

| G.S. 150B-21.3A Report for 04 NCAC 20, EMPLOYMENT AND TRAINING |   |                   |   |   |   |  |                             |  |  |
|--|---|-------------------|---|---|---|--|-----------------------------|--|--|
| Agency - Department of Commerce                                |   |                   |   |   |   |  |                             |  |  |
| Comment Period - 10/10/2018 through 12/18/2018                 |   |                   |   |   |   |  |                             |  |  |
| Date Submitted to APO - Filled in by RRC staff                 |   |                   |   |   |   |  |                             |  |  |
| Subchapter   | Rule Section  | Rule Citation     | Rule Name   | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a]      | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
| SUBCHAPTER 20A - GENERAL PROVISIONS                            |   | 04 NCAC 20A .0101 | DEFINITIONS   | Amended Eff. May 1, 1992                | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20A .0102 | APPLICABILITY OF RULES                              | Amended Eff. December 1, 1991           | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20A .0103 | FUNCTIONS AND LOCATION OF THE DIVISION              | Amended Eff. May 1, 1992                | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20A .0104 | REQUESTS FOR INFORMATION BY THE PUBLIC              | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
| SUBCHAPTER 20B - ADMINISTRATIVE PROVISIONS                     | SECTION .0100 - DESIGNATION OF SERVICE DELIVERY       | 04 NCAC 20B .0101 | DESIGNATION OF SERVICE DELIVERY AREAS               | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0102 | REDESIGNATION OF SERVICE DELIVERY AREAS             | Amended Eff. August 1, 1988             | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0200 - PLANNING AND CONTRACTING              | 04 NCAC 20B .0201 | JOB TRAINING PLANS FOR SERVICE DELIVERY AREAS       | Amended Eff. August 1, 1988             | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0202 | JOB TRAINING CONTRACTS BY THE DIVISION              | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0203 | CONTRACT STANDARDS                                  | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0300 - FISCAL STANDARDS                      | 04 NCAC 20B .0301 | BUDGETING   | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0302 | ACCOUNTING STANDARDS                                | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0303 | COST STANDARDS                                      | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0304 | PROCUREMENT AND PROPERTY STANDARDS                  | Amended Eff. June 1, 1985               | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0400 - PERSONNEL STANDARDS FOR SUBRECIPIENTS | 04 NCAC 20B .0401 | GENERAL PERSONNEL STANDARDS FOR SUBRECIPIENTS       | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0404 | CERTAIN POLITICAL ACTIVITIES PROHIBITED             | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0412 | NON-DISCRIMINATION AND EQUAL EMPLOYMENT OPPORTUNITY | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0413 | NEPOTISM PROHIBITED                                 | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0500 - REPORTING AND EVALUATION              | 04 NCAC 20B .0501 | REPORTING AND RECORDS                               | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0502 | EVALUATION  | Amended Eff. August 1, 1988             | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0600 - AUDIT STANDARDS                       | 04 NCAC 20B .0601 | ANNUAL FINANCIAL AND COMPLIANCE AUDIT               | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |

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| Subchapter   | Rule Section  | Rule Citation     | Rule Name   | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a]      | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
|  |   | 04 NCAC 20B .0602 | AUDIT CONTRACTS                                   | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0603 | EXCEPTIONS TO AUDIT REQUIREMENTS                  | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0604 | AUDIT RESOLUTION PROCESS                          | Amended Eff. October 1, 1984            | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0700 - STATE JOB TRAINING COORDINATING COUNCIL | 04 NCAC 20B .0701 | MEMBERSHIP AND FUNCTIONS OF COUNCIL               | Amended October 1, 1984                 | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0702 | ACTIONS AGAINST THE COUNCIL OR COUNCIL MEMBERS    | Amended August 1, 1988                  | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0800 - MISCELLANEOUS PROVISIONS                | 04 NCAC 20B .0801 | COOPERATIVE AGREEMENTS                            | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0802 | STATE LABOR MARKET INFORMATION SYSTEM DESIGNATION | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0803 | WAIVER UNDER SECTION 108(C) OF JTPA               | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0804 | DIVISION DIRECTIVES                               | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20B .0805 | SECTARIAN ACTIVITIES PROHIBITED                   | Eff. October 1, 1984                    | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  | SECTION .0900 - EMPLOYMENT AND TRAINING GRANT PROGRAM   | 04 NCAC 20B .0909 | PERFORMANCE STANDARDS                             | Eff. December 1, 1991                   | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
| SUBCHAPTER 20C - ADJUDICATIVE PROVISIONS/GENERAL PROVISIONS FOR ADMINISTRATIVE ADJUDICATIONS |   | 04 NCAC 20C .0101 | ADMINISTRATIVE PROCEDURES GENERALLY               | Amended Eff. May 1, 1992                | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20C .0102 | PROCEDURES FOR ADMINISTRATIVE REVIEW              | Amended Eff. August 1, 1988             | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20C .0103 | PROCEDURES FOR DIVISION REVIEW                    | Amended Eff. August 1, 1988             | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20C .0104 | PROCEDURES FOR GRIEVANCES                         | Amended Eff. August 1, 1988             | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |
|  |   | 04 NCAC 20C .0106 | SANCTIONS   | Amended Eff. August 1, 1988             | Necessary without substantive public interest | No   |                             | No   | Necessary without substantive public interest                    |