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NORTH CAROLINA

REGISTER

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December 1, 1999

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For those persons that have questions or concerns regarding the Administrative Procedure Act or any of its components, consult with the agencies below. The bolded headings are typical issues which the given agency can address, but are not inclusive.

Rule Notices, Filings, Register, Deadlines, Copies of Proposed Rules, etc.

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NORTH CAROLINA ADMINISTRATIVE CODE CLASSIFICATION SYSTEM

The North Carolina Administrative Code (NCAC) has four major subdivisions of rules. Two of these, titles and chapters, are mandatory. The major subdivision of the NCAC is the title. Each major department in the North Carolina executive branch of government has been assigned a title number. Titles are further broken down into chapters which shall be numerical in order. The other two, subchapters and sections are optional subdivisions to be used by agencies when appropriate.

TITLE/MAJOR DIVISIONS OF THE NORTH CAROLINA ADMINISTRATIVE CODE

TITLE DEPARTMENT

LICENSING BOARDS

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|-----|--------------------------------------|--|----|
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| 4 | Commerce | Auctioneers | 4 |
| 5 | Correction | Barber Examiners | 6 |
| 6 | Council of State | Certified Public Accountant Examiners | 8 |
| 7 | Cultural Resources | Chiropractic Examiners | 10 |
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EXPLANATION OF THE PUBLICATION SCHEDULE

This Publication Schedule is prepared by the Office of Administrative Hearings as a public service and the computation of time periods are not to be deemed binding or controlling. Time is computed according to 26 NCAC 2C .0302 and the Rules of Civil Procedure, Rule 6.

GENERAL

for The North Carolina Register shall be published twice a month and contains the following information submitted publication by a state agency:

- notices of rule-making proceedtemporary rules; Ξ 3
- text of permanent rules approved text of proposed rules; $\widehat{\mathbb{C}}$ $\overline{4}$
- by the Rules Review Commission; notices of receipt of a petition for nunicipal incorporation, as 3
- Executive Orders of the Governor; required by G.S. 120-165; 96
- a jurisdiction subject of Section 5 orders of the Tax Review Board Attorney General concerning changes in laws affecting voting in of the Voting Rights Act of 1965, inal decision letters from the U.S. as required by G.S. 120-30.9H;
- other information the Codifier of Rules determines to be helpful to ssued under G.S. 105-241.2; and 6 8

the public.

unless it is a Saturday, Sunday, or State COMPUTING TIME: In computing time in the schedule, the day of publication of the North Carolina Register is not included. The last holiday, in which event the period runs until day of the period so computed is included, the preceding day which is not a Saturday, Sunday, or State holiday.

FILING DEADLINES

before or after) the first or fifteenth for State employees, the North Carolina Register issue for that day will be published on the day of that month closest to (either respectively that is not a Saturday, Sunday, SSUE DATE: The Register is published on the first and fifteen of each month if the first Sunday, or State holiday for employees mandated by the State Personnel Commission. If the first or fifteenth of any month is a Saturday, Sunday, or a holiday or fifteenth of the month is not a Saturday, or holiday for State employees.

filing for any issue is 15 days before the LAST DAY FOR FILING: The last day for issue date excluding Saturdays, Sundays, and holidays for State employees

NOTICE OF RULE-MAKING PROCEEDINGS

making proceeding until the text of the proposed rules is published, and the text of the proposed rule shall not be published until at least 60 days after the notice of rule-END OF COMMENT PERIOD TO A NOTICE OF RULE-MAKING PROCEEDINGS: This date is accept comments on the notice of rule-60 days from the issue date. An agency shall making proceedings was published. EARLIEST REGISTER ISSUE FOR PUBLICATION OF TEXT: The date of the next issue following the end of the comment period.

NOTICE OF TEXT

hearing date shall be at least 15 days after EARLIEST DATE FOR PUBLIC HEARING: The the date a notice of the hearing is published.

until the date of any public hearings held on (2) RULE WITH SUBSTANTIAL ECONOMIC (1) RULE WITH NON-SUBSTANTIAL ECONOMIC IMPACT: An agency shall accept comments on the text of a proposed rule for at least 30 days after the text is published or the proposed rule, whichever is longer. END OF REQUIRED COMMENT PERIOD

IMPACT: An agency shall accept comments on the text of a proposed rule published in economic impact requiring a fiscal note under G.S. 150B-21.4(b1) for at least 60 days after publication or until the date of any public hearing held on the rule, whichever is the Register and that has a substantial longer.

REVIEW COMMISSION: The Commission shall review a rule submitted to it on or DEADLINE TO SUBMIT TO THE RULES before the twentieth of a month by the last day of the next month.

ASSEMBLY: This date is the first legislative day of the next regular session of the General Assembly following approval of the rule by the Rules Review Commission. See FIRST LEGISLATIVE DAY OF THE NEXT REGULAR SESSION OF THE GENERAL G.S. 150B-21.3, Effective date of rules. T his Section contains public notices that are required to be published in the Register or have been approved by the Codifier of Rules for publication.

PUBLIC NOTICE OF RULE-MAKING

BY THE NORTH CAROLINA INDUSTRIAL COMMISSION

(A) TO ESTABLISH INPATIENT HOSPITAL FEES FOR WORKERS' COMPENSATION CASES; (B) TO REVISE FEES AND TERMS AND CONDITIONS OF SERVICE FOR CHIROPRACTORS; (C) TO REVISE FEES FOR DENTISTS; (D) TO ADD EVALUATION CODES FOR PHYSICAL MEDICINE; (E) TO ADD CODES FOR TELEPHONE CONFERENCES BETWEEN PHYSICIANS AND OTHERS; and (F) TO CHANGE BILLING METHOD FOR ANESTHESIA.

NOTICE IS HEREBY GIVEN that, pursuant to N.C. General Statute Sections 97-26(a), 97-26(b)(3), and 97-80(a), the North Carolina Industrial Commission will hold a public hearing on the following matters: (A) amendments to the Industrial Commission's fee schedule for hospital inpatient bills; (B) major revision to the Industrial Commission's fee schedule and terms and conditions of service for chiropractors; (C) major revision to the Industrial Commission's fee schedule for dentists; (D) the addition to the fee schedule of certain evaluation codes for physical medicine; (E) the addition to the fee schedule for telephone conferences between physicians and others; and (F) changes in the billing method for anesthesia. All of the proposed changes are to become effective January 1, 2000. The Commission solicits the comments of all interested persons, firms, and organizations who wish to comment concerning any aspect of the proposed changes to the fee schedule and terms and conditions of service may be obtained by any interested person by addressing a request to the address below. COPIES MAY ALSO BE OBTAINED ON THE INDUSTRIAL COMMISSION'S WEBSITE: http://www.comp.state.nc.us/ncichome.htm

The amendment to the inpatient hospital fee schedule is designed: TO ESTABLISH a method of calculating inpatient hospital fees in workers' compensation cases pursuant to N.C. General Statute Section 97-26(b)(3) based upon Diagnostic Related Groupings and the inpatient hospital bill (UB-92) for the period beginning with adoption and extending until a successor method is adopted pursuant to N.C. General Statute Section 97-26(b)(3).

The Commission requests written comments on the proposed changes. In addition, **THERE WILL BE A PUBLIC HEARING** held on the 17th day of December, 1999, at 9:30 a.m., in Room 2173, the Industrial Commission Hearing Room, Dobbs Building, Second Floor, 430 North Salisbury Street, Raleigh, N.C., during which the Commission will hear the verbal comments of persons scheduled to speak. Those desiring to make an oral presentation, not to exceed 10 minutes in length, should submit a request on or before December 15, 1999. Speakers at the public hearing are encouraged to prepare a written summary of remarks for the use of the Commission.

WRITTEN COMMENTS, REQUESTS FOR COPIES AND REQUESTS FOR ORAL PRESENTATIONS SHOULD BE ADDRESSED TO COMMISSIONER THOMAS J. BOLCH AT 4336 MAIL SERVICE CENTER, RALEIGH, NC 27699-4336 or by telephone call to Commissioner Bolch at (919) 733-1949. COPIES MAY ALSO BE OBTAINED ON THE INDUSTRIAL COMMISSION'S WEBSITE: <u>http://www.comp.state.nc.us/ncichome.htm</u>

WRITTEN COMMENTS SHOULD BE FAXED OR MAILED NO LATER THAN DECEMBER 31, 1999.

The amendment to the method of calculating inpatient hospital fees is as follows: **Diagnostic Related Groupings (DRG's) will be** utilized subject to the following maximum and minimum. The maximum will be 100% of the hospital's itemized charges as shown on the UB-92 claim form. The minimum shall be 82.28% of the charges as shown on the UB-92 claim form. DRG's falling within the band limited by the maximum and minimum will be approved at whatever they are.

The 82.28% minimum was established by (a) reviewing data from the State Health Plan to ascertain the aggregate hospital itemized charges and aggregate amounts authorized for payment by the State Plan (including payments actually made by the State Plan and deductible, coinsurance, or other amounts for which the patient/insured may have been liable) for inpatient hospital claims paid to participating hospitals by the State Plan during the fiscal year ended June 30, 1999. The Commission then utilized the data described in the preceding sentence to calculate the extent, if any, to which aggregate State Plan authorized payments were less than aggregate charges on inpatient hospital claims paid by the State Plan during the fiscal year ended June 30, 1999. (b) The Commission then calculated aggregate hospital itemized charges and aggregate payments authorized by the Commission on all inpatient hospital workers' compensation claims approved for payment by the Commission during the fiscal year ended June 30, 1999.

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NORTH CAROLINA REGISTER December 1, 1999

Based on the data described in subdivisions (a) and (b) immediately preceding, the Commission established a minimum percentage that will result in a payment rate for inpatient workers' compensation cases that in the aggregate bears a percentage relationship to hospital itemized charges that is equal to the State Plan relationship between aggregate payments authorized and aggregate itemized charges for claims paid by the State Plan during the fiscal year ended June 30, 1999.

This 4th day of November 1999.

- A. Bolch

Thomas J. Bolch, Commissioner North Carolina Industrial Commission

U.S. Department of Justice

Civil Rights Division

Voting Section P.O. Box 66128 Washington, D.C. 20035-6128

October 6, 1999

Susan K. Nichols, Esq. Special Deputy Attorney General P.O. Box 629 Raleigh, NC 27602-0629

Dear Ms. Nichols:

This refers to Session Law 1989-49, which permits a city manager to be an elected school board member under certain circumstances, and Session Law 1997-25, which permits a city manager under certain circumstances, to be an elected official of a city other than the one by which the manager is employed in the State of North Carolina, submitted to the Attorney General pursuant to Section 5 of the Voting Rights Act, 42 U.S.C. 1973c. We received your submissions on August 27, 1999.

The Attorney General does not interpose any objection to the specified changes. However, we note that Section 5 expressly provides that the failure of the Attorney General to object does not bar subsequent litigation to enjoin the enforcement of the changes. In addition, as authorized by Section 5, we reserve the right to reexamine these submissions if additional information that would otherwise require an objection comes to our attention during the remainder of the sixty-day review period. See the Procedures for the Administration of Section 5 (28 C.F.R. 51.41and 51.43).

Sincerely,

Joseph D. Rich Acting Chief Voting Section

DJ 166-012-3 1997-3397 1997-3912

JDR:GS:SMC:par

14:11

A Notice of Rule-making Proceedings is a statement of subject matter of the agency's proposed rule making. The agency must publish a notice of the subject matter for public comment at least 60 days prior to publishing the proposed text of a rule. Publication of a temporary rule serves as a Notice of Rule-making Proceedings and can be found in the Register under the section heading of Temporary Rules. A Rule-making Agenda published by an agency serves as Rule-making Proceedings and can be found in the Register under the section heading of Rule-making Agendas. Statutory reference: G.S. 150B-21.2.

TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

CHAPTER 2 - ENVIRONMENTAL MANAGEMENT

SUBCHAPTER 2B - SURFACE WATER AND WETLAND STANDARDS

Notice of Rule-making Proceedings is hereby given by the Environmental Management Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 15A NCAC 2B .0234 - Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 143-214.1; 143-215; 143-215.1; 143-215.3(a)(1); S.L. 1995, c.572.

Statement of the Subject Matter: This rule amends 15A NCAC 2B .0234 which outlines the nutrient sensitive waters requirements for wastewater dischargers in the Neuse River Basin.

Reason for Proposed Action: In June 1998, the U.S. Environmental Protection Agency (EPA) and the Neuse River Foundation settled a lawsuit. As part of that settlement, the EPA agreed to develop a total maximum daily load (TMDL) for total nitrogen for the Neuse River estuary if the State of North Carolina did not submit one to them by October 31, 1998. All TMDLs must be developed in accordance with the provisions of Section 303(d) of the Clean Water Act and 40 CFR 130.7. The North Carolina Division of Water Quality met this deadline, and the TMDL was subsequently approved by EPA in July 1999. As part of this TMDL, allocations of total nitrogen were made to point sources and various nonpoint source categories.

The existing rule that outlines the nutrient sensitive waters requirements for wastewater dischargers in the Neuse River Basin contains errors. As written, the wastewater dischargers would not meet their allocation specified in the approved TMDL. In accordance with 40 CFR 122.4(i) and 40 CFR 122.44, the nitrogen limits specified in the NPDES permits must be in line with the approved TMDL or EPA cannot approve the permits.

Negotiations related to the TMDL have prevented re-issuance of over 100 NPDES permits in the Neuse River Basin. In order for the Division to issue the NPDES permits in the shortest reasonable time while complying with the TMDL and federal regulations, this rule will be adopted as a temporary rule.

Comment Procedures: Comments may be submitted to Ruth Swanek, DENR-DWQ Planning Branch, 1617 Mail Service Center, Raleigh, NC 27699-1617, Phone (919) 733-5083, ext. 503.

CHAPTER 10 - WILDLIFE RESOURCES AND WATER SAFETY

SUBCHAPTER 10I - ENDANGERED AND THREATENED SPECIES

North Carolina Wildlife Resources Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 15A NCAC 101 .0100 - Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 113-134; 113-291.2; 113-291.3; 113-292; 113-333

Statement of the Subject Matter: *Set/Amend Endangered Species Regulations*

Reason for Proposed Action: *To set/amend endangered and threatened species regulations, which are necessary to manage and conserve the resource.*

Comment Procedures: The record will be opened for receipt of written comments. Such written comments must be delivered or mailed to the North Carolina Wildlife Resources Commission, 512 N. Salisbury Street, Raleigh, NC 27604-1188.

CHAPTER 18 - ENVIRONMENTAL HEALTH

SUBCHAPTER 18A - SANITATION

Notice of Rule-making Proceedings is hereby given by the

Commission for Health Services in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 15A NCAC 18A .1809, .1811, .1812 - Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 130A-248

Statement of the Subject Matter: *Rule changes in lavatory cleaning, cleaning and sanitizing of multi-use items in guest rooms and reorganization of some rules.*

Reason for Proposed Action: The rule changes are required by legislation the General Assembly passed and they need to be in place by January 31, 2000. These rules will be adopted as temporary rules.

Comment Procedures: *Please send all comments to Susan C. Grayson, Branch Head, DENR/EHSS, 1632 Mail Service Center, Raleigh, NC 27699-1632.*

CHAPTER 18 - ENVIRONMENTAL HEALTH

SUBCHAPTER 18A - SANITATION

Notice of Rule-making Proceedings is hereby given by the Department of Environment and Natural Resources in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 15A NCAC 18A.2802, .2806, .2810, .2812, .2815, .2825 - Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 110-91; 110-86

Statement of the Subject Matter: Sanitation of Child Care Centers licensed for fewer than 13 children and located in a residence.

Reason for Proposed Action: Agency staff recognized the immediate need to amend the Rules (15A NCAC 18A .2800) to address compliance feasibility issues concerning child care centers licensed for fewer than 13 children and located in a residence. These rules will be adopted as temporary rules.

Comment Procedures: Written comments may be submitted to Ed Norman, Department of Environment and Natural Resources, Division of Environmental Health, Environmental Health Services Section, 1632 Mail Service Center, Raleigh, NC 27699-1632. T his Section contains the text of proposed rules. At least 60 days prior to the publication of text, the agency published a Notice of Rule-making Proceedings. The agency must accept comments on the proposed rule for at least 30 days from the publication date, or until the public hearing, or a later date if specified in the notice by the agency. The required comment period is 60 days for a rule that has a substantial economic impact of at least five million dollars (\$5,000,000). Statutory reference: G.S. 150B-21.2.

TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Notice is hereby given in accordance with G.S. 150B-21.2 that the Environmental Management Commission intends to amend rule cited as 15A NCAC 2P .0402. Notice of Rulemaking Proceedings was published in the Register on June 15, 1999.

Proposed Effective Date: August 1, 2000

A Public Hearing will be conducted at 2:00 pm on December 16, 1999 in Room G19, Archdale Building, 512 N. Salisbury St., Raleigh, NC.

Reason for Proposed Action: In House Bill 1483 (S.L. 1998, c.161, s.5) the General Assembly mandated that the Environmental Management Commission may require an underground storage tank owner, operator, or landowner to obtain approval from the Department before proceeding with any task that will result in a cost that is eligible to be paid or reimbursed under G.S. 143-215.94B(b1), or 143-215.94D(b1). The General Assembly further stipulated that the Commission shall specify by rule those tasks for which preapproval is required.

Comment Procedures: Comments may be sent to DENR, Division of Waste Management, UST Section, 1637 Mail Service Center, Raleigh, NC 27699-1637, attn: George C. Matthis, Jr. Comment period ends December 31, 1999.

Fiscal Impact State Local Sub. None ✓ ✓

CHAPTER 2 - ENVIRONMENTAL MANAGEMENT

SUBCHAPTER 2P - LEAKING PETROLEUM UNDERGROUND STORAGE TANK CLEANUP FUNDS

SECTION .0400 - REIMBURSEMENT PROCEDURE

.0402 CLEANUP COSTS

(a) In determining whether costs expended by an owner or operator or landowner are reasonable and necessary, the Division shall consider the following:

(1) Adequacy and cost-effectiveness of any work performed and technical activity utilized by the owner

or operator or landowner in performing release response, site assessment and corrective action.

- (2) Typical billing rates of engineering, geological, or other environmental consulting firms providing similar services in the State as determined by the Division.
- (3) Typical rental rates for any necessary equipment as determined by the Division. The mount reimbursed for equipment rental shall not exceed the typical purchase price of such equipment.
- (4) Typical costs or rates of any other necessary service, labor or expense as determined by the vision.
- (5) Whether costs expended for corrective action were required by 15A NCAC 2L.

(b) Expenditures not eligible for reimbursement shall include the following:

- Costs of the removal and disposal of noncommercial underground storage tanks and contents removed on or after July 3, 1991, and of commercial underground storage tanks and contents removed on or after January 1, 1992;
- (2) Costs of the replacement of any underground storage tank, piping, fitting, or ancillary equipment;
- (3) Costs incurred in preparation of any proposals or bid by a provider of service for the purpose of soliciting or bidding for the opportunity to perform an environmental investigation or cleanup, even if that provider is ultimately selected to provide the service solicited;
- (4) Interest on any accounts, loans, etc.;
- (5) Expenses charged by the owner or operator or landowner in the processing and management of a reimbursement application or subsequent claims;
- (6) Attorney's fees;
- (7) Penalties, fees, and fines assessed by any court or agency;
- (8) Loss of profits, fees, and wages incurred by the owner or operator or landowner;
- (9) Costs incurred during cleanup if preapproval of the cleanup tasks and associated costs was not obtained from the Division. Preapproval is not required for assessment activities or for costs determined by the Division to be related to emergency response actions;
- (9)(10) Any other expenses not specifically related to environmental cleanup, or implementation of a cost effective environmental cleanup, or third party bodily injury or property damage.

Authority G.S. 43-215.3; 143-215.94B; 143-215.94D; 143-215.94E; 143-215.94L; 143-215.94T; 143-215.94V; 143B-282.

Notice is hereby given in accordance with G.S. 150B-21.2 that the Commission for Health Services intends to amend rule cited as 15A NCAC 18A .2515. Notice of Rule-making Proceedings was published in the Register on August 16, 1999 and September 15, 1999.

Proposed Effective Date: August 1, 2000

A Public Hearing will be conducted at 9:00 am on February 2, 2000 at the Ground Floor Hearing Room, Archdale Building, 512 N. Salisbury St., Raleigh, NC.

Reason for Proposed Action: Language not accepted by the Commission for Health Services was accidentally included and is being removed.

Comment Procedures: Comments will be accepted through February 2, 2000. Send all comments to Jim Hayes. Environmental Health Services Section, 1632 Mail Services Section, Raleigh, NC 27699-1632.

Fiscal Impact State Local Sub. None

CHAPTER 18 - ENVIRONMENTAL HEALTH

SUBCHAPTER 18A - SANITATION

SECTION .2500 - PUBLIC SWIMMING POOLS

.2515 DESIGN DETAILS

(a) Pools shall be designed and constructed to withstand all anticipated loadings for both full and empty conditions.

(b) A hydrostatic relief valve shall be provided for in-ground swimming pools which extend more than two feet below the grade of surrounding land surface unless a gravity drainage system is provided.

(c) Provisions shall be made for complete, continuous circulation of water through all areas of the swimming pool. Swimming pools shall have a circulation system with approved

treatment, disinfection, and filtration equipment as required in these Rules.

(d) The minimum depth of water in the swimming pool shall be three feet (0.91 m) except for special purpose swimming pools for which a minimum depth of less than three feet is required or for restricted or recessed areas in swimming pools which are set aside primarily for the use of children and handicapped persons. Such areas when included as part of the swimming pool shall be separated from the swimming pool proper by a safety line supported by buoys and attached to the side walls.

(e) The maximum depth at the shallow end of the swimming pool shall be 3.5 feet (1.07 m) except for competitive or other special purpose swimming pools for which a minimum depth of greater than 3.5 feet is required.

(f) Connections for safety lines shall be recessed in the walls in a manner which presents no hazard to swimmers.

(g) Decorative features such as planters, fountains, fountains and waterfalls and swim-up bars located on pool decks shall comply with the following:

- (1) Shall not occupy more than 20 percent of the pool perimeter;
- (2) If located adjacent to a water depth of greater than five feet, shall not be more than 20 feet wide;
- (3) Shall not provide handholds or footholds that could encourage climbing above deck level;
- (4) A walkway shall be provided to permit free access around decorative features and shall be as wide as the lesser of five feet or the required deck width; width required in Rule .2528 of this Section;
- (5) Shall not obstruct the view of any part of the pool from any seating area;
- (6) Features with moving water shall be separate from the pool recirculation system; system.
- (7) Stools provided for swim-up bars shall be located in a separate alcove from the swimming area with a depth of no more than 42 inches of water and shall be marked with a color which contrasts with the pool bottom.

Authority G.S. 130A-282.

The Codifier of Rules has entered the following temporary rule(s) in the North Carolina Administrative Code. Pursuant to G.S. 150B-21.1(e), publication of a temporary rule in the North Carolina Register serves as a notice of rule-making proceedings unless this notice has been previously published by the agency.

TITLE 16 - DEPARTMENT OF PUBLIC EDUCATION

Rule-making Agency: State Board of Education

Rule Citation: 16 NCAC 6C.0402

Effective Date: November 8, 1999

Findings Reviewed by Julian Mann III: Approved

Authority for the rule-making: G.S. 115C-12.2

Reason for Proposed Action: On June 9, 1999, the Governor signed into law Chapter 1999-170 of the 1999 Session Laws. This law enacted G.S. 115C-12.2 to require the State Board of Education to adopt rules concerning voluntary shared leave. Staff of the Department of Public Instruction have been working with the State Personnel Commission to develop joint rules as directed by the new law. Public school employees are currently at work and some have indicated the need and desire to share leave with family members who meet the conditions of Chapter 1999-170.

Comment Procedures: Written comments may be submitted to Harry Wilson, State Board of Education, 301 N. Wilmington St., Raleigh, NC 27601-2825. Comments may be sent by FAX at (919) 715-0764. Telephone inquiries may be directed to Harry Wilson at (919) 715-1310.

CHAPTER 6 - ELEMENTARY AND SECONDARY EDUCATION

SUBCHAPTER 6C - PERSONNEL

SECTION .0400 - LEAVE

.0402 SICK LEAVE

(a) Public school employees who earn vacation leave <u>shall</u> also earn sick leave. Full-time employees <u>shall</u> earn one day per month or the number of hours worked daily by a full time employee in that class of work. Part-time employees <u>shall</u> earn and may use sick leave in proportion to the part of the day for which they are employed.

(b) The LEA may allow sick leave to be used for temporary disability which prevents an employee from performing his or her usual duties, illness in the employee's immediate family and attendant medical appointments which require the employee's attendance, death in the immediate family and medical appointments for the employee. The For the purposes of this

<u>Rule the term</u> immediate family includes <u>shall</u> include spouse, children, parents (including the step relationship) parents, brothers, sisters, grandparents, grandchildren, and dependents living in the household, except that in the case of death, the term does not include dependents but does include siblings, grandparents, grandchildren, and the step, half and in-law relationships. household. The term shall also include the step, half, and in-law relationships. An employee of any public school system may contribute vacation or sick leave to another immediate family member who is employed by any State agency or public school system.

(c) Employees must take leave in one-half days, whole days, or hours as determined for earning purposes by the local board.

(d) Employees may accumulate sick leave indefinitely and may transfer sick leave as in the case of vacation leave.

(e) LEAs may advance sick leave not to exceed the amount which would be earned within the school year.

(f) An employee who is overdrawn on sick leave when the employee separates from service will have the excess leave corrected through a deduction from the final salary check.

(g) If the period of sick leave taken is less than 30 days, the employee will return to his or her position with the LEA. If the period of temporary disability exceeds 30 days, the superintendent shall determine when the employee is to be reinstated. The superintendent makes shall make this decision based on the welfare of the students and the need for continuity of instruction.

(h) The LEA shall credit an employee who separates from service and returns within 60 months with all sick leave accumulated to the time of separation.

(i) Permanent full or part-time instructional personnel, excluding teacher assistants, who are absent due to their personal illness or injury in excess of their accumulated sick leave, shall be allowed extended sick leave of up to 20 work days throughout the regular term of employment. These days do not have to be consecutive. A new employee must have reported to work to be eligible for extended sick leave. The superintendent may require a doctor's certificate or other acceptable proof of the reason for the absence.

(j) An LEA may establish a voluntary sick leave bank for its employees. Any employee of an LEA that establishes a voluntary sick leave bank may, but is not required to, participate in the voluntary sick leave bank.

- The LEA shall develop and implement a plan for participation that shall include those factors listed in G.S. 115C-336(b)(i)-(vii) and the following:
 - (A) a uniform number of days to be contributed to the bank by participants;
 - (B) provisions for legitimate usage of days by participants;
 - (C) means to protect against overdraft of total

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contributed days; and

- (D) safeguards to prevent abuses by participants.
- (2) The LEA shall establish a sick leave bank committee to administer the sick leave bank.
 - (A) The LEA shall assure that all local personnel are equitably represented on the committee.
 - (B) The LEA shall develop operational rules for the efficient and effective functioning of the bank.
 - (C) The LEA shall develop procedures for participants' usage of days based upon requirements in the plan.
 - (D) The LEA shall specify the limits of the committee's authority.
 - (E) The committee shall notify all participating employees of the ways in which their participation will affect their state retirement account.
- (3) The LEA shall ensure that its operational procedures require:
 - (A) that payment of substitutes and matching social security are charged to the appropriate program report code; and
 - (B) the reporting to the division of school business services of the Department of the number of employees participating itemized by job classification, the number of sick leave days withdrawn, the cost of the leave, and other data required for fiscal and programmatic accountability.

History Note: Authority G.S. 115C-12(8); 115C-336; Eff. July 1, 1986; Amended Eff. June 1, 1994; October 1, 1993; July 1, 1992; March 1, 1990;

Temporary Amendment Eff. November 8, 1999.

TITLE 19A - DEPARTMENT OF TRANSPORTATION

Rule-making Agency: N.C. Department of Transportation -Division of Motor Vehicles

Rule Citation: 19A NCAC 3D .0801

Effective Date: December 1, 1999

Findings Reviewed by Beecher R. Gray: Approved

Authority for the rule-making: *G.S. 120-17.7; 20-37.22; 20-183.2(a); 20-384; 20-17.7; 20-21; 20-381*

Reason for Proposed Action: Federal regulation 49 C.F.R. 350.9(m) requires North Carolina to "seek to implement into law and practice the recommended fine schedule published by

the Commercial Vehicle Safety Alliance." In accordance with the federal regulation, the General Assembly passed S.L. 99-330 which added G.S. 20-17.7 to allow the Commissioner of Motor Vehicles to adopt rules implementing fines for violation of outof-service criteria. The effective date of Section 20-17.7 is December 1, 1999. In order to have a fine schedule and procedures for collection of such fines in effect by December 1, 1999 a temporary rule is needed.

Comment Procedures: Any interested person may submit written comments on the proposed rule by mailing the comments to Emily Lee, N.C. DOT, PO Box 25201, Raleigh, NC 27611.

CHAPTER 3 - DIVISION OF MOTOR VEHICLES

SUBCHAPTER 3D - ENFORCEMENT SECTION

SECTION .0800 - SAFETY RULES AND REGULATIONS

.0801 SAFETY OF OPERATION AND EQUIPMENT

(a) The rules and regulations adopted by the U.S. Department of Transportation relating to safety of operation and equipment (49 CFR Parts 390-398 and amendments thereto) shall apply to all for-hire motor carrier vehicles,-whether common carriers, contract carriers or exempt carriers and all private motor carriers, while engaged in interstate commerce over the highways of the State of North Carolina.

(b) The rules and regulations adopted by the U.S. Department of Transportation relating to safety of operation and equipment (49 CFR Parts 390-398 and amendments thereto) shall apply to all for-hire motor carrier vehicles, whether common carriers, contract carriers or exempt carriers and all private motor carrier vehicles engaged in intrastate commerce over the highways of the State of North Carolina if such vehicles have a GVWR of greater than 26,000 pounds; are designed to transport 16 or more passengers, including the driver; or transport hazardous materials required to be placarded pursuant to 49 CFR 170-190. Provided, the following exceptions shall also apply to all intrastate motor carriers:

- (1) An intrastate motor carrier driver may not drive more than 12 hours following eight consecutive hours off duty; or for any period after having been on duty 16 hours following eight consecutive hours off duty; or after having been on duty 70 hours in seven consecutive days; or more than 80 hours in eight consecutive days. An intrastate driver will be determined by his previous seven days of operation.
- (2) Persons who otherwise qualify medically to operate a commercial motor vehicle within the State of North Carolina will be exempt from the provisions of Part 391.11(b)(1) and Part 391.41(b)(1) through (11) and therefore will be authorized for intrastate operation if approved by an Exemption Review Officer appointed by the Commissioner of Motor Vehicles. These drivers shall continue to be exempt upon completion

of a biennial medical examination indicating the condition has not worsened or no new disqualifying conditions have been diagnosed and upon continued approval of an Exemption Review Officer.

(c) The rules and regulations adopted by the U. S. Department of Transportation relating to inspection, repair and maintenance of motor vehicles (49 CFR Part 396.17 through 396.23 and including Appendix G, and amendments thereto) shall apply to all for-hire motor carrier vehicles, whether common earriers, contract carriers or exempt carriers and all private motor carrier vehicles engaged in intrastate commerce over the highways of the State of North Carolina if such vehicles have a GVWR of greater than 10,000 pounds. Provided, any farm vehicle shall be exempt from the requirements of this Paragraph if:

- (1) It is being operated by a farmer (or a person under the direct control of the farmer) as a private motor carrier of property;
- (2) It is being used to transport either:
 - (A) agricultural products, or
 - (B) farm machinery, farm supplies, or both, to and from a farm;
- (3) It is being operated solely within this State and within 150 air-miles of the farmer's farm;
- (4) It is not being used in the operation of a for-hire motor carrier; and
- (5) It is not carrying hazardous materials of a type or quantity that requires the vehicle to be placarded in accordance with 49 CFR 177.823.

(d) Every motor vehicle registered or required to be registered in North Carolina and subject to the inspection requirements of the Federal Motor Carrier Safety Regulations (49 CFR Part 396) which does not display a current approved State inspection certificate as provided in N.C. Gen. Stat. 20-183.2 must display a current approved federal inspection certificate when operated on the streets and highways of this State. On self-propelled vehicles the federal inspection certificate shall be displayed on the outside of the vehicle in a readily visible location on, or in the immediate vicinity of, the driver's door exclusive of the window or rear view mirror. On trailers and semitrailers, the federal inspection certificate shall be located on the left side as near as possible to the outside lower front of the vehicle. The inspection certificate shall contain at least the following legible information:

- (1) The date of inspection;
- (2) Name and address of the motor carrier or other entity where the inspection report required by 49 CFR 396.21(a) is maintained;
- (3) Information uniquely identifying the vehicle inspected if not clearly marked on the vehicle; and
- (4) A certification that the vehicle has passed an inspection in accordance with 49 CFR 396.17.

(e) All Code of Federal Regulations (CFR) Parts cited in this Section are incorporated herein by reference including any subsequent amendments. A copy of the CFR is available from the Office of Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, at a cost set by that office.

(f) The Commissioner may adopt fines for out-of-service criteria. Such fines, as allowed by G.S. 20-17.7, may not exceed the fines adopted by the Commercial Motor Vehicle Safety Alliance that are in effect on the date of the violations. The commercial motor vehicle out-of-service maximum civil fine schedule shall be maintained in the Office of the Commissioner of the Division of Motor Vehicles, he available for public inspection, and be updated annually on the first day of April. The out-of-service maximum civil fine schedule shall not apply to educational contacts or North American Standard Level-V inspections approved by the Director of the DMV Enforcement Section and the Commissioner of Motor Vehicles. An educational contact for the purpose of this code shall mean a pre-planned, public safety inspection activity, focusing on commercial motor vehicle safety awareness and compliance.

(g) Any fines assessed for violation of an out-of-service criteria shall be assessed against the motor carrier of the commercial motor vehicle.

(h) Any vehicle being operated under the authority of a motor carrier which has been assessed a fine or fines for violation of an out-of-service criteria shall not be released for operation until the violation is corrected or the out-of-service condition is satisfied and all fines assessed for violation of the out-of-service criteria are paid. Where a motor carrier is assessed fines for a driver out-of-service condition, the commercial motor vehicle shall not be released for operation until such fines assessed to the motor carrier for violation of the out-of-service criteria by the driver are paid.

(i) Whenever a motor carrier of a commercial motor vehicle shall have a valid defense to the enforcement of the collection of fines for violation of out-of-service criteria, such motor carrier shall pay such fine to the proper officer, and notify such officer in writing that he pays the same under protest. Such payment shall be without prejudice to any defense or rights he may have, and he may, at any time within 30 days after such payment, demand the same in writing from the Commissioner of Motor Vehicles. If the fines shall not be refunded within 90 days thereafter, he may sue such official in the courts of the State for the amount so demanded. Such suit must be brought in the Superior Court of Wake County, or in the county in which the motor carrier has a principal place of business in North Carolina.

History Note: Filed as a Temporary Amendment Eff. March 30, 1992 for a Period of 180 Days to Expire on September 26, 1992;

Filed as a Temporary Amendment Eff. February 1, 1992 for a Period of 180 Days to Expire on July 30, 1992;

Authority G.S. 20-17.7; 20-21; 20-37.22; 20-183.2(a); 20-381; Eff. December 1, 1983;

Amended Eff. August 3, 1992; July 1, 1992; November 1, 1991; October 1, 1991.

Temporary Amendment Eff. December 1, 1999.

RULES REVIEW COMMISSION

This Section contains the agenda for the next meeting of the Rules Review Commission on <u>Wednesday</u>, <u>December 16</u>, <u>1999</u>, <u>10:00 a.m.</u>, at 1307 Glenwood Ave., Assembly Room, Raleigh, NC. Anyone wishing to submit written comment on any rule before the Commission should submit those comments to the RRC staff, the agency, and the individual Commissioners by <u>Friday</u>, <u>December 10</u>, <u>1999</u>, <u>at 5:00 p.m.</u> Specific instructions and addresses may be obtained from the Rules Review Commission at 919-733-2721. Anyone wishing to address the Commission should notify the RRC staff and the agency at least 24 hours prior to the meeting.

RULES REVIEW COMMISSION MEMBERS

Appointed by Senate Teresa L. Smallwood, Vice Chairman John Arrowood Laura Devan Jim Funderburke David Twiddy

Appointed by House Paul Powell, Chairman Walter Futch Jennie J. Hayman George Robinson R. Palmer Sugg

RULES REVIEW COMMISSION MEETING DATES

December 16, 1999 January 20, 2000 February 17, 2000 March 16, 2000 April 13, 2000

LOG OF FILINGS RULES SUBMITTED: OCTOBER 20, 1999 THROUGH NOVEMBER 20, 1999

| AGENCY/DIVISION | RULE NAME | RULE CITATION | ACTION |
|-----------------|--|-------------------------|------------|
| DEPARTMENT C | OF COMMERCE/CAPE FEAR RIVER | NAVIGATION AND PILOTAGE | COMMISSION |
| Nun | nber of Pilots | 4 NCAC 15.0119 | Amend |
| Ann | ual Renewal of License | 4 NCAC 15.0120 | Amend |
| Арр | renticeship | 4 NCAC 15.0121 | Amend |
| DHHS/DIVISION | OF FACILITY SERVICES | | |
| Loc | ation of Agency | 10 NCAC 3R .0111 | Amend |
| Rese | earch Activity | 10 NCAC 3R .0212 | Amend |
| Defi | initions | 10 NCAC 3U .0102 | Amend |
| Scoj | pe | 10 NCAC 3U .2501 | Amend |
| Spec | cial Provisions for Licensure | 10 NCAC 3U .2502 | Amend |
| Staf | f Qualifications | 10 NCAC 3U .2510 | Amend |
| Edu | cation Standards for a Rated License | 10 NCAC 3U .2804 | Amend |
| Edu | cation Standards for Centers | 10 NCAC 3U .2811 | Adopt |
| DHHS/DIVISION | OF VOCATIONAL REHABILITATION | ON SERVICES | |
| Seci | etary's Review and Final Decision | 10 NCAC 20B .0224 | Amend |
| Civi | 1 Action | 10 NCAC 20B .0228 | Amend |
| DENR | | | |
| Purp | oose | 15 NCAC 1J .0101 | Amend |
| Defi | initions | 15 NCAC 1J .0102 | Amend |
| Gran | nts from the High-Unit Cost Accounts | 15 NCAC 1J .0202 | Amend |
| | ng of Required Supplemental Information | | Amend |
| Crite | eria for Planning and Water Conservation | 15 NCAC 1J .0402 | Amend |
| App | licable Conditions | 15 NCAC 1J .0502 | Amend |
| Fisc | al Responsibility of the Applicant | 15 NCAC 1J .0504 | Amend |
| | lic Need | 15 NCAC 1J .0601 | Amend |
| Fisc | al Responsibility of the Applicant | 15 NCAC 1J .0604 | Amend |

| | Public Necessity: Health: Safety | 15 NCAC 1J .0701 | Amend |
|----------|---|------------------|---------|
| | Financial Considerations | 15 NCAC 1J .0703 | Amend |
| | Assignment of Category to Wastewater Applications | 15 NCAC 1J .0803 | Amend |
| | Criteria for Loan Adjustments | 15 NCAC 1J .0903 | Amend |
| | Disbursement of Loans and Grants | 15 NCAC 1J .0904 | Amend |
| | Purpose | 15 NCAC 1L .0101 | Amend |
| | Definitions | 15 NCAC 1L .0102 | Amend |
| | Limitation of Loans | 15 NCAC 1L .0203 | Repeal |
| | Filing of Required Supplemental Information | 15 NCAC 1L .0303 | Amend |
| | Applicable Conditions | 15 NCAC 1L .0501 | Amend |
| | Fiscal Responsibility of the Applicant | 15 NCAC 1L .0503 | Amend |
| | Public Need | 15 NCAC 1L .0601 | Amend |
| | Fiscal Responsibility of the Applicant | 15 NCAC 1L .0604 | Amend |
| | Public Necessity | 15 NCAC 1L .0701 | Amend |
| | Planning and Water Conservation | 15 NCAC 1L .0801 | Amend |
| | Assignment of Category to Wastewater Applications | 15 NCAC 1L .0902 | Amend |
| | Criteria for Loan Adjustments | 15 NCAC 1L .1003 | Amend |
| | Disbursement of Loans | 15 NCAC 1L .1004 | Amend |
| DENR/MAR | INE FISHERIES COMMISSION | | 2 mieno |
| | Identification Information | 15 NCAC 3H .0101 | Repeal |
| | Proclamation Authority | 15 NCAC 3H .0103 | Amend |
| | Definitions | 15 NCAC 31.0101 | Amend |
| | Leaving Devices Unattended | 15 NCAC 3I .0105 | Amend |
| | Scientific, Educational, or Official Collecting | 15 NCAC 31.0106 | Amend |
| | Recordkeeping Requirements | 15 NCAC 31.0114 | Amend |
| | Possession or Transportation | 15 NCAC 3I .0120 | Adopt |
| | Trawl Nets | 15 NCAC 3J .0104 | Amend |
| | Seines | 15 NCAC 3J .0110 | Adopt |
| | Pots | 15 NCAC 3J .0301 | Amend |
| | Recreational Use of Pots | 15 NCAC 3J .0302 | Amend |
| | Trotlines (Multiple Hook or Multiple Bait) | 15 NCAC 3J .0305 | Adopt |
| | Prohibited Shellfish | 15 NCAC 3K .0101 | Amend |
| | Harvest of Crabs and Shellfish | 15 NCAC 3K .0105 | Amend |
| | Taking or Unloading Oysters | 15 NCAC 3K .0106 | Amend |
| | Size Limit and Culling Tolerance | 15 NCAC 3K .0202 | Amend |
| | Taking Bay Scallops at Night | 15 NCAC 3K .0502 | Amend |
| | Size Limit and Culling Tolerance | 15 NCAC 3L .0201 | Amend |
| | Peeler Crabs | 15 NCAC 3L .0206 | Adopt |
| | Season, Size and Harvest Limit | 15 NCAC 3M .0202 | Amend |
| | Flounder | 15 NCAC 3M .0503 | Amend |
| | Trout | 15 NCAC 3M .0504 | Amend |
| | Billfish | 15 NCAC 3M .0507 | Amend |
| | Bluefish | 15 NCAC 3M .0511 | Amend |
| | Cobia | 15 NCAC 3M .0516 | Adopt |
| | Procedure and Requirements | 15 NCAC 3O .0101 | Amend |
| | Recreational Fishing Tournament License | 15 NCAC 30 .0102 | Amend |
| | Auxiliary Vessels | 15 NCAC 30 .0103 | Amend |
| | Commercial Unloading of Fish | 15 NCAC 3O .0104 | Amend |
| | Bait Dealers | 15 NCAC 30 .0105 | Amend |
| | Display of Licenses and Registrations | 15 NCAC 30 .0106 | Amend |
| | Lost License Replacement | 15 NCAC 30 .0107 | Amend |
| | License Transfers | 15 NCAC 30 .0108 | Amend |
| | Assignment of SCFL | 15 NCAC 30 .0109 | Amend |
| | License Refunds | 15 NCAC 30 .0110 | Amend |
| | Surrender of Licenses | 15 NCAC 30 .0111 | Adopt |
| | Standards for Shellfish Bottom | 15 NCAC 30 .0201 | Amend |
| | Eligibility for Recreational Commercial Gear Lic. | 15 NCAC 30 .0301 | Amend |
| | | | |

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| Authorized Gear | | 15 NCAC 30 .0302 | Amend |
|------------------------------|------------------------------|-------------------|--------|
| Recreational Com | mercial Gear License | 15 NCAC 30 .0303 | Amend |
| Consideration of A | | 15 NCAC 30 .0304 | Repeal |
| Emergency Licen | | 15 NCAC 30 .0305 | Repeal |
| Hardship Licenses | | 15 NCAC 30 .0306 | Repeal |
| Appeals Panel Fin | | 15 NCAC 30 .0307 | Repeal |
| Official Record | | 15 NCAC 30 .0308 | Repeal |
| Reasons for Revo | cation | 15 NCAC 30 .0309 | Repeal |
| Temporary Emerg | gency Vessel Crab Licenses | 15 NCAC 30 .0310 | Repeal |
| Eligibility Board | | 15 NCAC 30 .0401 | Adopt |
| Application Proce | SS | 15 NCAC 30 .0402 | Adopt |
| Eligibility Board I | | 15 NCAC 30 .0403 | Adopt |
| Eligibility Criteria | | 15 NCAC 30 .0404 | Adopt |
| Application Docu | | 15 NCAC 30 .0405 | Adopt |
| | rcial Fishing License | 15 NCAC 30 .0406 | Adopt |
| DENR/COASTAL RESOURCE | | | • |
| AECs | | 15 NCAC 7H .0201 | Adopt |
| Management Obje | ective | 15 NCAC 7H .0203 | Adopt |
| Coastal Shoreline | | 15 NCAC 7H .0209 | Adopt |
| Purpose | | 15 NCAC 7H .1301 | Adopt |
| Purpose | | 15 NCAC 7H .1601 | Adopt |
| Purpose | | 15 NCAC 7H .1901 | Adopt |
| Purpose | | 15 NCAC 7H .2301 | Adopt |
| Private Bulkheads | š | 15 NCAC 7K .0203 | Adopt |
| Exemption | | 15 NCAC 7K .0209 | Adopt |
| DENR/COMMISSION FOR H | EALTH SERVICES | | |
| Applicability | | 15 NCAC 18C .0301 | Amend |
| Submittals | | 15 NCAC 18C .0302 | Amend |
| Submissions Requ | lired by Engineer | 15 NCAC 18C .0303 | Amend |
| Application for A | pproval | 15 NCAC 18C .0304 | Amend |
| Approvals Necess | ary Before Contracting | 15 NCAC 18C .0305 | Amend |
| Changes in Engine | eering Plans | 15 NCAC 18C .0306 | Amend |
| Engineer's Water | | 15 NCAC 18C .0307 | Amend |
| Engineering Plans | and Specifications | 15 NCAC 18C .0308 | Amend |
| Final Approval | | 15 NCAC 18C .0309 | Amend |
| Water System Op | eration and Maintenance | 15 NCAC 18C .1304 | Amend |
| STATE BOARDS/N C BOARD | OF PHARMACY | | |
| Automated Disper | nsing or Drug Supply Devices | 21 NCAC 46 .1814 | Amend |
| | intralized Processing | 21 NCAC 46 .1816 | Adopt |
| N C HOUSING FINANCE AGI | | | • |
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RULES REVIEW COMMISSION

November 17, 1999 MINUTES

The Rules Review Commission met on November 17, 1999, in Methodist Building, 1307 Glenwood Avenue, Raleigh, North Carolina. The meeting was convened in the Veterinary Board meeting room after a public announcement to those gathered for the meeting. After a closed session the meeting continued in the Assembly Room. Commissioners in attendance were Vice Chairman Teresa Smallwood, Jennie J. Hayman, Walter Futch, Palmer Sugg, John Arrowood, Laura Devan, David R. Twiddy, and George Robinson.

Staff members present were: Joseph J. DeLuca, Staff Director; Bobby Bryan, Rules Review Specialist; and Sandy Webster.

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The following people attended:

| Harry Wilson | State Board of Education |
|--------------------|--------------------------------------|
| Dedra Alston | DENR |
| Mary Beth Johnston | Womble Carlyle |
| Mary Shuping | Legislative Research |
| Marge Howell | Labor |
| Tom West | Poyner and Spruill |
| Leslie Bevacqua | N C Citizens for Business & Industry |
| Butch Gunnells | N C Soft Drink Association |
| Shirley Bullard | DHHS/CHS |
| Daniel Garner | Banking Commission |
| Thomas Allen | DENR/DAQ |
| Noah Huffstetler | Kilpatrick Stockton |
| Jackie Herbster | Kilpatrick Stockton |
| Ted Edwards | Kilpatrick Stockton |
| Tim Devinney | Glaxo-Wellcome |
| Frank Crawley | Attorney General |
| Henry Jones | Jordan, Price |
| Patricia Purser | DHHS/DSB |
| Denise Stanford | Board of Pharmacy |
| Delores Joyner | OSP/State Personnel Commission |
| James A. Wellons | DHHS/DFS |
| Mary Ann Olsen | Psychology Board |
| Howard Kramer | Board of Nursing |
| Lee Hoffman | DHHS/DFS |
| Bob Fitzgerald | DHHS/DFS |
| Jackie Sheppard | DHHS/DFS |
| •• | |

APPROVAL OF MINUTES

The meeting was called to order at 10:01 a.m. with Vice Chairman Smallwood presiding. She requested that amotion be made to go into closed session to consider communications from its attorneys concerning the Pharmacy Board lawsuit. The motion was made. The Commission reconvened at 10:18 and she asked for any discussion, comments, or corrections concerning the minutes of the September 30, 1999 meeting. There being none, the minutes were approved.

COMMISSION BUSINESS

The Commission elected officers as follow: Theresa Smallwood was elected Chairman, Palmer Sugg was elected First Vice Chairman, and Jennie J. Hayman was elected Second Vice Chairman.

FOLLOW-UP MATTERS

12 NCAC 10B .0103, .0502, and .0601: JUSTICE/Sheriffs' Education & Training Standards – The Commission approved the rewritten rules submitted by the agency.

13 NCAC 7F .0601, .0602, .0603, .0604, .0605, and .0606: DEPARTMENT OF LABOR – Commissioner Payne requested that these rules be postponed until the December meeting. No action was taken on these rules.

15A NCAC 16A .1104 - DHHS/Commission for Health Services - At the request of the agency no action was taken on this rule.

16 NCAC 6D .0503 and .0504: STATE BOARD OF EDUCATION – The rewritten rules submitted by the agency were approved by the Commission.

16 NCAC 6H .0110: STATE BOARD OF EDUCATION – The rewritten rule submitted by the agency was approved by the Commission.

21 NCAC 20.0120: N C BOARD OF REGISTRATION FOR FORESTERS – The rewritten rule submitted by the agency was approved by the Commission.

25 NCAC 1B .0437: STATE PERSONNEL COMMISSION – The rewritten rule submitted by the agency was approved by the Commission.

25 NCAC 1H .0605 and .0606: STATE PERSONNEL COMMISSION – The rewritten rules submitted by the agency were approved by the Commission.

LOG OF FILINGS

Chairman Smallwood presided over the review of the log and all rules were approved with the following exceptions:

2 NCAC 52B .0207: AGRICULTURE/Board of Agriculture – The Commission objected to this rule due to ambiguity. In (a), (c), and (d), it is not clear what is meant by an "accredited" veterinarian. G.S. 106-318 refers to "duly licensed in the state of origin." It is not clear that this is the same. It is also not clear what standards the State Veterinarian is to use to determine if other forms of animal identification are acceptable in (a). In (b), it is not clear who must approve, or what the standards for approval are, for a pseudorabies serological test. In (d), it is not clear what proof of pseudorabies status is acceptable to the State Veterinarian. It is also not clear who must approve or what the standards for approval of a veterinarian are. In (e) it is not clear what standards the State Veterinarian is to use in approving slaughtering establishments.

2 NCAC 52B .0302: AGRICULTURE/Board of Agriculture – The Commission objected to this rule due to ambiguity. In (a)(7), it is not clear what standards the State Veterinarian is to use in requiring buyers of feeder heifers to sign a statement of intent.

2 NCAC 52E .0209: AGRICULTURE/Board of Agriculture – The Commission objected to this rule due to lack of statutory authority and ambiguity. In (a) and (b), it is not clear who must approve or what are the standards for approval of a veterinarian. There is no cited authority for the Board of Agriculture to approve veterinarians. In (a), it is not clear what the standards for approval of ear tags and tattoos are, or what other identification means will be approved in (a) and (b). It is not clear what standards the Commissioner is to use to raise the test age for cattle. Absent specific standards, it must be done through the rulemaking process.

4 NCAC 3L .0701: COMMERCE/Banking Commission – The Commission objected to this rule due to lack of statutory authority and necessity. G.S. 53-284 sets out the grounds upon which the Commissioner may suspend or revoke a license. If the grounds are not listed in that statute, he may not take such action. If it is listed, then it does not need to be in the rule. Commissioner Arrowood recused himself from the Banking Commission rules.

I0 NCAC 3R .6234: DHHS/Division of Facility Services – The Commission objected to this rule due to ambiguity. In (a)(1)(A), it is not clear what is meant by a "suitable" structure. In (a)(2), it is not clear what is meant by "Financial consideration...shall be equitable..." Commissioner Hayman recused herself from Rules .1615, .1713, and .1715.

10 NCAC 3S .1101: DHHS/Division of Facility Services – The Commission objected to this rule due to lack of statutory authority. The definition of the terms, "dietician or nutritionist," "mental health professional," and "registered nurse" are without authority because of the way they are used in .1301. The definitions set occupational requirements by defining the terms as licensed individuals. If the functions required in .1301 can be performed by unlicensed individuals, the department has no authority to require licenses. If licenses are required, then it is up to the licensing board, not the department to enforce.

10 NCAC 3S .1203: DHHS/Division of Facility Services – The Commission objected to this rule due to ambiguity. It is not clear what accrediting bodies are recognized by the Department or conversely what standards the Department will use in recognizing them.

10 NCAC 3S .1207: DHHS/Division of Facility Services – The Commission objected to this rule due to lack of necessity. The rule merely repeats the contents of G.S. 131E-168(b) and is thus unnecessary.

10 NCAC 3S .1301: DHHS/Division of Facility Services – The Commission objected to this rule due to lack of statutory authority and ambiguity. The second sentence in (a) says that the team shall perform "the following functions.," but no functions are then listed. It is not clear what functions are meant. There is no authority cited for the department to set occupational requirements as the rule does in (b)(1), (3), (4), (5), and (6). Items (1) and (4) specifically set out the requirements in this rule

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while the definition of the terms in .1101 sets out the requirements in the other items. While the department can set out what functions must be performed, it is not given authority to tell who can perform them. In (b)(1), it is not clear what is meant by "appropriate subject matter."

10 NCAC 3S .1601: DHHS/Division of Facility Services – The Commission objected to this rule due to ambiguity. In (e)(2), it is not clear what is an "acceptable alternative" for measuring eating patterns.

10 NCAC 3S .1802: DHHS/Division of Facility Services – The Commission objected to this rule due to ambiguity. In (g), it is not clear if "better diabetic control" means any improvement is acceptable.

10 NCAC 3S .2001: DHHS/Division of Facility Services – The Commission objected to this rule due to ambiguity. G.S. 1-15 and 1-17 do not contain provisions for the retention and disposition of records, therefore (5) is unclear.

15A NCAC 2D .0541: DENR/Environmental Management Commission – The Commission objected to this rule due to lack of statutory authority. The first sentence of (f) is probably true. However, there is no authority cited for this agency to make any rules regarding the legal obligations of or consequences to a party for complying or failing to comply with other laws. Likewise the second sentence of (f) purports to set legal standards or conclusions. There is no authority cited for the agency to make rules specifying what does or does not constitute a legal defense.

15A NCAC 2D .1801: DENR/Environmental Management Commission – The Commission objected to this rule due ambiguity. It is unclear in (7) what is meant by "to increase the steady state live weight that can be housed at that animal operation."

15A NCAC 2D .1802: DENR/Environmental Management Commission – The Commission objected to this rule due ambiguity. In (e)(1), lines 30 and subsequently, it is unclear where the determination is made "at" the neighboring occupied property. Is it "at" the boundary, the location of the facility, or some other place?

15A NCAC 3I .0117: DENR/Marine Fisheries Commission – The Commission extended the period of review on this rule in order to determine if the rule is needed to administer the program.

21 NCAC I .0101: N C Acupuncture Licensing Board – The Commission objected to this rule due to lack of statutory authority. There is no authority to license those who do not meet the qualifications set out in the statute. The Board acknowledges in its reason for proposed action that this is the purpose of the rule, to license "those who do not meet..qualifications." In effect this may be an attempt to be a license by comity and there is no authority to grant such licensure.

COMMISSION PROCEDURES AND OTHER MATTERS

An application for contingency funds to pay the attorneys is pending. It has been approved by the Joint Governmental Operations Committee and now awaits action by the Council of State at its December 7 meeting. The attorneys make \$200 per hour. Staff was instructed to inform the attorneys that their presence at the Commission meeting is not necessary.

The next meeting will be on Thursday, December 16, 1999.

The meeting adjourned at 12:40 p.m.

Respectfully submitted, Sandy Webster This Section contains the full text of some of the more significant Administrative Law Judge decisions along with an index to all recent contested cases decisions which are filed under North Carolina's Administrative Procedure Act. Copies of the decisions listed in the index and not published are available upon request for a minimal charge by contacting the Office of Administrative Hearings, (919) 733-2698. Also, the Contested Case Decisions are available on the Internet at the following address: http://www.state.nc.us/OAH/hearings/decision/caseindex.htm.

OFFICE OF ADMINISTRATIVE HEARINGS

Chief Administrative Law Judge JULIAN MANN, III

Senior Administrative Law Judge FRED G. MORRISON JR.

ADMINISTRATIVE LAW JUDGES

Sammie Chess Jr. Beecher R. Gray Melissa Owens Meg Scott Phipps Robert Roosevelt Reilly Jr. Beryl E. Wade

| AGENCY | CASE <u>NUMBER</u> | ALJ | DATE OF DECISION | PUBLISHED DECISION REGISTER <u>CITATION</u> |
|---|-----------------------|----------|---------------------|--|
| ADMINISTRATION | | | | |
| Britthaven, Inc. v. Department of Administration and | 98 DOA 0811 | Chess | 06/10/99 | |
| Priva-Trends, Inc. | | | | |
| Laidlaw Transit Svcs, Inc. v. Katie G. Dorsett, Sec'y/Dept/Administration | 99 DOA 0102 | Morrison | 06/11/99 | 14:02 NCR 115 |
| OFFICE OF ADMINISTRATIVE HEARINGS | | | | |
| Ted Murrell, Zarn, Inc. v. Office of Administrative Hearings | 99 OAH 0665 | Chess | 07/14/99 | |
| Samuel Lee Ferguson v. Office of Administrative Hearings | 99 OAH 0718 | Chess | 07/16/99 | |
| AGRICULTURE | | | | |
| Archie McLean v. Department of Agriculture | 98 DAG 1770 | Reilly | 07/12/99 | 14:04 NCR 349 |
| ALCOHOLIC BEVERAGE CONTROL COMMISSION | | | | |
| Alcoholic Beverage Control Commission v. Keyland, Inc., T/A Cloud 9 | 98 ABC 1099 | Overby | 01/17/99 | |
| Alcoholic Beverage Control Commission v. Food Lion, Inc., Store #1351 | 98 ABC 1270 | Gray | 03/31/99 | 14:04 NCR 347 |
| Alcoholic Beverage Control Commission v. Stop 1, Inc, T/A Stop 1 Grocery | 98 ABC 1337 | Phipps | 09/29/99 | |
| Alcoholic Beverage Control Commission v. George Steven Everett t/a Casino Snooks Place | 98 ABC 1546 | Reilly | 10/19/99 | |
| Alcoholic Beverage Control Commission v. Beech Mountain Resort, Inc. | 99 ABC 0287 | Reilly | 08/11/99 | |
| Alcoholic Bev. Control Comm.v. Partnership T/A Mermaid Rest. & Ege. | 99 ABC 0367 | Chess | 09/17/99 | |
| Alcoholic Beverage Control Commission v. Jaeson Nyung Kim | 99 ABC 0407 | Morrison | 07/09/99 | |
| Alcoholic Beverage Control Commission v. Lillian Sarah Clary | 99 ABC 0615 | Phipps | 09/01/99 | |
| Alcoholic Beverage Control Commission v. Vnus Enterprices, LLC, t/a Rendez Vous Club & City of Charlotte | 99 ABC 0684 | Morgan | 10/15/99 | |
| Alcoholic Beverage Control Commission v. Mohammad Salim Pirani | 99 ABC 0780 | Morrison | 09/21/99 | |
| Alcoholic Beverage Control Commission v. Creek Lounge, Inc. t/a Creek Lounge | 99 ABC 0820 | Morgan | 10/13/99 | |
| Delores Ann Holley v. Alcoholic Beverage Control Commission | 99 ABC 0876 | Gray | 08/10/99 | |
| CRIME CONTROL AND PUBLIC SAFETY | | | | |
| Ray Anthony Breeding v. Crime Control & Public Safety | 93 CPS 0695 | Gray | 09/13/99 | |
| John Ray Webb v. Crime Victims Compensation Commission | 95 CPS 1353 | Gray | 09/13/99 | |
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| and Wake County Board of Commissioners | 55 EIIR 0544 | 1 mpps | 07/20/77 | |
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| Deep River Citizens' Coalition, American Canoe Assoc., Inc., and Deep River Coalition, Inc. v. City of Greensboro, Piedmont Triad Regional | 99 EHR 0613 ¹¹ | Reilly | 11/01/99 | |
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* Consolidated Cases.

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| Terry Allen Brickey v. Alarm Systems Licensing Board | 99 DOJ 0097 | Wade | 05/21/99 | | |
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| J 64.986 L L | | • | | |

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| Robin Perkins Stephens v. UNC Hospitals | 99 UNC 0563 | Owens | 07/21/99 | |
| Rita Jo Kincaid v. UNC Hospitals | 99 UNC 0746* ⁵ | Reilly | 08/09/99 | |
| Rita Jo Kincaid v. UNC Hospitals | 99 UNC 0747* ⁵ | Reilly | 08/09/99 | |

STATE OF NORTH CAROLINA

IN THE OFFICE OF **ADMINISTRATIVE HEARINGS** 98 EHR 1600 COUNTY OF PENDER

| FARNELL SHINGLETON |) | |
|--------------------------------------|---|-----------------------------|
| Petitioner, |) | |
| |) | |
| v. |) | RECOMMENDED DECISION |
| |) | |
| EPARTMENT OF ENVIRONMENT AND NATURAL |) | |
| ESOURCES, |) | |
| Respondent. |) | |

This matter came on for hearing before the undersigned administrative law judge in Carolina Beach on July 15, 1999, and in Jacksonville on August 10, 1999. Robert W. Kilroy represented the petitioner. Mr. Brian McGinn represented the respondent. The petitioner testified. The respondent presented three witnesses and introduced Exhibits #1 - 13, except #8. The respondent submitted a proposed recommended decision on September 8, 1999.

ISSUES

1 Did the petitioner violate the Mining Act of 1971 as alleged in the civil penalty assessment?

2. Did the respondent, in assessing a civil penalty against petitioner, exceed its authority or jurisdiction, act erroneously, fail to use proper procedure, act arbitrarily or capriciously, or fail to act as required by law or rule?

FINDINGS OF FACT

1. Petitioner owns property located on Sapps Road off SR 1569 in Pender County. The petitioner operates a mine on the site. The respondent inspected the site on May 9, 1997, and October 8, 1997, at which time the affected land associated with the mining activity was less than one acre.

The respondent sent copies of the Mine Inspection Reports to the petitioner informing him that he would need to 2. obtain a valid mining permit should the affected land associated with the mining operation exceed an acre in size.

3. On November 14, 1997, an inspection of the site revealed that the size of the mining pit had increased and that the affected land associated with the mining activity was now in excess of one acre. Mr. Sams measured the affected area using a measuring wheel. He determined that the affected land associated with the mining activity was 45,000 sq. feet (approximately 1.03 acres).

Mr. Sams sent a Notice of Violation to the petitioner on January 20, 1998, informing the petitioner that he was in 4. violation of the Mining Act of 1971 for mining without a permit. Mr. Sams directed the petitioner to immediately halt mining operations until he had obtained a valid mining permit from the respondent. He also informed the petitioner that, as an alternative to filing a mining permit application, he could complete reclamation of the site within 20 days of receipt of the Notice and submit a reclamation plan to the respondent within 7 days of receipt of the Notice.

The petitioner did not claim the certified mail sent to his address. Therefore, the Notice of Violation was served 5 on him by the Pender County Sheriff's Office on February 16, 1998.

On or about February 25, 1998, Parks Downing, an engineer hired by the petitioner, submitted an incomplete mining 6. permit application. The respondent sent a letter to the petitioner informing him that his application was incomplete and asking for additional information necessary to process the application.

On March 25, 1998, Mr. Sams and Tracy Davis, a State Mining Specialist, inspected the site. They observed that 7. the mining pit was deeper and that a new drainage ditch had been dug in order to dewater the mining pit. Although the petitioner testified that he filled part of the mining pit some time between the November 14, 1997, inspection and the March 25, 1998,

inspection, the added drainage ditch and the affected land in and around the pit still measured greater than an aere in size on March 25, 1998.

8. On April 24, 1998, Janet Paith, an environmental technician, inspected the site. The inspection revealed that backhoe tracks were evident and that evidence of additional mining existed. Ms. Paith measured the affected land with a measuring wheel and determined that the affected land was approximately 1.18 acres in size. On May 18, 1998, Ms. Paith performed another inspection of the site. Active mining was taking place during the inspection. The affected land had not decreased. All reports were sent to the petitioner and instructed him to cease mining activity until he obtained a valid mining permit.

9. Charles Gardner, the Director of the Division of Land Resources, assessed a civil penalty of \$20,000 against the petitioner. The penalty was assessed for 4 separate violations of the Mining Act of 1971 for mining without a permit occurring on November 14, 1997, March 25, 1998, April 24,1998, and May 18,1998. The Director considered each of the factors listed in N.C. Gen. Stat. \$74-64(a)(1)(c).

CONCLUSIONS OF LAW

1. The petitioner failed to secure a valid operating permit prior to engaging in mining as required by GS 74-50 and therefore violated the Mining Act of 1971. A civil penalty of five thousand dollars (\$5,000.00) may be assessed for every day of the violation. The Findings of Fact establish that the petitioner violated GS 74-50 on November 14, 1997, March 25, 1998, April 24, 1998, and May 18, 1998.

2. The respondent may properly assess up to the maximum amount per day against the petitioner after considering the degree and extent of harm and other factors contained in GS 74-64(a)(1)c. However, the respondent erred by not construing GS 74-64(a)(1)c. together with GS 74-64(a)(1)a. Since the daily penalty is limited to five thousand dollars (\$5,000.00), the "degree and extent of harm" provision requires that five thousand dollars (\$5,000.00) only be assessed where the degree and extent of harm is most severe.

3. However, the respondent's Exhibit #13 clearly indicates that the respondent calculated a "total penalty per violation" in the amount of nine thousand, three hundred (\$9,300.00) per day without any regard to whether the degree and extent of harm was the most severe. Rather, the respondent regarded the five thousand dollars (\$5.000.00) per day provision as a mere cap which reduced the nine thousand, three hundred dollar (\$9,300.00) total penalty per violation to an actual penalty per violation of five thousand dollars (\$5,000.00). The result of the respondent's interpretation of the statute is that violators, like the petitioner, are not punished according to the specific degree and extent of harm caused by them with daily penalties from one dollar (\$1.00) to five thousand dollars) (\$5,000.00) as intended by the General Assembly but rather, because of inflated total penalty per violation, are punished routinely near or at the five thousand dollars (\$5,000.00) per day level.

DECISION

IT IS THEREFORE RECOMMENDED that the Mining Commission direct the respondent to re-compute the daily penalty in accordance with a proper interpretation of the eivil penalty provisions.

NOTICE

The Mining Commission will give each party an opportunity to file exceptions to this recommended decision and to present written arguments to those in the agency who will make the final decision.

The Commission will serve a copy of the final decision on all the parties, the attorneys of record and on the Office of Administrative Hearings.

This the 7th day of October, 1999.

Robert Roosevelt Reilly, Jr. Administrative Law Judge

NORTH CAROLINA REGISTER December 1, 1999

STATE OF NORTH CAROLINA

IN THE OFFICE OF ADMINISTRATIVE HEARINGS 99 DOJ 0123

| |) | |
|-------------------------------------|---|-----------------------|
| STEVEN RANDOLPH RUSSELL, |) | |
| Petitioner, |) | |
| |) | |
| V. |) | PROPOSAL FOR DECISION |
| |) | |
| N.C. CRIMINAL JUSTICE EDUCATION AND |) | |
| TRAINING STANDARDS COMMISSION, |) | |
| Respondent. |) | |
| |) | |

This matter came on for hearing pursuant to N. C. Gen. Stat. § 150B-40(e) by Respondent's request for the designation of an Administrative Law Judge to preside at the hearing of a contested case under Article 3A, Chapter 150B of the North Carolina General Statutes. On July 16, 1999 and August 5, 1999, Administrative Law Judge Melissa C. Owens heard this case in Raleigh, North Carolina.

APPEARANCES

For Petitioner:

Evelyn J. Davis Attorney at Law

For Respondent:

Robin P. Pendergraft Special Deputy Attorney General

ISSUES

1. Did Petitioner commit the Class B misdemeanor offense of "falsifying documents issued by a post-secondary educational institution" in violation of G.S. § 14-122.1 and 12 NCAC 9A .0204(b)(3)(A)?

2. Did Petitioner knowingly make a material misrepresentation of any information required for a certification in violation of 12 NCAC 9A .0204(b)(6)?

3. Did Petitioner knowingly and willfully, by any means of false pretense, deception, defraudation, misrepresentation or cheating whatsoever, obtain or attempt to obtain credit, training or certification from the Commission in violation of 12 NCAC 9A .0204(b)(7)?

4. Did Petitioner's action constitute a failure to maintain the minimum employment standard that every law enforcement officer shall be of good moral character in violation of 12 NCAC 9A .0204(b)(2) and 9B .0101(3)?

FINDINGS OF FACT Stipulated Facts

1. Both parties are properly before this Administrative Law Judge, in that jurisdiction and venue are proper, that both parties received notice of hearing, and that Petitioner received the Proposed Suspension of Law Enforcement Officer Certification letter mailed by Respondent on December 2, 1998.

2. The North Carolina Criminal Justice Education and Training Standards Commission (hereafter referred to as the Commission) has the authority granted under Chapter 17C of the North Carolina General Statutes and Title 12 of the North Carolina Administrative Code, Chapter 9, to certify eriminal justice officers and to deny, revoke or suspend such certification.

3. Petitioner successfully completed the Basic Law Enforcement Training course at Durham Technical Community College on November 19, 1986.

4. Petitioner applied with the Commission for certification as a law enforcement officer with the Duke University Public Safety Department in November 1986.

5. Petitioner was issued a probationary certification (PRA 238174692) by the Commission effective November 19, 1986 to serve as a law enforcement officer with the Duke University Public Safety Department.

6. Petitioner was issued a general certification on November 19, 1987 (GNA 238174692) by the Commission to serve as a law enforcement officer with the Duke University Public Safety Department.

7. Petitioner separated from the Duke University Public Safety Department (Form F-5B) on September 4, 1992.

8. Petitioner applied with the Commission for certification as a law enforcement officer with the Morrisville Police Department in September 1992.

9. Petitioner was issued a general certification on September 3, 1992 (GNA 238174692) by the Commission to serve as a law enforcement officer with the Morrisville Police Department.

10. On March 3, 1998, Petitioner signed and submitted to the Commission an Application For Award of Law Enforcement Certificate (Form F-6) whereby he indicated that he had received a BA degree from Angelo State University.

Adjudicated Facts

11. Petitioner attended Methodist College in Fayetteville, North Carolina for four (4) semesters, from the Fall 1978 semester through the Spring 1980 semester, earning a total of 22 semester hours. At the time he left Methodist College, he was classified as "scholastically ineligible." (Petitioner's Exhibit 6).

12. Petitioner attended Shaw University in Raleigh, North Carolina during the Spring 1981 semester. During the 1981 summer sessions, Petitioner attended North Carolina Central University in Durham, North Carolina. (Respondent's Exhibits 8 & 10).

13. In 1981, Petitioner applied for admission to Angelo State University in San Angelo, Texas and was admitted in the fall 1981 as a undergraduate student in its College of Professional Studies, Bachelor of Science (BS) Program, with a major in physical education and a minor in management sociology. (Respondent's Exhibit 10).

14. Angelo State allowed Petitioner to transfer credit hours from both North Carolina Central University and Shaw University for courses with a "C" grade or higher, for a total of 23 credit hours. (Respondent's Exhibit 8).

15. Petitioner attended Angelo State University from the Fall 1981 Semester until the Spring 1985 Semester. He concluded his academic career there with 119 semester hours earned toward an undergraduate degree. (Respondent's Exhibit 8).

16. Petitioner knew at the time he left Angelo State in 1985 that he had not been awarded an undergraduate degree.

17. On December 19, 1985, Petitioner completed a Commission mandated Personal History Statement (Form F-3) as part of his application to become a certified law enforcement officer with Duke University Public Safety. On question number 12 of that form, Petitioner indicated that he had attended Angelo State University for four (4) years from September 1981 - May 1995, and completed 125 hours toward a "BS" degree in "P.E." with a minor in management sociology. He further indicated that he did not graduate but needed "6 hours to complete" the undergraduate degree program. (Respondent's Exhibit 1).

18. On question number 28 of Petitioner's 1985 Form F-3, Petitioner was asked "If you have ever been discharged or requested to resign from any position because of misconduct or unsatisfactory service, give details." He answered, "I wasn't discharged for the above reasons, but I was terminate [sic] from Thalhimers in North Gate Mall because of company policy on making non-productive stops." On question number 36 regarding his past employment history, Petitioner again acknowledged that he was terminated from his position as a loss prevention specialist at Thalhimers in November 1985.

19. On May 13, 1991, Petitioner completed a Commission mandated Personal History Statement (Form F-3) as part of his application to become a certified law enforcement officer with the Morrisville Police Department. In the Education Section of that form, on question number 12, Petitioner provided that he attended Shaw University for 1 year, from 1978-1979, and Angelo State University for 4 years from 1980-1984. He listed his major as "PE Management," and indicated that he did not graduate, but needed "3 hrs to complete" his undergraduate degree. (Respondent's Exhibit 3B).

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20. According to Petitioner, he did not attend a full year at Shaw, but only attended one semester. Petitioner explained that he "needed 3 hours to complete" his degree based upon a conversation with officials at Angelo State. After receiving encouragement to complete his degree while at Duke Public Safety, Petitioner called Angelo State in 1990 or 1991. He was informed that he needed freshman English and an elective, a total of 6 hours, to complete his degree. According to Petitioner, he told the Angelo State officials that he took a freshman English course at Shaw and the officials agreed with him over the telephone to accept this course from Shaw, thus leaving only 3 hours to graduate. Petitioner indicated that Angelo State provided no documentation to reflect this adjustment to his official undergraduate record.

21. Question number 28 of Petitioner's 1991 Form F-3 regarding whether the applicant had been discharged or requested to resign from any position was not answered. On question number 36, regarding his employment history, Petitioner indicated that the reason for leaving his position at Thalhimers was to accept a "new job" at Duke Public Safety.

22. The last paragraph on both the 1985 and the 1991 Form F-3, located above Petitioner's notarized signature states: "I hereby certify that each and every statement made on this form is true and complete and understand that any misstatement or omission of information will subject me to disqualification or dismissal."

23. Petitioner explained that he did not list the Thalhimers "termination" on this 1991 form, because everyone in security at Thalhimers was fired over how they handled a stop for possible theft from the Thalhimers' store. Petitioner insisted that every statement provided by him in the 1991 Form F-3 was accurate, because he did not list a reason for the Thalhimers' dismissal, and therefore, did not deny he was fired.

24. On May 13, 1991, Petitioner also completed an Application For Employment with the Town of Morrisville. He indicated on this application that he completed 4 years at Angelo State University and needed "3 years to complete" his undergraduate degree. (Respondent's Exhibit 5).

25. In 1997 or 1998, Petitioner asked Sergeant Felicia Sykes, Morrisville Police Department's administrative sergeant, to prepare an Application for Award of Law Enforcement Certificate (Form F-6) for him. Petitioner desired to obtain Intermediate and Advanced Certificates from the Commission.

26. Law enforcement officers are eligible for these advanced certificates from the Commission based upon a combination of education, training, and years of creditable experience. Some municipalities, like Morrisville, now require the holding of an Advanced Certificate as a condition for employment in the position of police chief.

27. On the Form F-6, Petitioner personally answered only the "date of birth" and "social security number" sections. He denied completing any other portion of the Form F-6, except for his signature.

28. Sergeant Felicia Sykes testified that she has been a law enforcement officer with Morrisville Police Department since 1994, and in the law enforcement profession for about 8 years. She received her undergraduate degree in May 1990 from North Carolina Central University and took graduate courses from 1993-1996.

29. In early 1998, Petitioner requested that Sergeant Sykes send his application for advanced certificates to the Commission along with others from the department. Using information from Petitioner's personnel file, she completed, in her own handwriting, all the sections on page 1 of Petitioner's F-6, except the "date of birth" and "social security number" sections. On page 2 of Petitioner's F-6, she completed all of the "experience" and "training" sections. In the "education" section, Sergeant Sykes wrote in "Angelo State University" under "name of college," and "BA" under "degree." She spoke with Petitioner regarding his educational information. Petitioner told Sykes to put down "B.A." and 126 semester hours. She did not write in "Physical Ed" in the Education Section. (Respondent's Exhibit 4).

30. Thereafter, Sergeant Sykes asked Petitioner to complete the remainder of the Form F-6, and placed the application in his departmental mailbox. Sergeant Sykes recognized the handwriting and signature on this Form F-6 as Petitioner's handwriting and signature. She gave the completed form to Chief Bruce Newman to sign so she could mail it to the Commission.

31. The Application for Award of Law Enforcement Certificate (Form F-6) is a mandated form for those law enforcement officers who wish to obtain an advanced certificate from the Commission. The instructions on the form provide that "Education and Training **MUST** Be Supported By Copies Of Transcripts, Diplomas, Agency Training Records, Or Other Verifying Documents **Attached** To This Application." Furthermore, each applicant must sign his/her name below a paragraph attesting to the following: "I attest that I have read and subscribe to the Law Enforcement Code of Ethics. The information contained in this application is true and accurate to the best of my knowledge." (Respondent's Exhibit 4)

32. Bruce Wayne Newnam currently serves as an investigator with the North Carolina State Fairgrounds Police. He formerly served as the Chief of the Morrisville Police Department. Investigator Newnam has a total of 22 years of service in the law enforcement profession.

33. Investigator Newnam recalled that when Petitioner was hired in September 1992, he did not possess a college degree and was encouraged to complete the course work necessary to obtain a degree. Petitioner had indicated the lack of a degree on his Personal History Statement (Respondent's Exhibit 3B) and the town application (Respondent's Exhibit 5B).

34. Investigator Newnam was unaware that Petitioner had been terminated from Thalhimers, because Petitioner had not included that information on his 1991 F-3 Form, and thus, the town did not have an opportunity to investigate this discharge. Investigator Newnam indicated that this information is significant to law enforcement employers.

35. Investigator Newnam knew Petitioner did not have a college degree when Petitioner was hired. He never saw an Angelo State diploma in Petitioner's personnel file. Based upon this dispute concerning Petitioner's college degree, Investigator Newman began an investigation.

36. During the course of the investigation, Investigator Newnam discovered that the copy of Petitioner's Morrisville Application for Employment (Respondent's Exhibit 6) on file with the Morrisville Police Department was different from Petitioner's application maintained by the town in Town Hall. (Respondent's Exhibit 5). Newnam knew that pursuant to the local personnel policy, the employee personnel files kept by the police department are supposed to be identical to those kept by the town.

37. Petitioner's town application maintained by the police department had been altered to reflect that Petitioner had a "BA" degree. Additionally, the words "3 hrs. to complete" had been scratched through. (Respondent's Exhibit 6).

38. Both Newnam and former administrative assistant to the chief, Mary Lisa Day, advised that the officers were allowed to look at their own personnel files maintained in a filing cabinet at the police department.

39. Investigator Newnam, Ms. Day, and Sergeant Sykes all denied modifying or altering Petitioner's town application (Respondent's Exhibit 6) to reflect a "BA" undergraduate degree.

40. Petitioner denied modifying or altering his town application on file with the police department.

41. During Newman's March 1998 investigation, he also discovered a copy of an Angelo State University diploma, purportedly issued to Petitioner on December 21, 1984, in Petitioner's personnel file. Newnam contacted Anita Loshbough, Associate Registrar at Angelo State University, and learned that the university's records reflected that Petitioner had not received an undergraduate degree from Angelo State. Ms. Loshbough later confirmed this information by letter dated March 10, 1998 to Chief Newnam. (Respondent's Exhibit 7).

42. Former Chief Newnam personally informed Petitioner of the discrepancies he had found, including Angelo State's statement that they had not issued a diploma to Petitioner. Petitioner advised Newnam that he had the original diploma at home somewhere. He further informed Newnam that he had received the diploma from the President of Angelo State, but the president had since passed away. Petitioner told Newnam that he would contact the Angelo State official to resolve these differences.

43. Petitioner contended that he received a diploma from Angelo State in the mail in a manila envelope between 1991 and 1992. He did not remember who sent the diploma to him, and denied telling others that the President of Angelo State sent him the diploma.

44. Petitioner cannot now locate the original diploma, and cannot describe any significant features of the original diploma.

45. Upon reviewing the Angelo State "diploma" found in Petitioner's personnel file (Respondent's Exhibit 7), Petitioner admitted that he did not receive this diploma on December 21, 1984, the "date of award" listed on the diploma. He also agreed that a "Bachelor of Arts" degree was not the proper degree for him, since his undergraduate major was in the Bachelor of Science academic program.

46. Petitioner indicated that he simply believed Angelo State had accepted sixteen course hours from Methodist College as credit toward his degree. He agreed that neither his official undergraduate record from Angelo State, nor its evaluation of transfer credit document, reflects the acceptance of any hours from Methodist College. (Respondent's Exhibit 8).

47. Petitioner acknowledged that he did not send his Methodist College transcript to Angelo State, nor did he sign a written release granting Angelo State the authority to obtain this transcript from Methodist College.

48. The Methodist College transcript indicates that "one transcript is free. Each additional copy is issued on receipt of one dollar." (Petitioner's Exhibit 6). The Angelo State transcript warns that "Federal Law Prohibits Access to This Record By Any Party Without Written Consent of The Student." (Respondent's Exhibit 8).

49. When Sergeant Sykes attempted to get a copy of her NCCU undergraduate transcript for her application to graduate school, she was required to sign a form and pay money to obtain a copy of her transcript.

50. Before applying to Virginia Tech's graduate school, Mr. Hodgkins had to request in writing a copy of his UNC undergraduate transcript, and pay a fee to actually obtain a copy of such transcript.

51. Petitioner agreed that the majority of his grades at Methodist College were "F's", "D's", and "Withdrawn's", and that he left that college "scholastically ineligible" to continue.

52. In a letter dated December 8, 1998, to Petitioner's attorney, Anita Loshbough of Angelo State provided:

In response to your letter dated October 30, 1998, it is the official position of Angelo State University that your client, Mr. Steven Russell, has not earned a degree from this university. We are in receipt of the unofficial transcript from Methodist College and it has been evaluated. The evaluation proved less than favorable for Mr. Russell. If Mr. Russell were to transfer in the work from Methodist College, his cumulative GPA would fall well below the cumulative 2.00 GPA required for graduation. In addition, the work from Methodist College does not fulfill any of the requirements lacking for a Bachelor of Science in Kinesiology with a supporting concentration in Management and Sociology. At present Mr. Russell's ASU overall GPA is 1.932, his major field GPA is 2.222 and his overall (cumulative) GPA is 2.000.

53. In March 1998, David Hodgkins, Morrisville Town Manager, met with former Chief Newnam regarding the allegations that Petitioner had not received a college degree, but claimed that he had. Mr. Hodgkins indicated that the ASU diploma was not in the town's official file, and pursuant to local personnel policy, the file maintained by the police department should be identical to the official town file.

54. Mr. Hodgkins personally telephoned Anita Loshbough and confirmed that Petitioner did not have a college degree.

55. Thereafter, Mr. Hodgkins met with both Petitioner and former Chief Newnam regarding the college degree situation and the harassment grievance filed by Petitioner against Newnam. During their discussion, Petitioner told Mr. Hodgkins that the President of Angelo State University had sent him the diploma in the mail sometime in the mid-1990's.

56. This meeting was both lengthy and tense. The relationship between Chief Newman and Petitioner was strained at best. At the conclusion of this tense meeting, Hodgkins, Chief Newman, and Petitioner all agreed to submit the Form F-6 to the Commission. On March 6, 1999, both Petitioner and Chief Newman signed the Form F-6 knowing this issue remained unresolved. Below his signature, Chief Newman wrote "pending investigation of documents." (Respondent's Exhibit 4).

57. In addition to Petitioner's certification statement (listed in Finding of Fact 31), the following certification statement was listed above the Chief's signature: "It is recommended that the Certificate be awarded, I certify that, to the best of my knowledge, the applicant has complied with the Commission's Regulations, is of good moral character and is worthy of the award. My opinion is based upon personal knowledge or inquiry, and the personnel records of this jurisdiction substantiate the recommendation." (Respondent's Exhibit 4).

58. Although Petitioner stated in his October 5, 1998 letter to the Commission's staff, "I believe it was for personal reasons that Chief Newnam chose to send the application for an Advanced Certificate" to the Commission, (Petitioner's Exhibit 11), Petitioner still consented to send the Form F-6 to the Commission.

59. According to Town Manager Hodgkins and Chief Newman, both the town and the chief encouraged and requested its police officers to obtain intermediate or higher certifications as that would help lower the town's insurance premiums.

60. According to Hodgkins, submission of an application for certification with a "pending investigation" was not typical

procedure. Typically, the Town of Morrisville and its police department do not submit certifications unless all information is verified.

61. Scott Perry, Deputy Director of the Criminal Justice Standards Division indicated that Petitioner's Form F-6 was received by the Commission's staff on April 6, 1998. Mr. Perry reviewed Petitioner's application. Because N.C. Gen. Stat. § 14-122.1(a) does not require a college degree for an award of either an intermediate or advanced law enforcement certificate, and Petitioner had 119 educational credits/points and ten years of law enforcement service, Mr. Perry indicated that Petitioner would qualify, aside from the issues in this case, for intermediate and advanced certificates.

62. Sergeant Sykes agreed that honesty and integrity are essential attributes for a law enforcement officer.

63. Former Chief Newnam acknowledged that honesty and truthfulness are essential attributes for law enforcement officers and opined that Petitioner's reputation for truthfulness was questionable. However, Newman could not and did not cite any specific instances as a basis for his opinion.

64. Morrisville Police Officer John W. Cheek worked with Petitioner for about 5 years and has had no reason to question his integrity.

65. Kimberly Flynn, Intern with the Attorney General's Office, contacted Angelo State prior to the hearing and was informed that undergraduate students now and in 1985 must have 130 hours of course work to obtain a bachelor degree.

66. Given the importance of earning and receiving a college diploma, it is unbelievable that a reasonable person would accept an incorrect diploma as his own, without investigating the accuracy of such diploma. It is also unbelievable that a reasonable person would lose his college diploma and not remember any significant features about the diploma.

67. The information listed in Petitioner's personal history statements, Form F-6 certification application, Angelo State diploma, Petitioner's testimony about these documents, and his testimony about his Angelo State transcript, are filled with inconsistencies. In completing and signing these documents, Petitioner had a duty to read these documents and insure the information he provided was accurate and true. However, Petitioner failed to fulfill that duty. He failed by providing different graduation dates on Respondent's Exhibit 1 and 3B; by not reading the certification application before or after signing it, but relying on Sergeant Sikes' information; and by not investigating an inaccurate Angelo State diploma that listed incorrect graduation dates and the incorrect degree earned. Petitioner also failed to fulfill his duty by signing and submitting the Form F-6 certification application, knowing that it contained false information about his Angelo State diploma. With such inconsistencies, Petitioner's purported explanations are not credible.

68. On December 2, 1998, the Respondent Commission issued a Proposed Suspension of Law Enforcement Officer Certification to Petitioner.

69. Subsequently, Petitioner requested this dispute be resolved through a formal administrative hearing.

CONCLUSIONS OF LAW

- 1. The parties are properly before the Office of Administrative Hearings and this Administrative Law Judge.
- 2. N.C. Gen. Stat. § 14-122.1(a) provides in pertinent part:
 - (a) It shall be unlawful for any person knowingly and willfully:
 - (3) To use, offer, or present as genuine a falsely made or falsely altered diploma, certificate, license, or transcript signifying merit or achievement in an educational program issued by a secondary school, a postsecondary educational institution, or a governmental agency, which he knows is false; or
 - (4) To make false written representation of fact that he has received a degree or other certification signifying merit, achievement, or completion of an educational program involving study, experience, or testing from a secondary school, a postsecondary educational institution or

14:11

governmental agency in an application for:

- (a) Employment;
- (b) Admission to an educational program;
- (c) Award; or
- (d) For the purpose of inducing another to issue a diploma, certificate, license, or transcript signifying merit or achievement in an educational program of a secondary school, postsecondary educational institution, or a governmental agency.

3. The Commission may suspend, revoke, or deny the certification of a criminal justice officer when the Commission finds that the applicant for certification or the certified officer:

- (6) has knowingly made a material misrepresentation of any information required for certification or accreditation; or
- (7) has knowingly and willfully, by any means of false pretense, deception, defraudation, misrepresentation or cheating whatsoever, obtained or attempted to obtain credit, training or certification from the Commission.

12 NCAC 9A .0204(b)(6) and (7).

4. Petitioner does not possess a legitimate undergraduate college degree from Angelo State University.

5. Petitioner knew when he submitted his Application for Award of Law Enforcement Certificate (Form F-6) to the Commission that he did not legitimately possess an undergraduate college degree from Angelo State University.

6. Sufficient evidence exists to show that Petitioner knowingly and willfully presented as genuine, a falsely made diploma, and made a false representation of fact on the Commission's Form F-6 that he had received a college degree from Angelo State University, in an attempt to obtain an award/certificate from the Commission.

7. Petitioner's action in submitting his Form F-6 to the Commission constitutes a violation of N.C. Gen. Stat. § 14-122.1(a)(3) and (4), a Class B misdemeanor.

8. Petitioner knowingly made a material misrepresentation of information required for advanced law enforcement certification by attesting to the Commission on his Form F-6 that he attended Angelo State University from 1980-1984 and that he had been awarded a Bachelor of Arts (BA) degree in violation of 12 NCAC 9A .0204(b)(6).

9. Petitioner knowingly and willfully by means of deception, defraudation, and misrepresentation, attempted to obtain certification from the Commission in violation of 12 NCAC 9A .0204(b)(7).

10. Petitioner's actions of signing the Commission's Form F-6 which he knew contained false information, and falsely representing to the Commission that he had attained a Bachelor of Arts degree, as well as Petitioner's conflicting statements and testimony, indicate Petitioner lacks the good moral character required of law enforcement officers as required in 12 NCAC 9A .0204(b)(2) and 12 NCAC 9B .0101(3).

PROPOSAL FOR DECISION

Based upon the foregoing Findings of Fact and Conclusions of Law, the undersigned recommends Respondent suspend Petitioner's law enforcement officer certification for not less than five (5) years for the commission of a Class B misdemeanor and violation of both 12 NCAC 9A .0204(b)(6) and (7), and indefinitely for lack of good moral character.

<u>ORDER</u>

IT IS HEREBY ORDERED that the agency serve a copy of the Final Decision on the Office of Administrative Hearings, P.O. Drawer 27447, Raleigh, N.C., 27611-7447, in accordance with N.C. Gen. Stat. § 150B-36(b).

NOTICE

The agency making the final decision in this contested case is required to give each party an opportunity to file exceptions to this Proposal for Decision, and to present written arguments to those in the agency that will make the final decision. N.C. Gen. Stat. § 150B-36(a). The agency is required to serve a copy of the Final Decision on all parties and to furnish a copy to the parties' attorney of record and to the Office of Administrative Hearings. N.C. Gen. Stat. § 150B-36(b). The agency that will make the final decision in this contested case is the North Carolina Criminal Justice Education and Training Standards Commission.

This the 29th day of October, 1999.

Melissa C. Owens Administrative Law Judge

STATE OF NORTH CAROLINA

v.

ADMINISTRATIVE HEARINGS COUNTY OF ONSLOW 99 OSP 0129 DANNY JACOB,) Petitioner,)

| ONSLOW COUNTRY BOARD OF HEALTH, | |
|---------------------------------|--|
| Respondent. | |

RECOMMENDED DECISION

IN THE OFFICE OF

The above-entitled contested case was heard before Beecher R. Gray, Administrative Law Judge, on July 1 and 2, 1999 in Burgaw, North Carolina. Petitioner's Summary Judgment Motion was argued by the parties and denied on the record at the beginning of the hearing.

APPEARANCES

Petitioner: David P. Voerman, Esq.

Respondent: Gregory W. Brown, Esq.

ISSUE

Whether Respondent had just cause to dismiss Petitioner from his employment as Onslow County Health Director on the basis of personal conduct.

FINDINGS OF FACT Stipulated Facts

- 1. The Petitioner was employed as the Health Director in Onslow county until his dismissal on the 20th day of January, 1999. He had been employed as the health Director in Onslow County since March 11, 1999.
- 2. The Petitioner is a local governmental employee subject to the provisions of the State Personnel Act.
- 3. On the 27th day of October, 1998 the Petitioner was notified by a hand delivered letter that he was being placed on investigative leave with pay, signed by Thomas E. Pritchard, Chairman of the Onslow County Board of Health.
- 4. The Petitioner was provided a document dated December 7, 1998 entitled "Summary of Charges of Alleged Misconduct by Health Director" alleging various matters of purported personal misconduct and grossly inefficient job performance on his part.
- 5. The Petitioner responded to each of these allegations in a letter dated December 17, 1998 and he also appeared before the "Personnel Committee" of the Onslow County Board of Health, consisting of two Onslow County Board of Health members.
- 6. Subsequently, the Petitioner received a "Memorandum" dated December 23, 1998 from the two members of the Personnel Committee advising him that he would have a pre-dismissal conference at the County Manager's Office on Monday, December 28 at 11:30 a.m. for alleged specific acts of personal misconduct as detailed in the December 23, 1998 letter.
- 7. The Petitioner appeared at the "pre-dismissal conference" held by the "Personnel Committee" consisting of Ms. Lynn Smith and Mr. Tommy Pritchard who were two members of the Board of Health on December 28, 1998.

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- 8. Petitioner received a letter entitled "Notice of Recommendation of Termination and Appeal Rights" on December 30, 1998. In this letter the Petitioner was advised that the Personnel Committee recommended that his employment be terminated effective January 20, 1999, and that he had a right to "appeal" their recommendation to the Board of Health.
- 9. On January 6, 1999, the Petitioner gave written notice to the Personnel Committee of his request to exercise "any appropriate appellate rights that you believe we have in respect to a final decision being rendered by the Board of Health in this case."
- 10. The relevant documents in respect to these matters are attached to the Petitioner's Petition for a Contested Case, as the following exhibits:
 - a. Petitioner's Exhibit 1 (placement on investigative leave);
 - b. Petitioner's Exhibit 2 (summary of charges of alleged misconduct by Health Director);
 - c. Petitioner's Exhibit 4 (Petitioner's written reply to allegations);
 - d. Petitioner's Exhibit 5 (allegations of personal misconduct dated December 23, 1998);
 - e. Petitioner's Exhibit 6 (notice of recommendation of termination and appeal rights);
 - f. Petitioner's Exhibit 7 (Petitioner's request for appearance before the Board of Health).
- 11. The parties stipulate that the Office of Administrative Hearings has jurisdiction over this matter and that the Contested Case Petition was filed in apt time in this case.

Adjudicated Facts

- 1. The parties received notice of hearing more than fifteen (15) days prior to the hearing and each stipulated on the record that notice was proper.
- 2. Petitioner was employed by Respondent as Onslow County Health Director on March 11, 1991. Respondent terminated his employment on January 20, 1999, citing allegations of personal conduct.
- 3. At the time of his dismissal, Petitioner had no written job performance warnings in his personnel record. He had been the recipient of only one (1) oral warning concerning his management style. Petitioner was not dismissed because of job performance.
- 4. On or about October 22, 1998, three department heads, Francine Reeves, Cameron Lanier, and Pattie Smith, from the Onslow County Health Department met with Respondent's personnel committee to discuss concerns held by the department heads regarding what they regarded as misconduct by Petitioner. The personnel committee was composed of Lynn Smith, Ernest Wright, and Thomas Pritchard. Patti Smith, Francine Reeves, and Cameron Lanier each made allegations against Petitioner during this meeting.
- 5. On October 27, 1998, Petitioner was placed on investigative leave with pay beginning on October 27, 1998 for a period of thirty (30) days. The letter informing Petitioner of this status stated that certain alleged instances of unacceptable conduct were to be investigated during the suspension. No specifics were given.
- 6. On December 7, 1998, the personnel committee issued a confidential personnel memorandum to Petitioner which informed him that the Onslow County Board of Health had, on October 26, 1998, voted to suspend his employment with pay pending an investigation which had been conducted by the personnel committee. The personnel committee listed approximately nineteen (19) individuals whom it had interviewed during its investigation. The committee listed specific allegations of misconduct in the memo and directed Petitioner to be prepared to respond at a Board of Health meeting to be held on December 8, 1998. The memo indicated that Respondent would make a decision about Petitioner's employment status following receipt of his oral or written responses.
- 7. After receiving the December 7, 1998 memo, Petitioner retained legal counsel who communicated with the personnel committee and submitted, on December 17, 1998, a detailed response to each allegation against Petitioner.

- 8. On or about December 23, 1998, Petitioner received a memorandum dated December 23, 1998 from Thomas Pritchard and M. Lynn Smith of Respondent's personnel committee which set forth specific allegations against Petitioner and gave him notice of a predismissal conference. Personnel committee member Ernest Wright had by this time removed himself from participating in this matter as a personnel committee member because of a request to do so by Petitioner's counsel. The specific allegations against Petitioner, as stated in the memo of December 23, 1998 are as follows:
 - A. Specific Acts of Personal Misconduct

The committee finds as credible the following allegations of personal misconduct alleged against you:

- According to Ms. Patty Smith, on or about October 5, 1998, you told her that two County Commissioners, Mr. Ernie Wright and Mr. Tony Padgett, wanted to take personnel action against her because Ms. Smith purportedly did not manage the potential hiring of Ms. Nancy Hall correctly. Mr. Wright and Mr. Padgett deny ever telling you that they wanted to take any personnel actions against Ms. Smith concerning the potential hiring of Ms. Hall. On October 13, 1998, Ms. Smith brought this matter to the attention of Mr. Wright. Mr. Wright denied ever making such a comment to you. Later, you admonished Ms. Smith for contradicting you, and making you look bad in front of Mr. Wright. You then told Ms. Smith that Mr. Wright was lying.
 - The Committee finds this allegation credible.
 - The Committee finds credible Mr. Wright's denial that he had ever told you he wanted to take personal action against Ms. Smith.
 - The committee finds that you misrepresented that Mr. Wright had made the threat concerning Ms. Smith's employment status.
 - The County considers this to be unacceptable personal conduct unbecoming of an employee, detrimental to the agency's service, and conduct for which you should not expect to receive a prior warning.
- 2. Ms. Smith alleges, and you admit, that the next day, you took Ms. Smith to a local park (for approximately two hours) and during the conversation, you slammed your fist on a picnic table, called Mr. Wright and the Board of Directors "lying shitheads" and asked Ms. Smith "Who do you think is telling the truth?" Further, Mr. Cameron Lanier and Ms. Francine Reeves corroborate that you and Ms. Smith left the Health Department and were gone for approximately two hours on October 14, 1998.
 - The Committee finds credible Ms. Smith's contention that she found this circumstance threatening.
 - The Committee finds credible Ms. Smith's description of the incident.
 - The County considers this to be unacceptable personal conduct unbecoming of an employee, detrimental to the agency's service, and conduct for which you should not

expect to receive a prior warning.

- 3. Ms. Smith alleges that you threatened to kill her on or around Monday, October 19, 1998. Specifically, in a conversation with Ms. Smith regarding a work assignment, Ms. Smith states that you said you could not find a way to fire her, and that you discussed trying to find another job with her. Ms. Smith states that when she indicated she was not interested in another job, you allegedly said that the only thing you could do to get rid of her was to strangle her and kill her. According to Ms. Smith, when she tried to make light of the comment, you then repeated that you were serious about killing her.
 - Although in our interview, you initially denied making the comment, later in the interview, you admitted that it is possible you made the comment.
 - The Committee finds credible allegations from Ms. Uzzle and Ms. Esther Bush that on separate occasions, you used similar threats toward them (as outlined in more detail in Exhibit "A").
 - In light of your admission, and the similar earlier events, we find Ms. Smith's allegation that you made the threat credible.
 - The County considers this to be unacceptable personal conduct unbecoming of an employee, abuse of a person over whom you have charge, detrimental to the agency's service, and conduct for which you should not expect to receive a prior warning.
- 4. According to Ms. Francine Reeves, you made a sexually inappropriate comment to her. Specifically, she indicates that you commented to her, "You need some K-Y Jelly and bend over."
 - You denied making this comment.
 - However, you admit making a comment referencing "lip balm" and "K-Y Jelly", regarding your perceptions of the work place.
 - The Committee finds Ms. Reeves' allegation credible in light of her detailed recollection of the event, and in light of your admission that in the past you had made comments of a similar nature with specific reference to "K-Y Jelly" in the work place.
 - The County considers this to be unacceptable personal conduct unbecoming of an employee, abuse of a person over whom you have charge, detrimental to the agency's service, and conduct for which you should not expect to receive a prior warning.

These reasons taken as a whole, warrant dismissal for personal misconduct. While you might believe that some of the events in isolation might not call for dismissal, the Committee believes that these events, taken together, meet and exceed the "just cause" standard for dismissal as defined in N.C. General Statute 126-35, and the North Carolina Administrative Code. In reaching this conclusion, the Committee is persuaded by the Court's application of the "personal misconduct" in *Gray v. Orange County Health Department*, 119 N.C. App. 62, 457 S.E.2d 892(1995).\

- 9. On December 28, 1998, Petitioner attended a predismissal conference conducted by Lynn Smith and Thomas Pritchard, members of the Onslow County Board of Health and the only members of the Board's personnel committee.
- 10. On December 30, 1998, Petitioner received an undated letter from M. Lynn Smith, personnel committee member, entitled "Notice of Recommendation of Termination and Appeal Rights". This letter incorporated by reference the charges and allegations presented to Petitioner in earlier memos and letters. It informed Petitioner that the personnel committee had voted to recommend to the Board of Health that his employment be terminated effective January 20, 1999 and that a vote by the full Board would occur at a meeting on January 19, 1999.

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- 11. On January 6, 1999, Petitioner gave written notice of appeal of any final decision being rendered by the Board of Health regarding his employment status.
- 12. The Onslow County Board of Health met on January 19, 1999. After an executive session, the Board voted, after motion to accept the recommendation of the personnel committee, to terminate the employment of Petitioner for personal misconduct, effective January 20, 1999. Petitioner did not receive any final letter of termination or other compilation of the acts and omissions which were the basis for his termination other than the letter he received on or about December 30, 1998 from the personnel committee recommending his termination by the full Board.

Allegation Number One

- 13. Petitioner began his employment as Onslow County Health Director in 1991. In 1997 Patti Smith was hired by Petitioner to serve as his administrative officer responsible for budget and personnel. By the time of the events which gave rise to this contested case, approximately October, 1998, Petitioner and Patti Smith, with whom he had daily contact, had begun to experience some difficulty in their working relationship. Petitioner and others in the Health Department observed that when he was critical of Patti Smith that she often would cry, generally among or around other Health Department staff, composing herself up and down the hallway. Petitioner had the general reputation in the Department at that time as having an intimidating style of management. When Patti Smith would raise her voice toward him he would get loud in return.
- 14. On approximately October 2, 1998, Petitioner had decided to hire Nancy Hall into a vacant position in the home health program, subject to confirmation of her references. He obtained approval from the Onslow County Manager that day on the salary to be offered for the position. Late in the afternoon that day, Petitioner learned from Patti Smith that a problem had arisen with one of Nancy Hall's references. She reported this to the Onslow County Personnel Director. Also on the afternoon of October 2, 1998, County Commissioner and Board of Health member Ernest Wright talked to the County Personnel Director and County Manager and learned that there was a problem with Hancy Hall's references.
- 15. The next day, Saturday October 3, 1998, Commissioner Wright called Petitioner at home to discuss a constituent's dog problem because he had been unable to locate anyone at the Animal Control Shelter. During that conversation, Commissioner Wright asked Petitioner about the problem with the hiring of Nancy Hall. Commissioner Wright was not personally interested in the hiring of Nancy Hall but was attempting to assist Tony Padgett, Chairman of the Onslow County Board of Commissioners, who was interested in the hiring of Nancy Hall. Commissioner Wright specifically asked Petitioner about the references problem with Nancy Hall and stated to Petitioner that references should have been checked out first. Petitioner informed Commissioner Wright that Nancy Hall had the job if her references checked out. Commissioner Wright indicated to Petitioner that he felt that someone on Petitioner's staff had let him down.
- 16. On approximately Monday October 5, 1998 and again on October 6, 1998, Petitioner met with Patti Smith during the workday at the Health Department and mentioned his Saturday conversation with Commissioner Wright regarding Nancy Hall. Petitioner gave Patti Smith the impression or understanding, either directly or indirectly, that Commissioner Wright was upset about not having Nancy Hall's references checked out and that he might seek to attempt to have her fired over the incident. Petitioner assured Patti Smith that he was not going to do that, even though he had some continuing problems with her job performance. After a Health Department management team meeting on October 6, 1998 at which Petitioner and Patti Smith had an open disagreement, Petitioner called Patti Smith into his office and told her that she had embarrassed him in front of the other management team members. He reminded her that Commissioners Padgett and Wright wanted her fired because of her handling of the Nancy Hall application but that he had covered for her.
- 17. On approximately October 13, 1998, the Onslow County Board of Health conducted a meeting. Patti Smith attended the meeting, as did Petitioner. Patti Smith approached Commissioner Wright and, in Petitioner's presence, asked Commissioner Wright whether he had told Petitioner that he wanted her fired. Commissioner Wright admitted talking to Petitioner about the hiring of Nancy Hall but denied telling Petitioner that he should fire Patti Smith.
- 18. Petitioner informed Patti Smith following the Board of Health meeting that it was inappropriate for her to have put Commissioner Wright on the spot during the meeting.

Allegation Number Two

- 19. The next day, Patti Smith was called to Petitioner's office by his secretary, Gladys Wurtzel. Petitioner informed Patti Smith that she should get her hat and coat because they were going out. He did not tell her where they were going. Petitioner wanted to get outside the Health Department for a frank discussion with Administrative Officer Smith about the problems they had experienced recently and about the need for a close working relationship between the Director and the Administrative Officer. Since it was to be a full and frank discussion, Petitioner anticipated that Patti Smith likely would become emotional; he wanted to remove any Health Department audience for her emotional outbursts. Petitioner drove a County car, transporting himself and Administrative Officer Smith to Northeast Park in Jacksonville, several miles away from the Health Department. Petitioner told Secretary Wurtzel to call his cell phone if she needed to reach him. On the way to the Park, Petitioner and Patti Smith stopped at a McDonald's for coffee. Upon reaching the Park, Petitioner and Patti Smith went to a picnic shelter area where they sat on opposite sides of a picnic table. They had a frank discussion about loyalty, job performance, members of the management team calling county commissioners, and the need for Petitioner and his Administrative Officer to work together as if in a marriage. During this discussion, Petitioner was animated and demonstrative, hitting the table with his hand for emphasis more than once. He reminded Administrative Officer Smith that he was the boss and that their working relationship needed attention. Petitioner received a call on his cell phone and informed the caller that they were headed back to the Health Department.
- 20. At no time during this meeting in the Park did Petitioner threaten Patti Smith in any way or attempt to engage in any inappropriate activity with her. Patti Smith made notes during the conversation in the park which do not disclose any indication that she felt threatened or harassed in any way. Petitioner did not ask her to leave her job but promised to help her find another position if she wanted to leave.
- 21. Upon her return to the Health Department, Patti Smith first went to her office. She then went to see Francine Reeves, Personal and Family Health Program Director and management team member. Patti Smith and Francine Reeves became acquainted before Patti Smith's employment at the Health Department while Patti Smith was a consultant. Francine Reeves was often Patti Smith's confidant and sounding board for problems she perceived in her relationship with the health director. While Administrative Officer Smith and Petitioner were out at the park, Francine Reeves was informed about that by health department staff. She called Onslow County Personnel Director Wayne Morris and alerted him to what she considered a bad situation for her friend and confidant Patti Smith. Francine Reeves testified at this hearing that Patti Smith's face was red, that she had been crying, and that she appeared scared when Patti Smith came into her office after the park trip. After consultation with Francine Reeves, Patti Smith called Wayne Morris, Onslow County Personnel Director, and then met with him, the County Manager, and the Chairman of the County Commissioners. She told them about the visit to the park with Petitioner and how she felt that she had been kidnapped. The County Commission Chairman instructed the County Manager to have Commissioner/Board of Health Member Ernest Wright investigate. Patti Smith and the other department heads, Francine Reeves and Cameron Lanier had been told by Petitioner not to go to County Commissioners about things behind his back.
- 22. Just before or just after visiting with Francine Reeves after returning from the park trip, Administrative Officer Smith also visited the office of Cameron Lanier, Environmental Health Director for the Onslow County Health Department. He testified that her face was swollen and that she was upset. Cameron Lanier did not like Petitioner's management style; he has heard Petitioner at times refer to some employees as imbeciles.
- 23. Debra Gilley was an administrative assistant in personnel for the Health Department at the time of the events in this contested case. She was directly supervised by Patti Smith. She saw Petitioner and Patti Smith return to the Health Department from the park trip and observed that they were chatting and appeared normal. She observed Patti Smith pick up business where she had left it when leaving for the park. Debra Gilley talked with Patti Smith after she returned from the park and she said nothing about being upset. Debra Gilley observed that Patti Smith disliked Petitioner and got angry when he requested financial reports beyond her ability to prepare.
- 24. Jennifer Ferstel was an accounting technician II at the Health Department at the time of the events in this contested case. Patti Smith was her second level supervisor. Jennifer Ferstel testified that Patti Smith routinely said in the health department that Petitioner was an evil man and that she hated him.

Allegation Number Three

- 25. On Monday morning October 19, 1998, Petitioner called Administrative Officer Smith into his office to inquire about an assignment that he had asked her to have on his desk by that morning but which she had not accomplished. They talked about this assignment not being completed as requested and Patti Smith asked whether Petitioner was going to do anything about her job. Petitioner replied to her that he had consulted the State Personnel Manual and that the only way a State employee could be gotten rid of was to strangle and kill them. Patti Smith testified at this hearing that she was frightened by the comment and believed that it was a threat against her. She returned to her office and called the Office of State Personnel to report the conversation as a threat to her.
- 26. Based upon advice received from the Office of State Personnel, Patti Smith prepared a letter to Petitioner in which she stated how frightened she had been during the Monday morning meeting in his office. She distributed that letter to various people, including Petitioner, Cameron Lanier, her mom, and her daughter.
- 27. Patti Smith testified at this hearing that she had begun keeping detailed, contemporaneous notes about Petitioner in August, 1998 because she felt that he was not treating her right. During the meeting on August 19, 1998 in Petitioner's office, Patti Smith took extensive notes which make no mention of her being alarmed, scared, frightened, or threatened about anything Petitioner said or did during that meeting. Administrative Officer Smith was placed on investigative leave by the Board of Health in the Spring of 1999 and shortly thereafter resigned for personal reasons.

Allegation Number Four

- 28. At some point in 1998 in a discussion about conflicts and difficulties arising within various health department programs, Petitioner stated to Francine Reeves, his Director for Personal and Family Health Programs, that she needed to get some K-Y jelly and bend over. When asked by Francine Reeves what he meant by that comment, he told her that she needed to be more flexible. In the charges and allegations brought against Petitioner to demonstrate grounds for his discharge, this allegation was written up as constituting sexual harassment. Francine Reeves was asked on cross examination during this hearing whether she took the K-Y jelly comment as sexual. Her answer was no and that it was not a sexual harassment thing. Francine Reeves was not upset by and took no action regarding this comment until she went home and mentioned it to her husband who insisted that she report it. In order to calm her husband, Director Reeves then called four Board of Health Members and Tom Pritchard, Chairman of the County Commissioners and reported the comment.
- 29. Francine Reeves also admitted, during her testimony under oath, that she used situational profanity around the health department, told off-color jokes around the obstetrics clinic, probably has used the F-word around the health department, and that her favorite expression around the department was "balls to the wall", used by her to attempt to get people to do more.
- 30. The Onslow County Board of Health members felt that Petitioner had deficits in the area of employee relations. The members' comments attached to Petitioner's performance evaluation dated April 22, 1998 are illustrative of the members' concepts of his performance. Petitioner was criticized as having poor interpersonal relations skills, micromanaging through intimidation, leading by intimidation, not building employee trust, and not thinking before speaking. Petitioner was given an oral job performance warning following this evaluation regarding what the Board regarded as leading by intimidation.
- 31. Jenny Uzzell was a Nursing Supervisor at the Onslow County Health Department during the time Petitioner was its Health Director. She was supervised by Francine Reeves. Her observations on Petitioner's management style was consistent with that of the Board of Health members. She testified that Petitioner could intimidate staff and used words like imbeciles and stupid sometimes when referring to staff. Supervisor Uzzell testified that Petitioner once stated that he would throw her up against a wall but made no attempt to do so.
- 32. Gladys Wurtzel Marshburn was Petitioner's secretary during the time of the events giving rise to this contested case. Her desk was located approximately fifteen feet from the Health Director's office. She could see who went into the Health Director's office and hear conversations of people in that office. She heard raised voices at times when Patti Smith went into the Health Director's office. She heard Patti Smith talking to other people when she would raise her voice and use profanity. She testified that Patti Smith stated to her and to other staff members that

she despised Petitioner.

33. General Statutes of North Carolina Section 126-35 provides, in pertinent part:

No career State employee subject to the State Personnel Act shall be discharged, suspended, or demoted for disciplinary reasons, except for just cause.

34. The phrase "just cause" is not defined in the statute. The North Carolina Court of Appeals has stated the following regarding the meaning of "just cause":

[a]lthough "just cause" is not defined in the statute, this Court has held the words are to be given their ordinary meaning. <u>Wiggins v. N.C. Dept. of Human Resources</u>, 105 N.C. App. 302, 306, 413 S.E.2d 3, 5 (1992) (citation omitted). In Webster's New International Dictionary, "cause" is defined as a "good or adequate reason," and "just" as "reasonable" or "having a basis in fact." See Webster's New International Dictionary 356, 1228 (3d ed. 1968).

Amanini v. N.C. Dept. of Human Resources, 114 N.C. App. 668, , 443 S.E.2d 114, 120, (1994).

35. Under State Personnel Commission rules, "just cause" for disciplinary action falls into one of two categories:

{t]here are two bases for the discipline or dismissal of employees under the statutory standard for "just cause" as set out in G.S. 126-35. These two bases are:

- (1) Discipline or dismissal imposed on the basis of unsatisfactory job performance, including grossly inefficient job performance.
- (2) Discipline or dismissal imposed on the basis of unacceptable personal conduct.

N.C. Admin. Code tit. 25, r. 01J.0604(b) (June 1996).

36. Another State Personnel Commission rule provides prerequisites for proceeding with a disciplinary action on the basis of unsatisfactory job performance. That rule provides, in pertinent part:

[i]n order to be dismissed for a current incident of unsatisfactory job performance an employee must first receive at least two prior disciplinary actions: First, one or more written warnings followed by a warning or other disciplinary action which notifies the employee that failure to make the required performance improvements may result in dismissal.

N.C. Admin. Code tit. 25, r. 01J.0605 (b) (June 1996).

CONCLUSIONS OF LAW

- 1. The parties properly are before the Office of Administrative Hearings.
- 2. Petitioner was a career State employee for purposes of Chapter 126 of the General Statutes of North Carolina at the time of his discharge on January 20, 1999.
- 3. The evidence produced in this contested case hearing indicates that the problems Respondent experienced with Petitioner Danny Jacob related to the performance of his job in managing the employees of the Onslow County Health Department. The evidence produced does not describe four incidents or allegations constituting unacceptable personal conduct, as the incidents were characterized by and relied upon by Respondent in Petitioner's termination, but shows those incidents to be consistent with the Board of Health members' views of Petitioner as exercising an intimidating style of management accompanied by poor employee relations.
- 4. Petitioner has carried the burden of proof that Respondent did not have just cause to discharge him from employment as the Onslow County Health Director for unacceptable personal conduct. Petitioner has carried the

burden of proof that Respondent did not have just cause to discharge him from employment as the Onslow County Health Director for unsatisfactory job performance because Respondent has not given Petitioner the requisite written warnings about his job performance as required under the State Personnel Commission rules.

5. Petitioner, as a career State employee for purposes of Chapter 126 of the General Statutes, has a constitutionally protected interest in an expectation of continued employment. Under the evidence produced in this case, Petitioner is entitled to reinstatement, back pay, and reasonable attorney's fees.

RECOMMENDED DECISION

Based upon the foregoing Findings of Fact and Conclusions of Law, it is hereby recommended that Petitioner be reinstated to the position of Onslow County Health Director, that he receive back pay from the time of his discharge until his reinstatement, that he receive all benefits to which he would have become entitled but for his discharge, and that he receive reasonable attorney's fees.

ORDER

It is hereby ordered that the agency serve a copy of the final decision on the Office of Administrative Hearings, P.O. Drawer 27447, Raleigh, NC 27611-7447, in accordance with North Carolina General Statute § 150B-36(b).

NOTICE

The agency making the final decision in this contested case is required to give each party an opportunity to file exceptions to this recommended decision and to present written arguments to those in the agency who will make the final decision. N.C. Gen. Stat. § 150B-36(a).

The agency is required by G.S. § 150B-36(b) to serve a copy of the final decision on all parties and to the Office of Administrative Hearings.

This the 12th day of October, 1999.

Beecher R. Gray Administrative Law Judge

| STATE OF NORTH CAROLINA COUNTY OF WAKE | | IN THE OFFICE OF ADMINISTRATIVE HEARINGS 99 OSP 0338 |
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| JUDITH CAVES | ý | |
| Petitioner, |) | |
| |) | |
| v. |) | RECOMMENDED DECISION |
| |) | ORDER GRANTING SUMMARY |
| N.C. DEPARTMENT OF CORRECTION, |) | JUDGMENT FOR PETITIONER |
| Respondent. |) | |
| |) | |

PROCEDURAL HISTORY OF THE CASE

This Petition for Hearing was filed on March 18, 1999. Petitioner Judith Caves filed a grievance under N.C.G.S. § 126-7.1, 126-34.1, 126-36.2 and N.C.G.S. Chapter 150B. The Order for Prehearing Statements was issued on March 22, 1999. Respondent filed its Prehearing Statement on April 15, 1999 and Petitioner filed her Prehearing Statement on April 20, 1999. The matter was scheduled for hearing on for the week beginning July 26, 1999, but was continued to August 26, 1999.

On August 6, 1999, Petitioner moved for Summary Judgment and submitted documents in support of her Motion. The undersigned Judge requested a Response, which was filed by Respondent on August 20, 1999. Petitioner supplemented her previously filed documents with additional documents on August 20, 1999. Petitioner also submitted a Motion to Strike & a Motion in Limine as to certain documents submitted by Respondent.

On August 25, 1999, the undersigned Judge orally granted Petitioner's Motion for Summary Judgment.

PETITIONER'S LIST OF EXHIBITS

The following is a list of all exhibits introduced by the Petitioner in support of the Summary Judgment Motion:

- 1. Respondent's Answers to Petitioner's Interrogatories;
- 2. Respondent's Answers to Petitioner's Request for Production of Documents;
- 3. Respondent's Answers to Petitioner's Request for Admissions;
- 4. Deposition of Dr. Kenneth Wilson;
- 5. The following documents that were submitted in Respondent's Answers to Petitioner's Request for Production, but were not included in the previous filing:
 - a. the Vacancy Postings for the Psychological Program Coordinator I position;
 - b. Petitioner's application dated August 16, 1998;
 - c. Petitioner's August 16, 1998 letter of introduction accompanying her application;
 - d. the September 4, 1998 letter from Dr. Alan Harrop to Dr. Thomas Owens & attachments for Wilson & Caves;

RESPONDENT'S LIST OF EXHIBITS

The following is a list of all known exhibits introduced by the Respondent in opposition to the Summary Judgment Motion:

- 1. Affidavit of Thomas Owens, including Exhibits 1 6
- 2. Affidavit of Robert Phillips;
- 3. Affidavit of James Smith, M.D.;
- 4. Affidavit of Faye Duffin;
- 5. Affidavit of Alan Harrop, including Exhibits 10, 11 & 12; and
- 6. Affidavit of Barbara Pohlman, M.D.

Respondent submitted other Exhibits, but they were not authenticated by any of the Affidavits.

UNDISPUTED FACTS

1. On August 1, 1998, the Psychological Program Coordinator for Central region resigned. Owens Affidavit, ¶ 3.

2. Vacancy notices were posted on August 11 and 25, 1999. The position was advertised as Psychological Program Coordinator I, a Pay Grade 80 position. The Job Posting² established the following minimum requirements for the position:

BRIEF JOB DESCRIPTION:

Provide coordination of psychological services in the southeastern region of the state, assists in recruitment and selection of psychologists, provides clinical supervision including licensure requirements for supervisors in the region, ensures that policies and procedures for the delivery of services are in compliance, plans for the delivery of services ensuring adequate staff resources to meet existing and anticipated demands.

SKILLS AND ABILITIES:

Requires extensive knowledge of clinical psychology as applied to a correctional system. Thorough knowledge of personality testing, psychological risk assessment or violent and sexual predators and the ability to impart this knowledge to others. Knowledge of service tracking and information systems required. Thorough knowledge of therapeutic strategies applicable to correctional clients

EDUCATION AND EXPERIENCE:

Doctoral degree in psychology and four years of professional psychological experience, one of which must have been in coordinating/supervising a psychological services program which included advanced clinical treatment, consultation, training and research.

3. On August 16, 1998, Dr. Caves applied for the position of Psychological Program Coordinator I; Petitioner's Pre-Hearing Statement, ¶ 4.

4. The position was advertised twice; it was first advertised on August 11, 1998 and then again on August 25, 1998. See Interrogatory Response # 2.

5. Nine applications were received. See Interrogatory Response # 3.

6. Dr. Owens, the hiring authority, asked Dr. Harrop to screen the applications and three applicants were determined to be "highly qualified." See Response to Request for Production of Documents # 3, the September 4, 1998 letter from Dr. Harrop to Dr. Owens, and Harrop Affidavit ¶ 2.

7. In screening the three "highly qualified" applicants, Dr. Harrop developed and used a "Screening Matrix." See Harrop Affidavit, $\P 4$ including Exhibits 10, 11 & 12 which were the Screening Matrix that he used & the memorandum that he had determined that each of three candidates were "highly qualified." See also Harrop Affidavit, $\P 6$.

8. The three candidates who were determined to be "highly qualified" were Ken Wilson, Judy Caves, and Dorcas Miller. Harrop Affidavit, ¶ 3.

9. In his determination that Judy Caves was "highly qualified," Dr. Harrop found that her background included all nine "preferences" that Dr. Harrop identified. Dr. Harrop determined that Dr. Wilson's background only included six of the nine "preferences." See the "Screening Matrix" which is part of Exhibits 10 and 11 which are attached to Dr. Harrop's Affidavit.

² This document is Exhibit 1 attached to the deposition of Kenneth M. Wilson.

10. The three "highly qualified" candidates were interviewed on September 21, 1998. See Interrogatory Response # 3g for each applicant.

11. The Interview Team consisted of the following persons: Faye Duffin, Thomas Owens, Robert Phillips, and James Smith. See Affidavits of each person.

12. Based upon the combined numerical ratings, Dr. Caves received a combined score of 89.5 with an average score of 22.8 and Dr. Wilson received a combined score of 98 with an average score of 24. 5.

13. The Interview Committee made the unanimous recommendation to Dr. Owens that Dr. Wilson be hired. Owens Affidavit, ¶ 14.

14. In their Response to Interrogatory # 5, Dr. Owens and Jeffrey Robert Becker are identified as the persons "who participated in the decision-making process for considering and hiring those who applied for the Psychological Program Coordinator." In his responsibility as Director of Mental Health Services, Thomas Owens "had the responsibility to fill the position." Owens Affidavit, ¶ 4. Dr. Owens recommended that Dr. Wilson be hired into the position and he was. Owens Affidavit, ¶ 14.

15. Dr. Kenneth M. Wilson was the successful applicant for the Position of Psychological Program Coordinator I. See Response to Request for Admission # 1.

16. The successful applicant, Dr. Ken Wilson, was offered the position on October 22, 1998; see Interrogatory Response # 7. He began work on December 7, 1998 at Pay Grade 80 and at an annual salary of \$56,436; see Interrogatory Responses # 8 & 9.

17. This was a salary increase of over \$11,000. Wilson deposition, pg. 26, line 5.

18. Dr. Kenneth M. Wilson left state government on October 10, 1997. See Response to Request for Admission # 3.

19. Dr. Kenneth M. Wilson was reinstated into state government on December 7, 1998. See Response to Request for Admission # 4.

20. Dr. Kenneth M. Wilson was not in a permanent position appointment at the time he was offered the position of Psychological Program Coordinator I. See Response to Request for Admission # 6.

21. At the time that he was hired, the successful applicant was not a State employee. Response to Request for Admission # 7.

22. The Department has established procedures for merit based selection decisions. See Owens Affidavit, Exhibit 9, page 6 which requires that "Consideration shall be given to applicants that have the following priorities: … Promotional priority. See also, page 9 which requires: "Following the final evaluation, the applications for those individuals determined to be highly qualified and for those individuals possessing employment/reemployment priorities shall be forwarded to the hiring manager for consideration."

Based upon the foregoing Findings of Fact, the undersigned makes the following:

CONCLUSIONS OF LAW

1. The Department advertised the vacant position for Psychological Program Coordinator I.

2. The advertisement contained the following minimum qualifications for the position: Requires extensive knowledge of clinical psychology as applied to a correctional system. Thorough knowledge of personality testing, psychological risk assessment or violent and sexual predators and the ability to impart this knowledge to others. Knowledge of service tracking and information systems required. Thorough knowledge of therapeutic strategies applicable to correctional clients.

EDUCATION AND EXPERIENCE:

14:11

Doctoral degree in psychology and four years of professional psychological experience, one of which must have been in coordinating/supervising a psychological services program which included advanced clinical treatment, consultation, training and research.

3. Dr. Judith Caves and Dr. Kenneth Wilson properly applied for the position of Psychological Program Coordinator

I.

4. Dr. Judith Caves and Dr. Kenneth Wilson both met the minimum requirements for the position and of the nine applicants, both were determined to be "highly qualified."

5. Both applicants had "substantially equal qualifications" within the meaning of N.C.G.S. § 126-7.1(c) and (d).

6. The Department's effort to establish a disputed issue of material fact by the statements in the affidavits that Dr. Caves did not have "substantially equal qualifications" are insufficient and not credible in light of:

- a. the documentary evidence establishing Dr. Caves' qualifications;
- b. the fact that both applicants were determined to be "highly qualified;"
- c. The Screening Matrix establishes that Dr. Caves had more of the "preferences" than Dr. Wilson;
- d. the scoring of the applicants was so close; and
- e. the length of seniority and service that Dr. Caves had with the Department compared to that of Dr. Wilson.

7. Dr. Kenneth Wilson was the successful applicant for the position of Psychological Program Coordinator I and began work on December 7, 1998 at a salary of \$56,436.

8. At the date of the application, interview and job offer, Dr. Judith Caves was Career State employee within the meaning of N.C.G.S. § 126-1(a)1 and Dr. Kenneth Wilson was not currently employed by the State of North Carolina.

9. Dr. Wilson was not a Career State employee within the meaning of N.C.G.S. § 126-1(a)1.

10. Dr. Owens and the Department failed to give the priority promotional preference required by both N.C.G.S. 126-7.1(c).

11. In addition, Dr. Owens and the Department failed to give the priority promotional preference contrary to the Department procedures for merit based selection decisions. See Owens Affidavit, Exhibit 9, page 6 which requires that "Consideration shall be given to applicants that have the following priorities: ... Promotional priority. See also, page 9 which requires: "Following the final evaluation, the applications for those individuals determined to be highly qualified and for those individuals possessing employment/reemployment priorities shall be forwarded to the hiring manager for consideration."

12. Respondent offered no evidence that it sought to comply with the statutory preference or departmental policies.

13. The agency did not provide the statutory preference required by N.C.G.S. § 126-7.1(c).

Based upon the foregoing Undisputed Facts and Conclusions of Law, the undersigned makes the following:

RECOMMENDED DECISION

It is recommended that the State Personnel Commission find and conclude that:

1. Dr. Caves made a *prima facie* case of showing that Respondent did not follow proper procedures in providing promotional preference to her as a Career State Employee under N.C.G.S. § 126-1(a)1.

2. Dr. Caves made a *prima facie* case of showing that she had "substantially equal qualifications" under N.C.G.S. § 126-1 and the applicable State Personnel Commission regulations.

3. Respondent failed to provide evidence rebutting the *prima facie* showing that Petitioner was not provided promotional preference and did not have "substantially equal qualifications."

Respondent Department failed to provide promotional preference to Dr. Caves as Career State employee, 4 as defined by N.C.G.S. § 126-1(a)1.

Further, it is recommended that the Department be required:

- to hire Petitioner into a comparable position; A.
- to award Petitioner back pay & benefits from December 7, 1998, the date Dr. Wilson Β. began work;
- C. to award Petitioner a salary increase of \$11,000 consistent with the salary increase that was awarded to Dr. Wilson; and
- to pay Petitioner's reasonable attorney fees and costs. D.

MEMORANDUM IN SUPPORT OF DECISION PURSUANT TO 26 NCAC 3 .0126(7)

Α. Statutory Rights to Priority Employment.

The State Personnel Act and its implementing regulations give career State employees priority promotional rights. Here, Petitioner bases her priority employment rights on N.C.G.S. § 126-7.1. N.C.G.S. § 126-7.1(c) provides that:

- (c) If a State employee subject to this section:
 - Applies for another position of State employment that would constitute a (1)promotion: and
 - Has substantially equal qualifications as an applicant who is not a State (2)employee then the State employee shall receive priority consideration over the applicant who is not a State employee. This priority consideration shall not apply when the only applicants considered for the vacancy are current State employees. (Emphasis added).

To further protect this promotional priority consideration, the State Personnel Act gives explicit appeal rights to "[a]ny career State employee who has reason to believe that he was denied promotion due to the failure of the agency, department or institution that had a job vacancy to: (1) Post notice of the job vacancy pursuant to G.S. 126-7.1(a) or; (2) Give him priority consideration pursuant to G.S. 126-7.1(c) may appeal directly to the State Personnel Commission."

In Dept. of Corrections v. Hill, 313 N.C. 481, 486, 329 S.E.2d 377, 380 (1985), the Court interpreted the phrase in N.C.G.S. \$ 126-5(e)³ "...the employee shall have priority to any position...." The court held that:

> An examination of the legislative history of N.C.G.S. § 126-5(d) and (e) leads us to conclude that the Commission's determination that 'priority' means the automatic right to appointment is correct. ... Therefore, we hold that in N.C.G.S. § 126-5(e) the phrase "such employee shall have priority to any position that becomes available means that if the employee is qualified for a job in state government which is available, he must be offered this job before it can be filled by anvone else, by promotion or otherwise. (Emphasis added).

The evidence of record establishes that Petitioner was a current State employee at the time she applied for the position of Psychological Program Coordinator I and that she had been continuously employed by the State since October 1, 1975. [Petitioner's Pre-Hearing Statement, ¶ 4; Petitioner's Application, pg. 2 which was attached to Respondent's Responses to Petitioner's Request for Production of Documents]. Therefore, Petitioner meets the requirements of N.C.G.S. § 126-7(c)(1).

The successful applicant was not a State employee. Respondent has admitted that:

"Dr. Kenneth M. Wilson was the successful applicant for the Position of Psychological Program Coordinator I." see Response to Request for Admission # 1.

"Dr. Kenneth M. Wilson left state government on October 10, 1997." see Response to Request for Admission # 3.

"Dr. Kenneth M. Wilson was reinstated into state government on December 7, 1998."

NORTH CAROLINA REGISTER

³ This is the previous location of the current statute.

See Response to Request for Admission #4.

"Dr. Kenneth M. Wilson was not in a permanent position appointment at the time he was offered the position of Psychological Program Coordinator I." See Response to Request for Admission # 6.

In short, the Department has admitted all of the elements of N.C.G.S. 126-7.1(c)(2). Therefore, the interpretation *Dept.* of *Corrections v. Hill* should be applied in the present case and Dr. Caves should have been offered this job before it could be filled by anyone else who was not a State employee.

B. Petitioner Has Substantially Equal Qualifications.

N.C.G.S. § 126-7.1(d) defines "qualifications" which is referenced in N.C.G.S. § 126-7.1(c). "Qualifications" is defined to include: "(1) Training or education; (2) Years of experience; and (3) Other skills, knowledge, and abilities that bear a reasonable functional relationship to the abilities and skills required in the job vacancy applied for."

The State Personnel Commission has adopted Rule 25 NCAC 1H .0625. (State Personnel Manual § 2, Pg. 5.2) which gives "current State employees who have achieved career status, as that term is defined in G.S. 126-1A" promotional priority consideration. The rule also states that "Substantially equal qualifications occur when the employer cannot make a reasonable determination that the job related qualifications held by one person are significantly better suited for the position than the job-related qualifications held by another person."⁴

In *Teague v. Western Carolina University*, 108 N.C. App. 689, 424 S.E.2d 684, 80 Ed. Law Rep. 312 (1993), the Court applied the current version of this statute, but concluded that the applicant did not have substantially equal qualifications. But, in *Dockery v. N.C. DHR*, 120 N.C. App. 827, 463 S.E.2d 580 (1995), the Court of Appeals distinguished *Teague* because the administrator interviewing Teague did not have first-hand knowledge of the applicant's work or qualifications. In *Dockery*, the Court upheld the State Personnel Commission's Order of reinstatement of a State employee who was denied the promotional preference of N.C.G.S. § 126-7.1(c).

Here, Petitioner's application indicates that she was currently a State employee. Both her application and her letter establish that the meets and exceeds the minimum requirements for the position. Indeed, Dr. Harrop screened all nine applicants and determined that Petitioner and Dr. Wilson, the successful applicant, were "highly qualified."

While the Department argued that Dr. Caves did not have "substantially equal qualifications;" such an argument is not supported by the evidence of record in the present case.

(1) **Training & Education.**

The Job Posting⁵ established the following minimum requirements for the position:

BRIEF JOB DESCRIPTION

Provide coordination of psychological services in the southeastern region of the state, assists in recruitment and selection of psychologists, provides clinical supervision including licensure requirements for supervisors in the region, ensures that policies and procedures for the delivery of services are in compliance, plans for the delivery of services ensuring adequate staff resources to meet existing and anticipated demands.

SKILLS AND ABILITIES:

Requires extensive knowledge of clinical psychology as applied to a correctional system. Thorough knowledge of personality testing, psychological risk assessment or violent and sexual predators and the ability to impart this knowledge to others. Knowledge of service tracking and information systems required. Thorough knowledge of therapeutic strategies applicable to correctional clients

EDUCATION AND EXPERIENCE:

Doctoral degree in psychology and four years of professional psychological experience, one of

⁴ Since Dr. Wilson was not a current state employee and not entitled to any other preference, the Department cannot rely on 25 NCAdmin. Code 1H.0628 which deals with resolution of conflict between employment priorities. ⁵ This document is Exhibit 1 attached to the deposition of Kenneth M. Wilson.

which must have been in coordinating/supervising a psychological services program which included advanced clinical treatment, consultation, training and research.

Both Caves and Wilson, the successful candidate, met the minimum requirements of the position. Both Dr. Caves and Dr. Wilson have the same education in that both have a doctorate. However, Dr. Wilson's degree program awarded him a Doctor of Psychology. This type of doctorate does not require a dissertation. Wilson deposition, pp. 8-9. Dr. Caves received a Doctor of Philosophy (Ph.D.) and wrote a dissertation on "A Retrospective Study of Perceived Maternal Nurturance of Regressed and Fixated Pedophiles".

In addition to this educational experience, Dr. Harrop determined both of the applicants to be "highly qualified."

(2) Years of Experience.

The Department may argue that Dr. Wilson has more years of experience than Dr. Caves. Indeed, the Matrix sheets indicate that Dr. Wilson had "48 to 96 months of experience in psychology" but only two of them were within the Department of Correction. Dr. Caves had "48 months of experience in psychology" and all of them were within the Department of Correction. Apparently, Dr. Harrop interpreted the Vacancy Announcement to pertain to experience after the applicant had received their doctorate.

However, this summary appears to ignore the almost 20 years of experience that Dr. Caves had as a Staff Psychologist for the Department. Given that the purpose of the promotional priority consideration is to support State employees who have achieved career status, this argument is totally inconsistent with the clear policy purposes of the statute.

(3) Other Skills, Knowledge & Abilities; Job Related Qualifications.

The Department also argued that Dr. Wilson had greater skills, knowledge, or abilities. As discussed, the Interrogatory Responses indicate that both Dr. Caves and Dr. Wilson were "Highly Qualified." See documents indicating that R. Alan Harrop received both applications, reviewed them and checked "Highly Qualified" for both applicants. At a minimum, this evidence indicates that they had "substantially similar qualifications" which would mean that Dr. Caves should have been granted the preference.

But, the documents of record do not indicate that both had the same "... skills, knowledge, and abilities that bear a reasonable functional relationship to the abilities and skills required in the job vacancy applied for." Dr. Harrop on the Screening Matrix, indicated that Dr. Caves met all nine of the Preferences which were identified on the screening sheet. However, Dr. Wilson only met six of the preferences; he did not have 4 years or more of correctional experience; he was not currently employed as a Psychological Program Manager; and he did not have experience and training with special populations such as sexual predators,⁶ inpatient, mentally retarded, etc.

Rule .0625(c) gives the employer the discretion to "make a reasonable determination that the job-related qualifications held by one person are significantly better suited for the position than the job-related qualifications held by another person." The Department offered no evidence that it actually made such a determination. Indeed, the Department's own documents indicate that Dr. Caves meets more of the explicit "job-related qualifications" than Dr. Wilson. Therefore, an argument that Dr. Wilson had greater skills, experience or job-related qualifications is without merit or basis in the undisputed evidence of record.

C. Conclusion.

The Department's documentation of the hiring decision make clear that the priority promotional preference policies were not followed in the selection of Dr. Wilson rather than Dr. Caves for promotion to the vacant position. Indeed, the evidence of record shows that Dr. Caves had been a State employee since 1975 and had 23 years of continuous, permanent State service, and had long since become a career State employee. But, Dr. Wilson had only been a State employee for 3 years and could not meet the definition of career State employee because he was not employed for "the immediate 24 proceeding months" required by N.C.G.S. § 126-1a(1)b. The Department's documentation also shows that it made no effort to follow its own procedures for merit selection.

<u>ORDER</u>

⁶ Even though "psychological risk assessment of violent and <u>sexual predators</u>..." was explicitly part of the job description, the Department determined that he had no experience. Dr. Wilson admitted this in his deposition, pg. 21, lines 2 - 6. Nor was he asked questions about his experience during his interview. Pg. 30, lines 7 - 13.

It is hereby ordered that the Office of State Personnel serve a copy of the final decision on the Office of Administrative Hearings, PO Drawer 27447, Raleigh, NC 27611-7447, in accordance with N.C. Gen. Stat. §150B-36(b).

NOTICE

The final decision in this contested case shall be made by the North Carolina State Personnel Commission. Each party shall have the right to file exceptions to this recommended decision and to present written arguments on the decision to the State Personnel Commission. The agency is required by N.C. Gen. Stat. §150B-36(b) to serve a copy of the final decision upon each party personally or by certified mail and to furnish a copy to each attorney of record.

This the 23rd day of September 1999.

Meg Scott Phipps Administrative Law Judge

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This index provides information related to notices, rules and other documents published in the Register. It includes information about rules for which Notice of Rule-Making Proceedings or Notice of Text have been published, rules submitted to the Rules Review Commission and rules codified since the last session of the General Assembly. For assistance contact the Rules Division at 919/733-2678. Fiscal Note: S = Rule affects the expenditure or distribution of state funds. L = Rule affects the expenditure or distribution of local government funds. SE = Rule has a substantial economic impact of at least \$5,000,000 in a 12-month period. * = Rule-making agency has determined that the rule does not impact state or local funds and does not have a substantial economic impact. See G.S. 150B-21.4.

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| 26 NCAC 04 .0202 | N/A | | N/A | N/A | Approve | 10/04/99 | | | 14:10 NCR 839 | |
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| R1110 13:14 NCR 1110 13:20 NCR 1718 * Agy Withlew 071509 R110 13:20 NCR 1717 * 071509 * 1406 NCR 490 R110 13:20 NCR 1717 * 071509 * 1406 NCR 490 R111 14:05 NCR 374 * 071509 * 1406 NCR 490 R15 14:05 NCR 374 * 071509 * 1406 NCR 490 R65 14:09 NCR 657 * 14:05 NCR 128 * 14:05 NCR 490 R65 14:09 NCR 657 * 14:05 NCR 128 * * 14:06 NCR 490 R120 14:08 NCR 562 * 14:08 NCR 562 * * * * * R520 14:08 NCR 562 * 14:08 NCR 562 * <td< td=""><td>CAC 54 .0104</td><td>13:14 NCR 1119</td><td>13:14 NCR 1119</td><td>13:20 NCR 1718</td><td>*</td><td>Approve</td><td>01/15/99</td><td>*</td><td></td><td>14:06 NCR 490</td><td></td></td<> | CAC 54 .0104 | 13:14 NCR 1119 | 13:14 NCR 1119 | 13:20 NCR 1718 | * | Approve | 01/15/99 | * | | 14:06 NCR 490 | |
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| 8 582 R 1946 14:03 NCR 128 * 8 582 8 5 8 5 8 5 8 5 8 5 8 5 8 5 8 5 | ary Board | | | | | | | | | | |
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| Proceedings | Rulc | Text | Note | Action | Date | trom proposal | Governor | Approved Kule | Other |
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| ARCHITECTURE, BOARD OF | | | | Approve | 03/18/99 | x . | | 14:01 NCR 48 | |
| 14:08 NCR 578 | | | | | | | | | |
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| | 12:23 NCR 2098 | 13:14 NCR 1117 | × | Approve | 04/15/99 | × | | 14:02 NCR 84 | |
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| 11:09 NCR 569 | | 13:08 NCR 652 | * | Object | 12/17/98 | * | | | |
| 11:09 NCR 569 | 13:15 NCR 1224 | 13:08 NCR 652 | * | Approve Agcy withdrew | 12/17/98 | ę | | 13:22 NUK 1808 | |
| | Temp. Expired 10/29/ 14:08 NCR 585 | Temp. Expired 10/29/99 13:20 NCR 1719 14:08 NCR 585 14:08 NCR 585 | L/S 17/S/SF | Return to Agey | / 08/19/99 | | | | |
| 11:09 NCR 569 | 13:15 NCR 1224 Temp. Expired 10/29/ | 13:15 NCR 1224 13:08 NCR 652 Temp. Expired 10/29/99 13:20 NCR 1719 | * * | Agcy withdrew Return to Agcy | 12/17/98 | | | | |
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| 11:09 NCR 569 | 13:15 NCR 1224 | 13:08 NCR 652 | * | Agev withdrew | 12/17/98 | | | | |
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| DDC Status | Date | | w 12/17/98 | Return to Agcy 08/19/99 | | w 12/17/98 | Return to Agcy 08/19/99 | | :w 12/17/98 | gcy 08/19/99 | 1 | :w 12/17/98 | gcy 08/19/99 | | :w 12/17/98 | Return to Agcy 08/19/99 | • | w 12/17/98 | | a | w 12/17/98 | Return to Agcy 08/19/99 | | w 12/17/98 | | • | :w 12/17/98 | gcy 08/19/99 | | :w 12/17/98 | Return to Agcy 08/19/99 | | Return to Agcy 08/19/99 | | | 12/17/98 | 01/21/99 | 12/17/98 | 01/21/99 | 12/17/98 | 01/21/99 | 12/17/98 |
| | Action | | Agcy withdrew | Return to Ag | | Agcy withdrew | Return to Ag | | Agcy withdrew | Return to Agcy | | Agcy withdrew | Return to Agcy | | Agcy withdrew | Return to A | | Agev withdrew | Return to Agey | | Agey withdrew | Return to A | | Agev withdrew | Return to Agcy | , | Agcy withdrew | Return to Agcy | | Agcy withdrew | Return to Ag | | Return to Ag | | | Object | Approve | Object | Approve | Object | Approve | Object |
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| Agency/Rule | Rule-making | Temporary | Notice of | Fiscal | RRC | RRC Status | Text differs | Effective by | | č |
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| Citation | Proceedings | Rule | Text | Note | Action | Date | proposal | Governor | Арргоуеа Кыс | Other |
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| Banking Commission | | | | | Approve | 01/21/99 | × | | 13:22 NCR 1868 | |
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| CitationProceedingsRuleCape Fear River Naviation and Pilotage Commission4 NCAC 15.011914:03 NCR 1254 NCAC 15.012014:03 NCR 1254 NCAC 15.01214 NCAC 15.012114:03 NCR 1254 NCAC 15.012114:03 NCR 1254 NCAC 15.012114:03 NCR 12510 ubtic Notice - Hospital Fees for Workers' Compensation CasesPubic Notice - Hospital Fees for Workers' Compensation CasesPubic Notice - Hospital Fees for Workers' Compensation CasesPubic Notice - Hospital Fees for Workers' Compensation Cases23 NCAC 2114:08 NCR 87723 NCAC 02B.010413:10 NCR 80423 NCAC 02D.030713:05 NCR 524 | Proceedings n and Pilotage Com 14:03 NCR 125 14:03 NCR 125 14:03 NCR 125 ees for Workers' Cor ees for Workers' Cor ees for Workers' Cor ees for Workers' Cor ees for Workers' Cor 14:08 NCR 577 EGES 13:10 NCR 804 | Rule | Text | Note | | | | | Approved Rule | Other |
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| 4 NCAC 15.012114:03Industrial Commission14:03Pubic Notice - Hospital Fees foPubic Notice - Hospital Fees foSecretary of Commerce4 NCAC 2114:08COMMUNITY COLLEGES23 NCAC 028.010413:1023 NCAC 02C .0307 | NCR 125 r Workers' Cor r Workers' Cor n NCR 577 NCR 804 NCR 804 | | 14:07 NCR 522 | * | | | | | | |
| Industrial Commission Pubic Notice - Hospital Fees fo Pubic Notice - Hospital Fees fo Secretary of Commerce 4 NCAC 21 14:08 COMMUNITY COLLEGES 23 NCAC 02B .0104 13:10 23 NCAC 02C .0307 | r Workers' Coi r Workers' Coi NCR <i>577</i> S NCR 804 | | 14:07 NCR 522 | * | | | | | | |
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| 23 NCAC 02C .0307 | | | 13:22 NCR 1849 | * | | | | | | |
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| 23 NCAC 02C .0503 | | 13:10 NCR 815 | 13:22 NCR 1849 | * | | | | | | |
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| 23 NCAC 02C .0505 | | 13:10 NCR 815 | 13:22 NCR 1849 | * | | | | | | |
| 23 NCAC 02D .0323 13:19 | 13:19 NCR 1609 | | 14:04 NCR 304 | * | | | | | | |
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| COSMETIC ART EXAMINERS | ERS | | | | | | | | | |
| 21 NCAC 14A .0101 13:14 | 13:14 NCR 1114 | 13:14 NCR 1157 | 13:19 NCR 1652 | * | Approve | 06/11/90 | * | | 14:05 NCR 402 | |
| 21 NCAC 14A .0103 13:14 | 13:14 NCR 1114 | | 13:19 NCR 1652 | * | Approve | 06/17/99 | * | | 14:05 NCR 402 | |
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| 21 NCAC 14A .0105 | | 13:14 NCR 1157 | 13:19 NCR 1652 | * | Approve | 06/11/90 | | | 14:05 NCR 402 | |
| 21 NCAC 14C .0202 13:14 | 13:14 NCR 1114 | | 13:19 NCR 1652 | * | Approve | 66/1/90 | * | | 14:05 NCR 402 | |
| 21 NCAC 14F 0101 13:14 | 13:14 NCR 1114 | | 13:19 NCR 1652 | * | Approve | 66/11/90 | * | | 14:05 NCR 402 | |
| 21 NCAC 14F .0105 13:14 | 13:14 NCR 1114 | | 13:19 NCR 1652 | * | Approve | 06/11/90 | * | | 14:05 NCR 402 | |
| 21 NCAC 14G .0103 | | 13:14 NCR 1157 | 13:19 NCR 1652 | * | Approve | 06/11/90 | * | | 14:05 NCR 402 | |
| 21 NCAC 14G .0113 N/A | | | N/A | N/A | Approve | 03/18/99 | | | 14:01 NCR 48 | |

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| Text differs | trom proposal | * | | ÷ | ÷ | * * | | | * • | * * | * | * | ÷ | | | | * | | * | * | × | * | * | | * | * | |
| RRC Status | Date | 07/15/99 | 02/15/99 | 66/11/90 | 06/11/90 06/11/99 | 08/19/99 06/17/90 | 06/11/90 | 66/11/90 | 08/19/99 | 06/11/90 06/11/90 | 06/11/90 | 00/11/90 | 06/12/09 | | 03/18/99 | 03/18/99 | 66/11/90 | ew 06/17/99 wa | 66/11/90 | 66/11/90 | 66/11/90 | 66/11/90 | 06/11/90 | 06/11/90 | 06/11/90 | 06/11/90 | 06/17/99 |
| RRC | Action | Approve | Approve | Object | Approve Object | Approve Approve | Approve | Object | Approve Object | Approve Approve | Approve | Object | Approve | | Approve | Approve | Approve | Agcy Withdrew | Approve | Object |
| Fiscal | Note | * | × | × | × | × | * | * | * | * | * | * | × | | N/A | N/A | * | * | * | × | * | * | × | * | × | × | * |
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| Fiscal | Note | | Г | Г | Г | | * | * | * | * | * | * | * | * | * | * | | * | * | * | * | * | * | * | * | * | * | * |
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| 1 NCAC 10M .0102 | 14:00 NCK 48/ | 14:00 NCK 48/ | | | | | | | | |
| 21 NCAC 16Q .0201 | 12:24 NCR 2203 | | 13:15 NCR 1218 | * | | | | | | |
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| ECTRICAL CONI | FRACTORS, BO [≠] | ELECTRICAL CONTRACTORS, BOARD OF EXAMINERS | ERS | | | | | | | |
| 21 NCAC 18B .0208 | N/A | | N/A | N/A | Object Approve | 06/11/99 07/15/99 | | | 14:06 NCR 490 | |
| IPLOYEE ASSIST | ANCE PROFESS | EMPLOYEE ASSISTANCE PROFESSIONALS, BOARD OF | OF | | : | | | | | |
| 21 NCAC 11 .0101 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
| 21 NCAC 11 .0102 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Agcy. withdrew | M | | | | |
| 21 NCAC 11 .0103 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Agcy. withdrew | Å | | | | |
| 21 NCAC 11 .0104 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
| 21 NCAC 11 .0105 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
| 21 NCAC 11 .0106 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
| 21 NCAC 11 .0107 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
| 21 NCAC 11 .0108 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |

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| 21 NCAC 11 .0109 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Object Annrove | 01/21/99 07/18/99 | * | | 2206 JUN 76-21 | |
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| 21 NCAC 11 .0111 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | | | 13:22 NCR 1868 | |
| 21 NCAC 11 .0112 | 12:19 NCR 1764 | 12:21 NCR 1884 | 13:03 NCR 313 | S/L | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
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| 15A NCAC 01J .0101 | | 13:18 NCR 1528 | 13:22 NCR 1827 | * | | | | | | |
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| 15A NCAC 01J .0202 | | 13:18 NCR 1528 | 13:22 NCR 1827 | S/L | | | | | | |
| 15A NCAC 01J .0301 | 14:08 NCR 644 | 14:08 NCR 644 | | | | | | | | |
| 15A NCAC 01J .0303 | | 13:18 NCR 1528 | 13:22 NCR 1827 | S/L | | | | | | |
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| 15A NCAC 01J .0703 | | 13:18 NCR 1528 | 13:22 NCR 1827 | S/L | | | | | | |
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| 15A NCAC 01J .0903 | | 13:18 NCR 1528 | 13:22 NCR 1827 | S/L | | | | | | |
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| 15A NCAC 01L .0301 | 14:08 NCR 644 | 14:08 NCR 644 | | | | | | | | |

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| | | | | | | | | | | |
| 15A NCAC 01L .0303 | | 13:18 NCR 1528 | 13:22 NCR 1827 | * | | | | | | |
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| 15A NCAC 07H .0306 | 12:19 NCR 1763 | | | | | | | | | |
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| 15A NCAC 02H .0800 | 13:08 NCR 621 | | | | | | | | | |
| 15A NCAC 02H .1202 | 11:15 NCR 1200 | | | | | | | | | |
| 15A NCAC 02H .1203 11:15 NCR 1200 | 11:15 NCR 1200 | | | | | | | | | |
| 15A NCAC 02H .1204 11:15 NCR 1200 | 11:15 NCR 1200 | | | | | | | | | |
| 15A NCAC 02H .1205 11:15 NCR 1200 | 11:15 NCR 1200 | | | | | | | | | |

I5A NCAC 02L .0202 I3:04 NCR 356 I5A NCAC 02L .0202 I4:10 NCR 743

| Proceedings Rule Test Action Date Governa 11:15 NCR 1200 11:15 NCR 1200 11:11 NCR 1201 11:11 NCR 1201 11:11 NCR 1201 11:11 NCR 1201 11:15 NCR 1201 11:15 NCR 1201 11:11 NCR 1201 <th>Agency/Rule</th> <th>Rule-making</th> <th>Temporary</th> <th>Notice of</th> <th>Fiscal</th> <th>RRC Status</th> <th>status</th> <th>Text differs</th> <th>Effective by</th> <th>And Darrent</th> <th>Other</th> | Agency/Rule | Rule-making | Temporary | Notice of | Fiscal | RRC Status | status | Text differs | Effective by | And Darrent | Other |
|---|------------------|----------------|----------------|----------------|--------|------------|----------|--------------|--------------|---------------|-------|
| 11:15 NGR 1:200 11:15 NGR 1:204 11:15 NGR 1:21 11:15 NGR 1:21 <th>Citation</th> <th>Proceedings</th> <th>Rule</th> <th>Text</th> <th>Note</th> <th>Action</th> <th>Date</th> <th>proposal</th> <th>Governor</th> <th>amy pavoidde</th> <th>Other</th> | Citation | Proceedings | Rule | Text | Note | Action | Date | proposal | Governor | amy pavoidde | Other |
| 11:15 NCR 1:201 11:15 NCR 1:201 11:15 NCR 1:201 12:15 NCR 1:201 12:16 NCR 1:821 13:16 NCR 1:821 14:10 NCR 3:51 | | | | | | | | | | | |
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| 11:15 NGR 1200 1:411 NGR 9:350 1:411 NGR 9:36 S.L 12:25 NGR 9:34 1:401 NCR 5:350 1:411 NGR 9:36 S.L 12:16 NGR 1:42 1:403 NCR 1:22 1:403 NCR 1:22 S.L 13:16 NGR 9:13 1:403 NCR 1:22 1:403 NCR 1:22 S.L 13:18 NGR 1:35 1:403 NCR 1:22 1:403 NCR 1:22 S.L 13:18 NGR 1:35 1:403 NCR 1:22 1:403 NCR 1:22 S.L 13:18 NGR 1:35 1:403 NCR 1:22 1:403 NCR 1:22 S.L 13:18 NCR 1:35 1:403 NCR 1:22 1:403 NCR 1:23 S.L 14:04 NCR 5:53 1:403 NCR 1:23 1:403 NCR 1:23 S.L 14:04 NCR 5:53 1:403 NCR 1:23 S.L S.L 14:04 NCR 5:53 1:403 NCR 1:23 S.L S.L 14:04 NCR 5:53 1:403 NCR 1:23 S.L S.L 15:12 NCR 1:34 1:403 NCR 5:23 S.L S.L S.L 15:12 NCR 1:34 1:403 NCR 5:23 1:403 NCR 5:23 S.L S.L 15:12 NCR 1:34 1:403 NCR 5:23 1:403 NCR 5:23 S.L S.L 15:24 NCR 1:34 1:403 NCR 5:23 1:403 NCR 5:23 | 15A NCAC 02N | 11:15 NCR 1204 | | | | | | | | | |
| 13-21 MCR 1941 14-07 NCR 530 14-11 NCR 908 S.I. 12.16 MCR 142 14-03 NCR 162 2 13.08 MCR 123 14-03 NCR 162 2 13.16 MCR 142 14-03 NCR 162 2 13.18 NCR 143 14-03 NCR 162 2 13.18 NCR 1543 14-07 NCR 534 2 13.24 MCR 1944 14-10 NCR 823 14-07 NCR 534 13.24 MCR 1943 14-10 NCR 823 14-07 NCR 534 13.24 MCR 1944 14-10 NCR 823 14-07 NCR 534 13.24 MCR 1944 14-10 NCR 823 14-07 NCR 54 14-04 NCR 565 14-07 NCR 524 5 13.24 NCR 1944 14-10 NCR 823 14-07 NCR 54 14-04 NCR 565 14-07 NCR 524 5 13.24 NCR 1944 14-10 NCR 823 14-07 NCR 54 14-04 NCR 565 14-07 NCR 524 5 13.24 NCR 1944 14-10 NCR 823 14-07 NCR 54 13.24 NCR 1944 14-10 NCR 823 14-07 NCR 54 13.24 NCR 1945 14-10 NCR 823 14-07 NCR 54 13.24 NCR 1945 14-10 NCR 823 14-07 NCR 54 13.24 NCR 1945 14-10 NCR 823 14-07 NCR 54< | A NCAC 02P | 11:15 NCR 1200 | | | | | | | | | |
| 2.16 NCR 142 13.08 NCR 621 13.18 NCR 1543 14.01 NCR 623 14.01 NCR 823 14.01 NCR 823 <td< td=""><td>A NCAC 02P .0402</td><td>13:24 NCR 1994</td><td>14:07 NCR 550</td><td>14:11 NCR 908</td><td>S/L</td><td></td><td></td><td></td><td></td><td></td><td></td></td<> | A NCAC 02P .0402 | 13:24 NCR 1994 | 14:07 NCR 550 | 14:11 NCR 908 | S/L | | | | | | |
| 1403 NCR 162 1403 NCR 162 1318 NCR 1545 1403 NCR 162 1 1410 NCR 823 1407 NCR 524 1 1410 NC | A NCAC 02Q .0102 | | | | | | | | | | |
| R12 NCR 943 1403 NCR 162 1 13.18 NCR 153 1403 NCR 162 1 13.18 NCR 134 1403 NCR 62 1 1404 NCR 265 1407 NCR 324 1 13.18 NCR 1094 1410 NCR 823 1407 NCR 324 1 1404 NCR 265 1407 NCR 324 1 1 1404 NCB 265 1407 NCR 824 1 1 1404 NCB 265 1407 NCR 823 1407 NCR 324 1 1404 NCB 265 1407 NCR 824 1 1 1404 NCB 265 1407 NCR 824 1 1 1404 NCB 265 1407 NCR 324 1 1 <tr< td=""><td>A NCAC 02Q .0102</td><td></td><td></td><td>14:03 NCR 162</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></tr<> | A NCAC 02Q .0102 | | | 14:03 NCR 162 | * | | | | | | |
| 13:18 NCR 1545 14:07 NCR 152 1 13:18 NCR 1545 14:07 NCR 524 1 14:01 NCR 823 14:07 NCR 524 1 14:01 NCR 823 14:07 NCR 524 1 13:12 NCR 1094 14:10 NCR 823 14:07 NCR 524 1 14:04 NCR 265 14:07 NCR 524 1 1 13:12 NCR 914 14:07 NCR 524 1 1 13:24 NCR 1094 14:07 NCR 524 1 <td>A NCAC 02Q .0102</td> <td></td> <td></td> <td>14:03 NCR 162</td> <td>*</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> | A NCAC 02Q .0102 | | | 14:03 NCR 162 | * | | | | | | |
| 13:24 NCR 1994 14:10 NCR 8:33 14:07 NCR 5:24 * 14:04 NCR 565 14:07 NCR 5:24 * * 15:24 NCR 1994 14:10 NCR 8:33 14:07 NCR 5:24 * 16:04 NCR 565 14:10 NCR 8:33 14:07 NCR 5:24 * 16:04 NCR 565 14:10 NCR 8:23 14:07 NCR 5:24 * 16:04 NCR 565 14:10 NCR 8:23 14:07 NCR 5:24 * 16:17 NCR 9:13 14:10 NCR 8:23 14:07 NCR 5:24 * 16:17 NCR 9:13 14:10 NCR 8:23 14:07 NCR 5:24 * 16:17 NCR 9:14 14:10 NCR 8:23 14:07 NCR 8:24 * 16:17 NCR 9:14 14:10 NCR 8:23 14:07 NCR 8:24 * 16:10 NCR 8:23 14:10 NCR 8:24 * * 16:10 NCR 8:24 14:10 NCR 8:24 * * 16:10 NCR 8:25 14:10 NCR 8:25 * * 16:10 NCR 8:24 14:10 NCR 8:24 * * | A NCAC 02Q .0102 | | 13:18 NCR 1545 | 14:03 NCR 162 | * | | | | | | |
| 1404 NCR 265 1407 NCR 524 * 13.24 NCR 1994 1410 NCR 823 1407 NCR 524 * 1404 NCR 265 1407 NCR 524 * * 13.24 NCR 1994 1410 NCR 823 1407 NCR 524 * 1404 NCR 265 1407 NCR 524 * * 1404 NCR 265 1407 NCR 524 * * 1512 NCR 934 1410 NCR 823 1407 NCR 524 * 1404 NCR 265 1407 NCR 524 * * 1312 NCR 194 1410 NCR 823 1407 NCR 524 * 1324 NCR 194 1410 NCR 823 1407 NCR 524 * 1324 NCR 194 1410 NCR 823 1407 NCR 524 * 1324 NCR 194 1410 NCR 823 1407 NCR 524 * 1324 NCR 194 1410 NCR 823 1407 NCR 524 * 1404 NCR 565 1410 NCR 823 1407 NCR 524 * 1404 NCR 565 1410 NCR 823 1407 NCR 524 * 1324 NCR 194 1410 NCR 823 1407 NCR 524 * 1404 NCR 565 1410 NCR 823 1407 NCR 524 * 1404 NCR 565 1407 NCR 524 <td>A NCAC 02Q .0102</td> <td></td> <td>14:10 NCR 823</td> <td>14:07 NCR 524</td> <td>*</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> | A NCAC 02Q .0102 | | 14:10 NCR 823 | 14:07 NCR 524 | * | | | | | | |
| 3.24 NCK 1994 14.0 NCK 823 1407 NCK 524 * 14.0 NCK 265 14.0 NCK 823 14.07 NCK 524 * 13.24 NCK 1934 14:10 NCK 823 14:07 NCK 524 * 14.01 NCK 265 14:03 NCK 162 * * 14.01 NCK 265 14:03 NCK 162 * * 13.12 NCK 933 14:07 NCK 524 * * 13.12 NCK 1934 14:10 NCK 823 14:07 NCK 524 * 13.24 NCK 1994 14:10 NCK 823 14:07 NCK 524 * 13.24 NCK 1994 14:10 NCK 823 14:07 NCK 524 * 13.24 NCK 1994 14:10 NCK 823 14:07 NCK 524 * 13.24 NCK 1994 14:10 NCK 823 14:07 NCK 524 * 13.24 NCK 1994 14:10 NCK 823 14:07 NCK 524 * 13.24 NCK 1934 14:10 NCK 823 14:07 NCK 824 * 13.24 NCK 1934 14:10 NCK 823 14:07 NCK 824 * 13.24 NCK 1934 14:07 NCK 824 * * 13.24 NCK 1935 14:07 NCK 824 * * 13.24 NCK 954 14:07 NCK 824 * * | A NCAC 02Q .0102 | | | | | | | | | | |
| 140 NCR 265 14:0 NCR 823 14:0 NCR 824 14:0 NCR 823 14:0 NCR 824 | A NCAC 02Q .0103 | | 14:10 NCR 823 | 14:07 NCR 524 | * | | | | | | |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$ | A NCAC 02Q .0109 | | | | | | | | | | |
| 1401 NCR 265 1401 NCR 265 1401 NCR 265 13.12 NCR 943 13.12 NCR 943 13.12 NCR 1094 13.12 NCR 1094 13.12 NCR 1094 13.12 NCR 1094 13.24 NCR 1094 14.01 NCR 823 13.24 NCR 1094 14.10 NCR 823 14.07 NCR 524 13.24 NCR 1094 14.10 NCR 823 14.07 NCR 524 13.24 NCR 1094 14.10 NCR 823 14.07 NCR 524 13.24 NCR 1094 14.10 NCR 823 14.07 NCR 524 13.30 NCR 621 14.10 NCR 823 14.07 NCR 524 14.10 NCR 823 14.07 NCR 524 14.01 NCR 823 14.01 NCR 824 14.01 NCR 825 14.01 NCR 825 14.01 NCR 826 | A NCAC 02Q .0202 | | 14:10 NCR 823 | 14:07 NCR 524 | * | | | | | | |
| 1404 NCR 265 14:03 NCR 162 * 13:12 NCR 943 14:03 NCR 162 * 14:04 NCR 265 14:07 NCR 524 S 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 S 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:28 NCR 621 14:10 NCR 823 14:07 NCR 524 * 13:08 NCR 621 14:10 NCR 823 14:07 NCR 524 * 13:08 NCR 412 14:10 NCR 823 14:07 NCR 524 * 11:08 NCR 412 * * * 11:08 NCR 412 14:00 NCR 177 * * 10:04 NCB 55 * * * | A NCAC 02Q .0304 | | | | | | | | | | |
| 13:12 NCR 943 14:03 NCR 162 * 14:04 NCR 265 14:07 NCR 524 S 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 S 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:26 NCR 621 14:10 NCR 823 14:07 NCR 524 * 14:04 NCR 265 14:00 NCR 823 14:07 NCR 524 * 11:08 NCR 442 14:10 NCR 823 14:07 NCR 524 * 11:08 NCR 442 14:10 NCR 823 14:07 NCR 524 * 10:04 NCR 365 14:00 NCR 823 14:07 NCR 924 * 11:08 NCR 442 14:00 NCR 823 14:07 NCR 92 * 11:08 NCR 442 11:08 NCR 162 * * 11:08 NCR 442 14:00 NCR 824 * * 11:08 NCR 442 14:03 NCR 162 * * 13:04 NCR 356 * * * 13:04 NCR 356 * | A NCAC 02Q .0305 | | | | | | | | | | |
| 14:04 NCR 265 14:07 NCR 524 S 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 S 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:08 NCR 621 14:01 NCR 823 14:07 NCR 524 * 13:08 NCR 621 14:01 NCR 823 14:07 NCR 524 * 13:08 NCR 621 14:01 NCR 823 14:07 NCR 524 * 14:04 NCR 265 14:00 NCR 823 14:07 NCR 524 * 11:08 NCR 442 * * Approve 1004/99 11:08 NCR 442 * * Approve 1004/99 * 11:08 NCR 442 * * Approve 1004/99 * 13:02 NCR 162 * * Approve 1004/99 * 13:04 NCR 356 * * Approve 1004/99 * | A NCAC 02Q .0306 | | | 14:03 NCR 162 | * | | | | | | |
| 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 S 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:26 NCR 621 14:10 NCR 823 14:07 NCR 524 * 14:04 NCR 265 14:07 NCR 524 * * 11:08 NCR 442 14:01 NCR 823 14:07 NCR 524 * 13:04 NCR 265 11:08 NCR 1727 * Approve 13:04 NCR 356 13:20 NCR 1727 * Approve 13:04 NCR 356 13:04 NCR 356 * 10/04/99 * | A NCAC 02Q .0401 | | | | | | | | | | |
| 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13:08 NCR 621 14:10 NCR 823 14:07 NCR 524 * 14:04 NCR 265 14:10 NCR 823 14:07 NCR 524 * 11:08 NCR 442 14:10 NCR 162 * * 11:08 NCR 442 13:20 NCR 1727 * Approve 13:12 NCR 943 13:20 NCR 162 * * 13:04 NCR 356 14:03 NCR 162 * * 14:04 NCR 265 14:04 NCR 356 * * | A NCAC 02Q .0502 | | 14:10 NCR 823 | 14:07 NCR 524 | S | | | | | | |
| 13.24 NCR 1994 14:10 NCR 823 14:07 NCR 524 * 13.08 NCR 621 14:10 NCR 823 14:07 NCR 524 * 14:04 NCR 265 14:00 NCR 823 14:07 NCR 524 * 14:04 NCR 265 14:00 NCR 823 14:07 NCR 524 * 11:08 NCR 442 14:00 NCR 122 * * 13:12 NCR 943 13:20 NCR 1727 * Approve 13:04 NCR 356 14:03 NCR 162 * * 14:04 NCR 265 13:04 NCR 356 * * | A NCAC 02Q .0503 | | 14:10 NCR 823 | 14:07 NCR 524 | * | | | | | | |
| 13:08 NCK 621 14:10 NCR 823 14:07 NCR 524 * 14:04 NCR 265 14:07 NCR 524 * * 11:08 NCR 442 13:20 NCR 1727 * 10:04/99 * 13:12 NCR 943 13:20 NCR 1727 * Approve 10/04/99 * 13:04 NCR 356 14:04 NCR 265 * 10/04/99 * * 13:04 NCR 356 14:04 NCR 356 10/04/99 * * * | A NCAC 02Q .0507 | | 14:10 NCR 823 | 14:07 NCR 524 | * | | | | | | |
| 14:04 NCR 265 11:08 NCR 442 11:08 NCR 442 13:12 NCR 943 13:12 NCR 943 13:12 NCR 162 13:01 NCR 356 14:01 NCR 265 14:04 NCR 265 13:04 NCR 356 | A NCAC 02Q .0508 | | 14:10 NCR 823 | 14:07 NCR 524 | * | | | | | | |
| 11:08 NCR 442 13:12 NCR 943 13:12 NCR 943 13:04 NCR 356 14:04 NCR 356 14:04 NCR 356 14:04 NCR 356 13:04 NCR 356 | A NCAC 02Q .0508 | | | | | | | | | | |
| I.3:12 NCR 943 I.3:20 NCR 1727 * Approve 10/04/99 * I.3:04 NCR 356 14:03 NCR 162 * * * * I.4:04 NCR 265 14:04 NCR 356 * * * I.3:04 NCR 356 13:04 NCR 356 * * * | A NCAC 02Q .0700 | | | | | | | | | | |
| 13:04 NCR 356 14:04 NCR 265 13:04 NCR 356 | A NCAC 02Q .0702 | | | 13:20 NCR 1727 | * * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| | A NCAC 02Q .0703 | | | 14.00 INCN 102 | | | | | | | |
| A NCAC 02Q .0711 13:04 NCR 356 | A NCAC 02Q .0703 | | | | | | | | | | |
| | A NCAC 02Q .0711 | 13:04 NCR 356 | | | | | | | | | |

| Agencv/Rule | Rule-making | Temporary | Notice of | Fiscal | RRC Status | Text differs | Effective by | | |
|---------------------------------|--------------------------------|-----------|--------------------------------|--------|-------------|------------------|--------------|---------------|-------|
| Citation | Proceedings | Rule | Text | Note | Action Date | from proposal | Governor | Approved Rule | Other |
| | | | | | | | | | |
| 15A NCAC 02Q .0711 | 13:16 NCR 1252 | | | | | | | | |
| 15A NCAC 02Q .0800 | 14:04 NCR 265 | | | | | | | | |
| 15A NCAC 02R .0204 | 12:02 NCR 52 | | 12:14 NCR 1267 | S | | | | | |
| 15A NCAC 02R .0205 | 12:02 NCR 52 | | 12:14 NCR 1267 | S | | | | | |
| 15A NCAC 02R .0600 | 12:02 NCR 52 | | | | | | | | |
| 15A NCAC 02S .0101 | 14:04 NCR 272 | | 14:10 NCR 755 | * | | | | | |
| 15A NCAC 02S .0102 | 14:04 NCR 272 | | 14:10 NCR 755 | * | | | | | |
| 15A NCAC 02S .0201 | 14:04 NCR 272 | | 14:10 NCR 755 | * | | | | | |
| 15A NCAC 02S .0202 | 14:04 NCR 272 | | 14:10 NCR 755 | * | | | | | |
| Health Services, Commission for | ssion for | | | | | | | | |
| 15A NCAC 13A .0101 | | | 14:10 NCR 757 | S | | | | | |
| | | | 14:10 NCR 757 | S | | | | | |
| 15A NCAC 13A .0102 | | | 14:10 NCR 757 | * | | | | | |
| | 14:05 NCR 370 | | 14:10 NCR 757 | * | | | | | |
| 15A NCAC 13A .0103 | 14:04 NCR 265 | | 14:10 NCR 757 | * | | | | | |
| | 14:05 NCK 3/0 | | 14:10 NCR 757 | * : | | | | | |
| 15A NCAC 13A .0104 | 14:04 NCK 265 | | 14:10 NCR 757 | * 1 | | | | | |
| 15 NUAC 13 A 0106 | 14:03 NCK 3/0 | | 14:10 NCK /5/ 14:10 NCB 767 | ÷ * | | | | | |
| NUN DEL DEDNI DEL | | | 14.10 NCR 757 | * * | | | | | |
| 15A NCAC 13A .0108 | 14:04 NCR 265 | | 14:10 NCR 757 | * | | | | | |
| | | | 14:10 NCR 757 | * | | | | | |
| 15A NCAC 13A .0110 | - | | 14:10 NCR 757 | * | | | | | |
| | 14:05 NCR 370 | | 14:10 NCR 757 | * | | | | | |
| 15A NCAC 13A .0112 | 14:04 NCR 265 | | 14:10 NCR 757 | * - | | | | | |
| | 14:00 NUK 3/0 | | 14:10 NCK 757 | * | | | | | |
| 15A NCAC 13A .0113 | 14:04 NCR 265 14:05 NCD 370 | | 14:10 NCR 757 | * * | | | | | |
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| 13A NCAU 13A .ULI8 | 14:04 NCK 203 14:05 NCR 370 | | 14:10 NCR /5/ 14:10 NCP 757 | * * | | | | | |
| 15A NCAC 13B .1627 | 11:08 NCR 442 | | 11:13 NCR 1055 | * | | | | | |
| 15A NCAC 13B .1800 | 11:08 NCR 442 | | | | | | | | |
| 15A NCAC 13B .1800 | 11:26 NCR 1976 | | | | | | | | |
| 15A NCAC 18A | | | | | | | | | |
| | 11.07 NOVI P0.11 | | | | | | | | |

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| č | Other | | | | | | | | | | | | | | | | | | | | | | | | | | |
| - | Approved Kule | | | | | | | | | | | | | | | 14:09 NCR 708 | | | 14:02 NCR 84 | | 14:02 NCR 84 | | 14:02 NCR 84 | | 14:10 NCR 839 | 14:10 NCR 839 | 14:10 NCR 839 |
| Effective by | Governor | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Text differs | proposal | | | | | | | | | | | | | | | | | | * | | | | * | | | * | |
| RRC Status | Date | | | | | | | | | | | | | | | 08/19/99 | | | 04/15/99 | | 04/15/99 | | 04/12/99 | | 10/04/99 | 10/04/99 | 10/07/99 |
| RRC | Action | | | | | | | | | | | | | | | Approve | | | Approve | | Approve | | Approve | | Approve | Approve | Annrove |
| Fiscal | Note | | | | | | | | | | | | | | | * | | | * | | * | | * | | N/A | * | * |
| Notice of | Text | | | | | | | | | | | | | | Temp Expired 01/26/99 | Temp Expired 01/26/99 13:20 NCR 1738 | 66/ | Temp Expired 12/11/98 Temp Expired 01/26/99 Temp Expired 03/12/99 | 13:13 NCR 1047 | | 13:13 NCR 1047 | | 13:13 NCR 1047 | | N/A | 14:03 NCR 234 | 14:03 NCR 234 |
| Temporary | Rule | | | | | 12:14 NCR 1352 | | | | | | | | | 12:21 NCR 1882 | 12:21 NCR 1882 13:12 NCR 979 | Temp Expired 01/26/99 | 12:18 NCR 1713 12:21 NCR 1882 12:24 NCR 2778 | | | 12:24 NCR 2228 | 12:20 INCK 1 /40 | | | | 13:12 NCR 979 | 13:12 NCR 979 |
| Rule-making | Proceedings | | 14:09 NCR 656 | 14:09 NCR 656 | 14:09 NCR 656 | | 14:09 NCR 656 | 14:09 NCR 656 | 14:09 NCR 656 | 14:09 NCR 656 | 14:09 NCR 656 | 13:16 NCR 1252 | 13:16 NCR 1252 | 13:16 NCR 1252 | | | 13:16 NCR 1252 | 12:16 NCR 1482 | 13:08 NCR 621 | 14:11 NCR 906 | | 14:11 NCR 906 | 13:08 NCR 621 | 14:11 NCR 906 | N/A | | |
| Agency/Rule | Citation | | 15A NCAC 18A .0100 14:09 NCR 656 | 15A NCAC 18A .0300 14:09 NCR 656 | 15A NCAC 18A .0400 14:09 NCR 656 | 15A NCAC 18A .0432 | 15A NCAC 18A .0500 14:09 NCR 656 | 15A NCAC 18A .0600 14:09 NCR 656 | 15A NCAC 18A .0700 14:09 NCR 656 | 15A NCAC 18A .0800 14:09 NCR 656 | 15A NCAC 18A .0900 | 15A NCAC 18A .1000 13:16 NCR 1252 | 15A NCAC 18A .1300 13:16 NCR 1252 | 15A NCAC 18A .1600 | 15A NCAC 18A .1601 | 15A NCAC 18A .1611 | 15A NCAC 18A.1700 13:16 NCR 1252 | 15A NCAC 18A ,1720 12:16 NCR 1482 | 15A NCAC 18A .1808 13:08 NCR 621 | I5A NCAC 18A .1809 14:11 NCR 906 | 15A NCAC 18A .1810 | 15A NCAC 18A ,1811 14:11 NCR 906 | 15A NCAC 18A .1812 | 15A NCAC 18A .1812 | 15A NCAC 18A .1938 | 15A NCAC 18A .1952 | 15A NCAC 18A .1953 |

| Agency/Rule Rule-making | Temporary | Notice of | Fiseal | RRC | RRC Status | Text differs | Effective by | | ā |
|-----------------------------------|---------------|---------------|--------|---------|------------|-----------------|--------------|---------------|-------|
| Citation Proceedings | Rule | Text | Note | Action | Date | rom proposal | Governor | Approved Kule | Other |
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| 15A NCAC 18A .1954 | 13:12 NCR 979 | 14:03 NCR 234 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| 15A NCAC 18A .1955 | 13:12 NCR 979 | 14:03 NCR 234 | × | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
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| 15A NCAC 18A .2618 N/A | N/A | N/A | N/A | Approve | 08/19/99 | | | 14:09 NCR 708 | |
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| 15A NCAC 18A .2816 12:16 NCR 1482 | | | | | | | | | |
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| 15A NCAC 18A .2821 12:16 NCR 1482 | | | | | | | | | |
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| - | r iscal Note | | | | | | * | * | | * | * | | | | | | | * | * | * * | × | N/A | * | * | * | |
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| | Other | | | | | | | | | | | | 14:01 NCR 3 | | | | | | | | | | | | | | | |
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| RRC | Action | | | | | | | | | | | | | | Object | Approve Approve | Object | Approve Object | Approve Approve | Object | Approve Object | Approve | Object Approve | Approve | Approve | Approve | Approve | |
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| tatus | Date | 08/19/99 | | 08/19/99 | 10/04/99 08/19/99 | 10/04/99 08/19/99 | 08/19/99 | 10/04/99 08/19/99 | 66/61/80 | 66/61/80 | 66/61/80 | | | 04/15/99 | 66/L1/90 | 04/15/99 | | | 04/15/99 | 04/15/99 | 66/11/90 | 04/15/99 | 04/15/99 | 04/15/99 | 04/15/99 | |
| RRC Status | Action | Object | Approve | Object | Approve Object | Approve Approve | Object | Approve Approve | Approve | Approve | Approve | | | Object | Approve Approve | Approve | | | Approve | Approve | Approve | Approve | Approve | Object | Approve | |
| Fiscal | Note | * | * | * | * | * | * | * | * | ¥ | * | | | * | N/A | * | * | | * | * | N/A | * | * | ¥ | * | |
| Notice of | Text | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | 13:21 NCR 1788 | | | 13:12 NCR 948 | N/A | 13:12 NCR 948 | 13:22 NCR 1842 | | 13:12 NCR 948 | 13:12 NCR 948 | N/A | 13:12 NCR 948 | 13:12 NCR 948 | 13:12 NCR 948 | 13:12 NCR 948 | |
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| RRC Status | Date | 66/51/t0 | | | 04/15/99 | | 04/15/99 | | 04/15/99 | | 66/61/80 | 66/61/80 | 66/61/80 | | 04/15/99 | | 04/15/99 | | 03/18/99 | 10/04/99 | | | 02/18/99 | 04/15/99 | 66/61/80 | 66/61/80 | | 66/51/FU |
| RRC | Action | Approve | | | Approve | | Approve | | Approve | | Approve | Approve | Approve | | Approve | | Approve | | Approve | Approve | | | Approve | Approve | Approve | Approve | | Annrove |
| Fiscal | Note | * | | | * | | * | | × | | * | * | * | | * | | * | * | N/A | N/A | | | L | * | L | L | | ы |
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| X , 199 <u>9</u>) | RRC Status | Date | 04/15/99 | | | | 08/19/99 | | 02/18/99 | | | | 08/19/99 | | | | | | | | | | 10/04/99 | 10/04/99 | 10/04/99 | 10/04/99 | 10/04/99 | 10/04/99 | 10/04/99 |
| CUMULATIVE INDEX pdated through November 23. | RRC | Action | Approve | | | | Approve | | Approve | | | | Approve | | | | | | | | | | Approve | Approve | Approve | Approve | Approve | Approve | Object |
| pd | Fiscal | Note | L | | L | | L | L | Г | | | L | L | | | | | | | | | | * | * | * | * | * | * | * |
| D) | Notice of | Text | 13:15 NCR 1231 | | 14:08 NCR 580 | | 13:19 NCR 1666 | 14:08 NCR 580 | 13:11 NCR 905 | | | 14:08 NCR 580 | 13:19 NCR 1666 | | | | | | | | | | 13:23 NCR 1942 | 13:23 NCR 1942 | 13:23 NCR 1942 | 13:23 NCR 1942 | 13:23 NCR 1942 | 13:23 NCR 1942 | 13:23 NCR 1942 |
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| 21 NCAC 20 .0123 | | 13:19 NCR 1695 | 13:23 NCR 1942 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
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| 21 NCAC 12 .0204 | | 13:06 NCR 568 | 13:13 NCR 1048 | × | Approve | 05/20/99 | | | 14:04 NCR 330 | |
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| 21 NCAC 12 .0901 | 13:22 NCR 1821 | | 15:24 INCK 2015 14:06 NCR 474 | ÷ * | | | | | | |
| 21 NCAC 12 .0907 | 13:22 NCR 1821 | | 14:06 NCR 474 | * | | | | | | |
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| Agency/Rule | Rule-making | Temporary | Notice of | Fiscal | RRC Status | SU | Text differs | Effective by | | ÷ |
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| Citation | Proceedings | Rule | Text | Note | Action | Date | trom proposal | Governor | Approved Kule | Other |
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| 10 NCAC 14V .4301 | 12:19 NCR 1762 | | 13:07 NCR 586 | * | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
| 10 NCAC 14V .4302 | 12:19 NCR 1762 | | 13:07 NCR 586 | * | Object | 01/21/99 | ł | | | |
| 10 NCAC 14V .4303 | 12:19 NCR 1762 | | 13:07 NCR 586 | * | Approve | 01/21/99 | ŧ | | 13:24 NCK 2037 13:22 NCR 1868 | |
| 10 NCAC 14V .4304 | 12:19 NCR 1762 | | 13:07 NCR 586 | * | Approve | 01/21/99 | * | | 13:22 NCR 1868 | |
| 10 NCAC 14V .4305 | 12:19 NCR 1762 | | 13:07 NCR 586 | * | Approve | 01/21/99 | | | 13:22 NCR 1868 | |
| 10 NIC & C 1477 4306 | 0721 GON 01-01 | | 12.07 NOB 507 | • | | 00/10/10 | | | 12.23 NON 1868 | |

| Other | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| RRC Status n Date | | | | | | | | | | | | | | 07/15/99 | | | | | | | 00101100 | 0718/99 | 02/18/99 | 02/18/99 | 02/18/99 | 02/18/99 |
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| Fiscal Note | | * | * | | | * | × | * | × | * | * | | | * | | | | | | | * + | : * | * * | * * | * * | * * |
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| RRC | Action | | | Approve | A | Approve | Approve | | Approve | · | Approve | | Approve | Approve | |
| Fiscal | Note | | * | * | * * | * * | * | * | * | * · | * > | с э | ÷ * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | ¥ | * | * | * | * | * | * | * | * | * | * | * |
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| RRC | Action | Approve | | Approve | Approve | : | Approve | | Approve | Approve | | Approve | A second | Approve | Approve | | Approve | America | Approve | Approve | : | Approve | | Approve | Approve | | Approve | Annrove | a condition | Approve | | Approve | Approve | | Approve |
| Fiscal | Note | * | * | * * | * | * | * : | * | * * | * | * | * * | ÷ * | · * | * | * | * * | * * | ÷ * | * | * | * : | ÷ + | ÷ * | * | * | * * | * | * | * | * | * * | * | * | * |
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| RRC | Action | | Approve | | Approve | Approve | | Approve | | Approve | | Approve | Annrove | | Approve | | Approve | | Approve | | Approve | | Approve | Approve | : | Approve | | Approve | Anneale | 2 ordder | Approve | : | Approve | | Approve | | Approve |
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| Citation | Proceedings | Rule | Text | Note | Action | Date | from proposal | Governor | Approved Rule | Other |
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| 10 NCAC 41G .1105 | 12:11 NCR 919 | | 13:05 NCR 438 | * | monday | (()01 m0 | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 10 NCAC 41G .1106 | 12:11 NCR 919 | | 13:05 NCR 438 | * | | | | | | |
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| | Rule-making | Temporary | Notice of | Fiscal | RRC Status | Status | Tcxt differs | Effective by | , , , , | |
|----------------|-------------|-----------|----------------|--------|------------|----------|------------------|--------------|------------------|-------|
| Proceedings | | Rule | Text | Note | Action | Date | from proposal | Governor | Approved Kule | Other |
| |] | | | | | | | | | |
| 12:11 NCR 919 | | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | • | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | • | | 13:05 NCR 438 | * | : | | | | | |
| | | | 13:11 NCR 857 | × | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 6 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 6 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 6 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 61 | | 13:05 NCR 438 | * | : | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 61 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 61 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | × | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 61 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 919 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 919 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | × | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 19 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 19 | | 13:05 NCR 438 | * | | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 | 6 | | 13:05 NCR 438 | * | : | | | | | |
| | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 14:10 NCR 742 | 5 | | | | | | | | | |
| 10:17 NCR 2228 | 228 | | 10:21 NCR 2687 | * | | | | | | |
| | | | | | | | | | | |
| 14:10 NCR 742 | 742 | | | | | | | | | |
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| Aprove 0218/90 13.24 NCR 2037 Aprove 0218/90 * 13.24 NCR 2037 Aprove | Rule-making Temporary Notice of Proceedings Rule Text | | Notice of Text | | Fiscal Note | RRC Status Action I | Status Date | Text differs from proposal | Effective by Governor | Approved Rule | Other |
|---|---|-----------------|-------------------|--------------------------------|----------------|------------------------|----------------|----------------------------------|--------------------------|-----------------|-------|
| 2111XCR 919 [351 KR 43] • Approxe 021899 2111XCR 919 [316 KR 43] • Approxe 021899 2111XCR 919 [311 KK 857] • Approxe 021899 211XCR 919 [311 KK 857] • Approxe 021899 211XCR 919 [311 KK 857] • Approxe 021899 211XCR 919 [311 KK 857] • Approxe 021899 [211 KCR 919 [331 KK 857] • Approxe 021899 [211 KCR 919 [331 KK 857] • Approxe 021899 [211 KCR 919 [336 KK 438] • Approxe 021899 [211 KCR 919 [331 KK 857] • Approxe 021899 [211 KCR 919 [331 KK 857] • Approxe 021899 [211 KCR 919 [331 KK 857] • Approxe 021899 [211 KCR 919 [331 KK 857] • Approxe 021899 [211 KCR 919 [331 KK 857] • Approxe 021899 <td>10 NCAC 41R .0101</td> <td>12:11 NCR 919</td> <td></td> <td>13:05 NCR 438 13:11 NCR 857</td> <td>* *</td> <td>Approve</td> <td>02/18/99</td> <td></td> <td></td> <td>13:24 NCR 2037</td> <td></td> | 10 NCAC 41R .0101 | 12:11 NCR 919 | | 13:05 NCR 438 13:11 NCR 857 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| | 10 NCAC 41R .0102 | 12:11 NCR 919 | | 13:05 NCR 438 13:11 NCR 857 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| | 10 NCAC 41R .0103 | 12:11 NCR 919 | | 13:05 NCR 438 | * * | A potente | 00/81/00 | | | 12-24 NCB 2027 | |
| $ \begin{array}{llllllllllllllllllllllllllllllllllll$ | 10 NCAC 41R .0104 | 12:11 NCR 919 | | 13.05 NCR 438 | ÷ * | Appiove | 66191170 | | | 15:24 INUK 2037 | |
| | 05 | 12:11 NCR 919 | | 13:11 NCR 857 13:05 NCR 438 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| [21] NGR 9J9 [34] NGR 438 * Approve 021899 [21] NGR 9J9 [34] NGR 837 * Approve 021899 [21] NGR 9J9 [35] NGR 837 * Approve 021899 [21] NGR 9J9 [36] NGR 837 * Approve 021899 [21] NGR 9J9 [36] NGR 438 * Opject 021899 [21] NGR 9J9 [36] NGR 438 * Approve 021899 [21] NGR 9J9 [36] NGR 438 * Approve 021899 [21] NGR 9J9 [36] NGR 438 * Approve 021899 * [21] NGR 9J9 [31] NGR 837 * Approve 021899 * [21] NGR 9J9 [31] NGR 837 * Approve 021899 * [21] NGR 9J9 [31] NGR 837 * Approve 021899 * [21] NGR 9J9 [32] NGR 438 * Approve 021899 * [21] NGR 9J9 [33] NGR 837 * Approve 021899 * [21] NGR 9J9 [33] NGR 438 * Approve 021899 * <td></td> <td></td> <td></td> <td>13:11 NCR 857</td> <td>*</td> <td>Approve</td> <td>02/18/99</td> <td></td> <td></td> <td>13:24 NCR 2037</td> <td></td> | | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| [21] NCR 919 [3:05 NCR 438 + </td <td>90</td> <td>12:11 NCR 919</td> <td></td> <td>13:05 NCR 438 13:11 NCR 857</td> <td>* *</td> <td>Approve</td> <td>02/18/99</td> <td></td> <td></td> <td>13:24 NCR 2037</td> <td></td> | 90 | 12:11 NCR 919 | | 13:05 NCR 438 13:11 NCR 857 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:10 SN CR 438 + Object 02/1899 * [2:11 NCR 919 [3:10 NCR 857 + Object 02/1899 * [2:11 NCR 919 [3:10 NCR 857 + Opject 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 + Approve 02/1899 * [2:11 NCR 919 [3:11 NCR 857 +< | 07 | 12:11 NCR 919 | | 13:05 NCR 438 | * | | | | | | |
| 13:11 NGK 919 13:11 NGK 875 * Object 02/18/99 * [2:11 NCR 919 13:05 NGK 433 * Approve 02/18/99 * [2:11 NCR 919 13:05 NGK 433 * Approve 02/18/99 * [2:11 NCR 919 13:05 NGK 433 * Approve 02/18/99 * [2:11 NCR 919 13:05 NGK 433 * Approve 02/18/99 * [2:11 NCR 919 13:05 NGK 438 * Approve 02/18/99 * [2:11 NCR 919 13:01 NCR 857 * Approve 02/18/99 * [2:11 NCR 919 13:01 NCR 857 * Approve 02/18/99 * [2:11 NCR 919 13:01 NCR 857 * Approve 02/18/99 * [2:11 NCR 919 13:01 NCR 857 * Approve 02/18/99 * [2:11 NCR 919 13:01 NCR 857 * Approve 02/18/99 * [2:11 NCR 919 13:01 NCR 857 * Approve 02/18/99 * < | - | 12-11 NCD 010 | | 13:11 NCR 857 13:05 NCD 438 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 13:05 NCR 438 Approve 0.21.039 0.21.039 12:11 NCR 919 13:11 NCR 857 4 Prove 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 + 12:11 NCR 919 13:11 NCR 857 + Approve 0.21.1899 < | | 17.11 INCV 212 | | 13:11 NCR 857 | · * | Object | 02/18/99 | • | | | |
| 13:11 NCR 919 13:11 NCR 857 4 pprove 0218/99 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 13:05 NCR 438 4 0218/99 * 12:11 NCR 919 | 2 | 12:11 NCR 919 | | 13:05 NCR 438 | * | Approve | 66/01/70 | ł | | 13:24 NUK 2037 | |
| 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.05 NCR 438 * Approve 02/18/99 * 12.11 NCR 919 13.05 NCR 438 * Approve 02/18/99 * 12.11 NCR 919 13.05 NCR 438 * Approve 02/18/99 * 12.11 NCR 919 13.05 NCR 438 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 * 12.11 NCR 919 13.11 NCR 857 * Approve 02/18/99 < | _ | 13-11 NCB 010 | | 13:11 NCR 857 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
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| 12:11 NCR 919 13:11 NCR 937 * Approve 02:16799 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02:18/99 * 12:11 NCR 919 13:11 NCR 857 * Approve 02:18/99 * 12:11 NCR 919 13:11 NCR 857 * Approve 02:18/99 * 12:11 NCR 919 13:11 NCR 857 * Approve 02:18/99 < | ~ | 12:11 NCR 919 | | 13:05 NCR 438 | * * | America | 00/01/00 | * | | 12.01 NOR 2007 | |
| 13:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 * 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 * 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 < | m | 12:11 NCR 919 | | 13:05 NCR 438 | * | Approve | 66191170 | | | 13:24 INUK 2037 | |
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| 12:11 NCR 919 13:10 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:10 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 43 | - | 12-11 NCP 010 | | 13:11 NCR 857 13:05 NCP 138 | * * | Approve | 02/18/99 | * | | 13:24 NCR 2037 | |
| 12:11 NCK 919 13:05 NCK 438 * 12:11 NCK 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve | . , | | | 13:11 NCR 857 | * • | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 13:05 NCR 438 *< | 2 | 17:11 INCK 919 | | 13:11 NCR 857 | ÷ * | Annrove | 02/18/99 | | | 13:24 NCR 2037 | |
| 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 |)3 | 12:11 NCR 919 | | 13:05 NCR 438 | * | | | | | | |
| 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 12:11 NCR 919 13:01 NCR 857 * Approve 02/18/99 | Z | 12-11 NCR 919 | | 13:11 NCR 857 13:05 NCR 438 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
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| 13:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 12:11 NCR 919 13:05 NCR 438 * Approve 02/18/99 | 9 | 12:11 NCR 919 | | 13:11 NCK 85/ 13:05 NCR 438 | ÷ * | Approve | 66/81/70 | | | 13:24 NCK 2037 | |
| 12:11 NCR 919 13:05 NCR 438 * 12:11 NCR 919 13:11 NCR 857 * Approve 02/18/99 | ļ | | | 13:11 NCR 857 | * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |
| | 10 | 12:11 NCK 919 | | 13:05 NCR 438 13:11 NCR 857 | * * | Approve | 02/18/99 | | | 13:24 NCR 2037 | |

(Updated through November 23, 1999)

| | Other | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| × | Approved Rule | | 13:24 NCR 2037 | | 13:24 NCR 2037 | 13:24 NCR 2037 | | 13:24 NCR 2037 | | 13:24 NCK 2037 | 13:24 NCR 2037 | | 13:24 NCK 2037 | | 13:24 NCR 2037 | | 13:24 NCK 2037 | 13:24 NCR 2037 | | 13:24 NCR 2037 | | 13:24 NCR 2037 | | 13:24 NCR 2037 | |
| Rffertive hv | Governor | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Text differs | from proposal | | | | | | | | ÷ | ÷ | | | | | | | × | ł | × | | | | | | | | | | | | | | | | | | | | |
| RRC Status | Date | | 02/18/99 | | 02/18/99 | 02/18/99 | | 02/18/99 | | 66/81/70 | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | 00101100 | 66/81/70 | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | |
| RRC | Action | | Approve | | Арргоvе | Approve | | Approve | | Approve | Approve | | Approve | | Approve | | Approve | | Approve | | Approve | | Approve | Approve | - | Approve | | Approve | | Approve | | Approve | | Approve | | Approve | | Approve | |
| Fiscal | Note | * | * | * : | * * | * | * | * : | × * | ÷ * | * | * | * | * | * | * | * - | * - | * * | * | * * | ÷ + | ÷ * | * | * | * | * | * | * | * | * | * | * | * | * | * : | ¥ | * 1 | ĸ |
| Notice of | Text | 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCR 438 | 13:11 NCK 857 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCK 438 | 13:11 NCK 037 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCR 438 | 13:11 NCK 857 | 13:05 NCK 438 | 13:11 NCK 857 | 13:00 NCK 438 | 13:11 NCK 857 | 12.11 INCK 438 | 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCR 438 | 13:11 NCR 857 | 13:05 NCK 438 | 13:11 NCR 857 | 13:05 NCK 438 |
| Tennorarv | Rule | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| RRC Status | Date | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | 02/18/99 | | | | | | |
| RRC | Action | Approve | | Approve | | Approve | 1 | Approve | | | | | | |
| Fiscal | Note | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | * | | | | | | |
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| 12 NCAC 09B .0106 | N/A | | N/A | N/A | Approve | 10/04/99 | | | 14:10 NCR 839 | |
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| 12 NCAC 09B .0110 | 13:14 NCR 1110 | | 13:19 NCR 1611 | × | Approve | 66/11/90 | * | | 14:05 NCR 402 | |
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| 12 NCAC 09C .0403 | 13:14 NCR 1110 | | 13:19 NCR 1611 | * | Approve Approve | 10/04/99 06/17/99 | * | | 14:10 NCR 839 14:05 NCR 402 | |
| 12 NCAC 09E .0107 | 13:14 NCR 1110 | | 13:19 NCR 1611 | * | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| Sheriffs' Education and Training Standards Commission | Training Standards | Commission | | | | | | | | |
| 12 NCAC 10B .0103 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S | Object | 06/11/90 | | | | |
| 12 NCAC 10B .0502 | 13:14 NCR 1110 | | 13:19 NCR 1637 | Γ | Return to Agcy 07/15/99 Object 06/17/99 | cy 07/15/99 06/17/99 | | | | |
| 12 NCAC 10B .0505 | 13:14 NCR 1110 | | 13:19 NCR 1637 | * | Approve | 06/17/99 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .0506 | 13:14 NCR 1110 | | 13:19 NCR 1637 | * | Approve | 06/11/90 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .0507 | 13:14 NCR 1110 | | 13.19 NCR 1637 | * | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .0508 | 13:14 NCR 1110 | | 13:19 NCR 1637 | * | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .0509 | 13:14 NCR 1110 | | 13:19 NCR 1637 | * | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .0601 | 13:14 NCR 1110 | | 13.19 NCR 1637 | S/L | Object | 06/11/90 | | | | |
| 12 NCAC 10B .0606 | 13:14 NCR 1110 | | | | | | | | | |
| 12 NCAC 10B .0607 | 13:14 NCR 1110 | | | | | | | | | |
| 12 NCAC 10B .0703 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S/L | Approve | 06/11/90 | * | | 14:05 NCR 402 | |
| 12 NCAC 10B .0908 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S/L | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .1002 | 13:14 NCR 1110 | | 13:19 NCR 1637 | * | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .1401 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| 12 NCAC 10B .1402 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S | Approve | 66/11/90 | * | | 14:05 NCR 402 | |
| 12 NCAC 10B .1403 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S | Approve | 66/11/90 | * | | 14:05 NCR 402 | |
| 12 NCAC 10B .1404 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S | Approve | 66/11/90 | * | | 14:05 NCR 402 | |
| 12 NCAC 10B .1405 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S | Approve | 06/11/90 | * | | 14:05 NCR 402 | |
| 12 NCAC 10B .1406 | 13:14 NCR 1110 | | 13:19 NCR 1637 | S | Approve | 66/11/90 | | | 14:05 NCR 402 | |
| LABOR | | | | | | | | | | |
| 13 NCAC 01A .0100 | 14:07 NCR 519 | | | | | | | | | |

13 NCAC 01B .0100 14:07 NCR 519

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13 NCAC 01B .0200

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| | | | | | | | | | | 13:08 NCR 685 | 13:08 NCR 685 | | | | | | | | | | | 13:21 NCR 1786 | 13:21 NCR 1786 | 13:21 NCR 1786 | 13:21 NCR 1786 | 13:21 NCR 1786 |
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| 13 NCAC 01B, 0300 | 13 NCAC 01B .0400 | 13 NCAC 01B .0500 | 13 NCAC 01B .0600 | 13 NCAC 01C .0100 | 13 NCAC 01C ,0200 | 13 NCAC 01C .0300 | 13 NCAC 01C .0400 | 13 NCAC 01C .0500 14:07 NCR 519 | Boiler and Pressure Vessel Division | 13 NCAC 13 .0406 | 13 NCAC 13 .0409 | Oecupational Safety and Health | *Vcrbatim Adoption Federal Standards | 13 NCAC 07A .0401 | 13 NCAC 07A .0900 | 13 NCAC 07F | 13 NCAC 07F .0101 | 13 NCAC 07F .0201 | 13 NCAC 07F .0201 | 13 NCAC 07F .0301 | 13 NCAC 07F .0410 | 13 NCAC 07F .0601 | 13 NCAC 07F.0602 | 13 NCAC 07F.0603 | 13 NCAC 07F .0604 | 13 NCAC 07F .0605 |

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| | | Approved Kule | | | 14:09 NCR 708 | | | | | | | | | | | | | | | | | | | | | | | | |
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| | Text differs | trom proposal | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| X (<u>1999</u>) | RRC Status | Date | 10/04/99 | | 66/61/80 | | | | | | | | | | | | | | | | | | | | | | | | |
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| 21 NCAC 36 .0213 | 21 NCAC 36 .0227 | 21 NCAC 36 .0404 | 21 NCAC 36.0701 | 21 NCAC 36 .0702 | 21 NCAC 36 .0703 | 21 NCAC 36 .0704 | 21 NCAC 36 .0705 |

NURSING HOME ADMINISTRATORS, BOARD OF EXAMINERS FOR

| 14:09 NCR 684 | | | | | | | | | 14:09 NCR 684 | |
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| 14:05 NCR 398 | | | | | | | | | 14:05 NCR 398 | |
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| | 10r Approved Rule | | | | | | | | | | | | | | | | | | | | | | 14-04 NCB 330 | | | | | 14:09 NCR 708 | |
| Text differs Rffective hv | from Governor proposal | | | | | | | | | | | | | | | | | | | | | | × | | | | | * | |
| | Date proj | | | | | | | | | | | | | | | | | | | | 03/20/98 | 12/17/98 02/18/99 | | | | | | 66/61/80 | |
| RRC Status | Action | | | | | | | | | | | | | | | | | | | | State Budget | Object Ohiect | Object | Approve | | | | Approve | |
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| Natice of | Text | | | 14:09 NCR 684 | | 14:09 NCR 684 | 14:09 NCR 684 | | | 14:09 NCR 684 | | | | | | 14:06 NCR 480 | | 14:06 NCR 480 | | 12:07 NCR 527 | 12:09 NCR 797 | 13:02 NCR 246 | | 14:06 NCR 480 | | 14:06 NCR 480 | 13:22 NCR 1848 | 13:24 NCR 2016 14:06 NCB 480 | |
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| 21 NCAC 46 2506 | 12:24 NCR 2203 | | 13:04 NCR 419 | * | Object | 12/17/98 | | | | |
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Approve

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13:18 NCR 1503

13:13 NCR 1061

16 NCAC 06C .0100 14:06 NCR 428

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13:13 NCR 1050

12:05 NCR 338

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21 NCAC 54.2807

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| 16 NCAC 06C .0501 | | | 13:18 NCR 1503 | * | Object | 02/12/06 | × | | 902 GON 0011 | |
| 16 NCAC 06D .0103 | | 12:22 NCR 2010 13 Temp Expired 02/00/99 | 13:18 NCR 1503 a/aa | * | Approve | 07/15/99 | ÷ * | | 14:09 INCK 700 14:06 NCR 490 | |
| 16 NCAC 06D .0103 | | oro nander dura | 13:24 NCR 2008 | S | | | | | | |
| 16 NCAC 06D 0210 | | | 12 10 MOD 1503 | ł | | 02112100 | + | | 11 OC NOB 100 | |

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| 17 NCAC 05C .0102 | | | 12:14 NCR 1285 | * | | | | | | |
| 17 NCAC 05C .0703 | | | 12:14 NCR 1285 | * | | | | | | |
| 17 NCAC 06B .0105 | N/A | | 13:08 NCR 694 | N/A | | | | | | |
| 17 NCAC 06B .0118 | N/A | | 13:09 NCR 762 | N/A | Object Object | 12/17/98 03/18/99 | | | | |
| 17 NCAC 07B .0124 | N/A | | 13:08 NCR 695 | N/A | Approve | 04/12/99 | * | | 14:02 NCR 84 | |
| 17 NCAC 07B .0125 | N/A | | 13:08 NCR 695 | N/A | | | | | | |
| 17 NCAC 07B .2101 | N/A | | 13:09 NCR 767 | N/A | | | | | | |
| 17 NCAC 09K .0601 | N/A | | 13:08 NCR 695 | N/A | | | | | | |
| SECRETARY OF STATE | ATE | | | | | | | | | |
| 18 NCAC 06 .1212 | | 13:14 NCR 1151 | 11.00 NOD 615 | * | | | | | | |
| 18 NCAC 06.1304 | | 13:14 NCR 1151 | 14.00 NON 045 | · | | | | | | |
| 10 10 10 00 1000 | | 14:08 NCR 645 | 14:08 NCR 645 | * | | | | | | |

| | Other | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | Approved Rule | anat manadalat | | | | | | | | | | | | | | | | | | | | | | | | |
| | Effective by | Governor | | | | | | | | | | | | | | | | | | | | | | | | |
| | Text differs | proposal | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>3, 1999</u>) | RRC Status | Date | | | | | | | | | | | | | | | | | | | | | | | | |
| (Updated through <u>November 23, 1999</u>) | RR | Action | | | | | | | | | | | | | | | | | | | | | | | | |
| Updated Inroug | Fiscal | Note | * * | * | | | | | | | | | | | | | | | | | | | | | | |
| | Notice of | Text | 14:08 NCR 645 12:14 NCR 1312 | 8/98 12:14 NCR 1312 | 86/8 | | | | | | | | | | | SOARD | | | | | | | | | | |
| | Temporary | Rule | 14:08 NCR 645 12:07 NCR 534 | 12:07 NCR 534 12:07 NCR 534 12 | 1 emp Expired 06/28/98 13:14 NCR 1153 13-18 NCR 1556 | 13:14 NCR 1153 | 13:14 NCR 1153 | 13:14 NCR 1153 | 13:14 NCR 1153 | 13:14 NCR 1153 | 13:18 NCR 1556 | 13:18 NCR 1556 | 13:14 NCR 1153 | 13:14 NCR 1153 | 13:18 NCK 1150 13:14 NCR 1153 | ND LICENSURE I | 14:09 NCR 697 | |
| | Rule-making | Proceedings | | | 13:09 NCR 759 | 13:09 NCR 759 | 13:09 NCR 759 | 13:09 NCR 759 | 13:09 NCR 759 13:09 NCR 759 | 13:09 NCR 759 | | | 13:09 NCR 759 | 13:09 NCR 759 | 13:09 NCR 759 | RTIFICATION AF | 14:09 NCR 697 | |
| | Agency/Rule | Citation | 18 NCAC 06 .1802 | 18 NCAC 06.1803 | 18 NCAC 10 .0101 | 18 NCAC 10 .0201 | 18 NCAC 10.0301 | 18 NCAC 10 .0302 | 18 NCAC 10 .0303 18 NCAC 10 .0304 | 18 NCAC 10 .0305 | 10 NU VU VU VUVE | 18 NCAC 10 .0307 | 18 NCAC 10 .0401 | 18 NCAC 10 .0402 | 18 NCAC 10 .0501 | SOCIAL WORK CERTIFICATION AND LICENSURE BOARD | 21 NCAC 63 .0101 | 21 NCAC 63 .0102 | 21 NCAC 63 .0103 | 21 NCAC 63 .0104 | 21 NCAC 63 .0105 | 21 NCAC 63 .0201 | 21 NCAC 63 .0202 | 21 NCAC 63 .0204 | 21 NCAC 63 .0205 | |

| Citation P 21 NCAC 63 .0207 143 21 NCAC 63 .0208 143 21 NCAC 63 .0208 143 21 NCAC 63 .0209 143 21 NCAC 63 .0210 143 21 NCAC 63 .0210 143 21 NCAC 63 .0210 143 21 NCAC 63 .0211 143 | Proceedings 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 | Rule 14:09 NCR 697 | Text | Note | Action | Date | proposal | Governor | Approved Kute | |
|--|--|---|------|------|--------|------|----------|----------|---------------|--|
| | 09 NCR 697 09 NCR 697 09 NCR 697 09 NCR 697 09 NCR 697 09 NCR 697 09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
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| | :09 NCR 697 :09 NCR 697 :09 NCR 697 | 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0213 143 | :09 NCR 697 -09 NCR 697 | 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0301 144 | -09 NCB 697 | 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0302 143 | | 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0303 143 | 14:09 NCR 697 | 14:09 NCR 697 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0304 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0305 14: | 14:09 NCR 697 | | | | | | | | | |
| 21 NCAC 63 .0306 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0401 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0402 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0403 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0404 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0501 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0503 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0507 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0508 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0509 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0601 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0602 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0603 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0604 14: | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 21 NCAC 63 .0607 143 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |

| Rite Test Action Date Portinal Governor 1400 NCR 607 1400 NCR 607 1400 NCR 607 pm point pm p | Agency/Ruic | Rule-making | Temporary | Notice of | Fiscal | RRC | RRC Status | Text differs | Effective by | | |
|--|-------------------|----------------|--------------------------------|-----------------------|--------|---------|------------|------------------|--------------|---------------|-------|
| 1409 NCR 67 1409 NCR 67 1309 NCR 73 1309 NCR 73 1300 | Čitatiun | Proceedings | Rulc | Text | Note | Action | Date | irom proposal | Governor | Approved Kule | Other |
| 1400 NCR 607 1400 NCR 773 1400 | | | | | | | | | | | |
| 1400 NCR 607 1400 NCR 607 1400 NCR 607 1400 NCR 703 1300 NCR 416 1320 NCR 4180 1318 NCR 450 1320 NCR 450 1305 NCR 416 1320 NCR 450 1305 NCR 416 1320 NCR 450 | 21 NUAU 03 .0009 | 14:09 INCK 09/ | 14:09 INCK 09/ | | | | | | | | |
| 1409 NCK 697 1409 NCK 773 1309 NCK 773 1300 NCK 773 140 NCK | 21 NCAC 63 .0701 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 1409 NCK 607 1409 NCK 1500 1318 NCK 1560 1319 NCK 773 1310 NCK 773 1310 NCK 773 1310 NCK 773 | 21 NCAC 63 .0702 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14-09 NCR 607 14-09 NCR 1560 13-18 NCR 1570 13-18 NCR 1560 13-18 NCR 1560 13-18 NCR 1570 13-18 NCR 1573 13-19 NCR 1473 | 21 NCAC 63 .0703 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCK 607 13:18 NCK 1560 13:18 NCK 1560 13:21 NCK 1560 13:21 NCK 1560 13:21 NCK 1560 13:31 NCK 1620 13:30 NCK 773 | 21 NCAC 63 .0704 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCK 607 13:18 NCK 1560 13:18 NCK 1730 13:18 NCK 1730 13:19 NCK 773 <td>21 NCAC 63 .0801</td> <td>14:09 NCR 697</td> <td>14:09 NCR 697</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> | 21 NCAC 63 .0801 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCR 697 14:09 NCR 73 14:09 NCR 73 14:09 NCR 73 14:09 NCR 73 13:18 NCR 1560 13:23 NCR 1570 13:09 NCR 773 13:09 NCR 773 13:00 NCR 773 14:00 NCR 773 14:00 NCR 773 15:00 NCR 773 14:00 | 21 NCAC 63 .0802 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCR 697 14:09 NCR 773 13:18 NCR 1560 13:18 NCR 1560 13:23 NCR 1850 13:18 NCR 1560 13:23 NCR 1850 13:18 NCR 1560 13:23 NCR 1850 13:09 NCR 773 13:09 NCR 773 14:00499 13:00 NCR 773 14:00499 13:00 NCR 773 14:00499 13:00 NCR 773 14:00499 14:00499 14:00499 15:00 NCR 773 14:00499 15:00 NCR 773 14:00499 15:00 NCR 773 14:00499 15:00 NCR 773 14:00499 15:00 NCR 773 14:00499 15:00 NCR 773 14:00499 15:00 NCR 773 15:00 NCR 773 14:00499 15:00 NCR 773 15:00 NCR 773 15:0 | 21 NCAC 63 .0803 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCR 697 14:09 NCR 773 13:18 NCR 1560 13:18 NCR 1560 13:18 NCR 1560 13:18 NCR 1560 13:18 NCR 1560 13:18 NCR 1560 13:19 NCR 1560 13:19 NCR 1560 13:19 NCR 1560 13:19 NCR 173 13:09 NCR 773 13:09 NCR 773 13:00 NCR 773 14:00 NCR 773 15:00 NCR 77 | 21 NCAC 63 .0804 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:00 NCR 697 13:18 NCR 1560 | 21 NCAC 63 .0805 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCK 697 14:09 NCK 697 14:09 NCK 697 14:09 NCK 697 14:09 NCR 697 14:09 NCR 773 * * * * * * * * * * * * * * * * * * | 21 NCAC 63 .0806 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCK 697 13:18 NCK 1560 13:22 NCK 1850 13:18 NCK 1560 13:22 NCK 1850 13:18 NCK 1560 13:18 NCK 1560 13:22 NCK 1850 13:18 NCK 1560 13:23 NCK 1560 13:18 NCK 1560 13:23 NCK 1560 13:18 NCK 1560 13:18 NCK 1560 13:23 NCK 1560 13:18 NCK 1560 13:20 NCK 773 11:13 NCK 1062 11:19 NCK 1429 11:19 NCK 773 11:10 NCK 773 11:10 NCK 773 12:09 NCK 773 13:09 NCK 773 </td <td>21 NCAC 63 .0807</td> <td>14:09 NCR 697</td> <td>14:09 NCR 697</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> | 21 NCAC 63 .0807 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCR 697 14:09 NCR 697 14:09 NCR 697 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:29 NCR 773 * Approve 10/04/99 * 13:09 NCR 773 * Object 10/04/99 * 13:09 NCR 773 * Object 10/04/99 * | 21 NCAC 63 .0808 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 14:09 NCR (5) 13:09 NCR 773 * 13:18 NCR 1560 13:22 NCR 1850 * 13:18 NCR 1560 13:20 NCR 773 * 13:18 NCR 1560 13:20 NCR 773 * 13:18 NCR 1560 11:19 NCR 1429 * 13:18 NCR 1620 11:19 NCR 1429 * 11:13 NCR 1062 11:19 NCR 1429 * 11:13 NCR 1062 11:19 NCR 1429 * 11:13 NCR 1053 11:19 NCR 1429 * 11:13 NCR 1053 11:19 NCR 773 * 11:13 NCR 773 * 10/04/99 * 12:09 NCR 773 * 0bject 10/04/99 * 13:09 NCR 773 * 0bject 10/04/99 * 13:09 NCR 773 * 0bject 10/04/99 * 13:09 NCR 773 * 0bject 10/04/99 <t< td=""><td>21 NCAC 63 .0809</td><td>14:09 NCR 697</td><td>14:09 NCR 697</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<> | 21 NCAC 63 .0809 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 13:09 NCR 773 * 13:18 NCR 1560 13:22 NCR 1850 * 11:13 NCR 1662 11:19 NCR 1429 * 11:13 NCR 1662 11:19 NCR 773 * 13:09 NCR 773 * Object 10/04/99 13:09 NCR 773 * Object 10/04/99 13:09 NCR 773 * Object 10/04/99 < | 21 NCAC 63 .0820 | 14:09 NCR 697 | 14:09 NCR 697 | | | | | | | | |
| 13.05 NCR 436 13.09 NCR 773 * 13.18 NCR 1560 13.22 NCR 1850 * Approve 10/04/99 * 13.18 NCR 1560 13.22 NCR 1850 * Approve 10/04/99 * 13.18 NCR 1560 13.22 NCR 1850 * Approve 10/04/99 * 13.18 NCR 1560 13.20 NCR 773 * Object 10/04/99 * 13.18 NCR 1560 13.22 NCR 1850 * Approve 10/04/99 * 13.18 NCR 1560 13.22 NCR 1850 * Approve 10/04/99 * 13.18 NCR 1560 13.20 NCR 1429 * Approve 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * 10/04/99 * * 11:13 NCR 1062 11:19 NCR 1429 * 10/04/99 * * 13:05 NCR 436 11:19 NCR 773 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 | TATE PERSONNE. | L COMMISSION | | | | | | | | | |
| 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:15 NCR 1560 13:22 NCR 1850 * Object 10/04/99 * 13:15 NCR 1560 13:20 NCR 773 * Object 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:18 NCR 1560 11:19 NCR 1429 * Approve 10/04/99 * 11:13 NCR 1662 11:19 NCR 1429 * Approve 10/04/99 * 13:05 NCR 436 11:19 NCR 773 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * | 25 NCAC 01B .0354 | 13:05 NCR 436 | | 13:09 NCR 773 | * | | | | | | |
| 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 13:05 NCR 436 13:29 NCR 773 * Object 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Object 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * 10/04/99 * 13:05 NCR 436 Temp Expired 07/31/98 * 0bject 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * | 25 NCAC 01B .0414 | | 13:18 NCR 1560 | 13:22 NCR 1850 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * Approve 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * * * 11:13 NCR 1062 11:19 NCR 1429 * * * 13:05 NCR 436 13:09 NCR 773 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * | 25 NCAC 01B .0434 | | 13:18 NCR 1560 | 13:22 NCR 1850 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| 13:18 NCR 1560 13:22 NCR 1850 * Approve 10/04/99 * 11:13 NCR 1062 11:19 NCR 1429 * * * * 11:13 NCR 1062 11:19 NCR 1429 * * * * 12:09 NCR 835 Temp Expired 07/31/98 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * | 25 NCAC 01B .0437 | 13:05 NCR 436 | | 13:09 NCR 773 | * | Object | 10/04/99 | | | | |
| 11:13 NCR 1062 11:19 NCR 1429 * Temp Expired 12:09 NCR 835 Temp Expired 07/31/98 13:05 NCR 436 13:09 NCR 773 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * | 25 NCAC 01C .0214 | | 13:18 NCR 1560 | 13:22 NCR 1850 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| 12:09 NCR 835 Temp Expired 07/31/98 13:05 NCR 436 13:09 NCR 773 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 * | 25 NCAC 01D .2516 | | 11:13 NCR 1062 Temp Expired | 11:19 NCR 1429 | * | | | | | | |
| 13:05 NCR 436 13:09 NCR 773 * Approve 10/04/99 * 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 | 25 NCAC 01D .2517 | | 12:09 NCR 835 | Temp Expired 07/31/98 | ~ | | | | | | |
| 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 | 25 NCAC 01H .0602 | 13:05 NCR 436 | | 13:09 NCR 773 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| 13:05 NCR 436 13:09 NCR 773 * Object 10/04/99 13:05 NCR 436 13:09 NCR 773 * Approve 10/04/99 * | 25 NCAC 01H .0605 | 13:05 NCR 436 | | 13:09 NCR 773 | * | Object | 10/04/99 | | | | |
| 13:05 NCR 436 13:09 NCR 773 * Approve 10/04/99 * | 25 NCAC 01H .0606 | 13:05 NCR 436 | | 13:09 NCR 773 | * | Object | 10/04/99 | | | | |
| | 25 NCAC 01J .0503 | 13:05 NCR 436 | | 13:09 NCR 773 | * | Approve | 10/01/99 | * | | 14:10 NCR 839 | |

| Agency/Rule | Rule-making | Temporary | Notice of | Fiscal | RRC | RRC Status | Text differs | Effective by | | |
|--|---------------|-----------------|-----------------|--------|---------|------------|--------------|--------------|----------------|--------|
| Citation | Proceedings | Rule | Text | Note | Action | Date | proposal | Governor | Approved Kule | Office |
| 25 NCAC 011 0506 | | 13-18 NCD 1560 | 13-00 NICD 1850 | * | Amora | 00/10/01 | * | | 14-10 NCD \$30 | |
| 25 NCAC 011 0512 | 12.05 NCB 135 | | 13-00 NCB 773 | ж | monday | ((H-0)01 | | | 14.10 MON 007 | |
| 21 CU. LIU JAJN CZ | 12:02 NCK 450 | | 13:09 NOK //3 | ŀ | | | | | | |
| 25 NCAC 01J .0603 | 13:05 NCR 436 | | 13:09 NCR 773 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| 25 NCAC 01J .0603 | | 13:18 NCR 1560 | 13:22 NCR 1850 | * | Approve | 10/04/99 | * | | 14:10 NCR 839 | |
| SUBSTANCE ABUSE PROFESSIONAL CERTIFICATION BOARD | PROFESSIONA | AL CERTIFICATIO | N BOARD | | | | | | | |
| 21 NCAC 68 | 14:10 NCR 749 | | | | | | | | | |
| TRANSPORTATION | | | | | | | | | | |
| Highways, Division of | | | | | | | | | | |
| 19A NCAC 02D .0415 | 13:08 NCR 626 | | 13:14 NCR 1116 | * | Approve | 04/12/99 | | | 14:02 NCR 84 | |
| 19A NCAC 02E .0201 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0202 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0203 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0206 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0207 | 14:03 NCR 126 | 14:09 NCR 695 | 14:09 NCR 695 | * | | | | | | |
| 19A NCAC 02E .0208 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0209 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0210 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0211 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0212 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0213 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0214 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0215 | 14:03 NCR 126 | 14:09 NCR 695 | 14:09 NCR 695 | * | | | | | | |
| 19A NCAC 02E .0221 | 13:04 NCR 361 | | 13:10 NCR 811 | * | Approve | 03/18/99 | * | | 14:01 NCR 48 | |
| 19A NCAC 02E .0222 | 13:04 NCR 361 | | 13:10 NCR 811 | * | Approve | 03/18/99 | | | 14:01 NCR 48 | |
| 19A NCAC 02E .0224 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0225 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E 0602 | 14-03 NCR 126 | 14-09 NCR 695 | 14-09 NCR 695 | * | | | | | | |

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| 19A NCAC 02E .0603 14:03 NCR 126 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| 19A NCAC 02E .0604 14:03 NCR 126 | 14:03 NCR 126 | | 14:09 NCR 670 | * | | | | | | |
| Motor Vehicles, Division of | of | | | | | | | | | |
| 19A NCAC 03D .0801 | | 14:11 NCR 911 | | | | | | | | |
| 19A NCAC 03G .0203 14:07 NCR 520 | 14:07 NCR 520 | | | | | | | | | |
| 19A NCAC 03G .0205 14:07 NCR 520 | 14:07 NCR 520 | | | | | | | | | |
| 19A NCAC 03G .0206 14:07 NCR 520 | 14:07 NCR 520 | | | | | | | | | |
| 19A NCAC 03G .0207 14:07 NCR 520 | 14:07 NCR 520 | | | | | | | | | |
| 19A NCAC 03G .0209 14:07 NCR 520 | 14:07 NCR 520 | | | | | | | | | |
| 19A NCAC 03G .0213 | 14:07 NCR 520 | | | | | | | | | |
| 19A NCAC 031.0207 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Approve | 07/15/99 | | | 14:06 NCR 490 | |
| 19A NCAC 031.0301 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Approve | 07/15/99 | | | 14:06 NCR 490 | |
| 19A NCAC 031.0302 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Approve | 07/15/99 | | | 14:06 NCR 490 | |
| 19A NCAC 031.0307 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Object | 07/15/99 | | | | |
| 19A NCAC 031 .0401 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Approve Approve | 08/19/99 07/15/99 | × | | 14:09 NCR 708 14:06 NCR 490 | |
| 19A NCAC 031 .0402 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Object | 07/15/99 | ÷ | | | |
| 19A NCAC 031.0501 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Approve Approve | 07/15/99 | ę. | | 14:09 NCK /08 14:06 NCR 490 | |
| 19A NCAC 031.0601 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Approve | 07/15/99 | | | 14:06 NCR 490 | |
| 19A NCAC 031.0701 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Approve | 07/15/99 | | | 14:06 NCR 490 | |
| 19A NCAC 031.0804 | 13:16 NCR 1258 | | 13:22 NCR 1843 | * | Object | 07/15/99 | | | | |
| VETERINARY MEDICAL BOARD | [CAL BOARD | | | | Approve | 66/61/80 | * | | 14:09 NCR 708 | |
| 21 NCAC 66 .0207 | 12:23 NCR 2089 | | | | | | | | | |
| 21 NCAC 66 .0208 | 12:23 NCR 2089 | | | | | | | | | |

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