

REQUEST FOR TECHNICAL CHANGE

AGENCY: State Human Resources Commission

RULE CITATION: All Rules

DEADLINE FOR RECEIPT: June 10, 2020

PLEASE NOTE: *This request may extend to several pages. Please be sure you have reached the end of the document.*

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

Are these Rules being proposed by the Office of State Human Resources or the State Human Resources Commission? Please ensure Box 1 of your Submission for Permanent Rule Form reflects the agency proposing the Rules.

Please confirm these Rules were approved by the Governor in accordance with G.S. 126-4.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Ashley Snyder
Commission Counsel
Date submitted to agency: May 27, 2020

REQUEST FOR TECHNICAL CHANGE

AGENCY: State Human Resources Commission

RULE CITATION: 25 NCAC 01E .0311

DEADLINE FOR RECEIPT: June 10, 2020

PLEASE NOTE: *This request may extend to several pages. Please be sure you have reached the end of the document.*

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

At line 5, please capitalize "State" if you are only referring to North Carolina. Please do not capitalize "state" if you are referring to any state.

At lines 5-6, please refer to "Rule .0210 of this Subchapter."

In (b), by "leave" are you referring to sick leave, vacation leave, or both?

Does paragraph (b) repeat the contents of .0210(d)? Why is (b) necessary?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Ashley Snyder
Commission Counsel
Date submitted to agency: May 27, 2020

Permanent Amendment for Publication in the NCAC

25 NCAC 01E .0311 is amended as published in 34:12 NCR 1158 as follows:

25 NCAC 01E .0311 SEPARATION

(a) Unused sick leave is not paid when an employee separates from state ~~service.~~service except as provided in 25 NCAC 01E .0210.

(b) If an employee separates and is overdrawn on leave, deductions shall be made from the final salary check.

History Note: Authority G.S. 126-4;

Eff. February 1, 1976;

Amended Eff. December 1, 2007; July 1, 1995; January 1, 1983;

Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. October 4, 2016.

Amended Eff. July 1, 2020.

REQUEST FOR TECHNICAL CHANGE

AGENCY: State Human Resources Commission

RULE CITATION: 25 NCAC 01J .1306

DEADLINE FOR RECEIPT: June 10, 2020

PLEASE NOTE: *This request may extend to several pages. Please be sure you have reached the end of the document.*

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following technical changes be made:

In (1), under what circumstances is back pay "warranted by law?" Are you referring to any specific statutes? Does your regulated public understand which laws you are referring to?

In (11)(b), consider: "division, department, or school."

In (12), you say "one component of the decision to award back pay..." Does this mean there are other components or factors considered? If so, what are they? Are they in statute or rule?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Ashley Snyder
Commission Counsel
Date submitted to agency: May 27, 2020

25 NCAC 01J .1306 is amended as published in 34:12 NCR 1158 as follows:

25 NCAC 01J .1306 BACK PAY

In grievances:

- (1) Back pay may be awarded in all cases in which back pay is warranted by law.
- (2) Full or partial back pay shall not be dependent upon whether reinstatement is ordered.
- (3) Gross back pay shall always be reduced by any gross interim earnings, except that interim earnings from employment that was approved secondary employment prior to dismissal shall not be set off against gross back pay. Any unemployment insurance benefits paid to the employee shall also be deducted from the gross back pay amount ~~due, due if the unemployment insurance benefits were not taxed when received by the employee.~~
- (4) All applicable State and federal withholding taxes, including social security taxes, shall be paid from the reduced gross back pay due. "Reduced gross back pay" is gross back pay due minus interim earnings and unemployment insurance benefits received.
- (5) The employee's regular retirement contribution shall be paid on the total, unreduced amount of gross back pay due.
- (6) Back pay shall include payment for all holidays that the grievant would have been paid for except for the interruption in employment status. Holiday premium pay shall not be a part of any back pay award.
- (7) Shift pay shall be a part of a back pay award if the grievant would have been entitled to the pay in the absence of the interruption in employment. This benefit shall not be applicable in cases involving a failure to hire or a failure to promote.
- (8) Employees shall not be entitled to any discretionary pay that may or may not have been awarded to them in the absence of the interruption in employment, including merit increments.
- (9) Back pay shall include any across-the-board compensation that would have been included in the grievant's regular salary except for the interruption in employment. This includes one time "bonuses," and across-the-board legislative pay increases.
- (10) If the grievant's longevity eligibility date occurred during the period of interrupted employment, back pay shall include the difference between the prorated longevity payment made at dismissal and the amount of longevity pay that would have been payable had employment not been interrupted. If the grievant is reinstated prior to his or her longevity date, no adjustment for longevity pay shall be made in the back pay award. The prorated longevity payment made at the time of dismissal shall be deducted from the full amount otherwise payable on the next longevity eligibility date.
- (11) Back pay shall be applied for on the Office of State Human Resources form, available on the Office of State Human Resources website, www.oshr.nc.gov. The back pay application form requires the following information:

Permanent Amendment for Publication in the NCAC

- (a) agency or university name;
- (b) division or department or school;
- (c) employee name;
- (d) employee social security number;
- (e) position classification;
- (f) position number; and
- (g) a notarized sworn statement verifying the following information for a total earnings calculation:
- ~~(i) gross earnings for back pay;~~
- ~~(ii)(i)~~ gross interim income, not including secondary employment approved prior to adverse action; and
- ~~(iii)(ii)~~ unemployment compensation. ~~compensation (untaxed).~~
- (12) One component of the decision to award back pay shall be evidence, if any, of the grievant's efforts to obtain available employment following separation from State government. The burden of proof that an employee mitigated his or her lost wages by seeking employment following separation shall be on the employee.

History Note: Authority G.S. 126-4(9); 126-34.01; 126 -34.02;
Temporary Adoption Eff. May 23, 2014;
Eff. April 1, 2015;
Readopted Eff. April 1, ~~2018~~ 2018;
Amended Eff. July 1, 2020.