

NORTH CAROLINA REGISTER

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April 15, 2025

I. EXECUTIVE ORDERS	
Executive Order No. 10	1345 – 1348
II. IN ADDITION	
License and Theft Bureau – Notices of License Application Submissions	1349 – 1353
III. PROPOSED RULES	
Health and Human Services, Department of	
Medical Care Commission	1354 – 1358
Occupational Licensing Boards and Commissions	
Interpreter and Transliterator Licensing Board	1358 – 1359
IV. RULES REVIEW COMMISSION	1360 – 1384

PUBLISHED BY

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For questions or concerns regarding the Administrative Procedure Act or any of its components, consult with the agencies below. The bolded headings are typical issues which the given agency can address but are not inclusive.

Rule Notices, Filings, Register, Deadlines, Copies of Proposed Rules, etc.

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NORTH CAROLINA REGISTER
Publication Schedule for January 2025 – December 2025

FILING DEADLINES			NOTICE OF TEXT		PERMANENT RULE			TEMPORARY RULES
Volume & issue number	Issue date	Last day for filing	Earliest date for public hearing	End of required comment Period	Deadline to submit to RRC for review at next meeting	RRC Meeting Date	Earliest Eff. Date of Permanent Rule	270 th day from publication in the Register
39:13	01/02/25	12/06/24	01/17/25	03/03/25	03/20/25	04/24/2025	05/01/25	09/29/25
39:14	01/15/25	12/19/24	01/30/25	03/17/25	03/20/25	04/24/2025	05/01/25	10/12/25
39:15	02/03/25	01/10/25	02/18/25	04/04/25	04/20/25	05/29/2025	06/01/25	10/31/25
39:16	02/17/25	01/27/25	03/04/25	04/21/25	05/20/25	06/26/2025	07/01/25	11/14/25
39:17	03/03/25	02/10/25	03/18/25	05/02/25	05/20/25	06/26/2025	07/01/25	11/28/25
39:18	03/17/25	02/24/25	04/01/25	05/16/25	05/20/25	06/26/2025	07/01/25	12/12/25
39:19	04/01/25	03/11/25	04/16/25	06/02/25	06/20/25	07/30/2025	08/01/25	12/27/25
39:20	04/15/25	03/25/25	04/30/25	06/16/25	06/20/25	07/30/2025	08/01/25	01/10/26
39:21	05/01/25	04/09/25	05/16/25	06/30/25	07/20/25	08/28/2025	09/01/25	01/26/26
39:22	05/15/25	04/24/25	05/30/25	07/14/25	07/20/25	08/28/2025	09/01/25	02/09/26
39:23	06/02/25	05/09/25	06/17/25	08/01/25	08/20/25	09/25/2025	10/01/25	02/27/26
39:24	06/16/25	05/23/25	07/01/25	08/15/25	08/20/25	09/25/2025	10/01/25	03/13/26
40:01	07/01/25	06/10/25	07/16/25	09/02/25	09/20/25	10/30/2025	11/01/25	03/28/26
40:02	07/15/25	06/23/25	07/30/25	09/15/25	09/20/25	10/30/2025	11/01/25	04/11/26
40:03	08/01/25	07/11/25	08/16/25	09/30/25	10/20/25	11/20/2025	12/01/25	04/28/26
40:04	08/15/25	07/25/25	08/30/25	10/14/25	10/20/25	11/20/2025	12/01/25	05/12/26
40:05	09/02/25	08/11/25	09/17/25	11/03/25	11/20/25	12/18/2025	01/01/26	05/30/26
40:06	09/15/25	08/22/25	09/30/25	11/14/25	11/20/25	12/18/2025	01/01/26	06/12/26
40:07	10/01/25	09/10/25	10/16/25	12/01/25	12/20/25	*01/29/2026	02/01/26	06/28/26
40:08	10/15/25	09/24/25	10/30/25	12/15/25	12/20/25	*01/29/2026	02/01/26	07/12/26
40:09	11/03/25	10/13/25	11/18/25	01/02/26	01/20/26	*02/26/2026	03/01/26	07/31/26
40:10	11/17/25	10/24/25	12/02/25	01/16/26	01/20/26	*02/26/2026	03/01/26	08/14/26
40:11	12/01/25	11/05/25	12/16/25	01/30/26	02/20/26	*03/26/2026	04/01/26	08/28/26
40:12	12/15/25	11/20/25	12/30/25	02/13/26	02/20/26	*03/26/2026	04/01/26	09/11/26

*Dates not approved by RRC

EXPLANATION OF THE PUBLICATION SCHEDULE

This Publication Schedule is prepared by the Office of Administrative Hearings as a public service and the computation of time periods are not to be deemed binding or controlling. Time is computed according to 26 NCAC 2C .0302 and the Rules of Civil Procedure, Rule 6.

GENERAL

The North Carolina Register shall be published twice a month and contains the following information submitted for publication by a state agency:

- (1) temporary rules;
- (2) text of proposed rules;
- (3) text of permanent rules approved by the Rules Review Commission;
- (4) emergency rules
- (5) Executive Orders of the Governor;
- (6) final decision letters from the U.S. Attorney General concerning changes in laws affecting voting in a jurisdiction subject of Section 5 of the Voting Rights Act of 1965, as required by G.S. 120-30.9H; and
- (7) other information the Codifier of Rules determines to be helpful to the public.

COMPUTING TIME: In computing time in the schedule, the day of publication of the North Carolina Register is not included. The last day of the period so computed is included, unless it is a Saturday, Sunday, or State holiday, in which event the period runs until the preceding day which is not a Saturday, Sunday, or State holiday.

FILING DEADLINES

ISSUE DATE: The Register is published on the first and fifteen of each month if the first or fifteenth of the month is not a Saturday, Sunday, or State holiday for employees mandated by the State Human Resources Commission. If the first or fifteenth of any month is a Saturday, Sunday, or a holiday for State employees, the North Carolina Register issue for that day will be published on the day of that month after the first or fifteenth that is not a Saturday, Sunday, or holiday for State employees.

LAST DAY FOR FILING: The last day for filing for any issue is 15 days before the issue date excluding Saturdays, Sundays, and holidays for State employees.

NOTICE OF TEXT

EARLIEST DATE FOR PUBLIC HEARING: The hearing date shall be at least 15 days but not later than 60 days after the date a notice of the hearing is published.

END OF REQUIRED COMMENT PERIOD
An agency shall accept comments on the text of a proposed rule for at least 60 days after the text is published.

DEADLINE TO SUBMIT TO THE RULES REVIEW COMMISSION: The Commission shall review a rule submitted to it on or before the twentieth of a month by the last day of the next month.



State of North Carolina

JOSH STEIN
GOVERNOR

March 10, 2025

EXECUTIVE ORDER NO. 10

ESTABLISHING THE NORTH CAROLINA TASK FORCE ON CHILD CARE AND EARLY EDUCATION

WHEREAS, access to high-quality child care and early education in a safe and nurturing environment positively impacts the brain development of young children and helps children develop skills, learn, and grow to be fully prepared for kindergarten and beyond; and

WHEREAS, ensuring access to affordable, high-quality child care and early education is a proven strategy to support overall child and family well-being, including the prevention of child maltreatment; and

WHEREAS, access to affordable child care is a central determinant in whether and how reliably parents and caregivers can participate in the labor force; and

WHEREAS, NC Child reports that child care and early education workers are among the most in demand positions but some of the lowest compensated workers in the state, with an average hourly wage of \$13 per hour in 2022; and

WHEREAS, according to the North Carolina Department of Commerce (“Commerce”), employment in the child care service industry was trending upward, growing from 35,150 workers in 2017 to just over 36,000 in 2019. As of 2021, North Carolina had 33,800 child care workers, about 3,120 workers fewer than would have been expected if the 2017-2019 growth trend continued; and

WHEREAS, according to the Economic Policy Institute, the average annual cost of infant care in North Carolina is \$11,720, 57% more per year than in-state tuition for a four-year public college; and

WHEREAS, according to the U.S. Department of Health and Human Services (“HHS”), child care is affordable if it costs no more than 7% of a family’s income, and 80% of families cannot access that standard of affordable child care; and

WHEREAS, investments in child care and early education have a high rate of economic return; and

WHEREAS, according to the U.S. Chamber of Commerce Foundation, NC Chamber Foundation, NC Child *Untapped Potential* report, \$5.65 billion is lost in economic activity in North Carolina every year due to insufficient child care availability; and

WHEREAS, one in five North Carolina employers attribute hiring challenges to employees’ lack of access to child care; and

WHEREAS, according to the National Survey of Children’s Health, in 2019 just over 9.4% of parents in the U.S. reported that child care issues caused significant disruptions to their employment, with North Carolinians experiencing disruptions above the national average at 16.8%; and

WHEREAS, post-pandemic, 25.1% of parents in the survey reported child care issues significantly impacting employment for their household, a dramatic increase over prior years; and

WHEREAS, according to Commerce and NC Child’s *Empowering Work* report, 100,000 fewer working-age parents with young children participated in North Carolina’s labor force in 2023 than in 2019; and

WHEREAS, Commerce hired the state’s first Child Care Business Liaison, established through a public-private partnership with North Carolina Department of Health and Human Services (“NCDHHS”) and Invest Early NC, to strengthen connections between government, philanthropy, and the business community around child care access and affordability; and

WHEREAS, the lack of affordable and high-quality child care and early education in North Carolina affects all 100 North Carolina counties and is acutely felt in many of our 80 rural counties; and

WHEREAS, North Carolina aims to be a leader in developing the workforce of child care and early education professionals; and

WHEREAS, pursuant to Article III of the North Carolina Constitution and N.C. Gen. Stat. §§ 143A-4 and 143B-4, the Governor is the chief executive officer of the state and is responsible for formulating and administering the policies of the executive branch of state government; and

WHEREAS, pursuant to N.C. Gen. Stat. § 147-12, the Governor has the authority and the duty to supervise the official conduct of all executive and ministerial officers.

NOW, THEREFORE, pursuant to the authority vested in the undersigned as Governor by the Constitution and the laws of the State of North Carolina, **IT IS ORDERED:**

Section 1. North Carolina Task Force on Child Care and Early Education.

A. Establishment and Purpose.

The North Carolina Task Force on Child Care and Early Education (“Task Force”) is hereby established. The purpose of the Task Force is to examine opportunities, barriers, and policy solutions to expand the supply of accessible, affordable, high-quality child care and early education options for working families with young children in North Carolina.

B. Membership.

The Task Force shall be composed of up to twenty-five (25) members appointed by the Governor. Members should represent the geographic, professional, and demographic diversity of North Carolina. Task Force members shall serve a term of two (2) years and may be reappointed to successive terms. Vacancies shall be filled by the Governor and members appointed to fill vacancies shall serve for the remainder of the unexpired term. Task Force members serve at the pleasure of the Governor. The Governor shall choose the chair from among the members.

Members shall include representatives from the following entities:

1. Lieutenant Governor;
2. North Carolina Department of Commerce;
3. North Carolina Department of Health and Human Services;
4. North Carolina Department of Administration;
5. North Carolina Office of State Human Resources;
6. North Carolina Department of Public Instruction;
7. North Carolina Community College System;

8. North Carolina General Assembly;
9. Child Care Commission;
10. NC Chamber;
11. North Carolina Partnership for Children;
12. Child Care Resource and Referral Network;
13. NC Licensed Child Care Association;
14. Employers;
15. Parent/caregiver who has navigated the child care system;
16. Child care and early education advocacy organizations;
17. Philanthropic organization; and
18. National child care and early education advisory organization.

The Task Force may establish sub-committees or work groups as necessary to carry out the Task Force's duties.

C. Duties.

The Task Force shall have the following responsibilities:

- a. Examine the role of financing in North Carolina's early childhood education system, including strengths and gaps in the current funding model and system infrastructure.
- b. Review and evaluate policy solutions that strengthen the supply of accessible, affordable, safe, and high-quality child care and early education by reviewing and establishing strategies to recruit and retain a strong child care and early education workforce, expand child care supply for the public sector workforce, and maximize compensation, opportunities, and additional supports for early childhood education providers and teachers.
- c. Identify opportunities to maximize the impact of existing funding and develop recommendations to direct new public and private investment towards gaps in system infrastructure, especially engaging with the business community.
- d. Submit a preliminary report describing Task Force activities and recommendations to the Office of the Governor by June 30, 2025 and a year-end report by December 31, 2025 and annually thereafter by December 31 of each year.
- e. Provide advice and guidance on any other matter the Governor refers to the Task Force.

D. Meetings.

The Task Force shall meet as a body of the whole monthly through June 2025 and every other month thereafter and at other times at the call of the Chair or the Governor. The Task Force may conduct meetings using electronic conferencing or other electronic means. The Committee's meetings shall be governed by the North Carolina Open Meetings Act, N.C. Gen. Stat. § 143-318.9, *et seq.*

A simple majority of the Task Force members shall constitute a quorum for the purpose of transacting the business of the Task Force.

E. Administration.

Commerce shall serve as staff and administrative support services for the Task Force.

Members shall serve without compensation but may receive necessary travel and subsistence expenses in accordance with State law and policies and regulations of the Office of State Budget and Management.

Section 2. Directives to the North Carolina Department of Commerce on Child Care and Economic Development.

Commerce shall work with businesses, local communities, and philanthropic organizations to develop strategies and resources to help employers provide child care support to their employees.

Commerce shall study the existence of economic development programs that offer enhanced incentives to companies that offer child care or family-friendly policies and share findings with the Task Force for Early Childhood Education and the Economic Development Partnership of North Carolina.

Section 3. Directives to the North Carolina Department of Health and Human Services on Early Childhood Education System Modernization.

NCDHHS shall review and revise the recommended multi-year implementation plan to strengthen the Child Care Subsidy Program by transitioning from the current market rate funding model to a new, alternative funding model that reflects the actual cost of providing high-quality child care and early education.

NCDHHS shall continue to support the Child Care Commission as it finalizes updates to child care rules to maximize flexibility for early childhood education providers and increase provider participation in the early childhood education system.

Section 4. Effect and Duration.

This Executive Order is effective immediately. Section 1 shall remain in effect until March 9, 2027, pursuant to N.C. Gen. Stat. § 147-16.2, or until rescinded. The remainder of the Executive Order shall remain in effect unless repealed, replaced, or rescinded by another applicable Executive Order.

IN WITNESS WHEREOF, I have hereunto signed my name and affixed the Great Seal of the State of North Carolina at the Capitol in the City of Raleigh, this 10th day of March in the year of our Lord two thousand and twenty-five.



Josh Stein
Governor

ATTEST:



Elaine F. Marshall
Secretary of State



North Carolina License and Theft Bureau

PUBLIC NOTICE

This serves as a notice pursuant to G.S. § 20-288 of a license application submission by a manufacturer, factory branch, factory representative, distributor, distributor branch, or distributor representative that has not been previously issued a license by the Division.

Applicant's Name: Barrett Trailers LLC

Applicant's Address: 850 Tammy Irvin Road, Mt. Airy GA 30563

Application Date: 02/20/2025

Names and titles of any individual listed on the application as an owner, partner, member or officer of the applicant:

Chet Barrett – Partner

Jessica Barrett Martin-Partner

Kyle Barrett-Partner

North Carolina License and Theft Bureau

PUBLIC NOTICE

This serves as a notice pursuant to G.S. § 20-288 of a license application submission by a manufacturer, factory branch, factory representative, distributor, distributor branch, or distributor representative that has not been previously issued a license by the Division.

Applicant's Name: Buchanan Investments Inc

Applicant's Address: 4297 Highway 24, Newport, NC 28570

Application Date: 03/24/2025

Names and titles of any individual listed on the application as an owner, partner, member or officer of the applicant: Paul Buchanan- president

North Carolina License and Theft Bureau

PUBLIC NOTICE

This serves as a notice pursuant to G.S. § 20-288 of a license application submission by a manufacturer, factory branch, factory representative, distributor, distributor branch, or distributor representative that has not been previously issued a license by the Division.

Applicant's Name: CFMOTO Powersports Inc

Applicant's Address: 5005 Nathan Lane North, Plymouth MN 55442

Application Date: 02/10/2025

Names and titles of any individual listed on the application as an owner, partner, member or officer of the applicant:

Fan (Eric) Fan -CFO

Guogui Lai -President

North Carolina License and Theft Bureau

PUBLIC NOTICE

This serves as a notice pursuant to G.S. § 20-288 of a license application submission by a manufacturer, factory branch, factory representative, distributor, distributor branch, or distributor representative that has not been previously issued a license by the Division.

Applicant's Name: KLM Acquisition Corporation

Applicant's Address: 101 East Seneca Road, Bancroft, IA 50517

Application Date: 1/31/2025

Names and titles of any individual listed on the application as an owner, partner, member or officer of the applicant:

Michael Dean Lloyd-President

Liri Kollasch-Treas.

Kevin Lloyd-Sec.

North Carolina License and Theft Bureau

PUBLIC NOTICE

This serves as a notice pursuant to G.S. § 20-288 of a license application submission by a manufacturer, factory branch, factory representative, distributor, distributor branch, or distributor representative that has not been previously issued a license by the Division.

Applicant's Name: Zero Motorcycles Inc

Applicant's Address: 380 El Pueblo Road, Scotts Valley, CA 95066

Application Date: 03/04/2025

Names and titles of any individual listed on the application as an owner, partner, member or officer of the applicant:

Sam Paschel CEO

Victoryia Hydzik CFO

Abe Askenazi VP

Tom Burton Sec.

Note from the Codifier: *The notices published in this Section of the NC Register include the text of proposed rules. The agency must accept comments on the proposed rule(s) for at least 60 days from the publication date, or until the public hearing, or a later date if specified in the notice by the agency. If the agency adopts a rule that differs substantially from a prior published notice, the agency must publish the text of the proposed different rule and accept comment on the proposed different rule for 60 days. Statutory reference: G.S. 150B-21.2.*

TITLE 10A – DEPARTMENT OF HEALTH AND HUMAN SERVICES

Notice is hereby given in accordance with G.S. 150B-21.2 that the Medical Care Commission intends to amend the rules cited as 10A NCAC 13F .1604; and 13G .1604.

Link to agency website pursuant to G.S. 150B-19.1(c):
<https://info.ncdhhs.gov/dhsr/index.html>

Proposed Effective Date: *August 1, 2025*

Public Hearing:

Date: *May 14, 2025*

Time: *10:00 am*

Location: *Edgerton Building, 809 Ruggles Drive, Dorothea Dix Campus, Raleigh, North Carolina 27603*

Reason for Proposed Action: *These two rules outline the standards for how the star rating is calculated for North Carolina’s adult care home (13F .1604) and family care home (13G .1604) facilities pursuant to the N.C. Star Rated Certificate Program. These rules were approved for re-adoption by the Rules Review Commission on January 30, 2025, to become effective August 1, 2025. After approval of the rules, an error was identified in each of these rules whereby the incorrect general statute was referenced for specific situations in which a facility with suspended admissions would not receive any demerit points or merit points. The proposed changes will correct the error, thereby clarifying the circumstances for which demerit and merit points will or will not be imposed. The changes will revert this portion of the rule back to the original language.*

Comments may be submitted to: *Shanah Black, 809 Ruggles Drive, Edgerton Building; 2701 Mail Service Center, Raleigh, NC 27699; email DHSR.RulesCoordinator@dohhs.nc.gov*

Comment period ends: *June 16, 2025*

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit a written objection to the Rules Review Commission. If the Rules Review Commission receives written and signed objections after the adoption of the Rule in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive letters via U.S. Mail, private courier service, or hand delivery to 1711 New Hope Church Road, Raleigh, North Carolina, or via

email to oah.rules@oah.nc.gov. If you have any further questions concerning the submission of objections to the Commission, please review 26 NCAC 05 .0110 or call a Commission staff attorney at 984-236-1850.

Fiscal impact. Does any rule or combination of rules in this notice create an economic impact? Check all that apply.

- State funds affected
- Local funds affected
- Substantial economic impact (\geq \$1,000,000)
- Approved by OSBM
- No fiscal note required

Note: The language in italics was approved by the Rules Review Commission at its January 30, 2025 meeting and is not in effect until August 1, 2025.

CHAPTER 13 - NC MEDICAL CARE COMMISSION

SUBCHAPTER 13F – LICENSING OF ADULT CARE HOMES OF SEVEN OR MORE BEDS

SECTION .1600 – STAR RATED CERTIFICATES

10A NCAC 13F .1604 RATING CALCULATION

(a) Ratings shall be based on:

- (1) Inspections completed pursuant to G.S. ~~131D-2(b)(1a)~~ 131D-2.11(a) and (a1);
- (2) Statutory and Rule requirements listed in Rule .1603 of this Section;
- (3) Type ~~A~~ A1, Type A2, or uncorrected Type B penalty violations identified pursuant to G.S. 131D-34; and
- (4) Other items listed in Subparagraphs (c)(1) and (c)(2) of this Rule.

(b) The initial rating a facility receives shall remain in effect until the next inspection. If an activity occurs which results in the assignment of additional merit or demerit points, a new certificate shall be issued pursuant to Rule .1602(a) of this Section.

(c) The rating shall be based on a 100 point scale. Beginning with the initial rating and repeating with each annual or biennial inspection, the facility shall be assigned 100 points and shall receive merits or demerits, which shall be added or subtracted from the 100 points, respectively. The merits and demerits shall be assigned as follows:

- (1) Merit Points
 - (A) If the facility corrects Citations a standard deficiency of noncompliance with the statutes or rules listed in Rule .1603 of this Subchapter, ~~which are not related to the identification of a Type A violation or an uncorrected~~

- ~~Type B violation~~, the facility shall receive 1.25 merit points for each corrected deficiency;
- (B) ~~If the facility receives citations on its annual inspection with no Type A or Type B violations and the rating from the annual inspection is one or zero stars the facility may request Division of Health Service Regulation to conduct a follow up inspection not less than 60 days after the date of the annual inspection. A follow up inspection shall be completed depending upon the availability of Division of Health Service Regulation staff. As determined by the follow up review, the facility shall receive 1.25 merit points for each corrected deficiency; If the facility corrects a citation for which a Type B violation was identified, the facility shall receive 1.75 merit points;~~
- (C) ~~If the facility corrects the citation for which a Type A violation was identified, the facility shall receive 2.5 merit points and shall receive an additional 2.5 merit points following the next annual inspection if no further Type A violations are identified; If the facility corrects a previously uncorrected Type B violation, the facility shall receive 1.75 merit points;~~
- (D) ~~If the facility corrects a previously uncorrected Type B violation, the facility shall receive 1.25 merit points; If the facility corrects the citation for which a Type A1 or Type A2 violation was identified, the facility shall receive 5 merit points;~~
- (E) ~~If the facility corrects a previously uncorrected Type A1 or Type A2 violation, the facility shall receive 5 merit points;~~
- ~~(F)~~(F) If the facility's admissions have been suspended, suspended pursuant 131D-2.7, the facility shall receive 5 merit points if the suspension is removed;
- (G) ~~If the facility's license is restored to a full license after being downgraded to a provisional license, the facility shall receive 5 merit points;~~
- ~~(H)~~(H) If the facility participates in any quality improvement program pursuant to G.S. 131D-10, the facility shall receive 2.5 merit points;
- (G) ~~If the facility receives NC NOVA special licensure designation, the facility shall receive 2.5 merit points;~~
- (I) ~~If the facility establishes an ongoing resident council which meets at least quarterly, the facility shall receive .5 merit point;~~
- (J) ~~If the facility establishes an ongoing family council which meets at least quarterly, the facility shall receive .5 merit point;~~
- (K) ~~If the facility's designated on-site staff member who directs the facility's infection control activities in accordance with G.S. 131D-4.4A has completed the "Infection Control in Long Term Care Facilities" course offered by the University of North Carolina Statewide Program for Infection Control and Epidemiology (SPICE) every two years, the facility shall receive .5 merit point.~~
- ~~(H)~~(L) ~~On or after the effective date of this Rule, if~~ If the facility permanently installs a generator or has a contract with a generator provider to provide emergency power for essential functions of the facility, the facility shall receive 2 merit points. For purposes of this ~~Section~~, Rule, essential functions mean those functions necessary to maintain the health or safety of residents during power outages greater than 6 ~~hours~~ hours and include the fire alarm system, heating, lighting, refrigeration for medication storage, minimal cooking, elevators, medical equipment, computers, door alarms, special locking systems, sewage and well operation where applicable, sprinkler system, and telephones. If the facility has an existing permanently installed generator or an existing contract with a generator provider, the facility shall receive 1 merit point for maintaining the generator in working order or continuing the contract with a generator provider; ~~and~~
- ~~(H)~~(M) ~~On or after the effective date of this Rule, if~~ If the facility installs automatic sprinklers in compliance with the North Carolina Building Code, and maintains the system in working order, the facility shall receive 3 merit points. If the facility has an existing automatic sprinkler, the facility shall receive 2 merit points for subsequent ratings for maintaining the automatic sprinklers in ~~good~~ working ~~order~~ order; and

(N) If the facility engages the services of a third-party company to conduct resident and family satisfaction surveys at least annually for the purpose of improving resident care, the facility shall receive 1 merit point. Resident and family satisfaction surveys shall not be conducted by any employees of the facility, or a third-party company affiliated with the facility. The satisfaction survey results shall be made available upon request and in a location accessible to residents and visitors in the facility.

(2) Demerit Points

(A) For each ~~standard deficiency citation~~ of noncompliance with the statutes or rules listed in Rule .1603 of this Subchapter, the facility shall receive a demerit of 2 points. The facility shall receive demerit points only once for citations in which the findings are identical to those findings used for another citation;

(B) For each citation of a Type ~~A~~ A1 or A2 violation, the facility shall receive a demerit of ~~10 points;~~ points, and if the Type A1 or Type A2 violation remains uncorrected as result of a follow-up inspection, the facility shall receive an additional demerit of 10 points;

(C) For each citation of a Type B violation, the facility shall receive a demerit of 3.5 points and if the Type B violation remains uncorrected as the result of a follow-up inspection, the facility shall receive an additional demerit of 3.5 points;

(D) If the facility's admissions are ~~suspended,~~ suspended pursuant G.S. 131D-2.7, the facility shall receive a demerit of 10 points; however, if the facility's admissions are suspended pursuant to ~~G.S. 131D-4.2, G.S. 131D-2.7, G.S. 131D-2.7, G.S.131D-4.2,~~ the facility shall not receive any demerit points; and

(E) If the facility's license is downgraded to a provisional license pursuant to G.S. 131D-2.7, the facility shall receive a demerit of 10 points;

~~(E)~~(F) If the facility receives a notice of revocation against its license, license pursuant to G.S. 131D-2.7, the facility shall receive a demerit of 31 points; and

(G) If the facility's license is summarily suspended pursuant to G.S. 131D-2.7,

the facility shall receive a demerit of 31 points.

(d) Facilities shall be given a rating of zero to four stars depending on the score assigned pursuant to Paragraph (a), (b) or (c) of this Rule. Ratings shall be assigned as follows:

- (1) Four stars shall be assigned to any facility whose score is 100 points or greater on two consecutive annual or biennial inspections;
- (2) Three stars shall be assigned for scores of 90 to 99.9 points, or for any facility whose score is 100 points or greater on one annual or biennial inspection;
- (3) Two stars shall be assigned for scores of 80 to 89.9 points;
- (4) One star shall be assigned for scores of 70 to 79.9 points; and
- (5) Zero stars shall be assigned for scores of 69.9 points or lower.

Authority G.S. 131D-4.5; 131D-10.

SUBCHAPTER 13G – LICENSING OF FAMILY CARE HOMES

SECTION .1600 – STAR RATED CERTIFICATES

10A NCAC 13G .1604 RATING CALCULATION

(a) Ratings shall be based on:

- (1) Inspections completed pursuant to G.S. ~~131D-2(b)(1a);~~ 131D-2.11(a) and (a1);
- (2) Statutory and Rule requirements listed in Rule .1603 of this Section;
- (3) Type ~~A~~ A1, Type A2, or uncorrected Type B penalty violations identified pursuant to G.S. 131D-34; and
- (4) Other items listed in Subparagraphs (c)(1) and (c)(2) of this Rule.

(b) The initial rating a facility receives shall remain in effect until the next inspection. If an activity occurs which results in the assignment of additional merit or demerit points, a new certificate shall be issued pursuant to Rule .1602(a) of this Section.

(c) The rating shall be based on a 100 point scale. Beginning with the initial rating and repeating with each annual or biennial inspection, the facility shall be assigned 100 points and shall receive merits or demerits, which shall be added or subtracted from the 100 points, respectively. The merits and demerits shall be assigned as follows:

- (1) Merit Points
 - (A) If the facility corrects citations a standard deficiency of noncompliance with the statutes or rules listed in Rule .1603 of this Subchapter, ~~which are not related to the identification of a Type A violation or an uncorrected Type B violation,~~ the facility shall receive 1.25 merit points for each corrected deficiency;
 - (B) ~~If the facility receives citations on its annual inspection with no Type A or~~

- ~~Type B violations and the rating from the annual inspection is one or zero stars, the facility may request Division of Health Service Regulation to conduct a follow-up inspection not less than 60 days after the date of the annual inspection. A follow-up inspection shall be completed depending upon the availability of Division of Health Service Regulation staff. As determined by the follow-up review, the facility shall receive 1.25 merit points for each corrected deficiency; If the facility corrects a citation for which a Type B violation was identified, the facility shall receive 1.75 merit points;~~
- (C) If the facility corrects the citation for which a Type A violation was identified, the facility shall receive 2.5 merit points and shall receive an additional 2.5 merit points following the next annual inspection if no further Type A violations are identified; If the facility corrects a previously uncorrected Type B violation, the facility shall receive 1.75 merit points;
- (D) If the facility corrects a previously uncorrected Type B violation, the facility shall receive 1.25 merit points; If the facility corrects the citation for which a Type A1 or Type A2 violation was identified, the facility shall receive 5 merit points;
- (E) If the facility corrects a previously uncorrected Type A1 or A2 violation, the facility shall receive 5 merit points;
- ~~(F)~~(F) If the facility's admissions have been suspended, suspended pursuant 131D-2.7, the facility shall receive 5 merit points if the suspension is removed;
- (G) If the facility's license is restored to a full license after being downgraded to a provisional license, the facility shall receive 5 merit points;
- ~~(H)~~(H) If the facility participates in any quality improvement program pursuant to G.S. 131D-10, the facility shall receive 2.5 merit points;
- ~~(G)~~ If the facility receives NC NOVA special licensure designation, the facility shall receive 2.5 merit points;
- (I) If the facility establishes an ongoing resident council which meets at least quarterly, the facility shall receive .5 merit point;
- (J) If the facility establishes an ongoing family council which meets at least
- quarterly, the facility shall receive .5 merit point;
- (K) If the facility's designated on-site staff member who directs the facility's infection control activities in accordance with G.S. 131D-4.4A has completed the "Infection Control in Long Term Care Facilities" course offered by the University of North Carolina Statewide Program for Infection Control and Epidemiology (SPICE) every two years, the facility shall receive .5 merit point;
- ~~(H)~~(L) On or after the effective date of this Rule, if If the facility permanently installs a generator or has a contract with a generator provider to provide emergency power for essential functions of the facility, the facility shall receive 2 merit points. For purposes of this Section, Rule, essential functions mean those functions necessary to maintain the health or safety of residents during power outages greater than 6 hours, hours and include the fire alarm system, heating, lighting, refrigeration for medication storage, minimal cooking, elevators, medical equipment, computers, door alarms, special locking systems, sewage and well operation where applicable, sprinkler system, and telephones. If the facility has an existing permanently installed generator or an existing contract with a generator provider, the facility shall receive 1 merit point for maintaining the generator in working order or continuing the contract with a generator provider; and
- ~~(H)~~(M) On or after the effective date of this Rule, if If the facility installs automatic sprinklers in compliance with the North Carolina Building Code, and maintains the system in working order, the facility shall receive 3 merit points. If the facility has an existing automatic sprinkler, the facility shall receive 2 merit points for subsequent ratings for maintaining the automatic sprinklers in good working order, order; and
- (N) If the facility engages the services of a third-party company to conduct resident and family satisfaction surveys at least annually for the purpose of improving resident care, the facility shall receive 1 merit point. Resident and family satisfaction

surveys shall not be conducted by any employees of the facility, or a third-party company affiliated with the facility. The satisfaction survey results shall be made available upon request and in a location accessible to residents and visitors in the facility.

- (2) Demerit Points
- (A) For each standard deficiency citation of noncompliance with the statutes or rules listed in Rule .1603 of this Subchapter, the facility shall receive a demerit of 2 points. The facility shall receive demerit points only once for citations in which the findings are identical to those findings used for another citation;
- (B) For each citation of a Type *A1* or Type *A2* violation, the facility shall receive a demerit of 10 ~~points;~~ points, and if the Type *A1* or Type *A2* violation remains uncorrected as result of a follow-up inspection, the facility shall receive an additional demerit of 10 points;
- (C) For each citation of a Type B violation, the facility shall receive a demerit of 3.5 points and if the Type B violation remains uncorrected as the result of a follow-up inspection, the facility shall receive an additional demerit of 3.5 points;
- (D) If the facility's admissions are ~~suspended,~~ suspended pursuant to G.S. 131D-2.7, the facility shall receive a demerit of 10 points; however, if the facility's admissions are suspended pursuant to ~~G.S. 131D-4.2,~~ G.S. 131D-2.7, G.S. 131D-2.7, the facility shall not receive any demerit points; ~~and~~
- (E) If the facility's license is downgraded to a provisional license pursuant to G.S. 131D-2.7, the facility shall receive a demerit of 10 points;
- ~~(F)~~ If the facility receives a notice of revocation against its ~~license,~~ license pursuant to G.S. 131D-2.7, the facility shall receive a demerit of 31 ~~points.~~ points; and
- (G) If the facility's license is summarily suspended pursuant to G.S. 131D-2.7, the facility shall receive a demerit of 31 points.

(d) Facilities shall be given a rating of zero to four stars depending on the score assigned pursuant to Paragraph (a), (b) or (c) of this Rule. Ratings shall be assigned as follows:

- (1) Four stars shall be assigned to any facility whose score is 100 points or greater on two consecutive annual or biennial inspections;
- (2) Three stars shall be assigned for scores of 90 to 99.9 points, or for any facility whose score is 100 points or greater on one annual or biennial inspection;
- (3) Two stars shall be assigned for scores of 80 to 89.9 points;
- (4) One star shall be assigned for scores of 70 to 79.9 points; and
- (5) Zero stars shall be assigned for scores of 69.9 points or lower.

Authority G.S. 131D-4.5; 131D-10.

TITLE 21 - OCCUPATIONAL LICENSING BOARDS AND COMMISSIONS

CHAPTER 25 - INTERPRETER AND TRANSLITERATOR BOARD

Notice is hereby given in accordance with G.S. 150B-21.2 that the Interpreter and Transliterator Licensing Board intends to adopt the rule cited as 21 NCAC 25 .0701.

Link to agency website pursuant to G.S. 150B-19.1(c):
<https://ncitlb.org/>

Proposed Effective Date: *August 1, 2025*

Public Hearing:

Date: *May 7, 2025*

Time: *3:00 pm*

Location: *Zoom:*

https://us02web.zoom.us/j/89006684144?pwd=2xbC6tQe16v3yfmdaXmyYIBVnqt8Sn.1

Reason for Proposed Action: *The schedule of penalties that was in place has not been updated since this Board was founded. The Board requested that these penalties be increased.*

Comments may be submitted to: *Caitlin Schwab, NCITLB, 701 Exposition Place, Ste 206, Raleigh, NC 27615; email ncitlb@caphill.com*

Comment period ends: *June 16, 2025*

Procedure for Subjecting a Proposed Rule to Legislative Review:

If an objection is not resolved prior to the adoption of the rule, a person may also submit a written objection to the Rules Review Commission. If the Rules Review Commission receives written and signed objections after the adoption of the Rule in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the

Commission approves the rule. The Commission will receive letters via U.S. Mail, private courier service, or hand delivery to 1711 New Hope Church Road, Raleigh, North Carolina, or via email to oah.rules@oah.nc.gov. If you have any further questions concerning the submission of objections to the Commission, please review 26 NCAC 05 .0110 or call a Commission staff attorney at 984-236-1850.

SECTION .0700 – SANCTIONS

21 NCAC 25 .0701 SCHEDULE OF PENALTIES AND PUBLICATION OF DISCIPLINE

(a) The presumptive penalty for any violation of any provision of Chapter 90D of the North Carolina General Statutes or any rule adopted by the Board shall be:

- (1) \$100 ~~\$500~~ for the first violation; and
- (2) \$200 ~~\$1,000~~ for ~~the second violation;~~ subsequent violations
- (3) ~~\$400 for the third violation; and~~
- (4) ~~\$800 for the fourth violation~~

(b) After issuance of a final order determining any person violated Chapter 90D of the North Carolina General Statutes or any rule adopted by the Board, the Board shall publish to its website the person's name, violation type, date of violation, and the assessed penalty for each violation.

Authority G.S. 90D-14(c); S.L. 2005-299, s.4.

Fiscal impact. Does any rule or combination of rules in this notice create an economic impact? Check all that apply.

- State funds affected
- Local funds affected
- Substantial economic impact (\geq \$1,000,000)
- Approved by OSBM
- No fiscal note required

RULES REVIEW COMMISSION

This Section contains information for the meeting of the Rules Review Commission April 24, 2025 at 1711 New Hope Church Road, RRC Commission Room, Raleigh, NC. Anyone wishing to submit written comment on any rule before the Commission should submit those comments to the RRC staff, the agency, and the individual Commissioners. Specific instructions and addresses may be obtained from the Rules Review Commission at 984-236-1850. Anyone wishing to address the Commission should notify the RRC staff and the agency no later than 5:00 p.m. of the 2nd business day before the meeting. Please refer to RRC rules codified in 26 NCAC 05.

RULES REVIEW COMMISSION MEMBERS

Appointed by Senate

Jeanette Doran (Chair)
John Hahn
Jeff Hyde
Brandon Leebrick
Bill Nelson

Appointed by House

Jake Parker (1st Vice-Chair)
Paul Powell (2nd Vice-Chair)
Wayne R. Boyles, III
Christopher Loutit
Randy Overton

COMMISSION COUNSEL

William W. Peaslee	984-236-1939
Seth M. Ascher	984-236-1934
Travis Wiggs	984-236-1929

RULES REVIEW COMMISSION MEETING DATES

April 24, 2025	June 26, 2025
May 29, 2025	July 30, 2025

AGENDA

RULES REVIEW COMMISSION

Thursday, April 24, 2025, 10:00 A.M.

1711 New Hope Church Rd., Raleigh, NC 27609

- I. Ethics reminder by the chair as set out in G.S. 138A-15(e)
- II. Approval of the minutes from the last meeting
- III. Follow-up matters
- IV. Review of Log of Filings (Permanent Rules) for rules filed February 21, 2025 through March 20, 2025
 1. Radiation Protection Commission (Wiggs)
 2. Criminal Justice Education and Training Standards Commission (Peaslee)
 3. Environmental Management Commission (Wiggs)
 4. Marine Fisheries Commission (Peaslee)
 5. Department of the Secretary of State (Ascher)
 6. Board of Funeral Service (Peaslee)
 7. Board of Examiners for Engineers and Surveyors (Peaslee)
 8. Real Estate Commission (Peaslee)
- V. Review of Log of Filings (Temporary Rules) for any rule filed within 15 business days prior to the RRC Meeting
 1. Department of Insurance - 11 NCAC 18 .0102 (Peaslee)
- VI. Existing Rules Review
 - Review of Reports
 1. 10A NCAC 06A,B,C,D,E,F,G,H,I,J,K, Q- Department of Health and Human Services (Wiggs)
 2. 10A NCAC 06P, R, S, T, U, V, W, X - Social Services Commission (Wiggs)
 3. 10A NCAC 13L, 13M, 13O - Medical Care Commission (Wiggs)
 4. 10A NCAC 26A, 26B, 26D - Commission for Mental Health/DD/SAS (Wiggs)
 5. 12 NCAC 09 - Criminal Justice Education and Training Standards Commission (Wiggs)
 6. 15A NCAC 02R - Environmental Management Commission (Wiggs)
 - Readoptions
 1. 02 NCAC 46, 48A - Board of Agriculture (Wiggs)

VII. Commission Business

- 15A NCAC 02A - Request to modify 2024-2027 Existing Rules Review Schedule
- Closed session, to consult with attorneys regarding CRC v. RRC and CJETS v. RRC; and BRIAN LIEBMAN, in his official capacity as CODIFIER OF RULES
- Next meeting: Thursday, May 29, 2025

**Commission Review
Log of Permanent Rule Filings
February 21, 2025 through March 20, 2025**

RADIATION PROTECTION COMMISSION

The rules in Chapter 15 are from the Radiation Protection Commission and include general provisions (.0100); registration of radiation machines, facilities and services (.0200); licensing of radioactive material (.0300); industrial radiography x-ray machines (.0500); x-rays in the healing arts (.0600); use of radioactive sources in the healing arts (.0700); requirements for analytical x-ray equipment (.0800); requirements for particle accelerators (.0900); notices, instructions, reports and inspections (.1000); fees (.1100); land disposal of radioactive waste (.1200); requirements for wire-line service operators and subsurface-tracer studies (.1300); tanning facilities (.1400); and standards for protection against radiation (.1600).

<u>Scope</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0101</u>
Readopt without Changes*				
<u>Compliance with Laws</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0102</u>
Readopt without Changes*				
<u>Definitions</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0103</u>
Readopt with Changes*				
<u>Incorporation By Reference</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0104</u>
Readopt with Changes*				
<u>Designation of Authorized Representative of the Agency</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0105</u>
Readopt with Changes*				
<u>Inspections and Tests</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0106</u>
Readopt with Changes*				
<u>Impounding</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0107</u>
Readopt with Changes*				
<u>Enforcement</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0108</u>
Readopt with Changes*				
<u>Records</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0109</u>
Readopt with Changes*				
<u>Prohibited Uses</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0110</u>
Readopt with Changes*				
<u>Petitioning for Rulemaking</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0112</u>
Amend*				
<u>Tests for Special Form</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0114</u>
Readopt/Repeal*				
<u>Records</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0115</u>
Readopt/Repeal*				
<u>Tests</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0116</u>
Readopt/Repeal*				
<u>Incorporation By Reference</u>	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0117</u>
Readopt/Repeal*				

RULES REVIEW COMMISSION

<u>Optional Early Compliance With Section .1600</u> Readopt/Repeal*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0118</u>
<u>Registration of Radiation Machines: Facilities and Services</u> Amend*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0201</u>
<u>Exemptions</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0202</u>
<u>Application for Registration Process: General Requirement...</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0203</u>
<u>Facility Responsibilities</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0204</u>
<u>Service Provider Responsibilities</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0205</u>
<u>Training and Educational Requirements to Provide Services</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0206</u>
<u>Additional Requirements to Provide Services</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0207</u>
<u>Out-of-State Radiation Machines and Radiation Generation ...</u> Amend*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0208</u>
<u>Issuance of Notice of Registration</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0209</u>
<u>Modifications: Revocation: Termination of Registrations</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0210</u>
<u>Individual Responsible for Radiation Protection Requirement...</u> Amend*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0211</u>
<u>Radiation Machines and Radiation Generating Devices That ...</u> Amend*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0212</u>
<u>Specific Licenses: Sealed Sources in Industrial...</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0306</u>
<u>Packing and Transportation of Radioactive Material</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0311</u>
<u>Exemptions and Continued Regulatory Authority in Agreement...</u> Readopt with Changes*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0313</u>
<u>General Licenses: Transportation</u> Readopt/Repeal*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0316</u>
<u>Specific Licenses: Sealed Sources in Industrial...</u> Repeal*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0323</u>
<u>Reciprocal Recognition of Licenses</u> Readopt/Repeal*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0345</u>
<u>Preparation of Radioactive Material for Transport</u> Readopt/Repeal*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.0346</u>
<u>Notices, Instructions, and Reports to Employees</u> Amend*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.1001</u>
<u>Standards for Protection Against Radiation</u> Amend*	<u>10A</u>	<u>NCAC</u>	<u>15</u>	<u>.1601</u>

CRIMINAL JUSTICE EDUCATION AND TRAINING STANDARDS COMMISSION

The rules in Chapter 9 are from the Criminal Justice Education and Training Standards Commission. This Commission has primary responsibility for setting statewide education, training, employment, and retention standards for criminal justice personnel (not including sheriffs). The rules in Subchapter 9A cover the Commission organization and procedure (.0100) and enforcement of the rules (.0200).

RULES REVIEW COMMISSION

<u>Definitions</u> Amend*	12	<u>NCAC</u>	<u>09A</u>	<u>.0103</u>
<p>The rules in Chapter 9 are from the Criminal Justice Education and Training Standards Commission. This Commission has primary responsibility for setting statewide education, training, employment, and retention standards for criminal justice personnel (not including sheriffs). The rules in Subchapter 9B cover minimum standards for: employment (.0100); schools and training programs (.0200); criminal justice instructors (.0300); completion of training (.0400); school directors (.0500); and certification of post-secondary criminal justice education programs (.0600).</p>				
<u>Basic Training - Juvenile Court Counselors and Chief Cour...</u> Amend*	12	<u>NCAC</u>	<u>09B</u>	<u>.0235</u>
<u>Basic Training - Juvenile Justice Officers</u> Amend*	12	<u>NCAC</u>	<u>09B</u>	<u>.0236</u>
<p>The rules in Subchapter 9C concern the administration of criminal justice education and training standards including responsibilities of the criminal justice standards division (.0100); forms (.0200); certification of criminal justice officers (.0300); accreditation of criminal justice schools and training courses (.0400); minimum standards for accreditation of associate of applied science degree programs incorporating basic law enforcement training (.0500); and equipment and procedures (.0600).</p>				
<u>Personal History Statement</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0201</u>
<u>Medical History Statement</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0202</u>
<u>Medical Examination Report</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0203</u>
<u>Qualifications Appraisal Interview</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0204</u>
<u>Application for Certification Law Enforcement</u> Amend*	12	<u>NCAC</u>	<u>09C</u>	<u>.0205</u>
<u>Application for Award of Professional Certificate</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0207</u>
<u>Affidavit of Separation</u> Amend*	12	<u>NCAC</u>	<u>09C</u>	<u>.0208</u>
<u>Request for School Accreditation</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0209</u>
<u>Pre-Delivery Report of Training Course Presentation</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0211</u>
<u>Student Course Completion Record</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0213</u>
<u>Request for Instructional Certificate</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0214</u>
<u>Professional Lecturer Certification</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0215</u>
<u>Recommendation for General Instructor Certification</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0216</u>
<u>FD-258-Fingerprint Card</u> Repeal*	12	<u>NCAC</u>	<u>09C</u>	<u>.0219</u>
<u>Lateral Transfer of Law Enforcement Officers</u> Amend*	12	<u>NCAC</u>	<u>09C</u>	<u>.0306</u>
<u>Agency Retention of Records of Certification</u> Amend*	12	<u>NCAC</u>	<u>09C</u>	<u>.0307</u>
<u>Accreditation of Criminal Justice Schools</u> Amend*	12	<u>NCAC</u>	<u>09C</u>	<u>.0401</u>

RULES REVIEW COMMISSION

Reports of Training Course Presentation and Completion 12 NCAC 09C .0403
Amend*

The rules in Chapter 9 are from the Criminal Justice Education and Training Standards Commission. The rules in Subchapter 9E relate to the law enforcement officers' in-service training program.

Instructors: Annual In-Service Training 12 NCAC 09E .0104
Amend*

Failure to Complete Annual In-Service Training 12 NCAC 09E .0108
Amend*

The rules in Subchapter 9G are the standards for correction including scope, applicability and definitions (.0100); minimum standards for certification of correctional officers, probation/parole officers, and probation/parole officers-intermediate (.0200); certification of correctional officers, probation/parole officers, probation/parole officers intermediate and instructors (.0300); minimum standards for training of correctional officers, probation/parole officers, and probation/parole officers-intermediate (.0400); enforcement of rules (.0500); professional certification program (.0600); and forms (.0700).

Definitions 12 NCAC 09G .0102
Amend*

Employment Process: Documentation and Records Retention 12 NCAC 09G .0201
Repeal*

Probationary Certification 12 NCAC 09G .0303
Amend*

Instructor Certification Renewal 12 NCAC 09G .0312
Repeal*

Corrections Instructor Training Course 12 NCAC 09G .0313
Repeal*

ENVIRONMENTAL MANAGEMENT COMMISSION

The rules in Subchapter 2Q are from the EMC and relate to applying for and obtaining air quality permits and include general information (.0100); fees (.0200); application requirements (.0300); acid rain program requirements (.0400); establishment of an air quality permitting program (.0500); transportation facility requirements (.0600); toxic air pollutant procedures (.0700); exempt categories (.0800); and permit exemptions (.0900).

Definitions 15A NCAC 02Q .0303
Amend*

Applications 15A NCAC 02Q .0304
Amend*

Application Submittal Content 15A NCAC 02Q .0305
Amend*

Application Processing Schedule 15A NCAC 02Q .0312
Amend*

Definitions 15A NCAC 02Q .0503
Amend*

Application Submittal Content 15A NCAC 02Q .0505
Amend*

Application 15A NCAC 02Q .0507
Amend*

Minor Permit Modifications 15A NCAC 02Q .0515
Amend*

Application Processing Schedule 15A NCAC 02Q .0525
Amend*

MARINE FISHERIES COMMISSION

The rules in Subchapter 3J concern the use of nets in general (.0100) and in specific areas (.0200); the use of pots, dredges, and other fishing devices (.0300); fishing gear (.0400); and pound nets (.0500).

Pots 15A NCAC 03J .0301
Amend*

The rules in Subchapter 3M cover harvesting of finfish including general rules (.0100); striped bass (.0200); mackerel (.0300); menhaden and Atlantic herring (.0400); and other finfish (.0500).

False Albacore 15A NCAC 03M .0523
Adopt*

The rules in Subchapter 3O cover various licenses (.0100); leases and franchises (.0200); license appeal procedures (.0300); Standard Commercial Fishing License Eligibility Board (.0400); and licenses, leases and franchises (.0500).

WVC General Provisions 15A NCAC 03O .0601
Adopt*

WVC Operations Manual 15A NCAC 03O .0602
Adopt*

WVC Conditions for North Carolina Violations by Non-Resid... 15A NCAC 03O .0603
Adopt*

WVC Conditions for North Carolina Residents for Failure... 15A NCAC 03O .0604
Adopt*

WVC Reciprocal Recognition of Suspensions 15A NCAC 03O .0605
Adopt*

Appeals 15A NCAC 03O .0606
Adopt*

SECRETARY OF STATE, DEPARTMENT OF THE

The rules in Chapter 7 are from the Notary Public Division. The rules in Subchapter 7B are general requirements and include general provisions (.0100); fees paid to the division (.0200); initial appointment (.0300); forms (.0400); criminal records (.0500); denials, discipline, and enforcement (.0600); and reporting professional licenses, public offices, and notary commissions (.0700).

Scope 18 NCAC 07B .0101
Amend*

Definitions 18 NCAC 07B .0102
Amend*

Obtaining Requested Information 18 NCAC 07B .0105
Amend*

Request to Department for Confidential Information 18 NCAC 07B .0110
Amend*

Property of Notary Public 18 NCAC 07B .0111
Adopt*

Response to Questions and Requests for Information 18 NCAC 07B .0305
Amend*

Signature for Entity 18 NCAC 07B .0308
Amend*

Incomplete Filings 18 NCAC 07B .0310
Amend*

RULES REVIEW COMMISSION

<u>Extension Request to Department</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0313</u>
<u>Department Response to Extension Request</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0314</u>
<u>Contact Information</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0402</u>
<u>Form Notifying Department of Selection of Technology Prov...</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0405</u>
<u>Affidavit of Moral Character</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0408</u>
<u>Application for Recommissioning as Notary Public</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0409</u>
<u>Notice of Changes Regarding Criminal History Record</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0411</u>
<u>Application for Certification as Notary Instructor</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0414</u>
<u>Notary Form to Report Technology Dysfunctions</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0420</u>
<u>Stand-Alone Notarial Certificate</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0421</u>
<u>Application For Technology</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0422</u>
<u>Application For IPEN Authorization</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0423</u>
<u>Application For Platform License</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0424</u>
<u>Application For Credential Analysis Authorization</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0425</u>
<u>Application For Identity Proofing Authorization</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0426</u>
<u>Application For Custodian Authorization</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0427</u>
<u>Custodial Notary Annual Report</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0428</u>
<u>Technology Providers Other Than Platforms Verifying Conti...</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0429</u>
<u>Physical Seal Manufacturer or Vendor Registration with...</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0430</u>
<u>Form For Notary Reportable Incidents</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0431</u>
<u>Factors for Disciplinary Actions</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0601</u>
<u>Levels of Enforcement</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0603</u>
<u>Restriction</u> Amend*	18	<u>NCAC</u>	<u>07B</u>	<u>.0607</u>
<u>Failure To Remit Fees</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0609</u>
<u>Failure to Verify</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0610</u>
<u>Definitions</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0801</u>

<u>Principal Seeking Accommodation</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0802</u>
<u>Principal Use of Certain Accommodations Permitted</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0803</u>
<u>Prohibition On Use of Accommodation as Intermediary Language</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0804</u>
<u>Prohibition On Use of Auxiliary Aid for Intermediary Lang...</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0805</u>
<u>Interpreter Or Transliterator Information</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0806</u>
<u>Cart Captioner Information</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0807</u>
<u>Limits On Accommodations</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0808</u>
<u>Journal Entry Required Regarding Accommodations</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0809</u>
<u>Contents Of Journal Entry Regarding Accommodations</u> Adopt*	18	<u>NCAC</u>	<u>07B</u>	<u>.0810</u>

The rules in Subchapter 7C concern the process to become a notary and renew a notary commission including general provisions (.0100); initial application for notary public appointment and commission (.0200); course of instruction (.0300); appointment and commissioning of notaries (.0400); remedies for failure to comply with application or commissioning requirements (.0500); steps for recommissioning (.0600); and attorney notaries (.0700).

<u>Physical Notary Seal</u> Adopt*	18	<u>NCAC</u>	<u>07C</u>	<u>.0801</u>
<u>Seal Image</u> Adopt*	18	<u>NCAC</u>	<u>07C</u>	<u>.0802</u>
<u>Seal Limitation</u> Adopt*	18	<u>NCAC</u>	<u>07C</u>	<u>.0803</u>
<u>Obtaining Seal</u> Adopt*	18	<u>NCAC</u>	<u>07C</u>	<u>.0804</u>
<u>In-Person Seal Purchase</u> Adopt*	18	<u>NCAC</u>	<u>07C</u>	<u>.0805</u>

The rules in Subchapter 7D concern continuing obligations of notaries including general obligations (.0100); and notice to department of changes (.0300); general requirements for use of technology (.0400); requirements for GS 10B-134.9(D) oaths and affirmations (.0500); access to notarial records (.0600).

<u>Extension Request to Department</u> Repeal*	18	<u>NCAC</u>	<u>07D</u>	<u>.0103</u>
<u>Department Response to Extension Request</u> Repeal*	18	<u>NCAC</u>	<u>07D</u>	<u>.0104</u>
<u>Notarization Of Open Vehicle Title Prohibited</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0105</u>
<u>No Use Of Foreign Language Translators</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0106</u>
<u>Notification of Electronic Notary Solution Serious...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0309</u>
<u>Notary Report of Loss, Theft and Similar Issues</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0310</u>
<u>Electronic Notary Seal</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0401</u>

RULES REVIEW COMMISSION

<u>Licensed Platform Use for Remote Oaths and Affirmations P...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0402</u>
<u>Traditional Notary Public Use of Approved Custodian for...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0403</u>
<u>Notary Use of Identity Proofing and Credential Analysis...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0404</u>
<u>Approved Or Licensed Technology to Be Used by an Electron...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0405</u>
<u>Notification To Technology Provider of Changes</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0406</u>
<u>Notary Administration of Remote Oath or Affirmation...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0501</u>
<u>Declaration of Judicial Official or Officer of the Court</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0502</u>
<u>Platform Confirmation of Notary Status</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0503</u>
<u>Training Requirements for Notary Public Administering a...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0504</u>
<u>Documentation Of Remote Oath or Affirmation Pursuant to...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0505</u>
<u>Journal Entry</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0506</u>
<u>Recording Count of Notarial Acts</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0507</u>
<u>Disclosure of Confidential Information</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0601</u>
<u>Release of Confidential Information on Request of Principals</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0602</u>
<u>Employer Request for Journal Entries</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0603</u>
<u>Additional Electronic Notary Obligations Upon Employer Re...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0604</u>
<u>Supplemental Entries Re Access to Journals</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0605</u>
<u>Contents of Supplemental Entry Re Release of Confidential...</u> Adopt*	18	<u>NCAC</u>	<u>07D</u>	<u>.0606</u>

The rules in subchapter 7F concern electronic notary public requirements including general provisions (.0100); qualifications to be electronic notary (.0200); education of electronic notaries public (.0300); application for registration as electronic notary public (.0400); registration and oath (.0500); selection and use of technology provider to perform electronic notarial acts (.0600); electronic notary signature (.0700); electronic notary seal (.0800); reregistration as electronic notary (.0900); confidentiality, security, and records retention (.1000); and continuing obligations of electronic notaries (.1100).

<u>Definitions</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0102</u>
<u>Electronic Notaries Performing Remote Electronic Notary Acts</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0103</u>
<u>Current Personal Copy of Manual</u> Adopt*	18	<u>NCAC</u>	<u>07F</u>	<u>.0202</u>
<u>Electronic Notary Course</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0303</u>
<u>Notice to Department of Technology Provider</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0601</u>

<u>Electronic Signature</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0704</u>
<u>Placement of Electronic Signature</u> Adopt*	18	<u>NCAC</u>	<u>07F</u>	<u>.0710</u>
<u>Attributes of Electronic Seal</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0804</u>
<u>Contents of Electronic Notary Seal</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0807</u>
<u>Finalization of Notarial Session</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.0814</u>
<u>Placement of Electronic Seal</u> Adopt*	18	<u>NCAC</u>	<u>07F</u>	<u>.0815</u>
<u>Application Of Electronic Notary's Digital Certificate</u> Adopt*	18	<u>NCAC</u>	<u>07F</u>	<u>.0816</u>
<u>Retention of Electronic Journal</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.1003</u>
<u>Notary Credential Sharing Forbidden</u> Amend*	18	<u>NCAC</u>	<u>07F</u>	<u>.1004</u>

The rules in subchapter 7G concern notary seal manufacturers and vendors (.0100).

<u>Scope</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0101</u>
<u>Physical Seal Requirements</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0102</u>
<u>Electronic Notary Seal Requirements</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0103</u>
<u>Requirements for Sale of Physical Seal To North Carolina ...</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0104</u>
<u>Identification of Notary Public Appearing in Person</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0105</u>
<u>Notary Commission Certificate Receipt by Manufacturer or ...</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0106</u>
<u>Making and Retaining Copy of Notary Commission Certificate</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0107</u>
<u>Confirmation of Notary's Active Status with the Department</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0108</u>
<u>Registration with the Department</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0109</u>
<u>Annual Registration Update</u> Adopt*	18	<u>NCAC</u>	<u>07G</u>	<u>.0110</u>

The rules in subchapter 7H concern remote electronic notarial act and ipen requirements (.0100); establishing location of principals (.0200); identity verification (.0300); continuous communication between notary and principal (.0400); electronic notary public storage of records (.0500); communication technology recordings (.0600); security and confidentiality requirements (.0700).

<u>Scope</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0101</u>
<u>Requirements for Electronic Notaries Performing Remote El...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0102</u>
<u>Definitions</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0103</u>

<u>Prohibited Acts</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0104</u>
<u>Timing of Verification of Location</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0201</u>
<u>Means of Determining Principals Location</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0202</u>
<u>Procedure When Principal Uses GPS-Enabled Device</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0203</u>
<u>Exception To Rule .0203</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0204</u>
<u>Contents of Attestation of Location</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0205</u>
<u>Contents of Attestation of Location on U.S. Military Inst...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0206</u>
<u>Exception to Rule .0206</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0207</u>
<u>Additional Requirements for Written Attestation of Location</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0208</u>
<u>Verification of Identity by Notary Public</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0301</u>
<u>Credible Witness Prohibited for Identity Verification of ...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0302</u>
<u>Notary Request for Additional Information</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0303</u>
<u>Unobstructed View</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0401</u>
<u>Modified Image of Principal</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0402</u>
<u>Audio Impairment</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0403</u>
<u>Modified Voice of Principal</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0404</u>
<u>Exception To Rule .0404</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0405</u>
<u>Principal Disappearance or Exit</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0406</u>
<u>Designation of Approved Custodian</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0501</u>
<u>Custodian Designation Limitation</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0502</u>
<u>Notice To Department of Custodian</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0503</u>
<u>Self-Designation as Custodial Notary</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0504</u>
<u>Custodial Notary Prohibitions</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0505</u>
<u>Electronic Notary Notice to Platform or IPEN Provider of ...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0506</u>
<u>Storing Records with Approved Depositories and Custodians</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0507</u>
<u>Transfer of Records for Which Retention Period Has Not Ended</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0508</u>

RULES REVIEW COMMISSION

<u>Records for Which Retention Period Has Ended</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0509</u>
<u>No Deletion of Session Records Prior To Expiration of Ret...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0510</u>
<u>Custodial Notary Limitations on Deletion of Session Record</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0511</u>
<u>Electronic Notary Direction of Deletion of Records After ...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0512</u>
<u>Scope</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0601</u>
<u>Principal Refusal To Be Recorded</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0602</u>
<u>Requests for Attorney</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0603</u>
<u>Recording Shall Start Before Notarial Act is Performed</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0604</u>
<u>Recording Shall Be Continuous</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0605</u>
<u>Communication Recording Preface to Remote Electronic Nota...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0606</u>
<u>Electronic Notary Recitation for Communication Technology...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0607</u>
<u>Remotely Located Principal's Declaration for Communication...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0608</u>
<u>Identifying Individuals in Same Location as Principal</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0609</u>
<u>Statement From Non-Principal's Appearing Via Communication...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0610</u>
<u>Acknowledgement - Additional Requirements for Communication...</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0611</u>
<u>Communication Technology Recording Ownership</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0612</u>
<u>Access to Communication Technology Recordings</u> Adopt*	18	<u>NCAC</u>	<u>07H</u>	<u>.0701</u>

The rules in Subchapter 7I concern journal requirements including general rules (.0100); journal basics (.0200); general journal contents (.0300); and electronic journal requirements (.0400).

<u>Definitions</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0102</u>
<u>Exceptions to Rule .0105</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0106</u>
<u>Acts Included in Journal</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0207</u>
<u>Contents of Journal</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0302</u>
<u>Additional Journal Contents for Remote Electronic...</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0303</u>
<u>Journal Supplements</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0308</u>
<u>Including Signatures in Journal</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0404</u>

RULES REVIEW COMMISSION

<u>Pre-Populated Data to be Confirmed by Notary Public</u> Amend*	18	<u>NCAC</u>	<u>07I</u>	<u>.0406</u>
<p>The rules in subchapter 7J concern technology provider requirements (.0100); notices required from technology providers (.0200); general applications procedures (.0300); general application contents (.0400); technology demonstration (.0500); technology provider standards (.0600); electronic signature and seal standards (.0700); electronic journal standards (.0801); communication technology standards (.0900); depository services standards (.1000); bridge provisions (.1100); ipen solution standards (.1200); application for in-person electronic notarization services authorization (.1300); platform standards (.1400); application for platform licensure (.1500); credential analysis standards (.1600); application for credential analysis authorization (.1700); identity proofing standards (.1800); application for identity proofing solution authorization (.1900); custodial services standards (.2000); application for custodial services authorization (.2100); custodial notaries (.2200).</p>				
<u>Definitions</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0101</u>
<u>Technology Providers Licensed or Approved</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0102</u>
<u>Multiple Services</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0103</u>
<u>No Transfer of License or Approval</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0104</u>
<u>Permissible Provider Designations</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0105</u>
<u>Authorization Does Not Mean Endorsement</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0106</u>
<u>Timely Response Required</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0107</u>
<u>Subpoena or Warrant</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0108</u>
<u>Service Level Agreement</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0109</u>
<u>Advertising Restriction</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0110</u>
<u>Compliance with Technology Provider Protocols Required</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0111</u>
<u>Implementation Of Polices and Plans</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0112</u>
<u>Continuity of Service</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0113</u>
<u>When Provider May Use Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0114</u>
<u>When Provider May Retain Backup Copies of Data</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0115</u>
<u>Data Deletion Requirement</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0116</u>
<u>Notice of Material Change to Electronic Notary Solution</u> Amend*	18	<u>NCAC</u>	<u>07J</u>	<u>.0201</u>
<u>Implementation of Material Changes Prohibited Pending Dep...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0202</u>
<u>Notice to Department of Business Information Changes</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0203</u>
<u>Contents of Notice of Changes</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0204</u>

RULES REVIEW COMMISSION

<u>Notice to Notaries of Planned Service Outages</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0205</u>
<u>Service Outage Notification to the Department</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0206</u>
<u>Notice to Notaries of Break In Service</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0207</u>
<u>Notice of Reportable Incident</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0208</u>
<u>Content of Notification to Department</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0209</u>
<u>Notification to Other Parties</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0210</u>
<u>Verification of Continuing Compliance</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0211</u>
<u>Timing of Verification of Continuing Compliance</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0212</u>
<u>Due Diligence</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0213</u>
<u>Signer of Verification</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0214</u>
<u>Notice To Department That Provider Will Not Review</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0215</u>
<u>Notice To Subscribers and Notaries of Nonrenewal</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0216</u>
<u>Application Process</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0301</u>
<u>Separate Applications for Each Solution Type</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0302</u>
<u>Application Fees</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0303</u>
<u>Binding Representations in Application</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0304</u>
<u>Limit on Designation of Trade Secret or Confidential Info...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0305</u>
<u>Designation of Confidential or Trade Secret Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0306</u>
<u>Redacted Copy</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0307</u>
<u>Designation of Redacted Copy</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0308</u>
<u>Good Faith Basis for Confidential or Trade Secret...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0309</u>
<u>Sources of Confidential Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0310</u>
<u>Application Changes Prior To Licensure or Approval</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0311</u>
<u>Application Withdrawal</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0312</u>
<u>Application Resubmission</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0313</u>
<u>Notice of Department Decision</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0314</u>

<u>Timing of Submission of Application for Subsequent Author...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0315</u>
<u>Contents of All Technology Provider Applications</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0401</u>
<u>Provider Name</u> Amend*	18	<u>NCAC</u>	<u>07J</u>	<u>.0402</u>
<u>Contact Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0403</u>
<u>Certification of Standing</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0404</u>
<u>Notary Services In Other Jurisdictions</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0405</u>
<u>Compliance Contact</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0406</u>
<u>Compliance Contact Duties</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0407</u>
<u>Third-Party Vendors Included in Electronic Notary Solution</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0408</u>
<u>Third-Party Vendor Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0409</u>
<u>Supporting Vendors</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0410</u>
<u>Supporting Vendor Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0411</u>
<u>Vendors with Access to Notarial Transaction Data</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0412</u>
<u>Disclosure of Certifications and Compliance Reports</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0413</u>
<u>Disclosure of Debarment</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0414</u>
<u>Disclosure of Voluntary Exclusions in Lieu of Debarment</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0415</u>
<u>Disclosure of Civil Legal Actions</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0416</u>
<u>Content of Civil Legal Action Disclosures</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0417</u>
<u>Disclosure of Disciplinary Actions</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0418</u>
<u>Content of Disciplinary Action Disclosures</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0419</u>
<u>Disclosure of Bankruptcy</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0420</u>
<u>Contents of Bankruptcy Disclosure</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0421</u>
<u>Website Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0422</u>
<u>IT Security Audit Summary</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0423</u>
<u>Solution Availability Required</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0501</u>
<u>Demonstration Content</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0502</u>

<u>Demonstration To Include Use of Solution in Notarial Tran...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0503</u>
<u>Additional Demonstrations</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0504</u>
<u>Waiver of Demonstration Requirement</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0505</u>
<u>Scope</u> Amend*	18	<u>NCAC</u>	<u>07J</u>	<u>.0601</u>
<u>Notary Account Access</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0602</u>
<u>Require Notary Multi-Factor</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0603</u>
<u>Inactivity Warning Timing</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0604</u>
<u>Provider Action After Warning</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0605</u>
<u>Technology Provider Web Page</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0606</u>
<u>Single Link and Submission to Department</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0607</u>
<u>Website Content</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0608</u>
<u>Updating Single Link URL</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0609</u>
<u>Encryption of Data</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0610</u>
<u>Data Stored Domestically</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0611</u>
<u>Data Storage Facility Requirements</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0612</u>
<u>Data Center Security</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0613</u>
<u>Certification Re Data Center</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0614</u>
<u>Maintenance of Software and Hardware</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0615</u>
<u>Configuration Management Plan</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0616</u>
<u>Identification and Access Control</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0617</u>
<u>Identification and Access Control by Third-Party Vendors ...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0618</u>
<u>Vulnerability Detection and Remediation</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0619</u>
<u>IT Security Audit</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0620</u>
<u>Summary of IT Security Audit</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0621</u>
<u>Contents of IT Security Audit</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0622</u>
<u>Cybersecurity Incident Prevention</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0623</u>

<u>Security Plan</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0624</u>
<u>Security Boundary and Threat Protection Technology</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0625</u>
<u>Security Incident Response Plan</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0626</u>
<u>Cybersecurity Incident Response</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0627</u>
<u>Contingency Plan Requirement</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0628</u>
<u>Contents of Contingency Plan</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0629</u>
<u>Application of Electronic Seal</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0701</u>
<u>Compliance with Electronic Signature and Seal Rules</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0702</u>
<u>Application of Electronic Signature</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0703</u>
<u>Electronic Signature Appearance</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0704</u>
<u>Electronic Signature and Seal</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0705</u>
<u>Electronic Signature and Seal Changes</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0706</u>
<u>Electronic Journal Required</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0801</u>
<u>Compliance with Journal Rules</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0802</u>
<u>Journal Entry for Each Notarial Transaction</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0803</u>
<u>Classification of Journal Entries</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0804</u>
<u>Minimum Length of Notes Field</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0805</u>
<u>Journal Data Validation</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0806</u>
<u>Committed Journal Entry Amendments Prohibited</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0807</u>
<u>Printing of Electronic Journal Information</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0808</u>
<u>Journal Search</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0809</u>
<u>Segregation of Entries</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0810</u>
<u>Notary Access To Journal</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0811</u>
<u>Termination of Relationship with Notary</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0812</u>
<u>Supplemental Journal Entries</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0813</u>
<u>Access Limited</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.0901</u>

<u>View of Document</u> Adopt*	18	NCAC	07J	.0902
<u>Video Standards</u> Adopt*	18	NCAC	07J	.0903
<u>Video Customization Standard</u> Adopt*	18	NCAC	07J	.0904
<u>Audio Standards</u> Adopt*	18	NCAC	07J	.0905
<u>Written Communication Standard</u> Adopt*	18	NCAC	07J	.0906
<u>Recording Capability</u> Adopt*	18	NCAC	07J	.0907
<u>Recording Content</u> Adopt*	18	NCAC	07J	.0908
<u>Recording Metadata</u> Adopt*	18	NCAC	07J	.0909
<u>Recording of Remote Judicial Actions or Proceedings</u> Adopt*	18	NCAC	07J	.0910
<u>Depository Requirements</u> Adopt*	18	NCAC	07J	.1001
<u>Session Record Creation</u> Adopt*	18	NCAC	07J	.1002
<u>Session Record Digital Signature</u> Adopt*	18	NCAC	07J	.1003
<u>Session Record Presumption</u> Adopt*	18	NCAC	07J	.1004
<u>Session Identifier</u> Adopt*	18	NCAC	07J	.1005
<u>Searchable Session Record Fields</u> Adopt*	18	NCAC	07J	.1006
<u>Session Record Unchanged</u> Adopt*	18	NCAC	07J	.1007
<u>Storage Locations</u> Adopt*	18	NCAC	07J	.1008
<u>Time Limit for Transfer from Depository</u> Adopt*	18	NCAC	07J	.1009
<u>Exceedance of Storage Time Limit</u> Adopt*	18	NCAC	07J	.1010
<u>Deletion of Session Record</u> Adopt*	18	NCAC	07J	.1011
<u>Validation Before Deletion</u> Adopt*	18	NCAC	07J	.1012
<u>Notary Access to Session Record from Depository</u> Adopt*	18	NCAC	07J	.1013
<u>Depository Continuity of Services</u> Adopt*	18	NCAC	07J	.1014
<u>Journal Entry Identifier</u> Adopt*	18	NCAC	07J	.1015
<u>Session Record Log for Which There Is No Electronic...</u> Adopt*	18	NCAC	07J	.1016
<u>Required Metadata</u> Adopt*	18	NCAC	07J	.1017

<u>Session Record Log</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1018</u>
<u>Contents of Session Record Log</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1019</u>
<u>Entry to Department Database</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1020</u>
<u>Retention of Session Record Log by Depository</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1021</u>
<u>Transfer of Session Record Log</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1022</u>
<u>Entry in Department's Database by Transferor</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1023</u>
<u>Safe Harbor</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1101</u>
<u>Deadline for Existing Tech Provider Applications</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1102</u>
<u>Compliance with Rules During Bridge Period</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1103</u>
<u>Application Required Upon Changes</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1104</u>
<u>IPEN Solution Account Creation</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1201</u>
<u>Changes to Electronic Registration Certificate Presented ...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1202</u>
<u>Verification of Notary Status</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1203</u>
<u>Inability to Verify Active Status</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1204</u>
<u>When Provider May Re-Enable Full Access</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1205</u>
<u>IPEN Verification of Custodian</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1206</u>
<u>Format of Session Record</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1207</u>
<u>IPEN Solution Disclosure</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1208</u>
<u>Content of Additional IPEN Solution Disclosures</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1209</u>
<u>IPEN Solution Disclosure Format</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1210</u>
<u>Requirements For Authorization to Provide IPEN Services</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1301</u>
<u>Duration of IPEN Solution Approval</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1302</u>
<u>Application Form for IPEN Services</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1303</u>
<u>Identification of Key Individuals</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1304</u>
<u>Application Requirements for IPEN Provider Key Individuals</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1305</u>
<u>Frequency of Criminal History Record Checks for Key...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1306</u>

<u>When More Frequent Criminal History Record Checks Required</u> Adopt*	18	NCAC	07J	.1307
<u>Required General Platform Functions</u> Adopt*	18	NCAC	07J	.1401
<u>Platform Functions for Traditional Notary</u> Adopt*	18	NCAC	07J	.1402
<u>Platform Session Record for Traditional Notary</u> Adopt*	18	NCAC	07J	.1403
<u>Platform Functions Unavailable to Traditional Notary</u> Adopt*	18	NCAC	07J	.1404
<u>Reasonable Accommodation</u> Adopt*	18	NCAC	07J	.1405
<u>Geolocation Detection</u> Adopt*	18	NCAC	07J	.1406
<u>Geolocation Communication to Notary</u> Adopt*	18	NCAC	07J	.1407
<u>Notice of Image or Voice Alteration</u> Adopt*	18	NCAC	07J	.1408
<u>Personal Knowledge</u> Adopt*	18	NCAC	07J	.1409
<u>Platform Account Creation for Electronic Notary</u> Adopt*	18	NCAC	07J	.1410
<u>Platform Account Creation for Traditional Notary</u> Adopt*	18	NCAC	07J	.1411
<u>Changes to Commission or Registration Certificate Present...</u> Adopt*	18	NCAC	07J	.1412
<u>Verification of Notary Status</u> Adopt*	18	NCAC	07J	.1413
<u>Inability to Verify Electronic Notary Status</u> Adopt*	18	NCAC	07J	.1414
<u>Platform Access for Notary Not in Active Status</u> Adopt*	18	NCAC	07J	.1415
<u>Verification Prior To Remotely Administering Judicial Oat...</u> Adopt*	18	NCAC	07J	.1416
<u>When Provider May Re-Enable</u> Adopt*	18	NCAC	07J	.1417
<u>Format Session Record</u> Adopt*	18	NCAC	07J	.1418
<u>Notary Count of Notable Acts</u> Adopt*	18	NCAC	07J	.1419
<u>Field For Count of Oaths or Affirmations Without Principals...</u> Adopt*	18	NCAC	07J	.1420
<u>Platform Provider Calculations of Monthly Fee Remission...</u> Adopt*	18	NCAC	07J	.1421
<u>Platform Fees Independent of Notary Fees Paid by Principals</u> Adopt*	18	NCAC	07J	.1422
<u>Timing of Monthly Fee Remission</u> Adopt*	18	NCAC	07J	.1423
<u>Fee Remission Log</u> Adopt*	18	NCAC	07J	.1424
<u>Fee Remission Log Contents</u> Adopt*	18	NCAC	07J	.1425

<u>Fee Remission Log Retention</u> Adopt*	18	NCAC	07J	.1426
<u>Additional Platform Disclosures</u> Adopt*	18	NCAC	07J	.1427
<u>Content of Additional Platform</u> Adopt*	18	NCAC	07J	.1428
<u>Platform Disclosure Format</u> Adopt*	18	NCAC	07J	.1429
<u>Platform Licensure Requirements</u> Adopt*	18	NCAC	07J	.1501
<u>Duration of Platform License</u> Adopt*	18	NCAC	07J	.1502
<u>Application Form for Platform License</u> Adopt*	18	NCAC	07J	.1503
<u>Identification of Key Individuals</u> Adopt*	18	NCAC	07J	.1504
<u>Application Requirements for Platform Key Individuals</u> Adopt*	18	NCAC	07J	.1505
<u>Frequency of Criminal History Record Checks for Key...</u> Adopt*	18	NCAC	07J	.1506
<u>When More Frequent Criminal History Record Checks Required</u> Adopt*	18	NCAC	07J	.1507
<u>Section Definitions</u> Adopt*	18	NCAC	07J	.1601
<u>Credential Analysis Solution-General</u> Adopt*	18	NCAC	07J	.1602
<u>Credential Analysis Solution Trial Process</u> Adopt*	18	NCAC	07J	.1603
<u>Evaluation of Credential Analysis Trial</u> Adopt*	18	NCAC	07J	.1604
<u>Frequency of Credential Analysis Solution Trial</u> Adopt*	18	NCAC	07J	.1605
<u>Credential Analysis Test</u> Adopt*	18	NCAC	07J	.1606
<u>Credential Analysis</u> Adopt*	18	NCAC	07J	.1607
<u>Credential Analysis Outcome</u> Adopt*	18	NCAC	07J	.1608
<u>Notification of Image Alteration</u> Adopt*	18	NCAC	07J	.1609
<u>Verification Data Confidential</u> Adopt*	18	NCAC	07J	.1610
<u>Data Storage Prohibited for Credential Analysis Solution</u> Adopt*	18	NCAC	07J	.1611
<u>Additional Credential Analysis</u> Adopt*	18	NCAC	07J	.1612
<u>Content of Additional Credential Analysis Disclosures</u> Adopt*	18	NCAC	07J	.1613
<u>Credential Analysis Disclosure Format</u> Adopt*	18	NCAC	07J	.1614
<u>Requirements for Authorization to Provide Credential Anal...</u> Adopt*	18	NCAC	07J	.1701

<u>Duration of Credential Analysis Approval</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1702</u>
<u>Application Form for Credential Analysis Services</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1703</u>
<u>Section Definitions</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1801</u>
<u>Identity Proofing Solution Trial-General</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1802</u>
<u>Identity Proofing Solution Trial - Process</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1803</u>
<u>Evaluation of Identity Proofing Trial</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1804</u>
<u>Frequency of Identity Proofing Solution Trials</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1805</u>
<u>Identity Proofing Test</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1806</u>
<u>Identity Proofing Process Using Knowledge-Based Authentic...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1807</u>
<u>Re-Taking of Quiz by Individual</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1808</u>
<u>Notice of Identity Proofing Methods and Outcomes</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1809</u>
<u>Verification Data Confidential</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1810</u>
<u>Data Storage Prohibited for Identity Proofing Solution</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1811</u>
<u>Additional Identity Proofing Disclosures</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1812</u>
<u>Content of Additional Identity Proofing Disclosures</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1813</u>
<u>Identity Proofing Disclosures Format</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1814</u>
<u>Requirements for Authorization to Provide Identity...</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1901</u>
<u>Duration of Identity Proofing Approval</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1902</u>
<u>Application Form for Identity Proofing Services</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.1903</u>
<u>Custodian Requirements</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2001</u>
<u>Receipt From Custodian Transferee</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2002</u>
<u>Authenticity of Custodian Records</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2003</u>
<u>Notary Access to Session Record from Custodian</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2004</u>
<u>Searchable Session Records</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2005</u>
<u>Session Record Storage Locations</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2006</u>
<u>Duration of Storage for Custodian</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2007</u>

RULES REVIEW COMMISSION

<u>Time Limit for Transfer from Custodian</u> Adopt*	18	NCAC	07J	.2008
<u>Session Record Deletion by Custodian Upon Transfer</u> Adopt*	18	NCAC	07J	.2009
<u>Optional Retention of Session Records</u> Adopt*	18	NCAC	07J	.2010
<u>Session Record Marked for Extended Retention</u> Adopt*	18	NCAC	07J	.2011
<u>Mandatory Extended Retention of Session Record Upon...</u> Adopt*	18	NCAC	07J	.2012
<u>Deletion Upon Expiration of Mandatory Extended Retention</u> Adopt*	18	NCAC	07J	.2013
<u>Deletion of Session Entry in Log</u> Adopt*	18	NCAC	07J	.2014
<u>Session Record Log Retention by Custodian</u> Adopt*	18	NCAC	07J	.2015
<u>Depository Session Record Log by Custodian</u> Adopt*	18	NCAC	07J	.2016
<u>Custodian Entry in Departments Database</u> Adopt*	18	NCAC	07J	.2017
<u>Notice of Cessation of Custodial Services</u> Adopt*	18	NCAC	07J	.2018
<u>Custodian Continuity of Services</u> Adopt*	18	NCAC	07J	.2019
<u>Additional Custodian Disclosures</u> Adopt*	18	NCAC	07J	.2020
<u>Content of Additional Custodian</u> Adopt*	18	NCAC	07J	.2021
<u>Custodian Disclosure Format</u> Adopt*	18	NCAC	07J	.2022
<u>Requirements for Authorization to Provide Custodial Services</u> Adopt*	18	NCAC	07J	.2101
<u>Duration of Custodian Approval</u> Adopt*	18	NCAC	07J	.2102
<u>Application Form for Custodial Services</u> Adopt*	18	NCAC	07J	.2103
<u>Scope</u> Adopt*	18	NCAC	07J	.2201
<u>Custodial Notary Deemed Approved</u> Adopt*	18	NCAC	07J	.2202
<u>Custodial Notary as Custodian and Technology Provider</u> Adopt*	18	NCAC	07J	.2203
<u>Rules Applicable to Custodial Notaries</u> Adopt*	18	NCAC	07J	.2204
<u>Custodial Notary Session Record Storage Locations</u> Adopt*	18	NCAC	07J	.2205
<u>Providing Custodial Services After Electronic Notary Regi...</u> Adopt*	18	NCAC	07J	.2206
<u>Effect of Disciplinary Action on Service as Custodial Notary</u> Adopt*	18	NCAC	07J	.2207
<u>Limitation on Custodial Notary After Registration Expiration</u> Adopt*	18	NCAC	07J	.2208

<u>Custodial Notary Annual Verification Form</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2209</u>
<u>Submission of Annual Verification</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2210</u>
<u>Final Custodial Notary Verification</u> Adopt*	18	<u>NCAC</u>	<u>07J</u>	<u>.2211</u>

The rules in Subchapter 7K are miscellaneous rules including general rules (.0100); and charging fees for notarial acts (.0200).

<u>Definitions</u> Amend*	18	<u>NCAC</u>	<u>07K</u>	<u>.0101</u>
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FUNERAL SERVICE, BOARD OF

The rules in Subchapter 34B are funeral service rules including rules relating to resident trainees (.0100); examinations (.0200); licensing (.0300); continuing education (.0400); out-of-state licensees (.0500); funeral establishments (.0600); preparation of dead bodies (.0700); and transportation of dead human bodies (.0800).

<u>Change of Funeral Establishment Manager</u> Adopt*	21	<u>NCAC</u>	<u>34B</u>	<u>.0619</u>
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ENGINEERS AND SURVEYORS, BOARD OF EXAMINERS FOR

The rules in Chapter 56 concern the organization of the board (.0100); instructional programs (.0300); records and reports of the board, retention and dispositions (.0400); professional engineer (.0500); professional land surveyor (.0600); rules of professional conduct (.0700); firm registration (.0800); general business entities (.0900); temporary permit (.1000); seal (.1100); rulemaking proceedings (.1200); board disciplinary procedures (.1300); contested cases (.1400); fees (.1500); standards of practice for land surveying in North Carolina (.1600); and continuing professional competency (.1700).

<u>Application Procedure: Individual</u> Amend*	21	<u>NCAC</u>	<u>56</u>	<u>.0602</u>
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REAL ESTATE COMMISSION

The rules in Chapter 58 are from the North Carolina Real Estate Commission. The rules in Subchapter 58A are rules relating to real estate brokers and salesmen including rules dealing with general brokerage (.0100); application for license (.0300); examinations (.0400); licensing (.0500); real estate commission hearings (.0600); petitions for rules (.0700); rulemaking (.0800); declaratory rulings (.0900); real estate education and recovery fund (.1400); discriminatory practices prohibited (.1600); mandatory continuing education (.1700); limited nonresident commercial licensing (.1800); post-licensure education (.1900); annual reports (.2000); brokers in military service (.2100); and broker price opinions and comparative market analyses (.2200).

<u>Delivery of Instruments</u> Amend*	21	<u>NCAC</u>	<u>58A</u>	<u>.0106</u>
<u>License Application and Fee</u> Amend*	21	<u>NCAC</u>	<u>58A</u>	<u>.0302</u>
<u>Firm Licensing</u> Amend*	21	<u>NCAC</u>	<u>58A</u>	<u>.0502</u>

The rules in Subchapter 58H concern real estate education including general rules (.0100); real estate schools (.0200); approved instructors (.0300); and real estate courses (.0400).

<u>Policies and Procedures Disclosure</u> Amend*	21	<u>NCAC</u>	<u>58H</u>	<u>.0204</u>
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RULES REVIEW COMMISSION

<u>Advertising and Recruitment Activities</u> Amend*	<u>21</u>	<u>NCAC</u>	<u>58H</u>	<u>.0206</u>
<u>Expiration and Renewal of Provider Certification</u> Amend*	<u>21</u>	<u>NCAC</u>	<u>58H</u>	<u>.0209</u>
<u>Application and Criteria for Instructor Approval</u> Amend*	<u>21</u>	<u>NCAC</u>	<u>58H</u>	<u>.0302</u>
<u>Renewal and Expiration of Course Approval</u> Adopt*	<u>21</u>	<u>NCAC</u>	<u>58H</u>	<u>.0416</u>