

21 NCAC 16T .0101 is readopted as published in 33:5 NCR 505 as follows:

## SUBCHAPTER 16T – PATIENT RECORDS

### SECTION .0100 – PATIENT RECORDS

#### 21 NCAC 16T .0101 RECORD CONTENT

A dentist shall maintain ~~complete~~ treatment records on all patients for a period of ~~at least~~ 10 years from the last treatment ~~date.~~ date, except that work orders must only be maintained for a period of 2 years. Treatment records may include such information as the dentist deems appropriate but shall include:

- (1) the patient's ~~Patient's~~ full name, address, and treatment dates;
- (2) the patient's ~~Patient's~~ nearest relative ~~emergency contact~~ or responsible party;
- (3) a current ~~Current~~ health history;
- (4) the diagnosis ~~Diagnosis~~ of condition;
- (5) the ~~Specific~~ treatment rendered and by whom;
- (6) the name ~~Name~~ and strength of any medications prescribed, dispensed, or administered along with the quantity and date provided;
- (7) the work ~~Work~~ orders issued; ~~issued during the past two years;~~
- (8) the treatment ~~Treatment~~ plans for patients of record, except that treatment plans are not required for patients seen only on an emergency basis;
- (9) the diagnostic ~~Diagnostic~~ radiographs, orthodontic study models, and other diagnostic aids, if taken;
- (10) the patient's ~~Patients'~~ financial records and copies of all insurance claim forms; ~~and~~
- (11) the rationale ~~Rationale~~ for prescribing each ~~narcotic.~~ narcotic; and
- (12) A written record that the patient gave informed consent consistent with Rule .0103 of this Section.

*History Note: Authority G.S. 90-28; 90-48;  
Eff. October 1, 1996;  
Amended Eff. May 1, 2016; July 1, 2015;  
Readopted with substantive changes January 1, 2019.*

21 NCAC 16T .0103 is adopted as published in 33:5 NCR 505 as follows:

**21 NCAC 16T .0103 INFORMED CONSENT**

(a) To obtain informed consent to a specific procedure or treatment to be provided, the dentist shall discuss with a patient or other person authorized by the patient or by law to give informed consent on behalf of the patient, prior to any treatment or procedure, information sufficient to permit the patient or authorized person to understand:

(1) the condition to be treated;

(2) the specific procedures and treatments to be provided;

(3) the anticipated results of the procedures and treatments to be provided;

(4) the risks and hazards of the procedures or treatments to be provided that are recognized by dentists engaged in the same field of practice;

(5) the risks of foregoing the proposed treatments or procedures; and

(6) alternative procedures or treatment options;

(b) A dentist is not required to obtain informed consent if

(1) treatment is rendered on an emergency basis; and

(2) the patient is incapacitated.

*History Note: Authority G.S. 90-28; 90-48;*

*Eff. January 1, 2019.*