant to be listed as a CFL-P, the applicant must provide the following documentation: 11 a receipt showing the request and payment to the examining board for an official exam score to be 12 sent to the Board; or 13 (2) a receipt showing the request and payment to the educational institution for an official transcript to 14 be sent to the Board. 15 (c) The CFL-P allows the applicant's file to be reviewed at the next regularly scheduled Board meeting for approval 16 so that a license may be issued upon receipt of the missing documents. The CFL-P status is effective for a 17 maximum of 60 days from the date of approval. If the missing documents are not received within the 60 days, the 18 CFL-P shall revert back to an application in review and must be presented at the next scheduled Board meeting upon 19 receipt of missing documents. 20 21 History Note: Authority G.S. 90-334; 22 Eff. July 1, 2014. 23

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0403

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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Line 6, references a "form." Where is the form located?

Line 7, add "of" after "24"

Line 8 does not reflect language currently in the Administrative Code. Add "American Counseling Association" before "ACA"

1	21 NCAC 53 .04	103 is proposed for amendment as follows:
2		
3	21 NCAC 53 .04	403 ALLEGED VIOLATIONS
4		
5	All complaints	of alleged violations shall be in writing submitted electronically or in paper format on forms
6	provided by the	Board to the Board's office at the address set forth in Rule .0204 of this Chapter and shall be signed
7	by the complain	ant(s). complainant(s), unless submitted anonymously. Complaints of violations of Article 24 G.S.
8	90, Article 24,	the ACA Code of Ethics, or the Center for Credentialing and Education's Approved Clinical
9	Supervisor (ACS	S) Code of Ethics shall bear: include:
10	(1)	the complainant's signature; signature, unless submitted anonymously;
11	(2)	include the complainant's address and telephone number; date and location of the alleged
12		violation(s); number, unless submitted anonymously;
13	(3)	date and location of the alleged violation(s);
14	<del>(3)</del> (4)	a description of the incident(s); and
15	<del>(4)</del> (5)	signed releases, unless submitted anonymously.
16	Complaints shall	be submitted either in electronic or paper format on forms provided by the Board.
17		
18	History Note:	Authority G.S. 90-334; 90-340;
19		Eff. July 1, 1995;
20		Amended Eff. <u>July 1, 2014;</u> January 1, 2010.
21		

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0501

DEADLINE FOR RECEIPT: Tuesday, June 10, 2014

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Line 8 does not reflect the change as published in the Register. Underline "application"

1	21 NCAC 53 .0:	501 is proposed for amendment as follows:
2		
3	21 NCAC 53 .0	501 APPLICATION FEE
4		
5	Each applicant s	shall pay a fee for processing each The initial application fees are as follows:
6	(1)	Licensed Professional Counselor Associate Application licensed professional counselor associate
7		application \$100.00; two hundred dollars (\$200.00);
8	(2)	Licensed Professional Counselor Application licensed professional counselor application \$100.00;
9		two hundred dollars (\$200.00); and
10	(3)	<u>Licensed Professional Counselor Supervisor Application</u> <u>licensed professional counselor</u>
11		supervisor application \$100.00. two hundred dollars (\$200.00).
12		
13	History Note:	Authority G.S. 90-334;
14		Temporary Rule Eff. February 21, 1984, for a Period of 120 Days to Expire on June 19, 1984;
15		Eff. July 1, 1984;
16		Amended Eff. July 1, 2014; January 1, 2010; July 1, 1994.
17		

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0503

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 4, delete the blank line

Line 5 does not reflect the change as published in the Register. Underline "(a)"

Line 10, the "(\$75.00)" was not published in the Register and is not currently in the Administrative Code. Please delete.

Line 16, both statutory references were published in the Register, but neither currently exist in the Administrative Code. Please place "132.6(b)" in brackets and highlight.

Lines 16 and 17, delete "provided on the Board website"

1 21 NCAC 53 .0503 is proposed for amendment as follows: 2 3 21 NCAC 53 .0503 RENEWAL AND OTHER FEES 4 5 (a) The biennial renewal fee of one two hundred dollars (\$100.00) (\$200.00) is due and payable by June 20 of the 6 renewal year. Checks shall be made payable to the North Carolina Board of Licensed Professional Counselors. 7 Failure to pay the biennial renewal fee within the time stated shall automatically suspend the right of any licensee to 8 practice while delinquent, suspended. Such lapsed expired A suspended licensure may be renewed within a period 9 of one year after expiration upon payment non-payment of the renewal fee fee, plus a late renewal fee of twenty five 10 seventy-five dollars (\$25.00), (\$75.00), provided all requirements are met. (\$75.00). 11 (b) The cost of a returned check is actual cost. 12 (c) The registration fee for a Certificate of Registration for a professional corporation or limited liability company is 13 fifty dollars (\$50.00); 14 (d) The renewal fee for a professional corporation or limited liability company is twenty-five dollars (\$25.00); and 15 (e) The late renewal fee for a professional corporation or limited liability company is ten dollars (\$10.00). (f) The cost of copies of public records shall be the "actual cost," as defined in G.S. 132.6(b), 132-6.2(b) provided 16 17 on the Board website, and mailing cost, if applicable. There shall be no charge if the request is for 10 pages or less. 18 19 History Note: Authority G.S.55B-10; 55B-11; 90-334; 90-339; 132-6.2(b); 20 Temporary Rule Eff. February 21, 1984, for a Period of 120 Days to Expire on June 19, 1984; 21 Eff. July 1, 1984; 22 Amended Eff. July 1, 2014; July 1, 2006; July 1, 1994. 23

1 21 NCAC 53 .0601 is proposed for amendment as follows: 2 3 RENEWAL PERIOD 21 NCAC 53 .0601 4 5 Newly issued licenses shall be effective upon the date of issuance by the Board and shall expire on the second June 6 30 thereafter. The renewal period for a newly issued license, therefore, license may be less than two years. 7 Following the first renewal of a newly issued license, the renewal period shall be two years and shall run from July 1 8 in the first year through June 30 in the second year. A licensee whose license has been suspended shall not practice 9 until the license is renewed. 10 11 Authority G.S. <u>90-334(h);</u> 90-339; History Note: 12 Eff. July 1, 1995; 13 Amended Eff. July 1, 2014; July 1, 1995. 14

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0602

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

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In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Lines 8 thru 10, the order of the sentence appears incorrect. Consider re-writing as follows:

"...shall be on the Renewal for Licensure forms available on the Board's website www.ncblpb.org, and submitted either electronically or mailed in paper format to the Board's office at the address set forth in Rule .0204 of this Chapter."

Lines 18, and 21, replace "the" with "a" before "licensee"

Line 22, references a "form." Where is the form located?

1	21 NCAC 53 .0602	is proposed for amendment as follows:
2		
3	21 NCAC 53 .0602	RENEWAL FOR LICENSURE FORM; ADDRESS CHANGE; NAME CHANGE
4		
5	Requests for licens	e renewal shall be submitted on the original Request for Continuing Education Activities
6	Requests forms prov	vided by the Board. All requested information and supporting documentation shall be provided
7	and the forms shall t	e signed and dated.
8	(a) License renewa	l information shall be submitted either electronically or mailed in paper format to the Board's
9	office as set forth in	Rule .0204 of this Chapter on the Renewal for Licensure forms available on the Board's website
10	www.ncblpb.org. T	he licensee shall provide general contact information, licensure or credentials, and all continuing
11	counselor education	for the past two years. All requested information shall be provided and the forms shall be
12	signed and dated. D	ocuments that shall be included with the renewal form are the following:
13	(1) ce	rtificate of completion of the jurisprudence examination (for the level of license that is being
14	rei	newed);
15	(2) the	e ethics attestation statement;
16	(3) an	updated Professional Disclosure Statement; and
17	(4) pa	syment of renewal fee.
18	(b) Change of Addr	ress. It shall be the responsibility of the licensee to inform the Board of any change in his or her
19	mailing address. Up	odated address information shall be forwarded to the Board's office as set forth in Rule .0204 of
20	this Chapter in writing	ng within 60 days after any such change.
21	(c) Change of Name	e. It shall be the responsibility of the licensee to inform the Board of any change in his or her
22	name. A name char	nge form shall be completed and include any legal documentation, such as a marriage certificate,
23	divorce decree, or co	ourt order. A name change shall be forwarded to the Board's office as set forth in Rule .0204 of
24	this Chapter in writing	ng within 60 days after any such change.
25		
26	History Note: Au	uthority G.S. 90-334(g); 90-336(a); 90-339(b);
27	Ef	f. July 1, 1995;
28	An	nended Eff. <u>July 1, 2014.</u>
29		

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0603

DEADLINE FOR RECEIPT: Tuesday, June 10, 2014

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Line 22, the "Forty (40)" has been changed since publication in the Register and should be reflected in accordance with 26 NCAC 02C .0405(b).

Page 2, line 3, replace "affiliate" with "affiliates" and add a comma

Page 2, line 5, delete "same"

Page 2, line 29, add a comma after "Form"

Page 3, line 6, does not reflect the change as published in the Register. Please correct.

Page 3, lines 8, 13, and 25, delete the clause "as defined in G.S. 90-339."

Page 3, lines 16 and 17, does not reflect the language as published in the Register. Please correct.

Page 3, line 28, the clause "clinical supervision" was replaced with "supervised professional practice." Please make conforming changes to lines 30, 31 thru 32, and 34.

Page 3, line 32 thru 33; and page 4, line 27, why is the definition of "contact hours" different that page 3, line 7? For page 4, line 27, is there no time credited for preparing for the presentation?

Page 3, line 34, delete "received"

Page 4, line 9, add a comma after "regional"

Page 4, line 27, delete "in"

Abigail M. Hammond Commission Counsel Date submitted to agency: Thursday, May 22, 2014 Page 4, lines 34 and 36, replace "must" with "shall"

Page 4, lines 35 and 36, does not reflect the language as published in the Register. Please correct.

Page 5, line 4, replace "are" with "is"

Page 5, line 6, please note that this Rule was published with a comma between the (h) and (i). As the comma was in the publication, merely add it to the filed adopted rule without reflecting the change in accordance with 26 NCAC 02C .0405.

21 NCAC 53 .0603 is proposed for amendment as follows:

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#### 21 NCAC 53 .0603 CONTINUING EDUCATION

(a) Continuing education is required for the renewal of licenses to ensure that Licensed Professional Counselor Associates, Licensed Professional Counselors, and Licensed Professional Counselor Supervisors licensed professional counselor associates, licensed professional counselors, and licensed professional counselor supervisors maintain their professional knowledge and competency in the field of counseling. Continuing education activities appropriate for the purpose of license renewal are those that are directed toward professionals in the mental health field and that focus on increasing knowledge and skills in the practice of counseling in one or more of the following content areas:

- 11 (1) counseling theory;
- 12 (2) human growth and development;
- 13 (3) social and cultural foundations;
- 14 (4) the helping relationship;
- 15 (5) group dynamics;
- 16 (6) lifestyle and career development;
- 17 (7) appraisal of individuals;
- 18 (8) diagnosis and treatment planning;
- 19 (9) research and evaluation;
- 20 (10) professional <u>counseling</u> orientation; and
- 21 (11) <u>ethics.</u>
  - (b) Forty 40 contact hours of continuing counselor education, including a minimum of three contact hours of ethics, are required: shall be completed within the two-year license renewal period. However, in the cases of newly issued licenses in which the initial renewal periods are period is less than two full years, 30 contact hours, including a minimum of three contact hours of ethics, are required: shall be completed. Contact hours are defined as the number of actual clock hours spent in direct participation in a structured education format as a learner. Typically, one Continuing Education Unit (CEU) is equivalent to 10 contact hours. In a college or university graduate course, one semester hour of credit is equivalent to 15 contact hours and one quarter hour of credit is equivalent to 10 contact hours.
- (c) Continuing <u>counselor</u> education <u>training</u> provided by one of the following national organizations, their <u>affiliates</u>
   affiliates, or by a vendor approved by one of the following organizations shall be <del>approved:</del> <u>accepted by the Board</u>
   for renewal purposes:
  - (1) American Counseling Association; American Association of State Counseling Boards (aascb.org)
- 34 (2) American Association of State Boards of Counseling; American Counseling Association 35 (counseling.org);
- 36 (3) National Board for Certified Counselors; Commission on Rehabilitation Counselor Certification
  37 (crccertification.com); and

1	(4)	Commission on Rehabilitation Counselor Certification; National Board for Certified Counselors				
2		(nbcc.org).				
3	(d) Continuing counselor education training provided by one of the following national organizations, their affiliate					
4	or by a vendor approved by one of the following organizations shall be approved for no more than 15 contact hours					
5	for any given rer	newal period as defined in Rule .0601 of this same Chapter. Chapter:				
6	(1)	American Association of Christian Counselors; Counselors (www.aacc.net);				
7	(2)	National Association of Pastoral Counselors; American Association of Marriage and Family				
8		Therapy (www.aamft.org):				
9	(3)	National Rehabilitation Association; American Psychological Association (www.apa.org);				
10	(4)	National Association of Alcoholism & Drug Abuse Counselors; Employee Assistance				
11		Certification Commission (www.eapassn.org);				
12	(5)	National Association of Social Workers; International Employee Assistance Professional				
13		Association of Employee Assistance Professionals in Education (www.iaeape.org);				
14	(6)	American Association of Marriage and Family Therapy; National Area Health Education Center				
15		Organization (www.nationalahec.org);				
16	(7)	Employee Assistance Certification Commission. National Association for Pastoral Counseling and				
17		Psychotherapy (www.napcp.ie);				
18	(8)	American Psychological Association; and National Association of Social Workers				
19		(www.socialworkers.org);				
20	(9)	International Employee Assistance Professional Association; National Rehabilitation Association				
21		(www.nationalrehab.org); and				
22	(10)	National Area Health Education Center Organization; National Association of Alcoholism & Drug				
23		Abuse Counselors The Association for Addiction Professionals (www.naadac.org).				
24	(e) Evidence of	completion of continuing <u>counselor</u> education <del>training</del> shall consist of a certificate of attendance				
25	and completion	signed by the responsible officer of a continuing counselor education provider, and shall include				
26	date(s) of attend	lance, number of contact hours, name of attendee, and name of course. course, and approved				
27	provider name o	r number. Licensees shall maintain such information for seven years following course completion,				
28	however, a licer	see is only required to submit such information if audited by the Board. On the Renewal for				
29	Licensure Form	a licensee shall attest to having completed the required continuing counselor education within the				
30	current renewal cycle.					
31	(f) The Board may conduct a random audit of a percentage of its licensees' continuing counselor education					
32	documentation for each renewal cycle and licensees shall submit the requested information upon request of the					
33	Board. Failure to	o submit the required documentation may result in disciplinary action by the Board.				
34	(f)(g) Continuin	g counselor education activities also acceptable for renewal of licensure are as follows:				
35	(1)	(1) Contact hours shall be awarded for academic credit granted gained during a renewal period from a				
36		regionally accredited institution of higher education for work done in a counseling or counseling				

related subject. A copy of a transcript or grade report is the required documentation.

Documentation must contain the following information:

(A) date(s) of attendance;

- (B) number of semester semester or quarter hours earned;
- (C) name of attendee; and
- (D) <u>name name or number of course.</u>

Contact hours are as defined in Paragraph (b) of this Rule. Completion dates must fall within the renewal period, as defined in G.S. 90-339.

- Contact hours shall be awarded for Publication publication activities used for contact hours are limited to articles written by the licensee and published in peer reviewed journals, editing of a chapter in a book based on counseling or counseling related material, or authoring or co-authoring a published book on counseling or counseling related material. Publication dates must fall within the renewal period, as defined in G.S. 90-339. Required documentation is a copy of the cover page of the article(s) or book; book; copy of the copyright page denoting date of publication; or for a chapter in an edited book, a copy of the table of contents listing the chapter is also required. Ten 10 contact hours shall be approved for each publication activity. activity, and only 10 The maximum contact hours are allowed per any given during a renewal period period is 10. as defined in G.S. 90 339, is 10. Contact hours awarded for publication activities shall not be applied to the three contact hour requirement for ethics.
- (3) Contact hours shall be awarded for academic credit granted during a renewal period from a regionally accredited institution of higher education for work done toward the completion of a dissertation. Required documentation is a copy of a transcript or grade report showing credit earned during the renewal period. The maximum contact hours allowed per any given during a renewal period, as defined in G.S. 90 339, period is 10. Contact hours are as defined in Paragraph (b) of this Rule. Completion dates must fall within the renewal period, as defined in G.S. 90-339. Contact hours awarded for dissertation shall not be applied to the three contact hour requirement for ethics.
- (4) Contact hours shall be awarded for clinical supervision, supervised professional practice, as defined by Rule .0208 of this Chapter, which that was received by the licensee during the renewal period. Contact hours shall not be granted for clinical supervision provided by the licensee to others. The maximum contact hours awarded allowed during a renewal period for clinical supervision is 10. Contact hours are defined as the number of actual clock hours spent in direct, clinical supervision. Required documentation is a letter from the qualified clinical supervisor, as defined by Rule .0209 of this Chapter, who provided the clinical supervision received, verifying a licensee's participation in the activity. The letter shall confirm the dates of the activity, the number of participation hours, and the position or title and credential of the provider. Dates of activity must fall within the renewal period, period, as defined in G.S. 90 339. Contact hours awarded for

1 clinical supervision shall not be applied to the three contact hour requirement for ethics. 2 Supervision quarterly reports as set forth in Rule .0702 of this Chapter may be submitted as 3 supporting documentation. 4 (5) Contact hours shall be awarded for the following leadership positions: 5 (A) Officer of state, regional, or national counseling organization; 6 (B) editor or editorial board member of a professional counseling journal; 7 (C) member of a state, regional, or national counseling committee producing a written 8 product; and or 9 (D) chair of a major state, regional or national counseling conference or convention. 10 The leadership position must be occupied for a minimum of six months and dates must fall within 11 the renewal period, period, as defined in G.S. 90 339. The required documentation is a letter of 12 confirmation of the leadership position, the nature of the position or service rendered, and the 13 signature of an officer of the organization. Ten 10 contact hours shall be approved fro for each 14 leadership position held. The maximum held, and only 10 contact hours are allowed per any given 15 during a renewal period, period. as defined in G.S. 90 339, is 10. Contact hours awarded for 16 leadership shall not be applied to the three contact hour requirement for ethics. 17 (6) Contact hours shall be awarded for hours obtained in activities or workshops for which the 18 licensee was a presenter. The dates of activities presented must fall within the renewal period, as 19 defined in G.S. 90 339, and focus on one or more of the approved content areas as set forth in 20 Paragraph (a) of this Rule. The maximum contact hours awarded for presenting professional activities/workshops activities or workshops is five. The required documentation is a an official 21 22 letter of confirmation from the organization for which the licensee presented and shall contain the 23 following information: 24 (A) date(s) of presentation; 25 (B) name of presentation; and 26 (C) and length of presentation. 27 Contact hours are defined as the number of actual clock hours spent in presenting. Contact hours 28 awarded for presenting shall not be applied to the three contact hour requirement for ethics. 29 (g)(h) If documentation for continuing counselor education is not identifiable as dealing with counseling, the Board 30 shall request a written description of the continuing counselor education and how it applies to the professional 31 practice of counseling. If the Board determines that the training education is not appropriate, the individual licensee

shall be given 90 45 days from the date of notification to replace the hours not approved. Those hours shall be

(h)(i) Licensed Professional Counselor Supervisors Licensed professional counselor supervisors must meet all of

the continuing counselor education requirements outlined in Paragraph Paragraphs (a) through (g) of this Rule and

in addition as part of those requirements requirements, must provide documentation of a minimum of 10 contact

hours of continuing counselor education training related to professional knowledge and competency in the field of

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considered replacement hours and shall not be applied to the next renewal period.

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counseling supervision. Continuing counselor education trainings appropriate for the purpose of supervision eredential licensed professional counselor supervisor renewal are those that are is education directed toward professionals in the mental health field, field which that focus on increasing knowledge and skills in the practice of counseling supervision, and that are completed during the renewal period period. as defined in G.S. 90-339.

History Note: Authority G.S. 90-334(g); 90-334(g)(h); 90-339(b)

Eff. July 1, 1995;

Amended Eff. July 1, 2014; February 1, 2010.

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0604

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Lines 14 thru 20, indent this item

Line 14, replace "the" with "a"

Line 15, replace "must" with "shall"

Lines 19, and 27, replace "utilized for future" with "applied to the next"

Line 20, delete "and the license may resume practice." If this language is necessary, then it should be added to line 29.

Line 24, add a comma after "partner"

Line 26, add "any" before "continuing"

Line 31 does not reflect the language as published in the Register. Please correct. After the text correctly reflects the publication in the Register, replace "an original" with "a"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Abigail M. Hammond Commission Counsel Date submitted to agency: Thursday, May 22, 2014

21 NCAC 53 .0604 is proposed for amendment as follows:

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# 21 NCAC 53 .0604 FAILURE TO SECURE SUFFICIENT CONTINUING EDUCATION/RENEWAL OF LICENSE

Licensed Professional Counselor Associates, Licensed Professional Counselors, and Licensed Professional Counselor Supervisors Licensed professional counselor associates, licensed professional counselor, and licensed professional counselor supervisors who fail to document sufficient continuing counselor education activities to renew their licenses by the expiration date of June 30 shall be notified in writing by the Board Office of the deficiencies and deficiencies, that their license is expired expired, and they cannot that the licensee shall not practice until it is renewed. Licensed Professional Counselor Associates, Licensed Professional Counselors, and Licensed Professional Counselor Supervisors Licensed professional counselor associates, licensed professional counselors, and licensed professional counselor supervisors who are unable to provide documentation of sufficient continuing counselor education activities to renew their licenses have the following options:

- (1) Within one year of expiration expiration, the LPCAs, LPC or LPCS licensed professional counselor associates, licensed professional counselors, and licensed professional counselor supervisors must complete the required hours of continuing counselor education and an additional 20 hours of continuing counselor education for the purpose of renewal of their lapsed the expired license. All continuing counselor education acquired during this additional time period for the purpose of renewal of a lapsed an expired license shall not be utilized for future renewal purposes. Once these requirements have been met, the license shall be reinstated. renewed and the licensee may resume practice.
  - (2) Request an extension in writing to <u>from</u> the Board. Requests shall be received by the <u>board Board</u> no later than <u>June May</u> 1<sup>st</sup> of the year of expiration. An extension shall be granted for:
    - (a) military deployment;
    - (b) major illness lasting longer than three months of self, partner or child; or
    - (c) death of partner or child.

Extensions shall be granted for a period of up to one year. If the extension is approved, all continuing counselor education acquired during the extension shall not be utilized for future renewal purposes. Once these requirements have been met, the license shall be reinstated. renewed.

Failure to complete one of the above listed options within one year after the license's expiration date means that a license shall be reissued only upon a new application as for licensure an original license and all current licensure requirements applied shall apply to the new application.

- History Note: Authority G.S. 90-334(g); 90-334(g)(h); 90-339;
- 35 Eff. July 1, 1995;
- 36 Amended Eff. <u>July 1, 2014</u>; January 1, 2010.

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0701

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 4, delete the blank line

Line 7 does not reflect the language as published in the Register. Please correct.

Lines 22 thru 24, this clause reads as though it is missing punctuation. Consider adding a comma after "perspectives"

Line 27, add a comma after "gender"

Line 28, add an "and" after "behaviors;"

Line 31, add a comma after "age" and "and" after "gender,"

Line 32, "personal behaviors" is included in (iii), but not in (iv). Is that correct?

Page 2, line 22, add a comma after "standards"

Page 2, line 29, what or who is "NBCC"? Was this acronym previously spelled out? Otherwise, provide the full name.

Page 2, line 35, delete the "and"

Page 3, line 15, why is this language different from the other items? Consider re-writing as follows:

"practice, including the following"

Page 3, line 20, add a comma after "psychopathology"

Abigail M. Hammond Commission Counsel Date submitted to agency: Thursday, May 22, 2014

- Page 4, line 3, delete the comma after "including"
- Page 4, line 16, add a comma after "dynamics"
- Page 4, line 26, add a comma after "criteria"
- Page 5, line 4, add a comma after "development"
- Page 5, line 31, add a comma after "construct"
- Page 5, line 33, this clause appears unclear. Should there be a comma after "internal"?
- Page 6, line 25, add "of this Chapter" after ".0305"
- Page 6, line 29 does not reflect the language as published in the Register. Please correct.
- Page 6, lines 30 thru 31 does not reflect the language as published in the Register. Please correct.

21 NCAC 53 .0701 is proposed for amendment as follows:

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3	21 NCAC 53 .0701	LICENSED PROFESSIONAL COUNSELOR ASSOCIATE	
4			
5	(a) A license as a I	censed Professional Counselor Associate (LPCA) licensed professional counselor associate	
6	shall be granted by	e Board to persons preparing for the practice of counseling who who have:	
7	(1) h	completed graduate training as defined in G.S. 90 336(b)(1) 90-336(b)(1);	
8	(2) h	completed a minimum of three semester hours or five quarter hours in each of the required	
9	C	rrsework areas of study as follows:	
10	(1	Coursework in the counseling process in a multicultural society including the study of	
11		basic counseling theories and providing a general knowledge of theories, their principle	<del>S,</del>
12		and techniques for application in counseling relationships. In addition, this coursework	÷
13		shall provide a broad understanding of philosophic bases of counseling processes, an	
14		orientation to wellness and prevention as desired counseling goals, essential interviewing	<del>ig</del>
15		and counseling skills, and consultation theories and their application in various	
16		professional settings. The course shall also provide a systems perspective that provides	ř
17		an understanding of family and other systems theories and major models of family and	
18		related interventions. Finally, this coursework shall include crisis intervention and	
19		suicide prevention models, including the use of psychological first aid strategies.	
20	<u>(4</u>	Coursework in Helping Relationships in Counseling. Studies in this area provide an	
21		understanding of counseling and consultation processes, including the following:	
22		(i) counseling and consultation theories, including both individual and systems	
23		perspectives as well as coverage of relevant research and factors considered in	
24		applications:	
25		(ii) basic interviewing, assessment, and counseling skills;	
26		(iii) counselor or consultant characteristics and behaviors that influence profession	<u>al</u>
27		counseling relationships, including age, gender and ethnic differences; verbal	
28		and nonverbal behaviors; personal behaviors; personal characteristics,	
29		orientations, and skills;	
30		(iv) client or consultee characteristics and behaviors that influence professional	
31		counseling relationships, including age gender, ethnic differences; verbal and	
32		nonverbal behaviors; and personal characteristics, orientations and skills; and	
33		(v) ethical considerations.	
34	Ð	Practicum and Internship experience provided in supervised graduate counseling	
35		experience in an university approved counseling setting for at minimum one semester	

duration and for academic credit in a regionally accredited program of study. This

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<del>gra</del>	duate counseling experience shall be completed as defined in Rule .0206 of this
Cha	<del>apter.</del>
B) Cor	ursework in Practicum and Internship. Practicum and internship experience should be
pro	vided in a supervised graduate counseling experience in a regionally accredited
pro	gram of study counseling setting for a minimum one semester duration (three semester
hou	rs or five quarter hours) for each practicum and internship. This graduate counseling
<u>exp</u>	erience shall be completed as defined in Rule .0206 of this Chapter.
(C) Cor	ursework in professional orientation and identity to the counseling profession
pro	viding an understanding of all aspects of functioning as a professional counselor,
inc	uding a history of the counseling profession, various roles contemporary counselors
hav	e in our society, membership in professional counseling associations, self-care
stra	tegies appropriate to the counselor role, ethical conduct, standards of preparation,
cre	lentialing processes, and counseling supervision models, practices, and processes.
Thi	s coursework shall increase knowledge of the evolution of the counseling profession
and	the role it has played in setting standards, advocating for a professional identity, and
pro	moting licensure and accreditation for the profession. Finally, this course shall
inc	ude the counselors' roles and responsibilities as members of an interdisciplinary
em	ergency management response team during a local, regional, or national crisis, disaster
<del>or (</del>	other trauma causing event.
C) Cor	ursework in Professional Orientation to Counseling. Studies in this area provide an
unc	erstanding of all aspects of professional functioning, including history, roles,
org	anizational structures, ethics, standards and credentialing, including the following:
<u>(i)</u>	history of the counseling profession, including significant factors and events;
<u>(ii)</u>	professional roles and functions of counselors, including similarities and
	differences with other types of professionals;
<u>(iii</u>	professional organizations (primarily ACA, its divisions, branches and
	affiliates), including membership benefits, activities, services to members, and
	current emphases;
<u>(iv)</u>	ethical standards of NBCC or ACA and related ethical and legal issues, and their
	applications to various professional activities (e.g., appraisal, group work);
<u>(v)</u>	professional counselor preparation standards, their evolution, and current
	applications;
<u>(vi)</u>	professional counselor credentialing, including counselor certification, licensure
	and accreditation practices and standards, and the effects of public policy on
	these issues; and
	these issues, and
<u>(vii</u>	
	Che  Che  Che  B) Cou  pro  hou  exp  C) Cou  pro  inel  hav  stra  crec  Thi  and  pro  inel  cma  or c  C) Cou  und  orga  (i)  (iii)  (iii)

1		(viii) ethical considerations.
2	<del>(D)</del>	Coursework in human growth and development providing a broad understanding of
3		human behavior, including and understanding of developmental crises, disability,
4		psychopathology, and situational and environmental theories of individual and family
5		development and transitions across the life span; theories of learning and of individual,
6		cultural, couple, family, and community resilience; theories and etiology of addictions
7		and addictive behaviors, including strategies for prevention, intervention, and treatment;
8		and theories for facilitating optimal development and wellness over the life span. In
9		addition, the coursework shall highlight the effects of crises, disasters, and other trauma-
10		causing events on persons of all ages. Finally, the coursework shall include a general
11		framework for understanding exceptional abilities and strategies for differentiated
12		interventions.
13	<u>(D)</u>	Coursework in Human Growth and Development Theories in Counseling. Studies in this
14		area provide an understanding of the nature and needs of individuals at all developmental
15		levels, relevant to counseling practice. These include the following:
16		(i) theories of individual and family development, and transitions across the life
17		span;
18		(ii) theories of learning and personality development;
19		(iii) human behavior, including an understanding of developmental crises, disability,
20		addictive behavior, psychopathology and environmental factors as they affect
21		both normal and abnormal behavior;
22		(iv) counseling strategies for facilitating development over the life span; and
23		(v) ethical considerations.
24	<del>(E)</del>	Coursework in social and cultural foundations in counseling providing an understanding
25		of theories of multicultural counseling, identity development, and social justice while
26		examining multicultural and pluralistic trends, including characteristics and concerns
27		within and among diverse groups nationally and internationally. In addition, the
28		coursework shall emphasize the counselors' roles in developing cultural self-awareness;
29		promoting cultural social justice; advocating and promoting conflict resolution;
30		appreciating other culturally supported behaviors that promote optimal wellness and
31		growth of the human spirit, mind, or body; and eliminating biases, prejudices, and
32		processes of intentional and unintentional oppression and discrimination. This
33		coursework shall include study of attitudes, beliefs, understandings, and acculturative
34		$experiences, including \ specific \ experiential \ learning \ activities \ designed \ to \ foster \ students'$
35		understanding of self and culturally diverse clients.

1	<u>(E)</u>	Coursework in Social and Cultural Foundations in Counseling. Studies in this area
2		provide an understanding of issues and trends in a multicultural and diverse society that
3		impact professional counselors and the counseling profession, including, the following:
4		(i) multicultural and pluralistic trends, including characteristics and concerns of
5		counseling individuals from diverse groups;
6		(ii) attitudes and behavior based on factors such as age, race, religious preferences,
7		physical disability, sexual orientation, ethnicity and culture, family patterns,
8		gender, socioeconomic status, and intellectual ability;
9		(iii) individual, family, and group counseling strategies with diverse populations; and
10		(iv) ethical considerations.
11	<del>(F)</del>	Coursework in group work including studies that provide a broad understanding of group
12		development, dynamics, methods, and counseling theories. This coursework shall help
13		students understand group leadership styles, basic and advanced group skills, and other
14		aspects of group counseling and group consultation.
15	<u>(F)</u>	Coursework in Group Counseling Theories and Processes. Studies in this area provide an
16		understanding of group development, dynamics and counseling theories; group
17		counseling methods and skills; and other group work approaches, including the
18		following:
19		(i) principals of group dynamics, including group counseling components,
20		developmental stage theories, and group members' roles and behaviors;
21		(ii) group leadership styles and approaches, including characteristics of various
22		types of group leaders and leadership styles;
23		(iii) theories of group counseling, including commonalities, distinguishing
24		characteristics, and pertinent research and literature;
25		(iv) group counseling methods, including group counselor orientations and
26		behaviors, ethical standards, appropriate selection criteria and methods of
27		evaluation of effectiveness;
28		(v) approaches used for other types of group work in counseling, including task
29		groups, support groups, and therapy groups; and
30		(vi) ethical considerations.
31	<del>(G)</del>	Coursework in career and vocational development and information including studies that
32		provide a broad understanding of career development theories and decision making
33		models as well as career and educational occupational and labor market information
34		resources. The coursework shall enhance student awareness of techniques, and resources,
35		including those applicable to specific populations in a global economy. The coursework
36		shall prepare students for career development program planning, organization,
37		implementation, administration, and evaluation. The coursework shall increase the

1		knowled	lge of the interrelationships among and between work, family, and other life roles
2		and fact	ors, including the role of multicultural issues in career development.
3	<u>(G)</u>	Coursey	vork in Career Counseling and Lifestyle Development. Studies in this area
4		provide	an understanding of career counseling, development and related life factors,
5		includin	g the following:
6		<u>(i)</u>	career-counseling theories and decision-making process;
7		<u>(ii)</u>	career, avocational, educational, and labor market information resources; visual
8			and print media; and computer-based career information systems;
9		(iii)	career-counseling program planning, organization, implementation,
10			administration, and evaluation;
11		(iv)	interrelationships among work, family, and other life roles and factors, including
12			multicultural and gender issues as related to career counseling;
13		<u>(v)</u>	career and educational placement counseling, follow-up, and evaluation;
14		(vi)	assessment instruments and techniques relevant to career counseling;
15		(vii)	computer-based career-development applications and strategies, including
16			computer-assisted career-counseling systems;
17		(viii)	career-counseling processes, techniques and resources, including those
18			applicable to specific populations; and
19		(ix)	ethical considerations.
20	<del>(H)</del>	Coursev	vork in appraisal including studies that provide a broad understanding of
21		historica	al perspectives concerning the nature and meaning of assessment as well as basic
22		concepts	s of standardized and non-standardized testing and other assessment techniques.
23		This cou	ursework shall develop a knowledge of statistical concepts, an understanding of
24		validity	and reliability; social and cultural factors related to the assessment and
25		evaluation	on; and ethical strategies for selecting, administering, and interpreting
26		assessm	ent, evaluation instruments and techniques in counseling.
27	<u>(H)</u>	Coursey	work in Assessment in Counseling. Studies in this area provide an understanding
28		of indivi	idual and group approaches to assessment and evaluation in counseling practice,
29		includin	g the following:
30		<u>(i)</u>	theoretical and historical bases for assessment techniques in counseling:
31		<u>(ii)</u>	validity, including evidence for establishing content, construct and empirical
32			validity;
33		(iii)	reliability, including methods of establishing stability, internal and equivalence
34			reliability;
35		<u>(iv)</u>	appraisal methods, including environmental assessment, performance
36			assessment, individual and group test and inventory methods, behavioral
37			observations, and computer-managed and computer-assisted methods;

1			(v)	psychometric statistics, including types of assessment scores, measures of
2				central tendency, indices of variability, standard errors, and correlations;
3			(vi)	age, gender, ethnicity, language, disability, and cultural factors related to the use
4				of assessment and evaluation in counseling services;
5			(vii)	strategies for selecting, administering, interpreting and using assessment and
6				evaluation instruments, and techniques in counseling; and
7			(viii)	ethical considerations.
8		<del>(I)</del>	Course	work in research including studies that provide a broad understanding of the
9			importa	nce of research in advancing the counseling profession. Included in this
10			coursev	vork shall be study of research methodology, statistical methods, the use of
11			research	to inform evidence based practice; and ethical and culturally relevant strategies
12			for inter	rpreting and reporting the results of research and program evaluation studies. In
13			addition	n, the coursework shall provide principles, models, and applications of needs
14			assessm	nent, program evaluation, and the use of finding to effect program modifications;
15		<u>(I)</u>	Courses	work in Research and Program Evaluation. Studies in this area provide an
16			underst	anding of types of research methods, basic statistics, and ethical and legal
17			conside	ration in research, including the following:
18			<u>(i)</u>	basic types of research methods to include qualitative and quantitative research
19				designs;
20			<u>(ii)</u>	basic parametric and nonparametric statistics;
21			(iii)	principles, practices, and applications of needs assessment and program
22				evaluation;
23			<u>(iv)</u>	uses of computers for data management and analysis; and
24			<u>(v)</u>	ethical and legal considerations.
25	(3)	has pass	ed an ex	amination as defined in Rule .0305; and
26	(4)	<del>has</del> subn	nitted a	complete application for LPCA. licensed professional counselor associate.
27	(b) To prevent a	lapse in l	icensure	e, Licensed Professional Counselor Associates licensed professional counselor
28	associates who de	esire to be	ecome <del>L</del>	icensed Professional Counselors (LPC) licensed professional counselors shall
29	complete the appl	lication p	rocess fo	or the <del>LPC</del> <u>licensed professional counselor</u> licensure no later than 60 days prior to
30	expiration of their	r <del>License</del>	<del>d Profes</del>	sional Counselor Associate licensed professional counselor associate license or
31	upon completion	of the su	pervised	professional practice hours <u>as set forth in Rule .0208 of this Chapter</u> to allow for
32	administrative pro	ocessing	and Boa	rd action.
33				
34	History Note:	Authorit	y G.S. 90	0-334(h); 90-336(a); 90-336(b);
35		Eff. Janı	ıary 1, 2	010;
36		Amende	d Eff. <u>Ju</u>	<u>ly 1, 2014;</u> January 1, 2010.
37				

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0702

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Lines 8 and 21 reference a "form." Where is the form located?

Line 9, add "the following" after "document"

Line 29, replace "An" with "A"

1 21 NCAC 53 .0702 is proposed for amendment as follows: 2 3 21 NCAC 53 .0702 SUPERVISED PRACTICE FOR LICENSED PROFESSIONAL COUNSELOR 4 ASSOCIATE 5 A Licensed Professional Counselor Associate licensed professional counselor associate may shall not practice unless 6 the following requirements have been met: 7 The Licensed Professional Counselor Associate licensed professional counselor associate shall (1) 8 submit a completed supervision contract, on forms provided by the Board. A supervision contract 9 form shall document: 10 the name of the qualified clinical supervisor; (a) 11 (b) contact information for the qualified clinical supervisor; 12 (c) the modality of supervision to be provided, such as direct (live) live observation, co-13 therapy, audio and video recordings, and live supervision, as defined by Rule .0208; 14 .0208 of this Chapter; 15 (d) the frequency of supervision; and 16 the name and physical location of the site where the proposed supervision. supervision (e) 17 will take place. 18 A separate supervision contract form shall be filed for each separate work setting. 19 (2) If receiving supervision from more than one supervisor, a separate supervision contract form shall 20 be filed for each individual qualified clinical supervisor. 21 (3) A supervisor shall document, on forms provided by the Board, each quarter that supervision has 22 occurred and shall file a final report upon termination or completion of supervision. 23 (4) If not receiving supervision, the Licensed Professional Counselor Associate licensed professional 24 counselor associate shall report such to the Board. A report shall be submitted to the Board within 25 two weeks of termination of supervision and within two weeks of a change in the conditions 26 specified in the supervision contract form on file with the Board. 27 (5) An LPCA A licensed professional counselor associate shall only provide counseling while under 28 the supervision of a qualified clinical supervisor. 29 An licensed professional counselor associate shall renew his or her license as a licensed (6) 30 professional counselor associate if the supervision requirements to become a licensed professional 31 counselor have not been completed prior to the expiration of the license. 32 33 History Note: Authority G.S. 90-334(h); 90-336(c); 34 Eff. January 1, 2010; Amended Eff. July 1, 2014; January 1, 2010. 35 36

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0801

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 7 does not reflect the language as published in the Register. Please correct.

Line 10, add a comma after "transcript"

Line 22, replace "can" with "may"

Page 2, lines 1 and 5 reference a "form." Where is the form located?

Page 2, lines 5 and 15, add "the following" before the colon

Page 2, line 22, replace "will" with "shall"

21 NCAC 53 .0801 is proposed for amendment as follows:

4		
3	21 NCAC 53 .0	0801 LICENSED PROFESSIONAL COUNSELOR SUPERVISOR
4	(a) The cred	lential of Licensed Professional Counselor Supervisor (LPCS) licensed professional counselor
5	supervisor shal	l be granted by the Board to a Licensed Professional Counselor licensed professional counselor who
6	has: has satisfie	ed the following requirements:
7	(1)	an active and unrestricted LPC license from the NC Board of Licensed Professional Counselors;
8		as defined in G.S. 90-336(d)(4);
9	(2)	the equivalent of three semester graduate credits in clinical supervision training from a regionally
10		accredited institution of higher education as documented by an official transcript; transcript or 45
11		contact hours of continuing education in clinical supervision;
12	(3)	documented required documentation of licensed professional counseling experience as defined in
13		G.S. 90 336(d)(2)a, b, or c on forms provided by the Board; 90-336(d)(2); and
14	(4)	a completed submitted a complete application for Licensed Professional Counselor Supervisor
15		licensed professional counselor supervisor.
16	(b) The LPCS	licensed professional counselor supervisor shall provide supervisees with a copy of a Professional
17	Disclosure Stat	ement specific to supervision that includes the following:
18	(1)	business address and telephone number of the LPCS; licensed professional counselor supervisor;
19	(2)	the listing of degrees, credentials, and licenses held by the LPCS; licensed professional counselor
20		supervisor;
21	(3)	general areas of competence in mental health practice for which the LPCS licensed professional
22		counselor supervisor can provide supervision (e.g., addictions counseling, school counseling,
23		career counseling);
24	(4)	a statement documenting training in supervision and experience in providing supervision;
25	(5)	a general statement addressing the model of or approach to supervision, including role of the
26		supervisor, objectives and goals of supervision, and modalities (e.g., tape review, live
27		observation);
28	(6)	a description of the evaluation procedures used in the supervisory relationship;
29	(7)	a statement defining the limits and scope of confidentiality and privileged communication within
30		the supervisory relationship;
31	(8)	a fee schedule, if applicable;
32	(9)	the emergency contact information for the LPCS; licensed professional counselor supervisor; and
33	(10)	a statement indicating that the LPCS licensed professional counselor supervisor follows the
34		American Counseling Association's Code of Ethics and the Center for Credentialing and
35		Education's Approved Clinical Supervisor Code of Ethics. Ethics as set forth in Rule .0102 of this
36		Chapter.

1 (c) The supervisor shall provide written or electronically submitted reports, on forms provided by the Board, each 2 quarter that supervision has occurred and shall file a final report upon termination or completion of supervision, 3 supervision. and The supervisor shall be available for consultation with the Board or its committees regarding the 4 supervisee's competence for licensure. 5 (d) A supervision contract form, as provided by the Board, shall document: 6 the name of the qualified clinical supervisor; (1) 7 (2) contact information for the qualified clinical supervisor; 8 (3) the modality of supervision to be provided, such as direct (live) live observation, co-therapy, audio 9 and video recordings, and live supervision, as defined by Rule .0208; .0208 of this Chapter; 10 (4) the frequency of supervision; and 11 (5) the name and physical location of the site where the proposed supervision. supervision will take 12 place. 13 A separate supervision contract form shall be filed for each supervisee. 14 (e) The LPCS, licensed professional counselor supervisor, in collaboration with the supervisee, shall maintain a log 15 of clinical supervision hours that includes: 16 (1) the date; 17 (2) supervision start and stop times; 18 (3) the modality of supervision to be provided, such as direct (live) live observation, co-therapy, audio 19 and video recordings, and live supervision, as defined by Rule .0208; .0208 of this Chapter; and 20 (4) notes on recommendations or interventions used during the supervision. 21 The LPCS licensed professional counselor supervisor will shall maintain copies of these logs for a minimum of 22 seven years beyond termination or completion of supervision and will provide copies to the Board for inspection 23 upon request. 24 25 History Note: Authority G.S. 90-334(h); 90-336(a); 90-336(d); 26 Eff. January 1, 2010;

69

Amended Eff. July 1, 2014; January 1, 2010.

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AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0901

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 7, replace "consist of" with "include the following". This change will require a change to the remainder of the text in accordance with 26 NCAC 02C .0405.

1	21 NCAC 53 .0901 is proposed for adoption as follows:
2	
3	G.S. 55B-10 The Professional Corporation Act; G.S. 57D-2-01(c) NC Limited Liability Act;
4	
5	21 NCAC 53 .0901 CERTIFICATE OF REGISTRATION FOR A PROFESSIONAL ENTITY
6	(a) The information required for an applicant to obtain a Certificate of Registration for a professional corporation or
7	professional limited liability company organized to render professional counseling services shall consist of:
8	(1) typed, or legibly printed, notarized application form;
9	(2) proof of licensure as a licensed professional counselor or licensed professional counselor
10	supervisor;
11	(3) registration fee; and
12	(4) a copy of the Articles of Incorporation or Articles of Organization.
13	(b) This Certificate of Registration shall remain effective until December 31 following the date of such registration.
14	
15	History Note: Authority G.S. <u>55B-10</u> ; 57D-2-01(c); <u>90-334(h)</u> ;
16	Eff. <u>July 1, 2014.</u>
17	

AGENCY: North Carolina Licensed Professional Counselors

RULE CITATION: 21 NCAC 53 .0902

**DEADLINE FOR RECEIPT: Tuesday, June 10, 2014** 

<u>NOTE WELL:</u> This request when viewed on computer extends several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this rule prior to the Commission's next meeting. The Commission has not yet reviewed this rule and therefore there has not been a determination as to whether the rule will be approved. You may call this office to inquire concerning the staff recommendation.

In reviewing these rules, the staff determined that the following technical changes need to be made. Approval of any rule is contingent upon making technical changes as set forth in G.S. 150B-21.10.

Line 12, underline the whole history note as this is an adoption.

1	21 NCAC 53 .0902 is proposed for adoption as follows:
2	
3	21 NCAC 53 .0902 RENEWAL OF CERTIFICATE OF REGISTRATION FOR A PROFESSIONAL
4	ENTITY
5	
6	A notification for renewal of professional corporation or professional limited liability company shall be sent to each
7	registered entity a minimum of 60 days prior to the December 31 expiration date. The Board shall renew the
8	certificate of registration upon receipt of the completed written renewal application of the holder and the renewal
9	fee. Failure to renew by the due date shall result in notification to the Secretary of State's Office to suspend the
10	Articles of Incorporation or Articles of Organization.
11 12	History Note: Authority G.S. <u>55B-11</u> ; 57D-2-01(c); <u>90-334(h)</u> ;
13	Eff. <u>July 1, 2014.</u>
14	