NORTH CAROLINA REGISTER

VOLUME 23 • ISSUE 22 • Pages 2170 - 2215

May 15, 2009

I. IN ADDITION	
Labor, Department of – Notice of Verbatim Adoption	2170
Notice of Intent to Issue NPDES Permit – Division of Water (Quality 2171
$-//$ $1 \times f_1 \times f_2$.	// 77
	// ¥
I. PROPOSED RULES	
Environment and Natural Resources, Department of	
Environmental Management Commission	2172 – 2176
Justice	37
Private Protective Services Board	2172
Occupational Licensing Boards and Commissions	7 L 11
Barber Examiners, Board of	2176 – 2181
Barber Examiners, Board of	2181 – 2182
Funeral Service, Board of	2182 – 2185
Plumbing, Heating and Fire Sprinkler Contractor, Board of Ex	xaminers for 2185 – 2194
Office of State Personnel	 //
State Personnel Commission	2194 – 2195
\\	. //
\\	// /
II. RULES REVIEW COMMISSION	2196 – 2204
	//
	// . 🔻
V. CONTESTED CASE DECISIONS	//
Index to ALJ Decisions	2205 – 2215

PUBLISHED BY

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Contact List for Rulemaking Questions or Concerns

For questions or concerns regarding the Administrative Procedure Act or any of its components, consult with the agencies below. The bolded headings are typical issues which the given agency can address, but are not inclusive.

Rule Notices, Filings, Register, Deadlines, Copies of Proposed Rules, etc.

Office of Administrative Hearings

Rules Division

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Rule Review and Legal Issues

Rules Review Commission

1711 New Hope Church Road (919) 431-3000 Raleigh, North Carolina 27609 (919) 431-3104 FAX

contact: Joe DeLuca Jr., Commission Counsel joe.deluca@oah.nc.gov (919) 431-3081 Bobby Bryan, Commission Counsel bobby.bryan@oah.nc.gov (919) 431-3079

Fiscal Notes & Economic Analysis

Office of State Budget and Management

116 West Jones Street (919) 807-4700 Raleigh, North Carolina 27603-8005 (919) 733-0640 FAX

contact: William Crumbley, Economic Analyst william.crumbley@ncmail.net (919) 807-4740

Governor's Review

Eddie Speas eddie.speas@nc.gov Legal Counsel to the Governor (919) 733-5811

116 West Jones Street

Raleigh, North Carolina 27603

Legislative Process Concerning Rule-making

Joint Legislative Administrative Procedure Oversight Committee

545 Legislative Office Building

 300 North Salisbury Street
 (919) 733-2578

 Raleigh, North Carolina 27611
 (919) 715-5460 FAX

contact: Karen Cochrane-Brown, Staff Attorney karenc@ncleg.net jeffreyh@ncleg.net

County and Municipality Government Questions or Notification

NC Association of County Commissioners

215 North Dawson Street (919) 715-2893

Raleigh, North Carolina 27603

contact: Jim Blackburn jim.blackburn@ncacc.org

Rebecca Troutman rebecca.troutman@ncacc.org

NC League of Municipalities (919) 715-4000

215 North Dawson Street Raleigh, North Carolina 27603

contact: Erin L. Wynia ewynia@nclm.org

NORTH CAROLINA REGISTER

Publication Schedule for January 2009 – December 2009

FILING DEADLINES		LINES NOTICE		NOTICE OF TEXT		PERMANENT RULE			
Volume & issue number	Issue date	Last day for filing	Earliest date for public hearing	End of required comment period	Deadline to submit to RRC for review at next meeting	Earliest Eff. Date of Permanent Rule	Delayed Eff. Date of Permanent Rule 31st legislative day of the session beginning:	270 th day from publication in the Register	
23:13	01/02/09	12/08/08	01/17/09	03/03/09	03/20/09	05/01/09	05/2010	09/29/09	
23:14	01/15/09	12/19/08	01/30/09	03/16/09	03/20/09	05/01/09	05/2010	10/12/09	
23:15	02/02/09	01/09/09	02/17/09	04/03/09	04/20/09	06/01/09	05/2010	10/30/09	
23:16	02/16/09	01/26/09	03/03/09	04/17/09	04/20/09	06/01/09	05/2010	11/13/09	
23:17	03/02/09	02/09/09	03/17/09	05/01/09	05/20/09	07/01/09	05/2010	11/27/09	
23:18	03/16/09	02/23/09	03/31/09	05/15/09	05/20/09	07/01/09	05/2010	12/11/09	
23:19	04/01/09	03/11/09	04/16/09	06/01/09	06/22/09	08/01/09	05/2010	12/27/09	
23:20	04/15/09	03/24/09	04/30/09	06/15/09	06/22/09	08/01/09	05/2010	01/10/10	
23:21	05/01/09	04/09/09	05/16/09	06/30/09	07/20/09	09/01/09	05/2010	01/26/10	
23:22	05/15/09	04/24/09	05/30/09	07/14/09	07/20/09	09/01/09	05/2010	02/09/10	
23:23	06/01/09	05/08/09	06/16/09	07/31/09	08/20/09	10/01/09	05/2010	02/26/10	
23:24	06/15/09	05/22/09	06/30/09	08/14/09	08/20/09	10/01/09	05/2010	03/12/10	
24:01	07/01/09	06/10/09	07/16/09	08/31/09	09/21/09	11/01/09	05/2010	03/28/10	
24:02	07/15/09	06/23/09	07/30/09	09/14/09	09/21/09	11/01/09	05/2010	04/11/10	
24:03	08/03/09	07/13/09	08/18/09	10/02/09	10/20/09	12/01/09	05/2010	04/30/10	
24:04	08/17/09	07/27/09	09/01/09	10/16/09	10/20/09	12/01/09	05/2010	05/14/10	
24:05	09/01/09	08/11/09	09/16/09	11/02/09	11/20/09	01/01/10	05/2010	05/29/10	
24:06	09/15/09	08/24/09	09/30/09	11/16/09	11/20/09	01/01/10	05/2010	06/12/10	
24:07	10/01/09	09/10/09	10/16/09	11/30/09	12/21/09	02/01/10	05/2010	06/28/10	
24:08	10/15/09	09/24/09	10/30/09	12/14/09	12/21/09	02/01/10	05/2010	07/12/10	
24:09	11/02/09	10/12/09	11/17/09	01/02/10	01/20/10	03/01/10	05/2010	07/30/10	
24:10	11/16/09	10/23/09	12/01/09	01/15/10	01/20/10	03/01/10	05/2010	08/13/10	
24:11	12/01/09	11/05/09	12/16/09	02/01/10	02/22/10	04/01/10	05/2010	08/28/10	
24:12	12/15/09	11/20/09	12/30/09	02/15/10	02/22/10	04/01/10	05/2010	09/11/10	

EXPLANATION OF THE PUBLICATION SCHEDULE

This Publication Schedule is prepared by the Office of Administrative Hearings as a public service and the computation of time periods are not to be deemed binding or controlling. Time is computed according to 26 NCAC 2C .0302 and the Rules of Civil Procedure, Rule 6.

GENERAL

The North Carolina Register shall be published twice a month and contains the following information submitted for publication by a state agency:

- (1) temporary rules;
- (2) notices of rule-making proceedings;
- (3) text of proposed rules;
- (4) text of permanent rules approved by the Rules Review Commission;
- (5) notices of receipt of a petition for municipal incorporation, as required by G.S. 120-165;
- (6) Executive Orders of the Governor;
- (7) final decision letters from the U.S. Attorney General concerning changes in laws affecting voting in a jurisdiction subject of Section 5 of the Voting Rights Act of 1965, as required by G.S. 120-30.9H:
- (8) orders of the Tax Review Board issued under G.S. 105-241.2; and
- (9) other information the Codifier of Rules determines to be helpful to the public.

COMPUTING TIME: In computing time in the schedule, the day of publication of the North Carolina Register is not included. The last day of the period so computed is included, unless it is a Saturday, Sunday, or State holiday, in which event the period runs until the preceding day which is not a Saturday, Sunday, or State holiday.

FILING DEADLINES

ISSUE DATE: The Register is published on the first and fifteen of each month if the first or fifteenth of the month is not a Saturday, Sunday, or State holiday for employees mandated by the State Personnel Commission. If the first or fifteenth of any month is a Saturday, Sunday, or a holiday for State employees, the North Carolina Register issue for that day will be published on the day of that month after the first or fifteenth that is not a Saturday, Sunday, or holiday for State employees.

LAST DAY FOR FILING: The last day for filing for any issue is 15 days before the issue date excluding Saturdays, Sundays, and holidays for State employees.

NOTICE OF TEXT

EARLIEST DATE FOR PUBLIC HEARING: The hearing date shall be at least 15 days after the date a notice of the hearing is published.

END OF REQUIRED COMMENT PERIOD An agency shall accept comments on the text of a proposed rule for at least 60 days after the text is published or until the date of any public hearings held on the proposed rule, whichever is longer.

DEADLINE TO SUBMIT TO THE RULES REVIEW COMMISSION: The Commission shall review a rule submitted to it on or before the twentieth of a month by the last day of the next month.

FIRST LEGISLATIVE DAY OF THE NEXT REGULAR SESSION OF THE GENERAL ASSEMBLY: This date is the first legislative day of the next regular session of the General Assembly following approval of the rule by the Rules Review Commission. See G.S. 150B-21.3, Effective date of rules.

IN ADDITION

North Carolina Department of Labor Division of Occupational Safety and Health 4 West Edenton Street Raleigh, NC 27601

(919) 807-2875

NOTICE OF VERBATIM ADOPTION OF FEDERAL STANDARDS

In consideration of G.S. 150-B-21.5(c) the Occupational Safety and Health Division of the Department of Labor hereby gives notice that:

rule changes have been submitted to update the *North Carolina Administrative Code* at 13 NCAC 07F .0101, 13 NCAC 07F .0201, 13 NCAC 07F .0501, and 13 NCAC 07F .0502 to incorporate by reference the occupational safety and health related provisions of Title 29 of the *Code of Federal Regulations* Parts 1910, 1915, 1917, and 1926 promulgated as of January 9, 2009, except as specifically described, and

the *North Carolina Administrative Code* at 13 NCAC 07A .0301 automatically includes amendments to certain parts of the *Code of Federal Regulations*, including Title 29, Part 1904-Recording and Reporting Occupational Injuries and Illnesses.

This update encompasses recent verbatim adoptions that are effective April 23, 2009 concerning:

Electrical Standards; Clarifications; Corrections (73 FR 64202 - 64205, October 29, 2008)

Longshoring and Marine Terminals; Vertical Tandem Lifts (73 FR 75246 – 75290, December 10, 2008)

Clarification of Employer Duty to Provide Personal Protective Equipment and Train Each Employee (73 FR 75568 – 75589, December 12, 2008)

Clarification of Employer Duty to Provide Personal Protective Equipment and Train Each Employee (74 FR 858, January 9, 2009)

The Federal Register (FR), as cited above, contains both technical and economic discussions that explain the basis for each change.

For additional information, please contact:

Bureau of Education, Training and Technical Assistance Occupational Safety and Health Division North Carolina Department of Labor 1101 Mail Service Center Raleigh, North Carolina 27699-1101

For additional information regarding North Carolina's process of adopting federal OSHA Standards verbatim, please contact:

A. John Hoomani, General Counsel North Carolina Department of Labor Legal Affairs Division 1101 Mail Service Center Raleigh, NC 27699-1101

IN ADDITION

NC DIVISION OF WATER QUALITY INTENT TO ISSUE NPDES GENERAL PERMITS FOR STORMWATER and WASTEWATER DISCHARGES

Public comments or objections to the several draft NPDES General Permits are invited. Submit written comments to DWQ at the address below. All comments received prior to the scheduled public comment period closing dates noted below will be considered in the final determination regarding permit issuance and permit provisions.

General Permit NCG010000 for stormwater only discharges from construction activities. Schedule: draft available 5/15/09; closing date 10/1/09; permit re-issuance 1/1/10. DWQ has determined to administratively extend the current expiration date through 12/31/09.

General Permit NCG020000 for stormwater and wastewater discharges from mining activities. Schedule: draft available10/13/09; closing date 11/12/09; permit re-issuance 1/1/10.

General Permit NCG140000 for stormwater and wastewater discharges from ready-mixed concrete facilities, SIC 3273. Schedule: DWQ has determined to re-issue this permit without changes for a shortened term of 2 years; permit re-issuance 8/1/09.

General Permit NCG150000 for stormwater only discharges from general aviation airports. Schedule: draft available 5/15/09; closing date 6/15/09; permit first issuance 8/1/09.

General Permit NCG160000 for stormwater only discharges from asphalt paving plants, SIC 2951. Schedule: draft available 7/1/09; closing date 8/1/09; permit re-issuance 9/1/09. DWQ has determined to administratively extend the current NCG160000 expiration date 31 days, from 7/31/09 to 8/31/09.

General Permit NCG170000 for stormwater only discharges from textile mills, SIC 22. Schedule: draft available 5/15/09; closing date 6/15/09; permit re-issuance 8/1/09.

General Permit NCG180000 for stormwater only discharges from furniture and cabinet makers, SIC 25 and SIC 2434. Schedule: draft available 5/15/09; closing date 6/15/09; permit re-issuance 9/1/09.

General Permit NCG190000 for stormwater only discharges from marinas with vehicle maintenance activities, and from ship building facilities, SIC 4493 and SIC 373. Schedule: draft available 5/26/09; closing date 6/25/09; permit re-issuance 9/1/09.

General Permit NCG200000 for stormwater only discharges from scrap metal recycling facilities, a portion of SIC 5093. Schedule: draft available 5/15/09; closing date 10/1/09; permit re-issuance 1/1/10. DWQ has determined to administratively extend the expiration date through 12/31/09.

General Permit NCG220000 for stormwater only discharges from chip mills. Schedule: draft available 6/1/09; closing date 6/30/09; permit re-issuance 8/1/09. DWQ has determined to administratively extend this currently expired permit through 7/31/09.

INFORMATION: The text of the draft NPDES General Permits and associated Fact Sheets will be available on the schedule dates noted above at the Stormwater Permitting Unit website at

http://h2o.enr.state.nc.us/su/index.htm

In addition, other related information will be available at the Division of Water Quality, 512 N. Salisbury Street, Room 942T, Archdale Building, Raleigh, North Carolina. Development file documents may be inspected during normal office hours. Copies of the information on file are available upon payment of reproduction costs.

A public meeting may be held where the Director of the Division of Water Quality finds a significant degree of public interest in any proposed permit issuance.

CONTACT:

Ken Pickle Stormwater Permitting Unit N.C. Division of Water Quality 1617 Mail Service Center Raleigh, North Carolina 27699-1617 Telephone (919) 807-6376

PROPOSED RULES

Note from the Codifier: The notices published in this Section of the NC Register include the text of proposed rules. The agency must accept comments on the proposed rule(s) for at least 60 days from the publication date, or until the public hearing, or a later date if specified in the notice by the agency. If the agency adopts a rule that differs substantially from a prior published notice, the agency must publish the text of the proposed different rule and accept comment on the proposed different rule for 60 days.

Statutory reference: G.S. 150B-21.2.

TITLE 12 – DEPARTMENT OF JUSTICE

Notice is hereby given in accordance with G.S. 150B-21.2 that the N.C. Private Protective Services Board intends to amend the rule cited as 12 NCAC 07D .0109.

Proposed Effective Date: September 1, 2009

Public Hearing: Date: *May 30, 2009* **Time:** *1:00 p.m.*

Location: Bailey & Dixon, LLP, Conference Room, 434

Fayetteville Street, Suite 2500, Raleigh, NC 27601

Reason for Proposed Action: The Board conducts audits of licensed companies and utilizes the reports maintained by the Employment Security Commission. The Board seeks to obtain this information electronically instead of obtaining hard copies of the reports.

Procedure by which a person can object to the agency on a proposed rule: Objections to the rule shall be submitted before the end of the comment period in writing to Terry Wright, Director, Private Protective Services Board, 1631 Midtown Place, Suite 104, Raleigh, NC 27609.

Comments may be submitted to: Terry Wright, PPSB Director, 1631 Midtown Place, Suite 104, Raleigh, NC 27609

Comment period ends: July 14, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

impact:
State
Local

	Substantive (>\$3,000,000
\boxtimes	None

CHAPTER 07 – PRIVATE PROTECTIVE SERVICES BOARD

SUBCHAPTER 07D - PRIVATE PROTECTIVE SERVICES BOARD

SECTION .0100 - ORGANIZATION AND GENERAL PROVISIONS

12 NCAC 07D .0109 RECORDS

- (a) All records pertinent to an audit or an investigation required to be maintained by G.S. Chapter 74C or 12 NCAC 07D shall be subject to inspection by the administrator or his staff upon demand between 8:00 a.m. 5:00 p.m. Monday through Friday.
- (b) All licensees having registered employees shall submit a copy of their quarterly Employment Security Commission form NCUI 101-625 to the administrator's office at the same time the form is submitted to the Employment Security Commission. The Board may request, and the licensee shall provide within 10 days of the request, the businesses' Employment Security Commission account number along with the personal identification number (PIN) so that the Board may access the data electronically.
- (c) All records required to be kept by 12 NCAC 07D shall be retained for at least three years.

Authority G.S. 74C-5.

TITLE 15A – DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Notice is hereby given in accordance with G.S. 150B-21.2 that the Environmental Management Commission intends to adopt the rules cited as 15A NCAC 02T .1310 and .1311.

Proposed Effective Date: November 1, 2009

Public Hearing: Date: June 9, 2009 Time: 7:00 p.m.

Location: Iredell County Center, 444 Bristol Drive, Statesville,

North Carolina 28677

Date: June 11, 2009 **Time:** 7:00 p.m.

PROPOSED RULES

Location: James Sprunt Community College, Monk Auditorium, 133 James Sprunt Drive, Kenansville, North Carolina 28349

Date: June 15, 2009 **Time:** 7:00 p.m.

Location: Archdale Building, Ground Floor Hearing Room, 512 North Salisbury Street, Raleigh, North Carolina 27604

Date: June 18, 2009 **Time:** 7:00 p.m.

Location: Martin Community College, 1161 Kehukee Park

Road, Williamston, North Carolina 27892

Reason for Proposed Action: These proposed rules are the result of petitions for rulemaking filed in accordance with G.S. 150B-20(a) of the Administrative Procedures Act by the Waterkeeper Alliance in September and October 2007. The EMC approved the petition in May 2008, but also directed the Division of Water Quality to develop rule language utilizing the stakeholder process. After four stakeholder meetings, a proposed rule has been developed. In November 2008, the Division received approval from the EMC to proceed to the public comment process. For additional information on these proposed rules, please visit the DWQ website at www.ncwaterquality.org and select the Hot Topics link.

Procedure by which a person can object to the agency on a proposed rule: A person may submit written objections concerning the monitoring rules for animal operations (adoption of 15A NCAC 02T .1310-.1311) to the Aquifer Protection Section of the NCDENR-Division of Water Quality. Such correspondence should be brought to the attention of: Mr. Keith Larick, NCDENR/DWQ-Aquifer Protection Section, 1636 Mail Service Center, Raleigh, NC 27699-1636, Phone: (919) 715-6697, Fax: (919) 715-6048, E-Mail: keith.larick@ncdenr.gov. Oral comments may be made during the hearings. Written copies of oral statements exceeding three minutes are requested. All written comments must be received by July 14, 2009.

Comments may be submitted to: Keith Larick, NCDENR/DWQ-Aquifer Protection Section, 1636 Mail Service Center, Raleigh, NC 27699-1636; Phone: (919) 715-6697; Fax: (919) 715-6048; and Email: keith.larick@ncdenr.gov

Comment period ends: July 14, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions

concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

Fiscal 1	mpact:	A copy	y of the	fiscal	note	can	be	obtained
from th	e agency	•						
\boxtimes	State							
	Local							
\boxtimes	Substan	tive (≥\$	3,000,000))				
	None	`	ŕ					

CHAPTER 02 - ENVIRONMENTAL MANAGEMENT

SUBCHAPTER 02T – WASTE NOT DISCHARGED TO SURFACE WATERS

SECTION .1300 – ANIMAL WASTE MANAGEMENT SYSTEMS

15A NCAC 02T .1310 SURFACE WATER AND GROUND WATER MONITORING

(a) All facilities not permitted by regulation pursuant to Rule .1303 of this Section shall monitor any unpermitted waste discharge to surface waters.

- All runoff of waste from receiver sites or discharges from storage structures shall be sampled at least once during the event. For the purposes of this provision, an event is defined as the time from the beginning of the discharge of waste until the discharge of waste is ceased regardless of the duration of discharge. The discharge shall be sampled for the parameters in Subparagraph (a)(4) of this Rule.
- (2) Facilities with known subsurface drains shall make visual observations of subsurface drains during all land application of waste events. If visual observations indicate that waste may be present in the subsurface drain discharge, the permittee shall sample the subsurface drain discharge for the parameters in Subparagraph (a)(4) of this Rule. Additional observations and sampling may be required based on violations related to land application of waste events and known discharges from subsurface drains.
- drains shall make visual observations of subsurface drains after a land application event and after a rainfall event subsequent to a land application event for a minimum of two visual inspections per year for each field with subsurface drains. If visual observations indicate that waste may be present in the subsurface drain discharge, the permittee shall sample the subsurface drain discharge for the parameters in Subparagraph (a)(4) of this Rule. Additional observations and sampling may be required based on violations related to land application of waste events and known discharges from subsurface drains.

- (4) Waste discharges shall be sampled for the following parameters: 5-day Biochemical Oxygen Demand (BOD₅), Total Kjeldahl Nitrogen (TKN), Ammonia Nitrogen (NH₃-N), Nitrate Nitrogen (NO₃-N), Fecal Coliform, and Chloride.
- (b) A surface water monitoring plan, for all facilities not permitted by regulation pursuant to Rule .1303 of this Section, shall be established, in accordance with the schedule provided in Rule .1311 of this Section, to track the performance of the permitted system, verify that the system is protective of surface water standards as well as document water quality parameter concentrations in adjacent surface waters, and compliance with permit discharge limitations.
 - (1) The Division shall determine up to three representative sampling locations per farm site including one location that provides background conditions. The Division may consider recommendations by the permittee regarding sampling locations. Representative locations shall include waters in groundwater lowering ditches and subsurface drains when present. Representative locations shall consider soils, hydrogeology, loading rates, and application methods. Where surface water locations are not representative for the receiver sites or storage structures, monitoring ground waters adjacent to surface waters may be Representative surface water locations for artificially drained receiver sites include:
 - (A) Groundwater lowering ditches that are collectively representative of subsurface drain discharges from receiver sites or storage structure sites if the ditch is a discernible and discrete source of groundwater from receiver sites or storage structure sites.
 - (B) Subsurface drains may be selectively sampled (i.e., one drain sampled to be representative of multiple drains in a receiver site or storage structure site) based on uniformity of application across fields (both design and actual), soil characteristics, and hydrogeologic setting.
 - (2) If three surface water sampling locations cannot be identified on or adjacent to the farm site, the monitoring plan may be reduced to only those representative locations on or adjacent to the farm site such as intermittent and perennial streams, perennial waterbodies, subsurface drain outlets, groundwater lowering ditches.
 - (3) The plan shall include three sampling events
 every calendar year, except as otherwise
 provided for in this Paragraph, as follows:

- (A) One sampling event during the months of January or February.
- (B) One sampling event after a representative land application event during the months of March or April.
- (C) One sampling event after a representative land application event during the months of July, August, or September.
- (D) For any of the sampling events required by this Subparagraph, if flow at sampling locations is not present at the time of scheduled sampling, sampling shall occur when flow is present at the sampling location. If a representative land application event does not occur during the prescribed months, a sample shall be taken after the next land application event.
- (4) Samples shall be collected and analyzed for the following constituents: Ammonia Nitrogen (NH₃-N), Nitrate Nitrogen (NO₃-N), 5-day Biochemical Oxygen Demand (BOD₅), Fecal Coliform, and Chloride.
- (5) Where there is adequate documentation of functioning best management practices, features such as storage structures meeting NRCS standards, riparian buffers, or drainage control structures in artificially drained sites, contingent on the continued proper operation and maintenance of these features, a monitoring plan may be reduced in scope and frequency based on the effectiveness of those features.
- (6) Requests for reduction in monitoring may be submitted to the Division. Requests for reduction in frequency, including elimination of monitoring, shall be based on the consistency of historical data, time of monitoring with respect to expected pollutant time of travel, the levels of pollutants in historical data, other criteria in this Paragraph, and the priorities in Rule .1311 of this Section.
- (7) Notwithstanding the provisions in Subparagraphs (b)(1)-(b)(6) of this Rule, changes in monitoring plans established in accordance with the provisions of this Rule may be required to:
 - (A) determine the extent of detected pollutants beyond the area actively monitored based on data submitted;
 - (B) further quantify pollutants based on data submitted;
 - (C) further delineate fate of pollutants in surface water;
 - (D) document impact of an increase, or further increase, in loading rates;
 - (E) address changes in management practices;

- (F) assess deficiencies that may increase loadings to surface waters; or
- (G) assess deficiencies of the monitoring plan established in accordance with the provisions of this Rule.
- (c) Permittees may join monitoring coalitions upon entering a Memorandum of Agreement with the Division to monitor collectively in lieu of monitoring on a permit-by-permit basis. In such cases, monitoring by the coalition shall serve in place of any monitoring required by Paragraph (b) of this Rule. If at any time a permittee terminates membership in the coalition while maintaining a permit, the permittee shall immediately notify the Division and monitor as stipulated in the permit. Monitoring by coalitions shall be based on the following criteria:
 - (1) The coalition plan shall be based on the criteria in Paragraph (b) of this Rule and Rule .1311 of this Section.
 - (2) Each member farm site shall have at least one representative sampling location.
 - (3) Additional sampling locations not located on member farm sites may be included to document functionality or applicability of BMPs, riparian buffer efficiency, or other management practices.
 - (4) The coalition plan may consider sampling locations of other coalitions, Division ambient sampling locations, and other ambient monitoring locations where the data is submitted to the Division or is publicly available.
- (d) Establishment of a groundwater monitoring plan.
 - (1) A monitoring plan shall be established to assess the facility's impact on ground waters when water supply wells on property not owned by the permittee are downgradient of ground water beneath receiver sites or waste storage structures and at least one of the following:
 - (A) the off site water supply has contamination of pollutants that are known or likely to be present in the waste applied or stored on the farm as well as water quality parameters related to those pollutants;
 - (B) violations for over application of waste; or
 - (C) documentation that waste storage structures do not meet NRCS standards.
 - (2) The Division shall notify any facility that meets the criteria in Subparagraph (d)(1) of this Rule of the requirement to establish a monitoring plan as well as the requirements provided in 15A NCAC 02L.
 - (3) The scope and type of monitoring plan as well as the parameters to be monitored shall be based on:
 - (A) permitted and actual application rates (both hydraulic and nutrient);

- (B) materials, nutrients or other waste applied to receiver sites;
- (C) adsorption and degradation of pollutants within the soil matrix;
- (D) site-specific hydrogeology and soils;
- (E) likelihood of secondary and cumulative impacts including vulnerability (proximity to, hydrogeologic setting, well construction) of water supply wells and groundwater classification; and
- (F) pollutants that are known or likely to be present in the waste stream based on source of wastewater or water quality parameters related to those pollutants.
- (4) In addition, requests for reduction in monitoring may be submitted to the Division.

 Requests for reduction in frequency, including elimination of monitoring, shall be based on the consistency of historical data, time of monitoring with respect to expected pollutant time of travel, and the levels of pollutants in historical data, as well as the factors in Subparagraph (d)(3) of this Rule.
- (5) Notwithstanding the provisions in Subparagraphs (d)(1)-(d)(4) of this Rule, changes in monitoring plans established in accordance with the provisions of this Rule may be required to:
 - (A) determine the extent of detected pollutants beyond the area actively monitored based on data submitted;
 - (B) develop and implement a corrective action plan in accordance with 15A NCAC 02L;
 - (C) document impact of an increase in loading rates;
 - (D) assess deficiencies that may further increase loadings to ground waters; or
 - (E) assess deficiencies of the monitoring plan established in accordance with the provisions of this Rule.
- (e) Results of all analyses and inspections required by this Rule shall be reported to the Division annually, except for waste discharges that require immediate notification or as otherwise required by Commission rules, on Division supplied forms or forms approved by the Division as providing the same information as required by the Division's forms.

Authority G.S. 143-215.1; 143-215.3(a); 143-215.64.

15A NCAC 02T .1311 SURFACE WATER MONITORING IMPLEMENTATION

(a) New and expanding facilities shall submit with the permit application a proposed monitoring plan as provided in Rule .1310 of this Section for the entire facility to be covered by the permit.

- (b) For existing facilities, provisions for monitoring plans in Paragraph (a) of Rule .1310 of this Section, not previously required in permits, shall be incorporated into permits upon renewal.
- (c) For existing facilities in operation prior to July 1, 2008, the Division will provide 60 days notice prior to establishing a monitoring plan that addresses the provisions of Paragraph (b) of Rule .1310 of this Section. The Division shall implement the provisions of Paragraph (b) of Rule .1310 of this Section for the following watersheds and in the following order:
 - (1) Facilities in the Neuse River Basin in subbasins 03-04-05, 03-04-07, 03-04-08, 03-04-09, and 03-04-11.
 - (2) All other facilities in the Neuse River Basin not included in Subparagraph (c)(1) of this Rule.
 - (3) Facilities in the Tar-Pamlico Basin.
 - (4) Facilities in the White Oak Basin.
 - (5) Facilities in subbasin 03-06-22 in the Cape Fear River Basin.
 - (6) Facilities in subbasin 03-06-19 in the Cape Fear River Basin.
 - (7) Facilities in the Cape Fear River Basin not included in Subparagraphs (c)(5)-(c)(6) of this Rule.
 - (8) Facilities in the Chowan River Basin.
 - (9) Facilities in the Lumber River Basin.
 - (10) Facilities in the Roanoke, including and downstream of subbasin 03-02-08, and Pasquotank River Basins.
- (d) Notwithstanding Paragraph (c) of this Rule, monitoring plans that address the provisions of Paragraph (b) of Rule .1310 of this Section may be required for the following:
 - (1) For any additional watersheds where animal facilities have caused or contributed to impairments, or predicted to be a cause or contribution, as determined in Basinwide Management Plans or stream classifications pursuant to 15A NCAC 02B.
 - (2) For watersheds in, but outside the order of,

 Paragraph (c) of this Rule where animal
 facilities have caused or contributed to
 impairments, or predicted to be a cause or
 contribution, as determined in Basinwide
 Management Plans or stream classifications
 pursuant to 15A NCAC 02B.
 - (3) Additional facilities based on the criteria in Rules .1310(b)(7)(D), .1310(b)(7)(E), and .1310(b)(7)(F) of this Section.
- (e) Nothing in this Rule shall limit the Director in requiring ground water monitoring where water supply wells may be impacted based on the criteria in Paragraph (d) of Rule .1310 of this Section; or where non-compliance with the rules of the Commission or a permit under this Section would increase the likelihood of ground water or surface water loadings.

Authority G.S. 143-215.1; 143-215.3(a); 143-215.64.

TITLE 21 – OCCUPATIONAL LICENSING BOARDS AND COMMISSIONS

CHAPTER 06 – BOARD OF BARBER EXAMINERS

Notice is hereby given in accordance with G.S. 150B-21.2 that the Board of Barber Examiners intends to adopt the rules cited as 21 NCAC 06F .0122 -.0125; 06J .0110; 06K .0111; 06Q .0104; 06S .0101 and amend the rules cited as 21 NCAC 06F .0101, .0104, .0110 .0111; 06L .0102, .0103, .0108, .0120; 06N .0101; 06O .0104, .0112, .0115, .0116; 06R .0101.

Proposed Effective Date: September 1, 2009

Public Hearing:

Date: June 15, 2009 **Time:** 2:00 p.m.

Location: 5809-102 Departure Drive, Raleigh, NC 27616

Reason for Proposed Action:

21 NCAC 06F .0101 – Clarify distance requirements for sink or lavatory; time clock for recordation of student hours; informational sign indicating that all barbering services are performed by students; bulletin board in each classroom posting sanitation rules and other information issued by the Board which students need to know.

21 NCAC 06F .0104 – To clarify that all course work outlined by statute must be taught by a licensed barber instructor.

- 21 NCAC 06F .0110 Clarify record keeping requirements for barber schools and reporting of students' progress in school and reporting of attendance.
- 21 NCAC 06F .0111 Clarify record keeping requirements for barber schools.
- 21 NCAC 06F .0122 Requirement for appropriate uniform and identification by barber school students.
- 21 NCAC 06F .0123 Requirement of an electronic time card for recordation of students' instructional hours; maintenance of records and review by Board.
- 21 NCAC 06F .0124 Definition of a student's instructional day; time card procedures for beginning and end of day and breaks; definition of meeting minimum course work requirements, including off school premises and field trips.
- 21 NCAC 06F .0125 Establishment of required barber school handbooks for each barber school; enrollment agreements to be signed by students to be included in handbooks; submission of handbook to the Board of Barber Examiners.
- 21 NCAC 06J .0110 Clarification of notification of change of address for apprentice barbers and student barbers with permission to work.
- **21 NCAC 06K .0111** Clarification of notification of change of permanent address for all registered barbers.
- 21 NCAC 06L .0102 Extend the period for exemption for barber shops permitted within the Division of Prisons.
- 21 NCAC 06L .0103 Exempt Division of Prisons from certain administrative rule requirements.

- 21 NCAC 06L .0108 Clarification of requirements for new application for a shop permit and inspection of a barber shop before it opens in a new location.
- 21 NCAC 06L .0120 Clarification of notification of change of mailing address or physical address for all shops, except a moved shop.
- 21 NCAC 06N .0101 To increase certain fees to cover actual expenses by the Board of Barber Examiners for processing applications, renewals, and examinations.
- 21 NCAC 060 .0104 To increase penalty for second offense of same violation.
- **21 NCAC 060 .0112** Add the presumptive civil penalties for failing to positively identify and failing to maintain identification.
- **21 NCAC 060 .0115** Add the presumptive civil penalties for failing to submit required records by a barber shop.
- 21 NCAC 060 .0116 Add the presumptive civil penalties for unlicensed school instructors.
- 21 NCAC 06Q .0104 Clarification of effect of a Notice of Violation on license or certificate.
- 21 NCAC 06R .0101 Establish a uniform method of distinguishing barber shops from other establishments that provide similar services, many of which are unregulated.
- 21 NCAC 06S .0101 Establish specific instructions for students during general examinations.

Procedure by which a person can object to the agency on a proposed rule: Appearance at public hearing or written comment via U.S. mail or e-mail.

Comments may be submitted to: Kelly W. Braam, 5809-102 Departure Drive, Raleigh, NC 27616, phone (919) 981-5360, fax (919) 981-5068, email kbraam@ncbarbers.com

Comment period ends: July 14, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

Fiscal	Impact:
	State
	Local
	Substantive (≥\$3,000,000
\boxtimes	None

SUBCHAPTER 06F - BARBER SCHOOLS

21 NCAC 06F .0101 PHYSICAL STRUCTURE

- (a) The physical structure of barber schools in North Carolina shall conform to the following criteria:
 - (1) be a minimum of 14 linear feet wide;
 - (2) be equipped with a minimum of ten barber chairs in sanitary and safe condition sufficient for the number of students enrolled;
 - (3) have a minimum of 896 square feet in the practical area for the first ten chairs;
 - (4) have an additional 70 square feet in the practical area for each additional barber chair over the required ten;
 - (5) have at least five linear feet of space between each chair, center to center;
 - (6) have no more than two students enrolled per barber chair;
 - (7) be equipped with toilet facilities with handwashing sink or basin sufficient to serve the number of people at the school;
 - (8) have concrete or wood floors covered with smooth, nonporous materials;
 - (9) have instructional materials, for example, blackboard space, slide programs, sufficient to teach barbering;
 - (10) have a workstand, with mirror, for each barber chair in the practical work area, constructed of material that renders it easily cleaned;
 - (11) have a tool cabinet for each barber chair, with a door as nearly air tight as possible;
 - (12) have a towel cabinet, or other method of storage, such that clean towels are stored separate from used towels;
 - (13) have at least one fully functional sink or lavatory, with hot and cold water, for each two barber chairs; located within seven unobstructed linear feet of each barbering area;
 - (14) have the school separate from any other place or type of business by a substantial wall of ceiling height;
 - (15) have a classroom area, separate from the practical area; and
 - (16) have desk chairs sufficient to serve the number of students enrolled, and a desk and chair for the instructors: Instructors:
 - (17) have a time clock for electronic recordation of student hours;
 - (18) have an informational sign displayed in each practical area of the school indicating that all barbering services are performed by students; and
 - (19) have a bulletin board hanging in each classroom area with a posting of the sanitation rules and minimum school curricula as prescribed under 21 NCAC 06F .0210, or any other memorandum, letter or rule issued by the Board which states it is to be posted for the information of students.

This Paragraph applies to barber schools permitted on or after December 1, 1994 or which undergo modifications or structural renovations after that date.

- (b) Barber schools permitted prior to December 1, 1994, must have a minimum of 896 square feet for ten chairs and must have 70 square feet for each additional barber chair over the required ten, and have no more than one student enrolled per barber chair. They must be equipped with toilet facilities sufficient to serve the number of people attending the school. They must have desk chairs separate from the practical area.
- (c) Barber schools permitted on or after July 1, 2008, shall have a minimum of 20 square feet per student in the classroom area.

Authority G.S. 86A-15; 86A-22.

21 NCAC 06F .0104 INSTRUCTORS

- (a) Each barber school required by G.S. 86A-22(2) to employ at least two instructors shall have at least two instructors present at all times during instructional hours.
- (b) At least one barber instructor shall actively monitor students engaged in barbering activities at all times.
- (c) While present on the premises of the barber school, barber instructors shall not barber for compensation and shall barber only for the purpose of instruction or demonstration.
- (d) All course work as outlined under 21 NCAC 06F .0120, must be taught by a licensed barber instructor.

Authority G.S. 86A-22.

21 NCAC 06F .0110 ROSTER AND STUDENT RECORDS

Each barber school shall:

- (1) maintain an up-to-date written roster system which shall be used to ensure that each student serves substantially equal numbers of patrons;
- (2) maintain a complete record of each student including a weekly record of the number of days and hours the student attended classes in practical work and theory;
- (3) maintain a separate daily record of the number of patrons the student served for haircuts, shaves and other clinical services;
- (4) maintain a weekly record of the subject matter taught the student in theory classes; and
- (5) provide the list of students required by G.S. 86A-22(5) by the 15th day of each month. month;
- (6) maintain a daily attendance log book with each student entering the school premises containing the time of entry and time of departure in addition to the time cards required in accordance with 21 NCAC 06F .0123; and
- submit a monthly report to the Board for each student containing the total instructional hours attended, days absent, textbook subjects emphasized, the number of services and types of services performed by the student, and a copy of the student's electronic time card for the most recent month.

Authority G.S. 86A-22.

21 NCAC 06F .0111 COPIES OF BARBER SCHOOL RECORDS

Barber schools shall furnish to the Board upon request copies of all records or reports required to be kept by barber schools, either by the North Carolina General Statutes or by the rules of the Board, including time sheets for instructors to verify compliance with 21 NCAC 06F .0104, and time cards for students to verify compliance with 21 NCAC 06F .0123, shall be furnished to the Board upon request. A school shall not refuse to submit any records or reports required due to a dispute or unfulfilled obligation with a student, instructor or third party.

Authority G.S. 86A-22.

21 NCAC 06F .0122 UNIFORMS AND IDENTIFICATION

All students must wear a clean, washable uniform, smock, or similar professional attire along with a self-identifying nametag or pin at all times during instructional hours.

Authority G.S. 86A-22.

21 NCAC 06F .0123 TIME CLOCK AND RECORDATION OF STUDENT HOURS

Each student shall use an electronic time card for the recordation of instructional hours pursuant to 21 NCAC 06F .0124. The school shall maintain originals of each student time card for at least one year following graduation of the individual student. Individual student time cards shall be available for review by the Board.

Authority G.S. 86A-22.

21 NCAC 06F .0124 STUDENT HOURS

- (a) No student shall be given credit for more than eight total hours during any instruction day.
- (b) Students shall punch their individual time cards upon entering the school for practical or theory hours and shall clock-out for any period of break from instruction, even if remaining on school premises.
- (c) In meeting the minimum course work and designated barber school curricula, no student shall be given credit for more than 40 total hours or 8 total hours per month that were obtained by instruction or demonstration off school premises or from a field trip.

Authority G.S. 86A-22.

21 NCAC 06F .0125 SCHOOL HANDBOOKS AND ENROLLMENT AGREEMENTS

(a) Every school shall provide a school handbook to its students upon enrollment containing the enrollment agreement, tuition fee schedule, reimbursement policies, school rules and regulations, tardiness and absenteeism policies, a syllabus or list of the school curricula containing the minimum hours for each subject matter to be taught in accordance with 21 NCAC 06F .0120 and the grading system for said curricula.

(b) A copy of the school handbook shall be submitted to the Board. An updated copy of the handbook shall be re-submitted to the Board should the handbook be amended or revised.

Authority G.S. 86A-22.

SUBCHAPTER 06J - APPRENTICE BARBERS

21 NCAC 06J .0110 NOTIFICATION OF ADDRESS CHANGE

All apprentice barbers and student barbers with permission to work shall notify the Board within 60 days of any change in their permanent mailing address.

Authority G.S. 86A-11; 86A-24.

SUBCHAPTER 06K - REGISTERED BARBERS

21 NCAC 06K .0111 NOTIFICATION OF CHANGE OF ADDRESS

All registered barbers shall notify the Board within 60 days of any change in their permanent mailing address.

Authority G.S. 86A-3.

SUBCHAPTER 06L - BARBER SHOPS

21 NCAC 06L .0102 MEASUREMENTS OF BARBER SHOP

- (a) Each barber shop shall be a minimum of 196 square feet measured from the inside walls of the shop, not including common areas shared with other businesses or residents. In addition, each chair shall be located in an area where there is no less than 12 linear feet from front wall to back wall, measured through the center of the chair, with the back wall being the wall or plain to which the backstand is affixed. There shall be a minimum of five linear feet of space between each barber chair, from center to center of each chair and there shall be no less than three linear feet from the center of any chair to any side wall. There shall be an unobstructed aisle in front of each chair of no less than four feet. This Paragraph applies to barber shops which are permitted on or after December 1, 1994 or which undergo modification or structural renovations on or after that date.
- (b) Barber shops permitted prior to February 1, 1976, must be a minimum of 12 feet in width and 14 feet in length.
- (c) Barber shops permitted between February 1, 1976 and November 30, 1994, must be a minimum of 14 feet in width and 14 feet in length.
- (d) Barber shops permitted within the Division of Prisons prior to May 1, 2008, July 1, 2010, are exempt from the requirements of this Rule.

Authority G.S. 86A-15.

21 NCAC 06L .0103 EQUIPMENT

(a) Each barber shall have a cabinet for barbering equipment. The cabinets shall be constructed of material that may be easily cleaned.

- (b) Each shop shall have smooth finished walls, ceilings and floors, and no exposed pipes.
- (c) Each barber chair shall be covered with a smooth, non-porous surface, such as vinyl or leather, which is easily cleaned.
- (d) Each shop shall have within the shop or building functioning toilet facilities for employees and patrons.
- (e) Each barber shop shall have a cabinet, or other method of storage, such that clean towels are stored separate from used towels.
- (f) In addition to the requirements of Paragraph (d) of this Rule, barber shops which are permitted on or after January 1, 1995 or which undergo modifications or structural renovations after that date must have within the shop or building a hand-washing sink or lavatory for patrons with hot and cold water, soap and disposable towels.
- (g) Where a barber shop is located within a shop licensed by the North Carolina Board of Cosmetic Art Examiners, the toilet facility and sink may be shared with the cosmetology shop.
- (h) Paragraphs (a) and (a), (d) and (f) of this Rule do not apply to barber shops operated by the Division of Prisons.

Authority G.S. 86A-15.

21 NCAC 06L .0108 MOVED SHOP

When a shop is moving from one location to another, the manager shall notify the Board within two weeks of the planned date for said move: move and the anticipated new physical and mailing address of the new shop. A moved shop shall not be opened for business to the public until a new application for a shop permit and inspection are performed in accordance with 21 NCAC 06L .0104.

Authority G.S. 86A-1; 86A-15.

21 NCAC 06L .0120 NOTIFICATION OF CHANGE OF ADDRESS

In all instances where a barber shop experiences a change in its mailing address or physical address, excluding those outlined under 21 NCAC 06L .0108 for a moved shop, the barber shop owners and barber shop manager shall immediately notify the Board of any change in said address.

Authority G.S. 86A-1.

SUBCHAPTER 06N - FORMS

21 NCAC 06N .0101 FEES

The Board charges the following amounts for the fees authorized by G.S. 86A-25:

- (1) Certificate of registration or renewal as a barber \$\frac{\$-35.00}{50.00}\$
- (2) Certificate of registration or renewal as an apprentice barber \$\frac{\$-35.00}{35.00}\$\$\$ \$50.00
- (3) Barbershop permit or renewal

\$ 40.00 <u>\$50.00</u>

- (4) Examination to become a registered barber \$ 85.00
- (5) Examination to become a registered apprentice barber \$ 85.00

(6) Late fee for restoration of an expired barber certificate within first year after expiration

\$ 35.

(7) Late fee for restoration of an expired barber certificate after first year after expiration but within five years after expiration

\$ 70.00

- (8) Late fee for restoration of an expired apprentice certificate within the first year after expiration \$ 35.00
- (9) Late fee for restoration of an expired apprentice certificate after first year after expiration but within three years of first issuance of the certificate \$45.00
- (10) Late fee for restoration of an expired barber shop certificate \$ 45.00
- (11) Examination to become a barber school instructor \$150.00 \$165.00
- (12) Student permit

\$ 20.00 \$25.00

- (13) Issuance of any duplicate copy of a license, certificate or permit \$ 10.00
- (14) Barber school permit or renewal

\$ 85.00 \$130.00

- (15) Late fee for restoration of an expired barber school certificate \$85.00
- (16) Barber school instructor certificate or renewal \$\\ \begin{array}{c} \ 60.00 \end{array} \) \$85.00
- (17) Late fee for restoration of an expired barber school instructor certificate within first year after expiration \$ 45.00
- (18) Late fee for restoration of an expired barber school instructor certificate after first year after expiration but within three years after expiration \$85.00
- (19) Inspection of newly established barbershop \$120.00
- (20) Inspection of newly established barber school \$220.00
- (21) Issuance of a registered barber or apprentice certificate by certification

\$ 85.00 <u>\$120.00</u>

- (22) Charge for certified copies of public documents \$10.00 for first page, \$0.25 per page thereafter
- (23) Charge for duplication services and material \$5.00 for first page, \$0.25 for each page thereafter
- (24) Certificate of registration or renewal as a barber for barbers over 70 years of age \$ 0.00
- (25) Administrative fee for paying any required fee for renewal or restoration, or a civil penalty and attorney fee, where the licensee or Registered Barber is subject to a pick-up order issued to an inspector. \$70.00

Authority G.S. 86A-25; 86A-27(d).

SUBCHAPTER 06O - CIVIL PENALTY

21 NCAC 06O .0104 UNSUPERVISED APPRENTICE

- (a) The presumptive civil penalty for a barber shop allowing an apprentice or holder of permission to work to engage in barbering without supervision as required by G.S 86A-24(b):
 - (1) 1st offense \$250.00
 - (2) 2nd offense \$250.00 \(\) \$350.00
- (b) The presumptive civil penalty for an apprentice or holder of permission to work engaging in barbering without supervision as required by G.S. 86A-24(b):

(1) 1st offense \$150.00 (2) 2nd offense \$250.00 (3) 3rd offense \$500.00

Authority G.S. 86A-5(a)(6); 86A-24; 86A-27.

21 NCAC 06O .0112 IDENTIFICATION

- (a) The presumptive civil penalty for a barber shop owner or manager failing to positively identify a Registered Barber, apprentice or holder of permission to work:
 - (1) 1st offense \$50.00
 - (2) 2nd offense \$100.00
 - (3) 3rd offense \$200.00
- (b) The presumptive civil penalty for a Registered Barber, apprentice or holder of permission to work failing to maintain and produce a license or permit, including identification, as defined in 21 NCAC 06P .0103(7):
 - (1) 1st offense \$50.00 (2) 2nd offense \$100.00 (3) 3rd offense \$200.00
- (c) The presumptive civil penalty for a student failing to wear identification as defined in 21 NCAC 06F .0122:

(1)	1st offense	\$50.00
(2)	2nd offense	\$100.00
(3)	3rd offense	\$200.00

(d) The presumptive civil penalty for a barber school instructor or barber school manager failing to positively identify a student:

(1)	1st offense	\$50.00
(2)	2nd offense	\$100.00
(3)	3rd offense	\$200.00

Authority G.S. 86A-1; 86A-10; 86A-11; 86A-27.

21 NCAC 06O .0115 SCHOOL FAILING TO MAINTAIN, FALSIFYING, OR FAILING TO SUBMIT RECORDS

- (a) The presumptive civil penalty for failing to maintain records by a barber school:
 - (1) 1st offense \$150.00
 - (2) 2nd offense \$200.00
 - (3) 3rd offense \$500.00
- (b) The presumptive civil penalty for falsifying records by a barber school:
 - (1) 1st offense \$200.00
 - (2) 2nd offense \$350.00
 - (3) 3rd offense \$500.00
- (c) The presumptive civil penalty for failing to submit required records by a barber school:

PROPOSED RULES

- (1) 1st offense \$150.00
- (2) 2nd offense \$300.00
- (3) 3rd offense \$500.00

Authority G.S. 86A-22; 86A-27.

21 NCAC 06O .0116 UNLICENSED SCHOOL INSTRUCTORS

(a) The presumptive civil penalty for a barber school allowing an individual to instruct without a license:

(1)	1st offense	\$150.00
(2)	2nd offense	\$250.00
(3)	3rd offense	\$500.00

(b) The presumptive civil penalty for a licensed barber or apprentice barber engaging in instructing without a license:

(1)	1st offense	\$100.00
(2)	2nd offense	\$400.00
(3)	3rd offense	\$500.00

Authority G.S. 86A-22; 86A-23; 86A-27.

SUBCHAPTER 06Q - PROHIBITED PRACTICES

21 NCAC 06Q .0104 EFFECT OF NOTICE OF VIOLATION ON LICENSE OR CERTIFICATE

The Board shall refuse to issue or renew any license, certificate or permit issued pursuant to Chapter 86A of the General Statutes until any pending violation of Chapter 86A or the Board's Rules are settled or dismissed by the Board. For purposes of this Rule, a violation is not considered "settled" with the Board until the applicant or licensee has complied with all provisions contained in the Notice of Probable Cause, Settlement Agreement or Final Agency Order entered by the Board.

Authority G.S. 86A-1; 86A-10; 86A-17; 86A-18; 86A-20.

SUBCHAPTER 06R - ADVERTISING

21 NCAC 06R .0101 DISPLAY OF SIGN OR BARBER POLE

Every establishment permitted to practice barbering shall display at its main entrance a sign which is visible from the street, and whose lettering is no smaller than three inches, stating "barber shop," "barber salon," "barber styling" or similar use of the designation, "barber," "shop, salon or styling," or shall display a "barber pole" as defined in 21 NCAC 06P .0103(e). .0103(e), recognizable as such from the street.

Authority G.S. 86A-1; 86A-2; 86A-13.

SUBCHAPTER 06S – EXAMINATIONS

21 NCAC 06S .0101 GENERAL EXAMINATION INSTRUCTIONS

- (a) All candidates scheduled for an examination, conducted by the Board must bring:
 - (1) two forms of identification, one of which must be photo bearing;
 - (2) exam approval documentation;

- (3) tools and supplies as required by the Board; and
- 4) a hygienically clean model.
- (b) No briefcases, bags, books, papers or study materials are allowed in the examination room. The exam facility is not responsible for lost or misplaced items.
- (c) No cell phones, calculators or other electronic devices are permitted for use during the examination.
- (d) No eating, drinking, smoking or gum-chewing is permitted during the examination.
- (e) No visitors, children, pets or guests are allowed at the test center.
- (f) No extra time for the examination will be permitted unless mandated by State and federal law such as the Americans with Disabilities Act.
- (g) No leaving the test center during the examination. Candidates may visit the restroom with the test center manager's permission, but will not receive any additional time for the examination.
- (h) No giving or receiving assistance during the examination. If a candidate gives or receives assistance during the examination, the test center manager will stop the examination and the candidate will be dismissed from the test center. The Board's approved test center manager will not score the examination and will report the candidate to the Board, which will make any decisions regarding discipline.
- (i) Candidates must maintain silence during the examination, and shall not mention the name of the school attended or the names of instructors. Candidates shall not wear or carry any school identification on uniforms or equipment.

Authority G.S. 86A-8; 86A-9; 86A-10; 86A-24.

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CHAPTER 10 - BOARD OF CHIROPRACTIC EXAMINERS

Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Board of Chiropractic Examiners intends to adopt the rule cited as 21 NCAC 10 .0504.

Proposed Effective Date: October 1, 2009

Public Hearing: Date: June 11, 2009 Time: 11:00 a.m.

Location: Board Office, 174 Church Street, Concord, NC

28025

Reason for Proposed Action: Addiction to or severe dependency upon alcohol or drugs is a violation of the Chiropractic Practice Act. Over the last 18 months, the Board has been called upon to investigate several allegations of drug abuse by chiropractors. The proposed rule would empower the Secretary of the Board to require a chiropractor to submit to drug testing if there is reasonable suspicion that drug abuse is occurring. The rule contemplates that a positive test result

PROPOSED RULES

would be used as evidence in disciplinary proceedings against the chiropractor.

Procedure by which a person can object to the agency on a proposed rule: Written objections may be fled with the Secretary of the Board at the following address: NC Board of Chiropractic Examiners, P. O. Box 312, Concord, NC 28026, Attn: Carol Hall.

Comments may be submitted to: Carol Hall, Executive Secretary, NCBCE, P. O. Box 312, Concord, NC 28026

Comment period ends: July 20, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

Fiscal	Impact:
	State
	Local
	Substantive (>\$3,000,000)
\boxtimes	None

SECTION .0500 - INVESTIGATION OF COMPLAINTS

21 NCAC 10 .0504 DRUG TESTING

(a) Reasonable Suspicion. The Secretary of the Board may require a licentiate to submit to drug or blood alcohol testing if there is reasonable suspicion that the licentiate is consuming drugs or alcohol to such an extent or with such frequency as to impair the ability to treat patients. For purposes of this rule, reasonable suspicion exists when:

- (1) The licentiate is cited or arrested on drugrelated criminal charges; or
- (2) The licentiate admits to the Board or to a judicial tribunal that the licentiate has abused alcohol or prescription drugs or consumed illicit drugs; or
- (3) The Secretary receives the sworn affidavit of a credible witness stating that the witness personally observed the licentiate in the licentiate's chiropractic office during business hours and the licentiate's behavior was erratic, unprofessional and apparently influenced by drug or alcohol consumption.

- (b) Approved Laboratory. Any drug test required by the Secretary shall be conducted by an approved laboratory in accordance with the protocol set forth in Article 20, Chapter 95 of the General Statutes, Controlled Substance Examination Regulation.
- (c) Reporting Results. The results of a licentiate's drug test shall be reported directly to the Secretary by the laboratory conducting the test and shall not be disclosed to any person other than the licentiate except for use as evidence in Board disciplinary actions or when disclosure is mandated by law.
- (d) Right to Dispute Results. In any Board disciplinary proceeding in which a licentiate's drug test results are introduced into evidence, including a preliminary hearing before the Chiropractic Review Committee, the licentiate shall have the right to dispute the accuracy of the test results.
- (e) Effect of Refusal. A licentiate's refusal to submit to a drug test required by the Secretary pursuant to this rule shall be considered the concealment of information about a matter affecting licensure, in violation of G.S. 90-154(b)(19).

Authority G.S. 90-142; 90-154.

CHAPTER 34 – BOARD OF FUNERAL SERVICE

Notice is hereby given in accordance with G.S. 150B-21.2 that the Board of Funeral Service intends to adopt the rules cited as 21 NCAC 34B .0616, .0706 and amend the rules cited as 21 NCAC 34B .0413; 34C .0303; 34D .0302.

Proposed Effective Date: September 1, 2009

Public Hearing: Date: June 17, 2009 Time: 9:00 a.m.

Location: 1033 Wade Avenue, Suite 108, Raleigh, NC 27605

Reason for Proposed Action: To amend continuing education requirements, to clarify body tag inspection standards, to establish forms and procedures for individuals embalming human remains outside a funeral establishment, to allow combination forms for crematory records, and to amend preneed annual report filing duties.

Procedure by which a person can object to the agency on a proposed rule: Interested parties may submit written comments or attend the public hearing.

Comments may be submitted to: Paul Harris, Rulemaking Coordinator, N.C. Board of Funeral Service, 1033 Wade Avenue, Suite 108, Raleigh, NC 27605, phone (919) 733-9380, fax (919) 733-8271, email wpharris@ncbfs.org

Comment period ends: July 14, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the

Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

Fiscal Impact:

\sqcup	State
	Local
	Substantive (≥\$3,000,000)
\square	None

SUBCHAPTER 34B – FUNERAL SERVICE

SECTION .0400 – CONTINUING EDUCATION

21 NCAC 34B .0413 ACCREDITATION OF PRERECORDED PROGRAMS AND LIVE PROGRAMS BROADCAST TO REMOTE LOCATIONS BY TELEPHONE, SATELLITE, OR VIDEO CONFERENCING EQUIPMENT

- (a) A licensee may receive up to one hour two hours of CE credit each year for attendance at, or participation in, a presentation where prerecorded material is used.
- (b) A licensee may receive credit for participation in a live presentation which is simultaneously broadcast by telephone, satellite, or video conferencing equipment. The licensee may participate in the presentation by listening to or viewing the broadcast from a location that is remote from the origin of the broadcast.
- (c) A licensee attending a prerecorded presentation is entitled to credit hours <u>if-if:</u>
 - (1) the presentation from which the program is recorded would, if attended by an active licensee, be an accredited course; and
 - (2) all other conditions imposed by the rules in this Subchapter are met.
- (d) A licensee attending a presentation broadcast by telephone, satellite, or video conferencing equipment is entitled to credit if:
 - (1) the live presentation of the program would, if attended by a licensee, be an accredited course;
 - (2) there is a question and answer session with the presenter or presenters subject to the limitations set forth in 21 NCAC 34B .0415(b)(5); and
 - (3) all other conditions imposed by the rules in this Subchapter are met.
- (e) To receive approval for attendance at programs described in Paragraphs (a) and (b) of this Rule, the following conditions must be met:

- (1) Unless the entire program was produced by an accredited sponsor, the person or organization sponsoring the program must receive advance approval and accreditation from the Board;
- (2) The person or organization sponsoring the program must have a method for recording and verifying attendance. Attendance at a telephone broadcast may be verified by assigning a personal identification number to a The person or organization licensee. sponsoring the program must forward a copy of the record of attendance of active licensees to the Board within 30 days after the presentation of the program is completed. Proof of attendance may be made by the verifying person on a form provided by the Board:
- (3) Unless inappropriate for the particular course, detailed papers, manuals, study materials, or written outlines are presented to the persons attending the program which only pertain to the subject matter of the program. Any materials made available to persons attending the original or live program must be made available to those persons attending the prerecorded or broadcast program who desire to receive credit under the rules in this Section; and
- (4) A room suitable for viewing the program and taking notes must be available.
- (f) A minimum of five licensees must physically attend the presentation of a prerecorded program in the same location. This requirement does not apply to participation from a remote location in the presentation of a live broadcast by telephone, satellite, or video conferencing equipment.

(g) EXAMPLES:

EXAMPLE (1): Licensee X attends a videotape seminar sponsored by an accredited sponsor. If a person attending the program from which the videotape is made would receive credit, Licensee X is also entitled to receive credit, if the additional conditions under this Rule are also met.

EXAMPLE (2): Licensee Y desires to attend a videotape program. However, the proposed videotape program (a) is not presented by an accredited sponsor, and (b) has not received individual course approval from the Board. Licensee Y shall not receive any credit hours for attending that videotape presentation.

EXAMPLE (3): Licensee Z attends a videotape program. The presentation of the program from which the videotape was made has already been held and approved by the Board for credit. However, no person is present at the videotape program to record attendance. Licensee Z shall not obtain credit for viewing the videotape program unless it is viewed in the presence of a person who is not attending the videotape program for credit and who verifies the attendance of Licensee Z and of other licensees at the program. All other conditions of this Rule must also be met

EXAMPLE (4): Licensee A listens to a live telephone seminar using the telephone in the conference room of her funeral

establishment. To record her attendance, Licensee A was assigned a person identification number (PIN) by the seminar sponsor. Once connected, Licensee A punched in the PIN number on her touch tone phone and her attendance was recorded. The seminar received individual course approval from the Board. Licensee A shall receive credit if the additional conditions under this Rule are also met.

Authority G.S. 90-210.23(a); 90-210.25(a)(5).

SECTION .0600 – FUNERAL ESTABLISHMENTS

21 NCAC 34B .0616 BODY IDENTIFICATION TAGS Unused body tags shall be kept on the premises of each funeral establishment at all times and subject to inspection by the Board and its authorized agents.

Authority G.S. 90-210.23(a),(e); 90-210.29A.

SECTION .0700 - PREPARATION OF DEAD BODIES

21 NCAC 34B .0706 REGISTRATION OF EMBALMING FACILITY LOCATED OUTSIDE OF A FUNERAL ESTABLISHMENT

- (a) An embalming facility located outside a funeral establishment shall comply with the requirements of G.S. 90-210.27A(a)(1) through (8) and all other applicable federal, state, or local laws and regulations.
- (b) An embalming facility located outside a funeral establishment shall be registered either to a funeral establishment holding a permit from the Board or to a funeral service or embalmer licensee of the Board. Each embalming facility must be managed by an embalmer or funeral service licensee. A person managing an embalming facility may also manage the funeral establishment location registering the facility.
- (c) Applications to register an embalming facility located outside a funeral establishment shall be made on forms provided by the Board. The applicant shall furnish the address and telephone number of the facility; a description of the preparation room; the names and license numbers of all part-time and full-time licensees employed by the facility; the person or business entity owning the facility; the person managing the facility; a certification that the facility will not be used for any other purpose other than embalming or used for activities requiring a funeral establishment permit; and any other information the Board deems necessary as required by law. The applicant shall verify the contents of the application before a notary public.
- (d) Upon Board approval of the registration, the embalming facility may be used to embalm dead human bodies and shall not be used a public accommodation. The owner of the facility must obtain a funeral establishment permit under G.S. 90-210.25(d) if the facility is to be held out to the public, used as a public accommodation, or used to engage in any other activity defined as the practice of funeral service under G.S. 90-210.20(k) other than embalming.

Authority G.S. 90-210.20(f),(h),(k); 90-210.23(a),(e); 90-210.25(d1); 90-210.27A.

SUBCHAPTER 34C - CREMATORIES

SECTION .0300 – AUTHORIZATIONS, REPORTS, RECORDS

21 NCAC 34C .0303 RECORDS OF CREMATION AND DELIVERY

- (a) All crematory licensees shall complete receipts for human remains on Board forms. The crematory licensee shall furnish the name of the crematory licensee, full name of the decedent, date and time of death, date and time the human remains was delivered to the crematory licensee, any affiliation by the person delivering remains with a funeral establishment or crematory, the name and signature of the employee or agent of the crematory who received the human remains, and any other information the Board deems necessary as required by law. Every crematory licensee shall furnish this receipt to the person who delivers the human remains to the crematory licensee.
- (b) All records documenting the release of human remains from a crematory licensee to the person who receives the cremated remains shall be completed on Board forms. The crematory licensee shall furnish the name of the crematory licensee, the full name of the decedent, the date and time of release, the name of the person who received the cremated remains, the place where cremated remains were received, any affiliation by the person receiving remains with a funeral establishment or other entity, the signatures of the person delivering the remains and the recipient of remains, any mailing or handling instructions, and any other information the Board deems necessary as required by law. Crematory licensees must provide evidence by signature, postal receipt or its equivalent, of the receipt of the cremated remains
- (c) All records documenting the release of human remains from a funeral establishment to the person who receives the cremated remains shall be completed on Board forms. The funeral establishment shall furnish the name of the funeral establishment, the full name of the decedent, the date and time of release, the person to whom the remains were released, the type of container in which the remains were released, the signatures of the parties delivering and receiving remains, any shipping or special handling instructions, and any other information the Board deems necessary as required by law. Funeral establishments must provide evidence by signature, postal receipt or its equivalent, of the receipt of the cremated remains.
- (d) In order to track the human remains through the cremation process from the time the remains are received at the crematory until the cremated remains are delivered, all crematory licensees shall keep records on Board forms. The crematory licensee shall furnish the name of the crematory licensee, full name of the decedent, description of the cremation container used, time and date the decedent was placed into the crematory, person who placed the deceased in the crematory, time and date the cremated remains were removed from the crematory, type of container the cremated remains in which the cremated remains were placed, time and date the cremated remains were processed, the name and signature of the person who processed the cremated remains and placed them into a container, and any other information the Board deems necessary as required by law.

(e) In lieu of the separate forms required by Paragraphs (a), (b), and (d) of this Rule, a crematory licensee may use a form prescribed by the Board that combines all information required by Paragraphs (a), (b), and (d).

(e)(f) The crematory licensee shall retain the completed forms required by this Rulein Paragraphs (a), (b), and (d) of this Rule and shall make them available produce all crematory forms for inspection or copying by the Board or its agents upon request. The funeral establishment shall retain the completed form in required by Paragraph (c) of this Rule and shall make it available produce the form for inspection or copying to the Board or its agents upon request.

Authority G.S. 90-210.127; 90-10.134(a).

SUBCHAPTER 34D – PRENEED FUNERAL CONTRACTS

SECTION .0300 - OPERATIONS

21 NCAC 34D .0302 ANNUAL REPORT

Each preneed funeral establishment licensee shall file an annual report with the Board. The report shall include the following:

- (1) the total number of standard and inflationproof trust-funded and insurance-funded preneed funeral contracts maintained by the licensee;
- (2) the number of contracts sold in the reporting period;
- the number of contracts which expired, including contracts performed, revoked and transferred, in the reporting period;
- (4) the total year-end balance of all preneed trust accounts maintained at each financial institution; and
- (5) the total year-end balance of all insurancefunded preneed contracts written with each insurance company. company;
- (6) for each preneed contract sold, whether the preneed contract is active, performed, cancelled, or lapsed; and
- (7) for each active preneed contract, the current insurance policy value or trust account balance.

The annual report shall be verified as correct before a notary public by the location manager registered under G.S. 90-210.25(d)(2)a. or by a corporate officer of the preneed establishment licensee. The annual report shall be filed not later than March 31 each year for by each firm holding a preneed establishment license at any time during the preceding year ending December 31.

Authority G.S. 90-210.69(a); 90-210.68(a).

CHAPTER 50 – BOARD OF EXAMINERS OF PLUMBING, HEATING AND FIRE SPRINKLER CONTRACTORS

Notice is hereby given in accordance with G.S. 150B-21.2 that the State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors intends to adopt the rule cited as 21 NCAC 50 .0516.

Proposed Effective Date: April 1, 2010

Public Hearing: Date: June 9, 2009 Time: 8:30 a.m.

Location: Office of the State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors, 1109 Dresser Court, Raleigh, North Carolina 27609

Reason for Proposed Action: Conduct hearing to determine whether to adopt rule creating license classification for residential fire sprinkler system contractors.

Procedure by which a person can object to the agency on a proposed rule: Any person desiring to comment upon or object to a proposed rule may do so either by appearing at the public hearing or in writing as set out below prior to the end of the comment period.

Comments may be submitted to: Dale L. Dawson, 1109 Dresser Court, Raleigh, North Carolina 27609, phone (919) 875-3612

Comment period ends: July 14, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

Fisca	I Impact:
	State
	Local
	Substantive (≥\$3,000,000)
\boxtimes	None

SECTION .0500 - POLICY STATEMENTS AND INTERPRETATIVE RULES

21 NCAC 50 .0516 RESIDENTIAL FIRE SPRINKLER INSTALLATION LICENSE

License in the Residential Fire Sprinkler Installation Contractor classification is required of persons who engage in the business of contracting to perform or performing the installation of multipurpose single family residential water-based plumbing and fire sprinkler piping systems consistent with NFPA-13D. All multipurpose single family residential plumbing and fire sprinkler piping systems are required to be hydraulically calculated and designed by a licensed North Carolina Fire Sprinkler Installation Contractor or a North Carolina Licensed Professional Engineer for each specific installation. Residential Fire Sprinkler Installation Contractors are required to perform each installation consistent with the calculation and design. The installation of single purpose single family residential water-based fire sprinkler systems shall be installed by a licensed Fire Sprinkler Installation Contractor.

Authority G.S. 87-21.

CHAPTER 50 – BOARD OF EXAMINERS OF PLUMBING, HEATING AND FIRE SPRINKLER CONTRACTORS

Notice is hereby given in accordance with G.S. 150B-21.2 that the State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors intends to amend the rules cited as 21 NCAC 50 .0202, .0301, .0306 - .0310, .0402, .0404, .0405, .0408, .0501, .0505, .0506, .0508, .0513-.0515, .1006, .1102, .1104, .1401, .1402, .1404, .1405.

Proposed Effective Date: September 1, 2009

Public Hearing: Date: June 9, 2009 Time: 8:30 a.m.

Location: Office of the State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors, 1109 Dresser Court,

Raleigh, North Carolina 27609

Reason for Proposed Action: The Board is holding a hearing to solicit input on proposals which would: (a) create a licensure category for residential fire sprinkler contractor; (b) modify the experience prerequisite for examination so as to allow a broader range of activity to count as experience; (c) consider elimination of a Board requirement of permit and inspection for replacement of electric residential hot water heaters in some circumstances; (d) clarify contractor obligation during separate employment; (e) make cosmetic and grammatical changes without anticipated impact; (f) clarify the performance standard for HVAC systems installed by licensees; and (g) cost of living adjustment of fees.

Procedure by which a person can object to the agency on a proposed rule: Any person desiring to comment upon or object to a proposed rule may do so either by appearing at the public hearing or in writing as set out below prior to the end of the comment period.

Comments may be submitted to: Dale L. Dawson, 1109 Dresser Court, Raleigh, North Carolina 27609, phone (919) 875-3612

Comment period ends: July 14, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

Fisca	Impact:
	State
	Local
	Substantive (≥\$3,000,000)
\boxtimes	None

SECTION .0200 - FORMS

21 NCAC 50 .0202 OBTAINING FORMS

All forms Forms may be obtained on request from the Executive Director, State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors, 1109 Dresser Court, Raleigh, North Carolina, 27609.27609, or via document download from the Board's official website at http://www.nclicensing.org.

Authority G.S. 87-18.

SECTION .0300 – EXAMINATIONS

21 NCAC 50 .0301 QUALIFICATIONS DETERMINED BY EXAMINATION

(a) In order to determine the qualifications of an applicant, the Board shall provide an examination in writing or by computer in the following categories:

Plumbing Contracting, Class I Plumbing Contracting, Class II

Heating, Group No. 1 - Contracting, Class I

Heating, Group No. 1 - Contracting, Class II

Heating, Group No. 2 - Contracting, Class I

Heating, Group No. 3 - Contracting, Class I

Heating, Group No. 3 - Contracting, Class II

Fuel Piping Contractor

Fire Sprinkler Inspection Technician

Fire Sprinkler Installation Contractor

Fire Sprinkler Inspection Contractor

Fire Sprinkler Maintenance Technician

Residential Fire Sprinkler Installation Contractor

- (b) Each person being examined by the Board for a contractor license other than a Fire Sprinkler Installation or Inspection Contractor license shall be required to read, interpret and provide answers to both the business and law part and the technical part of the examination required by G.S. 87-21(b).
- (c) Applicants for licensure as a fire sprinkler <u>installation</u> contractor, <u>unlimited classification</u>, must submit evidence of current certification by the National Institute for Certification and Engineering Technology (NICET) for Fire Protection Engineering Technician, Level III, subfield of Automatic Sprinkler System Layout as the prerequisite for licensure. Current certification by NICET is in lieu of separate technical examination conducted by the Board. Applicants for licensure as a fire sprinkler <u>installation contractor</u>, <u>contractor</u>, <u>unlimited classification</u>, must take and pass the business and law part of the exam administered by the Board.
- (d) Applicants for licensure in the Limited—Fire Sprinkler Inspection Technician classification must either pass the technical examination offered by the Board or submit evidence of Level II Certification in "Inspection and Testing of Waterbased Protection Systems" by NICET in lieu of examination. License without examination shall be issued beginning July 1, 2003, and ending July 1, 2004, to applicants who meet the experience requirement in Rule .0306. Technicians who obtained license without examination must either pass the technical examination offered by the Board or submit evidence of Level II Certification in "Inspection and Testing of Water-based Protection Systems" by NICET in lieu of examination no later than July 1, 2006 or Technician license shall lapse.
- (e) Applicants for the Limited—Fire Sprinkler Inspection Contractor classification must submit evidence of Level III certification in "Inspection and Testing of Water-based Fire Protection Systems" by NICET in lieu of technical examination. Contractors who obtain license by NICET certification must maintain such certification thereafter as a condition of license renewal. Applicants for licensure as a fire sprinkler inspection contractor must take and pass the business and law part of the examination administered by the Board in addition to demonstrating NICET certification as set out herein.
- (f) Applicants for license in the Limited Fire Sprinkler Maintenance <u>Technician</u> classification obtain license based on maintenance experience, education and job classification set forth in Rule. 0306.
- (g) Applicants for licensure as a Residential Fire Sprinkler Installation Contractor obtain licensure based on experience set forth in Rule .0306 and must take and pass the technical part of the Residential Fire Sprinkler Installation Contractor examination.

Authority G.S. 87-18; 87-21(a); 87-21(b).

21 NCAC 50 .0306 APPLICATIONS: ISSUANCE OF LICENSE

- (a) All applicants for licensure or examination shall file an application in the Board office on a form provided by the Board.
- (b) Applicants for each plumbing or heating examination shall present evidence at the time of application on forms provided by the Board to establish the equivalent of two years on site full-time experience in the design and installation of plumbing or

- heating systems related to the category for which license is sought, whether or not license was required for the work performed. One year of experience in the design or installation of fuel piping is required for fuel piping license. Practical experience shall directly involve plumbing, heating or fuel piping and may include work as a field superintendent, project manager, journeyman, mechanic or plant stationary operator directly involved in the installation, maintenance, service or repair of such systems. Service; maintenance or repair activity; work as a local government inspector of plumbing or heating systems while qualified by the Code Officials Qualification Board; or work as a field representative of this Board; or work by a graduate of an ABET accredited engineering or engineering technology program with direct on site involvement with plumbing or heating system construction, construction supervision, plant engineering or operation may be used as evidence of one half the practical experience required; provided that Board members and employees may not sit for examination during their tenure with the Board. After review, the Board may request additional evidence. No more than one half the experience may be in academic or technical training, maintenance service or repair directly related to the field of endeavor for which examination is requested. The Board shall pro rate experience which involves the kind of work set out above less than 40 hours per week or part time academic work of less than 15 semester or quarter hours. Applicants for plumbing or heating examination shall present evidence at the time of application on forms provided by the Board to establish two years of full-time experience in the installation, maintenance, service or repair of plumbing or heating systems related to the category for which license is sought, whether or not license was required for the work performed. Applicants for fuel piping examination shall present evidence at the time of application on forms provided by the Board to establish one year of experience in the installation, maintenance, service or repair of fuel piping, whether or not license was required for the work performed. Up to one-half the experience may be in academic or technical training directly related to the field of endeavor for which examination is requested. The Board will prorate parttime work of less than 40 hours per week or part-time academic work of less than 15 semester or quarter hours.
- (c) The Board shall issue a license certificate bearing the license number assigned to the qualifying individual.
- (d) Fire Sprinkler <u>Installation Contractors</u> eontractors—in the unlimited classification shall meet experience requirements in accordance with NICET examination criteria.
- (e) Applicants for examination or licensure in the Limited Fire Sprinkler Inspection Technician classification shall submit evidence adequate to establish that the applicant has either:
 - (1) 4000 hours experience involved in inspection and testing of previously installed fire sprinkler systems, consistent with NFPA-25, as a full-time employee of an Unlimited a Fire Sprinkler Inspection Contractor or fire insurance underwriting organization; or
 - (2) 4000 hours experience involved in inspection and testing of previously installed fire sprinkler systems, consistent with NFPA-25 as a full time employee of a hospital,

manufacturing, government or university facility and under direct supervision of a Fire Sprinkler Inspection Contractor or a Fire Sprinkler Inspection Technician. which provides or arranges academic and practical training in fire sprinkler inspections consistent with NFPA 25.

- (3) 4000 hours experience involved in installation of fire sprinkler systems as a full-time employee of a Fire Sprinkler Installation Contractor, or
- (4) a combination of 4000 hours experience in any of the categories listed in this subsection.
- (f) Applicants for licensure in the Limited—Fire Sprinkler Inspection Contractor classification shall meet experience requirements in accordance with NICET certification criteria.
- (g) Applicants for initial licensure in the Limited Fire Sprinkler Maintenance Technician classification after April 1, 2005, must submit evidence of 4000 hours experience at the place for which license is sought as a full-time maintenance employee in facility maintenance with exposure to periodic maintenance of fire protection systems as described in 21 NCAC 50. 0515 of this Chapter or 2000 hours of such experience, together with six hours classroom instruction in courses approved by the Board consisting entirely of training in fire system maintenance, repair and restoration to service. Applicants who have held Fire Sprinkler Maintenance Technician license previously at a different facility are not required to demonstrate experience in addition to the experience at the time of initial licensure but shall present evidence of two hours classroom instruction in courses approved by the Board consisting entirely of training in fire system maintenance, repair and restoration to service relevant to the systems in the new facility or place of employment.submit a new application for the new location at which they wish to be licensed.
- (h) Applicants for licensure in the Residential Fire Sprinkler Installation Contractor classification must hold an active Plumbing Class I or Class II Contractor license issued by this Board for a minimum of three years and must document attendance at a 16 hour course approved by the Board covering NFPA 13D Multipurpose Residential Plumbing and Residential Fire Sprinkler Systems.

Authority G.S. 87-18; 87-21(b).

21 NCAC 50 .0307 REFUND OF DEPOSIT

The application <u>fee for license without examination</u>, and <u>the application and examination fee</u> for an examination will not be refunded.

Authority G.S. 87-18; 87-21(b); 87-22; 87-22.1.

21 NCAC 50 .0308 REVIEW OF EXAMINATION

- (a) Any person who fails to pass an examination may, on written request, review his or her examination at a time and place determined by the Board.
- (b) In the event an applicant fails an examination for a particular qualification three times, the applicant must present evidence of six months additional practical <u>education experience</u> directly

involving both design and installation of systems of the type for which license is sought together with at least 3224 contact hours of additional classroom education approved by the Board, as including all the subjects on the examination. Board.

Authority G.S. 87-18; 87-21(b); 87-25; G.S. 93B-8(c).

21 NCAC 50 .0309 EXPANDING SCOPE OF LICENSE

Any licensee holding a license as an individual, or a licensee whose name appears on the certificate of license issued in the name of a corporation, partnership, or business that has a trade name, may be examined for the purpose of expansion of his license qualifications upon payment of the required application and examination fee-fee, providing that the individual meets the requirements for licensing in the classification sought.

Authority G.S. 87-18; 87-21(b); 87-25.

21 NCAC 50 .0310 APPLICATION FOR LICENSURE BY RECIPROCITY

The Board shall <u>only</u> grant license by reciprocity pursuant to reciprocal licensing agreements worked out with various states after mutual review of the applicable licensing standards and examinations.

Authority G.S. 87-18; 87-21(g).

SECTION .0400 – GENERAL PROCEDURES

21 NCAC 50 .0402 PERMITS

- (a) A licensed contractor shall ensure that a permit is obtained from the local Code Enforcement official before commencing any work for which a license is required by the Board, except that a permit and inspection is not required for the replacement of an electric water heater in single family residential dwellings, where there is no change in capacity, energy use rate, routing or sizing of piping and the installation is performed in compliance with all applicable codes. contractor shall also ensure that a request for final inspection is made within 10 days of substantial completion of the work for which license is required, absent agreement with the owner and the local Code Enforcement official. Absent agreement with the local Code Enforcement official the licensee is not relieved by the Board of responsibility to arrange inspection until a certificate of compliance or the equivalent is obtained from the local code enforcement official or the licensee has clear and convincing evidence of his effort to obtain same.
- (b) A licensed contractor shall not allow a permit to be obtained or his license number to appear upon a permit except for work which he or his employees perform, over which he will provide general supervision until the completion of the work_work_and for which he holds an executed contract with the licensed general contractor or property owner, and for which he receives the contractual payment.

Authority G.S. 87-18; 87-21; 87-26.

21 NCAC 50 .0404 ACTIVE EMPLOYMENT

- (a) In each business location, branch or facility of any kind from which work requiring a license pursuant to G.S. 87, Article 2 is solicited or proposed; or from which contracts for such work are negotiated or entered into; or from which requests for such work are received, accepted, or dispatched; and from which such work is carried out, there shall be on duty the lesser of 1500 hours annually, or all hours during which the activities described herein are carried out, at least one individual who holds license in the classification required for the work being proposed or performed, whose license is listed in the name of the particular firm or business at that location, and who is engaged in the work of the firm at the business location or at firm job sites and who has the responsibility to make, modify, terminate and set the terms of contracts, and to exercise general supervision, as defined in Rule .0505 of this Chapter, of all work falling within his license qualification. Evidence of compliance shall be required as a condition of renewal or retention of license, and falsification shall constitute fraud in obtaining license. standards set forth in Rule 21 NCAC 50 .0512 shall be applied. If a licensee uses his/her license to qualify a firm and that licensee holds employment elsewhere, no work that requires a license can be performed by the firm based on the qualification of that licensee during the hours the licensee is committed or active in employment elsewhere.
- (b) A temporary field office used solely to carry out an existing contract or contracts entered into by the main license office and from none of the other activities in Rule .0404(a) are conducted shall not be deemed a separate place of business or branch requiring compliance with Rule .0404(a).

Authority G.S. 87-18; 87-21(a)(5); 87-21(a)(6); 87-26.

21 NCAC 50 .0405 MULTIPLE LICENSES

- (a) In order to maintain the identity of firms and allow effective supervision, each licensed contractor shall qualify only the business location where he is primarily located.
- (b) A licensee may be listed on only one contractor license at any given time, whether the license is issued in the name of the individual or in the name of a firm; provided, however, that the fire sprinkler maintenance technician qualification may be listed separately in the name of the employer to which restricted.
- (c) The licensee A licensee other than the holder of a Fire Sprinkler Maintenance Technician license, may, upon deletion of his name and qualifications from a firm license, reinstate his personal license, either as an individual or in the name of some other corporation, partnership, or business that has a trade name, upon compliance with G.S. 87-26.

Authority G.S. 87-18; 87-21(a)(5); 87-21(a)(6); 87-26.

21 NCAC 50 .0408 CHANGE OF TRADE NAME

(a) The trade name under which a license is issued may be changed upon request to and approval by the Board pursuant to these Rules. If the Board approves the name change, the last license issued to the licensee must be returned to the executive secretary. Board before the new license will be sent to the licensee.

- (b) A contractor license shall be issued or renewed using any corporate name, partnership name, or trade name which is not substantially similar to a name already in use according to the records of the Board.
- (c) The licensee shall notify the Board of any change in location location, telephone number, physical address or mailing address from that shown on the last license renewal invoice within 30 days after the change takes place.

Authority G.S. 55B-5; 87-18; 87-26.

SECTION .0500 - POLICY STATEMENTS AND INTERPRETATIVE RULES

21 NCAC 50 .0501 AIR CONDITIONING FURTHER DEFINED

- (a) Heating Group 2 systems are defined in G.S. 87-21(a)(3). Multiple units serving interconnected space and aggregating more than 15 tons are included in the foregoing whether or not separately ducted or controlled.
- (b) The installation of All—heating and air conditioning or eooling-systems or components utilizing ductwork and located in single family residences, dwellings and systems of less than 15 tons capacity in non-residential structures require Heating Group 3 license except where: and not requiring a Heating Group 1 license require a Heating Group 3 license.
 - (1) heat is provided by hot water or steam in a Heating Group 1 system, or
 - (2) cooling is provided by a unitary appliance such as a window unit.

Authority G.S. 87-18; 87-21(a)(3).

21 NCAC 50 .0505 GENERAL SUPERVISION AND STANDARD OF COMPETENCE

- (a) The general supervision required by G.S. 87-26 is that degree of supervision which is necessary and sufficient to ensure that the contract is performed in a workmanlike manner and with the requisite skill and that the installation is made properly, safely and in accordance with applicable codes and rules. General supervision requires that review of the work done pursuant to the license be performed by a licensee of the firm while the work is in progress.
- (b) The provisions of the North Carolina Building Code, including the provisions of codes and standards incorporated by reference, to the extent adopted by the Building Code Council of North Carolina from time to time is the minimum standard of competence applicable to contractors licensed by the Board. Licensees shall design and install systems which meet or exceed the minimum standards of the North Carolina State Building Code, manufacturer's specifications, and installation instructions and standards prevailing in the industry.
- (c) Work performed under Rule .0513, Rule .0514, and Rule .0515 of this Chapter Limited Fire Sprinkler Maintenance Technicians and Limited Fire Sprinkler Inspection Technicians shall be present in person at all times work is being carried out on the system performed by the licensed technician pursuant to the license held by that person.

- (d) Every newly installed residential heating and/or air conditioning system shall be designed and installed to maintain a maximum temperature differential of four degrees Fahrenheit room-to-room and floor-to-floor. On multilevel structures, contractors are required to either provide a separate HVAC system for each floor or to install automatically controlled zoning equipment for each level with individual thermostats on each level to control the temperature for that level. The seasonal adjustment needed to maintain the four degrees Fahrenheit room-to-room and floor-to-floor maximum temperature differential cannot be accomplished through the use of manual dampers.
- (e) All licensed HVAC contractors are required to perform a thorough room-by-room load calculation for all new residential structures prior to installing heating and/or air conditioning systems, which calculations shall be specific to the location and orientation where the HVAC system or equipment is to be installed. A written record of the system and equipment sizing information shall be provided to the owner or general contractor upon request and a copy shall be maintained in the job file of the licensee for a minimum of six years.
- (f) When either a furnace or condenser and air handler in an existing residential heating or air conditioning system is replaced, the licensed HVAC contractor is required to perform a minimum of a whole house block load calculation. When a furnace, condenser or air handler in a residential heating or air conditioning system is replaced, it is the responsibility of the licensee to ensure that all systems and equipment is properly sized. The licensee may utilize industry standards, reference materials, evaluation of the structure, and/or load calculations. A written record of the system and equipment sizing information shall be provided to the homeowner, owner or general contractor upon request and a copy shall be maintained in the job file of the licensee for a minimum of six years. If a load calculation was not performed or if a load calculation was performed and it is later determined by the Board that the unit installed was undersized or oversized, then the installing contractor is responsible to correct the equipment sizing issue.

Authority G.S. 87-18; 87-23; 87-26.

21 NCAC 50 .0506 MINOR REPAIRS AND ALTERATIONS

- (a) The connection of a factory installed and inspected mobile home drainage system to an existing approved premises sewer system, which premises sewer system extends from the septic tank or municipal sewer system, constitutes a minor repair or replacement. The connection of a factory installed mobile home water system to an existing potable water supply on the premises constitutes a minor repair or replacement.
- (b) The initial installation or the subsequent replacement of <u>a-all</u> <u>hot</u>-water <u>heater-heaters</u> in any structure requires a license in plumbing contracting.
- (c) The installation of a water purification system which interrupts the potable water supply does not constitute a minor repair or replacement within the meaning of G.S. 87-21(c).
- (d) Any connection, repair, or alteration which requires interruption of the potable water supply and if poorly performed

- creates risk of contamination of the potable water supply is not a minor repair, replacement or alteration.
- (e) Any connection, repair or alteration which if poorly performed creates risk of fire or exposure to carbon monoxide, open sewage or other gases is not a minor repair, replacement or alteration.
- (f) The failure to enumerate above any specific type of repair, replacement or alteration shall not be construed in itself to render said repair, replacement or alteration as minor within the meaning of G.S. 87-21(c).

Authority G.S. 87-18; 87-21(a)(1); 87-21(a)(5); 87-21(c).

21 NCAC 50 .0508 HEATING: GROUP 3 LICENSE REQUIRED

- (a) A license in heating, group No. 3 is required for the installation or replacement of a furnace, <u>air handler, heat pump, package unit,</u> ductwork or condenser in a heating, group No. 3 system.
- (b) A license in heating, group No. 3 is required to install or replace a self-contained fireplace unit if the unit utilizes ducts or a blower to distribute air to areas not immediately adjacent to the fireplace itself.
- (c) A license in heating, group No. 3 is required when air conditioning of less than 15 tons is added to an already installed heating, group No. 3 system.
- (d) A heating, group No. 2 license is required for the installation or replacement of equipment or ductwork in a Heating Group No. 2 system, unless exempted by G.S. 87-21(a)(3).

Authority G.S. 87-18; 87-21(a)(3); 87-21(a)(5); 87-21(c).

21 NCAC 50 .0513 FIRE SPRINKLER INSPECTION TECHNICIAN LICENSE

- (a) License in the Limited-Fire Sprinkler Inspection Technician classification is required of the technician who carries out periodic inspection of fire sprinkler systems consistent with NFPA-25.
- (b) Periodic observation and testing of systems other than NFPA-25 system certification may be carried out by Fire Sprinkler Maintenance Technicians licensed under Rule .0515 of this Chapter. Insurers who carry out inspections for the limited purpose of underwriting or rating for insurance purposes, in situations where the physical tasks are carried out by the on-site Fire Sprinkler Maintenance Technician licensee of the insured, are not required to be licensed pursuant to this Rule. All NFPA-25 reports and system tags must display the name and signature of the licensee who performed the actual inspection as well as the licensee number of the inspection contractor; except that where the Fire Sprinkler Inspection Technician license is issued in the name of a manufacturing, government, university or hospital facility as set out in this Rule, the NFPA-25 report and system tags must display the name, signature and license number of the Inspection Technician.
- (c) Licenses shall be issued based on demonstrated experience and examination, examination or on demonstrated experience and certification, as described in Rules .0301 and .0306 of this Chapter and expire annually.

(d) The duties of <u>fire sprinkler</u> inspection technicians may be carried out as employees of <u>fire sprinkler</u> inspection contractors or as full-time employees at a manufacturing, government, university or hospital <u>facility which provides or arranges</u> academic and practical training in <u>fire sprinkler inspections</u> consistent with NFPA-25.facility. Fire <u>Sprinkler Inspection</u> Technician licenses shall be issued and listed either as sublicensees of <u>fire sprinkler inspection</u> technician license in the name of the manufacturing, government, university or hospital facility where the <u>fire sprinkler inspection</u> technician is employed.

Authority G.S. 87-21.

21 NCAC 50 .0514 FIRE SPRINKLER INSPECTION CONTRACTOR LICENSE

- (a) License in the Limited-Fire Sprinkler Inspection Contractor classification is required of persons who engage in the business of contracting to perform or performing independent testing and inspections of fire sprinkler systems consistent with NFPA-25. Insurers who carry out inspections for the limited purpose of underwriting or rating for insurance purposes, in situations where the physical tasks are carried out by the on-site Fire Sprinkler Maintenance technician licensee of the insured, are not required to be licensed pursuant to this Rule.
- (b) Where the NFPA-25 inspection is carried out by the <u>a Fire Sprinkler</u> Inspection Contractor, the NFPA-25 report and system tags must display the name, signature and license number of the <u>Fire Sprinkler</u> Inspection Contractor.
- (c) Licenses shall be issued based on experience and examination, as described in Rules .0301 and .0306 of this Chapter and expire annually.

Authority G.S. 87-21.

21 NCAC 50 .0515 FIRE SPRINKLER MAINTENANCE TECHNICIAN LICENSE

- License in the Limited Fire Sprinkler Maintenance Technician classification is required of the technician who carries out periodic maintenance observation or testing of waterbased fire protection systems. Licenses shall be issued based on experience and training, as described in Rules .0301 and .0306 of this Chapter and expire annually. This license is limited to work on the systems at the locations of the employer of the licensee for which experience was demonstrated. termination of employment at the location for which certified, the Limited-Fire Sprinkler Maintenance Technician license shall lapse, and a new license shall be obtained for the systems at the new place of employment by compliance with the requirements of Rule .0306 of this Chapter. Insurers who carry out inspections for the limited purpose of underwriting or rating for insurance purposes, in situations where the physical tasks are carried out by the on-site Fire Sprinkler Maintenance Technician licensee of the insured, are not required to be licensed pursuant to this Rule.
- (b) Persons holding Limited—Fire Sprinkler Maintenance Technician license may only:
 - (1) Operate and lubricate hydrants and control valves;

- (2) Adjust valve and pump packing glands;
- (3) Bleed moisture and condensation from air compressors, air lines and dry pipe system auxiliary drains;
- (4) Clean strainers;
- (5) Check for painted, damaged or corroded sprinklers, corroded or leaking piping and verify control valves are open;
- (6) Replace painted, corroded or damaged sprinkler head, using identical serial numbers;
- (7) Replace missing or loose hangers;
- (8) Replace gauges;
- (9) Clean water motor gong;
- (10) Perform air compressor maintenance;
- (11) Reset dry pipe valves;
- (12) Exercise fire pumps, not including conduct of a flow measurement test;
- (13) Perform periodic maintenance observation or testing, not including the annual NFPA-25 inspections; or
- (14) Perform repairs other than the foregoing on an emergency basis where necessary to restore a system to operation, provided the holder of the Limited—Fire Sprinkler Maintenance Technician license documents his efforts and inability to obtain the services of the holder of a license as an unlimited—a Fire Sprinkler Installation Contractor prior to performing the repairs, but obtains such services within 72 hours thereafter.

Authority G.S. 87-21.

SECTION .1000 – CONTESTED CASES

21 NCAC 50 .1006 INFORMAL PROCEDURES

- (a) The Board and party or parties may agree at a pre-hearing conference to simplify the hearing by: decreasing the number of issues to be contested at the hearing; accepting the validity of proposed evidence; accepting the findings in another case with relevance to the case at hand; or agreeing to such other matters as may expedite the hearing.
- (b) The Board may appoint establish a resolution committee consisting of the Executive Director and one or two members appointed by the of Board staff, together with a third person appointed by the Board, to conduct an informal conference when it appears there may not be a need for a formal hearing. In the event one committee member cannot be present, the committee may proceed with two members. At least two members must be present and participate in Committee proceedings. Any party who does not agree with a proposal for resolution resulting from an informal conference may notify the Board within 30 days. The matter will subsequently be heard de novo by a majority of the Board or as otherwise provided by 21 NCAC 50 .1005, or this Rule. If there is no objection to the proposed resolution within 30 days, the proposed resolution will be received and considered by a majority of the Board with a recommendation for adoption by the staff, any Board member involved and the licensee.

- (c) As a part of the contested case hearing process, the Board may elect to conduct a summary proceeding in a contested case. The procedure for a summary proceeding is substantially as follows:
 - (1) After issuance of a notice of hearing in accordance with 21 NCAC 50 .1004, the matter is considered by a single board member without a record. Each party may tender affidavits, documents and a closing statement. Live testimony will not be received.
 - (2) Each party may present a suggestion as to the terms of a Recommended Order. The presiding board member will consider the materials and suggestions and issue a Recommended Decision in summary proceeding. If there is no objection within 30 days, the Recommended Order shall be received and considered by a majority of the Board with a recommendation for adoption by the staff, the Board member involved and the respondent.
 - (3) Any party who does not agree with the recommended decision may notify the Board. The matter shall subsequently be heard de novo by a majority of the Board or as otherwise provided by 21 NCAC 50 .1005. The de novo hearing shall be conducted as other contested case hearings are conducted pursuant to 21 NCAC 50 .1000. The Board member who conducted the summary proceedings shall be disqualified from the de novo hearing.

Authority G.S. 87-18; 150B-41.

SECTION .1100 - FEES

21 NCAC 50 .1102 LICENSE FEES

- (a) Except as set out in this Rule, the annual license fee for statewide plumbing, heating and fuel piping licenses by this Board is one hundred twenty five dollars one-hundred thirty dollars (\$125.00).(\$130.00).
- (b) The annual license fee for a licensed individual who holds qualifications from the Code Officials Qualification Board, is employed full-time as a local government plumbing, heating or mechanical inspector and who is not actively employed in business requiring license from this Board is fifteen dollars thirty dollars (\$15.00).(\$30.00).
- (c) The initial application fee for license without examination conducted by the Board is twenty five dollars (\$25.00). (\$30.00).
- (d) The annual license fee for a contractor or inspection technician whose qualifications are listed as the second or subsequent individual on the license of a corporation, partnership, or business with a trade name under Paragraphs (a) or (c) of this Rule is twenty five dollars thirty dollars (\$25.00).(\$30.00).

- (e) The annual license fee for fire sprinkler installation contractor and fire sprinkler inspection contractor licenses by this Board is one hundred thirty dollars (\$130.00).
- (f) The annual license fee for Fire Sprinkler Maintenance Technician is one hundred thirty dollars (\$130.00).
- (g) The annual license fee for Residential Fire Sprinkler Installation Contractor is one hundred thirty dollars (\$130.00).

Authority G.S. 87-18; 87-21; 87-22.

21 NCAC 50 .1104 FEES FOR COPIES OF RECORDS AND RETURNED CHECKS

The Board charges the following fees:

- (1) copies of license \$15.00\\$20.00
- (2) abstract of license record

\$15.00\\$25.00 per license

record search

- (3) processing fee for returned checks
 - maximum allowed by law
- (4) copy of Board rules \$10.00
- (5) processing fee for late renewal \$25.00
- (6) Business and Project Management for Contractors \$40.00\\$45.00

Authority G.S. 25-3-506; 87-18; 87-22; 150B-19.

SECTION .1400 – CONTINUING EDUCATION

21 NCAC 50 .1401 CONTINUING EDUCATION REQUIREMENTS

- (a) Beginning with renewals of license for years beginning on or after January 1, 2003, each holder of a Plumbing, Heating or Fuel Piping license, must have completed six hours of approved continuing education for each calendar year as a condition of license renewal. Prior to renewal of license for the year beginning January 1, 2008, and for renewals thereafter, each holder of a Fire Sprinkler <u>Installation</u> Contractors license must have completed six hours of approved classroom continuing education for each calendar year.
- (b) Beginning with renewals of license for years beginning on or after January 1, 2010, as As part of and not in addition to the requirements set out in Paragraph (a) of this Rule, at least once every three calendar years, each applicant for license renewal, other than fire sprinkler licensees, must complete: complete two hours of instruction devoted entirely to N.C. Building Code including recent changes or amendments' to those codes annually.
 - (1) four hours instruction devoted entirely to N.C. building codes including recent changes or amendments to those codes;
 - (2) a minimum of two hours instruction in system design;
 - (3) a minimum of two hours instruction in system installation; and
 - (4) two hours instruction in business courses such as business ethics, taxation, payroll, cash management, bid and contract preparation, customer relations or similar subjects.

- (c) Courses accredited for renewal of Plumbing, Heating or Fuel Piping license, must be in areas related to plumbing, heating and air conditioning contracting such as the technical and practical aspects of the analysis of plans and specifications, estimating costs, fundamentals of installation and design, equipment, duct and pipe sizing, code requirements, fire hazards and other business ethics, taxation, payroll, cash management, bid and contract preparation, customer relations subjects as those may relate to engaging in business as a plumbing, heating or fuel piping contractor or to plumbing or heating systems. No more than two hours annually may be dedicated to business ethics, taxation, payroll, cash management, bid and contract preparation, customer relations or similar subjects.
- (d) Persons holding multiple qualifications from the Board must complete at least six hours annually, but are not required to take hours each year in each qualification. qualification, except Plumbing Contractor licensees who also hold a Residential Fire Sprinkler Installation Contractor license must obtain six hours continuing education annually in plumbing and four hours continuing education annually in residential fire sprinkler installation. Licensees with multiple qualifications shall take instruction so as to remain current in all areas of contracting work in which actively engaged.
- (e) Licenses may not be renewed without documentation of course attendance, course name, course number, content and teacher. Falsification or misstatement of continuing education information shall be grounds for failure to renew licenses and disciplinary action, including revocation or suspension of licenses.
- (f) Holders of Fire Sprinkler Contractor's licenses, licensed pursuant to the minimum requirements of certification for NICET Level III, subfield of Automatic Sprinkler System Layout, and persons holding NICET certification in Inspection and Testing of water based Fire Protection Systems shall obtain continuing education in the process of maintaining current NICET certification. Such persons shall submit evidence of continued NICET certification to the Board. At least six hours of the continuing education shall be classroom education carried out by personal attendance at courses approved pursuant to this Section. Individuals who obtained licensure by means of the NICET certification as a Fire Sprinkler Installation Contractor, Fire Sprinkler Inspection Contractor, or Fire Sprinkler Inspection Technician, must maintain current certification with NICET as a condition of annual license renewal, and shall present evidence of same to the Board. In addition, licensees in this class must also obtain six hours of Board-approved continuing education classes for each calendar year as a condition of license renewal.
- (g) Beginning with renewals of license on or after January 1, 2003, each holder of a Fire Sprinkler <u>Installation</u> Contractors or Fire Sprinkler Inspection Contractor or Technician license not required to be current on the continuing education requirements of NICET must complete six hours of approved continuing education in areas related to fire sprinkler contracting during the preceding calendar year as a condition of license renewal. Licensees in the <u>Limited</u> Fire Sprinkler <u>maintenance Maintenance Technician</u> classification shall obtain <u>six-four</u> hours of approved classroom continuing education annually relevant to the systems they maintain.

Authority G.S. 87-21(b)(3); 87-22.

21 NCAC 50 .1402 EXEMPTIONS AND CREDITS

- (a) Licensees may not carry over hours from one calendar year to the next.
- (b) Newly licensed individuals shall have no continuing education requirements for the calendar year in which they first become licensed.
- (c) Licensees who are unable to fulfill the required number of hours as the result of illness as certified by an attending physician and who will not be engaged in bidding, supervising or other activities for which license is required may petition the Board in writing for an exemption or request approval of an individualized plan tailored to their physical limitations. Such requests shall be approved within 90 days consistent with the requirements applicable to all licensees.
- (d) Licensees who are over the age of 65, and who shall not be engaged in bidding, supervising or other activities for which license is required during the coming year, except as an employee of another licensee, may apply to the Board and obtain an exemption. If exemption is granted and the licensee thereafter wishes to engage in activity requiring license, the continuing education must be completed and satisfactory proof provided to the Board before any activity requiring license is undertaken.
- (e) Instructors in Board-approved courses shall receive continuing education credit for lecture hours in approved courses.
- (f) Members of the Board Board, Board Staff and Resolution Review Committee shall receive continuing education credit for hours spent in hearings hearings, resolution review conferences or in monitoring continuing education courses. Licensees sitting on the Resolution Review Committee or attending formal hearings other than as a Respondent shall receive credit for such hours, but are not relieved of the necessity to obtain the code hours required by 21 NCAC 50 .1401(b)(1).
- (g) Licensees who have been called to active duty with any branch of the United States Military Service (Air Force, Army, Navy, Marines, Coast Guard, National Guard, Reserves, etc.) are not required to obtain continuing education credit hours during times they are deployed on active duty outside North Carolina and will not be required to obtain continuing education credit hours for the license year in which they return to North Carolina from active duty. The licensee will be required to obtain continuing education credit hours the years following return from active duty. In order to qualify for exemption from continuing education credit hours based on active military duty, the licensee must submit a copy of the military orders documenting their active duty military deployment and return.

Authority G.S. 87-21(b)(3); 87-22.

21 NCAC 50 .1404 COURSE REQUIREMENTS AND LIMITATIONS

(a) In order for course credit to be obtained, the course must be approved and consist of instruction in areas related to plumbing, heating, air conditioning and fire sprinkler contracting or inspection contracting such as the technical and practical aspects of the analysis of plans and specifications, estimating costs,

fundamentals of installation and design, equipment, duct and pipe sizing, and NFPA code requirements, fire hazards and other subjects as those may relate to engaging in business as a plumbing, heating, fuel piping or fire sprinkler contractor or to plumbing or heating or fire sprinkler systems. Business ethics, taxation, payroll, cash management, bid and contract preparation, customer relations or similar subjects related to plumbing or heating contracting shall also be approved.

- (b) In order for course credit to be obtained, the course must be taught by the instructor or alternate listed when the course was approved by the Board, absent specific request and approval of the course as modified prior to the delivery of the program.
- (c) Courses shall have a minimum of two hours of actual instruction and a maximum of six hours of actual instruction, per day.
- (d) Courses shall be held in facilities conducive to learning. Such facilities include community colleges, technical schools, or community centers.
- (e) Courses shall be open to all interested licensees that the host facility can reasonably accommodate and for audit by Board representatives; courses may not be restricted to employees, dealers or members of a particular firm or group.
- (f) Once listed on the six-month course roster, a course may not be cancelled during that six month period.
- (g) Though courses may have commercial sponsors, the courses shall not include promotion of products or services of a particular firm or manufacturer.
- (h) Correspondence, home study, license exam preparation (cram) courses shall not be approved.
- (i) For the information of all licenses, the Board shall maintain a calendar of all courses available during a six-month period.
- (j) Licensees are required to bring with them to any continuing education course a current code book relevant to any particular course where building code is being taught.
- (k) The maximum number of students allowed in any Board-approved continuing education class shall be 100.

Authority G.S. 87-21(b)(3); 87-22.

21 NCAC 50 .1405 APPROVAL OF COURSES

- (a) To obtain approval of a course a provider or proposed provider must submit a written application to the board on or before the first day of September of each year for courses to be offered the following January through June and on or before the first day of March each year for courses to be offered the following July through December. The application must include:
 - (1) two complete sets of written course materials and a detailed course outline; and
 - (2) an application cover sheet on a form supplied by the Board identifying the applicant, the name, training and experience of all speakers, the proposed date(s) of the course, the host facility, the place where applications for enrollment should be sent, the cost, and the total continuing education hours being offered.
- (b) Preliminary review of course applications shall be carried out by a committee appointed by the Board, that shall include some providers of approved courses. Committee

recommendations shall be presented to the Board for final approval.

- (c) As a condition of course approval, providers shall agree to submit to the board, in the form provided by the Board, and within 30 days of the course date set out on the application, an alphabetical listing of all licensees who attended and completed the course and a copy of any course materials distributed to participants together with certification that the course was provided consistent with the application. The foregoing information shall be submitted within 15 days of the course date set out on the application.
- (d) Providers who fail to provide the information set forth in Paragraph (c) of this Rule shall not thereafter be approved to conduct a course.
- (e) Licensees may select courses other than those offered by pre-approved providers while attending out of state educational functions. In order to obtain approval, the licensee must submit a written application for approval on a form obtained from the Board upon completion of each such course. In lieu of such form, an advertising brochure may be submitted, provided the brochure includes the topic, content of lecture material, date, time, location, name and qualifications of speaker and the number of contact hours received upon completion of the program. The licensee must also provide independent verification of attendance. Board evaluation of courses not preapproved may result in disapproval.

Authority G.S. 87-21(b)(3); 87-22.

TITLE 25 – OFFICE OF STATE PERSONNEL

Notice is hereby given in accordance with G.S. 150B-21.2 that the State Personnel Commission intends to amend rule cited as 25 NCAC 01C .1004.

Proposed Effective Date: October 1, 2009

Public Hearing: Date: July 8, 2009 Time: 10:00 a.m.

Location: Office of State Personnel, Administration Building,

3rd Floor, 121 West Jones Street, Raleigh, NC 27603

Reason for Proposed Action: The amendment is being proposed because of a decision of the NC Court of Appeals that said that an issue regarding the manner in which a reduction in force is carried out is no longer considered a contested case issue. This change recognizes the impact of that decision.

Procedure by which a person can object to the agency on a proposed rule: A person may object to these proposed rules by one of the following methods: (1) a written letter to Peggy Oliver, HR Policy Administrator, Office of State Personnel, 1331 Mail Service Center, Raleigh, NC 27699-1331; (2) an e-mail to peggy.oliver@osp.nc.gov; or (3) a telephone call to Peggy Oliver at (919) 807-4832.

PROPOSED RULES

Comments may be submitted to: Peggy Oliver, HR Policy Administrator, Office of State Personnel, 1331 Mail Service Center, Raleigh, NC 27699-1331; phone (919) 807-4832; fax (919) 715-9750; e-mail peggy.oliver@osp.nc.gov

Comment period ends: July 14, 2009

Procedure for Subjecting a Proposed Rule to Legislative Review: If an objection is not resolved prior to the adoption of the rule, a person may also submit written objections to the Rules Review Commission. If the Rules Review Commission receives written and signed objections in accordance with G.S. 150B-21.3(b2) from 10 or more persons clearly requesting review by the legislature and the Rules Review Commission approves the rule, the rule will become effective as provided in G.S. 150B-21.3(b1). The Commission will receive written objections until 5:00 p.m. on the day following the day the Commission approves the rule. The Commission will receive those objections by mail, delivery service, hand delivery, or facsimile transmission. If you have any further questions concerning the submission of objections to the Commission, please call a Commission staff attorney at 919-431-3000.

r iscar i	impact.
	State
	Local
	Substantive (≥\$3,000,000)
\boxtimes	None

Fiscal Impact

CHAPTER 01 - OFFICE OF STATE PERSONNEL

SUBCHAPTER 01C - PERSONNEL ADMINISTRATION

SECTION .1000 - SEPARATION

25 NCAC 01C .1004 REDUCTION IN FORCE

- (a) A State government agency may separate an employee whenever it is necessary due to shortage of funds or work, abolishment of a position or other material change in duties or organization. Retention of employees in classes affected shall, asat a minimum, be based on systematic consideration of all the following factors: type of appointment, relative efficiency, actual or potential adverse impact on the diversity of the workforce and length of service. However, neither temporary, probationary nor trainee employees in their initial six months of training shall be retained where an employee with a permanent appointment must be separated in the same or related class.

 (b) Agency Responsibility: Responsibilities:
 - (1) Each agency shall develop a written policy for reduction-in-force which meets its particular needs and provides assurance to employees that potential reductions shall be considered on a fair and systematic basis in accordance with

- factors defined in the reduction-in-force policy. The policy of each department/agency/institutionagency shall be filed with the Office of State Personnel as a public record.record; and
- (2) Each employing agency shall inform the employee of separation as soon as possible and inform the employee of the priority reemployment consideration available. The agency mustshall provide employees with a minimum of 30 calendar days written notification of separation prior to the effective date of the reduction in force.
- (c) Appeals: A career state employee who is separated due to reduction in force may appeal to the State Personnel Commission for a review to assure that systematic procedures were applied. Provisions of the agency appeal procedure shall first be followed. An employee separated through a reduction in force may appeal that separation as follows:
 - An employee may appeal the separation if it is alleged that the separation is in retaliation for the employee's opposition to alleged discrimination against the employee on account of the employee's age, sex, race, color, national origin, religion, creed, political affiliation, or disabling condition as defined by Chapter 168A of the General Statutes;
 - (2) An employee may appeal the separation if it is alleged that the separation is a denial of the veterans' preference granted in connection with a reduction in force for an eligible veteran as provided in Chapter 126, Article 13; and
 - (3) Such an appeal may be made either through the agency internal grievance procedure or may be filed directly with the Office of Administrative Hearings, at the choice of the employee.
- (d) Equal Employment Opportunity: In accordance with the Uniform Guidelines on Employee Selection Procedures affecting equal employment opportunity, any application of the reduction-in-force policy must be analyzed by the agency to determine its impact in this area.
- (e) Severance Salary Continuation: Continuation: Severance salary continuation shall be administered in accordance with the rules contained at 25 NCAC 01D .2700. Pursuant to G.S. 143-27.2,126-8.5, the Office of State Budget and Management is responsible for determining whether severance salary continuation is applicable. Prior approval shall be received from the Office of State Budget and Management before severance salary continuation is paid.

Authority G.S. 126-4(2).

This Section contains information for the meeting of the Rules Review Commission on Thursday, April 16, 2009 9:00 a.m. at 1711 New Hope Church Road, RRC Commission Room, Raleigh, NC. Anyone wishing to submit written comment on any rule before the Commission should submit those comments to the RRC staff, the agency, and the individual Commissioners. Specific instructions and addresses may be obtained from the Rules Review Commission at 919-431-3100. Anyone wishing to address the Commission should notify the RRC staff and the agency no later than 5:00 p.m. of the 2nd business day before the meeting. Please refer to RRC rules codified in 26 NCAC 05.

RULES REVIEW COMMISSION MEMBERS

Appointed by Senate

Jim R. Funderburke - 1st Vice Chair David Twiddy - 2nd Vice Chair Keith O. Gregory Jerry R. Crisp Jeffrey P. Gray

Appointed by House

Jennie J. Hayman - Chairman John B. Lewis Clarence E. Horton, Jr. Daniel F. McLawhorn Curtis Venable

COMMISSION COUNSEL

Joe Deluca (919)431-3081 Bobby Bryan (919)431-3079

RULES REVIEW COMMISSION MEETING DATES

May 21, 2009 June 18, 2009 July 16, 2009 August 20, 2009

RULES REVIEW COMMISSION April 16, 2009 MINUTES

The Rules Review Commission met on Thursday, April 16, 2009, in the Commission Room at 1711 New Hope Church Road, Raleigh, North Carolina. Commissioners present were: Jim Funderburk, Jeff Gray, Keith Gregory, Jennie Hayman, Clarence Horton, John Lewis, David Twiddy, and Curtis Venable.

Staff members present were: Joseph DeLuca and Bobby Bryan, Commission Counset, Ilammara Chalmers, Julie Edwards and Dana

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Vojtko.

The following people were among those attending the meeting:

Kathy Wilmer Duke Energy
Jane Gilchrist Department of Justice
John Hoomani Department of Labor

Kent Nelson
David Cobb
Wildlife Resources Commission
Wildlife Resources Commission

Tommy Kimball NC Bowhunters Association

Ramon Bell NC Bowhunters Association

John Aldridge Department of Justice
Tom Savage Department of Labor

Mickey Strader
William Newton
Cody L. Reed, Jr.

NC Bowhunters Association
NC Bowhunters Association
NC Bowhunters Association

Bobby Davis Department of Labor

Claude N. Young, Jr. Department of Justice/Wildlife Resources Commission

Carolyn McKenny Professional Teaching Standards Commission

Kevin O'Barr Department of Labor

S. Stone, Jr. NC Bowhunters Association
Joan Troy Wildlife Resources Commission

Bob Pendergrass NC Falconers Guild

Drake Maynard Office of State Personnel Wayne Woodard Department of Justice

Gordon Myers Wildlife Resources Commission
Russell Poole NC Bowhunters Association
Ed Strickland Department of Revenue

Katie Cornetto State Board of Education/Department of Public Instruction

Laura Crumpler Department of Justice Erin Gould Department of Labor

Bennie Riddle WCQDMP Jim Phillips WNCQDMP

Adrienne Weaver DENR/Division of Water Quality
Jamie McNees DENR/Division of Water Quality
Jeff Manning DENR/Division of Water Quality

Nancy Pate Department of Environment and Natural Resources

Kate Pipkin Wildlife Resources Commission

Ed Geddie Department of Labor

Amanda Reeder DHHS/Division of Mental Health Andrea Jordan DHHS/Division of Mental Health

Patrick Jones Department of Agriculture and Consumer Services
David McLeod Department of Agriculture and Consumer Services

Gretchen Aycock Department of Administration Erin Wynia NC League of Municipalities

Donald F. Hilke, III NC Citizen
Eddie Williams Buckner Steel Erection

David Tuttle Board of Examiners for Engineers and Surveyors

APPROVAL OF MINUTES

The meeting was called to order at 9:05 a.m. with Ms. Hayman presiding. She reminded the Commission members that they have a duty to avoid conflicts of interest and the appearances of conflicts as required by NCGS 138A-15(e). Chairman Hayman asked for any discussion, comments, or corrections concerning the minutes of the March 19, 2009 meeting. There were none and the minutes were approved as distributed.

FOLLOW-UP MATTERS

02 NCAC 09L .1002, .1807 – Pesticide Board. The Commission approved the rewritten rules submitted by the agency.

12 NCAC 07D .0402, .0501 - Private Protective Services Board. No rewritten rules have been submitted and no action was taken.

Prior to the review of the rules from the Criminal Justice Education and Training Standards Commission, Commissioner Gray recused himself and did not participate in any discussion or vote concerning the rules in Subchapters 09B and 09H because he is the registered lobbyist for the State Lodge of Fraternal Order of Police which actively lobbied for passage of the enabling legislation for the retired law enforcement officer concealed carry (implementation of federal law).

12 NCAC 09B .0205, .0301 – Criminal Justice Education and Training Standards Commission. The rewritten rule for .0205 was approved by the Commission. The Commission continued its objection for .0301 based on lack of statutory authority. There is no authority cited for the agency to take any of the actions listed in paragraphs (e) and (f) against a person certified as an instructor. G.S. 17C-6 (a)(6) and (7) give the Commission the authority to establish minimum standards for instructors and to certify instructors who meet those standards. G.S. 17C-6(a)(8) gives the Commission the authority to investigate to determine if individuals are complying with the statutes. At no place is the Commission specifically given any authority to take any action against any person certified as an instructor. In addition G.S. 17C-11, entitled "Compliance; enforcement" only applies to criminal justice officers, not instructors. This objection applies to existing language in the Rule.

12 NCAC 09C .0306 – Criminal Justice Education and Training Standards Commission. The Commission approved the rewritten rule submitted by the agency.

12 NCAC 09H .0102 – Criminal Justice Education and Training Standards Commission. The Commission approved the rewritten rule submitted by the agency.

- 13 NCAC 07F .0903, .0904, .0905, .0916, .0919, .0920, .0921 Department of Labor. The Commission approved the rewritten rules submitted by the agency.
- 15A NCAC 02D .1205, .1212 Environmental Management Commission. No rewritten rules have been submitted and no action was taken. The EMC did not meet in April. Its next meeting is scheduled for May 14.
- 12 NCAC 12 .0202 Licensing Board for General Contractors. No rewritten rule has been submitted and no action was taken. The Board does not meet until April 22. It is anticipated that they will have a response for the May meeting
- 21 NCAC 14H .0105 Board of Cosmetic Art Examiners. No rewritten rule has been submitted and no action was taken. The Board rescheduled its April meeting for April 27. It is anticipated that they will have a response for the May meeting.
- 21 NCAC 32K .0208 Medical Board. This rule was returned to the agency at the agency's request. Since it was an unnoticed version of the current rule, the current rule will remain in the NCAC.
- 25 NCAC 01I .2002 State Personnel Commission—The Commission approved the rewritten rule submitted by the agency contingent on receiving a technical change. The technical change has been received.

Prior to the review of this rule from the Office of Administrative Hearings, Commissioner Gray recused himself and did not participate in any discussion or vote concerning this rule because he served in the capacity of "Acting Legal Counsel" in the review of this rule due to the possible appearance of a conflict of interest for commission Counsel since they are now employees of OAH.

26 NCAC 03 .0120 – Office of Administrative Hearings. The Commission approved the rewritten rule submitted by the agency.

LOG OF FILINGS

Chairman Hayman presided over the review of the log of permanent rules.

All permanent rules were approved unanimously with the following exceptions:

- 01 NCAC 44A .0202: Department of Administration The Commission objected to this rule based on ambiguity. It is not clear what is meant by the last sentence in (b). It is not clear for what purpose the HUB office would rely on a site visit of an entity other than the one whose ownership, management, and control of daily business operations is in question. It is also not clear if this is meant to be an exception to the requirement that the HUB office conduct a site visit of the majority owners. Nor is it clear when the HUB office would, or would not, rely on the site visit of the other entity.
- 01 NCAC 44A .0204: Department of Administration The Commission objected to this rule based on ambiguity. The two sentences in this rule contradict each other. The first sentence says that certification remains in effect for four years. The second sentence requires renewal of certification annually. It is not clear if certification is good for one year or for four years.
- 01 NCAC 44A .0301: Department of Administration The Commission objected to this rule based ambiguity. In (1)(k), it is not clear what other certifications the agency wants proof of.
- 10A NCAC 28F .0101: Commission for Mental Health The Commission objected to this rule based on lack of statutory authority and ambiguity. In (a), it is unclear what standards the Division Director will use in approving cross-regional admissions. As written, this provision allows the Division Director to waive the requirement that persons only be admitted to the institution that serves the person's region. In the absence of specific guidelines required by G.S. 150D-19(6), there is no authority for the waiver provision.
- 15A NCAC 02B .0304: Environmental Management Commission The Commission approved this rule, however the Commission received more than ten written objections to this rule. Thus the rule is subject to legislative review.
- 15A NCAC 02C .0102, .0103, .0105, .0107-.0114, .0116-.0119: Environmental Management Commission These rules were withdrawn by the agency.
- 15A NCAC 10B .0105: Wildlife Resources Commission The Commission objected to this rule based on ambiguity and lack of statutory authority. In (b)(1)(B) lines 29 and 31 it is unclear what "specific guidelines" the WRC will use to approve or allow the use of unplugged guns. There is no authority cited for the agency to set those "specific guidelines" outside rulemaking and to use those guidelines for approving the use of unplugged guns. The same problem and analysis applies to allowing the use of electronic or recorded animal or bird calls in (b)(1)(C).

15A NCAC 10B .0106, .0116, .0201, .0203, .0404, .0409: Wildlife Resources Commission - The Commission approved these rules, however the Commission received more than ten written objections to these rules. Thus these rules are subject to legislative review.

Ramon Bell, President of the NC Bowhunters Association, Donald Hilke, Ben Graham, and Benny Riddle addressed the Commission in opposition to 15A NCAC 10B .0116 and .0203.

15A NCAC 10C .0211: Wildlife Resources Commission - The Commission objected to this rule based on ambiguity. It is unclear what the standards are for stocking triploid grass carp, the exception to the prohibition in the rule.

15A NCAC 10C .0216: Wildlife Resources Commission - The Commission objected to Rule .0216 based on ambiguity and lack of statutory authority. There is no authority cited for the provision in (a) allowing the Wildlife Resources Commission (WRC) to exempt the individuals specified in (1) – (3) "from the requirements of the state inland fishing license" (line 6). If that is not the intent or purpose of the rule, it is unclear. If the actual intent of the rule is to exempt certain people from licensure requirements set by statute and that appears to be what the language of the rule allows – then the rule is outside the agency's authority. It appears that this exemption in (a) is – or at least was prior to the current proposed amendment – meant to apply only to the "event" mentioned in (b) (line 20) and under the conditions set out in (b)(1) and (2). If that is the case then the rule is within their authority (although not clear). However the language and structure of the rule are open to the interpretation that the WKC claims the authority to exempt, and in fact by this rule does exempt, any individuals it designates from the requirements of the general statutes to possess a fishing license. There is no authority cited for the WRC to exempt individuals from the statutory licensure requirement. It does not appear that there is any authority to allow such an exemption for either (1) "individuals with ... limitations" or (2) "military appreciation events." The authorizing statute, G.S. 113-276.1(n), allows the exemption for any event that "is consistent with the conservation objectives of the [WRC]." It does not provide any other event purpose or authorize the agency to set such purposes, for which the exemption may be allowed. A "military appreciation event" does not appear to have any immediate connection with "conservation activities." What also makes it seem as if the WRC is attempting to exempt those persons from the fishing license requirements entirely is that it is unnecessary to mention them in relation to their attendance at an exempt event. According to the statute allowing an exemption for certain events, it seems that anyone participating in the event would be exempt, not just persons singled out by the agency. There is no authority cited to single out only individual classes of persons who could be exempt if the event itself is an authorized exemption. All those attending the event should be exempt. Even If they possess the authority to do so, both as to the purpose for the events or the classes of persons subject to the exemption, or they rewrite the rule to more accurately reflect their actual authority, in (a)(1) line 11 it is unclear what constitutes a physical or merital "limitation"

15A NCAC 10H .0102: Wildlife Resources Commission - The Commission objected to this rule based on ambiguity and lack of statutory authority. It is unclear what the "wording" and "sign size" for the signs required by (b) of this rule must be since they are not specified in this rule. To the extent that the wording and size are determined outside the rule and subject to change by the WRC without going through rulemaking, there is no authority for this provision. Such requirements must be set by rulemaking.

The meeting recessed for a short break at 10:56 a.m. The meeting reconvened at 11:11 a.m.

16 NCAC 06C .0503: Board of Education – This rule was approved by the Commission contingent on receiving a technical change. The technical change has been received.

16 NCAC 06C .0504: Board of Education – This rule was approved by the Commission with Commissioners Funderburk, Gray, Horton and Lewis voting to approve the rule. Commissioners Gregory, Twiddy and Venable voted against approving the rule.

Laura Crumpler, Katie Cornetto, and Carolyn McKenny spoke in favor of the rule and answered Commissioners' questions.

Prior to the review of the rules from the Real Estate Commission, Commissioner Twiddy recused himself and did not participate in any discussion or vote concerning these rules because he has an inactive NC Real Estate License.

- 21 NCAC 58C .0105: Real Estate Commission The Commission objected to this rule based on ambiguity. In (4), it is not clear what standards the Commission will use in requesting a written improvement plan.
- 21 NCAC 58C .0218: Real Estate Commission The Commission objected to this rule based on ambiguity. In (c), it is not clear what standards the Commission will use in requesting a written improvement plan.
- 21 NCAC 58C .0608: Real Estate Commission The Commission objected to this rule based on ambiguity. In (a)(7), it is not clear what standards the Commission will use in requesting a written improvement plan.

Commissioners Gray, Twiddy, Funderburk, Lewis, Horton and Gregory voted for the motion to accept staff's recommendation for the rules from the Board of Community Colleges. Commissioner Venable voted against the motion.

23 NCAC 02E .0101: Board of Community Colleges - The Commission objected to this rule based on lack of statutory authority and ambiguity. In (2)(e)(i), it is not clear what Customized Training Program Guidelines have been approved by the State Board of Community Colleges. There is no authority cited to require compliance with them if they have not been adopted as rules.

23 NCAC 02E .0401: Board of Community Colleges - The Commission objected to this rule based on lack of statutory authority and ambiguity. In (a), it is not clear what standards the System Office Economic Development staff use in approving training programs. In (b), it is not clear if the State Board of Community Colleges approved Guidelines have been adopted as rules. If not there is no authority cited to require compliance with them or to allocate funds based on them. The agencies request to withdraw this rule was denied because the objection applies to existing language in the rule.

Prior to the review of the rules from the Office of Administrative Hearings, Commissioner Gray recused himself and did not participate in any discussion or vote concerning these rules because he served in the capacity of "Acting Legal Counsel" in the review of these rules due to the possible appearance of a conflict of interest for Commission Counsel since they are now employees of OAH.

The Commission approved these rules

COMMISSION PROCEDURES AND OTHER BUSINESS

John Hoomani from the Department of Labor addressed the Commission regarding 13 NCAC 07F .0901. He requested that the vote at the N.C. Rules Review Commission's March 19th meeting approving this rule be reconsidered, and that the same rule be approved with an amendment. Concurrent with this request, he also requested a waiver, pursuant to 26 NCAC 05 .0112, of 26 NCAC 05 .0107 and .0108. The Commission took no action.

The Commission discussed the Governor's restrictions on travel for the remainder of the fiscal year and its implications for meetings of the Rules Review Commission. Chief Administrative Law Judge Julian Mann addressed the Commission and answered questions.

The meeting adjourned at 12:25 p.m.

The next scheduled meeting of the Commission is Thursday, May 21, 2009 at 9:00 a.m.

Respectfully Submitted,

Dana Vojtko Publications Coordinator

LIST OF APPROVED PERMANENT RULES April 16, 2009 Meeting

ADMINISTRATION, DEPARTMENT OF

Specific Information About Applications

Scope	01	NCAC 44A .0101
<u>Denial</u>	01	NCAC 44A .0206
<u>Challenge Initiation</u>	01	NCAC 44A .0401
HUB Status During Challenge	01	NCAC 44A .0404
Reasons for Revocation	01	NCAC 44A .0501
<u>Notice</u>	01	NCAC 44A .0502
Review	01	NCAC 44A .0601
Status Pending Review	01	NCAC 44A .0605
PESTICIDE BOARD		
General Requirements		NCAC 09L .1002

02 NCAC 09L .1807

HHS - MENTAL HEALTH, DIVISION OF	
General	10A NCAC 27G .0701
Accreditation Review	10A NCAC 27G .0702
Accreditation of the Area Program	10A NCAC 27G .0703
Denial r Revocation of the Accreditation	10A NCAC 27G .0704
Interim Accreditation for New Services	10A NCAC 27G .0705
Reciprocity	10A NCAC 27G .0706
Purchase of Service and Capitation Contracts	10A NCAC 27G .0707
Turchase of Service and Capitation Conducts	10/11/C/16 2/G .0/0/
MENTAL HEALTH, COMMISSION FOR	
<u>Scope</u>	10A NCAC 29D .0301
<u>Definition</u>	10A NCAC 29D .0302
Area Authorities and Catchment	10A NCAC 29D .0303
Change of Catchment Areas	10A NCAC 29D .0304
CRIMINAL JUSTICE EDUCATION AND TRAINING STANDARDS COMMISSION	
Basic Law Enforcement Training	12 NCAC 09B .0205
Lateral Transfer of Law Enforcement Officers	12 NCAC 09C .0306
Agency Reporting of Drug Screening Results	12 NCAC 09C .0310
Minimum Training Specifications	12 NCAC 09H .0102
LABOR, DEPARTMENT OF	
Toxic and Hazardous Substances	13 NCAC 07F .0106
<u>Definitions</u>	13 NCAC 07F .0903
Operator Qualification and Certification	13 NCAC 07F .0904
Signal Person Qualification	13 NCAC 07F .0905
Operation of Equipment	13 NCAC 07F .0916
<u>Signals</u>	13 NCAC 07F .0919
<u>Hoisting Personnel</u>	13 NCAC 07F .0920
<u>Tower Cranes</u>	13 NCAC 07F .0921
ENVIRONMENTAL MANAGEMENT COMMISSION	
French Broad River Basin	15A NCAC 02B .0304
WILDLIFE RESOURCES COMMISSION	15 A NG A G 10D 0100
Wildlife Taken for Depredations or Accidentally	15A NCAC 10B .0106
Big Game Kill Reports	15A NCAC 10B .0113
Permitted Archery Equipment	15A NCAC 10B .0116
Wildlife Collectors	15A NCAC 10B .0119
Prohibited Taking and Manner of Take	15A NCAC 10B .0201
<u>Bear</u>	15A NCAC 10B .0202
Deer (White Tailed)	15A NCAC 10B .0203
Wild Boar (Both Sexes)	15A NCAC 10B .0204
<u>Squirrels</u>	15A NCAC 10B .0206
Wild Turkey	15A NCAC 10B .0209
Foxes (Gray and Red)	15A NCAC 10B .0212
Crow	15A NCAC 10B .0215

RULES REVIEW COMMISSION

	15.	NG 10D 0000
Open Seasons Description:		NCAC 10B .0302
Bag Limits The state of the st		NCAC 10B .0303
Trappers and Hunters		NCAC 10B .0404
Sale of Live Foxes and Coyotes to Controlled Fox Hunting		NCAC 10B .0409
Public Mountain Trout Waters		NCAC 10C .0205
Spawning Areas		NCAC 10C .0208
Open Seasons: Creel and Size Limits		NCAC 10C .0305
Manner of Taking Non-game Fishes: Purchase and Sale	15A	NCAC 10C .0401
General Regulations Regarding Use	15A	NCAC 10D .0102
Hunting On Game Lands	15A	NCAC 10D .0103
Fishing on Game Lands	15A	NCAC 10D .0104
<u>Use of Areas Regulated</u>	15A	NCAC 10E .0104
Bird Feeders	15A	NCAC 10H .0108
Quail Call-Pen Traps	15A	NCAC 10H .0109
Quail Call-Pen Traps	15A	NCAC 10H .0907
Possession of Reptiles and Amphibians	15A	NCAC 10H .1302
Protection of Endangered/Threatened/Special Concern Species	15A	NCAC 10I .0102
EDUCATION, BOARD OF		
<u>Teacher Evaluation Process</u>	16	NCAC 06C .0503
Rubric for Teacher Evaluation	16	NCAC 06C .0504
REVENUE, DEPARTMENT OF		
Supplies and Equipment	17	NCAC 07B .1202
Housing Authorities	17	NCAC 07B .1705
Oxygen	17	NCAC 07B .1804
Hospital Supplies	17	NCAC 07B .1805
	17	NCAC 07B .1903
Tire Repair Materials	- /	NCAC 07B .1903
Sales by Tire Recappers Parama Salda Salarah	17	
Propane Sold to Schools	17	NCAC 07B .2103
Aviation Fuel	17	NCAC 07B .2105
Food and Food Products	17	NCAC 07B .2201
Disposable Lunchroom Supplies	17	NCAC 07B .2202
Meals on Trains: Planes: Etc.	17	NCAC 07B .2204
<u>Caterers</u>	17	NCAC 07B .2205
Food Service Supplies	17	NCAC 07B .2207
Fraternity and Sorority Meals	17	NCAC 07B .2208
<u>In General</u>	17	NCAC 07B .2301
Sales by Veterinarians	17	NCAC 07B .2402
Weigh Hoppers Sold to Contractors	17	NCAC 07B .2603
Sand: Stone Sold to Contractors	17	NCAC 07B .2604
Sandblast Sand Sold to Contractors	17	NCAC 07B .2605
Repossessions	17	NCAC 07B .3002
Repair Parts for Used Property	17	NCAC 07B .3005
<u>Used Property Sold for Repair Charges</u>	17	NCAC 07B .3011
Trade: Grain for Flour	17	NCAC 07B .3012
Radio and Television: Etc. Receipts	17	NCAC 07B .3101

23:22

RULES REVIEW COMMISSION

Rental of Films: Recordings	17	NCAC 07B .3103
Supplies to Install Memorial Stones/Monuments/Bronze Grav	17	NCAC 07B .3403
Molds: Dies: For Resale	17	NCAC 07B .3503
Molds: Dies: Nonmanufacturers' Use	17	NCAC 07B .3505
<u>Lubricating Service</u>	17	NCAC 07B .3701
<u>Car Wash Businesses</u>	17	NCAC 07B .3703
Sales of Trading Stamps	17	NCAC 07B .3802
Gift Certificates	17	NCAC 07B .3804
Royalties	17	NCAC 07B .4402
Maintenance of Leased Property	17	NCAC 07B .4403
Insurance on Leased Property	17	NCAC 07B .4406
Golf Driving Range Fees	17	NCAC 07B .4414
Skating Rink Fees	17	NCAC 07B .4415
Garment Repairs and Storage	17	NCAC 07B .4506
<u>Uniform Rentals</u>	17	NCAC 07B .4509
Dyeing of Garments	17	NCAC 07B .4511
Ophthalmic Instruments	17	NCAC 07B .5003
ENGINEERS AND SURVEYORS, BOARD OF EXAMINERS FOR		
Requirements for Licensing	21	NCAC 56 .0501
Application Procedure: Individual	21	NCAC 56 .0502
<u>Examinations</u>	21	NCAC 56 .0503
Expirations and Renewals of Certificates	21	NCAC 56 .0505
Application Procedure: Individual	21	NCAC 56 .0602
<u>Examinations</u>	21	NCAC 56 .0603
Expirations and Renewals of Certificates	21	NCAC 56 .0606
Rules of Professional Conduct	21	NCAC 56 .0701
<u>Procedure</u>	21	NCAC 56 .0802
Annual Renewal	21	NCAC 56 .0804
<u>Design</u>	21	NCAC 56 .1102
Standard Certification Requirements	21	NCAC 56 .1103
Certification of Standard Design Plans	21	NCAC 56 .1106
Improper Practice by a Licensee	21	NCAC 56 .1301
<u>Decision of Board</u>	21	NCAC 56 .1413
Surveying Procedures	21	NCAC 56 .1602
Classification of Boundary Surveys	21	NCAC 56 .1603
Mapping Requirements for Boundary Surveys	21	NCAC 56 .1604
Specifications for Topographic and Planimetric Mapping, I	21	NCAC 56 .1606
Global Positioning Systems Surveys	21	NCAC 56 .1607
Classification/Land Information System/Geographic Informa	21	NCAC 56 .1608
<u>Definitions</u>	21	NCAC 56 .1702
Requirements	21	NCAC 56 .1703
Determination of Credit	21	NCAC 56 .1705
Recordkeeping	21	NCAC 56 .1706
Exemptions	21	NCAC 56 .1707
Comity/Out-of-Jurisdiction Resident	21	NCAC 56 .1709
Compliance	21	NCAC 56 .1712

RULES REVIEW COMMISSION

<u>Sponsors</u>	21	NCAC 56 .1713
REAL ESTATE COMMISSION		
Agency Agreements and Disclosure	21	NCAC 58A .0104
Advertising	21	NCAC 58A .0105
Broker-In-Charge	21	NCAC 58A .0110
Offers and Sales Contracts	21	NCAC 58A .0112
Reporting Criminal Convictions and Disciplinary Actions	21	NCAC 58A .0113
Residential Property Disclosure Statement	21	NCAC 58A .0114
Confidentiality of Examinations	21	NCAC 58A .0405
Business Entities	21	NCAC 58A .0502
Active and Inactive License Status	21	NCAC 58A .0504
Reinstatement of Expired License, Revoked, Surrendered or	21	NCAC 58A .0505
Denial or Withdrawal of Postlicensing Education Credit	21	NCAC 58A .1904
School Name	21	NCAC 58C .0203
Course Completion Standards	21	NCAC 58C .0304
Course Scheduling	21	NCAC 58C .0305
Course Completion Reporting	21	NCAC 58C .0309
Notice of Scheduled Courses	21	NCAC 58C .0313
<u>Distance Education Courses</u>	21	NCAC 58E .0310
Student Participation Standards	21	NCAC 58E .0511
Basic Requirement	21	NCAC 58F .0101
Course Content	21	NCAC 58F .0102
Course Sponsors and Instructors	21	NCAC 58F .0103
Course Operational Requirements	21	NCAC 58F .0104
Course Completion Reporting and Per Student Fee	21	NCAC 58F .0105
Withdrawal of Sponsor and Instructor Approval	21	NCAC 58F .0106
COMMUNITY COLLEGES, BOARD OF		
Intercollegiate Athletics	23	NCAC 02C .0110
Tuition and Fees for Curriculum Programs	23	NCAC 02D .0202
Operating Budget Requests: Distribution of Funds	23	NCAC 02D .0301
Budget FTE Funding	23	NCAC 02D .0326
Reporting Student Membership Hours to the Department	23	NCAC 02D .0327
Courses and Standards for Curriculum Programs	23	NCAC 02E .0204
Program Review	23	NCAC 02E .0205
STATE PERSONNEL COMMISSION		
Types of Appointments and Duration	25	NCAC 01I .2002
ADMINISTRATIVE HEARINGS, OFFICE OF	_	
Electronic Filing	26	NCAC 02C .0308
<u>General</u>		NCAC 03 .0101
Rights and Responsibilities of Parties	26	NCAC 03 .0120

23:22

This Section contains the full text of some of the more significant Administrative Law Judge decisions along with an index to all recent contested cases decisions which are filed under North Carolina's Administrative Procedure Act. Copies of the decisions listed in the index and not published are available upon request for a minimal charge by contacting the Office of Administrative Hearings, (919) 431-3000. Also, the Contested Case Decisions are available on the Internet at http://www.ncoah.com/hearings.

OFFICE OF ADMINISTRATIVE HEARINGS

Chief Administrative Law Judge JULIAN MANN, III

Senior Administrative Law Judge FRED G. MORRISON JR.

ADMINISTRATIVE LAW JUDGES

Beecher R. Gray
Selina Brooks
A. B. Elkins II
Melissa Owens Lassiter
Don Overby

Randall May
A. B. Elkins II
Joe Webster

ALCOHOL BEVERAGE CONTROL COMMISSION Partnership T/A C Js Lounge v. ABC Commission 07 ABC 1289 Michael Daniel Clair v. TiA Par 3 Bistro v. ABC Commission 07 ABC 1289 ABC Commission v. Rainbow Enterprises, Inc T/A Club N Motion 07 ABC 1323 Bentia, Inc., TiA Pantanaa Bob's v. ABC Commission 07 ABC 1532 Gray 0421/08 23:05 NCR 489 Bentia, Inc., TiA Pantanaa Bob's v. ABC Commission 07 ABC 1648 Original Grad, Inc/ T/A Graduate Food and Pub 07 ABC 1648 Original Grad, Inc/ T/A Graduate Food and Pub 07 ABC 1648 N.C. Alcoholic Beverage Control Commission v. Feest Inc. 07 ABC 2135 T/A Spankys Sports Bar and Grill Don Mariachi Ventures, T/A EL Mariachi Gordo 07 ABC 2155 N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Tareka S Johnson v. NC Crime Victims Compensation Commission AC price R. McDougal v. Victims Compensation Commission Or CPS 1970 AC PS 2970 Brooks O/7 CPS 2970 O/7 CPS	<u>AGENCY</u>	CASE NUMBER	<u>ALJ</u>	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
Partnership T/A C Js Lounge v. ABC Commission 07 ABC 0201 Overby 03/11/08	ALCOHOL REVERAGE CONTROL COMMISSION				
Michael Daniel Clair v. T/A Par 3 Bistro v. ABC Commission ABC Commission v. Rainbow Enterprises, Inc T/A Club N Motion Of ABC 1532 Benita, Inc., T/A Pantana Bob's v. ABC Commission Of ABC 1532 Oraginal Grad, Inc/ T/A Graduate Food and Pub Of ABC 1535 Oraginal Grad, Inc/ T/A Graduate Food and Pub Of ABC 2135 T/A Spankys Sports Bar and Grill Don Mariachi Ventures, T/A EL Mariachi Gordo N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa N.C. Alcoholic Beverage Control N. Al		07 ABC 0201	Overby	03/11/08	
ABC Commission v, Rainbow Enterprises, Inc T/A Club N Motion 07 ABC 1532 Gray 06/20/08 23:05 NCR 489 Benita, Inc., T/A Pantana Bob's v. ABC Commission 07 ABC 1584 Overby 04/21/08 02:3:01 NCR 141 Original Grad, Inc/ T/A Graduate Food and Pub 07 ABC 1648 Joseph 02/25/08 N.C. Alcoholic Beverage Control Commission v. Feest Inc. 07 ABC 2135 Gray 09/12/08 T/A Spankys Sports Bar and Grill Don Mariachi Ventures, T/A EL Mariachi Gordo 07 ABC 2155 Webster 11/05/08 N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 May 09/03/08 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 May 09/03/08 T/A JB Food Mart AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Graph V. Crime Victims Compensation Commission Tareka S Johnson v. NC Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section May 09/1/08 May 09/1/08 May 09/1/08 May 09/1/08 May 09/1/08			•		
Benita, Inc., T/A Pantana Bob's v. ÁBC Commission 07 ABC 1584 Overby 04/21/08 07 ABC 141 Original Grad, Inc/ T/A Graduate Food and Pub 07 ABC 1684 Joseph 02/25/08 07 ABC 2135 Gray 09/12/08 07 ABC 2135 Gray 09/12/08 T/A Spankys Sports Bar and Grill Don Mariachi Ventures, T/A EL Mariachi Gordo 07 ABC 2155 Webster 11/05/08 07 ABC 2155 W					23:05 NCR 489
Original Grad, Inc/ T/A Graduate Food and Pub N.C. Alcoholic Beverage Control Commission v. Feest Inc. T/A Spankys Sports Bar and Grill Don Mariachi Ventures, T/A EL Mariachi Gordo N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Obuntown Event Center, Inc. T/A Downtown Event Center v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victims Compensation Commission Of CPS 1720 Gray O5/27/08 Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Of CPS 2292 Brooks O9/18/08 Taereka S Johnson v. NC Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Of State Highway Patrol, Motor Carrier Enforcement Section Way O9/11/08 May O9/11/08	1 '		•		
N.Č. Alcoholic Beverage Control Commission v. Feest Inc. T/A Spankys Sports Bar and Grill Don Mariachi Ventures, T/A EL. Mariachi Gordo N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart AN Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A Downtown Event Center v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victims Compensation Commission Grave Of CPS 1720 Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control And Public Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc., v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control OR CPS 0897 May 09/11/08 Or Suze Davis on Of State Highway Patrol and Department of Revenue ATS Specialized, Inc., v. Dept. of Crime Control and Public Carrier Control (Revenue ATS Specialized, Inc., v. Dept. of Crime Control and Public Carrier Control (Revenue ATS Specialized, Inc., v. Dept. of Crime Control on Control Control CPS 0897 May Op/11/08 Op/11/08			•		23.01 WER 141
T/A Spankys Sports Bar and Grill Don Mariachi Ventures, T/A EL Mariachi Gordo N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Bhavesh Corporation, T/A Lassiter Commission Bravesh Corporation, T/A Lassiter Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control OR CPS 0897 May 09/11/08 Webster 11/05/08 May 09/03/08 May 09/03/08 Lassiter 06/13/08 Overby 05/19/08 May 09/16/08 Overby 05/27/08 May 09/16/08 Overby 05/23/08 May 09/11/08			1		
Don Mariachi Ventures, T/A EL Mariachi Gordo 07 ABC 2155 Webster 11/05/08 N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC 08 ABC 0371 Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Downtown Event Center, Inc. T/A Downtown Event Center v. ABC 08 ABC 0937 Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Of CPS 1720 Gray Of CPS 2992 Brooks Og/18/08 Taereka S Johnson v. NC Crime Victims Compensation Commission Steel Supply and Erection Co., Department of Crime Control and Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc db/a Allstate Transport Co v. Dept. of Crime Control Os CPS 0897 May Og/01/08 Og/08/08/08/09/11/08		07 ADC 2133	Gray	07/12/00	
N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0097 N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 May 09/03/08 T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. ABC 08 ABC 0371 Lassiter 06/13/08 Overby 05/19/08 Overby 05/19/08 Overby 05/19/08 Os/27/08 Carrie R. McDougal v. Victims Compensation Commission v. Crime Victims Compensation Services Division of CPS 1720 Taereka S Johnson v. NC Crime Victims Compensation Commission v. Crype Supply and Service Inc. v. NC Department of Crime Control of Service Services Overby 08/13/08 And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section v. New Department of Crime Control and Public of CPS 0877 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol and Department of CPS 0897 May 09/11/08		07 ABC 2155	Webster	11/05/08	
T/A JB Food Mart N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Owerby Owntrown Event Center, Inc. T/A Downtown Event Center v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Of CPS 1720 Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2292 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2992 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2992 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2993 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2994 T	Don Warrachi Ventures, 1/A EE Marrachi Gordo	07 ABC 2133	Webster	11/03/00	
N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa 08 ABC 0351 T/A JB Food Mart AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Observed Center, Inc. T/A Downtown Event Center v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control Steel Supply and Erection Co., Department of Crime Control and Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control 08 CPS 0897 Way O9/10/08 Lassiter O6/13/08 Overby O5/19/08 O6/19/08 Orerby O5/29/08 Selkins O6/13/08 O6/19/08 O6/19/	•	08 ABC 0097	May	09/03/08	
AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC Commission Bhavesh Corporation, T/A K&B Foomart v. ABC Commission Downtown Event Center, Inc. T/A Downtown Event Center v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control Of Crime Control Of CPS 0897 May Og/11/08 Lassiter 06/13/08 Overby 05/19/08 May 09/16/08 Overby 05/27/08 Elkins 05/23/08 Morrison 08/08/08 May 08/13/08 May 09/11/08	N.C. Alcoholic Beverage Control Commission v. Jenny S. Chanthalacksa	08 ABC 0351	May	09/03/08	
Downtown Event Center, Inc. T/A Downtown Event Center v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control Os CPS 0897 May 09/16/08 May 09/16/08 Of CPS 0937 May 09/16/08 Of CPS 0937 May 09/16/08 Of Cary Overby 05/29/08 May 09/11/08	AM Enterprises of Fayetteville, Inc., T/A Izzy's Sports Bar v. ABC	08 ABC 0371	Lassiter	06/13/08	
Downtown Event Center, Inc. T/A Downtown Event Center v. ABC Commission CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Rich's Towing and Service Inc. v. NC Department of Crime Control And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control OS CPS 0897 May 09/11/08	Bhayesh Corporation, T/A K&B Foomart v. ABC Commission	08 ABC 0508	Overby	05/19/08	
CRIME VICTIMS COMPENSATION Patricia Ginyard v. Crime Victim Compensation Commission 06 CPS 1720 Gray 05/27/08 Carrie R. McDougal v. Victims Compensation Services Division 07 CPS 1970 Elkins 05/23/08 Hillary Holt v. Crime Victims Compensation Commission 07 CPS 2292 Brooks 09/18/08 Taereka S Johnson v. NC Crime Victims Compensation Commission 08 CPS 0402 Morrison 08/08/08 Rich's Towing and Service Inc. v. NC Department of Crime Control 08 CPS 0698 May 08/13/08 And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	Downtown Event Center, Inc. T/A Downtown Event Center v. ABC		•		
Patricia Ginyard v. Crime Victims Compensation Commission 06 CPS 1720 Gray 05/27/08 Carrie R. McDougal v. Victims Compensation Services Division 07 CPS 1970 Elkins 05/23/08 Hillary Holt v. Crime Victims Compensation Commission 07 CPS 2292 Brooks 09/18/08 Taereka S Johnson v. NC Crime Victims Compensation Commission 08 CPS 0402 Morrison 08/08/08 Rich's Towing and Service Inc. v. NC Department of Crime Control 08 CPS 0698 May 08/13/08 And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08					
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Carrie R. McDougal v. Victims Compensation Services Division Hillary Holt v. Crime Victims Compensation Commission Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2292 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2292 Taereka S Johnson v. NC Crime Victims Compensation Commission Of CPS 2292 Morrison Of CPS 0402 Morrison Of CPS 0408 May Of CPS 0408 May Of CPS 0409 May Of CPS 0409 Morrison Of CPS 0409 Of CPS 0409 Of CPS 0409 May Of CPS 0409 Morrison Of CPS 0409 Of CPS 0409 Of CPS 0409 Of Crime Control of Crime Control Of CPS 0409 Of CPS 0409 May Of CPS 0409 Morrison Of CPS 0409 Of CPS 0409 May Of CPS 0409 Of Crime Control Of Crime Control and Public Of CPS 0409 May Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control of CPS 0897 May Of CPS 1970 Elkins Of CPS 1970 Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Of CPS 0402 May Of CPS 0402 May Of CPS 0402 May Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402 May Of CPS 0402 Of CPS 0402 Morrison Of CPS 0402 Of CPS 0402 Morrison Of CPS 0402 Morrison Of CPS 0402		06 CPS 1720	Grav	05/27/08	
Hillary Holt v. Crime Victims Compensation Commission 07 CPS 2292 Brooks 09/18/08 Taereka S Johnson v. NC Crime Victims Compensation Commission 08 CPS 0402 Morrison 08/08/08 Rich's Towing and Service Inc. v. NC Department of Crime Control 08 CPS 0698 May 08/13/08 And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	<u>I</u>		,		
Hillary Holt v. Crime Victims Compensation Commission 07 CPS 2292 Brooks 09/18/08 Taereka S Johnson v. NC Crime Victims Compensation Commission 08 CPS 0402 Morrison 08/08/08 Rich's Towing and Service Inc. v. NC Department of Crime Control 08 CPS 0698 May 08/13/08 And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	Carrie R. McDougal v. Victims Compensation Services Division	07 CPS 1970	Elkins	05/23/08	
Rich's Towing and Service Inc. v. NC Department of Crime Control 08 CPS 0698 May 08/13/08 And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08		07 CPS 2292	Brooks	09/18/08	
Rich's Towing and Service Inc. v. NC Department of Crime Control 08 CPS 0698 May 08/13/08 And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	•				
And Public Safety, Division of State Highway Patrol, Motor Carrier Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	Taereka S Johnson v. NC Crime Victims Compensation Commission	08 CPS 0402	Morrison	08/08/08	
Enforcement Section Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	Rich's Towing and Service Inc. v. NC Department of Crime Control	08 CPS 0698	May	08/13/08	
Steel Supply and Erection Co., Department of Crime Control and Public 08 CPS 0777 Overby 05/29/08 Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	And Public Safety, Division of State Highway Patrol, Motor Carrier		•		
Safety, Division of State Highway Patrol and Department of Revenue ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	Enforcement Section				
ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	Steel Supply and Erection Co., Department of Crime Control and Public	08 CPS 0777	Overby	05/29/08	
ATS Specialized, Inc, v. Dept. of Crime Control and Public Safety, Div. 08 CPS 0864 May 09/11/08 Of State Highway Patrol, Motor Carrier Enforcement Section Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08	Safety, Division of State Highway Patrol and Department of Revenue	•	•		
Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Control08 CPS 0897 May 09/11/08			May	09/11/08	
	Of State Highway Patrol, Motor Carrier Enforcement Section		•		
	Willie Trucking, Inc d/b/a Allstate Transport Co v. Dept. of Crime Contro	ol08 CPS 0897	May	09/11/08	
& Public Safety, Division of State Highway Patrol, Motor Carrier	& Public Safety, Division of State Highway Patrol, Motor Carrier		•		
Enforcement Section	Enforcement Section				
Randy S. Griffin v. NC Crime Victims Compensation Commission 08 CPS 0995 May 09/11/08	Randy S. Griffin v. NC Crime Victims Compensation Commission	08 CPS 0995	May	09/11/08	
Kenneth Lee Moore v. Dept. of Crime Control and Public Safety 08 CPS 1093 Webster 10/27/08	Kenneth Lee Moore v. Dept. of Crime Control and Public Safety	08 CPS 1093	Webster	10/27/08	
Interstate Crushing Inc. v. NC Dept. of Crime Control and Public 08 CPS 1086 Overby 09/29/08	Interstate Crushing Inc. v. NC Dept. of Crime Control and Public	08 CPS 1086	Overby	09/29/08	
Safety, Division of State Highway Patrol, Motor Carrier	Safety, Division of State Highway Patrol, Motor Carrier				

Enforcement Section			
Sterett Equipment Company LLC v. N.C. Dept. of Crime Control And Public Safety, Division of State Highway Patrol, Motor	08 CPS 1206	Overby	09/29/08
Carrier Enforcement Section			
Bertrand E. Dupuis d/b/a New England Heavy Hauling v. N.C.	08 CPS 1207	Overby	09/29/08
Department of Crime Control and Public Safety, Division of			
State Highway Patrol, Motor Carrier Enforcement Section			
Bulldog Erectors, Inc v. N.C. Department of Crime Control and	08 CPS 1208	Overby	09/29/08
Public Safety, Division of State Highway Patrol, Motor			
Carrier Enforcement Section			
Continental Machinery Movers Inc. v. N.C. Department of Crime	08 CPS 1209	Overby	09/29/08
Control and Public Safety, Division of State Highway Patrol,			
Motor Carrier Enforcement Section			
Michael Alan Moore v. Crime Victims Compensation Commission	08 CPS 1478	Lassiter	09/08/08
TNT of York County, Inc., Tony McMillan v. State Highway Patrol	08 CPS 1508	Joseph	12/11/08
Motor Carrier Enforcement			
SOOF Trucking, Ray Charles Solomon v. Secretary of Crime Control	08 CPS 1526	Overby	09/09/08
And Public Safety			
Dickinson Hauling and Grading., Inc, Tony E. Dickinson, 3134016-9 v.	08 CPS 1800	Brooks	12/15/08
Dept. of Crime Control and Public Safety, Division of State Highway			
Patrol			
8	08 CPS 1801	Brooks	12/15/08
Dept. of Crime Control and Public Safety, Division of State Highway			
Patrol			
Dickinson Hauling and Grading., Inc, Tony E. Dickinson, 3134016-9 v.	08 CPS 1802	Brooks	12/15/08
Dept. of Crime Control and Public Safety, Division of State Highway			
Patrol			
Kayonna Goodwin Pollard c/o Chad Lopez Pollard v. Crime Control &	08 CPS 1850	Gray	10/24/08
Victim Compensation Services			
John D. Lane v. Diversified Drilling Corp v. Office of Admin Svc, Sec.	08 CPS 2049	Joseph	11/06/08
of Crime Control and Public Safety			
Richard Pratt v. Dept. of Crime Control and Public Safety	08 CPS 2417	Lassiter	01/15/08
Robert D. Reinhold v. Dept. of Transportation, Division of Motor	08 CPS 2501	Gray	12/10/08
Vehicles			

A list of Child Support Decisions may be obtained by accessing the OAH Website: http://www.ncoah.com/hearings/decisions/

DEPARTMENT	OF HEALTH AND	HUMAN SERVICES

Gloria McNair Jean's Jewels v. Div. of Child Development, DHHS	06 DHR 0633	Lassiter	07/11/08
Gloria McNair Jean's Jewels v. Div. of Child Development, DHHS	06 DHR 1350	Lassiter	07/11/08
Character Builders, Inc., Clavon Leonard v. DMA, Developmental Disabilities and Substance Abuse Services	07 DHR 0124	Elkins	08/07/08
Character Builders, Inc., Clavon Leonard v. DMA, Developmental Disabilities and Substance Abuse Services	07 DHR 0125	Elkins	08/07/08
Human Services	07 DHR 0242	Brooks	04/30/08
	07 DHR 0429	Webster	05/29/08
Brenda F. Ervin v. DHHS, DFS, Health Care Personnel Registry	07 DHR 0493	Gray	12/08/08
Iii, Md, Pathologist	07 DHR 0535	Webster	05/05/08
Leroy A. Bivens v. DHHS	07 DHR 0701	Elkins	12/08/08
Licensure Section	07 DHR 0914	Gray	06/06/08
	07 DHR 0931	Webster	02/27/08
Midtown Food Mart #2, Kerab Giebrehiwot, Mehreteab Wooldeghebibel and Fesseha Zeru	07 DHR 1044	Webster	04/25/08
,	07 DHR 1045	Webster	04/28/08
Kelly Schofield MD, Clinical Director, Youth Quest, Inc. v. DHHS, DFS Mental Health Licensure and Certification		Joseph	10/17/08
Carolyn E. Reed v. DHHS, Division of Social Services Program Integrity AFDC/Work First		Webster	07/21/08
	07 DHR 1331	Lassiter	06/09/08
,	07 DHR 1399	Joseph	04/22/08
1	07 DHR 1440	Joseph	05/07/08
Rufus Patrick Devers v. DHHS, Division of Health Service Regulation Health Care Personnel Registry	07 DHR 1442	Joseph	05/29/08
Health, Child and Adult Care Food Program	07 DHR 1473	Joseph	04/08/08
William Manning c/o Thyllis Smith, A Touch From the Heart Staff v.	07 DHR 1060	Webster	10/14/08

NC Department of Health and Human Services, Division of				
Medical Assistance				
Hospice of the Piedmont, Inc., v. DHHS, Division of Health Service	07 DHR 1617	Elkins	05/21/08	
Regulation, Licensure and Certification Section and DHHS, Division of Health Service Regulation, CON Section				
Janice Addison v. Value Options	07 DHR 1618	Webster	05/16/08	
Donna Hicks Crocker v. DHHS/DMA	07 DHR 1629	Joseph	08/01/08	
Rebecca Dehart v. DHHS, Division of Health Service Regulation Health Care Personnel Registry Section	07 DHR 1650	Elkins	05/21/08	
Ellen Brown v. DHHS, Division of Health Service Regulation, Health	07 DHR 1651	Elkins	05/21/08	
Care Personnel Registry Section				
Beth Suzanne Garson v. DHHS, Division of Social Services, Regulatory and Licensing Services	07 DHR 1718	Joseph	01/09/09	
Life Solutions of Lumberton, NC, LLC d/b/a Timberwood and	07 DHR 1758	Joseph	12/04/08	
Bridgecrest	07 DHD 1750	T 1	10/04/00	
Life Solutions of Lumberton, NC, LLC d/b/a Timberwood and Bridgecrest	07 DHR 1759	Joseph	12/04/08	
Joann Lennon v. Value Options Medicaid	07 DHR 1770	Webster	05/16/08	
Angeline Currie v. DHHS	07 DHR 1986	Elkins	06/04/08	
Tameala Jones v. OAH	07 DHR 1993	Webster	05/16/08	
Dianetta Foye v. Division of Child Development, DHHS, Services	07 DHR 2020	Joseph	05/07/08	
Lashauna Reid v. CCMHC (PFCS-Service Provider)	07 DHR 2039	Elkins	08/05/08	
Presbyterian Diagnostic Center at Cabarrus LLC v. N.C. Department of Health and Human Services, Division of Health Service	07 DHR 2043	Lassiter	08/18/08	23:16 NCR 1600
Regulation and Southern Piedmont Imaging, LLC				
Southern Piedmont Imaging, LLC v. N.C. Department of Health	07 DHR 2045	Lassiter	08/18/08	23:16 NCR 1600
and Human Services, Division of Health Service Regulation and Presbyterian Diagnostic Center at Cabarrus, LLC				
Family & Youth Services, Inc. Angela Ford, President v. DHHS,	07 DHR 2057	Webster	05/16/08	
Division of Medical Assistance Provider Services				
Yolanda Jones v. DHHS, Adult Licensure Section	07 DHR 2081	Webster	05/16/08	
Tianna Troy Legal guardian Mother Traci Lookadoo v. Value Option	07 DHR 2087	Elkins	05/23/08	
Gary Carlton, Sr., v. DHHS	07 DHR 2099	Brooks	07/10/08	
Alexis Ford/Linda M McLauglin v. DHHS	07 DHR 2111	Elkins	06/04/08	
Roger Houston v. DHHS, Div. of Health Service Regulation	07 DHR 2176	Gray	07/08/08	
Dorothy L. Davis v. OAH McLeod Addictive Disease Center, Inc v. Div. of Health Service	07 DHR 2179 07 DHR 2202	May Brooks	07/02/08 12/11/08	
Regulation	07 DHK 2202	DIOOKS	12/11/06	
Keyin McMillian/Linda M McLaughlin v. DHHS	07 DHR 2239	Elkins	06/04/08	
Maurisha Bethea/Linda McLaughlin v. DHHS	07 DHR 2240	Elkins	06/04/08	
Manu Gaur v. DHHS	07 DHR 2275	Lassiter	10/01/08	23:16 NCR 1654
Gladys Cherry v. NC Department of Health and Human Services	07 DHR 2288	Webster	10/10/08	
Double Oaks Nursery, Inc., and T. Timothy Turner as Board	07 DHR 2323	Joseph	01/08/09	
Chairman v. DHHS, Div. of Public Health, Child and Adult Care		•		
Food Program				
Anna Fields v. Value Options	07 DHR 2326	Joseph	06/02/08	
Larry Hopper v. DHHS	07 DHR 2356	May	06/20/08	
Shelby Davis v. DHHS	08 DHR 0014	Lassiter	05/09/08	
Hellon P. Johnson v. DHHS	08 DHR 0020	May	07/03/08	
Lenora King v. DHHS	08 DHR 0034	Joseph	05/01/08	
Forest Mewborn v. Health Care Personnel Registry	08 DHR 0043	Elkins	05/23/08	
Wilma Jackson v. Value Options	08 DHR 0082	Joseph	06/02/08	
Carmelita Wiggins v. Value Options	08 DHR 0198	Webster	05/16/08	
Blue Ridge Healthcare Surgery Center, Morganton LLC and Grace	08 DHR 0204	Brooks	09/18/08	
Hospital, Inc, v. DHHS, DHSR, CON Section v. Dr. Mushtaq Bukhari, Dr. Edwin Holler, Dr. Suneel Mohammed, Carolina				
Digestive Care, PLLC, and Gastroentoerology Specialists				
Murphy's Outreach Community Developmental Services, Inc, d/b/a	08 DHR 0220	Joseph	07/22/08	
Outreach Home Health				
Lisa Helms v. DHHS	08 DHR 0255	Overby	06/17/08	
Pearlene Johnson Ivery v. DMA, Third Party Recovery (Medicaid)	08 DHR 0286	Brooks	07/07/08	
Darryl A. Edwards v. DHHS	08 DHR 0320	Gray	11/05/08	
Mamauie Aytch v. DHHS	08 DHR 0325	Elkins	05/23/08	
Brenda McGilvary v. DHHS, Division of Social Services	08 DHR 0384	Webster	08/05/08	
Fannie M. Wilson v. OAH	08 DHR 0393	Webster	06/17/08	
Angela D Seabrooks/The Jabez House LLC v. DHHS/Division of Mental		Joseph	06/09/08	
Health, Developmental and Substance Abuse Services, The Guilford Angela D. Seabrooks/The Jabez House LLC v. NC Department of	08 DHR 0403	Iocanh	09/03/08	
Health and Human Services, Division of Mental Health,	00 DHK 0403	Joseph	07/03/00	
Developmental Disabilities and Substance Abuse Services				
and The Guilford Center Center				
William McCray Pretty v. DHHS, Division of Facility Services	08 DHR 0411	Webster	06/12/08	
Focus Health Services, Inc. via Annette Johnson, Owner Operator v.	08 DHR 0442	Gray	06/12/08	

23:22 NORTH CAROLINA REGISTER

North Carolina Department of Health and Human Services and				
Albemarle Mental Health Center for Developmental				
Disabilities and Substance Abuse Services				
Judith E. Torres v. DHHS, DHSR	08 DHR 0488	Morrison	10/07/08	
Philson's Home Health Care Inc., v. DHHS, Div. of Health Service Regulation, CON Section	08 DHR 0540	May	10/28/08	
Earline Ross (Quentin Galloway) v. DHHS (Medicaid)	08 DHR 0549	May	06/09/08	
Frances Milligan v. DHHS	08 DHR 0566	May	06/19/08	
Betty Williams v. DHHS	08 DHR 0570	Joseph	06/02/08	
Brandon McMahon v. DHHS	08 DHR 0572	Webster	11/14/08	
Susan Nelson v. Medicaid	08 DHR 0573	May	06/09/08	
Brent Morris Per Dedrea Moors (Mother) v. Priscilla Valet, DMA Brenda M. Finney v. Medicaid	08 DHR 0585 08 DHR 0586	May Joseph	06/09/08 06/09/08	
Allred & Allred Day Care Center, Inc. v. N.C. Department of	08 DHR 0617	May	06/04/08	
Health and Human Services, Division of Public Health, Child		,		
And Adult Care Food Program				
Lakeva Robinson v. DMA/Value Options	08 DHR 0625	May	05/28/08	
Ronald Lee Young v. N.C. Department of Health and Human Services	08 DHR 0631	Joseph	07/21/08	
Steven Chestnut v. DHHS, Health Care Personnel Registry	08 DHR 0652	May	11/19/08	
Tina Miller v. OAH, DHHS	08 DHR 0661	Lassiter	06/10/08	
Doris Harris v. Division of Child Development	08 DHR 0710	May	07/02/08	
Michelle D. Mills v. DHHS, Division of Health Service Regulation	08 DHR 0712	Joseph	06/09/08	
Trena Ellis v. DHHS	08 DHR 0730	Lassiter	07/03/08	
Faith Davis v. Pride in North Carolina Value Options Evonne Neal v. Medicaid	08 DHR 0746 08 DHR 0748	Overby May	05/28/08 06/20/08	
Maria Dejesus Ruiz La Vaca Ramona v. N.C. Department of	08 DHR 0748	Overby	07/24/08	
Health and Human Services	00 21111 0700	0,010)	07721700	
Ray C. Price v. DHHS, Office of the Controller	08 DHR 0767	Brooks	07/07/08	
Miland Hanna, Lamia Hanna and Charlotte Fast Mart, v. DHHS	08 DHR 0778	Brooks	08/28/08	
Div. of Public Health	00 DHD 0702	0	07/10/00	
Cheryl I Rice v. DHHS Destiny A Taylor v. Division of Child Development	08 DHR 0793 08 DHR 0794	Overby Gray	07/10/08 07/21/08	
Shawanda Rayner v. Cherry Hospital	08 DHR 0797	Webster	10/10/08	
Mary Ada Mills, Mary M. Mills MSA FCH v. Adult Care Licensure	08 DHR 0808	May	08/26/08	
Total Renal Care of North Carolina, LLC d/b/a TRC-Lelan v. DHHS	08 DHR 0818	Webster	12/23/08	23:16 NCR 1670
Div. of Health Service Center Regulation, CON Section and Bio-				
Medical Applications of NC, Inc. d/b/a Fresenius Medical Care Of Brunswick County				
Lula Bowden v. OAH	08 DHR 0852	May	06/20/08	
Karen Denise McGinnis v. HHS, Div. of Health Service Regulation	08 DHR 0867	Brooks	01/12/09	
Donovan Harris v. Value Options	08 DHR 0894	May	06/19/08	
Gabrielle Lloyd v. DHHS, Division of Health Service Regulation	08 DHR 0905	May	09/22/08	
Janice Chavis v. DHHS Frankie Nicole Carter v. DHHS, Division of Health Service Regulation	08 DHR 0923 08 DHR 0929	Lassiter Brooks	05/19/08 06/19/08	
Christine Maria Plyer v. Medicaid Reimbursement	08 DHR 0949	Mann	06/18/08	
Margaret Mubanga v. NC Department of Health and Human Services	08 DHR 0961	Gray	08/25/08	
Evangeline Ingram v. Value Options	08 DHR 0997	Gray	06/10/08	
Marcia Veronica Harris v. Department of Health and Human	08 DHR 0169	Lassiter	08/11/08	
Services, Division of Health Service Regulation	00 DHD 1005	Cmovi	06/10/09	
Maureen Jordan parent of Destinne Jordan v. Value Options Triangle Alternative Inc. Dorothy George v. Office of	08 DHR 1005 08 DHR 1012	Gray May	06/19/08 07/21/08	
Administrative Hearings	00 21111 1012	1.24	07/21/00	
Terrie P Hill dba Positive Care MHL 041-595 2203 Wanda Drive v.	08 DHR 1015	Laggitan	00/12/00	
N.C. Department of Health and Human Services, Division of		Lassiter	09/12/08	
		Lassiter	09/12/08	
Health Service Regulation, Mental Health Licensure and		Lassiter	09/12/08	
Health Service Regulation, Mental Health Licensure and Certification Section	08 DHR 1016			
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v.	08 DHR 1016	Lassiter	09/12/08	
Health Service Regulation, Mental Health Licensure and Certification Section	08 DHR 1016			
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of	08 DHR 1016			
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v.	08 DHR 1016 08 DHR 1017			
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of		Lassiter	09/12/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and		Lassiter	09/12/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of		Lassiter	09/12/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section	08 DHR 1017	Lassiter Lassiter	09/12/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Mario Jackson v. DHHS Adam L Powell v. NC Department of Health and Human Services Edwin F Clavijo, El Exito v. NC Department of Health and Human	08 DHR 1017 08 DHR 1024	Lassiter Lassiter Overby	09/12/08 09/12/08 06/19/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Mario Jackson v. DHHS Adam L Powell v. NC Department of Health and Human Services Edwin F Clavijo, El Exito v. NC Department of Health and Human Services, Division of Public Health, Nutrition Services Branch	08 DHR 1017 08 DHR 1024 08 DHR 1030 08 DHR 1034	Lassiter Coverby Lassiter Lassiter Lassiter	09/12/08 09/12/08 06/19/08 09/05/08 09/15/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Mario Jackson v. DHHS Adam L Powell v. NC Department of Health and Human Services Edwin F Clavijo, El Exito v. NC Department of Health and Human Services, Division of Public Health, Nutrition Services Branch Linda F. Ellison v. NC Department of Health and Human	08 DHR 1017 08 DHR 1024 08 DHR 1030	Lassiter Lassiter Overby Lassiter	09/12/08 09/12/08 06/19/08 09/05/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Mario Jackson v. DHHS Adam L Powell v. NC Department of Health and Human Services Edwin F Clavijo, El Exito v. NC Department of Health and Human Services, Division of Public Health, Nutrition Services Branch Linda F. Ellison v. NC Department of Health and Human Services and or EDS Doris Smith v. Health Care Personnel Registry	08 DHR 1017 08 DHR 1024 08 DHR 1030 08 DHR 1034	Lassiter Coverby Lassiter Lassiter Lassiter	09/12/08 09/12/08 06/19/08 09/05/08 09/15/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Mario Jackson v. DHHS Adam L Powell v. NC Department of Health and Human Services Edwin F Clavijo, El Exito v. NC Department of Health and Human Services, Division of Public Health, Nutrition Services Branch Linda F. Ellison v. NC Department of Health and Human Services and or EDS Doris Smith v. Health Care Personnel Registry Latrish T. Perry v. Department of Health and Human Services	08 DHR 1017 08 DHR 1024 08 DHR 1030 08 DHR 1034 08 DHR 1035 08 DHR 1238 08 DHR 1023	Lassiter Overby Lassiter Lassiter Joseph Brooks Webster	09/12/08 09/12/08 06/19/08 09/05/08 09/15/08 07/09/08 08/08/08 08/29/08	
Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-633 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Terrie P Hill dba Positive Care II MHL 041-765 3406 Fern Place v. NC Department of Health and Human Services, Division of Health Service Regulation, Mental Health Licensure and Certification Section Mario Jackson v. DHHS Adam L Powell v. NC Department of Health and Human Services Edwin F Clavijo, El Exito v. NC Department of Health and Human Services, Division of Public Health, Nutrition Services Branch Linda F. Ellison v. NC Department of Health and Human Services and or EDS Doris Smith v. Health Care Personnel Registry	08 DHR 1017 08 DHR 1024 08 DHR 1030 08 DHR 1034 08 DHR 1035 08 DHR 1238	Lassiter Overby Lassiter Lassiter Joseph Brooks	09/12/08 09/12/08 09/12/08 06/19/08 09/05/08 09/15/08 07/09/08 08/08/08	

Martha McDonald v. DHHS, Div. of Health Service Regulation, Health Care Personnel Registry	08 DHR 1052	May	12/04/08
Mary K. Tulay v. DHHS	08 DHR 1055	Joseph	07/09/08
Gwendolyn F. Gulley v. NC Department of Health and Human	08 DHR 1062	Overby	09/09/08
Services, Division of Health Service Regulation, Adult Care			
Licensure Section Rhonda Jones v. Value Options	08 DHR 1064	Webster	07/18/08
One Love Developmental Services v. Division of Health Service	08 DHR 1068	Lassiter	07/25/08
Regulation, Department of Health and Human Services	00 DIIK 1000	Lussici	07/23/00
Jona Turner v. Office of Administrative Hearings	08 DHR 1092	Webster	07/18/08
Tonia Chatman Davis v. N.C. Department of Health and Human	08 DHR 1141	Lassiter	07/28/08
Services			
Lorena Ivy Gates v. DHHS, Division of Health Service Regulation,	08 DHR 1160	Joseph	01/06/09
Health Care Personnel Registry Mary M. Branch v. North Carolina Dept of Health and Human	08 DHR 1174	Elkins	08/11/08
Services, Value Options	08 DIIK 1174	LIKIIIS	06/11/06
Haywood Miller, Bobby Jean Graves Miller v. DHHS, Mental Health	08 DHR 1181	Overby	07/01/08
Licensure Certification Section		•	
Donna Armstrong v. DHHS	08 DHR 1185	Brooks	12/02/08
Jan Williams v. Value Options, DHHS	08 DHR 1231	Overby	07/09/08
Heather Peete v. OAH	08 DHR 1281	Lassiter Webster	07/02/08
Ann Moody v. DHHS Khahada Kirby v. Value Options	08 DHR 1299 08 DHR 1310	Webster	07/18/08 07/18/08
Eastern Carolina Internal Medicine, P.A. v. DHHS, Division of Health	08 DHR 1310	Webster	02/13/09
Services, Division of Health Service Regulation, CON Section	00 2111 1520	***************************************	02/10/09
Amir Abusamak v. N.C. Department of Health and Human Services	08 DHR 1325	Gray	07/16/08
Big Z Supermarket, Abdul Hamdan v. Cory Menees, NC Dept. of	08 DHR 1343	Overby	08/27/08
Health and Human Services	00 DHD 1256	C	07/22/00
Alesia Alwahishi dba Brotherhood Market	08 DHR 1356	Gray	07/22/08
Nigel Brown v. Value Options Beverly Darlene Christian v. DHHS Hearing Office	08 DHR 1358 08 DHR 1422	Gray Elkins	08/29/08 11/24/08
Meres El Bey v. DHHS	08 DHR 1453	Joseph	11/06/08
Forever Young Group Care LLC T/A FY Inc v. DHHS, Div. of Health	08 DHR 1455	Lassiter	01/16/09
Service Regulation, Mental Health Licensure and Certification Section	on		
Supported Living Youth Service, Kirk Hillian v. Div. of Health Service	08 DHR 1456	Joseph	10/22/08
Regulation Michael Grondahl v. DHHS	08 DHR 1491	Gray	08/01/08
Tyechia Jones v. Value Options/DHHS	08 DHR 1491 08 DHR 1492	Mann	09/18/08
Kelly A Schofield MD – Clinical Director Youth Quest Inc. v.	08 DHR 1505	Lassiter	09/08/08
N.C. Department of Health Service Regulation, Mental Health			
Licensure and Certification Section			
Holly Martin Ph.D, Div. of Medical Assistance of DHHS	08 DHR 1534	Webster	01/06/09
Edward Kenneth Smith v. NC Department of Health and Human Services, Division of Health Service Regulation	08 DHR 1537	Lassiter	09/16/08
Brian C. Hargrove v. DHHS	08 DHR 1556	Webster	01/07/09
Elsie Mae Joiner v. Health Care Registry DHHS	08 DHR 1560	Lassiter	09/11/08
Margaret Brack for Elgin Brack v. Value Options Emery Milliken	08 DHR 1576	Lassiter	08/27/08
DHHS			
Evans Momanyi Mose v. DHHS, Division of Health Service	08 DHR 1591	Webster	10/01/08
Regulation Draughton's Supermarket, Betty Draughton v. Cumberland	08 DHR 1592	Gray	08/25/08
County Health Dept. WIC Office	06 DHK 1392	Glay	06/23/06
Brittany Brown v. Value Options	08 DHR 1599	Webster	10/10/08
Evangeline Ingram v. Value Options	08 DHR 1618	Joseph	12/05/08
Tyvonne Sheri Glenn v. Value Options	08 DHR 1628	May	09/19/08
Robert Anthony Glenn, Sr., v. EDS, SMA, DHHS, Medicaid	08 DHR 1630	Brooks	10/20/08
Thomas Woodberry v. DHHS	08 DHR 1634	Elkins	01/29/09
Levi Rutty/Linda McLaughlin v. DHHS Longview Childrens Day School v. Div. of Child Development	08 DHR 1651 08 DHR 1676	Elkins Webster	01/14/09 01/06/09
Judy Grissett v. OAH, DHHS	08 DHR 1678	Webster	11/19/08
Tyrese Rogers/Linda McLaughlin v. DHHS	08 DHR 1685	Elkins	01/14/09
Shavon Maynor/Linda McLaughlin v. DHHS	08 DHR 1688	Elkins	01/14/09
William Scott Davis, Jr v. DHHS, Child Protective Services	08 DHR 1691	Webster	12/15/08
Donna Locklear v. Value Options	08 DHR 1695	Webster	11/19/08
Amanda Hennes v. N.C. Department of Health and Human Services	08 DHR 1696	Gray	09/22/08
Alexis Faulk v. DMA/Value Options Bobbie L Cribb v. Office of Administrative Hearings	08 DHR 1701 08 DHR 1714	Elkins Gray	11/14/08 09/08/08
Irene McLendon/Mikala McLendon v. Value Options	08 DHR 1714 08 DHR 1722	Webster	10/01/08
Keyanna Byrd v. DHHS	08 DHR 1751	Webster	10/01/08
Janelle Gatewood v. Value Options	08 DHR 1763	Webster	10/10/08
Mr. and Mrs. Gregory and Martha Glenn v. HHS	08 DHR 1787	Brooks	10/27/08
Pamela Lynn Downey v. DHHS, Div. of Medical Assistance	08 DHR 1793	Gray	01/26/09
Roxanne Haughton v. Value Options/DMA Rainbow Academy, Dorothy Johnson v. Div. of Child Development	08 DHR 1799 08 DHR 1838	Elkins Brooks	10/14/08 11/03/08
Tamboon Academy, Doronty Johnson V. Div. Of Child Developinent	00 PHI 1030	DIOOKS	11/03/00

DHHS			
Joshua Dmae Thompson (Consumer) Sebrena Yvett	08 DHR 1844	Webster	10/01/08
Thompson (Mother) v. Department of Mental Health			
Christie Moriea Turner v. CMC Carolines Medical Center Mental Hea		Gray	10/27/08
Sativa Shalunda Brown v. DHHS, Div. of Health Service Regulation	08 DHR 1869	Gray Grav	12/31/08 10/27/08
JE Cameron DDS & Associates v. DHHS, DMA Wendy McMillian v. DHHS	08 DHR 1885 08 DHR 1887	Elkins	11/05/08
Penny A. Golden v. Medicaid	08 DHR 1890	May	11/05/08
Keystone Charlotte, LLC d/b/a The Keys of Carolina (Administrative	08 DHR 1913	Joseph	11/17/08
Penalty) v. DHHS, Div. of Health Service Regulation, Mental	08 DHR 1914	Joseph	11/17/08
Health Licensure and Certification Section			
Karen D. Barwick DDS PA v. EDS/DHHS	08 DHR 1923	Joseph	10/27/08
Dorothy Graham v. DHHS, Div. of Public Health, Nutrition Services Branch, Child and Adult Care Food Program	08 DHR 1960	Gray	01/07/09
County of Guilford v. DHHS	08 DHR 1965	May	01/15/09
Dyquay Morris v. Value Options	08 DHR 1967	Mann	10/24/08
Chanda Stokely v. DHHS	08 DHR 2002	Brooks	12/09/08
Wanda Hager v. Value Options	08 DHR 2008	Joseph	10/27/08
Austin Cummings v. Value Options	08 DHR 2011	Joseph	01/08/09
Keith Floyd, Rosa Agyemang v. DHHS, Div. of Health Service Regul	ation08 DHR 2032	Brooks	11/06/08
Mental Health Licensure and Certification Section, Merita Hall	on 00 DIID 2045	Duoolea	11/06/09
Kimberly Marie Condroski v. DHHS, Div. of Health Service Regulati- Carrie E. Crosson and Leroy J. Crosson v. Vance County Dept. of Soc		Brooks Joseph	11/06/08 10/28/08
Services	lai 08 DIIK 2048	Joseph	10/28/08
Elizabeth Elaine Croker v. DHHS, Div. of Health Service Regulation	08 DHR 2050	Webster	12/23/09
Stephanie Craven v. Health Care Registry	08 DHR 2051	Joseph	11/25/08
Marsha Hester v. Health Care Personnel Registry	08 DHR 2056	Gray	02/11/09
Dejuan Martell Willis v. DHHS, Div. of Health Service Regulation	08 DHR 2074	Joseph	01/06/09
David Haqq v. DHHS	08 DHR 2076	Gray	11/07/08
Shane Howell v. Value Options	08 DHR 2077	Joseph	11/05/08
Towanna Yvette Patterson v. DHHS, Div. of Health Service Regulation Forever Young Group Care LLC T/A FY Inc v. DHHS, Div. of Health		Brooks Lassiter	12/17/08 01/16/09
Service Regulation, Mental Health Licensure and Certification Se		Lassitei	01/10/09
Shirley Hawls v. DHHS	08 DHR 2225	Joseph	01/08/09
Bennett Short v. Medicaid	08 DHR 2226	Mann	12/18/08
ReNu Life Extended, Diane Harrison CEO, v. Div. of Health Service	08 DHR 2256	Gray	02/03/09
Regulation, Licensure Division, Barbara Ryan, Chief	00 DIID 0055		04/00/00
Naomi J. Silver v. DHHS Pearline H. White v. DHHS	08 DHR 2257	Gray Mann	01/22/09
Cynthia McMillian v. Div. of Child Development	08 DHR 2263 08 DHR 2273	Overby	01/15/09 01/23/09
Zarie D. Wooten v. DHHS, Division of Child Development	08 DHR 2294	May	02/13/09
David McBrayer v. Value Options	08 DHR 2303	Brooks	12/01/08
Diane Harrison, ReNu Life Extended, Inc., v. Adult Care Section, Div		Gray	02/03/09
of Health Service Regulation			
Family Network Services, Inc., Ron Blake, Altrice Gales	08 DHR 2311	Joseph	12/01/08
Laverne Jones, Jeffrey's Rest Home v. DHHS	08 DHR 2343	Webster	02/23/09
Sabrina Brower v. Value Options/DHHS Emmanus House, Erthel J. Anderson v. DHHS, Div. of Medical Asst.	08 DHR 2373 08 DHR 2382	Mann Gray	01/13/09 12/10/08
William P. Miller, Chapter 11 Trustee for Debtor Faiger M. Blackwell		Gray	11/06/08
(Dogwood Forest) v. DHHS, Div. of Health Service Regulation	00 DIM 2300	Gray	11/00/00
Adult Care Licensure Section			
Alexandria Adelaide, d ba Pine Forrest Home v. DHHS, DFS – Adult	08 DHR 2408	Webster	12/11/08
Care Licensure Section		_	
Grace K. Thomas v. DHHS	08 DHR 2450	Gray	02/17/09
Donna C. Hilemon v. Dept. of Social Services, OAH Clerks – Kim Hausen	08 DHR 2456	Brooks	02/02/09
James Pardue President and CEO Ralph Scott Lifeservice, Inc v. DHH	IS 08 DHR 2567	Gray	12/11/08
Debora Bolton Stamatakis DDS PA, DR. Stamatakis & Nick Stamatak		Gray	02/23/09
DHHS, Emery Milliken		•	
Regina McCall QP, Turning Pointe Group Home Inc. v. OAH, Mental	08 DHR 2703	Overby	01/30/09
Health Licensure & Certification Section			
Victoria J. Deranamie v. DHHS, Div. of Health Service Regulation	08 DHR 3048	May	02/23/09
Harmon A. Milaman Dillic Harlet Care Demand Desisters	00 DIID 0447	T:4	02/10/00
Ikwuoma A. Mbonu v. DHHS, Health Care Personnel Registry	09 DHR 0447	Lassiter	02/19/09
DEPARTMENT OF CORRECTION			
Robert Allen Sartori v. DOC	08 DOC 2651	Gray	12/16/08
Robert Allen Sartori v. DOC	08 DOC 2977	Gray	01/14/09
		-	
DEPARTMENT OF JUSTICE			
Jamu Kimyakki Sanders v. N.C. Criminal Justice Education	06 DOJ 1741	May	08/29/08
And Training Standards Commission			

Dallas Ray Joyner v. Criminal Justice Education and Training Standards Commission	07 DOJ 0719	Overby	04/15/08
Sheldon Avery McCoy v. Criminal Justice Education and Training	07 DOJ 1071 07 DOJ 1162	Webster Mann	02/21/08 04/07/08
Standards Commission			
Scott McLean Harrison v. North Carolina Criminal Justice Education	07 DOJ 1256 07 DOJ 1330	Elkins Webster	04/16/08 06/24/08
And Training Standards Commission			
Brian Campbell v. Department of Justice, Company Police Program	07 DOJ 1344	Webster	02/25/08
John Mark Goodin v. Alarm Systems Licensing Board	07 DOJ 1405	Lassiter	04/04/08
	07 DOJ 1434	Webster	02/25/08
	07 DOJ 1483	Elkins	03/25/08
	07 DOJ 1403 07 DOJ 1500		06/03/08
Campus Police Program		Joseph	
Commission	07 DOJ 1510	Overby	05/19/08
Police Program	07 DOJ 1558	Joseph	05/22/08
Training Standards Commission	07 DOJ 1712	May	09/03/08
Iris Nina Bumpass v. Criminal Justice Education and Training Standards Commission	07 DOJ 2071	Webster	05/16/08
Michael Gerald Copeland v. Private Protective Services Board	07 DOJ 2286	Gray	07/17/08
Leigh Ann Branch v. N.C. Sheriffs' Education and Training Standards Commission	08 DOJ 0177	Gray	06/23/08
Jimmy Dean Poston v. N.C. Sheriffs' Education and Training Standards Commission	08 DOJ 0179	Webster	08/28/08
Katheryn Renee Johnson v. North Carolina Sheriffs' Education And Training Standards Commission	08 DOJ 0180	Brooks	06/18/08
e	08 DOJ 0181	Gray	07/14/08
	08 DOJ 0183	Gray	06/20/08
	08 DOJ 0184	May	06/18/08
6	08 DOJ 0207	Brooks	08/06/08
	08 DOJ 0209	Lassiter	10/07/08
	08 DOJ 0498	Webster	10/01/08
	08 DOJ 0532	Brooks	08/06/08
	08 DOJ 0568	Webster	05/08/08
	08 DOJ 0713	Joseph	05/01/08
	08 DOJ 0713	Gray	07/15/08
1 1	09 DOI 0939	Gray	07/13/08
Board Lawrence William Sitgraves v. Sheriffs' Edcuation and Training Standards	608 DOJ 1036	May	09/16/08
	08 DOJ 1038	Brooks	05/28/08
e e e e e e e e e e e e e e e e e e e	08 DOJ 1077	Gray	12/12/08
Commission Dustin Elvin Campbell v. Criminal Justice Education and Training Standards Commission	08 DOJ 1078	Lassiter	07/14/08
	08 DOJ 1079	Lassiter	07/14/08
	07 DOJ 1239	Overallary	07/07/09
David Alan Moore v. North Carolina Private Protective Services	07 DOJ 1239 08 DOJ 1264	Overby Morrison	07/07/08 07/21/08
Board	00 DOI 1055	M '	07/10/00
	08 DOJ 1265	Morrison	07/10/08
	08 DOJ 1269	Overby	10/13/08
Commission	08 DOJ 1270	Overby	09/15/08
Commission	08 DOJ 1273	Gray	08/29/08
Commission	08 DOJ 1274	Brooks	10/10/08
Stephen Matthew Crotts v. Sheriffs' Education and Training Standards Commission	08 DOJ 1275	Brooks	10/27/08
Danny Dwight Jordan v. Private Protective Services Board	08 DOJ 1594	Overby	10/14/08
•	08 DOJ 1846	Joseph	09/25/08
•	08 DOJ 1867	May	10/08/08
		,	2. 20, 00

23:22 NORTH CAROLINA REGISTER

Ryan Ginn v. Criminal Justice Education and Training Standards	08 DOJ 1927	Gray	11/12/08	
Commission Matthew William MacDonald v. Criminal Justice Education and Trainin	g 08 DOJ 2033	Brooks	12/01/08	
Standards Commission Populd Lowis Lock v. Private Protective Services Reard	06 DOI 3060	Overby	10/14/09	
Ronald Lewis Leak v. Private Protective Services Board Penny Thomas Schronce v. Sheriffs' Education and Training Standards Commission	08 DOJ 2080 08 DOJ 2109	Overby Brooks	10/14/08 12/01/08	
Dwight Wendell Parker v. Criminal Justice Education and Training Standards Commission	08 DOJ 2110	Gray	10/27/08	
Aaron McDowell v. Company Police Program Richard Bryant Honeycutt v. Criminal Justice Education and Training	08 DOJ 2176 08 DOJ 2209	Brooks May	01/05/09 12/31/08	
Standards Commission James Percy Stancil III v. Sheriffs' Education and Training Standards	08 DOJ 2489	Joseph	12/31/08	
Commission David L. Walser v. Private Protective Services Board	08 DOJ 2561	Webster	01/06/09	
<u>DEPARTMENT OF LABOR</u> Sandra Leroux, Leroux Entertainment Corporation d/b/a Spectacular Events! V. DOL	08 DOL 0754	May	07/08/08	
DEPARTMENT OF TRANSPORTATION				
Kevin Douglas v. Dept. of Justice Criminal Justice Standards, DMV License and Theft, Holly Springs Police Department	07 DOT 2221	Webster	05/12/08	
Terry J. Kyte, Pres. Kyte Contruction v. DMV Benjamin Cartwright Simmons III, Citation #3131650-8 v. DMV	08 DOT 1517 08 DOT 1774	Elkins Lassiter	12/03/08 11/03/08	
DEDADTMENT OF STATE TOPASIDED				
DEPARTMENT OF STATE TREASURER Robert A. Gabriel Sr. v. DST	05 DST 0586	Gray	12/31/08	23:16 NCR 1695
Trevor Allan Hampton v. N.C. State Retirement Systems	07 DST 1493	Overby	09/08/08	
Patricia V. Leonard v. State Treasurer/Retirement Systems Division	07 DST 1928	Lassiter	03/12/08	
William S. Greene v. DST, Retirement Systems Division Jerry Alan Reese v. DST, State and Local Finance Division and the Loca Government Commission	08 DST 0235 al 08 DST 0256	Gray Morrison	07/16/08 07/25/08	23:05 NCR 524
Charles C. Williams, Sr., v. Dept. of Treasurer	08 DST 0736	Elkins	10/27/08	
ETHICS COMMISSION				
ETHICS COMMISSION Earnest Waters v. State Ethics Commission	08 EBD 2375	Gray	02/13/09	
Earnest Waters v. State Ethics Commission	08 EBD 2375	Gray	02/13/09	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF		•		
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI	07 EDC 0121	May	09/17/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office	07 EDC 0121 07 EDC 1486	May Webster	09/17/08 05/16/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education	07 EDC 0121 07 EDC 1486 07 EDC 2210	May Webster Webster	09/17/08 05/16/08 05/16/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238	May Webster Webster Gray	09/17/08 05/16/08 05/16/08 04/30/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education	07 EDC 0121 07 EDC 1486 07 EDC 2210	May Webster Webster	09/17/08 05/16/08 05/16/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287	May Webster Webster Gray Joseph	09/17/08 05/16/08 05/16/08 04/30/08 05/07/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357	May Webster Webster Gray Joseph Brooks	09/17/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386	May Webster Webster Gray Joseph Brooks May Morrison	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357	May Webster Webster Gray Joseph Brooks	09/17/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215	May Webster Webster Gray Joseph Brooks May Morrison May	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215	May Webster Webster Gray Joseph Brooks May Morrison May Gray	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster	09/17/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray	09/17/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1004	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby	09/17/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1004 08 EDC 1316	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks	09/17/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2237 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0619 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks	09/17/08 05/16/08 05/16/08 05/07/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073 08 EDC 2073	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2238 07 EDC 2237 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0619 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks	09/17/08 05/16/08 05/16/08 05/07/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI Robin M C Perlman v. State Board of Education	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2316	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2316	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI Robin M C Perlman v. State Board of Education	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2316	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks May	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08 02/13/09	23:16 NCR 1701
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI Robin M C Perlman v. State Board of Education DEPT. OF ENVIRONMENT AND NATURAL RESOURCE Freedman Farms v. DENR, Div. of Water Quality Anderson Sand & Grave; LLC, Gerald L. Anderson LLC, and Gerald	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2241 08 EDC 2316 S 05 EHR 0905	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks May	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 11/05/08 12/11/08 02/13/09	23:16 NCR 1701 23:05 NCR 501
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI Robin M C Perlman v. State Board of Education DEPT. OF ENVIRONMENT AND NATURAL RESOURCE Freedman Farms v. DENR, Div. of Water Quality Anderson Sand & Grave; LLC, Gerald L. Anderson LLC, and Gerald Anderson Henry S. Cowell, III and Carolyn Dressler v. DENR, Div. of Coastal Management Robin R. Moore v. DENR, Division of Waste Management	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2237 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1316 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2241 08 EDC 2316 S 05 EHR 0905 05 EHR 1787 06 EHR 1185 06 EHR 1479	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks Brooks Brooks Brooks Lassiter	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08 02/13/09 10/23/08 10/29/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI Robin M C Perlman v. State Board of Education DEPT. OF ENVIRONMENT AND NATURAL RESOURCE Freedman Farms v. DENR, Div. of Water Quality Anderson Sand & Grave; LLC, Gerald L. Anderson LLC, and Gerald Anderson Henry S. Cowell, III and Carolyn Dressler v. DENR, Div. of Coastal Management Robin R. Moore v. DENR, Division of Waste Management Robin R. Moore v. DENR, Division of Waste Management Ray Sael v. DENR, Div. of Water Quality	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0619 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2316 S 05 EHR 0905 05 EHR 1787 06 EHR 1185 06 EHR 1479 06 EHR 1671	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks Brooks Brooks Brooks Lassiter Gray	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08 02/13/09 10/23/08 10/29/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI Robin M C Perlman v. State Board of Education DEPT. OF ENVIRONMENT AND NATURAL RESOURCE Freedman Farms v. DENR, Div. of Water Quality Anderson Sand & Grave; LLC, Gerald L. Anderson LLC, and Gerald Anderson Henry S. Cowell, III and Carolyn Dressler v. DENR, Div. of Coastal Management Robin R. Moore v. DENR, Division of Waste Management Ray Sael v. DENR, Div. of Water Quality Mr. Robert W. Elmore v. Division of Environmental Health, Onside	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2237 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0824 08 EDC 0920 08 EDC 1316 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2241 08 EDC 2316 S 05 EHR 0905 05 EHR 1787 06 EHR 1185 06 EHR 1479	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks Brooks Brooks Brooks Lassiter	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08 02/13/09 10/23/08 10/29/08	
Earnest Waters v. State Ethics Commission EDUCATION, STATE BOARD OF Stephen Miller Gibson v. DPI Bradford Dale Gulley v. Depart. of Education Attorney Generals Office Lucretia Burrus v. State Board of Education Gregory Bates v. DPI, Licensure Section Heather S. Brame v. State Board of Education Nancy L. Ashburn v. NC Department of Public Instruction Sandra Chesser v. State Board of Education Terry L Moore v. N.C. Department of Public Instruction Len Stevenson Smith v. North Carolina Department of Public Instruction Hubert Thomas Byrum v. Office of State Superintendent David Lynn Cox v. Dept. of Public Instruction Gary Alan Cooper v. N.C. State Board of Education Beulah Sowell Bolton v. DPI Selena Blad v. NC Board of Education Ceretha Sherrill v. State Superintendent's Ethics Committee Elizabeth Ann Flow v. DPI Robin M C Perlman v. State Board of Education DEPT. OF ENVIRONMENT AND NATURAL RESOURCE Freedman Farms v. DENR, Div. of Water Quality Anderson Sand & Grave; LLC, Gerald L. Anderson LLC, and Gerald Anderson Henry S. Cowell, III and Carolyn Dressler v. DENR, Div. of Coastal Management Robin R. Moore v. DENR, Division of Waste Management Robin R. Moore v. DENR, Division of Waste Management Ray Sael v. DENR, Div. of Water Quality	07 EDC 0121 07 EDC 1486 07 EDC 2210 07 EDC 2210 07 EDC 2238 07 EDC 2287 07 EDC 2357 08 EDC 0022 08 EDC 0386 08 EDC 0215 08 EDC 0619 08 EDC 0619 08 EDC 0920 08 EDC 1004 08 EDC 1316 08 EDC 2073 08 EDC 2241 08 EDC 2316 S 05 EHR 0905 05 EHR 1787 06 EHR 1185 06 EHR 1479 06 EHR 1671	May Webster Webster Gray Joseph Brooks May Morrison May Gray Webster Gray Overby Brooks Brooks Brooks Brooks Brooks Brooks Lassiter Gray	09/17/08 05/16/08 05/16/08 05/16/08 04/30/08 05/07/08 08/19/08 04/30/08 07/22/08 08/26/08 06/04/08 11/03/08 08/01/08 10/28/08 09/17/08 11/05/08 12/11/08 02/13/09 10/23/08 10/29/08	

of Water Quality, DENR and Scenic Wolf Development, LLC

NC Coastal Federation v. DENR, Division of Coastal Management and	07 EHR 0345	Lassiter	04/07/08
Wind over Waves, LLC John B. Chastain, Jr., W.B. Chastain v. N.C. Department of	07 EHR 0722	Brooks	06/26/08
Environment and Natural Resources Terry Hill DAQ 2007-015 v. DENR, Division of Air Quality	07 EHR 0937	Morrison	04/08/08
Frank Home Construction, Inc. v. Division of Water Quality	07 EHR 1061	Webster	05/12/08
Durham Land Associates LLC v. County of Durham, Engineering	07 EHR 1140	Overby	08/20/08
Department Durham Land Associates LLC v. County of Durham, Engineering Department	07 EHR 1141	Overby	08/20/08
Dennis L Jude v. NC Department of Environment and Natural Resources	07 HER 1238	Webster	08/20/08
Martha and Charles Morton v. N.C. Department of Environment And Natural Resources	07 EHR 1297	Overby	06/02/08
Kenneth & Mary Anne Sutton v. DENR, Division of Coastal Management	07 EHR 1316	Overby	05/09/08
William Lewell Huff v. N.C. Department of Environment and Natural Resources	07 EHR 1579	Overby	06/02/08
Stridemark, LLC v. North Carolina Department of Environment and Natural Resources, Division of Air Quality	07 EHR 1564	Webster	07/17/08
Gleason James v. Appalachian District Health Department	07 EHR 2073	Brooks	09/05/08
Senia I. Parker v. Environmental Management Commission	07 EHR 2082	Webster	10/24/08
Bateman Trucking, Inc., James K. Bateman v. Cherokee County Health	07 EHR 2180	Brooks	01/15/09
Department, Environmental Health Division			
Frank Myers Investments, LLC v. DENR	07 EHR 2377	May	05/28/08
W Russell Overman Martin County Water & Sewer District v. DENR Public Water Supply Section	08 EHR 0345	Gray	06/10/08
Christopher E. Taylor, Jane Taylor v. DENR, Land Quality Section	08 EHR 0512	Brooks	12/09/08
Ray Poole's Park, Jean Poole v. DENR, Public Water Supply Section	08 EHR 0563	Joseph	05/16/08
Allen Johnson v. DENR, Div. of Air Quality and Teresa Tart v. DENR Div. of Air Quality	08 EHR 0567	Webster	10/28/08
Allen Johnson v. DENR, Div. of Air Quality and Teresa Tart v. DENR Div. of Air Quality	08 EHR 0587	Webster	10/28/08
Joe S. Edge Sr. v. N.C. Department of Environment and Natural Resources	08 HER 0757	Gray	09/17/08
Donald Lindsay v. Cherokee County Health Dept.	08 EHR 0764	Brooks	07/10/08
Joel M. Walker v. Division of Water Quality Well Contractors Certification Commission	08 EHR 0985	Joseph	06/11/08
George Bess, Sr., v. DENR, Div. of Air Quality	08 EHR 1000	Overby	10/28/08
Eddie Verdis Hood v. N.C. Department of Environment and Natural Resources	08 EHR 1073	Overby	07/30/08
John S. Stirewalt, Architect, Agent for B. Parker Overton, Landowner v. DENR, Div of Coastal Management	08 EHR 1090	Webster	11/24/08
Research Triangle Institute v. Division of Waste Management, Hazardous Waste Section, DENR	08 EHR 1100	Overby	07/11/08
Tracie Locklear, Ammie Brewer-James, Native Designs Hair & Tanning Salon v. DENR, Health Radiation Protection	08 EHR 1143	Gray	7/17/08
Donna C Garrett v. Cherokee County Health Dept., Environmental Health Division	08 EHR 1246	Brooks	09/09/08
Riegel Ridge Partners v. DENR, Div. of Waste Management	08 EHR 1414	Gray	12/17/08
Michael J. Campbell v. Rowan County Health Department	08 EHR 1572	Books	10/10/08
Roray Kent Mishak, Town of China Grove v. NCDENR, Public	08 EHR 1573	Brooks	09/08/08
Water Supply Section Bethel Jr. High School NC 144418, Heather Teague v. DENR Public	08 EHR 1810	Brooks	10/10/08
Water Supply Section The Village of Walnut Creek PWS ID#NC0496155 v. DENR Public	08 EHR 1892	Gray	11/18/08
Water Supply Section The Village of Walnut Creek PWS ID#NC0496155 v. DENR, Public	08 EHR 1930	Gray	11/07/08
Water Supply Section Tabernacle Week Day School Cynthia M. Doyle v. DENR, Public Water	08 EHR 1984	Mann	10/20/08
Supply Section Michael Chapman FV Productions v. Stanly County Environmental	08 EHR 1986	Lassiter	11/03/08
Health Dennis R. Joyner, Health Director Division of Environment Health Terry Pierce, Director	23 2111 1700		-1,00,00
Carl J. Peters and Color Works v. City of Raleigh	08 EHR 2005	Joseph	10/28/08
Michael J. Carnes v. DENR, Div. of Air Quality	08 EHR 2042	Brooks	01/06/09
Country Fun Land Inc., A North Carolina Corporation v. DENR, Div.	08 EHR 2470	Elkins	02/04/09
of Water Quality	00 EUD 2000	T 1	01/00/02
Pro Dev 8, LLC v. City of Raleigh, Stormwater Management Division	08 EHR 3308	Joseph	01/08/09
Gary Kelly v. Div. of Air Quality	09 EHR 0219	Gray	02/17/09

EMPLOYMENT SECURITY COMMISSION Ronald Caleb White v. Employment Security Commission UI Division	08 ESC 2568	Joseph	12/12/08	
<u>DEPARTMENT OF INSURANCE</u> Sandra Vanderbeek v. Teachers' and State Employees' Comprehensive	07 INS 1130	Overby	03/12/08	
Major Medical Plan Benjamin Brodey, Inger Brodey, Clara Brodey	07 INS 1139	Elkins	10/24/08	
Nettie C Minshew v. North Carolina State Health Plan Albert N. Whiting, by his daughter, Brooke E. Whiting v. Teachers' and State Employees' Comprehensive Major Medical Plan	07 INS 1319 07 INS 1431	Gray	09/08/08	
Alesha D Carter v. State Health Plan	07 INS 1858	Lassiter	05/19/08	
Maria Patricia Rivera v. Teachers' and State Employees' Comprehensive Major Medical Plan	08 INS 0035	Joseph	09/16/08	
Esther A. Scott v. State Health Plan Judith Kay Klink v. State Health Plan Teachers' Comprehensive Health Plan	08 INS 0819 08 INS 0846	Gray Overby	10/31/08 10/20/08	23:16 NCR 1711
Michelle Patton v. Blue Cross Blue Shield State Health Plan David Danmyer for Nancy Danmeyer v. Teachers' and State Employees' Comprehensive Major Medical Plan	08 INS 1039 08 INS 1575	Joseph May	12/04/08 10/15/08	
MISCELLANEOUS				
Kevin Edral Douglas v. Wake County District Attorney, DMV Jeannie L Day v. City of Asheville Control, Brenda Sears Officer White	07 MIS 1976 08 MIS 0895	Webster Brooks	05/12/08 08/18/08	
Promise Land Ministries Inc., Joel K. Wilson v. Mitchell County Tax Assessor and Board of Equalization	08 MIS 1447	May	09/17/08	
Catherine Seay v. Judge Debra Sasser, Wake County District Court Civil Division	08 MIS 2295	Elkins	11/24/08	
OFFICE OF STATE PERSONNEL				
Marsha A Early v. Durham County Department of Social Services	01 OSP 0279	Lassiter	04/02/08	
Cheryl Best v. Columbus County Department of Social Services	06 OSP 2206	Lassiter	09/10/08	
Scott Burgess v. N.C. Department of Crime Control and Public Safety, N.C. Highway Patrol	07 OSP 0052	Gray	07/16/08	
Ralph Mitchell Foard v. Highway Patrol Divina P. Shields v. North Carolina State University	07 OSP 0135 07 OSP 0317	Webster Lassiter	11/06/08 07/11/08	
Milton R. Perry v. DOT	07 OSP 0362	Lassiter	12/16/08	
Jacqueline B. Maynard v. UNC	07 OSP 0502	Webster	04/08/08	
Warren R. Follum v. NCSU	07 OSP 0577	Webster	03/21/08	
Sharon P. House v. UNC	07 OSP 0630	Webster	04/08/08	
Marcus Guy v. A&T University	07 OSP 0760	Webster	09/16/08	
Michael Phillips v. A&T State University Pam Moses v. Macon County Health Department	07 OSP 0833 07 OSP 0945	Overby Overby	11/12/08	
Cassandra F. Barner v . Halifax County Department of Social Serv.	07 OSP 0943 07 OSP 1186	Joseph	06/30/08 05/16/08	23:05 NCR 528
Michael Shelton Woody v. DENR, Division of Forest Resources	07 OSP 1255	Brooks	05/13/08	20.001.010
Kellee M. Buck v. Dare County Department of Social Services	07 OSP 1385	Overby	05/27/08	
Dennis E. Hrynkow v. Dept. of Insurance	07 OSP 1400	Joseph	04/03/08	
Stacey M. Gasgue v. N.C. Department of Corrections	07 OSP 1479	Overby	06/09/08	
Betty J. LaBombard v. Hoke County Department of Social Services	07 OSP 1860	Joseph	10/01/08	
James Dobaly v. North Carolina Department of Health and Human Services Adley K. Prager v. Dept. of Crime Control and Public Safety and	07 OSP 1873 07 OSP 2011	Lassiter Webster	07/02/08 05/29/08	
Butner Public Safety Charlene J. Shaw v. Peter Bucholz, Hoke Correctional Institution	07 OSP 2012	Joseph	03/29/08	
Jacqueline Burkes v. DOC, Hoke 4320, Mr. Peter Bucholz	07 OSP 2047	Joseph	04/07/08	
Charles Jones v. Bryan Beatty, Secretary of Crime Control & Public Safety and The Dept. of Crime Control & Public Safety (NC	07 OSP 2222	Morrison	06/05/08	23:01 NCR 147
Highway Patrol) Norman K. Goering v. Crime Control and Public Safety, Highway Patrol	07 OSP 2256	Joseph	07/29/08	23:05 NCR 547
D. J. G. W. W.	00.000.0050	C	10/15/00	
Brenda S. Williamson v. DHHS Kimbarly James v. LINC Charlette	08 OSP 0058	Gray	10/15/08	
Kimberly James v. UNC-Charlotte Nancy Hester v. Guilford County AOC Pretrial Services	08 OSP 0146 08 OSP 0224	Webster Overby	05/08/08 06/19/08	
Elizabeth Frazier v. Western Carolina University	08 OSP 0246	Brooks	12/09/08	23:16 NCR 1722
Ray Stanford Williams Jr. v. NC Department of Cultural Resources	08 OSP 0529	Morrison	08/19/08	-
Jacqueline Yvette Lowry v. Durham County, Department of Social Services	08 OSP 0552	May	10/08/08	
Laura L. Holliman v. Caledonia Correctional Inst.	08 OSP 0591	Gray	07/08/08	

Ashley K. Severson v. Greene County	08 OSP 0611	Joseph	07/29/08		
Sharon V Blackmon v. Office of Administrative Hearings	08 OSP 0624	Gray	09/19/08		
Richard D. Lincoln v. DOT	08 OSP 0801	Gray	05/27/08		
Robert M. Hewitt v. Morrison Correctional Institute	08 OSP 0971	Gray	06/26/08		
Kenyatta Burrus v. Craven County Clerk of Superior Court	08 OSP 1089	Overby	06/12/08		
Dexter J. Hill v. Department of Agriculture and Consumer Services	08 OSP 1167	Overby	07/08/08		
Rita McKeithan v. Stanly County Department of Social Services	08 OSP 1240	May	08/26/08		
Dianna Humphrey v. Caswell Center	08 OSP 1327	Lassiter	07/02/08		
Charles Godwin v. NC Department of Crime Control and Public	08 OSP 1463	Lassiter	07/28/08		
Safety	00 001 1.00	Zussitei	07720700		
Reid DuBose III v. Dept. of Commerce	08 OSP 1476	Lassiter	10/31/08		
Vincent Morton v. Cherry Hospital	08 OSP 1497	Webster	09/16/08		
Kyla Solomon v. Office of Citizen Services	08 OSP 1547	Lassiter	07/22/08		
Larry Campbell v. OSP			01/06/09		
	08 OSP 1558 08 OSP 1561	Webster	09/25/08		
Richard Manson v. NC A&T State University		Brooks			
Kenneth L. Cassidy v. DOT, DMV	08 OSP 1584	Morrison	10/31/08		
Richard T Ward v. NC DOT Ferry Division	08 OSP 1617	Lassiter	08/27/08		
Patrice A Bernard v. NC A&T	08 OSP 1724	Gray	09/18/08		
Karen E. Browder v. Forsyth County Department of Social Services	08 OSP 1771	Gray	11/17/08		
Robert Sanchez-Langston or Joe Raymond					
JoAnn C. Walker v. DOA	08 OSP 1976	Brooks	12/23/08		
Lakeshia A. Jones v. DOC	08 OSP 2229	Webster	01/07/09		
Prudentia Ngwainmbi v. Elizabeth Sate University	08 OSP 2240	Joseph	12/08/08		
Darryl R. McCathan v. DOC	08 OSP 2274	Gray	01/03/09		
Josephine Hood v. Dorothea Dix Hospital Food and Nutrition	08 OSP 2276	Webster	12/23/08		
Garland Morman v. Dorothea Dix Hospital Food and Nutrition	08 OSP 2277	Webster	01/06/09		
Jackie L. Eley v. DHHS, Hearing Office	08 OSP 2286	Gray	01/06/09		
Charles V. Nichols v. DOC	08 OSP 2314	Brooks	01/08/09		
James C. Bailey, Jr v. Cherry Hospital, DHHS	08 OSP 2432	Gray	01/16/09		
Tony Chambers v. Dept. of Juvenile Justice and Delinquency Prevention	08 OSP 2692	Brooks	01/05/09		
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RESPIRATORY CARE BOARD					
Angelique Thompson v. Respiratory Care Board	07 RCB 1176	Gray	03/13/08	23:01 NCR 1	53
ringenque mompson v. Respiratory Care Board	07 RCD 1170	Gray	03/13/00	23.01 WER 1	55
OFFICE OF SECRETARY OF STATE					
OFFICE OF SECRETARY OF STATE	07 505 2000	D1	00/12/09		
Richard C Garrard Jr. v. NC Department of Secretary of State	07 SOS 2080	Brooks	09/12/08		
Bennett Jeffrey Packer v. North Carolina Department of The	07 SOS 2241	May	06/09/08		
Secretary of State Hope Taylor (formerly Taylor-Guevara) v. North Carolina	07.000.0000	т 1	05/01/00		
Hone Laylor (formerly Laylor-Guevara) v North Carolina					
	07 SOS 2280	Joseph	05/21/08		
Department of The Secretary of State	07 SOS 2280	Joseph	03/21/08		
Department of The Secretary of State		·			
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division	08 SOS 0074	Webster	11/06/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State	08 SOS 0074 08 SOS 0523	Webster Brooks	11/06/08 09/12/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS	08 SOS 0074 08 SOS 0523 08 SOS 1018	Webster	11/06/08 09/12/08 07/14/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS	08 SOS 0074 08 SOS 0523	Webster Brooks	11/06/08 09/12/08 07/14/08 10/08/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS	08 SOS 0074 08 SOS 0523 08 SOS 1018	Webster Brooks Lassiter	11/06/08 09/12/08 07/14/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326	Webster Brooks Lassiter May	11/06/08 09/12/08 07/14/08 10/08/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326	Webster Brooks Lassiter May	11/06/08 09/12/08 07/14/08 10/08/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326	Webster Brooks Lassiter May	11/06/08 09/12/08 07/14/08 10/08/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784	Webster Brooks Lassiter May Gray	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784	Webster Brooks Lassiter May Gray	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533	Webster Brooks Lassiter May Gray Webster Gray	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589	Webster Brooks Lassiter May Gray Webster Gray May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595	Webster Brooks Lassiter May Gray Webster Gray May May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734	Webster Brooks Lassiter May Gray Webster Gray May May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805	Webster Brooks Lassiter May Gray Webster Gray May May May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0805	Webster Brooks Lassiter May Gray Webster Gray May May May May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08 09/22/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0805 08 UNC 0841 08 UNC 0860	Webster Brooks Lassiter May Gray Webster Gray May May May May May Gray	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08 09/22/08 07/28/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0860 08 UNC 0881	Webster Brooks Lassiter May Gray Webster Gray May May May May May May Gray Gray	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08 09/12/08 09/22/08 07/28/08 07/28/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0734 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0860 08 UNC 0881 08 UNC 0928	Webster Brooks Lassiter May Gray Webster Gray May May May May May Gray Gray Gray Gray	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08 09/22/08 07/28/08 09/22/08 07/28/08 06/11/08 07/30/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Satarah K. Latiker v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0589 08 UNC 0734 08 UNC 0805 08 UNC 0805 08 UNC 0841 08 UNC 0860 08 UNC 0881 08 UNC 0928 08 UNC 0952	Webster Brooks Lassiter May Gray Webster Gray May May May May May Gray Gray Gray Gray Gray May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08 09/22/08 07/28/08 07/28/08 07/28/08 07/28/08 07/30/08 08/21/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Satarah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0860 08 UNC 0881 08 UNC 0928 08 UNC 0952 08 UNC 0951	Webster Brooks Lassiter May Gray Webster Gray May May May May May Gray Gray Gray Gray Gray Gray Joseph	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08 09/22/08 07/28/08 07/28/08 07/28/08 07/28/08 07/30/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Satarah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0860 08 UNC 0881 08 UNC 0928 08 UNC 0928 08 UNC 0952 08 UNC 0981 08 UNC 0981	Webster Brooks Lassiter May Gray Webster Gray May May May May Gray Gray Gray Gray May Joseph May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 09/22/08 07/28/08 06/11/08 07/30/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Satarah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0860 08 UNC 0881 08 UNC 0928 08 UNC 0952 08 UNC 0951	Webster Brooks Lassiter May Gray Webster Gray May May May May May Gray Gray Gray Gray Gray Gray Joseph	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 07/29/08 09/22/08 07/28/08 07/28/08 07/28/08 07/28/08 07/30/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Rojie Adrienne Webb "Andi" v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Satarah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals John G Sell v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0881 08 UNC 0881 08 UNC 0952 08 UNC 0952 08 UNC 0981 08 UNC 1048 08 UNC 1048	Webster Brooks Lassiter May Gray Webster Gray May May May May Gray Gray Gray Gray May Joseph May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 09/22/08 07/28/08 06/11/08 07/30/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Mararah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals John G Sell v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0881 08 UNC 0881 08 UNC 0952 08 UNC 0952 08 UNC 0981 08 UNC 1048 08 UNC 1048	Webster Brooks Lassiter May Gray Webster Gray May May May Gray Gray Gray Gray May Joseph May Joseph	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 07/29/08 09/12/08 07/28/08 07/30/08 08/21/08 09/18/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Rojie Adrienne Webb "Andi" v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Satarah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals John G Sell v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0881 08 UNC 0881 08 UNC 0952 08 UNC 0952 08 UNC 0981 08 UNC 1048 08 UNC 1048	Webster Brooks Lassiter May Gray Webster Gray May May May May Gray Gray Gray Gray May Joseph May	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 09/22/08 07/28/08 06/11/08 07/30/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Marah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals John G Sell v. UNC Hospitals WELL CONTRACTORS CERTIFICATION COMMISSION Charles P. Pool v. Well Contractors Certification Commission	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0881 08 UNC 0881 08 UNC 0952 08 UNC 0952 08 UNC 0981 08 UNC 1048 08 UNC 1048	Webster Brooks Lassiter May Gray Webster Gray May May May Gray Gray Gray Gray May Joseph May Joseph	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 07/29/08 09/12/08 07/28/08 07/30/08 08/21/08 09/18/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Kaprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Mararah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals John G Sell v. UNC Hospitals	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0881 08 UNC 0881 08 UNC 0952 08 UNC 0952 08 UNC 0981 08 UNC 1048 08 UNC 1048	Webster Brooks Lassiter May Gray Webster Gray May May May Gray Gray Gray Gray May Joseph May Joseph	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 07/29/08 09/12/08 07/28/08 07/30/08 08/21/08 09/18/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Marah K. Latiker v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals John G Sell v. UNC Hospitals WELL CONTRACTORS CERTIFICATION COMMISSION Charles P. Pool v. Well Contractors Certification Commission	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0589 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0881 08 UNC 0881 08 UNC 0952 08 UNC 0952 08 UNC 0981 08 UNC 1048 08 UNC 1048	Webster Brooks Lassiter May Gray Webster Gray May May May Gray Gray Gray Gray May Joseph May Joseph	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 07/29/08 09/12/08 07/28/08 07/30/08 08/21/08 09/18/08 08/21/08 09/18/08		
Department of The Secretary of State Lisa F. Jarvis v. SOS, Notary Division Robert C Garrard Jr. v. NC Department of Secretary of State Wendy Branch Miller v. SOS Helen R. Carpenter v. SOS Vickie M. Jackson v. SOS UNC HOSPITALS Deborah A. Fearrington v. UNC Hospitals, Patient Account Services Charity Smith v. UNC Hospitals Jimmy L. Holder v. UNC Hospitals Bobby and Robin Wilson v. UNC Hospitals Christine Gwyn v. UNC Hospitals Barbara C. King v. UNC Hospitals Eva Kali Green v. UNC Hospitals Raprina Wells v. UNC Hospitals Rolie Adrienne Webb "Andi" v. UNC Hospitals Marcus M. McCullers v. UNC Hospitals Mary C. Gessell v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals Richard and Amy Whitt v. UNC Hospitals John G Sell v. UNC Hospitals WELL CONTRACTORS CERTIFICATION COMMISSION WILDLIFE RESOURCES COMMISSION	08 SOS 0074 08 SOS 0523 08 SOS 1018 08 SOS 1326 08 SOS 1326 08 SOS 1784 07 UNC 2248 08 UNC 0533 08 UNC 0595 08 UNC 0734 08 UNC 0805 08 UNC 0841 08 UNC 0881 08 UNC 0922 08 UNC 0922 08 UNC 0931 08 UNC 1048 08 UNC 1334	Webster Brooks Lassiter May Gray Webster Gray May May May May Gray Gray Gray Gray May Joseph May Joseph	11/06/08 09/12/08 07/14/08 10/08/08 10/27/08 11/05/08 07/28/08 07/29/08 09/11/08 09/11/08 07/29/08 09/12/08 09/12/08 07/28/08 07/30/08 08/21/08 09/18/08 08/21/08 09/15/08		