

10A NCAC 13F .1801 is adopted with changes as published in 35:19 NCR 2133-2136 as follows:

### SECTION .1800 - INFECTION PREVENTION AND CONTROL

#### 10A NCAC 13F .1801 INFECTION PREVENTION AND CONTROL ~~PROGRAM~~ POLICIES AND PROCEDURES

(a) In accordance with Rule .1211(a)(4) of this Subchapter and G.S. 131D-4.4A(b)(1), the facility shall establish and implement an infection prevention and control ~~program (IPCP)~~ policies and procedures consistent with the federal Centers for Disease Control and Prevention (CDC) published guidelines on infection prevention and control.

~~(b) The Department shall approve a set of policies and procedures for infection prevention and control consistent with the federal CDC published guidelines on infection prevention and control that shall be made available on the Division of Health Service Regulation, Adult Care Licensure Section website at <https://info.ncdhhs.gov/dhsr/acls/acforms.html> at no cost. The facility shall assure the following policies and procedures are established and implemented consistent with the federal CDC published guidelines, which are hereby incorporated by reference including subsequent amendments and editions, on infection control that are accessible at no charge online at <https://www.cdc.gov/infectioncontrol>, and addresses either:~~

- ~~(1) utilize the set of policies and procedures for infection prevention and control approved by the Department;~~
- ~~(2) develop policies and procedures for infection prevention and control that are consistent with the set of Department approved policies and procedures; or~~
- ~~(3) develop policies and procedures for infection prevention and control that are based on nationally recognized standards in infection prevention and control that are consistent with the federal CDC published guidelines on infection prevention and control.~~

~~(b) The facility's infection and control policies and procedures shall be implemented by the facility and shall address the following:~~

- ~~(1) Standard and transmission-based precautions, for which guidance can be found on the CDC website at <https://www.cdc.gov/infectioncontrol/basics>, precautions, including:~~
  - ~~(A) respiratory hygiene and cough etiquette;~~
  - ~~(B) environmental cleaning and disinfection;~~
  - ~~(C) reprocessing and disinfection of reusable resident medical equipment;~~
  - ~~(D) hand hygiene;~~
  - ~~(E) accessibility and proper use of personal protective equipment (PPE); and~~
  - ~~(F) types of transmission-based precautions and when each type is indicated, including contact precautions, droplet precautions, and airborne ~~precautions~~ precautions;~~
- ~~(2) When and how to report to the local health department when there is a suspected or confirmed reportable communicable disease case or condition, or communicable disease outbreak in accordance with Rule .1802 of this ~~Section~~ Section;~~

(3) Measures ~~for~~ the facility ~~should~~ to consider taking in the event of a communicable disease outbreak to prevent the spread of illness, such as isolating infected residents; limiting or stopping group activities and communal dining; limiting or restricting outside visitation to the facility; screening staff, ~~residents~~ residents, and visitors for signs of illness; and use of source control as tolerated by the ~~residents~~. residents; and

(4) Strategies for addressing potential staffing issues and ensuring staffing to meet the needs of the residents during a communicable disease ~~outbreak~~. outbreak.

(c) When a communicable disease outbreak has been identified at the facility or there is an emerging infectious disease threat, the facility shall ensure implementation of the facility's ~~IPCP, related~~ infection prevention and control policies and procedures, ~~and published guidance issued by the CDC; however, if~~ and when issued, guidance or directives specific to the communicable disease outbreak or emerging infectious disease threat that have been issued in writing by the North Carolina Department of Health and Human Services or local health ~~department, the specific guidance or~~ department, the specific guidance or ~~directives shall be implemented by the facility.~~ department.

(d) In accordance with Rule .1211 of this Subchapter and G.S. 131D-4.4A(b)(4), the facility shall ensure all staff are trained within 30 days of hire and annually on the policies and procedures listed in Subparagraphs (b)(1) through ~~(2)~~ (b)(2) of this Rule.

(e) The policies and procedures listed in Paragraph (b) of this Rule shall be maintained in the facility and accessible to staff working at the facility.

*History Note: Authority G.S. 131D-2.16; 131D-4.4A; 143B-165;*  
*Emergency Adoption Eff. October 23, 2020;*  
*Temporary Adoption Eff. December 30, ~~2020~~. 2020;*  
*Adopted Eff. December 1, 2021.*

10A NCAC 13F .1802 is adopted with changes as published in 35:19 NCR 2133-2136 as follows:

**10A NCAC 13F .1802     REPORTING AND NOTIFICATION OF A SUSPECTED OR CONFIRMED  
COMMUNICABLE DISEASE OUTBREAK**

(a) The facility shall report suspected or confirmed communicable diseases and conditions within the time period and in the manner determined by the Commission for Public Health as specified in 10A NCAC 41A .0101 and 10A NCAC 41A .0102(a)(1) through (a)(3), which are hereby incorporated by reference, including subsequent amendments.

(b) The facility shall ~~inform~~ provide the residents and their representative(s) and staff with an initial notice within 24 hours following confirmation by the local health department of a communicable disease outbreak. The facility, in its initial notification to residents and their representative(s), shall:

- (1) not disclose any personally identifiable information of the residents or staff;
- (2) provide information on the measures the facility is taking to prevent or reduce the risk of transmission, including whether normal operations of the facility will change; and
- ~~(3) provide weekly updates until the communicable illness within the facility has resolved, as determined by the local health department; and~~
- ~~(4) provide education to the resident(s) concerning measures they can take to reduce the risk of spread or transmission of infection.~~
- (3) provide information to the resident(s) concerning measures they can take to reduce the risk of spread or transmission of infection.

(c) Following the initial notice to residents and their representative(s) of a communicable disease outbreak, the facility shall provide the following:

- (1) an update every two weeks until the communicable illness within the facility has resolved, as determined by the local health department; and
- (2) an update that the communicable illness within the facility has resolved, as determined by the local health department.

*History Note: Authority G.S. 131D-2.16; 131D-4.4B; 131D-4.5; 143B-165;  
Emergency Adoption Eff. October 23, 2020;  
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10A NCAC 13G .1701 is adopted with changes as published in 35:19 NCR 2133-2136 as follows:

### SECTION .1700 - INFECTION PREVENTION AND CONTROL

#### 10A NCAC 13G .1701 INFECTION PREVENTION AND CONTROL ~~PROGRAM~~ POLICIES AND PROCEDURES

(a) In accordance with Rule .1211(a)(4) of this Subchapter and G.S. 131D-4.4A(b)(1), the facility shall establish and implement ~~an~~ infection prevention and control ~~program (IPCP)~~ policies and procedures consistent with the federal Centers for Disease Control and Prevention (CDC) published guidelines on infection prevention and control.

~~(b) The Department shall approve a set of policies and procedures for infection prevention and control consistent with the federal CDC published guidelines on infection prevention and control that will be made available on the Division of Health Service Regulation, Adult Care Licensure Section website at <https://info.ncdhhs.gov/dhsr/acls/acforms.html> at no cost. The facility shall assure the following policies and procedures are established and implemented consistent with the federal CDC published guidelines, which are hereby incorporated by reference including subsequent amendments and editions, on infection control that are accessible at no charge online at <https://www.cdc.gov/infectioncontrol>, and addresses either:~~

(1) utilize the set of policies and procedures for infection prevention and control approved by the Department;

(2) develop policies and procedures for infection and prevention and control that are consistent with the set of Department approved policies and procedures; or

(3) develop policies and procedures for infection prevention and control that are based on nationally recognized standards in infection prevention and control that are consistent with the federal CDC published guidelines on infection prevention and control.

~~(b) The facility's infection and control policies and procedures shall be implemented by the facility and shall address the following:~~

(1) ~~Standard and transmission-based precautions, for which guidance can be found on the CDC website at <https://www.cdc.gov/infectioncontrol/basics>, precautions, including:~~

(A) respiratory hygiene and cough etiquette;

(B) environmental cleaning and disinfection;

(C) reprocessing and disinfection of reusable resident medical equipment;

(D) hand hygiene;

(E) accessibility and proper use of personal protective equipment (PPE); and

(F) types of transmission-based precautions and when each type is indicated, including contact precautions, droplet precautions, and airborne ~~precautions~~ precautions;

(2) When and how to report to the local health department when there is a suspected or confirmed reportable communicable disease case or condition, or communicable disease outbreak in accordance with Rule .1702 of this ~~Section~~ Section;

(3) Measures ~~for~~ the facility ~~should~~ to consider taking in the event of a communicable disease outbreak to prevent the spread of illness, such as isolating infected residents; limiting or stopping group activities and communal dining; limiting or restricting outside visitation to the facility; screening staff, ~~residents~~ residents, and visitors for signs of illness; and use of source control as tolerated by the ~~residents~~. residents; and

(4) Strategies for addressing potential staffing issues and ensuring staffing to meet the needs of the residents during a communicable disease ~~outbreak~~. outbreak.

(c) When a communicable disease outbreak has been identified at the facility or there is an emerging infectious disease threat, the facility shall ensure implementation of the facility's ~~IPCP, related~~ infection prevention and control policies and procedures, ~~and published guidance issued by the CDC; however, if~~ and when issued, guidance or directives specific to the communicable disease outbreak or emerging infectious disease threat that have been issued in writing by the North Carolina Department of Health and Human Services or local health ~~department, the specific guidance or~~ department. ~~directives shall be implemented by the facility.~~

(d) In accordance with Rule .1211 of this Subchapter and G.S. 131D-4.4A(b)(4), the facility shall ensure all staff are trained within 30 days of hire and annually on the policies and procedures listed in Subparagraphs (b)(1) through ~~(2)~~ (b)(2) of this Rule.

(e) The policies and procedures listed in Paragraph (b) of this Rule shall be maintained in the facility and accessible to staff working at the facility.

*History Note: Authority G.S. 131D-2.16; 131D-4.4A; 143B-165;*  
*Emergency Adoption Eff. October 23, 2020;*  
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10A NCAC 13G .1702 is adopted with changes as published in 35:19 NCR 2133-2136 as follows:

**10A NCAC 13G .1702 REPORTING AND NOTIFICATION OF A SUSPECTED OR CONFIRMED  
COMMUNICABLE DISEASE OUTBREAK**

(a) The facility shall report suspected or confirmed communicable diseases and conditions within the time period and in the manner determined by the Commission for Public Health as specified in 10A NCAC 41A .0101 and 10A NCAC 41A .0102(a)(1) through (a)(3), which are hereby incorporated by reference, including subsequent amendments.

(b) The facility shall ~~inform~~ provide the residents and their representative(s) and staff with an initial notice within 24 hours following confirmation by the local health department of a communicable disease outbreak. The facility, in its initial notification to residents and their representative(s), shall:

- (1) not disclose any personally identifiable information of the residents or staff;
- (2) provide information on the measures the facility is taking to prevent or reduce the risk of transmission, including whether normal operations of the facility will change; and
- ~~(3) provide weekly updates until the communicable illness within the facility has resolved, as determined by the local health department; and~~
- ~~(4) provide education to the resident(s) concerning measures they can take to reduce the risk of spread or transmission of infection.~~
- (3) provide information to the resident(s) concerning measures they can take to reduce the risk of spread or transmission of infection.

(c) Following the initial notice to residents and their representative(s) of a communicable disease outbreak, the facility shall provide the following:

- (1) an update every two weeks until the communicable illness within the facility has resolved, as determined by the local health department; and
- (2) an update that the communicable illness within the facility has resolved, as determined by the local health department.

*History Note: Authority G.S. 131D-2.16; 131D-4.4B; 131D-4.5; 143B-165;  
Emergency Adoption Eff. October 23, 2020;  
Temporary Adoption Eff. December 30, 2020. 2020;  
Adopted Eff. December 1, 2021.*