

G.S. 150B-21.3A Report for 11 NCAC 01, DEPARTMENTAL RULES									
Agency - Department of Insurance									
Comment Period - September 13 - November 16, 2018									
Date Submitted to APO - Filled in by RRC staff									
Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(e)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 01 .0103	LOCATION AND MAILING ADDRESS	Amended Eff. November 1, 2005	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0107	PUBLIC INFORMATION AND DEPARTMENTAL RECORDS	Amended Eff. August 1, 1988	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0108	DEFINITIONS AND RULES OF CONSTRUCTION FOR THIS TITLE	Eff. January 1, 1993	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0200 - DEPARTMENTAL RULES	11 NCAC 01 .0201	LOCATION OF AND INSPECTION OF DEPARTMENTAL RULES	Amended Eff. December 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0204	NOTICE OF RULE-MAKING HEARINGS	Amended Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0205	RULE-MAKING HEARINGS: GENERAL INFORMATION	Readopted Eff. May 12, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0209	INSTRUCTIONS FOR FILING A PETITION FOR RULE-MAKING	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0300 - DECLARATORY RULINGS	11 NCAC 01 .0301	DECLARATORY RULINGS: GENERAL INFORMATION	Amended Eff. March 1, 2011	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0302	PETITION FOR DECLARATORY RULING	Amended Eff. August 1, 1988	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0303	RESPONSE OF COMMISSIONER TO PETITION	Amended Eff. August 1, 1988	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0400 - ADMINISTRATIVE HEARINGS	11 NCAC 01 .0401	RIGHT TO HEARING	Amended Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0403	REQUEST FOR HEARING	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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		11 NCAC 01 .0413	DEFINITIONS	Amended Eff. April 1, 1995	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0414	GENERAL PROVISIONS	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0415	ORDER FOR PREHEARING STATEMENTS	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0416	DUTIES OF THE HEARING OFFICER	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0417	CONSENT ORDER; SETTLEMENT; STIPULATION	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0418	SETTLEMENT CONFERENCE	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0419	PREHEARING CONFERENCE	Amended Eff. May 1, 2008	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0420	CONSOLIDATION OF CASES	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0421	DISCOVERY	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0422	SUBPOENAS	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0423	SANCTIONS	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest

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		11 NCAC 01 .0424	MOTIONS	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0425	INTERVENTION	Amended Eff. September 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0426	CONTINUANCES	Eff. August 3, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0427	RIGHTS AND RESPONSIBILITIES OF PARTIES	Amended Eff. August 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0428	WITNESSES	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0429	EVIDENCE	Amended Eff. May 1, 2008	Necessary without substantive public interest	No		Yes	Necessary without substantive public interest
		11 NCAC 01 .0430	OFFICIAL RECORD	Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest
	SECTION .0600 - GENERAL DEPARTMENTAL POLICIES	11 NCAC 01 .0601	COMMUNICATIONS WITH INSURANCE COMPANIES	Amended Eff. August 1, 1988	Necessary without substantive public interest	No		No	Necessary without substantive public interest
		11 NCAC 01 .0602	INSURANCE COMPANIES' RESPONSE TO DEPARTMENTAL INQUIRIES	Amended Eff. August 1, 1988	Necessary without substantive public interest	No		No	Necessary without substantive public interest