## NORTH CAROLINA REGISTER

#### **VOLUME 14 • ISSUE 6 • Pages 426 - 509**

#### September 15, 1999

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SEP 1 7 1999

#### **PUBLISHED BY**

The Office of Administrative Hearings Rules Division PO Drawer 27447 Raleigh, NC 27611-7447 Telephone (919) 733-2678 Fax (919) 733-3462 For those persons that have questions or concerns regarding the Administrative Procedure Act or any of its components, consult with the agencies below. The bolded headings are typical issues which the give agency can address, but are not inclusive.

#### Rule Notices, Filings, Register, Deadlines, Copies of Proposed Rules, etc.

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contact: Paula Thomas

(919) 715-4000

This publication is printed on permanent, acid-free paper in compliance with G.S. 125-11.13

#### CORRECTION

#### **PUBLISHER'S NOTE:**

The following Rule, 15A NCAC 3M .0202, was omitted in error by OAH from the notice published on Page 443 of this Issue of the Register.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

#### **CHAPTER 3 - MARINE FISHERIES**

#### **SUBCHAPTER 3M - FINFISH**

#### **SECTION .0200 - STRIPED BASS**

#### .0202 SEASON, SIZE AND HARVEST LIMIT: INTERNAL COASTAL WATERS

(a) The Fisheries Director may, by proclamation, impose any or all the following restrictions on the taking of striped bass in internal coastal waters:

(1) Specify season or seasons:

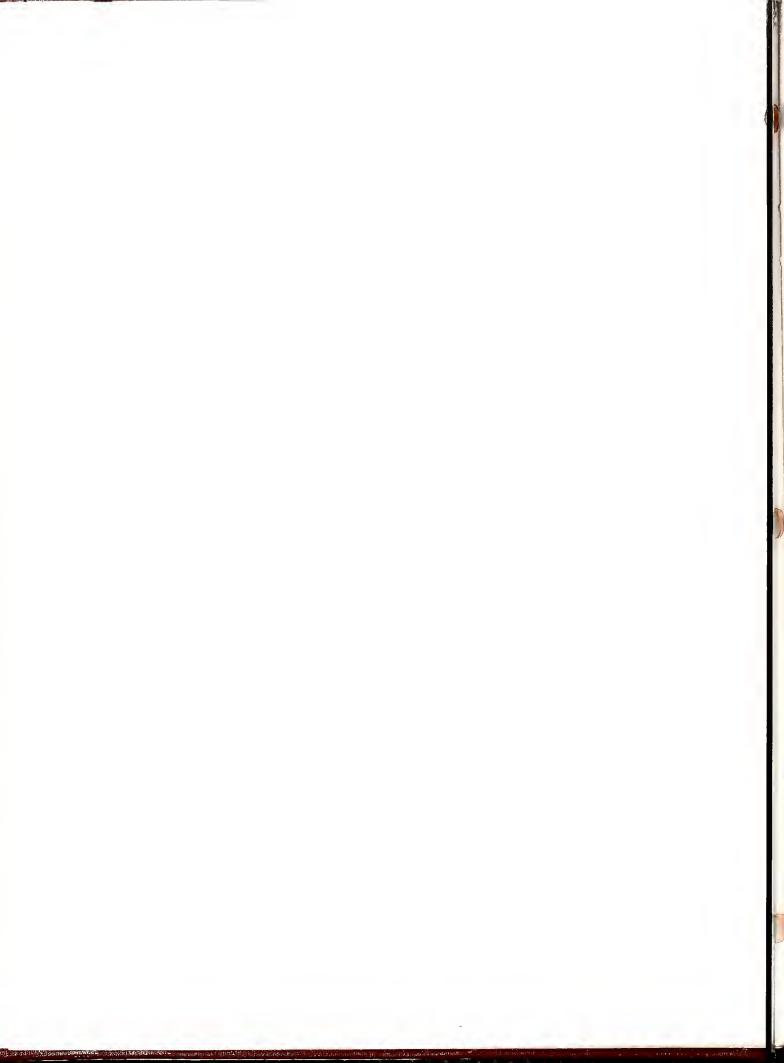
- (A) for hook-and-line fishing, for recreational purposes;
- (B) for commercial fishing operations equipment between from October 1 through and April 30.
- (2) Specify areas,
- (3) Specify quantity,
- (4) Specify means/methods,
- (5) Specify size, but the minimum size specified shall not be less than 18 inches total length, and
- (6) Require submission of statistical and biological data.

Fish that do not meet the minimum size limit specified by proclamation shall immediately be returned to the waters from which taken regardless of condition.

(b) The Fisheries Director may, by proclamation, impose any or all the following restrictions on the taking of striped bass by hook-andline or for recreational purposes in internal coastal waters in order to comply with the management requirements incorporated in the North Carolina Estuarine Striped Bass Plan:

- (1) Specify quantity, but shall not exceed possession of more than three fish in any one day, and
- (2) Specify size, but the minimum size specified shall not be less than 18 inches total length.

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.



#### NORTH CAROLINA REGISTER



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September 15, 1999

This issue contains documents officially filed through August 24, 1999.

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#### NORTH CAROLINA ADMINISTRATIVE CODE CLASSIFICATION SYSTEM

The North Carolina Administrative Code (NCAC) has four major subdivisions of rules. Two of these, titles and chapters, are mandatory. The major subdivision of the NCAC is the title. Each major department in the North Carolina executive branch of government has been assigned a title number. Titles are further broken down into chapters which shall be numerical in order. The other two, subchapters and sections are optional subdivisions to be used by agencies when appropriate.

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#### LICENSING BOARDS

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					s-uou	A. non-substantial economic impact	nic impact	ns	B. substantial economic impact	c impact	
volume and issue number	issue date	last day for filing	earliest register issue for publication of text	earliest date for public hearing	end of required comment period	deadline to submit to RRC for review at next RRC meeting	first legislative day of the next regular session	end of required comment period	deadline to submit to RKC for review at next RKC meeting	first legislative day of the next regular session	270 <sup>th</sup> day frum issue date
13:19	04/01/99	66/11/80	66/10/90	04/16/99	05/03/99	05/20/99	02/09/00	06/11/60	06/21/99	02/09/00	66/27/21
13:20	66/51/10	03/24/99	66/51/90	04/30/66	66/11/\$0	05/20/99	02/09/00	06/11/00	06/21/99	00/00/00	01/10/00
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13:22	66/11/50	04/23/90	66/\$1/20	66/10/90	06/11/00	06/21/90	02/09/00	66/81/20	07/20/09	05/09/00	00/00/20
13:23	66/10/90	66/01/50	08/02/99	06/16/99	66/10/20	07/20/99	02/09/00	08/02/99	08/20/99	(8)/60/20	02/26/00
13:24	66/\$1/90	06/571/00	08/19/0	06/30/99	01/15/99	66/02/20	05/09/00	08/16/99	66/07/80	02/09/00	03/11/00
10:41	66/10/20	66/01/90	66/10/60	66/91/20	08/02/99	08/20/09	02/00/00	08/30/99	66/07/60	02/09/00	03/27/00
14:02	66/\$1/20	06/23/99	66/51/60	07/30/99	08/16/99	08/20/00	05/09/00	66/81/60	06/07/60	()()/6()/5()	()
14:03	08/02/99	66/71/20	66/10/01	66/11/80	66/10/60	66/07/60	05/09/00	10/01/99	10/20/99	02/06/00	00/87/t0
14:04	66/91/80	01/26/09	66/51/01	06/18/80	09/15/99	09/20/99	05/09/00	06/51/01	10/20/99	00/60/50	05/12/00
14:05	66/10/60	66/11/80	66/10/11	66/91/60	66/10/01	10/20/09	05/09/00	66/10/11	11/22/99	00/60/50	00/87/50
14:06	66/51/60	08/24/99	66/31/11	66/02/60	66/51/01	66/07/01	05/09/00	11/12/00	66/22/11	00/60/50	00/11/90
14:07	10/01/99	66/01/60	12/01/99	10/18/99	66/10/11	11/22/99	05/09/00	66/0€/11	12/20/99	00/60/50	00/22/90
14:08	66/\$1/01	09/24/99	12/15/99	11/01/99	66/51/11	11/22/99	02/09/00	12/11/99	66/07/71	00/60/50	00/11/20
14:09	66/10/11	66/11/01	01/04/00	11/16/99	12/01//99	12/20/99	05/09/00	12/31/99	01/20/00	05/09/00	07/28/00
14:10	66/\$1/11	10/22/99	01/11/00	66/08/11	66/51/21	66/07/71	05/09/00	01/14/00	00/07/10	02/06/00	(8)/11/80
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14:12	66/51/71	11/22/99	02/15/00	12/30/99	00/11/00	01/20/00	05/09/00	02/14/00	02/21/00	00/60/50	()()()1/6()
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This Publication Schedule is prepared by the Office of Administrative Hearings as a public service and the computation of time periods are not to be deemed binding or controlling. Time is computed according to 26 NCAC 2C .0302 and the Rules of Civil Procedure, Rule 6.

### GENERAL

The North Carolina Register shall be published twice a month and contains the for following information submitted publication by a state agency:

- temporary rules: E
- notices of rule-making proceed-0
  - text of proposed rules; ings:  $\widehat{\mathbb{C}}$
- text of permanent rules approved  $(\overline{1})$
- hy the Rules Review Commission; notices of receipt of a petition for municipal incorporation,  $(\mathbf{2})$

as

- Executive Orders of the Governor; required by G.S. 120-165; 96
- final decision letters from the U.S. Attorney General concerning changes in laws affecting voting in a jurisdiction subject of Section 5 of the Voting Rights Act of 1965. as required by G.S. 120-30.9H:
- other information the Codifier of orders of the Tax Review Board Rules determines to be helpful to issued under G.S. 105-241.2; and 8 6

the public.

unless it is a Saturday, Sunday, or State holiday, in which event the period runs until COMPUTING TIME: In computing time in the schedule, the day of publication of the North Carolina Register is not included. The last day of the period so computed is included. the preceding day which is not a Saturday. Sunday, or State holiday

# FILING DEADLINES

ISSUE DATE: The Register is published on mandated by the State Personnel before or after) the first or fifteenth the first and fifteen of each month if the first Sunday, or State holiday for employees Commission. If the first or fiftcenth of any month is a Saturday, Sunday, or a holiday for State employees, the North Carolina Register issue for that day will be published on the day of that month closest to (either respectively that is not a Saturday, Sunday, or fifteenth of the month is not a Saturday, or holiday for State employees.

filing for any issue is 15 days before the LAST DAY FOR FILING: The last day for issue date excluding Saturdays, Sundays, and holidays for State employees

NOTICE OF RULE-MAKING PROCEEDINGS

making proceeding until the text of the proposed rules is published, and the text of END OF COMMENT PERIOD TO A NOTICE OF the proposed rule shall not be published until at least 60 days after the notice of rule-RULE-MAKING PROCEEDINGS: This date is 60 days from the issue date. An agency shall accept comments on the notice of rulemaking proceedings was published. EARLIEST REGISTER ISSUE FOR PUBLICATION OF TEXT: The date of the next issue following the end of the comment period.

## NOTICE OF TENT

hearing date shall be at least 15 days after EARLIEST DATE FOR PUBLIC HEARING: The the date a notice of the hearing is published.

# END OF REQUIRED COMMENT PERIOD

ECONOMIC IMPACT: An agency shall accept comments on the text of a proposed rule for until the date of any public hearings held on (I) RULE WITH NON-SUBSTANTIAL at least 30 days after the text is published or the proposed rule, whichever is longer.

(2) RULE WITH SUBSTANTIAL ECONOMIC IMPACT: An agency shall accept comments on the text of a proposed rule published in the Register and that has a substantial economic impact requiring a fiscal note under G.S. 150B-21.4(b1) for at least 60 days after publication or until the date of any public hearing held on the rule, whichever is longer. DEADLINE TO SUBMIT TO THE RULES **REVIEW COMMISSION:** The Commission shall review a rule submitted to it on or before the twentieth of a month by the last day of the next month.

day of the next regular session of the FIRST LEGISLATIVE DAY OF THE NEXT RECULAR SESSION OF THE GENERAL **ASSEMBLY:** This date is the first legislative General Assembly following approval of the rule by the Rules Review Commission. See G.S. 150B-21.3, Effective date of rules.

#### EXECUTIVE ORDER NO. <u>154</u> AMENDING EXECUTIVE ORDER NO. 48 CONCERNING THE STATE COMMISSION ON NATIONAL ANĐ COMMUNITY SERVICE

By the power vested in me as Governor by the faws and Constitution of North Carolina, IT IS ORDERED:

Section 1. Amendment of Executive Order No. 48

Executive Order No. 48, which established the North Carolina Commission on National and Community Service, is amended as follows:

- a. The Commission's name is changed to "North Carolina Commission on Volunteerism and Community Service."
- b. All language regarding the Standing Committee on Youth Voice is hereby rescinded, as the Committee no longer exists.
- c. Section 2, Part B, Subpart (8) is amended to read as follows:

"At least two Commission members shall be individuals between the ages of 16 and 25 who are service providers or recipients in a volunteer or service program." d. Section 2, Part B. is amended by adding a Subpart (10), as follows:

"(10) The Director of the Governor's Office of Citizen and Community Services [referred to as "Governor's Office of Citizen Affairs" in Executive Order No. 48] and the Director of the Department of Public Instruction's Learn and Serve School-Based Program shall serve as non-voting, ex-officio members."

e. The last sentence of the first paragraph of Section 5 is amended to read as follows:

"Standing Committees of the Commission may include the following, but Committees may be added, abolished, or consolidated by the Commission as its needs dictate:"

Section 2. Effect on Executive Order No. 48

Except as amended herein, all provisions of Executive Order No. 48 shall remain in full force and effect. In addition to the foregoing, Executive Order No. 48, as amended, is hereby extended to December 31, 2000.

Section 3. Effective Date

This executive order is effective immediately.

Done in the Capital City of Raleigh, North Carolina, this the 14th day of July. 1999.

A Notice of Rule-making Proceedings is a statement of subject matter of the agency's proposed rule making. The agency must publish a notice of the subject matter for public comment at least 60 days prior to publishing the proposed text of a rule. Publication of a temporary rule serves as a Notice of Rule-making Proceedings and can be found in the Register under the section heading of Temporary Rules. A Rule-making Agenda published by an agency serves as Rule-making Proceedings and can be found in the Register under the section heading of Rule-making Agendas. Statutory reference: G.S. 150B-21.2.

#### TITLE 10 - DEPARTMENT OF HEALTH AND HUMAN SERVICES

#### **CHAPTER 24 - SOCIAL SERVICES**

**Notice of Rule-making Proceedings** is hereby given by the Social Services Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

**Citation to Existing Rules Affected by this Rule-Making:** *10* NCAC 24

Authority for the rule-making: G.S. 143B-154

**Statement of the Subject Matter:** This chapter consists of general rules relevant to the Division of Social Services.

**Reason for Proposed Action:** *The rules in this chapter will be updated, through amendment, to reflect the General Statutes, the Division's current practices and any technical changes (i.e. Department name, program title, mailing address).* 

**Comment Procedures:** Anyone wishing to comment should contact Sharnese Ransome, APA Coordinator, Social Services Commission, NC Division of Social Services, 2401 Mail Service Center, Raleigh, NC 27699-2401, phone (919) 733-3055.

\* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \*

#### **CHAPTER 42 - INDIVIDUAL AND FAMILY SUPPORT**

#### SUBCHAPTER 42A - ADULT PLACEMENT SERVICES,

#### SUBCHAPTER 42V - PROTECTIVE SERVICES FOR ADULTS

**Notice of Rule-making Proceedings** is hereby given by the Social Services Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

**Citation to Existing Rules Affected by this Rule-Making:** 10 NCAC 42A, 10 NCAC 42V - Other rules may be proposed in the course of the rule-making process.

#### Authority for the rule-making: G.S. 143B-153

**Statement of the Subject Matter:** The rules in 10 NCAC 42A establish a resident evaluation program for adult care homes to be administered by county departments of social services. The rules in 10 NCAC 42V govern the Adult Protective Services Program mandated by G.S. 108A, Article 6.

**Reason for Proposed Action:** Legislation enacted by the 1999 session of the General Assembly (S.L. 1999-237) requires county departments of social services to implement a resident evaluation program for adult care homes. Rules need to be adopted to implement this legislation effective January 1, 2000. Other legislation (S.L. 1999-334) enacted by the 1999 session of the General Assembly establishes time frames in G.S. 108A, Article 6 (Protection of the Abused, Neglected, or Exploited Disabled Adult Act). The current rules governing this program need to either be repealed or amended to reflect this new legislative provision, and a new rule needs to be adopted to implement the new legislation.

**Comment Procedures:** Anyone wishing to comment should contact Sharnese Ransome, APA Coordinator, Social Services Commission, NC Division of Social Services, 325 N. Salisbury St., Raleigh, NC 27603, phone (919)733-3055.

**CHAPTER 42 - INDIVIDUAL AND FAMILY SUPPORT** 

#### SUBCHAPTER 42E - ADULT DAY CARE STANDARDS FOR CERTIFICATION

#### SUBCHAPTER 42Z - ADULT DAY HEALTH STANDARDS FOR CERTIFICATION

**Notice of Rule-making Proceedings** is hereby given by the Social Services Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

**Citation to Existing Rules Affected by this Rule-Making:** 10 NCAC 42E, 10 NCAC 42Z - Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 143B-153

Statement of the Subject Matter: 10 NCAC 42E and 10 NCAC

427

42Z govern the provisions of Adult Day Care and Adult Day Health services as mandated by G.S. 131D-6.

**Reason for Proposed Action:** These rules address provisions in SB-10 (S.L.1999-334) and will provide for implementation for the new statutory requirements pertaining to disclosure requirements for adult day care /day health centers that advertises, markets, or promotes themselves as providing special care services for persons with Alzheimer's disease or other dementias.

**Comment Procedures:** Anyone wishing to comment should contact Sharnese Ransome, APA Coordinator, Social Services Commission, NC Division of Social Services, 325 N. Salisbury Street, Raleigh, NC 27603, (919)733-3055.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

**CHAPTER 7 - COASTAL MANAGEMENT** 

#### SUBCHAPTER 7H - STATE GUIDELINES FOR AREAS OF ENVIRONMENTAL CONCERN

SUBCHAPTER 7J - PROCEDURES FOR HANDLING MAJOR DEVELOPMENT PERMITS: VARIANCE REQUESTS: APPEALS FROM MINOR DEVELOPMENT DECISIONS: AND DECLARATORY RULINGS

#### SUBCHAPTER 7K - ACTIVITIES IN AREAS OF ENVIRONMENTAL CONCERN WHICH DO NOT REQUIRE A COASTAL AREA MANAGEMENT ACT PERMIT

**Notice of Rule-making Proceedings** is hereby given by the NC Coastal Resources Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

**Citation to Existing Rules Affected by this Rule-Making:** *15A* NCAC 7H .1100, .1200, .1300, .1400, .1500, .1600, .1800, .1900, .2000, .2100, .2200, .2300; 7J .0204, .0404, .0405, .0406; 7K .0203, .0208. Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 113A-119.1

**Statement of the Subject Matter:** These rule amendments would raise major permit application fees for public or commercial projects from \$250 to \$400, raise the application fees for minor and general permits from \$50 to \$100, raise the fees for major development extension requests, minor permit modifications and permit transfers from \$50 to \$100 and raise the fees for *exemptions of private bulkheads, riprap, piers and single family residences from \$0 to \$50. Permit fees have not been increased since 1991.* 

**Reason for Proposed Action:** The Coastal Resources Commission asked staff to present options for raising permit fees as allowed by statutory authority. The costs of issuing permits exceeds the amount collected. In addition, local governments have indicated that the reimbursement provided by the state for minor permits is not adequate.

**Comment Procedures:** *Comments should be provided to Cathy Brittingham, Coastal Policy Analyst, DCM, PO Box 27687, Raleigh, NC 27611-7687.* 

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

#### CHAPTER 18 - ENVIRONMENTAL HEALTH

#### SUBCHAPTER 18A - SANITATION

**Notice of Rule-making Proceedings** is hereby given by the Commission for Health Services in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

**Citation to Existing Rules Affected by this Rule-Making:** 15A NCAC 18A .2515 - Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 130A-280-282

**Statement of the Subject Matter:** *Requirements for design depths and construction of public swimming pool shells.* 

**Reason for Proposed Action:** Correct a misiaken addition of language not approved by the Commission for Health Services in a previous rule amendment. The existing language was rejected by the Commission for Health Services based on negative public comments received during a previous hearing and comment phase. The language was to have been removed but mistakenly remained in the rule.

**Comment Procedures:** *Please send all comments to Jim Hayes, Division of Environmental Health, Environmental Health Services Section, 1632 Mail Service Center, Raleigh, NC 27699-1632.* 

#### TITLE 16 - DEPARTMENT OF PUBLIC EDUCATION

CHAPTER 6 - ELEMENTARY AND SECONDARY EDUCATION

SUBCHAPTER 6C - PERSONNEL

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NORTH CAROLINA REGISTER

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#### SUBCHAPTER 6E - STUDENTS

#### SUBCHAPTER 6G - EDUCATION AGENCY RELATIONS

#### SUBCHAPTER 6H - FEDERAL PROGRAMS

**Notice of Rule-making Proceedings** is hereby given by the State Board of Education in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

**Citation to Existing Rules Affected by this Rule-Making:** 16 NCAC 6C .0100 - .0400; 6E .0202; 6G .0502; 6H .0103, .0106, .0107. Other rules may be proposed in the course of the rulemaking process.

Authority for the rule-making: G.S. 115-12(9); 115-284; 115-296; 115-315; 115-471; 115C-47(4); 115C-238.29G(b); 115C-113; 115-141

**Statement of the Subject Matter:** 16 NCAC 6C: change "certificate" to "license" and make clarifying amendments under Excellent Schools Act; 16 NCAC 6E .0202: amend provisions for middle school athletics academic requirements; 16 NCAC 6G: adopt rule for Charter Schools Advisory Committee; and 16 NCAC 6H: amend Rules .0103, .0106 and .0107 to reflect recent federal legislative changes.

**Reason for Proposed Action:** These rule-making proceedings were initiated by the State Board of Education (i) to rename the credential it issues for professional practice to reflect a more accurate title: (ii) to amend rules in accordance with SL 1997-221, the Excellent Schools Act; (iii) to clarify academic requirements for middle school interscholastic athletic participation; (iv) to define the functions of the Charter Schools Advisory Committee; and (v) to amend rules to reflect changes in the federal Individuals with Disabilities Education Act.

**Comment Procedures:** Written comments may be submitted to Harry E. Wilson, State Board of Education, 301 N. Wilmington St., Raleigh, NC 27601-2825.

#### **TITLE 21 - OCCUPATIONAL LICENSING BOARDS**

#### CHAPTER 50 - BOARD OF EXAMINERS OF PLUMBING, HEATING AND FIRE SPRINKLER CONTRACTORS

**Notice of Rule-making Proceedings** is hereby given by the State Board of Examiners of Plumbing, Heating and Fire Sprinklers in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

**Citation to Existing Rules Affected by this Rule-Making:** 21 NCAC 50.0301, .0304, .0306, .0310, .0402, .0404, .0406 - .0407, .0412, .0505 - .0506, .0508, .1101 - .1102, .1201 - .1214. Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 87-18, 50B-21.2, et. seq.

**Statement of the Subject Matter:** *Rules relative to creation of limited or specialty licenses, reciprocal licenses, fees, probation requirements, supervision and employment definitions, acceptance of credit cards and rule-making proceedings.* 

**Reason for Proposed Action:** To address Rule-making petitions received and to clarify rules relative to the obligations of licenses in the use of license and disciplinary options available to the Board.

**Comment Procedures:** Comment may be provided to the Board by mailing to the Board at 3801 Wake Forest Road, Suite 201, Raleigh, NC 27609, addressed to Rule-making Coordinator. It is anticipated that text will be published in the November 15, 1999 Register and Public Hearing conducted December 14, 1999.

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#### CHAPTER 58 - REAL ESTATE COMMISSION

#### SUBCHAPTER 58A - REAL ESTATE BROKERS AND SALESMEN

#### **SUBCHAPTER 58B - TIME SHARES**

#### SUBCHAPTER 58C - REAL ESTATE PRELICENSING EDUCATION

#### SUBCHAPTER 58D - REAL ESTATE APPRAISERS

#### SUBCHAPTER 58E - REAL ESTATE CONTINUING EDUCATION

**Notice of Rule-making Proceedings** is hereby given by the NC Real Estate Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rulemaking proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 21 NCAC 58A-E; 21 NCAC 58A .0107, .0109-.0110, .0113-.0114, .0301-.0304, .0401-.0404, .0406, .0503, .0505, .0601, .1402, .1703, .1708; 58B .0101-.0102; 58C .0105-.0107, .0207, .0213-.0214, .0217-.0218, .0302, .0304-.0307, .0310, .0312; 58E .0102, .0202, .0204-.0205, .0304, .0310, .0412, .0515. Other rules may be proposed in the course of the rule-making process.

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Authority for the rule-making: G.S. 41A-3(1b): 47A: 47C: 47E-4(b): 93A-1; 93A-2(a1): 93A-2(b): 93A-3; 93A-3(c): 93A-4; 93A-4(a).(b). (c). (d): 93A-4A: 93A-6; 93A-6(a): 93A-6(a)(10): 93A-(b)(2): 93A-16(d): 93A-17: 93A-20: 93A-33: 93A-34; 93A-34(b): 93A-35(b): 93A-41(c): 93A-44: 93A-45(a): 93A-54(d): 93A-51; 93A-52(a): 93A-58(c): 93A-75(a): 150B-3; 150B-11; 150B-38(h)

Statement of the Subject Matter: 21 NCAC 58A-E - REAL ESTATE BROKERS AND SALESMEN

21 NCAC 58A .0107 -HANDLING AND ACCOUNTING OF FUNDS

21 NCAC 58A .0109 -BROKERAGE FEES AND COMPENSATION

21 NCAC 58A .0110 -BROKER-IN-CHARGE

21 NCAC 58A .0113 -REPORTING CRIMINAL CONVICTIONS 21 NCAC 58A .0114 -RESIDENTIAL PROPERTY DISCLOSURE STATEMENT

21 NCAC 58A .0301 -FORM

21 NCAC 58A .0302 -FILING AND FEES

21 NCAC 58A .0303 -PAYMENT OF APPLICATION FEES

21 NCAC 58A .0304 -EXPERIENCE QUALIFICATIONS FOR APPLICANTS

21 NCAC 58A .0401 -TIME AND PLACE

21 NCAC 58A .0402 -SUBJECT MATTER AND PASSING SCORES

21 NCAC 58A .0403 -RE-EXAMINATION

21 NCAC 58A .0404 -CHEATING AND RELATED MISCONDUCT

1 NCAC 58A .0406 -EXAMINATION REVIEW

21 NCAC 58A .0503 -LICENSE RENEWAL: PENALTY FOR OPERATING WHILE LICENSE EXPIRED

21 NCAC 58A.0505 - REINSTATEMENT OF EXPIRED LICENSE,

REVOKED, SURRENDERED OR SUSPENDED LICENSE 21 NCAC 58A .0601 -FORM/PREP/COMPLAINTS/OTHER

PLEADINGS/CONTESTED CASES

21 NCAC 58A .1402 -MULTIPLE CLAIMS

21 NCAC 58A .1703 -CONTINUING EDUCATION FOR LICENSE ACTIVATION

21 NCAC 58A .1708 -EQUIVALENT CREDIT

21 NCAC 58B .0101 -APPLICATION FOR REGISTRATION

21 NCAC 58B .0102 -REGISTRATION FEE

21 NCAC 58C .0105 -WITHDRAWAL OR DENIAL OF APPROVAL

21 NCAC 58C .0106 -PROGRAM CHANGES

21 NCAC 58C .0107 -USE OF EXAMINATION PERFORMANCE DATA

21 NCAC 58C .0207 -FACILITIES AND EQUIPMENT

21 NCAC 58C .0213 -PERFORMANCE BOND

21 NCAC 58C .0214 -ADVERTISING AND RECRUITMENT ACTIVITIES

21 NCAC 58C .0217 -LICENSE RENEWAL AND FEES 21 NCAC 58C .0218 -LICENSING EXAM CONFIDENTIALITY: SCHOOL PERFORM./LICENSING

I NCAC 58C .0302 -PROGRAM STRUCTURING

21 NCAC 58C .0304 -COURSE COMPLETION STANDARDS

21 NCAC 58C .0305 -COURSE SCHEDULING

21 NCAC 58C .0306-TEXTBOOKS

21 NCAC 58C .0307 -REAL ESTATE INSTRUCTORS

21 NCAC 58C .0310 -COURSE RECORDS

21 NCAC 58C .0312 -EXCEPTION FOR PERSONS WITH DISABILITIES

21 NCAC 58E .0102 -UPDATE COURSE COMPONENT

21 NCAC 58E .0202 -NATURE AND SCOPE OF APPROVAL

21 NCAC 58E .0204 -RENEWAL OF APPROVAL

21 NCAC 58E .0205 -DENIAL OR WITHDRAWAL OF APPROVAL

21 NCAC 58E .0304 -CRITERIA FOR ELECTIVE COURSE APPROVAL

21 NCAC 58E .0310-INSTRUCTIONAL DELIVERY METHODS 21 NCAC 58E .0412 -DENIAL OR WITHDRAWAL OF APPROVAL

21 NCAC 58E .0515 -ACCOMMODATIONS FOR PERSONS WITH DISABILITIES

**Reason for Proposed Action:** 21 NCAC 58A - E to delete (1) all uses of the words "salesman" or "salesmen" and insert in lieu thereof the words "salesperson" or "salespersons", respectively; and (2) all uses of masculine pronouns and replace with both masculine and feminine pronouns.

21 NCAC 58A .0107 - to (1) change the term "broker" to "licensee;" (2) define and require the use and proper referencing of supplemental disbursement worksheets for trust account checks applicable to multiple properties; (3) require referencing of all canceled checks or supplemental disbursement worksheets to corresponding ledger sheets; (4) clarify the definition of "transaction"; (5) permit the use of property ledger sheets; (6) require journal or check stubs to identify in chronological sequence each bank deposit and disbursement transaction and identify the corresponding ledger sheet either on the journal or on the supplemental deposit/disbursement worksheet; and (7) require the appropriate use of a subsidiary owner or property ledger sheet.

21 NCAC 58A .0109 - to clarify that licensees providing certain ancillary goods and services for compensation need not make special disclosures to the customers who rent or purchase those same goods and services.

21 NCAC 58A .0110 - to require that brokers-in-charge complete a special course of instruction.

21 NCAC 58A .0113 - to require licensees to disclose to the Commission disciplinary actions against them in connection with any other professional license.

21 NCAC 58A .0114 - to provide on the Residential Property Disclosure Statement for the disclosure of newly subdivided parcels of land, straight pipe sewer systems, past or current use of temporary sandbag erosion control structures, and to clarify the definition of "property".

21 NCAC 58A .0301 - to provide a cross-reference to 21 NCAC 58A .0502, and to provide that the application form for an individual license applicant will require the applicant to provide proof of identity rather than photo identification.

21 NCAC 58A .0302 - to provide new procedures for filing license applications and to describe the separate fee to be paid by applicants choosing to take the license examination by computer and how such fee will be determined.

21 NCAC 58A .0303 - to provide pracedures for payment of the fee to take the license examination by computer.

21 NCAC 58A .0304 - to delete paragraph (a), and to clarify the application of the provision now appearing as paragraph (b).

21 NCAC 58A .0401 - to establish new procedures and requirements for scheduling applicants for license examinations. 21 NCAC 58A .0402 - to amend the period of time during which the one-year examination recognition is tolled, to eliminate references to separate license examinations for brokers and salespersons, and to provide a more flexible definition of the examination passing score

21 NCAC 58A .0403 - to establish new procedures for the reexamination of applicants who fail to pass the license examination or who fail to appear for a scheduled examination.

21 NCAC 58A .0404 - to provide that applicants must strictly comply with all instructions provided by examination supervisors regarding examination procedures and applicant conduct during examinations, and failure to do so may result in appropriate action by the Commission.

21 NCAC 58A .0406 - to establish new procedures for examination review by applicants failing the examination.

21 NCAC 58A .0503 - to state that salespersons who renew late will initially be placed on inactive license status, and to increase the renewal fee from thirty (\$30.00) dollars to thirty-five (\$35.00) dollars.

21 NCAC 58A .0505 - to require salespersans and their brokersin-charge to submit activation forms in order for the salespersons to activate their licenses and to increase the renewal fee from thirty (\$30.00) dollars to thirty-five (\$35.00) dollars.

21 NCAC 58A .0601 - ta conform with the requirements of changes in GS 93A-3(d) and 93A-6(a).

21 NCAC 58A .0615 - to adopt a new rule to require that settlement negotiations in contested cases be completed within a certain period of time prior to the hearing date.

21 NCAC 58A .1402 - to delete the reference to claims for which the Cammission has received no notice under GS 93A-17(a)(2).

21 NCAC 58A .1703 - to clarify that any course taken by an inactive licensee within the current license period or the preceding two license periods will count toward the maximum 20 hours required to activate a license that has not been active since the preceding July 1.

21 NCAC 58A .1708 - to delete paragraph (e).

21 NCAC 58B .0101 - to clarify the type of financial information applicants for time share project registration must furnish the Commission.

21 NCAC 58B .0102 - to establish a graduated fee schedule for time share applicants and to allow the Commission to retain the application fee if a developer withdraws its application.

21 NCAC 58C .0105 - to raise the standard expected of approved schools, other than private real estate schools, with regard to the performance of their students on the real estate license examination.

21 NCAC 58C.0106 - to eliminate the requirement that approved schools other than private real estate schools abtain advance approval from the Commission for changes in program structuring, course content and course completion standards.

21 NCAC 58C .0107 - to delete the requirement that schools other than private real estate schools include in any advertisement citing examination performance data the type of examination and a statement that the data was provided by the Cammission.

21 NCAC 58C.0108 - to require schools other than private real estate schools to submit, at the request of the Commission, student evaluations of a course and instructor on a form prescribed by the Commission.

21 NCAC 58C .0207 - to make it clear that the applicant for a private real estate school license is responsible for assuring that school facilities comply with applicable laws and regulations regarding safety, health and sanitation, and to require that school facilities have an overhead projector and a chalkboard, dry erase board, or similar writing surface.

21 NCAC 58C .0213 - to comply with a recent amendment to GS 93A-36 eliminating the requirement to have a separate performance bond for branch lacations of a private real estate school.

21 NCAC 58C .0214 - to delete the requirement that private real estate schools include in any advertisement citing examination performance data the type of examination and a statement that the data was provided by the Commission.

21 NCAC 58C .0217 - to delete the reference to "appraisal prelicensing and pre-certification course" in paragraph (b).

21 NCAC 58C .0218 - to raise the standard expected of approved schools, other than private real estate schools, with regard to the performance of their students on the real estate license examination.

21 NCAC 58C .0220 - to adopt a new rule to require private real estate schools to submit, at the request of the Commission, student evaluations of a course and instruction on a form prescribed by the Commission.

21 NCAC 58C .0302 - to establish the required program structure for real estate salesperson and broker pre-licensing education programs and to modify the prerequisite for enrollment in the broker education program.

21 NCAC 58C .0304 - to clarify that approved schools may require a higher course passing grade for the purpose of certifying course completion to the Commission than for the purpose of granting college credit or continuing education units and to require that when students are allowed to make up a missed course examination or to retake a failed course examination, the examination used must be substantially different from the initial examination administered to the class.

21 NCAC 58C .0305 - to increase the maximum classroom hours schools are permitted to conduct in any day and any seven-day period.

21 NCAC 58C .0306 - to prescribe specific standards and procedures for approving textbooks and other instructional materials.

21 NCAC 58C .0307 - to provide for the approval of prelicensing instructors separate from the licensing and approval of school:

and to prescribe new criteria for the approval of such instructors. 21 NCAC 58C .0310 - to provide that schools must retain on file copies of grade and attendance records for three (3) years rather han five (5) years.

21 NCAC 58C .0312 - to require that when schools make exceptions to Commission rules to accommodate persons with disabilities that the accommodation made must be reasonably appropriate for the particular disability, that deviation from the rules should be no greater than necessary to provide a reasonable accommodation, and that schools must notify the Commission in writing of the proposed accommodation prior to the start of the course in question.

21 NCAC 58C .0600 - to adopt a new rule to provide for the approval of prelicensing instructors separate from the licensing and approval of schools and to prescribe new criteria for the approval of such instructors.

21 NCAC 58E .0102 - to permit course sponsors and instructors to make modifications to the update course in certain situations. 21 NCAC 58E .0202 - to provide for a longer approval period for update course instructors.

21 NCAC 58E .0204 - to provide for a longer approval period for update course instructors.

21 NCAC 58E .0205 - to clarify that the Commission can deny or withdraw the approval of an update course instructor based on the fact that an instructor has been disciplined by the Commission or any other occupational licensing agency in North Carolina or another jurisdiction.

21 NCAC 58E .0304 - to change the minimum required number of lassroom hours for approval of an elective course from two (2) to

*four (4) and to provide more current and comprehensive criteria regarding applications for approval of "distance education" courses.* 

21 NCAC 58E.0310 - to provide more current and comprehensive guidelines regarding the acceptability of delivery methods for "distance education" courses.

21 NCAC 58E .0412 - to clarify that the Commission can deny or withdraw the approval of an elective course or sponsor based on the fact that an elective course instructor in the employ of a sponsor has been disciplined by the Commission or any other occupational licensing agency in North Carolina or another jurisdiction, and to clarify that the Commission can discipline a CE sponsor who collects money from licensees for a course but fails to provide the promised instruction.

21 NCAC 58E .0515 - to require that when schools make exceptions to Commission rules to accommodate persons with disabilities, that the accommodation made must be reasonably appropriate for the particular disability, that deviation from the rules should be no greater than necessary to provide a reasonable accommodation, and that schools must notify the Commission in writing of the proposed accommodation prior to the start of the course in question.

**Comment Procedures:** Written comments regarding the rules may be sent to or delivered to Janet B. Thoren, c/o N.C. Real Estate Commission, PO Box 17100, 1313 Navaho Drive, Raleigh, NC 27619-7100. *This Section contains the text of proposed rules. At least 60 days prior to the publication of text, the agency published a Notice of Rule-making Proceedings. The agency must accept comments on the proposed rule for at least 30 days from the publication date, or until the public hearing, or a later date if specified in the notice by the agency. The required comment period is 60 days for a rule that has a substantial economic impact of at least five million dollars (\$5,000,000). Statutory reference: G.S. 150B-21.2.* 

#### **TITLE 11 - DEPARTMENT OF INSURANCE**

**Notice** is hereby given in accordance with G.S. 150B-21.2 that the NC Department of Insurance intends to amend rule cited as 11 NCAC 12 .1702. Notice of Rule-making Proceedings was published in the Register on July 15, 1999.

#### Proposed Effective Date: July 1, 2000

A Public Hearing will be conducted at 10:00 a.m. on September 30, 1999 at the 3<sup>rd</sup> Floor Hearing Room, Dobbs Building, 430 N. Salisbury Street, Raleigh, NC.

**Reason for Proposed Action:** Section 32 of S.L. 1998-211 rewrote G.S. 58-71-73(3) which authorized the Commissioner of Insurance to require a bond from viatical settlement providers. This amendment eliminates the bond requirement of this rule.

**Comment Procedures:** Written comments should be sent to Ellen K. Sprenkel, NC Department of Insurance, PO Box 26387, Raleigh, NC 27611.

Fiscal Impact State Local Sub. None

#### **CHAPTER 12 - LIFE AND HEALTH DIVISION**

#### SECTION .1700 - VIATICAL SETTLEMENTS

#### .1702 VIATICAL SETTLEMENT PROVIDERS

(a) An application for provider registration shall be filed with the Division.

(b) Only those individuals named in the application may act as providers.

(c) A provider shall submit with the application a plan of operation, including full particulars on the manner in which the provider proposes to operate in North Carolina and the type or types of insurance policies or contracts it intends to viaticate.

(d) The provider's plan of operation shall be a narrative overview of the provider's business and shall include the following information:

- (1) A certified copy of the provider's charter and by-laws, if a corporation, and a copy of the partnership agreement, if a partnership.
- (2) A chart showing the relationship of the provider to any parent, affiliated, or subsidiary corporation.
- (3) A detailed description of the provider's marketing techniques, including a description of training programs for those individuals who will have direct contact with

viators.

- (4) A list of the names of provider's directors and management personnel, including job title and a brief description of the job duties.
- (5) A schedule listing the names of financial institutions with which the provider has escrow trust agreements, indicating the balance on each account and copies of all escrow and trust agreements.
- (6) A detailed description of what steps through which the viator will have access to funds, including the source that will make such funds available.

(e) A provider shall immediately notify the Division of any change in the address of the provider and of any change in the officers and directors of the provider.

(f) Each provider shall notify the Division of any change in the plan of operation or financial information filed with its application within 10 business days after the change.

(g) Each provider shall maintain net capital of at least one hundred thousand dollars (\$100,000), or net capital plus a surety bond totaling at least one hundred thousand dollars (\$100,000). As used in this Rule, "net capital" means the excess of total assets over total liabilities as determined by generally accepted accounting principles. If any of a provider's assets have been depreciated, the amount of depreciation relative to any particular asset may be added to the depreciated cost of the assets to compute the total assets; provided however, that the amount resulting after adding such depreciation shall not exceed the fair market value of the asset. For the purpose of calculating the appropriate amount of the surety bond that is required by this Rule: net capital shall be presumed to be zero (\$0.00) in situations in which a provider's liabilities exceed the provider's assets.

(h) (g) A power of attorney designating the Commissioner as the provider's agent for service of legal process shall be filed by every provider.

Authority G.S. 58-2-40; 58-16-30; 58-58-42.

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Notice is hereby given in accordance with G.S. 150B-21.2 that the NC Department of Insurance intends to repeal rules cited as 11 NCAC 13 .0514 and .0518. Notice of Rule-making Proceedings was published in the Register on July 15, 1999.

Proposed Effective Date: July 1, 2000

**A Public Hearing** will be conducted at 10:00 a.m. on Septembel 30, 1999 at the 3<sup>rd</sup> Floor Hearing Room. Dobbs Building, 430 N Salisbury Street, Raleigh, NC.

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**Reason for Proposed Action:** 11 NCAC 13.0514 - S.L.1998-211 ewrote G.S. 58-71-95(5) which required the return of collateral ecurity within a stated period of time. This rule repeats the outent of the rewritten statute. 11 NCAC 13.0518 - S.L. 1998-211 rewrote G.S. 58-71-73(3) which provided for the lapse of a bail bond license for failure to comply with continuing education equirements. This rule set out procedures for suspension of a icense; the rewritten statute supersedes the rule.

Comment Procedures: Written comments should be sent to Ellen K. Sprenkel. NC Department of Insurance, PO Box 26387, Raleigh, NC 27611.

<u>Fiscal Impact</u> State Local Sub. None

#### **CHAPTER 13 - SPECIAL SERVICES DIVISION**

SECTION .0500 - BAIL BONDSMEN AND RUNNERS

#### 0514 COLLATERAL SECURITY RETURNED ON TERMINATION OF LIABILITY

Any collateral security accepted by a bail bondsman from a principal or anyone on his behalf shall be returned immediately upon final termination of liability on the bond.

uthority G.S. 85-2(a).

#### 0518 CONTINUING EDUCATION

(a) Except as provided in Paragraphs (b) and (c) of this Rule; he license of any bail bondsman who fails to meet the minimum continuing education requirements of G.S. 58-71-71(b) is subject to suspension under G.S. 58-71-80.

(b) The Commissioner shall notify a bail bondsman who appears to have failed to meet the requirements of G.S. 58-71-71(b) that the bail bondsman's license will be suspended unless the bail bondsman shows that he has complied with the requirements within a 90-day period after receiving the notice. Notice shall be forwarded to the bail bondsman's address, as shown in the records of the Special Services Division, by certified mail. Ninety-three days after mailing such notice, if no affidavit is filed with the Department by the bail bondsman showing that the bail bondsman has complied with the requirements of G.S. 58-71-71(b), the bail bondsman's license shall be suspended by order of the Commissioner.

(c) If the bail bondsman responds to the notice, the Department shall review all affidavits and other documents filed by the bail bondsman to determine whether the bail bondsman has complied with the requirements of G.S. 58-71-71(b)-within the 90-day period. If the Commissioner determines that the bail bondsman is in compliance with G.S. 58-71-71(b), he shall enter an appropriate rder. If the Commissioner determines that the bail bondsman has not shown compliance with G.S. 58-71-71(b) within the 90-day period, then the Commissioner shall enter an order suspending the bail bondsman's license.

Authority G.S. 58-2-40; 58-71-71(f).

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

**Notice** is hereby given in accordance with G.S. 150B-21.2 that the DENR - Environmental Management Commission intends to adopt rule cited as 15A NCAC 2B.0110; and amend rules cited as 15A NCAC 2B.0211, .0220, .0223, .0225. Notice of Rulemaking Proceedings was published in the Register on October 15, 1998.

Proposed Effective Date: August 1, 2000

**A Public Hearing** will be conducted at 6:30 p.m. on the following dates and locations:

September 30, 1999 Catawba Valley Community College Auditorium (Main Campus) 2550 HWY 70SE Hickory, NC

> October 5, 1999 Carteret Community College Joslyn Hall 3505 Arendell Street Morehead City, NC

October 7, 1999 Archdale Building Ground Floor Hearing Room 512 N. Salisbury Street Raleigh, NC

**Reason for Proposed Action:** The Environmental Management Commission gave the Division of Water Quality staff permission to proceed to rule-making on the Triennial Review Advisory Committee's proposed changes to the surface water quality standards and classifications rules. The proposed changes include requiring management strategies (developed through rule-making) for threatened and endangered aquatic animal species, allowing the use of dissolved metals concentrations for copper, zinc, iron, silver, cadmium and nickel, updating the Nutrient Sensitive Waters rule and changes to the number of boat slips allowed in coastal Outstanding Resource Waters. In addition to these rule changes, the public will have an opportunity to provide comment on three variances from surface water quality standards as required by the Clean Water Act. These variances consist of two variances from the chloride standard for Mt. Olive and Dean Pickle Companies (NC0001074 & NC 0001970) and a variance from the color standard for Champion International Company (NC0000272). Copies of the variances are available from the contact named in th e Comment Procedures and a t

http://h2o.enr.state.nc.us/wqhome.html.

**Comment Procedures:** The purpose of this announcement is to encourage those interested in this proposal to provide comments. You may either attend the public hearings and make relevant verbal comments or submit written comments, data or other relevant information by November 8, 1999. The Hearing Officer may limit the length of time that you may speak at the public hearing, if necessary, so that all those who wish to speak may have an opportunity to do so. We encourage you to submit written comments as well. The EMC is very interested in all comments pertaining to the proposed rules. It is very important that all interested and potentially affected persons or parties make their views known to the EMC whether in favor of or opposed to any and all provisions of the proposed rules. The EMC may not adopt a rule that differs substantially from the text of the proposed rule published in the North Carolina Register unless the EMC publishes the text of the proposed different rule and accepts comments on the new text (see 150B-21.2(g)). All interested and potentially affected persons are strongly encouraged to read the entire announcement and supporting information, and make appropriate comments on the proposal. Comments should be sent to: Ms. Dianne Reid, Division of Water Quality Planning, NCDENR, 1617 Mail Service Center, Raleigh, NC 27699-1617.

#### **Fiscal Impact**

State Local Sub. None

 $\checkmark$ 

#### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

#### SUBCHAPTER 2B - SURFACE WATER AND WETLAND STANDARDS

#### SECTION .0100 - PROCEDURES FOR ASSIGNMENT OF WATER QUALITY STANDARDS

#### .0110 CONSIDERATIONS FOR FEDERALLY-LISTED THREATENED OR ENDANGERED AQUATIC SPECIES

Certain waters provide habitat for federally-listed aquatic animal species that are listed as threatened or endangered by the U.S. Fish and Wildlife Service or National Marine Fisheries Service under the provisions of the Endangered Species Act, 16 U.S.C. 1531-1544 and subsequent modifications. Maintenance and recovery of the water quality conditions required to sustain and recover federally-listed threatened and endangered aquatic animal species contributes to the support and maintenance of a balanced and indigenous community of aquatic organisms and thereby protects the biological integrity of the waters. The Division shall develop site-specific management strategies under the provisions of 15A NCAC 2B .0225 or .0227 for those waters. These plans shall be developed within the basinwide planning schedule with all plans completed at the end of each watershed's first complete five year cycle following adoption of this Rule. Nothing in this Rule shall prevent the Division from taking other actions within its authority to maintain and restore the quality of these waters.

Authority G.S. 143-214.1; 143-215.3(a)(1); 143-215.8A.

#### SECTION .0200 - CLASSIFICATIONS AND WATER QUALITY STANDARDS APPLICABLE TO SURFACE WATERS AND WETLANDS OF NORTH CAROLINA

#### .0211 FRESH SURFACE WATER QUALITY STANDARDS FOR CLASS C WATERS

General. The water quality standards for all fresh surface waters are the basic standards applicable to Class C waters. Additional and more stringent standards applicable to other specific freshwater classifications are specified in Rules .0212, .0214, .0215, .0216, .0217, .0218, .0219, .0223, .0224 and .0225 of this Section.

- Best Usage of Waters. Aquatic life propagation and maintenance of biological integrity (including fishing, and fish), wildlife, secondary recreation, agriculture and any other usage except for primary recreation or as a source of water supply for drinking, culinary or food processing purposes;
- (2) Conditions Related to Best Usage. The waters will be suitable for aquatic life propagation and maintenance of biological integrity, wildlife, secondary recreation, and agriculture; sources of water pollution which preclude any of these uses on either a short-term or long-term basis will be considered to be violating a water quality standard;
- (3) Quality standards applicable to all fresh surface waters:
  - (a) Chlorophyll a (corrected): not greater than 40 ug/l for lakes, reservoirs, and other slow-moving waters not designated as trout waters, and not greater than 15 ug/l for lakes, reservoirs, and other slow-moving waters designated as trout waters (not applicable to lakes and reservoirs less than 10 acres in surface area); the Commission or its designee may prohibit or limit any discharge of waste into surface waters if, in the opinion of the Director, the surface waters experience or the discharge would result in growths of microscopic or macroscopic vegetation such that the standards established pursuant to this Rule would be violated or the intended best usage of the waters would be impaired;
  - (b) Dissolved oxygen: not less than 6.0 mg/l for trou waters; for non-trout waters, not less than a daily average of 5.0 mg/l with a minimun instantaneous value of not less than 4.0 mg/l swamp waters, lake coves or backwaters, and lake bottom waters may have lower values in caused by natural conditions;
  - (c) Floating solids; settleable solids; sludge deposits only such amounts attributable to sewage industrial wastes or other wastes as will not make the water unsafe or unsuitable for aquatic life and wildlife or impair the waters for any designated uses;
  - (d) Gases, total dissolved: not greater than 110

percent of saturation;

Organisms of the coliform group: fecal coliforms (e) shall not exceed a geometric mean of 200/100ml (MF count) based upon at least five consecutive samples examined during any 30 day period, nor exceed 400/100ml in more than 20 percent of the samples examined during such period; violations of the fecal coliform standard are expected during rainfall events and, in some cases, this violation is expected to be caused by uncontrollable nonpoint source pollution; all coliform concentrations are to be analyzed using the membrane filter technique unless high turbidity or other adverse conditions necessitate the tube dilution method; in case of controversy over results, the MPN 5-tube dilution technique will be used as the reference method;

(f) Oils; deleterious substances; colored or other wastes: only such amounts as will not render the waters injurious to public health, secondary recreation or to aquatic life and wildlife or adversely affect the palatability of fish, aesthetic quality or impair the waters for any designated uses; for the purpose of implementing this Rule. oils, deleterious substances, colored or other wastes will include but not be limited to substances that cause a film or sheen upon or discoloration of the surface of the water or adjoining shorelines pursuant to 40 CFR 110.4(a)-(b) which are hereby incorporated by reference including any subsequent amendments and additions. This material is available for inspection at the Department of Environment, Health; Environment and Natural Resources, Division of Environmental Management, Water Quality, 512 North Salisbury Street, Raleigh, North Carolina. Copies may be obtained from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402-9325 at a cost of thirteen dollars (\$13.00).

- (g) pH: shall be normal for the waters in the area, which generally shall range between 6.0 and 9.0 except that swamp waters may have a pH as low as 4.3 if it is the result of natural conditions;
- (h) Phenolic compounds: only such levels as will not result in fish-flesh tainting or impairment of other best usage;
- (i) Radioactive substances:
  - (i) Combined radium-226 and radium-228: the maximum average annual activity level (based on at least four samples collected quarterly) for combined radium-226 and radium-228 shall not exceed five picoCuries per liter;
  - (ii) Alpha Emitters: the average annual gross alpha particle activity (including radium-226, but excluding radon and uranium) shall not exceed 15 picoCuries

per liter;

- (iii) Beta Emitters: the maximum average annual activity level (based on at least four samples, collected quarterly) for strontium-90 shall not exceed eight picoCuries per liter; nor shall the average annual gross beta particle activity (excluding potassium-40 and other naturally occurring radio-nuclides) exceed 50 picoCuries per liter; nor shall the maximum average annual activity level for tritium exceed 20,000 picoCuries per liter;
- (j) Temperature: not to exceed 2.8 degrees C (5.04 degrees F) above the natural water temperature, and in no case to exceed 29 degrees C (84.2 degrees F) for mountain and upper piedmont waters and 32 degrees C (89.6 degrees F) for lower piedmont and coastal plain waters. The temperature for trout waters shall not be increased by more than 0.5 degrees C (0.9 degrees F) due to the discharge of heated liquids, but in no case to exceed 20 degrees C (68 degrees F);
- Turbidity: the turbidity in the receiving water will (k) not exceed 50 Nephelometric Turbidity Units (NTU) in streams not designated as trout waters and 10 NTU in streams, lakes or reservoirs designated as trout waters; for lakes and reservoirs not designated as trout waters, the turbidity will not exceed 25 NTU; if turbidity exceeds these levels due to natural background conditions, the existing turbidity level cannot be increased. Compliance with this turbidity standard can be met when land management activities employ Best Management Practices (BMPs) [as defined by Rule .0202(6) of this Section] recommended by the Designated Nonpoint Source Agency [as defined by Rule .0202 of this Section]. BMPs must be in full compliance with all specifications governing the proper design, installation, operation and maintenance of such BMPs;
- (1) Toxic substances: numerical water quality standards (maximum permissible levels) to protect aquatic life applicable to all fresh surface waters:
  - (i) Arsenic: 50 ug/l;
  - (ii) Beryllium: 6.5 ug/l;
  - (iii) Cadmium: 0.4 ug/l for trout waters and 2.0 ug/l for non-trout waters; <u>attainment of</u> <u>these water quality standards in surface</u> <u>waters will be based on measurement of</u> <u>total recoverable metals concentrations</u> <u>unless appropriate studies have been</u> <u>conducted to translate total recoverable</u> <u>metals to a toxic form. Studies used to</u> <u>determine the toxic form or translators</u> <u>must be designed according to the "Water</u>

- Quality Standards Handbook Second Edition" published by the Environmental Protection Agency (EPA 823-B-94-005a) or "The Metals Translator: Guidance For Calculating a Total Recoverable Permit Limit From a Dissofved Criterion" published by the Environmental Protection Agency (EPA 823-B-96-007) which are hereby incorporated by reference including any subsequent amendments. The Director will consider conformance to EPA guidance as well as the presence of environmental conditions that limit the applicability of translators in approving the use of metal translators;
- (iv) Chlorine, total residual: 17 ug/l for trout waters (Tr); (Action Level of 17 ug/l for all waters not classified as trout waters (Tr); see Item (4) of this Rule);
- (v) Chromium, total recoverable: 50 ug/l;
- (vi) Cyanide: 5.0 ug/l;
- (vii) Fluorides: 1.8 mg/l;
- (viii) Lead, total recoverable: 25 ug/l; collection of data on sources, transport and fate of lead will be required as part of the toxicity reduction evaluation for dischargers that are out of compliance with whole effluent toxicity testing requirements and the concentration of lead in the effluent is concomitantly determined to exceed an instream level of 3.1 ug/l from the discharge;
- (ix) MBAS (Methylene-Blue Active Substances): 0.5 mg/l;
- (x) Mercury: 0.012 ug/l;
- Nickel: 88 ug/l; attainment of this water (xi) guality standard in surface waters will be based on measurement of total recoverable metals concentrations unless appropriate studies have been conducted to translate total recoverable metals to a toxic form. Studies used to determine the toxic form or translators must be designed according to the "Water Quality Standards Handbook Second Edition" published by the Environmental Protection Agency (EPA 823-B-94-005a) or "The Metals Translator: Guidance For Calculating a Total Recoverable Permit Limit From a Dissolved Criterion" published by the Environmental Protection Agency (EPA 823-B-96-007) which are hereby incorporated by reference including any subsequent amendments. The Director will consider conformance to EPA guidance as well as the presence of environmental conditions that limit the

applicability of translators in approving the use of metal translators;

- (xii) Pesticides:
  - (A) Aldrin: 0.002 ug/l;
  - (B) Chlordane: 0.004 ug/l;
  - (C) DDT: 0.001 ug/l;
  - (D) Demeton: 0.1 ug/l;
  - (E) Dieldrin: 0.002 ug/l;
  - (F) Endosulfan: 0.05 ug/l;
  - (G) Endrin: 0.002 ug/l;
  - (H) Guthion: 0.01 ug/l;
  - (I) Heptachlor: 0.004 ug/l;
  - (J) Lindane: 0.01 ug/l:
  - (K) Methoxychlor: 0.03 ug/l;
  - (L) Mirex: 0.001 ug/l;
  - (M) Parathion: 0.013 ug/l;
  - (N) Toxaphene: 0.0002 ug/f;
- (xiii) Polychlorinated biphenyls: 0.001 ug/l;
- (xiv) Selenium: 5 ug/l;
- (xv) Toluene: 11 ug/l or 0.36 ug/l in trout waters;
- (xvi) Triałkyłtin compounds: 0.008 ug/l expressed as tributyltin;
- (4)Action Levels for Toxic Substances: if the Action Levels for any of the substances listed in this Subparagraph (which are generally not bioaccumulative and have variable toxicity to aquatic life because of chemical form, solubility, stream characteristics or associated waste characteristics) are determined by the waste load allocation to be exceeded in a receiving water by a discharge under the specified low flow criterion for toxic substances (Rule .0206 in this Section), the discharger will be required to monitor the chemical or biological effects of the discharge; efforts shall be made by all dischargers to reduce or eliminate these substances from their effluents. Those substances for which Action Levels are listed in this Subparagraph will be limited as appropriate in the NPDES permit based on the Action Levels listed in this Subparagraph in sufficient information (to be determined for metals by measurements of that portion of the dissolved instream concentration of the Action Level parameter attributable to a specific NPDES permitted discharge) exists to indicate that any of those substances may be significant causative factor resulting in toxicity of the effluent; effluent. NPDES permit limits may be based on translation of the toxic form to total recoverable metals. Studies used to determine the toxic form of translators must be designed according to "Water Quality Standards Handbook Second Edition" published by the Environmental Protection Agency (EPA 823-B 94-005a) or "The Metals Translator: Guidance For Calculating a Total Recoverable Permit Limit From a Dissolved Criterion" published by the Environmenta Protection Agency (EPA 823-B-96-007) which are hereby incorporated by reference including any subsequent amendments. The Director will conside

conformance to EPA guidance as well as the presence of environmental conditions that limit the applicability of translators in approving the use of metal translators.

- (a) Copper: 7 ug/l;
- (b) Iron: 1.0 mg/l;
- (c) Silver: 0.06 ug/l;
- (d) Zine: 50 ug/l;
- (e) Chloride: 230 mg/l;
- (f) Chlorine, total residual: 17 ug/l in all waters except trout waters (Tr); [a standard of 17 ug/l exists for waters classified as trout waters and is applicable as such to all dischargers to trout waters; see Sub-Item (3)(1)(iv) of this Rule];

For purposes other than consideration of NPDES permitting of point source discharges as described in this Subparagraph, the Action Levels in this Rule, as measured by an appropriate malytical technique, will be considered as numerical ambient water quality standards.

Authority G.S. 143-214.1; 143-215.3(a)(1).

#### 0220 TIDAL SALT WATER QUALITY STANDARDS FOR CLASS SC WATERS

General. The water quality standards for all tidal salt waters are he basic standards applicable to Class SC waters. Additional and nore stringent standards applicable to other specific tidal salt water classifications are specified in Rules .0221 and .0222 of this Section.

- (1) Best Usage of Waters. Aquatic life propagation and maintenance of biological integrity (including fishing, fish and functioning PNAs), wildlife, secondary recreation, and any other usage except primary recreation or shellfishing for market purposes.
- (2) Conditions Related to Best Usage. The waters shall be suitable for aquatic life propagation and maintenance of biological integrity, wildlife, and secondary recreation; Any source of water pollution which precludes any of these uses, including their functioning as PNAs, on either a short-term or a long-term basis shall be considered to be violating a water quality standard.
- (3) Quality standards applicable to all tidal salt waters:
  - (a) Chlorophyll a (corrected): not greater than 40 ug/l in sounds, estuaries, and other slow-moving waters; the Commission or its designee may prohibit or limit any discharge of waste into surface waters if, in the opinion of the Director, the surface waters experience or the discharge would result in growths of microscopie or macroscopic vegetation such that the standards established pursuant to this Rule would be violated or the intended best usage of the waters would be impaired;
  - (b) Dissolved oxygen: not less than 5.0 mg/l, except that swamp waters, poorly flushed tidally influenced streams or embayments, or estuarine bottom waters may have lower values if caused by natural conditions;
  - (c) Floating solids; settleable solids; sludge deposits:

only such amounts attributable to sewage, industrial wastes or other wastes, as shall not make the waters unsafe or unsuitable for aquatic life and wildlife, or impair the waters for any designated uses;

- (d) Gases, total dissolved: not greater than 110 percent of saturation;
- Organisms of coliform group: fecal coliforms (e)not to exceed geometric mean of 200/100 ml (MF count) based upon at least five consecutive samples examined during any 30 day period; not to exceed 400/100 ml in more than 20 percent of the samples examined during such period; violations of the fecal coliform standard are expected during rainfall events and, in some cases, this violation is expected to be caused by uncontrollable nonpoint source pollution; all coliform concentrations are to be analyzed using the MF technique unless high turbidity or other adverse conditions necessitate the tube dilution method; in case of controversy over results the MPN 5-tube dilution method shall be used as the reference method:
- (f) Oils; deleterious substances; colored or other wastes: only such amounts as shall not render the waters injurious to public health, secondary recreation or to aquatic life and wildlife or adversely affect the palatability of fish, aesthetic quality or impair the waters for any designated uses; for the purpose of implementing this Rule, oils, deleterious substances, colored or other wastes shall include but not be limited to substances that cause a film or sheen upon or discoloration of the surface of the water or adjoining shorelines pursuant to 40 CFR 110.4(a)-(b);
- (g) pH: shall be normal for the waters in the area, which generally shall range between 6.8 and 8.5 except that swamp waters may have a pH as low as 4.3 if it is the result of natural conditions;
- (h) Phenolic compounds: only such levels as shall not result in fish-flesh tainting or impairment of other best usage;
- (i) Radioactive substances:
  - (i) Combined radium-226 and radium-228: The maximum average annual activity level (based on at least four samples, collected quarterly) for combined radium-226, and radium-228 shall not exceed five picoCuries per liter;
  - (ii) Alpha Emitters. The average annual gross alpha particle activity (including radium-226, but excluding radon and uranium) shall not exceed 15 picoCuries per liter;
  - (iii) Beta Emitters. The maximum average annual activity level (based on at least four samples, collected quarterly) for

strontium-90 shall not exceed eight picoCuries per liter; nor shall the average annual gross beta particle activity (excluding potassium-40 and other naturally occurring radio-nuclides) exceed 50 picoCuries per liter; nor shall the maximum average annual activity level for tritium exceed 20,000 picoCuries per liter:

- Salinity: will not be appreciably modified as a (i) result of hydrological modifications in areas draining to PNAs; projects which are determined by the Director to result in the appreciable modification of salinity within a PNA will be required to employ appropriate water management practices;
- (k) Temperature: shall not be increased above the natural water temperature by more than 0.8 degrees C (1.44 degrees F) during the months of June, July, and August nor more than 2.2 degrees C (3.96 degrees F) during other months and in no cases to exceed 32 degrees C (89.6 degrees F) due to the discharge of heated liquids;
- (1)Turbidity: the turbidity in the receiving water shall not exceed 25 NTU; if turbidity exceeds this level due to natural background conditions, the existing turbidity level cannot be increased. Compliance with this turbidity standard can be met when land management activities employ Best Management Practices (BMPs) [as defined by Rule .0202(6) of this Section] recommended by the Designated Nonpoint Source Agency (as defined by Rule .0202 of this Section). BMPs must be in full compliance with all specifications governing the proper design, installation, operation and maintenance of such BMPs;
- (m) Toxic substances: numerical water quality standards (maximum permissible levels) to protect aquatic life applicable to all tidal saltwaters:
  - Arsenic, total recoverable: 50 ug/l; (i)
  - (ii) Cadmium: 5.0 ug/l; attainment of this water guality standard in surface waters will be based on measurement of total recoverable metals concentrations unless appropriate studies have been conducted to translate total recoverable metals to a toxic form. Studies used to determine the toxic form or translators must be designed according to the "Water Quality Standards Handbook Second Edition" published by the Environmental Protection Agency (EPA 823-B-94-005a) or "The Metals Translator: Guidance For Calculating a Total Recoverable Permit Limit From a Dissolved Criterion" published by the Environmental Protection Agency (EPA 823-B-96-007) which are hereby

incorporated by reference including any subsequent amendments. The Director will consider conformance to EPA guidance as well as the presence of environmental conditions that limit the applicability of translators in approving the use of metal translators;

- Chromium, total: 20 ug/l; (iii)
- (iv) Cyanide: 1.0 ug/l;
- (V) Mercury: 0.025 ug/l;
- Lead, total recoverable: 25 ug/l; collection (vi)of data on sources, transport and fate of lead shall be required as part of the toxicity reduction evaluation for dischargers that are out of compliance with whole effluent toxicity testing requirements and the concentration of leac in the effluent is concomitantly determined to exceed an instream level of 3.1 ug/ from the discharge:
- Nickel: 8.3 ug/l: attainment of this wate (vii) quality standard in surface waters will be based on measurement of total recoverable metals concentrations unless appropriate studies have been conducted to translate total recoverable metals to a toxic form Studies used to determine the toxic form or translators must be designed according to the "Water Quality Standards Handbool Second Edition" published by the Environmental Protection Agency (EPA <u>823-B-94-005a)</u> or <u>"The Metal</u> Translator: Guidance For Calculating Total Recoverable Permit Limit From . Dissolved Criterion" published by the Environmental Protection Agency (EPA 823-B-96-007) which are hereby incorporated by reference including an subsequent amendments. The Directo will consider conformance to EPA guidance as well as the presence o environmental conditions that limit the applicability of translators in approving the use of metal translators; Pesticides:

(viii)

- Aldrin: 0.003 ug/l; (A)
- (B) Chlordane: 0.004 ug/l;
- (C) DDT: 0.001 ug/l;
- Demeton: 0.1 ug/l; (D)
- (E) Dieldrin: 0.002 ug/l;
- $(\mathbf{F})$ Endosulfan: 0.009 ug/l;
- Endrin: 0.002 ug/l: (G)
- (H) Guthion: 0.01 ug/l;
- (I) Heptachlor: 0.004 ug/l;
- Lindane: 0.004 ug/l; (J)
- (K) Methoxychlor: 0.03 ug/l;
- (L) Mirex: 0.001 ng/l;

- (M) Parathion: 0.178 ug/l;
- (N) Toxaphene: 0.0002 ug/l.
- (ix) Polycholorinated biphenyls: 0.001 ug/l;
- (x) Selenium: 71 ug/I;
- (xi) Trialkyltin compounds: 0.002 ug/l expressed as tributyltin.

Action Levels for Toxic Substances: if the Action Levels for any of the substances listed in this Subparagraph (which are generally not bioaccumulative and have variable toxicity to aquatic life because of chemical form, solubility, stream characteristics or associated waste characteristics) are determined by the waste load allocation to be exceeded in a receiving water by a discharge under the specified low flow criterion for toxic substances (Rule .0206 in this Section), the discharger shall be required to monitor the chemical or biological effects of the discharge; efforts shall be made by all dischargers to reduce or eliminate these substances from their effluents. Those substances for which Action Levels are listed in this Subparagraph may be limited as appropriate in the NPDES permit if sufficient information exists to indicate that any of those substances may be a significant causative factor resulting in toxicity of the effluent; effluent. NPDES permit limits may be based on translation of the toxic form to total recoverable metals. Studies used to determine the toxic form or translators must be designed according to "Water Quality Standards Handbook Second Edition" published by the Environmental Protection Agency (EPA 823-B-94-005a) or "The Metals Translator: Guidance For Calculating a Total Recoverable Permit Limit From a Dissolved Criterion" published by the Environmental Protection Agency (EPA 823-B-96-007) which are hereby incorporated by reference including any subsequent amendments. The Director will consider conformance to EPA guidance as well as the presence of environmental conditions that limit the applicability of translators in approving the use of metal translators.

- (a) Copper: 3 ug/l;
- (b) Silver: 0.1 ug/l;
- (c) Zinc: 86 ug/l.

#### Authority G.S. 143-214.1; 143-215.3(a)(1).

#### 0223 NUTRIENT SENSITIVE WATERS

(a) In addition to existing classifications, the Commission may classify any surface waters of the state as nutrient sensitive waters (NSW) upon a finding that such waters are experiencing or are subject to excessive growths of microscopic or macroscopic vegetation. Excessive growths are growths which the Commission in its discretion finds to substantially impair the use of the water for its best usage as determined by the classification applied to such waters.

(b) NSW may include any or all waters within a particular river basin as the Commission deems necessary to effectively control excessive growths of microscopic or macroscopic vegetation.

(c) For the purpose of this Rule, the term "nutrients" shall mean

phosphorous or nitrogen: <u>nitrogen</u> When considering the assignment of this classification, the Commission may specify as a "nutrient" any other chemical parameter or combination of parameters which it determines to be essential for the growth of microscopic and macroscopic vegetation: <u>or any other chemical parameter or combination of parameters which the commission determines to be contributing to excessive growths of microscopic or macroscopic vegetation.</u>

(d) Those waters additionally classified as nutrient sensitive shall be identified in the appropriate schedule of classifications as referenced in Section .0300 of this Subchapter.

(e) For the purpose of this Rule, the term "background levels" shall mean the concentration(s), taking into account seasonal variations, of the specific nutrient or nutrients upstream of a nutrient source. Nutrient strategies applicable to NSW shall be developed by the Commission to control the magnitude, duration, or frequencies of excessive growths of microscopic or macroscopic vegetation so that the existing and designated uses of the waterbody are protected or restored.

(f) Quality standards applicable to NSW: no increase in nutrients over background levels unless it is shown to the satisfaction of the Director that the increase:

- (1) is the result of natural variations; or
- (2) will not endanger human health, safety or welfare and that preventing the increase would cause a serious economic hardship without equal or greater benefit to the public.

Authority G.S. 143-214.1; 143-215.8B.

#### .0225 OUTSTANDING RESOURCE WATERS

(a) General. In addition to the existing classifications, the Commission may classify certain unique and special surface waters of the state as outstanding resource waters (ORW) upon finding that such waters are of exceptional state or national recreational or ecological significance and that the waters have exceptional water quality while meeting the following conditions:

- there are no significant impacts from pollution with the water quality rated as excellent based on physical, chemical or biological information;
- (2) the characteristics which make these waters unique and special may not be protected by the assigned narrative and numerical water quality standards.

(b) Outstanding Resource Values. In order to be classified as ORW, a water body must exhibit one or more of the following values or uses to demonstrate it is of exceptional state or national recreational or ecological significance:

- (1) there are outstanding fish (or commercially important aquatic species) habitat and fisheries;
- (2) there is an unusually high level of water-based recreation or the potential for such recreation;
- (3) the waters have already received some special designation such as a North Carolina or National Wild and Scenic River, Native or Special Native Trout Waters, National Wildlife Refuge, etc, which do not provide any water quality protection:
- (4) the waters represent an important component of a state or national park or forest; or

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(4)

- (5) the waters are of special ecological or scientific significance such as habitat for rare or endangered species or as areas for research and education.
- (c) Quality Standards for ORW.
  - Freshwater: Water quality conditions shall clearly (1)maintain and protect the outstanding resource values of waters classified ORW. Management strategies to protect resource values shall be developed on a site specific basis during the proceedings to classify waters as ORW. At a minimum, no new discharges or expansions of existing discharges shall be permitted, and stormwater controls for all new development activities requiring an Erosion and Sedimentation Control Plan in accordance with rules established by the NC Sedimentation Control Commission or an appropriate local erosion and sedimentation control program shall be required to follow the stormwater provisions as specified in 15A NCAC 2H .1000. Specific stormwater requirements for ORW areas are described in 15A NCAC 2H .1007.
- Saltwater: Water quality conditions shall clearly (2)maintain and protect the outstanding resource values of waters classified ORW. Management strategies to protect resource values shall be developed on a sitespecific basis during the proceedings to classify waters as ORW. At a minimum, new development shall comply with the stormwater provisions as specified in 15A NCAC 2H .1000. Specific stormwater management requirements for saltwater ORWs are described in 15A NCAC 2H .1007. New non-discharge permits shall meet reduced loading rates and increased buffer zones, to be determined on a case-by-case basis. No dredge or fill activities shall be allowed where significant shellfish or submerged aquatic vegetation bed resources occur, except for maintenance dredging, such as that required to maintain access to existing channels and facilities located within the designated areas or maintenance dredging for activities such as agriculture. A public hearing is mandatory for any proposed permits to discharge to waters classified as ORW.

Additional actions to protect resource values shall be considered on a site specific basis during the proceedings to classify waters as ORW and shall be specified in Paragraph (e) of this Rule. These actions may include anything within the powers of the commission. The commission shall also consider local actions which have been taken to protect a water body in determining the appropriate state protection options. Descriptions of boundaries of waters classified as ORW are included in Paragraph (e) of this Rule and in the Schedule of Classifications (15A NCAC 2B .0302 through .0317) as specified for the appropriate river basin and shall also be described on maps maintained by the Division of Environmental Management.

(d) Petition Process. Any person may petition the Commission to classify a surface water of the state as an ORW. The petition shall identify the exceptional resource value to be protected, address how the water body meets the general criteria in Paragraph (a) of this Rule, and the suggested actions to protect the resourd values. The Commission may request additional supportin information from the petitioner. The Commission or its designe shall initiate public proceedings to classify waters as ORW or sha inform the petitioner that the waters do not meet the criteria for ORW with an explanation of the basis for this decision. Th petition shall be sent to:

#### Director

#### DEHNR/ DENR/Division of Environmental Management Wate

#### <u>Quality</u> P.O. Box 29535

#### Raleigh, North Carolina 27626-0535

The envelope containing the petition shall clearly bear the notation RULE-MAKING PETITION FOR ORW CLASSIFICATION.

(e) Listing of Waters Classified ORW with Specific Actions Waters classified as ORW with specific actions to protec exceptional resource values are listed as follows:

- (1) Roosevelt Natural Area [White Oak River Basin, Inde Nos. 20-36-9.5-(1) and 20-36-9.5-(2)] including all fres and saline waters within the property boundaries of th natural area shall have only new development whic complies with the low density option in the stormwate rules as specified in 15A NCAC 2H .1005(2)(a) withi 575 feet of the Roosevelt Natural Area (if th development site naturally drains to the Rooseve Natural Area).
- (2) Chattooga River ORW Area (Little Tennessee Rive Basin and Savannah River Drainage Area): th following undesignated waterbodies that are tributary t ORW designated segments shall comply with Paragrap (c) of this Rule in order to protect the designated water as per Rule .0203 of this Section. However, expansion of existing discharges to these segments shall be allowe if there is no increase in pollutant loading:
  - (A) North and South Fowler Creeks,
  - (B) Green and Norton Mill Creeks,
  - (C) Cane Creek,
  - (D) Ammons Branch,
  - (E) Glade Creek. and
  - (F) Associated tributaries.
- (3) Henry Fork ORW Area (Catawba River Basin): th following undesignated waterbodies that are tributary to ORW designated segments shall comply with Paragrap (c) of this Rule in order to protect the designated water as per Rule .0203 of this Section:
  - (A) lvy Creek,
  - (B) Rock Creek, and
  - (C) Associated tributaries.
- (4) South Fork New and New Rivers ORW Area [New River Basin (Index Nos. 10-1-33.5 and 10)]: th following management strategies, in addition to th discharge requirements specified in Subparagraph (c)(1 of this Rule, shall be applied to protect the designated ORW areas:
  - (A) Stormwater controls described in Subparagraph
     (c)(1) of this Rule shall apply within one mile and draining to the designated ORW areas:

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- (B) New or expanded NPDES permitted wastewater discharges located upstream of the designated ORW shall be permitted such that the following water quality standards are maintained in the ORW segment:
  - (i) the total volume of treated wastewater for all upstream discharges combined shall not exceed 50 percent of the total instream flow in the designated ORW under 7Q10 conditions;
  - (ii) a safety factor shall be applied to any chemical allocation such that the effluent limitation for a specific chemical constituent shall be the more stringent of either the limitation allocated under design conditions (pursuant to 15A NCAC 2B .0206) for the normal standard at the point of discharge, or the limitation allocated under design conditions for one-half the normal standard at the upstream border of the ORW segment;
  - (iii) a safety factor shall be applied to any discharge of complex wastewater (those containing or potentially containing toxicants) to protect for chronic toxicity in the ORW segment by setting the whole effluent toxicity limitation at the higher (more stringent) percentage effluent determined under design conditions (pursuant to 15A NCAC 2B .0206) for either the instream effluent concentration at the point of discharge or twice the effluent concentration calculated as if the discharge were at the upstream border of the ORW segment;
- (C) New or expanded NPDES permitted wastewater discharges located upstream of the designated ORW shall comply with the following:
  - (i) Oxygen Consuming Wastes: Effluent limitations shall be as follows: BOD = 5 mg/1, and NH3-N = 2 mg/1;
  - (ii) Total Suspended Solids: Discharges of total suspended solids (TSS) shall be limited to effluent concentrations of 10 mg/l for trout waters and to 20 mg/l for all other waters;
  - (iii) Emergency Requirements: Failsafe treatment designs shall be employed, including stand-by power capability for entire treatment works, dual train design for all treatment components, or equivalent failsafe treatment designs;
  - (iv) Nutrients: Where nutrient overenrichment is projected to be a concern, appropriate effluent limitations shall be set for phosphorus or nitrogen, or both.
- (5) Old Field Creek (New River Basin): the undesignated portion of Old Field Creek (from its source to Call

Creek) shall comply with Paragraph (c) of this Rule in order to protect the designated waters as per Rule .0203 of this Section.

- (6) In the following designated waterbodies, no additional restrictions shall be placed on new or expanded marinas. The only new or expanded NPDES permitted discharges that shall be allowed shall be non-domestic, non-process industrial discharges. The Alligator River Area (Pasquotank River Basin) extending from the source of the Alligator River to the U.S. Highway 64 bridge including New Lake Fork, North West Fork Alligator River, Juniper Creek, Southwest Fork Alligator River, Scouts Bay, Gum Neck Creek, Georgia Bay, Winn Bay, Stumpy Creek Bay, Stumpy Creek, Swann Creek (Swann Creek Lake), Whipping Creek (Whipping Creek Lake), Grapevine Bay, Rattlesnake Bay, The Straits, The Frying Pan, Coopers Creek, Babbitt Bay, Goose Creek, Milltail Creek, Boat Bay, Sandy Ridge Gut (Sawyer Lake) and Second Creek, but excluding the Intracoastal Waterway (Pungo River-Alligator River Canal) and all other tributary streams and canals.
- (7) In the following designated waterbodies, the only type of new or expanded marina that shall be allowed shall be those marinas located in upland basin areas, or those with less than 30 10 slips, having no boats over 21 feet in length and no boats with heads. The only new or expanded NPDES permitted discharges that shall be allowed shall be non-domestic, non-process industrial discharges.
  - (A) The Northeast Swanquarter Bay Area including all waters northeast of a line from a point at Lat. 35° 23' 51" and Long. 76° 21' 02" thence southeast along the Swanquarter National Wildlife Refuge hunting closure boundary (as defined by the 1935 Presidential Proclamation) to Drum Point.
  - (B) The Neuse-Southeast Pamlico Sound Area (Southeast Pamlico Sound Section of the Southeast Pamlico, Core and Back Sound Area): (Neuse River Basin) including all waters within an area defined by a line extending from the southern shore of Ocracoke Inlet northwest to the Tar-Pamlico River and Neuse River basin boundary, then southwest to Ship Point.
  - (C) The Core Sound Section of the Southeast Pamlico, Core and Back Sound Area (White Oak River Basin), including all waters of Core Sound and its tributaries, but excluding Nelson Bay, Little Port Branch and Atlantic Harbor at its mouth, and those tributaries of Jarrett Bay that are closed to shellfishing.
  - (D) The Western Bogue Sound Section of the Western Bogue Sound and Bear Island Area (White Oak River Basin) including all waters within an area defined by a line from Bogue Inlet to the mainland at SR 1117 to a line across Bogue Sound from the southwest side of Gales Creek to Rock Point, including Taylor Bay and the

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Intracoastal Waterway.

- (E) The Stump Sound Area (Cape Fear River Basin) including all waters of Stump Sound and Alligator Bay from marker Number 17 to the western end of Permuda Island, but excluding Rogers Bay, the Kings Creek Restricted Area and Mill Creek.
- (F) The Topsail Sound and Middle Sound Area (Cape Fear River Basin) including all estuarine waters from New Topsail Inlet to Mason Inlet, including the Intracoastal Waterway and Howe Creek, but excluding Pages Creek and Futch Creek.
- (8) In the following designated waterbodies, no new or expanded NPDES permitted discharges and only new or expanded marinas with less than 30 10 slips, having no boats over 21 feet in length and no boats with heads shall be allowed.
  - (A) The Swanquarter Bay and Juniper Bay Area (Tar-Pamlico River Basin) including all waters within a line beginning at Juniper Bay Point and running south and then west below Great Island, then northwest to Shell Point and including Shell Bay, Swanquarter and Juniper Bays and their tributaries, but excluding all waters northeast of a line from a point at Lat. 35° 23°51" and Long. 76° 21° 02" thence southeast along the Swanquarter National Wildlife Refuge hunting closure boundary (as defined by the 1935 Presidential Proclamation) to Drum Point and also excluding the Blowout Canal, Hydeland Canal, Juniper Canal and Quarter Canal.
  - (B) The Back Sound Section of the Southeast Pamlico, Core and Back Sound Area (White Oak River Basin) including that area of Back Sound extending from Core Sound west along Shackleford Banks, then north to the western most point of Middle Marshes and along the northwest shore of Middle Marshes (to include all of Middle Marshes), then west to Rush Point on Harker's Island, and along the southern shore of Harker's Island back to Core Sound.
  - (C) The Bear Island Section of the Western Bogue Sound and Bear Island Area (White Oak River Basin) including all waters within an area defined by a line from the western most point on Bear Island to the northeast mouth of Goose Creek on the mainland, east to the southwest mouth of Queen Creek, then south to green marker No. 49, then northeast to the northern most point on Huggins Island, then southeast along the shoreline of Huggins Island to the southeastern most point of Huggins Island, then south to the northeastern most point on Dudley Island, then southwest along the shoreline of Dudley Island to the eastern tip of Bear Island.
  - (D) The Masonboro Sound Area (Cape Fear River

Basin) including all waters between the Barrie Islands and the mainland from Carolina Beach Inlet to Masonboro Inlet.

- (9) Black and South Rivers ORW Area (Cape Fear Rive Basin) [Index Nos. 18-68-(0.5), 18-68-(3.5) 18-68-(11.5), 18-68-12-(0.5), 18-68-12-(11.5), and 18-68-2]: the following management strategies, it addition to the discharge requirements specified it Subparagraph (c)(1) of this Rule, shall be applied to protect the designated ORW areas:
  - (A) Stormwater controls described in Subparagraph
     (c)(1) of this Rule shall apply within one mile and draining to the designated ORW areas;
  - (B) New or expanded NPDES permitted wastewate discharges located one mile upstream of the stream segments designated ORW (upstream or the designated mainstem and upstream into direc tributaries to the designated mainstem) shal comply with the following discharge restrictions
    - (i) Oxygen Consuming Wastes: Effluen limitations shall be as follows: BOD = : mg/l and NH3-N = 2 mg/l;
    - (ii) Total Suspended Solids: Discharges o total suspended solids (TSS) shall be limited to effluent concentrations of 20 mg/l;
    - (iii) Emergency Requirements: Failsaf treatment designs shall be employed including stand-by power capability fo entire treatment works, dual train design for all treatment components, or equivalen failsafe treatment designs;
    - (iv) Nutrients: Where nutrient overenrichmen is projected to be a concern, appropriat effluent limitations shall be set fo phosphorus or nitrogen, or both.
    - (v) Toxic substances: In cases where complet discharges (those containing or potentially containing toxicants) may be currently present in the discharge, a safety facto shall be applied to any chemical or whole effluent toxicity allocation. The limit for a specific chemical constituent shall be allocated at one-half of the normal standard at design conditions. Whole effluent toxicity shall be allocated to protect for chronic toxicity at an effluent concentration equal to twice that which i acceptable under flow design criteri (pursuant to 15A NCAC 2B.0206).

Authority G.S. 143-214.1.

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**Notice** is hereby given in accordance with G.S. 150B-21.2 the the NC Marine Fisheries intends to adopt the rules cited a 5A NCAC 31.0120; 3J.0110, .0305; 3L.0206; 3M.0515-.0516; O.0111, .0401-.0406, amend the rules cited as 15A NCAC 3H 103; 31.0101, .0105-.0106, .0114; 3J.0103-.0104, .0301-.0302; K.0101, .0105-.0106, .0202, .0502; 3L.0201; 3M.0202, .0301, 1501, .0503-.0504, .0507, .0511, .0513; 3O.0101-.0110, .0201, 1301-.0303; and repeal the rules cited as 15A NCAC 3H.0101; O.0304-.0310. Notice of Rule-making Proceedings was ublished in the Register on January 15, 1999.

#### roposed Effective Date: August 1, 2000

**ublic Hearings** will be conducted at 7:00 p.m. October 4, 1999 the Beaufort Community College, Washington, NC; October 12, 999 at the UNCW Science Building, Wilmington, NC; October 9, 1999 at the College of Albemarle, Elizabeth City, NC.

**Leason for Proposed Action:** The Fisheries Reform Act of 1997 nd its amendments (House Bill 1448) requires a complete review nd in most cases, a rewrite of the Marine Fisheries laws. These evisions include separate of gear and limits for commercial cense holders and recreational commercial gear license holders.

**comment Procedures:** Comments, both written and oral, may e submitted at the three scheduled public hearings. Written omments are encouraged and may be submitted to the MFC, uanita Gaskill, PO Box 769, Morehead City, NC 28557. These ritten and oral comments must be received no later than lovember 1, 1999. Oral presentation lengths may be limited, epending on the number of people that wish to speak at the public earings.

#### Fiscal Impact

tate Local

Sub. None

#### **CHAPTER 3 - MARINE FISHERIES**

SUBCHAPTER 3H - IDENTIFICATION AND DELEGATION OF AUTHORITY

#### **SECTION .0100 - GENERAL**

#### 101 IDENTIFICATION INFORMATION

uthority G.S. 113-134.

#### 103 PROCLAMATION AUTHORITY OF FISHERIES DIRECTOR

(a) The following specific functions have been delegated by the ecretary to the Fisheries Director:

- (1) The authority to return confiscated property when satisfied that the owner of such property had no knowledge of the use of the property for illegal purposes pursuant to G.S. 113-137;
- (2) The authority to select license agents pursuant to G.S: 113-151.1;
- (3) The authority to suspend or revoke all licenses pursuant

to G.S. 113-166;

- (4) The authority to grant, renew or terminate shellfish leases pursuant to G.S. 113-202, 113-202.1 and 113-202.2;
- (5) The authority to settle claims of ownership of estuarine bottoms pursuant to G.S. 113-206; and
- (6) The authority to issue Scientific Collecting Permits pursuant to G.S. 113-261.

(a)(b) The proclamation authority granted to the Fisheries Director by the Marine Fisheries Commission within this Chapter includes the authority to close as well as open seasons and areas, to establish conditions governing various activities, and to reduce or increase the size and harvest limits from those stated in rule when specifically authorized. It is unlawful to violate the provisions of any proclamation issued by the authority of Marine Fisheries Commission Rule.

(b) Unless specific variable conditions are set forth in a rule granting proclamation authority to the Fisheries Director, variable conditions triggering the use of the Fisheries Director's proclamation authority may include any of the following: compliance with changes mandated by the Fisheries Reform Act and its amendments, biological impacts, environmental conditions, compliance with Fishery Management Plans, user conflicts, bycatch issues and variable spatial distributions.

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.

#### **SUBCHAPTER 3I - GENERAL RULES**

#### **SECTION .0100 - GENERAL RULES**

#### .0101 DEFINITIONS

(a) All definitions set out in G.S. 113, Subchapter IV apply to this Chapter.

- (b) The following additional terms are hereby defined:
  - Commercial Fishing <u>Equipment</u> or <u>Gear</u>. Equipment: All fishing equipment used in coastal fishing waters except:
    - (A) Seines less than  $30 \pm 2$  feet in length;
    - (B) Collapsible crab traps, a trap used for taking crabs with the largest open dimension no larger than 18 inches and that by design is collapsed at all times when in the water, except when it is being retrieved from or lowered to the bottom:
    - (C) Spears; Spears, <u>Hawaiian slings or similar</u> <u>devices which propel pointed implements by</u> <u>mechanical means, including elastic tubing or</u> <u>bands, pressurized gas or similar means;</u>
    - (D) (C) A dip net having a handle not more than eight feet in length and a hoop or frame to which the net is attached not exceeding 60 inches along the perimeter;
    - (E) (D) Hook-and-line and bait-and-line equipment other than multiple-hook or multiple-bait trotline;
    - (F) (E) A landing net used to assist in taking fish when the initial and primary method of taking is by the use of hook and line; and

- (G) (F) Cast <u>Nets</u>; and <del>Nets</del>.
  - (H) Gigs or other pointed implements which are propelled by hand, whether or not the implement remains in the hand.
- (2) Fixed or stationary net. A net anchored or staked to the bottom, or some structure attached to the bottom, at both ends of the net.
- (3) Mesh Length. The diagonal distance from the inside of one knot to the outside of the other knot, when the net is stretched hand-tight.
- (4) Possess. Any actual or constructive holding whether under claim of ownership or not.
- (5) Transport. Ship, carry, or cause to be carried or moved by public or private carrier by land, sea, or air.
- (6) Use. Employ, set, operate, or permit to be operated or employed.
- (7) Purse Gill Nets. Any gill net used to encircle fish when the net is closed by the use of a purse line through rings located along the top or bottom line or elsewhere on such net.
- (8) Gill Net. A net set vertically in the water to capture fish by entanglement by the gills in its mesh as a result of net design, construction, mesh size, webbing diameter or method in which it is used.
- (9) Seine. A net set vertically in the water and pulled by hand or power to capture fish by encirclement and confining fish within itself or against another net, the shore or hank as a result of net design, construction. mesh size, webbing diameter, or method in which it is used.
- (10) Internal Coastal Waters or Internal Waters. All coastal fishing waters except the Atlantic Ocean.
- (11) Channel Net. A net used to take shrimp which is anchored or attached to the bottom at both ends or with one end anchored or attached to the bottom and the other end attached to a boat.
- (12) Dredge. A device towed by engine power consisting of a frame, tooth bar or smooth bar, and catchbag used in the harvest of oysters, clams, crabs, scallops, or conchs.
- (13) Mechanical methods for clamming. Includes, but not limited to, dredges, hydraulic clam dredges, stick rakes and other rakes when towed by engine power, patent tongs, kicking with propellers or deflector plates with or without trawls, and any other method that utilizes mechanical means to harvest clams.
- (14) Mechanical methods for oystering. Includes, but not limited to, dredges, patent tongs, stick rakes and other rakes when towed by engine power and any other method that utilizes mechanical means to harvest oysters.
- (15) Depuration. Purification or the removal of adulteration from live oysters, clams, and mussels by any natural or artificially controlled means.
- (16) Peeler Crab. A blue crab that has a soft shell developing under a hard shell and having a definite pink, white, or red line or rim on the outer edge of the back fin or

flipper.

- (17) Length of finfish.
  - (A) Total length is determined by measuring along a straight line the distance from the tip of the snout with the mouth closed to the tip of the compressed caudal (tail) fin.
  - (B) Fork length is determined by measuring along a straight line the distance from the tip of the snout with the mouth closed to the middle of the fork in the caudal (tail) fin.
  - (C) Fork length for billfish is measured from the tip of the lower jaw to the middle of the fork of the caudal (tail) fin.
- (18) Licensee. Any person holding a valid license from the Department to take or deal in marine fisheries resources.
- (19) Aquaculture operation. An operation that produces artificially propagated stocks of marine or estuarine resources or obtains such stocks from authorized sources for the purpose of rearing in a controlled environment. A controlled environment provides and maintains throughout the rearing process one or more of the following: predator protection, food, water circulation, salinity, or temperature controls utilizing proven technology not found in the natural environment.
- (20) Critical habitat areas. The fragile estuarine and marine areas that support juvenile and adult populations of economically important seafood species, as well as forage species important in the food chain. Critical habitats include nursery areas, beds of submerged aquatic vegetation, shellfish producing areas, anadromous fish spawning and anadromous fish nursery areas, in all coastal fishing waters as determined through marine and estuarine survey sampling. Critical habitats are vital for portions, or the entire life cycle, including the early growth and development of important seafood species.
  - (A) Beds of submerged aquatic vegetation are those habitats in public trust and estuarine waters vegetated with one or more species of submerged vegetation such as eelgrass (Zostera marina), shoalgrass (Halodule wrightii) and widgeongrass (Ruppia maritima). These vegetation beds occur in both subtidal and intertidal zones and may occur in isolated patches or cover extensive areas. In either case, the bed is defined by the presence of above-ground leaves or the below-ground rhizomes and propagules together with the sediment on which the plants grow. In defining beds of submerged aquatic vegetation. the Marine Fisheries Commission recognizes the Aquatic Weed Control Act of 1991 (G.S. 113A-220 et. seq.) and does not intend the submerged aquatic vegetation definition and its implementing rules to apply to or conflict with/ the non-development control activities authorized by that Act.

- (B) Shellfish producing habitats are those areas in which economically important shellfish, such as, but not limited to clams, oysters, scallops, mussels, and whelks, whether historically or currently, reproduce and survive because of such favorable conditions as bottom type, salinity, currents, cover, and cultch. Included are those shellfish producing areas closed to shellfish harvest due to pollution.
- (C) Anadromous fish spawning areas are defined as those areas where evidence of spawning of anadromous fish has been documented by direct observation of spawning, capture of running ripe females, or capture of eggs or early larvae.
- (D) Anadromous fish nursery areas are defined as those areas in the riverine and estuarine systems utilized by post-larval and later juvenile anadromous fish.
- (21) Intertidal Oyster Bed. A formation, regardless of size or shape. formed of shell and live oysters of varying density.
- (22) North Carolina Trip Ticket. Multiple-part form provided by the Department to fish dealers who are required to record and report transactions on such forms.
- (23) Transaction. Act of doing business such that fish are sold, offered for sale, exchanged, bartered, distributed or landed. The point of landing shall be considered a transaction when the fisherman is the fish dealer.
- (24) Live rock. Living marine organisms or an assemblage thereof attached to a hard substrate including dead coral or rock (excluding mollusk shells). For example, such living marine organisms associated with hard bottoms, banks, reefs, and live rock may include, but are not limited to:
  - (A) Animals:
    - (i) Sponges (Phylum Porifera);
    - (ii) Hard and Soft Corâls, Sea Anemones (Phylum Cnidaria):
      - (I) Fire corals (Class Hydrozoa);
      - (II) Gorgonians, whip corals, sea pansies, anemones, Solenastrea (Class Anthozoa);
    - (iii) Bryozoans (Phylum Bryozoa);
    - (iv) Tube Worms (Phylum Annelida):
      - (I) Fan worms (Sabellidae);
      - (II) Feather duster and Christmas tree worms (Serpulidae);
      - (III) Sand castle worms (Sabellaridae).
    - (v) Mussel banks (Phylum Mollusca:Gastropoda);
    - (vi) Colonial barnacles (Arthropoda: Crustacea: Megabalanus sp.).
  - (B) Plants:
    - (i) Coralline algae (Division Rhodophyta);
    - (ii) Acetabularia sp., Udotea sp., Halimeda sp., Caulerpa sp. (Division Chlorophyta):
    - (iii) Sargassum sp., Dictyopteris sp., Zonaria

- (25) Coral:
  - (A) Fire corals and hydrocorals (Class Hydrozoa);
  - (B) Stony corals and black corals (Class Anthozoa, Subclass Scleractinia);
  - (C) Octocorals: Gorgonian corals (Class Anthozoa, Subclass Octocorallia):
    - (i) Sea fans (Gorgonia sp.);
    - (ii) Sea whips (Leptogorgia sp. and Lophogorgia sp.);
    - (iii) Sea pansies (Renilla sp.).

(26) Shellfish production on leases and franchises:

- (A) The culture of oysters, clams, scallops, and mussels, on shellfish leases and franchises from a sublegal harvest size to a marketable size.
  - (B) The transplanting (relay) of oysters, clams, scallops and mussels from designated areas closed due to pollution to shellfish leases and franchises in open waters and the natural cleansing of those shellfish.
- (27) Shellfish marketing from leases and franchises. The harvest of oysters, clams, scallops, mussels, from privately held shellfish bottoms and lawful sale of those shellfish to the public at large or to a licensed shellfish dealer.
- (28) Shellfish planting effort on leases and franchises. The process of obtaining authorized cultch materials, seed shellfish, and polluted shellfish stocks and the placement of those materials on privately held shellfish bottoms for increased shellfish production.
- (29) Pound Net. A fish trap consisting of a holding pen, one or more enclosures, and a lead or leaders. The lead(s), enclosures, and holding pen are not conical, nor are they supported by hoops or frames.
- (30) Educational Institution. A college, university or community college accredited by a regional accrediting institution.
- (31) Long Haul Operations. A seine towed between two boats.
- (32) Swipe Net Operations. A seine towed by one boat.
- (33) Bunt Net. The last encircling net of a long haul or swipe net operation constructed of small mesh webbing. The bunt net is used to form a pen or pound from which the catch is dipped or bailed.
- (34) Responsible party. Person who coordinates, supervises or otherwise directs operations of a business entity, such as a corporate officer or executive level supervisor of business operations and the person responsible for use of the issued license in compliance with applicable laws and regulations.
- (35) New fish dealer. Any fish dealer making application for a fish dealer license who did not possess a valid dealer license for the previous license year in that name or ocean pier license in that name on June 30, 1999. For purposes of license issuance, adding new categories to an existing fish dealers license does not constitute a new dealer.

sp. (Division Phaeophyta).

- (36) Tournament Organizer. The person who coordinates. supervises or otherwise directs a recreational fishing tournament and is the holder of the Recreational Fishing Tournament License.
- (37) Holder. A person who has been lawfully issued in their name a license, permit, franchise, lease, or assignment.
- (38) <u>Recreational Purpose</u>. <u>A fishing activity has a</u> recreational purpose if it is not a commercial fishing operation as defined in G.S. 113-168.
- (39) <u>Recreational Possession Limit. Includes, but is not</u> <u>limited to, restrictions on size, quantity, season, time</u> <u>period, area, means, and methods where take or</u> <u>possession is for a recreational purpose.</u>
- (40) Attended. Being in a vessel, in the water or on the shore immediately adjacent to the gear and immediately available to work the gear and within 100 yards of any gear in use by that person at all times. Attended does not include being in a building or structure.
- (41) Commercial Quota. Total quantity of fish allocated for harvest taken by commercial fishing operations.
- (42) Recreational Quota. Total quantity of fish allocated for harvest taken for a recreational purpose.
- (43) Office of the Division. Physical locations of the Division conducting license transactions in the cities of Wilmington, Washington, Morehead City, Columbia, Wanchese and Elizabeth City, North Carolina. Other husinesses or entities designated by the Secretary to issue Recreational Commercial Gear Licenses are not considered Offices of the Division.
- (44) Land:
  - (A) For purposes of trip tickets, when fish reach a licensed seafood dealer, or where the fisherman is the dealer, when the fish reaches the shore or a structure connected to the shore.
  - (B) For commercial fishing operations, when fish reach the shore or a structure connected to the shore.
  - (C) For recreational fishing operations, when fish are retained in possession by the fisherman.
- (45) Master. Captain of a vessel or one who commands and has control, authority, or power over a vessel.
- (46) <u>Regular Closed Oyster Season. The regular closed</u> oyster season occurs from May 15 through October 15, unless amended by the Fisheries Director through proclamation authority.
- (47) <u>Assignment. Temporary transferral to another person of</u> privileges under a license for which assignment is permitted. The person assigning the license delegates the privileges permitted under the license to be exercised by the assignee, but retains the power to revoke the assignment at any time, is still the responsible party for the license.
- (48) Transfer. Permanent transferral to another person of privileges under a license for which transfer is permitted. The person transferring the license retains no rights or interest under the license transferred.

Authority G.S. 113-134: 143B-289.52.

#### .0105 LEAVING DEVICES UNATTENDED

(a) It is unlawful to leave stakes, anchors, nets, buoys, or floating devices in any coastal fishing waters when such devices are not being employed in fishing operations except as otherwise provided by rule or General Statute.

(b) It is unlawful to leave pots in any coastal fishing waters for more than <u>seven</u>  $\pm 0$  consecutive days, when such pots are not being employed in fishing operations, except upon a timely and sufficient showing of hardship as defined in Subparagraph (b)(2) of this Rule or as otherwise provided by General Statute.

- (1) Agents of the Fisheries Director may tag pots with a device approved by the Fisheries Director to aid and assist in the investigation and identification of unattended pots. Any such device attached to a pot by agents of the Fisheries Director must be removed by the individual utilizing the pot within seven 10 days of attachment in order to demonstrate that the pot is being employed in fishing operations.
- (2)For the purposes of Paragraph (b) of this Rule only, a timely and sufficient showing of hardship in a commercial fishing operation shall be written notice given to the Fisheries Director that a mechanical breakdown of the owner's vessel(s) currently registered with the Division of Marine Fisheries licensed under G.S. <u>113-168.6</u>, <del>113-152</del>, or the death. illness or incapacity of the owner of the pot or his immediate family prevented or will prevent employing such pots in fishing operations more than seven  $+\theta$  consecutive days. The notice, specifying the time needed because of hardship, shall be received by the Fisheries Director before any pot is left in coastal fishing waters for seven 10 consecutive days without being employed in fishing operations, and shall state, in addition to the following, the number and specific location of the pots, and the date on which the pots will be employed in fishing operations or removed from coastal fishing waters:
  - (A) in case of mechanical breakdown, the notice shall state the <u>commercial fishing vessel registration</u> <u>number</u>, owner's N.C. motor boat registration number of the disabled vessel, date disabled, arrangements being made to repair the vessel or a copy of the work order showing the name, address and phone number of the repair facility; or
  - (B) in case of the death, illness or incapacity of the owner of the pot or his immediate family, the notice shafl state the name of the owner or immediate family member, the date of death, the date and nature of the illness or incapacity. The Fisheries Director may require a doctor's verification of the illness or incapacity.
- (3) The Fisheries Director may, by proclamation, modify the seven day requirement, if necessary due to hurricanes, severe weather or other variable conditions.

Failure to employ in fishing operations or remove from coastal fishing waters all pots for which notice of hardship is received under this Rule within 14 days of the expiration of the hardship hall be violation of this Rule.

(c) It is unlawful to set or have any fishing equipment in coastal fishing waters in violation of this Section or which contains edible species of fish unfit for human consumption.

Authority G.S. 113-134; 113-137; 113-182; 143B-289.52.

#### .0106 SCIENTIFIC, EDUCATIONAL, OR OFFICIAL COLLECTING PERMIT

(a) It is unlawful to take any marine or estuarine species for scientific purposes which is out of season or otherwise protected without first securing a scientific collecting permit from the Secretary. If the Secretary determines that the request is for a valid scientific purpose and will not result in a significant adverse effect on fisheries management, the permit may be issued. Such permit will be subject to any restrictions concerning areas, times, and sampling methods as the Secretary deems appropriate.

(b) It is unlawful for persons who have been issued an educational, scientific, or official collecting permit to fail to keep records according to the conditions of the permit. This information shall be submitted to the Division of Marine Fisheries on an annual basis unless otherwise specified on the permit.

Authority G.S. 113-134; 113-169.3; 113-182; 113-261; 143B-289.52.

#### .0114 RECORDKEEPING REQUIREMENTS

(a) It is unlawful for a fish dealer:

- (1) To fail to accurately and legibly complete all mandatory items on the North Carolina trip ticket for each transaction; transaction and submit the trip ticket in accordance with G.S. 113-168.2;
- (2) To fail to provide to the Division a completed no transaction form by the tenth day of the following month when no transactions occurred for a month; month.
- (3) To fail to keep all trip tickets and all supporting documentation for each transaction including receipts, checks, bills of lading, records and accounts for a period of not less than three years.

(b) It is unlawful for a seller licensed under <u>G.S. 113</u>, <u>Article</u> <u>14A</u> <del>G.S. 113-153 or G.S. 113-154.1</del> <u>or donor</u> to fail to provide to the fish dealer, at the time of transaction, the following:

- A current and valid license or permit to sell the type of fish being offered and if a vessel is used, the commercial fishing vessel registration; and A valid endorsement to sell for the fishing operation used to take the fish;
- (2) Complete and accurate information <u>on</u> <del>on, but not</del> limited to, harvest method and area of <u>catch</u> <u>and other</u> <u>information required by the Division. catch</u>.

(c) It is unlawful to transport fish without having ready at hand for inspection a bill of consignment, bill of lading, or other shipping documentation provided by the shipping dealer showing thereon the name of the consignee, name of the shipper, the date of the shipment, and the quantity of each species of fish shipped. In the event the fisherman taking the fish is also a dealer and ships from the point of landing, all shipping records shall be recorded at the point of landing. Fishermen who transport their fish directly to dealers are exempt from this Paragraph of this Rule.

(d) It is unlawful to export fish landed in the State in a commercial fishing operation without a North Carolina licensed fish dealer completing all the record keeping requirements in G.S. 113-168.2(i).

(e) It is unlawful to offer for sale fish purchased from a licensed fish dealer without having ready at hand for inspection written documentation of purchase showing thereon the name of the licensed dealer, name of the purchaser, date of the purchase, and the quantity of each species purchased.

(f) It is unlawful for a holder of a Fish Dealer's License to have in possession at a licensed location fish without written documentation from a licensed fish dealer or a completed North Carolina Marine Fisheries Trip Ticket to show the quantity and origin of all fish.

Authority G.S. 113-134; 113-168.2; 113-168.3; 113-169.3; 113-170; 113-170.3; 113-170.4; 143B-289.52.

#### .0120 POSSESSION OR TRANSPORTATION LIMITS

(a) It is unlawful to possess any species of fish which is subject to size or harvest restrictions, while actively engaged in a fishing operation, unless all fish are in compliance with the restrictions for the waterbody and area being fished.

(b) It is unlawful to import into the state species of fish native to North Carolina for sale in North Carolina that do not meet established size limits, except as provided in 15A NCAC 3K .0202 (c) and 3K .0305.

Authority G.S. 113-134; 113-170; 113-170.4; 113-170.5; 113-182; 143B-289.52.

#### SUBCHAPTER 3J - NETS, POTS, DREDGES, AND OTHER FISHING DEVICES

#### SECTION .0100 - NET RULES, GENERAL

#### .0103 GILL NETS, SEINES, IDENTIFICATION, RESTRICTIONS

(a) It is unlawful to use a gill net with a mesh length less than  $2\frac{1}{2}$  inches.

(b) The Fisheries Director may, by proclamation, limit or prohibit the use of gill nets or seines in coastal waters, or any portion thereof, or impose any or all of the following restrictions on the use of gill nets or seines:

- (1) Specify area.
- (2) Specify season.
- (3) Specify gill net mesh length.
- (4) Specify means/methods.
- (5) Specify net number and length.

(c) It is unlawful to use fixed or stationary gill nets in the

Atlantic Ocean, drift gill nets in the Atlantic Ocean for recreational purposes, or any gill nets in internal waters unless nets are marked by attaching to them at each end two separate yellow buoys which shall be of solid foam or other solid buoyant material no less than five inches in diameter and no less than five inches in length. Gill nets which are not connected together at the top line shall be considered as individual nets, requiring two buoys at the end of each individual net. Gill nets connected together at the top line shall be considered as a continuous net requiring two buoys at each end of the continuous net. Any other marking buoys on gill nets used for recreational purposes shall be yellow except one additional buoy, any shade of hot pink in color, constructed as specified in Paragraph (c) of this Rule, shall be added at each end of each individual net. Any other marking buoys on gill nets used in commercial fishing operations shall be yellow except that one additional identification buoy of any color or any combination of colors, except any shade of hot pink, may be used at either or both ends. Any other marking buoys on gill nets shall be yellow except that one additional identification buoy of any color or any combination of colors may be used at either or both ends. The owner shall always be identified on a buoy on each end either by using engraved buoys or by attaching engraved metal or plastic tags to the buoys. Such identification shall include owner's last name and initials and if a vessel is used, one of the following:

- (1) Owner's N.C. motor boat registration number, or
- (2) Owner's U.S. vessel documentation name. name, or
- (3) Owner's last name and initials.
- (d) It is unlawful to use gill nets:
  - (1) Within 200 yards of any pound net with lead and pound or heart in use;
  - (2) From March 1 through October 31 in the Intracoastal Waterway within 150 yards of any railroad or highway bridge.

(e) It is unlawful to use gill nets within 100 feet either side of the center line of the Intracoastal Waterway Channel south of Quick Flasher No. 54 in Alligator River at the southern entrance to the Intracoastal Waterway to the South Carolina line, unless such net is used in accordance with the following conditions:

- (1) No more than two gill nets per boat may be used at any one time;
- (2) Any net used must be attended by the fisherman from a boat who shall at no time be more than 100 yards from either net; and
- (3) Any individual setting such nets shall remove them, when necessary, in sufficient time to permit unrestricted boat navigation.

(f) It is unlawful to use drift gill nets in violation of 15A NCAC 3J .0101(2) and Paragraph (d) (e) of this Rule.

(g) It is unlawful to use gill nets from May 1 through October 31 with a mesh length of less than five inches in internal coastal waters (including joint waters) unless attended. In order to be considered attended, the fishermen must be within 100 yards of any net employed by that fisherman.

Authority G.S. 113-134; 113-173; 113-182; 113-221; 143B-289.52.

#### .0104 TRAWL NETS

(a) It is unlawful to use trawl nets for the taking of finfish in internal waters, except that it shall be permissible to take or possess finfish incidental to crab or shrimp trawling in accordance with the following limitations:

- (1) It is unlawful to possess aboard a vessel while using a trawl in internal waters more than 500 pounds of finfish from December 1 through February 28 and 1,000 pounds of finfish from March 1 through November 30.
- (2) The Fisheries Director may, by proclamation, close any area to trawling for specific time periods in order to secure compliance of this Rule.
- (b) It is unlawful to use trawl nets:
- (1) In internal coastal waters, between one hour after sunset Friday and one hour before sunset on Sunday;
- (2) For the taking of oysters;
- (3) In Albemarle Sound and its tributaries;
- (4) In the areas described in 15A NCAC 3R .0106;
- (5) From December 1 through February 28 from one hour after sunset to one hour before sunrise in the following areas:
  - (A) In Pungo River north of a line beginning at a point on Wades Point 35° 23′ 17″ N 76° 34′ 30″ W; running 060° (M) to a point on Currituck Point 35° 24′ 35″ N 76° 32′ 19″ W.
  - (B) In Pamlico River west of a line beginning at a point on the south shore near Fulford Point 35° 19′ 52″ N 76° 35′ 56″ W; running 026° (M) through Flashing Red Marker "1" to a point on Wades Point 35° 23′ 17″ N 76° 34′ 30″ W.
  - (C) In Bay River west of a line beginning at a point on Maw Point 35° 09' 02" N - 76° 32' 10" W; running 021° (M) through Flashing Green Marker "1" to a point on Bay Point 35° 11' 01" N - 76° 31' 35" W.
  - (D) In Neuse River west of a line beginning at a point off Cherry Point 34° 56′ 17″ N 76° 48′ 37″ W; running 020° (M) through Flashing Red Marker "9" to a point off Wilkinson Point 34° 57′ 58″ N 76° 48′ 22″ W.
  - (E) In New River all waters upstream of the N.C. Highway 172 Bridge.

(c) Minimum mesh sizes for shrimp and crab trawls are presented in 15A NCAC 3L .0103 and .0202.

(d) The Fisheries Director may, with prior consent of the Marine Fisheries Commission, by proclamation, require hycatch reduction devices or codend modifications in trawl nets to reduce the catch of finfish that do not meet size finits or are unmarketable as individual foodfish by reason of size.

(e) It is unlawful to use trawl nets for recreational purposes unless each net is marked by attaching to the cod end (tailbag), one floating buoy, any shade of hot pink in color, which shall be of solid foam or other solid buoyant material no less than five inches in diameter and no less than five inches in length. The owner shall always be identified on the buoy by using an engraved buoy or by attaching engraved metal or plastic tags to the buoy. Such identification shall include owner's last name and initials and if a vessel is used, one of the following:

- (1) Owner's N.C. motor boat registration number, or
- (2) Owner's U.S. vessel documentation name.

(f) It is unlawful to use shrimp trawls for the taking of blue crabs in internal waters, except that it shall be permissible to take or possess blue crabs incidental to shrimp trawling in accordance with the following limitations:

- (1) For individuals using shrimp trawls authorized by a Recreational Commercial Gear License, 50 blue crabs, not to exceed 100 blue crabs if two or more Recreational Commercial Gear License holders are on board.
- (2) For commercial operations, crabs may be taken incidental to lawful shrimp trawl operations provided that the weight of the crabs shall not exceed:
  - (A) 50 percent of the total weight of the combined crab and shrimp catch; or
  - (B) <u>300 pounds, whichever is greater.</u>
- (3) <u>The Fisheries Director may, by proclamation, close any</u> <u>area to trawling for specific time periods in order to</u> <u>secure compliance of this Rule.</u>

Authority G.S. 113-134; 113-173; 113-182;113-221; 143B-289.52.

#### .0110 SEINES

It is unlawful to use seines greater than 30 feet in length for recreational purposes unless the net is marked by attaching to the orkline one floating buoy, any shade of hot pink in color, which shall be of solid foam or other solid buoyant material no less than five inches in diameter and no less than five inches in length. The owner shall always be identified on the buoy using engraved buoys or by attaching engraved metal or plastic tags to the buoy. Such identification shall include owner's last name and initials and if a vessel is used, one of the following:

- (1) Owner's N.C. motor boat registration number, or
- (2) <u>Owner's U.S. vessel documentation name.</u>

Authority G.S. 113-134; 113-173; 113-182; 143B-289.52.

#### SECTION .0300 - POTS, DREDGES, AND OTHER FISHING DEVICES

#### .0301 POTS

(a) It is unlawful to use pots except during time periods and in areas specified herein:

- (1) From November 1 through April 30, except that all pots, except fish pots upstream of U.S. 17 Bridge across Chowan River and upstream of a line across the mouth of Roanoke, Cashie, Middle and Eastmost Rivers to the Highway 258 Bridge, shall be removed from internal waters from January 24 through February 7. The Fisheries Director may, by proclamation, reopen various waters to the use of pots after January 28 if it is determined that such waters are free of pots.
- (2) From May 1 through October 31, north and east of the Highway 58 Bridge at Emerald Isle:

- (A) In areas described in 15A NCAC 3R .0107(a):
- (B) To allow for the variable spatial distribution of crustacea and finfish, the Fisheries Director may, by proclamation, specify time periods for or designate the areas described in 15A NCAC 3R .0107(b); or any part thereof, for the use of pots.
- (3) From May 1 through October 31 in the Atlantic Ocean and west and south of the Highway 58 Bridge at Emerald Isle in areas and during time periods designated by the Fisheries Director by proclamation.
- (4) Pots attached to the shore or pier may be used year round except during the closed period specified in Subparagraph (a)(1) of this Rule.

(b) ft is unlawful to use pots:

- (1) in any navigation channel maintained and marked by State or Federal agencies; or
- (2) in any turning basin maintained and marked by the North Carolina Ferry Division.

(c) It is unlawful to use pots in a commercial fishing operation unless each pot is marked by attaching a floating buoy which shall be of solid foam or other solid buoyant material and no less than five inches in diameter and no less than five inches in length. Buoys may be of any color except yellow; yellow and hot pink. The owner shall always be identified on the attached buoy by using engraved buoys or by engraved metal or plastic tags attached to the buoy. Such identification shall include one of the following:

- (1) owner's N.C. motorboat registration number; or
- (2) owner's U.S. vessel documentation name; or
- (3) owner's last name and initials.

(d) Pots attached to shore or a pier shall be exempt from Subparagraphs (a)(2) and (a)(3) (a)(2), (a)(3), and (c) of this Rule.

(e) It is unlawful to use shrimp pots with mesh lengths smaller than one and one-fourth inches stretch or five-eights inch bar.

(f) It is unlawful to use eel pots with mesh sizes smaller than one inch by one-half inch unless such pots contain an escape panel that is at least four inches square with a mesh size of  $1" \times \frac{1}{2}"$ located in the outside panel of the upper chamber of rectangular pots and in the rear portion of cylindrical pots, except that not more than two eel pots per fishing operation with a mesh of any size may be used to take eels for bait.

(g) It is unlawful to use crab pots in coastal waters unless each pot contains no less than two unobstructed escape rings that are at least 2 5/16 inches inside diameter and located in the opposite outside panels of the upper chamber of the pot. Peeler pots with a mesh size less than  $1\frac{1}{2}$  inches shall be exempt from the escape ring requirement. The Fisheries Director may, by proclamation, exempt the escape ring requirement in order to allow the harvest of peeler crabs or mature female crabs and may impose any or all of the following restrictions:

- (1) Specify areas, and
- (2) Specify time.

(h) It is unlawful to use more than 150 pots per vessel in Newport River.

(i) It is unlawful to remove crab pots from the water or remove crabs from crab pots between one hour after sunset and one hour before sunrise.

(j) User Conflicts:

- (1) The Fisheries Director may, with the prior consent of the Marine Fisheries Commission, by proclamation close any area to the use of pots in order to resolve user conflict. The Fisheries Director shall hold a public meeting in the affected area before issuance of such proclamation.
- (2) Any person(s) desiring to close any area to the use of pots may make such request in writing addressed to the Director of the Division of Marine Fisheries. Such requests shall contain the following information;
  - (A) A map of the proposed closed area including an inset vicinity map showing the location of the proposed closed area with detail sufficient to permit on-site identification and location:
  - (B) Identification of the user conflicts causing a need for closing the area to the use of pots;
  - (C) <u>Recommended</u> <u>method</u> <u>for</u> <u>resolving</u> <u>user</u> <u>conflicts;</u> <u>and</u>
  - (D) Name and address of the person(s) requesting the closed area.
- (3) Person(s) making the requests to close an area will be required to present their request at the public meeting.
- (4) <u>The Fisheries Director shall deny the request or submit</u> <u>a proposed proclamation granting the request to the</u> <u>Marine Fisheries Commission for their approval.</u>

(k) It is unlawful to use pots to take crabs unless the line connecting the pot to the buoy is non-floating.

Authority G. S. 113-134; 113-173; 113-182; 113-221; 143B-289.52.

#### .0302 RECREATIONAL USE OF POTS

(a) It is unlawful to use pots for recreational purposes unless each pot is marked by attaching one floating buoy, any shade of hot pink in color, which shall be of solid foam or other solid buoyant material no less than five inches in diameter and no less than five inches in length. The owner shall always be identified on the buoy using engraved buoys or by attaching engraved metal or plastic tags to the buoy. Such identification shall include the owner's last name and initials and if a vessel is used, one of the following:

- (1) <u>Owner's N.C. motor boat registration number</u>, or
- (2) Owner's U.S. vessel documentation name.

(b) It is unlawful for a person to use more than one crab pot attached to the shore along privately owned land or to a privately owned pier without possessing a valid Recreational Commercial Gear License.

(a) Pots may be attached to shore or a pier any time of year with the exception of the closed clean-up-period specified in 15A NCAC-3J.0301(a)(1):

(b) Pots attached to shore or a pier are not required to meet the buoy and identification requirements specified in 15A-NCAC-3J :0301(c), but must meet the minimum mesh length and escape ring requirements specified in 15A-NCAC-3J :0301(e), (f) and (g):

(c) The license requirements of GS-113-152 apply if a vessel is used in connection with the use of pots.

(d) All provisions of 15A NCAC 3J:0301 apply if pots are not attached to shore or a pier.

Authority G.S. 113-134; 113-173; 113-182; 143B-289.52.

#### .0305 TROTLINES (MULTIPLE HOOK OR MULTIPLE BAIT)

It is unlawful to use multiple hook or multiple bait trotlines for recreational purposes unless such trotlines are marked by attaching to them at each end one floating buoy, any shade of hot pink in color, which shall be of solid foam or other solid buoyant material no less than five inches in diameter and no less than five inches in length. The owner shall always be identified on the buoy by using an engraved buoy or by attaching engraved metal or plastic tags to the buoy. Such identification shall include owner's last name and initials and if a vessel is used, one of the following:

(1) Owner's N.C. motor boat registration number, or

(2) <u>Owner's U.S. vessel documentation name.</u>

Authority G.S. 113-134; 113-173; 113-182; 143B-289.52.

#### SUBCHAPTER 3K - OYSTERS, CLAMS, SCALLOPS AND MUSSELS

#### SECTION .0100 - SHELLFISH, GENERAL

#### .0101 PROHIBITED SHELLFISH AREAS/ACTIVITIES

(a) It is unlawful to possess, sell, or take oysters, clams or mussels from areas which have been designated as prohibited (polluted) hy proclamation by the Fisheries Director except as provided in 15A NCAC 3K .0103, .0104, and .0401. The Fisheries Director shall issue such proclamations upon notice by the Division of Environmental Health that duly adopted criteria for approved shellfish harvest areas have not been met. The Fisheries Director may reopen any such closed area upon notification from the Division of Environmental Health that duly adopted criteria for approved shellfish harvest areas have been met. Copies of these proclamations and maps of these areas are available upon request at the Division of Marine Fisheries, 3441 Arendell St., Morehead City, NC 28557; (919) 726-7021.

(b) The Fisheries Director may, by proclamation, close areas to the taking of oysters, clams, scallops and mussels in order to protect the shellfish populations for management purposes or for public health purposes not specified in Paragraph (a) of this Rule.

(c) It is unlawful to possess or sell oysters, clams, or mussels taken from polluted waters outside North Carolina.

(d) It is unlawful to possess or sell oysters, clams, or mussels taken from the waters of North Carolina except as provided in <u>G.S.</u> <u>113-169.2(i)</u> <u>3K .0105 (a) (1) and (a) (2)</u> without a harvest tag affixed to each container of oysters, clams or mussels. Harvest tags shall be affixed by the harvester and shall meet the following criteria:

(1) Tags shall be identified as harvest tags. They shall be durable for at least 90 days, water resistant, and a minimum of two and five-eighths inches by five and one-fourth inches in size.

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- (2) Tags shall be securely fastened to the outside of each container in which shellstock is transported. Bulk shipments in one container and from the same source may have one tag with all required information attached. Harvesters who are also certified shellfish dealers may use only their dealers tag if it contains the required information. The required information shall be included on all lots of shellfish subdivided or combined into market grades or market quantities by a harvester or a certified shellfish dealer.
- (3) Tags shall contain legible information arranged in the specific order as follows:
  - (A) The harvester's name, address and <u>shellfish</u> <u>license or standard commercial fishing license</u> <del>oyster, clam and scallop license</del> <u>shellfish</u> <u>endorsement</u> number.
  - (B) The date of harvest.
  - (C) The most precise description of the harvest location as is practicable (e.g., Long Bay, Rose Bay) that can be easily located by maps and charts.
  - (D) Type and quantity of shellfish.
  - (E) The following statement will appear in bold, capitalized type: "THIS TAG IS REQUIRED TO BE ATTACHED UNTIL CONTAINER IS EMPTY AND THEREAFTER KEPT ON FILE FOR 90 DAYS".

uthority G.S. 113-134; 113-168.5; 113-169.2; 113-182; 113-221; 143B-289.52.

#### .0105 HARVEST OF CRABS AND SHELLFISH

(a) It is unlawful for individuals claiming exemption from crab license required by GS 113-153.1 or the shellfish license required by GS 113-154, by reason of non-commercial use, to take more than:

- (1) One bushel of oysters per person per day, not to exceed two bushels per vessel per day;
- (2) One hundred clams per person per day, not to exceed two-hundred clams per vessel per day;
- (3) One-half-bushel of scallops per person per day; not to exceed one bushel per vessel per day;

(4) (a) It is unlawful for individuals who harvest blue crabs for a recreational purpose to possess more than Fifty 50 blue crabs per person per day not to exceed 100 blue crabs per vessel per day; day.

- (5) Ten conchs per person per day not to exceed twenty conchs per vessel per day;
- (6) One hundred mussels per person per day not to exceed two hundred mussels per vessel per day.

(b) It is unlawful to take crabs or shellfish by mechanical means without having first procured an individual crab license or shellfish license and a vessel license. A vessel license, as required by GS 13-152 for the use of other commercial fishing equipment as aefined in 15A NCAC 3I .0001(b)(1) is not required for the non-commercial harvest of shellfish in accordance with limits in Paragraph (a) of this Rule: (b) It is unlawful to exceed the daily vessel limits specified in G.S. 113-169.2 without each person having ready at hand a valid shellfish license.

(c) It is unlawful to take oysters or clams on Sundays and scallops on Saturdays and Sundays except:

- (1) during open seasons, and
- (2) in accordance with limits outlined in <u>G.S. 113-169.2</u>. Paragraph (a) of this Rule with or without license:

(d) Unlicensed individuals taking crabs pursuant to GS 113-153.1(d) or taking shellfish pursuant to GS 113-154 (c1) shall be exempt from the limits established in Paragraphs (a) and (b) of this Rule.

Authority G.S. 113-134; 113-169.2; 113-182; 143B-289.52.

#### .0106 TAKING OR UNLOADING OYSTERS AND CLAMS ON SUNDAY OR AT NIGHT

(a) It is unlawful to take oysters or clams on Sunday, except in accordance with 15A NCAC 3K <u>.0105</u> .0105(c); or between the hours of sunset and sunrise on any day.

(b) It is unlawful to unload oysters or clams from any vessel or remove any vessel containing oysters or clams from the water on Sunday or between sunset and sunrise on any day except that in New Hanover, Pender and Brunswick Counties, oysters and clams may be unloaded until two hours after sunset. Oysters and clams taken on Sunday under the provisions of 15A NCAC 3K .0105 are exempt from the Sunday unloading prohibition.

Authority G.S. 113-134; 113-182; 143B-289.52.

#### SECTION .0200 - OYSTERS

#### .0202 SIZE LIMIT AND CULLING TOLERANCE

(a) It is unlawful to possess oysters which have accumulated dead shell, accumulated oyster cultch material, a shell length less than that specified by proclamation, or any combination thereof that exceeds a 10 percent tolerance limit by volume. In determining whether the tolerance limit is exceeded, the Fisheries Director and his agents may grade all, or any portion, or any combination of portions of the entire quantity being graded, and in cases of violations, may seize and return to public bottom or otherwise dispose of the oysters as authorized by law.

(b) All oysters shall be culled by the catcher where harvested and all oysters of less than legal size, accumulated dead shell and cultch material, shall be immediately returned to the bottom from which taken.

(c) This Rule shall not apply to oysters imported from out-of-state solely for shucking by dealers holding a valid license for dealing in oysters and are currently permitted at shucking houses which are currently certified for shucking and packing by the Division of Environmental Health Health, Shellfish Sanitation Section, and which hold a valid dealer shucker-packer license.

Authority G.S. 113-134; 113-182; 143B-289.52.

#### **SECTION .0500 - SCALLOPS**

September 15, 1999

#### .0502 TAKING BAY SCALLOPS AT NIGHT AND ON WEEKENDS

It is unlawful to take bay scallops between sunset and sunrise, or on Saturdays or Sundays, except as provided in 15A NCAC 3K .0105. :0105(c):

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.

#### SUBCHAPTER 3L - SHRIMP, CRABS, AND LOBSTER

#### SECTION .0200 - CRABS

#### .0201 SIZE LIMIT AND CULLING TOLERANCE

(a) It is unlawful to possess blue crabs less than five inches from tip of spike to tip of spike except mature females, <u>soft</u>, soft and peeler <u>crabs</u> <u>crabs</u>: <u>and from March 1 through October 31</u>, <u>male crabs to be used as peeler bait</u>. A tolerance of not more than 10 percent by number in any container shall be allowed.

(b) All crabs less than legal size, except mature female and soft crabs shall be immediately returned to the waters from which taken. Peeler crabs shall be separated <u>where taken</u> from the entire catch and placed in a separate <u>container</u>, container before reaching shore or dock. Those peeler crabs not separated before reaching shore or dock shall be deemed hard crabs and are not exempt from the size restrictions specified in Paragraph (a) of this Rule.

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.

#### .0206 PEELER CRABS

(a) It is unlawful to bait peeler pots, except with male blue crabs. Male blue crabs to be used as peeler bait and less than the legal size must be kept in a separate container, and may not be landed or sold.

(b) It is unlawful to possess male white line peelers from June 1 through September 1.

Authority G.S. 113-134; 113-182; 143B-289.52.

#### SUBCHAPTER 3M - FINFISH

#### SECTION .0200 - STRIPED BASS

#### .0301 SPANISH AND KING MACKEREL

(a) The Fisheries Director may, by proclamation, impose any or all of the following restrictions on the taking of Spanish or king mackerel:

- (1) Specify areas.
- (2) Specify seasons.
- (3) Specify commercial quantity.
- (4) Specify means/methods.
- (5) Specify size for fish taken by commercial fishing operations.

(b) King mackerel and Spanish mackerel taken for recreational purposes or by hook and line:

(1) It is unlawful to possess king mackerel less than 20

inches fork length.

- (2) It is unlawful to possess more than three king mackerel per person per day.
- (3) It is unlawful to possess Spanish mackerel less than 12 inches fork length.
- (4) It is unlawful to possess more than 10 Spanish mackerel per person per day.

(c) King mackerel and Spanish mackerel taken by commercial fishing operations, exclusive of hook and line:

- (1) It is unlawful to possess king mackerel less than 20 inches fork length.
- (2) It is unlawful to possess Spanish mackerel less than 12 inches fork length.

(a) King mackerel:

- (1) It is unlawful to possess king mackerel less than 20 inches fork length.
- (2) It is unlawful to possess more than three king mackerel per person per day taken by hook-and-line.

(b) (d) Spanish mackerel:

- (1) It is unlawful to possess Spanish mackerel less than 12 inches fork length.
- (2) It is unlawful to possess more than 10 Spanish mackerel per person per day taken by hook-and-line.

(d)(1) Charter vessels with <u>Persons in possession of</u> a valid National Marine Fisheries Service Federal Coastal Migratory Pelagic (Mackerel) Permit must comply with the mackerel creel <del>limits <u>restrictions</u> established in <u>Paragraph Paragraphs (a) and (b)</u> of this Rule when fishing with more than three persons (including the captain and mate) on board.</del>

(e) It is unlawful to possess aboard or land from a vessel, or combination of vessels that form a single operation, more than 3,500 pounds of Spanish or king mackerel, in the aggregate, in any one day. The Fisheries Director may, by proclamation, impose any or all of the following restrictions on the taking of Spanish or king mackerel:

- (1) Specify areas.
- (2) Specify seasons.
- (3) Specify commercial quantity:
- (4) Specify means/methods.
- (5) Specify-size for fish taken-by-commercial fishing equipment.

(g) It is unlawful to possess aboard or land from a vessel, or combination of vessels that form a single operation, more than 3,500 pounds of Spanish or king mackerel, in the aggregate, in any one day.

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.

#### SECTION .0500 - OTHER FINFISH

.0501 RED DRUM

NORTH CAROLINA REGISTER

(a) The Fisheries Director, may by proclamation, impose any or all of the following restrictions on the taking of red drum:

- (1) Specify areas.
- (2) Specify seasons.
- (3) Specify quantity for fish taken by commercial gear.
- (4) Specify means/methods.
- (5) Specify size for fish taken by commercial gear.
- (b) It is unlawful to possess red drum greater than 27 inches total length.

(c)(b) It is unlawful to remove red drum from any type of net with the aid of any boat hook, gaff, spear, gig, or similar device. (d)(c) It is unlawful to possess red drum less than 18 inches total tength. length or greater than 27 inches total length.

(c)(d) It is unlawful to possess more than one red drum per person per day taken by hook-and-line or for recreational purposes. of which no more than one may be larger than 27 inches total length.

(e)(f) It is unlawful to possess more than 100 pounds of red drum per vessel per day taken in a commercial fishing operation. by commercial fishing equipment.

(f)(g) The annual commercial quota (January through December) for red drum is 250,000 pounds. If the quota is projected to be taken, the Fisheries Director shall, by proclamation, prohibit possession of red drum taken in a by commercial fishing operation. equipment:

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.

# 0503 FLOUNDER

(a) It is unlawful to possess flounder:

- (1) Less than 13 inches total length taken from internal waters:
- (2) Less than 14 inches total length taken from the Atlantic Ocean <u>in a</u> with commercial fishing <u>operation</u>; equipment or by hook-and-line or gig if claiming the exemption specified in Paragraph (f) of this Rule;
- (3) Less than 15 inches total length taken from the Atlantic Ocean <u>for recreational purposes</u>. by hook-and-line or gig:

(b) From October 1 through April 30, it shall be unlawful to use a trawl in the Atlantic Ocean within three miles of the ocean beach from the North Carolina/Virginia state line  $(35^{\circ} 33' \text{ N})$  to Cape Lookout  $(34^{\circ} 36' \text{ N})$  unless each trawl has a mesh length of 5 ½ inches or larger diamond mesh (stretched) or 6 inches or larger square mesh (stretched) applied throughout the body, extension(s) and the cod end (tailbag) of the net except as provided in Paragraphs (h) and (i) of this Rule.

(c) License to Land Flounder from the Atlantic Ocean:

- (1) It is unlawful to land more than 100 pounds per trip of flounder taken from the Atlantic Ocean unless the <u>owner</u> of the vessel or in the case of Land or Sell Licenses, the responsible party, vessel has been issued a License to Land Flounder from the Atlantic Ocean and the vessel in use is the vessel specified on the License to Land Flounder from the Atlantic Ocean.
- (2) It is unlawful for a fish dealer to purchase or offload more than 100 pounds of flounder taken from the

Atlantic Ocean by a vessel <u>whose owner, or in the case</u> of <u>Land or Sell Licenses</u>, the responsible party, that has not first procured a valid North Carolina License to Land Flounder from the Atlantic <u>Ocean and the vessel</u> in use is the vessel specified on the License to <u>Land</u> <u>Flounder from the Atlantic Ocean</u>.

- (3) It is unlawful for any person to land flounder from the Atlantic Ocean under a License to Land Flounder from the Atlantic Ocean unless that person is the holder of the license or the master designated on the license.
- (3) To qualify for a North Carolina License to Land Flounder from the Atlantic Ocean, a vessel shall have:
  - (A) been G. S. 113-152 or 113-153 during any two of the 1992-93, 1993-94, or 1994-95-1994-95; 1995-96, or 1996-97 license years, and
  - (B) landed in North Carolina at least 1,000 pounds of flounder each year from the Atlantic Ocean during any two of the 1992-93, 1993-94, or 1994-95 1994-95, 1995-96, or 1996-97 license years for which the vessel was licensed to land in North Carolina.
- (4) At least 10 days prior to issuance, applicants for the ticense shall complete an application form provided by the Division of Marine Fisheries and submit it to the North Carolina Division of Marine Fisheries, Post Office Box 769, 3441 Arendell Street, Morehead City, North Carolina 28557. The following information is required:
  - (A) Valid documentation papers or current motor boat registration or copy thereof;
  - (B) Proof of required licenses and flounder landings data for that vessel during the years the vessel was licensed.

Licenses shall be issued to qualifying vessels at no fee and only from the Morehead City Office of the Division of Marine Fisheries.

- (5) Licenses may only be transferred:
  - (A) with the transfer of the ownership of a vessel holding a License to Land Flounder from the Atlantic Ocean to the new owner of that vessel; or
  - (B) by the owner of a vessel to another vessel under the same ownership. The vessel owner is only eligible for the same number of Licenses to Land Flounder from the Atlantic Ocean for which his boats qualify;
- (6) Any transfer of license under this Paragraph must be facilitated through the Division of Marine Fisheries Morehead City Office only.
- (4)(7) It is unlawful for any individual to land flounder from the Atlantic Ocean without having ready at hand for inspection a valid License to Land Flounder from the Atlantic Ocean, except as specified in Subparagraph (c)(1) of this Rule.
  - (8) Suspension or Revocation:
    - (A) A License to Land Flounder from the Atlantic Ocean issued under this Rule shall be subject to

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suspension or revocation pursuant to the provisions of 15A NCAC-3P, except that this license shall be subject to revocation pursuant to the provisions of G.S. 113-171 113-166 when the licensee is convicted of a criminal offense within the jurisdiction of the Department under the provisions of Subchapter IV of G.S. 113, or of the rules-of the Marine Fisheries Commission adopted under the authority of that Subchapter.

- (B) The Division may commence proceedings under 15A NCAC 3P, for suspension or revocation of a License to Land Flounder from the Atlantic Ocean if it finds:
  - the license was obtained by providing any false information or willfully omitting required information when the information is material to the securing of the license; or
  - (ii) the license-was falsified, fraudulently altered, or counterfeited; or
  - (iii) the licensee practices any fraud or deception designed to evade the provisions of this Rule or reasonable administrative directives made under the authority of this Rule or G.S.:113-182(b)(3).

(d) It is unlawful to transfer flounder taken from the Atlantic Ocean from one vessel to another.

(e) It is unlawful to possess more than eight flounder per person per day taken <u>for recreational purposes</u> by hook-and-line or gig from the Atlantic Ocean.

(f) Persons fishing from a vessel with a valid vessel endorsement to sell or persons fishing but not from a vessel who hold a valid nonvessel endorsement to sell are exempt from the possession limit in Paragraphs (a) (3) and (e) of this Rule:

(f)(g) Tailbag liners of any mesh size, the multiple use of two or more cod ends, or other netting material that in any way could restrict the legal size mesh required by this Rule, shall not be used or possessed on the deck of a vessel in the Atlantic Ocean from October 1 through April 30 from the North Carolina/Virginia state line  $(36^{\circ} 33' \text{ N})$  to Cape Lookout  $(34^{\circ} 36' \text{ N})$ .

(g)(h) Trawls with a cod end mesh size smaller than described in Paragraph (h) of this Rule may be used or possessed on the deck of a vessel provided not more than 100 pounds of flounder per trip from May 1 through October 31 or more than 200 pounds from November 1 through April 30 is possessed aboard or landed from that vessel.

(h)(i) Flynets are exempt from the flounder trawl mesh requirements if they meet the following definition:

- (1) The net has large mesh in the wings that measure 8 inches to 64 inches;
- (2) The first body section (belly) of the net has 35 or more meshes that are at least 8 inches; and
- (3) The mesh decreases in size throughout the body of the net to as small as 2 inches or smaller towards the terminus of the net.

(i)(j) Commercial Season.

- (1) The North Carolina season for landing ocean-caught flounder shall open January 1 each year. If 70 percent of the quota allocated to North Carolina in accordance with the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries Commission Fishery Management Plan for Summer Flounder is projected to be taken, the Fisheries Director shall, by proclamation, close North Carolina ports to landing of flounder taken from the ocean.
- (2) The season for landing flounder taken in the Atlantic Ocean shall reopen November 1 if any of the quota allocated to North Carolina in accordance with the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries Commission Fishery Management Plan for Summer Flounder remains. If after reopening, 100 percent of the quota allocated to North Carolina in accordance with the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries Commission Fishery Management Plan for Summer Flounder is projected to be taken prior to the end of the calendar year, the Fisheries Director shall, by proclamation, close North Carolina ports to landing of flounder taken from the ocean.
- (3) During any closed season prior to November 1, vessels may land up to 100 pounds of flounder per trip taken from the Atlantic Ocean.

(j)(k) The Fisheries Director may, by proclamation, establish trip limits for the taking of flounder from the Atlantic Ocean to assure that the individual state quota allocated to North Carolina in the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries Commission Fishery Management Plan for Summer Flounder is not exceeded.

Authority G.S. 113-134; 113-169.5; 113-182; 113-221; 143B-289.52.

## .0504 TROUT

(a) Spotted seatrout (speckled trout).

- (1) It is unlawful to possess spotted seatrout less than 12 inches total length.
- (2) It is unlawful to possess more than 10 spotted seatrout per person per day taken by hook-and-line: hook-and-line or for recreational purposes.
- (b) Weakfish (gray trout).
  - The Fisheries Director may, by proclamation, impose any or all of the following restrictions on the taking of weakfish by commercial gear: fishing operations:
    - (A) Specify areas.
    - (B) Specify seasons.
    - (C) Specify quantity.
    - (D) Specify means/methods.
    - (E) Specify size, but the minimum size shall not be greater than 12 inches total length.
  - (2) The Fisheries Director may, by proclamation, in order to comply with or utilize conservation equivalency to comply with the Atlantic States Marine Eisheries

Commission Weakfish Management Plan, impose any or all of the following restrictions on the taking of weakfish by hook-and-line: <u>hook-and-line</u> or for recreational purposes:

- (A) Specify quantity.
- (B) Specify size.

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.

## .0507 BILLFISH

(a) Blue marlin: <u>Marlin: Taken for recreational purposes or by</u> hook and line:

- (1) It is unlawful to possess blue marlin less than  $96 \ \underline{99}$  inches in length from the lower jaw to the fork in the tail.
- (2) It is unlawful to possess white marlin less than 66 inches in length from the lower jaw to the fork in the tail.
- (3) It is unlawful to possess more than one blue or white marlin in the aggregate per vessel per trip.
- (4) <u>It is unlawful to sell or offer for sale blue or white</u> marlin.
- (2) It is unlawful to possess more than one blue marlin per person per day.
- (b) White marlin:
- (1) It is unlawful to possess white marlin less than 66 inches in length from the lower jaw to the fork in the tail.
- (2) It is unlawful to possess more than one white marlin per person per day:
- (c) Sailfish:

(b) Sailfish: Taken for recreational purposes or by hook and line:

- (1) It is unlawful to possess sailfish less than <u>63</u> 57 inches in length from the lower jaw to the fork in the tail.
- (2) It is unlawful to possess more than one sailfish per person per day.
- (3) It is unlawful to sell or offer for sale sailfish.
- (d) Cobia:
- (1) It is unlawful to possess cobia less than 33 inches fork length taken by hook-and-line.
- (2) It is unlawful to possess more than two cobia per person per day taken by hook-and-line.
- (e) Dolphin:
- (1) It is unlawful to possess more than 10 dolphin per person per day.
- (2) Exemptions:
  - (A) Charter vessels with a valid-National Marine Fisheries Service Charter Vessel Coastal Migratory Pelagic Permit and licensed by the U.S. Coast Guard to carry six or less passengers for hire, may possess a maximum of 60 dolphin per day regardless of the number of people on board:
  - (B) Vessels with a valid commercial National Marine Fisheries Service Federal Coastal Migratory Pelagic Permit including charterboats when

fishing with three or less persons (including captain and mate) on board are exempt from the ereel limits set out in Subparagraph (e)(1) of this Rule.

Authority G.S. 113-134; 113-182; 143B-289.52.

# .0511 BLUEFISH

In order to comply with or <u>utilize conservation equivalency to</u> <u>comply with</u> the management requirements incorporated in the Fishery Management Plan for Bluefish developed cooperatively by the Mid-Atlantic Fishery Management Council and the Atlantic States Marine Fisheries Commission, the Fisheries Director may, by proclamation, take any or all of the following actions for <u>bluefish</u>: in the bluefish commercial fishery:

- (1) Taken by a commercial fishing operation:
  - (1) (a) Specify size;
  - (2) (b) Specify seasons;
  - (3) (c) Specify areas:
  - (4) (d) Specify quantity:
  - (5) (e) Specify means/methods; and
  - (6) (f) Require submission of statistical and biological data.
- (2) Taken for recreational purposes:

(b) In order to comply with or utilize conservation equivalency to comply with the management requirements incorporated in the Fishery Management Plan for Bluefish developed cooperatively by the Mid-Atlantic Fisheries Management Council and the Atlantic States Marine Fisheries Commission, the Fisheries Director may, by proclamation, take any or all of the following actions in the bluefish hook-and-line fishery:

(1) (a) Specify size;

(2) (b) Specify quantity.

Authority G.S. 113-134; 113-182; 113-221;143B-289.52.

## .0513 RIVER HERRING AND SHAD

(a) Until the adoption of a fishery management plan for river herring (Blueback Herring, Alewife) or shad (American Shad, Hickory Shad) by the North Carolina Marine Fisheries Commission, it is unlawful to take blueback herring, alewife; American shad and hickory shad by any method from April 15 through January 1.

(b) Upon adoption of and in order to comply with the management requirements incorporated in the Fishery Management Plan(s) for River Herring (Blueback Herring, Alewife) or Shad (American Shad, Hickory Shad) developed by the North Carolina Marine Fisheries Commission, the The Fisheries Director may, by proclamation, based on variability in environmental and local stock conditions, take any or all of the following actions in the blueback herring, alewife, American shad and hickory shad fisheries:

- (1) Specify size;
- (2) Specify season;
- (3) Specify area;
- (4) Specify quantity;
- (5) Specify means/methods; and

(6) Require submission of statistical and biological data.

(b) The annual commercial quota (calendar year) for river herring in the Albemarle Sound Herring Management Area shall be 450,000 pounds to be allocated as follows:

- (1) <u>300.000 pounds to the pound net fishery for the Chowan</u> <u>River Herring Management Area:</u>
- (2) <u>100,000 pounds to the Albemarle Sound Herring</u> <u>Management Area gill net fishery; and</u>
- (3) <u>50,000 pounds to be allocated at the discretion of the</u> <u>Fisheries Director.</u>

(c) For the purpose of this Rule, the Albemarle Sound Herring Management Area is defined as Albemarle Sound and all its joint water tributaries; Currituck Sound; Roanoke and Croatan sounds and all their joint water tributaries, including Oregon Inlet, north of a line from Roanoke Marshes Point 35° 48' 12" N - 75° 43' 06" W, running 122° (M) across to the north point of Eagles Nest Bay 35° 44' 12" N - 75° 31' 09" W.

(d) For the purpose of this Rule, the Chowan River Herring Management Area is defined as that area northwest of a line from Black Walnut Point  $36^{\circ}$  00' 00" N =  $76^{\circ}$  41' 00" W; running 040° (M) to Reedy Point  $36^{\circ}$  02' 12" N =  $76^{\circ}$  39' 20" W, to the North Carolina/Virginia state line; including the Meherrin River.

(e) It is unlawful to take American shad and hickory shad by any method except hook-and-line from April 15 through December 31.

(f)(c) It is unlawful to possess more than 10 American shad or hickory shad, in the aggregate, per person per day taken by hook-and-line hook-and-line or for recreational purposes.

Authority G.S. 113-134; 113-182; 113-221; 143B-289.52.

# .0515 DOLPHIN

It is unlawful to possess more than 10 dolphin per person per day taken by hook and line for recreational purposes except:

- (1) Charter vessels with a valid National Marine Fisheries Service Charter Vessel Coastal Migratory Pelagic Permit and licensed by the U.S. Coast Guard to carry six or less passengers for hire, may possess a maximum of 60 dolphin per day regardless of the number of people on board.
- (2) <u>Vessels with a valid commercial National Marine</u> <u>Fisheries Service Federal Coastal Migratory Pelagic</u> <u>Permit including charterboats when fishing with three or</u> <u>less persons (including captain and mate) on board.</u>

Authority G.S. 113-134; 113-182; 143B-289.52.

# .0516 COBIA

(a) It is unlawful to possess cobia less than 33 inches fork length.

(b) It is unlawful to possess more than two cobia per person per day.

Authority G. S. 113-134; 113-182; 143B-289.52.

# SUBCHAPTER 30 - LICENSES, LEASES, AND

# FRANCHISES

# SECTION .0100 - LICENSES

# .0101 PROCEDURE AND REQUIREMENTS TO OBTAIN LICENSES, ENDORSEMENTS AND COMMERCIAL FISHING VESSEL REGISTRATIONS

(a) To obtain any Marine Fisheries licenses, endorsements, commercial fishing vessel registrations except Recreational Fishing Tournament Licenses to Sell Fish and Land or Sell Licenses, the following information is required for a proper application by the licensee, a responsible party or person holding a power of attorney:

- Full name, physical address, mailing address, date of birth, and signature of the licensee on the application. If the licensee is not appearing before a license agent or a representative of the Division, the licensee's signature on the application must be notarized;
- (2) Picture identification of licensee or responsible party; acceptable forms of picture identification are driver's license, state identification card, military identification card, resident alien card (green card) or passport or if purchased by mail, a copy thereof;
- (3) Certification that the applicant does not have four or more marine or estuarine resource violations during the previous three years;
- (4) Valid documentation papers or current motor boat registration or copy thereof when purchasing a commercial fishing vessel registration. If an application for transfer of documentation is pending, a copy of the pending application and a notarized bill of sale may be submitted;
- (5) Current articles of incorporation and a current list of corporate officers when purchasing a license or commercial fishing vessel registration in a corporate name;
- (6) If a partnership is established by a written partnership agreement, a current copy of such agreement shall be provided when purchasing a license, endorsement or commercial fishing vessel registration in a partnership name;
- (7) For nonresidents, certification of the state of residency;
- (8) In addition to the information required in G.S. 113-169.4, linear length of pier when purchasing an Ocean Fishing Pier License;
- (9) In addition to the information required in G.S. 113-171.1, current aircraft registration and list of operator(s) when purchasing a Spotter Plane License;
- (10) In addition, for fish dealers licenses, the physical address of the established location where business is conducted and, if different, the address where records are kept.

(b) To obtain a License to Land Flounder from the Atlantic Ocean:

(1) To gualify for a License to Land Flounder from the

Atlantic Ocean, the applicant shall:

- (A) have landed in North Carolina at least 1,000 pounds of flounder from a single vessel each year from the Atlantic Ocean during any two of the 1992-93, 1993-94, 1994-95 license years for which the person had a yessel that was licensed to land in North Carolina; and
- (B) have been licensed under G.S. 113-152 or G.S. 113-153 during any two of the 1992-93, 1993-94, or 1994-95 license years; and
- (C) hold a valid Standard or Retired Commercial Fishing License or valid Land or Sell License.
- (2) It is lawful for a person to hold Licenses to Land Flounder from the Atlantic Ocean equal to the number of vessels that he owns that individually met the eligibility requirements of Parts (b)(1)(A) and (b)(1)(B) of this Rule.
- (3) The License to Land Flounder from the Atlantic Ocean is only valid when used on the vessel specified at the time of license issuance.
- (4) At the time of issuance, the applicant for the License to Land Flounder from the Atlantic Ocean shall specify the name of the master of the vessel for each License to Land Flounder from the Atlantic Ocean issued.
- (5) Applicants for a License to Land Flounder from the Atlantic Ocean shall complete an application form provided by the Division of Marine Fisheries and submit it to the Morehead City Office of the Division of Marine Fisheries for processing.
- (6) It is unlawful for the holder of the License to Land Flounder from the Atlantic Ocean to fail to notify the Morehead Office of the Division of Marine Fisheries within five days of change as to the master identified on the license.
- (7) <u>Licenses to Land Flounder from the Atlantic Ocean are</u> issued for the current license year and expire on June <u>30.</u>

(c) To obtain a Recreational Fishing Tournament License to Sell Fish, the following information is required for a proper application:

- (1) Full name, physical address, mailing address, date of birth, signature of the tournament organizer, name of tournament, and dates of tournament on the license application. If the licensee is not appearing before a representative of the Division, the licensee's signature must be notarized on the application.
- (2) Picture identification of tournament organizer; acceptable forms of picture identification are driver's license, state identification card, military identification card, or passport, or if purchased by mail, a copy thereof.
- (3) The tournament organizer must apply with the Division of Marine Fisheries at least 30 days prior to the starting date of the tournament.

(d) To obtain a Land or Sell License, the following information is required for a proper application:

(1) Full name, physical address, mailing address, date of

birth, and signature of the responsible party or master for the vessel on the license application. If the licensee is not appearing before a representative of the Division, the licensee's signature on the application must be notarized on the application:

- (2) Pictured identification of responsible party or master; acceptable forms of picture identification are driver's license, state identification card, military identification card, or passport or if applying by mail, a copy thereof:
- (3) Valid documentation papers or current motor boat registration or copy thereof when purchasing a commercial fishing vessel registration. If an application for transfer of documentation is pending, a copy of the pending application and a notarized hill of sale may be submitted.

Fees will be based on the vessel's homeport as it appears on the U.S. Coast Guard documentation papers or the State in which the vessel is registered.

(e) Proof of residency in North Carolina for:

- (1) <u>Standard Commercial Fishing License or Retired</u> <u>Standard Commercial Fishing License shall be:</u>
  - (A) <u>a notarized certification from the applicant that</u> the applicant is a resident of the State of North Carolina as defined by G.S. 113-130(4); and
  - (B) <u>a notarized certification from the applicant that a</u> <u>North Carolina State Income Tax Return was</u> <u>filed for the previous calendar or tax year as a</u> <u>North Carolina resident; or</u>
  - (C) <u>a notarized certification that the applicant was not</u> required to file a North Carolina State Income Tax Return for the previous calendar or tax year; or
  - (D) military identification, military dependent identification and permanent change of station orders or assignment orders substantiating individual's active duty assignment at a military facility in North Carolina.
- (2) All other types of licenses:
  - (A) North Carolina voter registration card; or
  - (B) Valid North Carolina Driver's License; or
  - (C) Valid North Carolina Certificate of Domicile; or
  - (D) Valid North Carolina Identification Card issued by the North Carolina Division of Motor Vehicles; or
  - (E) Military identification, military dependent identification and permanent change of station orders or assignment orders substantiating individual's active duty assignment at a military facility in North Carolina.

(f) <u>Applications</u> <u>submitted</u> <u>without</u> <u>complete</u> <u>and</u> <u>required</u> <u>information</u> <u>will be deemed incomplete</u> <u>and will not be considered</u> <u>further until resubmitted</u> <u>with all required information</u>.

(g) License holders are required to notify the Division of Marine Fisheries within 30 days of a change of address.

(h) Licenses are available at Offices of the Division or by mail from the Morehead City Office, unless otherwise specified. In addition, Recreational Commercial Gear Licenses are available at Wildlife Service Agents who have been designated as agents of the Department.

# (a) The total number of license agents in the counties which contain or border on coastal fishing waters shall not exceed 43. The license agents authorized for each county as of October 1, 1983, shall be allowed to renew their agency authorization subject to the terms of this Rule. The desired number of license agents for coastal fishing waters counties is three agents for Carteret, Dare, New Hanover and Onslow counties; two agents for Beaufort, Brunswick and Craven counties; and one agent for the remaining counties.

(b) In the selection of license agents, the Secretary shall consider the following requirements:

- (1) Willingness to attend annual training seminars conducted at the Division field offices;
- (2) Demonstrated ability, either through past performance or through present capabilities, to maintain an accurate accounting of licenses and funds received from the sale of licenses; and
- (3) That no two license agents be located within a 10 mile radius.

(c) License agents appointed after October 1, 1983; shall receive a temporary appointment for a six month period. The Secretary shall review the appointment and determine whether the appointment should continue on the same terms applicable to other license agents.

(d) It is unlawful for a Division appointed license agent or any other person who is acting in such agent's behalf to:

- (1) withhold or misappropriate-funds from the sale of licenses;
- (2) falsify records of licenses sold:
- (3) willfully and knowingly assist or allow a person to obtain a license for which he is ineligible;
- (4) willfully issue a backdated license;
- (5) willfully on records or licenses to include false information or omit material information as to:
  - (A) a person's entitlement to a particular license: or
  - (B) the applicability or term of a particular license;
- (6) refuse to return all consigned licenses, or to remit the net value of consigned licenses sold or unaccounted for upon demand from an authorized employee of the Division.

(e) The Secretary may temporarily suspend, revoke, or refuse to renew a person's appointment as a license agent if he or his employees fail in a timely manner to submit required reports, remit monies due the Division. or otherwise comply with qualifications and standards set by the Division of Marine Fisheries. Any appeal of the Secretary's decision to temporarily suspend, revoke or refuse to renew an appointment of a license agent shall be conducted pursuant to the process set out in 15A NCAC 3P.0002.

Authority G.S. 113-134;113-168; 113-168.1; 113-168.2; 113-168.3; 113-168.4; 113-168.5; 113-168.6; 113-169; 113-169.2; 113-169.3; 113-169.4; 113-169.5; 113-171.1; 143B-289.52.

# .0102 RECREATIONAL FISHING TOURNAMENT

# LICENSE TO SELL FISH

(a) It is unlawful for anyone other than the holder of the Recreational Fishing Tournament License to Sell Fish to sell fish taken during a recreational fishing tournament.

(b) Fish to be sold under the Recreational Fishing Tournament License to Sell Fish must be sold only to licensed fish dealers.

(c) It is unlawful for a licensed recreational fishing tournament organizer to fail to accurately and legibly complete and file within 30 days after the last day of the tournament a North Carolina Recreational Fishing Tournament Disposition of Proceeds from the Sale of Fish Form provided by the Division.

- (a) To obtain a vessel license, an endorsement to sell fish or a shellfish and crab license, the following information is required:
  - (1) Full-name, address, date of birth, and signature on license application;
  - (2) Pictured identification of purchaser when purchasing a shellfish and crab license;
  - (3) Valid documentation papers or current motor boat registration or copy thereof when purchasing a vessel license. A hull identification number is required for new boats which have not been issued a motor boat registration number;
  - (4) Overall length of vessel. Any vessel with length involving inches shall be rounded off to the nearest foot. Less than six inches shall be dropped off; six inches or more shall be raised to the next foot.

(b) Buy-boats, run-boats, or any other vessels used in conjunction with commercial fishing operations, except vessels without motors used in connection with other licensed vessels and purse boats used in connection with menhaden mother ships, are required to meet the vessel license requirements of G.S. 113-152.

(c) Licenses for fish spotter planes, menhaden fishing and licensing by mail shall be handled only by the Division of Marine Fisheries, P.O. Box 769, Morehead City, N.C. 28557-0769. All other licenses may be purchased at any of several authorized license agents located throughout the coastal counties and in several inland counties.

(d) A vessel endorsement to sell must be purchased for every licensed vessel used to take fish for sale and the endorsement to sell-on-a specific vessel must be used when fish taken by that vessel are sold.

(e) The non-vessel endorsement to sell fish may only be used to sell fish when a vessel was not used to take such fish except that fish taken by paying passengers on vessels for hire or fish taken in conjunction with a fishing tournament may be sold with the non-vessel endorsement to sell. Fish taken during a sanctioned tournament may only be sold by an authorized tournament agent possessing a non-vessel endorsement to sell. To be eligible for a non-vessel endorsement license, tournaments must register with the Division of Marine Fisheries 30 days prior to the tournament and must designate an authorized tournament selling and reporting agent. Any tournament so registered is a sanctioned tournament within the meaning of this subsection:

(f) Vessel owners from states which have reciprocal land or sell agreements with North Carolina may land fish taken outside North Carolina waters without purchasing a land or sell-license as required by G.S. 113-153. A vessel endorsement to sell fish with an endorsement card at the established fee based on vessel length s required to sell fish in North Carolina.

(g) Vessel owners from states which do not have reciprocal land or sell agreements with North Carolina taking fish only outside North Carolina coastal fishing waters may land those fish with a land or sell license. To sell fish in North Carolina, the vessel owner must also possess an endorsement to sell at the established fee based on the vessel length.

# Authority G.S. 113-134; 113-168.4; 143B-289.52.

# .0103 AUXILIARY VESSELS

(a) Buy hoats, run hoats, purse boats or any other vessels used in conjunction with commercial fishing operations, except vessels without motors used in connection with other vessels with commercial fishing vessel registrations, are required to have a commercial fishing vessel registration.

(b) A person in command of a vessel that is auxiliary to a vessel with a commercial fishing vessel registration with a person aboard who holds a Standard Commercial Fishing License or a Retired Standard Commercial Fishing License engaged in a commercial fishing operation using a pound net, long-haul, beach seine or purse seine is exempt from the provisions of G.S. 113-168.2 (a1).

(a) The following definitions shall apply for the purpose of determining the various dealer licenses required:

- (1) Shucker-packer (oyster, scallop or clam) means a person who shucks, packs, or otherwise processes oysters, scallops or clams and offers such product for general market distribution.
- (2) Shell stock shipper means a person engaged in any other oyster, scallop or clam dealer activity not defined as a shucker-packer.
- (3) Crab-processor-means-a-person-who-cooks, picks, prepares and offers hard crab meat for general market distribution.
- (4) Unprocessed crab dealer means a person engaged in any other crab dealer activity not defined as a crab processor.
- (5) Shrimp processor means a person who peels, deveins, and/or breads shrimp and offers such processed product for general market distribution.
- (6) Unprocessed shrimp dealer means a person who engages in any other shrimp dealer activity not defined in shrimp processor.
- (7) Finfish processor means a person who processes finfish through the stages of heading, gutting, filleting (or similar stage), canning, deboning, and/or grinding, and offers for general wholesale market distribution.
- (8) Unprocessed finfish dealer means a person who engages in any other finfish dealer activity not defined in finfish processor.

(b) Persons in possession of a shucker-packer (oyster, scallop or clam), crab processor, shrimp processor, or finfish processor license may also operate a dehydrating plant for the species group for which the processor license has been secured. Dehydration of any species for which a processor license has not been issued will require an unprocessed finfish dealer license.

(c) Any person dealing in fish not included in the categories of Paragraph (a) and (b) of this Rule must secure the appropriate finfish (unprocessed or processor) dealer's license.

Authority G.S. 113-134; 113-168.1; 113-168.2; 113-168.5; 113-168.6; 113-169; 143B-289.52.

# .0104 COMMERCIAL UNLOADING OF FISH

It is unlawful to unload fish from a vessel in North Carolina engaged in a commercial fishing operation outside state waters without possessing a valid:

- (1) Standard or Retired Commercial Fishing Licenses; or
- (2) <u>a Menhaden License for Nonresidents Without a</u> <u>Standard Commercial Fishing License; or</u>
- (3) <u>a Shellfish License for North Carolina Residents</u> without a Standard Commercial Fishing Licenses; or
- (4) <u>a Land or Sell License.</u>

(a) It is unlawful to transport seafood without having ready at hand for inspection a bill of consignment (bill of lading) provided by the shipping dealer showing thereon the name of the consignee, name of the shipper, the date of the shipment, and the quantity of each product shipped. In the event the fisherman taking the seafood is also a dealer and ships from the point of landing, all records of bills of consignment shall be recorded at the point of landing.

(b) Fishermen who transport their catch to dealers are not required to abide by this Rule.

Authority G.S. 113-168.1; 113-168.2; 113-168.4; 113-169; 113-169.5; 143B-289.52.

# .0105 BAIT DEALERS

Persons dealing in minnows, live shrimp, or both minnows and live shrimp for bait purposes, who are subject to licensing requirements under G.S. <u>113-169.3</u> <del>113-156</del> are required to purchase only the license applicable to finfish dealers. A bona fide dealer in shrimp, licensed under the provisions of G.S. <u>113-169.3</u>, <del>113-156</del>, may, however, deal in minnows as well as live shrimp for bait purposes, as an incident of his operations under his shrimp dealer's license.

Authority G.S. 113-134; 113-169.3; 143B-289.52.

# .0106 DISPLAY OF LICENSES

# (a) It is unlawful:

- (1) For any person to use a vessel required to be registered under the provisions of G.S. 113-168.6 in a commercial fishing operation without a current commercial fishing vessel registration decal mounted on an exterior surface so as to be plainly visible when viewed from the port side;
- (2) To display any commercial fishing vessel registration decal not issued for the vessel displaying it.

(b) It is unlawful to fail to display any fish dealer's licenses required by G.S. 113-169.3 and ocean fishing pier license required

hy G.S. 113-169.4 in prominent public view in each location subject to licensing.

(c) It is unlawful to display any license other than the current licenses for that license year.

It is unlawful to offer for sale fish purchased from a licensed dealer without having ready at hand a receipt or bill of purchase to verify the purchase of said fish.

Authority G.S. 113-168.6; 113-169.3; 113-169.4; 143B-289.52.

# .0107 LOST LICENSE REPLACEMENT

Lost licenses, endorsements, and commercial fishing vessel registration decals may be replaced upon payment of a fee of ten dollars (\$10.00) or a fee equal to the initial cost of the license, endorsement, or commercial fishing vessel registration, whichever is less.

(a) It is unlawful:

- (1) For any person to use a vessel required to be licensed under the provisions of G.S. 113-152 without a current license decal mounted on an exterior surface or in the rigging so as to be plainly visible when viewed from the port side;
- (2) To display any license decal not issued for the vessel displaying it;
- (3) For the person in charge of a vessel to fail to have ready at hand for inspection the current license receipt for the vessel concerned.

(b) It is unlawful to fail to display any dealer's licenses required by G.S. 113-156 and ocean pier license required by G.S. 113-156:1 in prominent public view in each location subject to licensing.

Authority G.S. 113-134; 113-168.1; 113-168.6; 113-169.4; 113-173; 143B-289.52.

# .0108 LICENSE TRANSFERS

(a) Licenses to Land Flounder from the Atlantic Ocean may only be transferred:

- (1) with the transfer of the ownership of a vessel that the licensee owns that individually met the eligibility requirements of 15A NCAC 3O .0101 (b) (1) (A) and (b) (1) (B) to the new owner of that vessel. Transfer of the License to Land Flounder from the Atlantic Ocean transfers all flounder landings from the Atlantic Ocean associated with that vessel; or
- (2) by the owner of a vessel to another vessel under the same ownership.

Any transfer of license under this Paragraph may only be processed through the Division of Marine Fisheries Morehead City Office and no transfer is effective until approved and processed by the Division.

(b) Commercial Fishing Vessel Registration Transfer. When transferring ownership of a vessel bearing a current commercial fishing vessel registration, the new owner will follow the requirements in 15A NCAC 3O .0101 and pay a replacement fee of ten dollars (\$10.00) for a replacement commercial fishing vessel registration. The new owner must submit a form provided by the Division with the signatures of the former licensee and the signature of the new licensee notarized.

(c) Standard or Retired Standard Commercial Fishing License transfers:

- A Standard or Retired Standard Commercial Fishing License may only be transferred if both the transferor and the transferee have no current suspensions or revocations of any Marine Fisheries license privileges.
- (2)License eligibility privileges accruing to any individual, such as historic landings or participation in a fishery, shall be assigned by the Division to a specific Standard or Retired Standard Commercial Fishing License held by that individual. At the time of the transfer of a Standard or Retired Standard Commercial Fishing License, the transferor must indicate the extent of the retainment or transfer of the landings history associated with that Standard or Retired Standard Commercial Fishing License, transferor's license eligibility privileges in a particular fishery, if any. The transferor may retain a landings history residual license eligibility privileges only if the transeror holds an additional Standard or Retired Standard Commercial Fishing License. Transfer of a landings history is all or none.
- (3) To transfer a Standard or Retired Standard Commercial Fishing License, the following information is required:
  - (A) information on the transferee as set out in 15A NCAC 30 .0101;
  - (B) notarization of the current license holder's and the transferee's signatures on a transfer form provided by the Division: and
  - (C) when the transferee is a non-resident, a written certified statement from the applicant listing any violations involving marine and estuarine resources during the previous three years.
  - (D) when the transferor is retiring from commercial fishing, the transferor must submit evidence showing that such retirement has in fact occurred, for example, evidence of the transfer of all licensee's Standard Commercial Fishing Licenses, sale of all the licensee's registered vessels, or discontinuation of any active involvement in commercial fishing.

Properly completed transfer forms must be returned to Division Offices by mail or in person: and

- (4) The Standard or Retired Standard Commercial Fishing License which is being transferred must be surrendered to the Division at the time of the transfer application.
- (5) Fees:
  - (A) Transferee must pay a replacement fee of ten dollars (\$10.00).
  - (B) Transferee must pay the differences in fees as specified in G.S. 113-168.2 (e) or G.S. 113-168.3
    (b) when the transferee who is a non-resident is being transferred a resident Standard or Retired Standard Commercial Fishing License.
  - (C) Transferee must pay the differences in fees as

specified in G.S. 113-168.2 (e) when the license to be transferred is a Retired Standard Commercial Fishing License and the transferee is less than 65 years old.

- (6) Transfer of Standard or Retired Standard Commercial Fishing License for Deceased Licensees:
  - (A) Only when the deceased licensee's immediate surviving family member(s) is eligible to hold the deceased's Standard Commercial Fishing Licenses or Retired Standard Commercial Fishing License, the Administrator/Executor must give written notilication within six months after the Administrator/Executor qualifies under G. S. 28A to the Morehead City Office of the Division of Marine Fisheries of the request to transfer the deceased's license to the estate Administrator/Executor.
  - (B) A transfer to the Administrator/Executor will be made according to the provisions of Subparagraphs (c (2) - (c) (4) of this Rule. The Administrator/Executor must provide a copy of the deceased licensee's death certificate, a copy of the certificate of administration and a list of eligible immediate family members to the Morehead City Office of the Division of Marine Fisheries.
  - (C) The Administrator/Executor may only transfer a license in the Administrator/Executor name on behalf of the estate to a eligible surviving family member. The surviving family member transferee may only transfer the license to a third party purchaser of the deceased licensee's fishing vessel. Transfers will be made according to the provisions of Subparagraphs (c) 2 (c) (4) of this Rule.

(d) Transfer forms submitted without complete and required information will be deemed incomplete and will not be considered further until resubmitted with all required information.

(e) It is unlawful for a person to accept transfer of a Standard or Retired Standard Commercial Fishing License for which they are ineligible.

Authority G.S. 113-134; 113-168.1; 113-168.2; 113-168.3; 113-168.6; 143B-289.52.

# .0109 ASSIGNMENT OF SCFL

(a) The Division of Marine Fisheries will provide assignment forms upon issuance of the Standard Commercial Fishing License. Assignment must be made on the Division assignment forms. On the assignment form, the Standard Commercial Fishing License holder must designate what, if any, endorsements are included in the assignment. Endorsements may not be assigned independent of the Standard Commercial Fishing License. It is unlawful for the tandard Commercial Fishing License holder to fail to submit the completed assignment form to the Morehead City Division Office in person or by mail. An assignment is in effect from the date specified on the assignment form and when:

- (1) assignment form is properly completed;
- (2) signatures of the current license holder and the assignee are notarized; and
- (3) assignee has in their possession the original actual Standard Commercial Fishing License with endorsements of the current license holder.

(b) Assignments terminate when:

- (1) date specified on the assignment form is reached; or
- (2) <u>licensee or assignee are determined ineligible for a</u> <u>license or assignment; or</u>
- (3) <u>Division receives a notarized statement from the current</u> <u>license holder stating a revised date for an earlier</u> <u>assignment termination; or</u>
- (4) upon the licensee or assignee's death; or
- (5) the Standard Commercial Fishing License expires.

If the properly completely assignment form is not received by the Division within five days from the date it was signed, the assignment is null and void. For an extension of time for assignments, a new assignment form must be completed in accordance with Subparagraphs (a)(1) - (3) of this Rule.

(c) It is unlawful for an individual assigned a Standard Commercial Fishing License to fail to have available ready at hand for inspection all required documents as stated under G.S. 113-168.1. The assignee when involved in a commercial fishing operation must have the original actual Standard Commercial Fishing License and any assigned endorsements and a copy of the assignment form in their possession ready for inspection.

(d) All landings occurring during the time of the assignment will be credited to the Standard Commercial Fishing License holder, not the assignee.

(e) It is unlawful to be assigned more than a single Standard Commercial Fishing License at any one time. It is unlawful to assign a Standard Commercial Fishing License to more than one individual at any one time. Assignments can only be made by the person issued the Standard Commercial Fishing License and can not be further assigned by assignees.

(f) It is unlawful for a person to accept assignment of a Standard Commercial Fishing License for which they are ineligible. A nonresident is not eligible for assignment of a resident Standard Commercial Fishing License.

(g) Assignments submitted without complete and required information will be deemed not in effect and will not be considered further until resubmitted with all required information.

(h) It is unlawful for any assignee of a Standard Commercial Fishing License not to return the assignment and the Standard Commercial Fishing License with any assigned endorsements to the assignor of that license within five days of notice that the assignment has been terminated or a demand by the assignor to return the license.

It is unlawful for any licensee to refuse to surrender to an agent of the Secretary all license certificates, license receipts, license decals, and other forms and records relating to the license following personal service of notice of suspension or revocation of licenses in accordance with G.S. 113-166(d). It is unlawful for any person in custody or possession of any such certificate, receipt, decal, form, or record required to be surrendered to refuse to surrender same to an agent of the Secretary making such demand.

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Authority G.S. 113-134; 113-168.1; 113-168.2; 113-168.5; 143B-289.52.

## .0110 LICENSE REFUNDS

<u>All license fees are non-refundable except when licenses are issued by the Division in error.</u>

(a) A currently valid vessel license may be transferred from one vessel to another vessel purchased by the owner upon the surrender of the license receipt and decal from the originally licensed vessel to the Division of Marine Fisheries; Morehead City Office, and purchase of a vessel license for the new vessel at full cost. All other requirements for purchasing a vessel license apply to this transaction.

(b) Upon transfer of ownership of a vessel bearing a current valid vessel license, a vessel license may be transferred from the previous owner to the new owner by completing the ownership transfer section on the gold colored license receipt. Proof that vessel ownership has been transferred to the new owner is required. Proof may include a notarized bill of sale or a vessel registration transfer or documentation transfer.

Authority G.S. 113-134; 113-168.1; 113-173; 143B-289.52; 147-84; S.L. 1993 (Regular Session 1994), c. 576, s. 3.

# .0111 SURRENDER OF LICENSES

(a) It is unlawful for any licensee to refuse to surrender to an agent of the Secretary all licenses, license receipts, endorsements, commercial fishing vessel registration decals, and other forms and records relating to the license following personal service of notice of suspension or revocation of licenses in accordance with G.S. 113-171.

(b) It is unlawful for any person in custody or possession of any such receipt, decals, form or record required to be surrendered to refuse to surrender same to an agent of the Secretary making such demand.

Authority G.S. 113-134; 113-171; 143B-289.52.

# SECTION .0200 - LEASES AND FRANCHISES

# .0201 STANDARDS FOR SHELLFISH BOTTOM AND WATER COLUMN LEASES

(a) All areas of the public bottoms underlying coastal fishing waters shall:

- (1) Meet the following standards in addition to the standards in G.S. 113-202 in order to be deemed suitable for leasing for shellfish purposes:
  - (A) The lease area must not contain a natural shellfish bed which is defined as 10 bushels or more of shellfish per acre.
  - (B) The lease area must not be closer than 100 feet to a developed shoreline. In an area bordered by undeveloped shoreline, no minimum setback is required. When the area to be leased borders the applicant's property or borders the property of riparian owners who have consented in a

notarized statement, the Secretary may reduce the distance from shore required by this Rule.

- (C) Unless the applicant can affirmatively establish a necessity for greater acreage through the management plan that is attached to the application and other evidence submitted to the Secretary, the lease area shall not be fess than one-half acre and shall not exceed:
  - (i) 10 acres for oyster culture;
  - (ii) 5 acres for clam culture; or
  - (iii) 5 acres for any other species.

This Subparagraph shall not be applied to reduce any holdings as of July 1, 1983.

- (2) Produce and market 25 bushels of shellfish per acre per year to meet the minimum commercial production requirement or plant 25 bushels of cultch or seed shellfish per acre per year to meet commercial production hy planting effort. Planting effort shall be considered in lieu of commercial production for five consecutive years beginning March 1, 1994, or for the first five consecutive years for any lease granted after March 1, 1994:
  - (A) Only shellfish planted, produced or marketed according to the definitions in 15A NCAC 31 .0101 (26), (27) and (28) shall be submitted on production/utilization forms for shellfish leases and franchises.
  - (B) If more than one shellfish lease or franchise is used in the production of shellfish, one of the leases or franchises used in the production of the shellfish must be designated as the producing lease or franchise for those shellfish. Each bushel of shellfish may be produced by only one shellfish lease or franchise. Shellfish transplanted between leases or franchises may be credited as planting effort on only one lease or franchise.
  - (C) Production and marketing information and planting effort information are compiled and averaged separately to assess compliance with the standards. The lease or franchise must meet either the production requirement or the planting effort requirement within the dates set forth to be judged in compliance with these standards.
  - (D) In determining production and marketing averages and planting effort averages for information not reported in bushel measurements, the following conversion factors shall be used:
    - (i) 300 oysters, 400 clams, or 400 scallops equal one bushel:
    - (ii) 40 pounds of scallop shell, 60 pounds of oyster shell, 75 pounds of claim shell and 90 pounds of fossil stone equal one bushel.
  - (E) In the event that a portion of an existing lease or franchise is obtained by a new owner, the

production history for the portion obtained shall be a percentage of the originating lease or franchise production equal to the percentage of the area of lease or franchise site obtained to the area of the originating lease or franchise.

(F) All bushel measurements shall be in U.S. Standard Bushels.

(b) Water columns superjacent to leased bottoms shall meet the standards in G.S. 113-202.1 in order to be deemed suitable for leasing for aquaculture purposes.

(c) Water columns superjacent to duly recognized perpetual franchises shall meet the standards in G.S. 113-202.2 in order to be deemed suitable for leasing for aquaculture purposes.

(d) Water column leases must produce and market 100 bushels of shellfish per acre per year to meet the minimum commercial production requirement or plant 100 bushels of cultch or seed shellfish per acre per year as determined by Division biologists to meet commercial production by planting effort. Planting effort shall be considered in lieu of commercial production for five consecutive years beginning March 1, 1994, or for the first five consecutive years for any lease granted after March 1, 1994. The rules for determining production and marketing averages and planting effort averages shall be the same for water column leases as for bottom leases and franchises set forth in Paragraph (a) of this Rule.

Authority G.S. 113-134; 113-201; 113-202; 113-202.1; 113-202.2; 143B-289.4.

# SECTION .0300 - LICENSE APPEAL PROCEDURES

# .0301 ELIGIBILITY FOR RECREATIONAL COMMERCIAL GEAR LICENSES

(a) It is unlawful for any individual to hold more than one Recreational Commercial Gear License.

(b) <u>Recreational Commercial Gear Licenses shall only be issued</u> to individuals.

(a) If the Chairman of the Marine Fisheries Commission and the Director of the Division of Marine Fisheries do not intend to serve as members of the Appeals Panel, they may each name a designee and an alternate designee.

(b) A designee who is unable to attend a meeting of the Appeals Panel shall notify his or her alternate at least 24 hours before the meeting.

(c) The Chair of the Appeals Panel (Chair) shall be the Chairman of the Marine Fisheries Commission or any member of the Appeals Panel designated as Chair by the Chairman of the Marine Fisheries Commission.

(d) The Appeals Panel shall not act upon a petition without a quorum. Two or more members of the Appeals Panel constitute a quorum.

Authority G.S. 113-134; 113-170.4; 113-173; 113-221; 143B-89.52.

# .0302 AUTHORIZED GEAR

(a) The following are the only commercial fishing gear

authorized (including restrictions) for use under a valid Recreational Commercial Gear License:

- (1) When used in state waters, with or without a vessel, spears, Hawaiian slings or similar devices which propet pointed implements by mechanical means, including elastic tubing or bands, pressurized gas or similar means;
- (2) With or without a vessel, gigs or other pointed implements which are propelled by hand, whether or not the implement remains in the hand;
- (1) (3) One seine 30 feet or over in length with a mesh length less than 2½ inches, pulled by hand. Mechanical methods for using the seine are not authorized for recreational purposes;
- (2) (4) One shrimp trawl with a headrope not exceeding 26 feet in length per vessel. Mechanical methods for retrieving the trawl are not authorized for recreational purposes;
- (3) (5) With or without a vessel, five eel, fish, shrimp, or crab pots in any combination, except only two pots of the five may be eel pots. Peeler pots are not authorized for recreational purposes;
- (4) (6) One multiple hook or multiple bait trotline up to 100 feet in length; and
- (5) (7) Gill Nets:
  - (A) Not more than 100 yards of gill nets with a mesh length equal to or greater than 2 ½ inches.
     Attendance is required at all times;
  - (B) Not more than 100 yards of gill nets with a mesh length equal to or greater than 5 ½ inches. Attendance is required when used from one hour after sunrise through one hour before sunset; and
  - (C) Not more than 100 yards of gill net may be used at any one time.

(b) It is unlawful to use more than the quantity of authorized gear specified in Subpargraphs (a)(3) - (a)(7) of this Rule, regardless of the number of individuals aboard a vessel possessing a valid Recreational Commercial Gear License.

(c) It is unlawful for a person to violate the restrictions of or use gear other than that authorized by Paragraph (a) of this Rule.

(d) Unless otherwise provided, this Rule does not exempt Recreational Commercial Gear License holders from the provisions of other applicable rules of the Marine Fisheries Commission or provisions of proclamations issued by the Fisheries Director as authorized by the Marine Fisheries Commission.

The Appeals Panel will hold regular meetings and quarterly meetings:

- (1) The Appeals Panel shall conduct its regular meetings on the first and third Friday of each month unless the Marine Fisheries Commission is scheduled to meet on those dates. Regular meetings may be conducted by telephone conference call or in such other manner as the Chair decides. The Chair may cancel meetings or call additional meetings as required. Persons who wish to attend a telephone conference call meeting must make written request with the Fisheries Director at least five working days prior to the meeting.
- (2) The Appeals Panel may conduct its quarterly meetings

in conjunction with the quarterly meetings of the Marine Fisheries Commission.

- (3) Oral presentations of arguments and evidence may be considered by the Appeals Panel in rendering its decision in accordance with the following provisions:
  - (a) Answers, by persons other than legal counsel, to questions asked by Appeals Panel-members during regular meetings and quarterly meetings shall be evidence;
  - (b) Oral arguments will only be heard at the quarterly Appeals Panel Meetings. Oral arguments shall not exceed 15 minutes per party. The time provided for argument may be shortened if the Chair determines a shorter time is necessary to dispose of all other matters on the Panel's agenda;
  - (c) Information presented in an oral argument is not evidence and shall not be included in the official record; and
  - (d) The Division of Marine Fisheries shall make tape recordings—of—all—oral—arguments—and presentations.

Authority G.S. 113-134; 113-173.

# .0303 RECREATIONAL COMMERCIAL GEAR LICENSE POSSESSION LIMITS

(a) It is unlawful to possess more than a single recreational possession limit when only one person aboard a vessel possesses a valid Recreational Commercial Gear License and recreational commercial fishing equipment as defined in 15A NCAC 30 .0302(a) is used, regardless of the number of persons on board.

(b) It is unlawful to possess individual recreational possession limits in excess of the number of individuals aboard a vessel holding valid Recreational Commercial Gear Licenses.

(c) It is unlawful for any person who holds both a Recreational Commercial Gear License and a Standard or Retired Commercial Fishing License and who is in possession of identified recreational commercial fishing equipment as defined in 15A NCAC 30 .0302(a), to exceed the single recreational possession limit.

(d) It is unlawful for persons aboard a vessel collectively holding only one Recreational Commercial Gear License and any Standard Commercial Fishing License or Retired Commercial Fishing License and who are in possession of any identified recreational commercial fishing equipment as defined in 15A NCAC 30 .0302(a), to exceed one recreational possession limit.

- (a) Under the Statutes authorizing issuance of special licenses in cases of emergencies or hardships, the most important criterion is the demonstration of emergency or hardship. The Appeals Panel must and shall deny petitions which fail to demonstrate emergency or hardship consistent with the provisions of 15A NCAC 3O .0305 and .0306.
- (b) The contents of an appeal petition are as follows:
  - Petitions that do not contain the following items shall be returned to the petitioner without being processed:
     (A) A-completed Appeals License Application;

- (B) A statement of the license(s) being requested;
- Where a vessel license is requested, a copy of the registration/documentation\_information\_which identifies the vessel;
- (D) The petitioner's notarized signature; and
- (E) Where petitioners are not residents of North Carolina, certification from the fisheries agency of their resident state or jurisdiction showing, for the time period beginning July 1, 1991 to the present, all licenses held and any violations or convictions entered against them, or the lack thereof.
- (2) In addition, a petition shall include:
  - (A) A statement of emergency or hardship consistent with the standards in this Section;
  - (B) A list of license suspensions and revocations, and convictions of fisheries offenses in any state or jurisdiction during the past three years;
  - (C) The reason(s) for failure to obtain the license(s) before July 1, 1994, and in the case of vessel endorsements to sell, between 1994 and August 15, 1997.
  - (D) A list of commercial fishing license(s), from any state or jurisdiction, held by the petitioner since July 1, 1991, with identifying license number and issuing agency; and
  - (E) Request for oral argument. if desired.
- (3) A petition may be accompanied by:
  - (A) Evidence demonstrating the extent to which the petitioner relies on commercial fishing as a livelihood, such as tax records, sales records, trip tickets, and similar information;
  - (B) Sworn affidavits by others verifying or supporting the information in the petition;
  - (C) Exhibits and any other evidence to be offered in support of the appeal; and
  - (D) A statement waiving the opportunity to reply to the Division of Marine Fisheries recommendation.

(c) Requests for oral arguments may only be made in the appeal petition.

(d) Petitions, evidence, and supporting information may only be filed with the Division of Marine Fisheries at its offices in Morehead City or by mailing to Post Office Box 769. Morehead City, North Carolina 28557-0769. The petition shall not be processed until the petitioner provides an original and four copies of the petition and supporting information.

(e) The Division of Marine Fisheries-shall-submit-its recommendation and any other relevant-information on each appeal to the Appeals Panel within 10 working days of the receipt of a complete petition. On the same day the recommendation is sent to the Appeals Panel, the Division of Marine Fisheries shall serve a copy of its recommendation on the petitioner by depositing it in first class mail, hand delivery; or facsimile delivery:

(f) Any reply to the Division of Marine Fisheries recommendation must be filed with the Division of Marine

Fisheries within 10 days after the recommendation is served. The petition shall not be processed until the petitioner provides an original and four copies of the reply and supporting information.

Authority G.S. 113-134; 113-170.4; 113-173; 143B-289.52.

# .0304 CONSIDERATION OF APPEAL PETITIONS

Authority G.S. 113-134; 113-153.1; S.L. 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.52.

# .0305 EMERGENCY LICENSES

Authority G.S. 113-134; 113-153.1; S.L. 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.52.

## .0306 HARDSHIP LICENSES

Authority G.S. 113-134: 113-153.1; S.L. 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.52.

## .0307 APPEALS PANEL FINAL DECISION

Authority G.S. 113-134; 113-153.1; S.L. 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.52.

# .0308 OFFICIAL RECORD

uthority G.S. 113-134; 113-153.1; S.L. 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.52.

# .0309 REASONS FOR REVOCATION

Authority G.S. 113-134; 113-153.1; S.L. 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.52.

# .0310 TEMPORARY EMERGENCY VESSEL CRAB LICENSES

Authority G.S. 113-134; 113-153.1; S.L. 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.52.

# SECTION .0400 - STANDARD COMMERCIAL LICENSE ELIGIBILITY

## .0401 ELIGIBILITY BOARD

(a) The Eligibility Board shall sit as a panel of three members consisting of the Chairman of the Marine Fisheries Commission, the Secretary of the Department of Environment and Natural Resources, and the Director of the Division of Marine Fisheries or their designees. The Chairman of the Marine Fisheries Commission, the Secretary of the Department of Environment and Natural Resources, and the Director of the Division of Marine isheries may each name a designee and an alternate designee to serve on the Eligibility Board as their representative in their absence. (b) The Eligibility Board shall not review an application for eligibility without a quorum of the Eligibility Board members or designees being present. Two or more members of the Eligibility Board or their designees constitute a quorum.

Authority G.S. 113-134; 143B-289.52; S.L. 1998-225, s. 5.2.

## .0402 APPLICATION PROCESS

(a) Application forms for determination of eligibility for the Standard Commercial Fishing Licenses Eligibility Pool shall be available at all offices of the Division of Marine Fisheries and must be submitted to the Morehead City Office of the Division of Marine Fisheries for processing.

(b) Applications for determination of eligibility for the Standard Commercial Fishing License Eligibility Pool for the 1999-2000 license year will be accepted beginning April 1, 1999. All applications received from April 1, 1999 through June 30, 1999, will be reviewed for eligibility for the 1999-2000 license year.

(c) Only one application per individual for determination of eligibility for the Standard Commercial Fishing Licenses Eligibility Pool will be accepted or may be pending at any one time. An applicant may only have one entry in the eligibility pool at any one time.

(d) Individuals who currently hold or are eligible to purchase a Standard or Retired Standard Commercial Fishing License shall not be eligible to apply for additional Standard Commercial Fishing Licenses through the Standard Commercial Fishing Licenses Eligibility Pool.

(e) If an applicant has died or becomes ineligible and is subsequently selected from the eligibility pool, that license eligibility will automatically revert to the eligibility pool.

(f) Persons claiming retirement from commercial fishing or transferring their Standard Commercial Fishing License may not apply for pool eligibility for two years from the date of the last transfer.

(g) <u>Applicants are required to notify the Division of Marine</u> <u>Fisheries within 30 days of a change of address.</u>

Authority G.S. 113-134; 143B-289.52; S.L. 1998-225, s. 5.2.

## .0403 ELIGIBILITY BOARD REVIEW

(a) The Eligibility Board will meet on the first Wednesday of May, 1999, and shall review all applications to determine eligibility under criteria set out in 15A NCAC 30 .0404. This Board will continue to meet as needed until all applications received by the Division of Marine Fisheries by June 30, 1999, have been reviewed.

(b) After determination by the Eligibility Board, applicants will be notified in writing as to the applicant's meeting or not meeting required eligibility criteria for the Standard Commercial Fishing License Eligibility Pool.

(c) Within 30 days of completion of the review by the Eligibility Board of the applications for eligibility, the Marine Fisheries Commission shall conduct a Business Meeting to draw the 500 applications from the Standard Commercial Fishing License Eligibility Pool for issuance of licenses. This selection shall be done by a random selection process.

(d) If less than 500 applications are determined to be eligible in the applications submitted from April 1, 1999 through June 30, 1999, the Eligibility Board will meet the first Wednesday of each month until the 500 licenses in the Standard Commercial Fishing License Eligibility Pool have been issued or until June 30, 2000. The Marine Fisheries Commission will draw applications from the Standard Commercial Fishing License Eligibility Pool for issuance of licenses by a random selection process at their next regularly scheduled meeting.

(e) The Eligibility Board shall meet at least quarterly after the initial review, unless the 500 openings on the Standard Commercial Fishing License Eligibility Pool are not filled in the initial review and drawing. Other meetings may be held as determined necessary by the Chairman of the Eligibility Board based on the number of applications received after June 30, 1999, to determine eligibility for future years' licenses.

(f) In subsequent years following the 1999-2000 license year, the Marine Fisheries Commission will determine the number for selection from the Standard Commercial Fishing License Eligibility Pool and draw the applications from the Standard Commercial Fishing License Eligibility Pool for issuance of licenses by a random selection process at their first scheduled meeting following July 1 of each year and as necessary throughout the year.

(g) In subsequent years following the 1999-2000 license year, the Eligibility Board shall meet at least quarterly to review applications.

Authority G.S. 113-134; 143B-289.52; S.L. 1998-225, s. 5.2.

## .0404 ELIGIBILITY CRITERIA

In determining eligibility of an application for the Standard Commercial Fishing License Eligibility Pool, the Eligibility Board shall apply the following criteria:

- (1) Involvement in Commercial Fishing:
  - (a) <u>Significant involvement in the commercial</u> <u>fishing industry for three of the last five years; or</u>
  - (b) Significant involvement in commercial fishing or in the commercial fishing industry prior to the last five years; or
  - (c) Greater than 50 percent of the applicant's total annual income per year for at least three years derived from commercial fishing; or
  - (d) Greater than 75 percent of the applicant's total annual income for three of the last five years being derived from commercial fishing; or
  - (e) In the case of an applicant who has turned 16 in the year prior to application, involvement in commercial fishing for two out of the last five years prior to reaching the age of 16 with a parent, guardian, grandparent or other adult family member; or
  - (f) Significant family involvement of the applicant's family in commercial fishing for the last five years. For the purpose of this Sub-item, family shall include mother, father, brother, sister,

spouse, children, grandparents or legal guardian. Eor the purposes of this Rule, involvement means persons or corporations who are engaged in the actual taking of fish, from the waters of the State, or other states, jurisdictions, or federal waters, or any licensed dealer who purchases fish at the point of landing or their employees at the point of landing. Involvement does not include activities such as those who transport fish from the point of landing; those who sell or make commercial or recreational fishing gear; those who operate bait and tackle shops, unless they are engaged in the actual taking of bait for sale; or those who work in fish markets or crab picking operations.

- (2) Compliance with Applicable Laws and Regulations:
  - (a) The applicant shall not have any licenses, endorsements or commercial fishing vessel registrations issued by the Division of Marine Fisheries or the right to hold such under suspension or revocation at the time of application or during the eligibility review; or
  - (b) If selected for the Standard Commercial Fishing License Eligibility Pool, the applicant shall become ineligible for the Standard Commercial Fishing License Eligibility Pool if any licenses, endorsements or registrations or the right to hold such issued by the Division of Marine Fisheries are suspended or revoked; or
  - (c) Four convictions within the last three years or the number of convictions which would cause suspension or revocation of license, endorsement, or registration within the last three years shall result in the application being denied; or
  - (d) <u>A record of habitual violations evidenced by</u> eight or more convictions in the last 10 years shall result in the application being denied:
  - For purposes of eligibility for the Standard <u>(e)</u> Commercial Fishing License Eligibility Pool, the term convictions shall include but not be limited to any conviction for violation of any provision of G.S. 113 and any rule implementing or authorized by such statutes; any conviction for violation of G.S. 76-40 and any rule implementing or authorized by such statute; any conviction of G.S. 75A and any rule implementing or authorized by such statutes; any conviction for violation of any provision of Article 7 of G.S. 143B and any rule implementing or authorized by such statutes; any conviction of resist, obstruct, or delay involving a Marine Patrol Officer or Wildlife Officer under G.S. 14-223; and any conviction involving assaultive behavior toward a Marine Patrol Officer or other governmental official of the Department of Environment and Natural Resources or the Wildlife Commission;
  - (f) Applicants for the Standard Commercial Fishing License Eligibility Pool must provide certification that the applicant does not have four

or more marine or estuarine resource violations during the previous three years.

(3) <u>All applicants for the Standard Commercial Fishing</u> <u>License Eligibility Pool must meet all other statutory</u> <u>eligibility requirements for the Standard Commercial</u> <u>Fishing License.</u>

Authority G.S. 113-134; 113-168.1; 113-168.2; 143B-289.52; S.L. 1998-225, s. 5.2.

# .0405 APPLICATION DOCUMENTATION

# Documentation for applications:

- (1) Statements from individuals verifying the applicant's involvement must contain the individual's name, address and telephone number and must be notarized.
- (2) <u>Proof of income derived from commercial fishing or the</u> commercial fishing industry. Proof of this income shall be tax records.
- (3) The extent to which the applicant has complied with federal and state laws, regulations, and rules relating to coastal fishing and protection of the environment. Federal compliance will be verified by a notarized statement from the applicant that he has complied with federal laws.
- (4) All documents required by this Rule must be notarized.
- (5) Applications shall be legible and complete or they will be returned.
- (6) It is unlawful to submit false statements on applications or supporting documents. If eligibility is based on false information provided by the applicant, this eligibility is automatically revoked.

Authority G.S. 113-134; 143B-289.52; S.L. 1998-225, s. 5.2.

# .0406 STANDARD COMMERCIAL FISHING LICENSE ELIGIBILITY POOL CERTIFICATION

Annual certification to maintain an eligible application in the Standard Commercial Fishing License Eligibility Pool will be as follows:

- (1) The applicant will be required to certify that the information on their original application is correct and that they desire to remain in the Standard Commercial Fishing License Eligibility Pool.
- (2) A certification form will be provided and mailed to the applicant at the last known address by the Division.
- (3) This certification, with any changes such as address, phone number, or updated fisheries involvement information since the last application or certification must be notarized and submitted to the Division within 12 months of the initial application and annually thereafter.
- (4) Failure to return certification that application is correct or with changes within 30 days will result in the application being deleted from the Standard Commercial Fishing License Eligibility Pool.
- (5) An applicant that has been deleted from the Standard Commercial Fishing License Eligibility Pool will

receive a notice from the Division.

Authority G.S. 113-134; 143B-289.52; S.L. 1998-225, s. 5.2.

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**N**otice is hereby given in accordance with G.S. 150B-21.2 that the NC Water Treatment Facility Operators Certification Board intends to amend rules cited as 15A NCAC 18D .0201, .0203. .0205 - .0206, .0304, .0307 - .0309, .0403, .0701; and repeal rule cited as 15A NCAC 18D .0305. Notice of Rule-making Proceedings was published in the Register on June 1, 1999.

Proposed Effective Date: August 1, 2000

**A Public Hearing** will be conducted at 10:00 a.m. until 12:00 noon on September 30, 1999 at the Archdale Building, Ground Floor Hearing Room, 512 N. Salisbury Street, Raleigh, NC.

**Reason for Proposed Action:** The 1997 Reauthorization of the Safe Drinking Act. This reauthorization will require all community and non-community water systems to be staffed with certified operators. It will also require that all certified operators undergo training during their renewal cycle. Failure to comply with the guidelines established by the EPA to assist states in compliance with the reauthorization will result in states having to revert 20% of their state revolving fund grants. In North Carolina's case, this will amount to approximately 2.5 million dollars per year. The proposed changes will keep North Carolina in compliance with the guidelines mentioned above.

**Comment Procedures:** Comments will be accepted through October 15, 1999 by John McFadyen, Chairman of the North Carolina Water Treatment Facility Operators Certification Board, PO Box 29595, Raleigh, NC 27626-0595; Phone (919) 715-3225.

Fiscal ImpactStateLocal Sub. None✓✓

# **CHAPTER 18 - ENVIRONMENTAL HEALTH**

# SUBCHAPTER 18D - WATER TREATMENT FACILITY OPERATORS

# SECTION .0200 - QUALIFICATION OF APPLICANTS AND CLASSIFICATION OF FACILITIES

# .0201 GRADES OF CERTIFICATION

Applicants for the various grades of certification shall meet the following educational and experience requirements:

- GRADE A-SURFACE shall have one year acceptable experience at a surface water facility while holding a Grade B-Surface certificate and have satisfactorily completed an A-Surface school conducted by the Board.
   GRADE B-SURFACE shall:
  - (a) Be a college graduate with a bachelor's degree in

the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a surface water facility, or

(b) Have one year of acceptable experience at a surface water facility while holding a Grade C-Surface certificate and have satisfactorily completed a B-Surface school conducted by the Board.

# (3) GRADE C-SURFACE shall:

- (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a surface water facility, or
- (b) Be a high school graduate or equivalent, have six months acceptable experience at a surface water facility and have satisfactorily completed a C-Surface school conducted by the Board.
- (4) GRADE A-WELL shall have one year of acceptable experience at a well water facility while holding a Grade B-Well certificate and have satisfactorily completed an A-Well school conducted by the Board.
- (5) GRADE B-WELL shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a well water facility, or
  - (b) Have one year of acceptable experience at a well water facility while holding a Grade C-Well certificate and have satisfactorily completed a B-Well school conducted by the Board.
- (6) GRADE C-WELL shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a well water facility, or
  - (b) Have six months of acceptable experience at a well water facility and have satisfactorily completed a C-Well school conducted by the Board, or Be a high school graduate or equivalent, have six months of acceptable experience at a well water facility, and have satisfactorily completed an approved C-Well school conducted by the Board, or
  - (c) Have one year of acceptable experience at a well water facility and have satisfactorily completed an approved C-Well school conducted by the Board, or
  - (c)(d) Hold a Grade A-SURFACE A-Surface

certification and have satisfactorily completed an approved C-Well school conducted by the Board.

- (7) GRADE D-WELL shall:
  - (a) Be a high school graduate or equivalent, and have six months of acceptable experience at a well water facility, or
  - (b) Be a high school graduate or equivalent, have three months of acceptable experience at a well water facility, and have satisfactorily completed an approved D-Well school conducted by the Board, or
  - (c) <u>Have six months of acceptable experience at a</u> <u>well water facility and have satisfactorily</u> <u>completed an approved D-Well school conducted</u> <u>by the Board.</u>
- (7)(8) GRADE A-DISTRIBUTION shall have one year of acceptable experience at Class B or higher distribution system while holding a Grade B-Distribution certificate and have satisfactorily completed an A-Distribution school conducted by the Board, and hold current cardiopulmonary resuscitation certificate.

# (8)(9) GRADE B-DISTRIBUTION shall:

- (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a Class B or higher distribution system, or
  - (b) Have one year of acceptable experience at a Class C or higher distribution system while holding a Grade C-Distribution certificate and have satisfactorily completed a B-Distribution school conducted by the Board.
- (9)(10) GRADE C-DISTRIBUTION shall hold a certificate of completion of trench shoring training conducted by the Board and shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences, or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a Class C or higher distribution system, or
  - (b) Be a high school graduate or equivalent, have six months of acceptable experience at a Class C <u>Class D</u> or higher distribution system and have satisfactorily completed a C-Distribution school conducted by the Board, or
  - (c) Have one year of acceptable experience at a Class  $\bigcirc$  Class  $\bigcirc$  or higher distribution system and have satisfactorily completed a C-Distribution school conducted by the Board.
  - (11) GRADE D-DISTRIBUTION shall:
    - (a) Be a high school graduate or equivalent, and have six months of acceptable experience at a distribution system, or
    - (b) Be a high school graduate or equivalent, have

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three months of acceptable experience at a distribution system, and have satisfactorily completed an approved D-Distribution school conducted by the Board, or

(c) <u>Have six months of acceptable experience at a distribution system and have satisfactorily</u> <u>completed an approved D-Distribution school</u> <u>conducted by the Board.</u>

(10)(12) GRADE CROSS-CONNECTION-CONTROL shall:

- (a) Be a college graduate with a bachelor's degree in the physical or natural sciences, or be a graduate of a two-year technical program with a degree in water and wastewater or civil engineering technology, and have satisfactorily completed a cross connection control school conducted by the Board, or
- (b) Be a high school graduate or equivalent, have six months of acceptable experience at Class C-Distribution or higher system and have satisfactorily completed a cross connection control school conducted by the Board, or
- (c) Have one year of acceptable experience at a Class C-Distribution or higher system while holding a Grade C-Distribution or higher certificate and have satisfactorily completed a cross connection school conducted by the Board, or
- (d) Be a plumbing contractor licensed by the State of North Carolina and have satisfactorily completed a cross connection control school conducted by the Board.

Authority G.S. 90A-21(c); 90A-22; 90A-23; 90A-24.

# .0203 DETERMINATION OF VARIOUS CLASSES OF CERTIFICATION

(a) Determination of various classes of certification shall be based on the classification of water treatment facilities to be operated.

(b) The designation of plant classification shall be based on the following point system:

	PAR	AMETER	RATING VALUE		
(1)	Surface Water Source				
	(A)	flowing stream		5	
	(B)	flowing stream with impour	Idment	7	
	(C)	raw water treatment (CuSO	, etc.)	3	
(2)	Grou	ind Water Source			
	(A)	first five wells		5	
	(B)	add 1 point per 5 wells or fr	action thereof		
		over 5		1	
(3)	Coagulation				
	(A)	aluminum sulfate, ferric chl	oride, etc.	10	
	(B)	polymer		5	
(4)	Mixing				
	(A)	baffle		2	
	(B)	mechanical		4	
	(C)	air		3	
(5)	Oxic	lation (pre-treatment)			

	(A) $C1_20_2$ ;	5
	(B) ozone	5
	(C) $\text{KMnO}_4$ ;	3
	(D) $Cl_2$ ;	3
(6)	Carbon Treatment	2
(7)	Aeration	
	(A) mechanical draft	3
	(B) coke tray/splash tray	2
	(C) diffused	3
	(D) packed tower (VOC reduction)	10
(8)	pH Adjustment (primary)	
	(A) NaOH caustic NaOH	5 <u>(10)</u>
	(B) lime/soda ash	3
	(C) acid ( $H_2SO_4$ , HC1, etc.)	5 <u>(10)</u>
(9)	Sedimentation	
	(A) standard rate	5
	(B) tube settlers	3
	(C) upflow	8
	(D) pulsators and plates, etc.	5
(10)	Contact Tank	1
(11)	Filtration	
	(A) pressure	
	(i) sand/anthracite	8
	(ii) synthetic media (birm)	8
	(iii) granular activated carbon (GAC)	10
	(B) gravity	
	(i) sand	10
	(ii) anthracite (mixed)/GAC	12
	(iii) with surface wash or air scour	2
	(C) membrane	<u>10</u>
(12)	Ion Exchange	
	(A) softener, Na cycle	5
	(B) softener, H cycle	7
	(C) Fe and Mn (greensand)	10
	(D) mixed bed or split stream	12
(13)	Lime Softening	
	(A) spiractors	10
	(B) clarifier with coagulation	12
	(C) fuel burner (recarbonation)	5
(14)	Phosphate (sequestering agent)	5
(15)	Stabilization	
	(A) acid feed	<u>5(10)</u>
	(B) phosphate	2
	(C) caustic (NaOH)	5 <u>(10)</u>
	(D) lime/soda ash	3 5
	(E) contact units (calcifier, etc.)	
(16)	Reverse Osmosis, Electrodialysis	15
(17)	Disinfection	
	(A) gas $Cl_2$ ;	10
	(B) hypochlorite solution	7
	(C) $Cl_2O_2$ (sodium chlorite and $Cl_2$ )	13
	(D) ozone	13
	(E) ammonia and $Cl_2$ ;	12
	(F) <u>ultraviolet light (uv)</u>	<u>5</u>
(18)	Fluoridation	
	(A) saturator	8
	(B) dry feed	8

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*14:6* 

	10			
(C) solution (acid) (19) Pumping	10	systems with more than 100 service connections and shall b		
	2	same as the current plant classification for systems dir		
(A) raw $(B)$ intermediate	3	associated with a classified surface water plant or well syste	:m as	
(B) intermediate	1	determined by Paragraph (b) of Rule .0203 of this Section.		
(C) finished	3	(1) For systems not directly associated with a class		
(D) system booster	2	surface water plant or well system the designation		
(20) Storage	,	distribution systems shall be based on the following		
(A) raw	I	system characteristics:		
(B) treated ground level tank l		(A) Class C-DISTRIBUTION shall be any sy		
(C) elevated in system (each extra tank 1		with greater than 100 service connections bu		
(D) hydropneumatic	2	than 1.001 service connections, with ne	-fire	
(21) Population Served 1 point per 1,000 persor		protection-system;		
served	50 max.	(B) Class B-DISTRIBUTION shall be any sy		
(22) Plant Capacity 1 point per 1 MGD capacity	25 max.	with greater than 1,000 service connection		
(23) On-Site Quality Control		less than 3,301 service connections or any sy	/stem	
(A) bacteriological		less than 1001 service connections, with	a-fire	
(i) MPN/MF	5	protection system; and		
(ii) HPC	2	(C) Class A-DISTRIBUTION shall be any sy	stem	
(iii) MMO-MUG (Colilert)	2	with greater than 3,300 service connection	<del>\$.</del>	
(B) pH		(b) The classification of distribution systems shall apply	to all	
(i) meter	2	community and non-transient non-community public	water	
(ii) test kit	]	systems. The distribution system class level shall be the grea	ter of	
(C) fluoride		the treatment plant class level from Paragraph (a) of this Ru	ale or	
(i) meter	3	the following class level based on the number of se	ervice	
(ii) colorimetric	3	connections and fire protection:		
(D) chlorine		(1) Class D-DISTRIBUTION shall be any system with	h 100	
(i) titrator	3	or fewer service connections with no fire prote		
(ii) colorimeter/spec.	2	system;		
(iii) test kit	1	(2) Class C-DISTRIBUTION shall be any system	with	
(E) iron	1	more than 100 service connections but not exce		
(F) hardness	1	1.000 service connections, with no fire prote	-	
(G) alkalinity	Î	system;	etter	
(H) turbidity	1	(3) Class B-DISTRIBUTION shall be shall be any sy	vstem	
(I) manganese	1	with more than 1,000 service connections bu		
(J) others (1 pt. each)	1	exceeding 3,300 service connections or any system		
(K) A.A. Spec, or G.C. Unit	5 each	exceeding 1,000 service connections, with a		
(c) The designation of distribution system classific		protection system; and	me	
e based on system characteristics as outlined in Rule.		(4) Class A-DISTRIBUTION shall be any system	with	
ection.	obse or this	more than 3,300 service connections.		

Authority G.S. 90A-21(c); 90A-22.

#### .0205 **CLASSIFICATION OF WATER TREATMENT FACILITIES**

(a) Treatment plant classification shall be based on the source of water and the number of points assigned to each facility as taken from the table in Rule.0203(b) of this Section. Classifications are as follows:

Class C	0 - 50 points		
Class-B	51 110 points		
Class A	over 110 points		
<u>Class</u> D	0-24 points		
<u>Class</u> <u>C</u>	<u>25-40 points</u>		
<u>Class</u> <u>B</u>	<u>41-110 points</u>		
<u>Class A</u>	over 110 points		

(b) The classification of distribution systems shall apply only to

(2)(c) Class CROSS-CONNECTION-CONTROL shall be any distribution system with requirement for five or more backflow prevention devices to be installed within the water distribution system.

Authority G.S. 90A-21(c); 90A-22.

#### .0206 **CERTIFIED OPERATOR REQUIRED**

(a) There shall be an operator in responsible charge for each water treatment facility that adds chemicals to the water, has approved plans for adding chemicals to the water, or has equipment installed for adding chemicals to the water. The operator in responsible charge shall possess a valid certificate issued by the Board equivalent to or exceeding the classification of the facility for which he or she is designated except for purchase water systems which add only a disinfectant to the water in which case the operator in responsible charge shall hold a surface water.

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well water or distribution system certification equivalent to or exceeding the classification of the facility without respect to the original source of the water: <u>All public water systems shall have a</u> certified operator in responsible charge for each water treatment facility that alters the physical, chemical, or microbiological characteristics of the water; has approved plans for such alterations; or has equipment installed for such alterations.

(b) There shall be an operator holding at least a Grade C surface <u>C-Surface</u> certification or above assigned to be on duty on the premises when a Class A, Class B, or Class C surface water treatment facility is treating water. In no case shall there be a substitute non-certified operator for more than seven days: Implementation of this requirement is subject to the following provisions:

- (1) Upon-the effective date of this-rule, each affected facility shall have two years to come into compliance;
- (1)(2) Upon vacancy of a position resulting in noncompliance with this requirement each facility shall notify the Board Office within 24 hours or at the start of the next regular business day of such vacancy;
- (2)(3) Upon such vacancy the facility shall have 90 days to fill the position with a certified Grade C or above operator or shall have pending approval for a temporary certification for the operator;
- (3)(4) Within 18 months of vacancy the facility shall have a certified Grade C or above operator assigned to fill the vacancy.

(c) There shall be an operator in responsible charge for the listribution portion of the community and non-transient noncommunity public water systems designated in Subparagraphs (c)(1), (2) and (3) of this Rule. This operator shall possess a valid certificate issued by the Board equivalent to or exceeding the distribution classification of the facility for which he or she is designated.

- (1) No later than July 1, 1997 all community public water systems serving greater than 3,300 service connections shall have a certified distribution operator in responsible charge of the distribution portion of the system.
- (3)(1) No later than July 1, 1999 all community public water systems serving greater than 100 service connections shall have a certified distribution operator in responsible charge of the distribution portion of the system.
  - (2) No later than July 1, 1998 all community public water systems serving greater than 1,000 service connections shall have a certified distribution operator in responsible charge of the distribution portion of the system.
  - (2) No later than July 1, 2001 all community and nontransient non-community public water systems shall have a certified distribution operator in responsible charge of the distribution portion of the system. A system serving 100 or fewer service connections is exempt from this requirement if it has an operator in responsible charge as required in Paragraph (a) of this Rule.

(d) By July 1, 2003 there shall be an operator in responsible charge for the cross-connection-control facilities of the distribution system for all public water systems required by 15A NCAC 18C to have five or more backflow prevention devices. This operator shall possess a valid Grade Cross-Connection-Control certificate issued by the Board.

(c) All operators of community and non-transient noncommunity public water systems shall follow the standard operating procedures established by the operator in responsible charge. Any decisions about water quality or quantity that affect public health which have not been defined in the standard operating procedures shall be referred to the operator in responsible charge or to the certified operator on duty.

Authority G.S. 90A-20; 90A-28; 90A-29; 90A-32.

# SECTION .0300 - APPLICATIONS AND FEES

# .0304 FEE SCHEDULE

(a) The cost of examination and certification shall be twenty dollars (\$20.00): thirty dollars (\$30.00).

(b) The cost of a temporary certificate shall be eighteen dollars (\$18.00) twenty-five dollars (\$25.00) for a new certificate.

(c) The examination and certification fee must be paid to the Board when the application is submitted.

(d) The cost of the annual certification renewal shall be eighteen dollars (\$18:00): twenty-five dollars (\$25:00). Renewal fees shall be payable the first of each calendar year and shall be delinquent on the first day of February if not paid prior to that date. Delinquent certifications shall be charged an additional fee of thirty dollars (\$30:00) on the first day of February of each year.

(e) The operator shall keep the Board informed of his/her current mailing address.

Authority G.S. 90A-27.

# .0305 WAITING PERIOD

Candidates for re-examination are not to be considered in intervals of less than six months.

Authority G.S. 90A-24.

# .0307 REVOCATION OF CERTIFICATE

(a) If an operator fails to renew his/her certificate and allows it to lapse five two years, his/her certificate shall be revoked.

(b) If an operator fails to meet the continuing education requirements of Rule .0308(a) of this Section, his/her certificate shall be revoked.

(c) If an operator in responsible charge fails to meet the requirements of 15A NCAC 18D .0701, his/her certificate may be revoked.

Authority G.S. 90A-25.1; 90A-26.

## .0308 PROFESSIONAL GROWTH HOURS

(a) An operator holding an A-Well, B-Well, C-Well, A-Surface; B-Surface, or C-Surface certification <u>All certified operators</u> shall complete six contact hours of instruction during the year immediately preceding annual certification renewal for each certification renewed. The same contact hours may be credited to both well and surface certifications for an individual operator holding both types of certifications if the instruction is relevant to both surface water and well water technology. to all certifications for which the training is relevant. The instruction shall be related to system operation or professional development as needed and determined by the individual operator. With the annual certification renewal application, the operator shall report on the Board's form the contact hours completed during the year.

(b) The organization providing the instruction shall give each participant certificate or other proof of successful completion which includes the name of the provider, the provider's address, and contact person with telephone number. The proof of completion shall identify the name of the participant, the number of contact hours completed, the course name, the instructor's name, and the date of the instruction received. For in-house training, an instructor from outside of the organization shall provide the instruction. If an operator fails to provide proof of the required six contact hours of instruction at the time of annual certification renewal, the certification shall be revoked.

Authority G.S. 90A-25.1; 90A-26.

# .0309 CERTIFICATION REINSTATEMENT

(a) An operator whose certification has been revoked for failure to renew for five two years may have the certification reinstated by passing another certification examination for that grade.

(b) An operator whose certification has been revoked for failure to obtain six hours of annual continuing education credit may have the certification reinstated by passing another certification examination for that grade.

Authority G.S. 90A-25.1; 90A-26.

# SECTION .0400 - ISSUANCE OF CERTIFICATE

## .0403 ISSUANCE OF GRADE CERTIFICATE

(a) When the names of the operators and the grade of their current voluntary certificate are known, the Board shall notify the operator involved and upon payment of the license fee issue a grade certificate corresponding to the grade of certification now held by the operator. The Board shall not issue conversion certificates for voluntary certificates after July 1, 2003.

(b) To obtain a certificate the applicant shall satisfactorily complete an examination except in the case of a temporary certificate or when certification is by reciprocity, or when the certificate is being issued to the holder of a current voluntary certificate pursuant to Paragraph (a) of this Rule. The existing operator in responsible charge for systems which have no treatment and have fewer than 100 service connections may be granted a grandparented certification valid only for the system or systems that the operator managed on the effective date of this Rule. The operator shall not be responsible for more than 10 systems without written permission from the Board. All grandparented certifications are site specific, non-transferrable, and shall expire on July 1, 2003. If the classification of the plant or distribution system managed changes to a higher level, the grandparented certification will no longer be valid for the oversight of the system. Grandparented certifications may be issued after July 1, 2001.

(c) Any operator holding a valid surface certification may petition the Board, and the Board may reinstate a revoked, lapsed, or suspended well-certification if the grade of the surface certification is equal to or higher than the grade of the well certification. To obtain a certificate the applicant shall satisfactorily complete an examination except in the case of a grandparented certificate, temporary certificate or when certification is by reciprocity, or when the certificate is being issued to the holder of a current voluntary certificate pursuant to Paragraph (a) of this Rule.

(d) Any operator holding a valid surface certification issued prior to July 1, 1992 may petition the Board, and the Board may issue an equivalent well certification without examination if the operator has the well facility acceptable experience required for certification and satisfactorily completes an approved equivalent well school. The Board shall not issue equivalent well certification after January 1, 1996.

Authority G.S. 90A-21(c); 90A-23; 90A-25.

# **SECTION .0700 - OPERATIONS AND MANAGEMENT**

# .0701 OPERATOR IN RESPONSIBLE CHARGE

(a) The operator in responsible charge must possess a valid certificate issued by the Board equivalent to or exceeding the classification of the facility for which he or she is designated.

(b) The operator in responsible charge is actually in charge of the daily operation and maintenance of the facility and shall reside within 50 miles of the facility and shall be readily available for consultation on the premises of the facility in case of an emergency, malfunction or breakdown of equipment or other needs. The operator in responsible charge of a non-community public water system shall not reside more than 50 miles from the facility without written permission from the Board. No person shall be in responsible charge of more than:

- (1) One surface water treatment facility without written permission from the Board, or
- (2) Five community public water systems with well water facilities without written permission from the Board and not to exceed 15 well water facilities for community public water systems in any event, or
- (3) Ten non-community public water systems with well water facilities without written permission from the Board and not to exceed 30 well water facilities for noncommunity public water systems in any event: event, or
- (4) One distribution system serving over 3,300 service connections without written permission from the Board, or
- (5) Five distribution systems serving over 500 service connections without written permission from the Board, or
- (6) Ten total distribution systems without written

permission from the Board, or

(7) Ten total cross-connection-control systems without written permission from the Board.

(c) When permission from the Board is required, the request shall include sufficient documentation to satisfy the Board that the facilities in question can be managed in compliance with the requirements of 15A NCAC 18.

(d) An owner may designate a different person to be the operator in responsible charge for surface water treatment facilities, well water facilities, <u>cross-connection-control facilities</u>, and distribution facilities.

(e) The operator in responsible charge shall report with annual certification renewal the name(s) and public water system identification number(s) for all systems for which the operator is the operator in responsible charge.

(f) If an operator in responsible charge takes responsibility for an additional system or relinquishes responsibility for any system, the operator shall notify the Board in writing within 30 days of this change.

(g) The operator in responsible charge shall establish standard operating procedures for each facility for which he/she is responsible. These procedures shall provide sufficient instruction to ensure that his/her decisions about water quality or quantity that affect public health are carried out properly. The procedures shall instruct persons lacking proper certification to refer all such decisions affecting public health to the certified operator on duty or to the operator in responsible charge.

uthority G.S. 90A-21(c).

# **TITLE 21 - OCCUPATIONAL LICENSING BOARDS**

# CHAPTER 12 - LICENSING BOARD FOR GENERAL CONTRACTORS

**Notice** is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Licensing Board for General Contractors intends to adopt the rule cited as 21 NCAC 12 .0209, amend the rules cited as 21 NCAC 12 .0202, .0204-.0205, .0402, .0405, .0410, .0901, .0907 and repeal the rule cited as 21 NCAC 12 .0307. Notice of Rule-making Proceedings was published in the Register on May 14, 1999.

Proposed Effective Date: August 1, 2000

**A Public Hearing** will be conducted at 10:00 a.m. on October 13, 1999 at the Cumberland Building, 3739 National Drive, Suite 225, Glenwood Place, Raleigh, NC 27612.

**Reason for Proposed Action:** 1. To amend the S (metal erection) classification; 2. To increase the working capital requirements for a limited license from \$12,500 to \$25,000, to 'low applicants to show financial responsibility by working apital or by obtaining a bond, and to amend the bond requirements. The text shown in italics has been adopted as a temporary rule effective August 24, 1998. The permanent version

has been approved by the Rules Review Commission and is pending the 2000 session. 3. To clarify requirements when the qualifying party ceases to be connected to the licensee; 4. To require applications to be accompanied by a certificate of assumed name and other appropriate documents; 5. To repeal rule requiring the Board to notify the applicant about his application within two weeks of the examination; 6. To amend the rule regarding the subject matter of the examination; 7. To amend the rule regarding examination schedules; 8. To amend the rule regarding when a person who has failed an examination may be reexamined; 9. To amend the definition of "owner or former owner" under the Homeowners Recovery Fund; 10. To amend the service requirements for a notice of Homeowners Recovery Fund hearing.

**Comment Procedures:** Written comments may be submitted to Mark D. Selph at the Board's office. The Board's address is PO Box 17187, Raleigh. NC 27619. Any person may file written submission of comments or arguments at any time up to and including October 15, 1999.

Fiscal Impact State Local Sub. None

## **SECTION .0200 - LICENSING REQUIREMENTS**

## .0202 CLASSIFICATION

(a) A general contractor must be certified in one of five classifications. These classifications are:

- Building Contractor. This classification covers all types of building construction activity including but not limited to: commercial, industrial, institutional, and all types of residential building construction; covers parking decks; all site work, grading and paving of parking lots, driveways, sidewalks, curbs and gutters which are ancillary to the aforementioned types of construction; and covers the work done under the specialty classifications of S(Concrete Construction), S(Insulation), S(Interior Construction), S(Masonry Construction), S(Roofing), S(Metal Erection), and S(Swimming Pools).
- (2) Residential Contractor. This classification covers all types of construction activity pertaining to the construction of residential units which are required to conform to the residential building code adopted by the Building Code Council pursuant to G.S. 143-138; covers all site work, driveways and sidewalks ancillary to the aforementioned construction: and covers the work done as part of such residential units under the specialty classifications of S(Insulation). S(Masonry Construction), S(Roofing), and S(Swimming Pools).
- (3) Highway Contractor. This classification covers all types of highway construction activity including but not limited to: grading, paving of all types, installation of exterior artificial athletic surfaces, relocation of public and private utility lines ancillary to the principal project.

bridge construction and repair, parking decks, sidewalks, curbs, gutters and storm drainage. Includes installation and erection of guard rails, fencing, signage and ancillary highway hardware; covers paving and grading of airport and airfield runways, taxiways, and aprons, including the installation of signage, runway lighting and marking; and covers work done under the specialty classifications of S(Boring and Tunneling), S(Concrete Construction), S(Marine Construction) and S(Railroad Construction). If the contractor limits his activity to grading and does no other work described herein, upon proper qualification the classification of H(Grading and Excavating) may be granted.

- (4) Public Utilities Contractor. This classification includes those whose operations are the performance of construction work on the subclassifications of facilities set forth in G.S. 87-10(3). The Board may issue a ticense to a public utilities contractor that is limited to any of the subclassifications set forth in G.S. 87-10(3) for which the contractor qualifies. Within appropriate subclassification, a public utilities contractor license covers work done under the specialty classifications of S(Boring and Tunneling), PU(Communications), PU(Fuel Distribution), PU(Electrical-Ahead of Point of Delivery), and S(Swimming Pools).
- (5) Specialty Contractor. This classification shall embrace that type of construction operation and performance of contract work outlined as follows:
  - (A) H(Grading and Excavating). Covers the digging, moving and placing of materials forming the surface of the earth, excluding air and water, in such a manner that the cut, fill, excavation, grade, trench, backfill, or any similar operation can be executed with the use of hand and power tools and machines commonly used for these types of digging, moving and material placing. Covers work on earthen dams and the use of explosives used in connection with all or any part of the activities described in this Subparagraph. Also includes clearing and grubbing, and erosion control activities.
  - (B) S(Boring and Tunneling). Covers the construction of underground or underwater passageways by digging or boring through and under the earth's surface including the bracing and compacting of such passageways to make them safe for the purpose intended. Includes preparation of the ground surfaces at points of ingress and egress.
  - (C) PU(Communications). Covers the installation of the following:
    - (i) All types of pole lines, and aerial and underground distribution cable for telephone systems;
    - (ii) Aerial and underground distribution cable for Cable TV and Master Antenna TV

Systems capable of transmitting R.F. signals;

- (iii) Underground conduit and communication cable including fiber optic cable: and
- (iv) Microwave systems and towers, including foundations and excavations where required, when the microwave systems are being used for the purpose of transmitting R.F. signals; and installation of PCS or cellular telephone towers and sites.
- (D) S(Concrete Construction). Covers the construction and installation of foundations, precast silos and other concrete tanks or receptacles, prestressed components, and gunite applications, but excludes bridges, streets, sidewalks, curbs, gutters, driveways, parking lots and highways.
- (E) PU(Electrical-Ahead of Point of Delivery). Covers the construction, installation, alteration, maintenance or repair of an electrical wiring system, including sub-stations or components thereof, which is or is intended to be owned, operated and maintained by an electric power supplier, such as a public or private utility, a utility cooperative, or any other properly franchised electric power supplier, for the purpose of furnishing electrical services to one or more customers.
- (F) PU(Fuel Distribution). Covers the construction, installation, alteration, maintenance or repair of systems for distribution of petroleum fuels, petroleum distillates, natural gas, chemicals and slurries through pipeline from one station to another. Includes all excavating, trenching and backfilling in connection therewith. Covers the installation, replacement and removal of above ground and below ground fuel storage tanks.
- (G) PU(Water Lines and Sewer Lines). Covers construction work on water and sewer mains, water service lines, and house and building sewer lines as defined in the North Carolina State Building Code, and covers water storage tanks, lift stations, pumping stations, and appurtenances to water storage tanks, lift stations and pumping stations. Includes pavement patching, hackfill and erosion control as part of such construction.
- (H) PU(Water Purification and Sewage Disposal). Covers the performance of construction work on water and wastewater treatment facilities and covers all site work, grading, and paving of parking lots, driveways, sidewalks, and curbs and gutters which are ancillary to such construction of water and wastewater treatment facilities. Covers the work done under the specialty classifications of S(Concrete Construction), S(Insulation), S(Interior Construction), S(Masonry Construction), S(Roofing), and

S(Metal Erection) as part of such work on water and wastewater treatment facilities.

- (I) S(Insulation). Covers the installation, alteration or repair of materials classified as insulating media used for the non-mechanical control of temperatures in the construction of residential and commercial buildings. Does not include the insulation of mechanical equipment and ancillary lines and piping.
- (J) S(Interior Construction). Covers the installation of acoustical ceiling systems and panels; drywall partitions (load bearing and non-load bearing), lathing and plastering, flooring and finishing, interior recreational surfaces, window and door installation, and installation of fixtures, cabinets and millwork. Includes the removal of asbestos and replacement with non-toxic substances.
- (K) S(Marine Construction). Covers all marine construction and repair activities and all types of marine construction in deep-water installations and in harbors, inlets, sounds, bays, and channels; covers dredging, construction and installation of pilings, piers, decks, slips, docks, and bulkheads. Does not include structures required on docks, slips and piers.
- (L) S(Masonry Construction). Covers the installation, with or without the use of mortar or adhesives, of the following:
  - Brick, concrete block, gypsum partition tile, pumice block or other lightweight and facsimile units and products common to the masonry industry;
  - (ii) Installation of tire clay products and refractory construction;
  - (iii) Installation of rough cut and dressed stone, marble panels and slate units, and installation of structural glazed tile or block, glass brick or block, and solar screen tile or block.
- (M) S(Railroad Construction). Covers the building, construction and repair of railroad lines including:
  - (i) The clearing and filling of rights-of-way;
  - (ii) Shaping, compacting, setting and stabilizing of road beds;
  - (iii) Setting ties, tie plates, rails, rail connectors, frogs, switch plates, switches, signal markers, retaining walls, dikes, fences and gates; and
  - (iv) Construction and repair of tool sheds and platforms.
- (N) S(Roofing). Covers the installation and repair of roofs and decks on residential, commercial, industrial, and institutional structures requiring materials that form a water-tight and weatherresistant surface. The term "materials" shall be defined for purposes of this Subparagraph to

include, among other things, cedar, cement, asbestos, clay tile and composition shingles, all types of metal coverings, wood shakes, single ply and built-up roofing, protective and reflective roof and deck coatings, sheet metal valleys, flashings, gravel stops, gutters and downspouts, and bituminous waterproofing.

- (O) S(Metal Erection). Covers:
  - (i) The field fabrication, erection, repair and alteration of architectural and structural shapes, plates, tubing, pipe and bars, not limited to steel or aluminum, that are or may be used as structural members for buildings, equipment and structure; and
  - (ii) The layout, assembly and erection by welding, bolting or riveting such metal products as, but not limited to, curtain walls, tanks of all types, hoppers, structural members for buildings, towers, stairs, conveyor frames, cranes and crane runways, canopies, carports, guard rails, signs, steel scaffolding as a permanent structure, rigging, flagpoles, fences, steel and aluminum siding, stadium and arena seating, bleachers, and fire escapes: escapes, and seating for stadiums, arenas, and auditoriums.
- (P) S(Swimming Pools). Covers the construction, service and repair of all swimming pools. Includes:
  - (i) Excavation and grading;
  - (ii) Construction of concrete, gunite, and plastic-type pools, pool decks, and walkways, and tiling and coping; and
  - (iii) Installation of all equipment including pumps, filters and chemical feeders. Does not include direct connections to a sanitary sewer system or to portable water lines, nor the grounding and bonding of any metal surfaces or the making of any electrical connections.
- (Q) S(Asbestos). This classification covers renovation or demolition activities involving the repair, maintenance, removal, isolation, encapsulation, or enclosure of Regulated Asbestos Containing Materials (RACM) for any commercial, industrial, or institutional building, whether public or private. It also covers all types of residential building construction involving RACM during renovation and/or demolition activities.

(b) An applicant may be licensed in more than one classification of general contracting provided the applicant meets the qualifications for the classifications, which includes passing the examination for the classifications in question. The license granted to an applicant who meets the qualifications for all classifications will carry with it a designation of "unclassified." Authority G.S. 87-1; 87-10.

## .0204 ELIGIBILITY

- (a) Limited License. The applicant for such a license must:
  - (1) Be entitled to be admitted to the examination given by the Board in light of the requirements set out in G.S. 87-10 and Section .0400 of this Chapter;
  - (2) Be financially stable to the extent that the total current assets of the applicant or the firm or corporation he represents exceed the total current liabilities by at least twelve thousand five hundred dollars (\$12,500.00); twenty-five thousand dollars (\$25,000) as reflected in an audited financial statement prepared by a certified public accountant or an independent accountant who is engaged in the public practice of accountancy;
  - (3) Successfully complete 70 percent of each part of the examination given the applicant by the Board dealing with the specified contracting classification chosen by the applicant.

(b) Intermediate License. The applicant for such a license must:

- (1) Be entitled to be admitted to the examination given by the Board in light of the requirements set out in G.S. 87-10 and Section .0400 of this Chapter;
- (2) Be financially stable to the extent that the total current assets of the applicant or the firm or corporation he represents exceed the total current liabilities by at least fifty thousand dollars (\$50,000) as reflected in an audited financial statement prepared by a certified public accountant or an independent accountant who is engaged in the public practice of accountancy;
- (3) Successfully complete 70 percent of each part of the examination given the applicant by the Board dealing with the specified contracting classification chosen by the applicant.

(c) Unlimited License. The applicant for such a license must:

- (1) Be entitled to be admitted to the examination given by the Board in light of the requirements set out in G.S. 87-10 and Section .0400 of this Chapter;
- (2) Be financially stable to the extent that the total current assets of the applicant or the firm or corporation he represents exceed the total current liabilities by at least one hundred thousand dollars (\$100,000) as reflected in an audited financial statement prepared by a certified public accountant or an independent accountant who is engaged in the public practice of accountancy;
- (3) Successfully complete 70 percent of each part of the examination given the applicant by the Board dealing with the specified contracting classification chosen by the applicant.

(d) Should the financial statement submitted by the applicant fail to demonstrate In lieu of demonstrating the required level of working capital, the <u>an</u> applicant shall <u>may</u> obtain a surety bond from a surety authorized to transact surety business in North Carolina pursuant to G.S. 58 Article 7. 16, 21, or 22. The surety shall provide proof that it maintains a rating from A.M. Best, or its successor rating organization, of either Superior (A++ or A+) or

Excellent (A or A-). The bond shall be continuous in form and shall be maintained in effect for as long as the applicant maintains a license to practice general contracting in North Carolina or until the applicant demonstrates the required level of working capital. The application form and subsequent annual license renewal forms shall require proof of a surety bond meeting the requirements of this Rule. The applicant shall maintain the bond in the amount of fifty thousand dollars (\$50,000.00) two hundred fifty thousand dollars (\$250,000) for a limited license. two hundred fifty thousand dollars (\$250,000.00) five hundred thousand dollars (\$500,000) for an intermediate license, and five hundred thousand dollars (\$500,000.00) one million dollars (\$1,000,000) for an unlimited license. The bond shall be for the benefit of any person who is damaged by an act or omission of the applicant constituting breach of a construction contract or breach of a contract for the furnishing of labor, materials, or professional services to construction undertaken by the applicant, or by an unlawful act or omission of the applicant in the performance of a construction contract. The bond required by this Rule shall be in addition to and not in lieu of any other bond required of the applicant by law, regulation, or any party to a contract with the applicant. Should the surety cancel the bond, the surety and the applicant both shall notify the Board immediately in writing. If the applicant fails to provide written proof of financial responsibility in compliance with this Rule within 30 days of the bond's cancellation, then the applicant's license shall be suspended until written proof of compliance is provided. After a suspension of two years, the applicant shall fulfill all requirements of a new applicant for licensure. The practice of general contracting by an applicant whose license has been suspended pursuant to this Rule will subject the applicant to additional disciplinary action by the Board.

(e) Reciprocity. If an applicant is licensed as a general contractor in another state, the Board, in its discretion, need not require the applicant to successfully complete the written examination as provided by G.S. 87-15.1. However, the applicant must comply with all other requirements of these rules to be eligible to be licensed in North Carolina as a general contractor.

(f) Accounting and reporting standards. Working capital, balance sheet with current and fixed assets, current and long term fiabilities, and other financial terminologies used herein shall be construed in accordance with those standards referred to as "generally accepted accounting principles" as promulgated by the Financial Accounting Standards Board, the American Institute of Certified Public Accountants, and. if applicable, through pronouncements of the Governmental Accounting Standards Board, or their predecessor organizations. An audited financial statement, an unqualified opinion, and other financial reporting terminologies used herein shall be construed in accordance with those standards referred to as "generally accepted auditing standards" as promulgated by the American Institute of Certified Public Accountants through pronouncements of the Auditing Standards Board.

Authority G.S. 87-1; 87-10.

# .0205 FILING DEADLINE/APP SEEKING

## QUAL/EMP/ANOTHER

(a) Any application made pursuant to G.S. 87-10 for a new applicant seeking qualifications by employment of a person who as already passed an examination shall be completed and filed at least 30 days before any regular or special meeting of the Board. At such meeting, the Board will consider the application. The regular meetings of the Board are in January. April, July and October of each year.

(b) The qualifier for the applicant shall be a responsible managing employee, officer or member of the personnel of the applicant, as described in G.S. 87-10 and Rule .0408(a) of this Chapter. A person may serve as a qualifier for the person's own individual license and for only one additional license. A person may not serve as a qualifier under this Rule if such person has not served as a qualifier for a license of the appropriate classification for more than two years prior to the filing of the application. application found to be in complete order. Subject to the provisions of G.S. 150B and Section .0800 of these Rules, the Board may reject the application of an applicant seeking qualification by employment of a person who has already passed an examination if such person has previously served as qualifier for a licensee which has been disciplined by the Board.

(c) It is the responsibility of the holder of a general contractors license to notify the Board immediately in writing <u>as to the termination date</u> in the event the qualifying individual or individuals cease to be connected with the licensee. After such notice is filed with the Board, <u>or the Board determines that the qualifying individual or individuals are no longer connected with he licensee</u>, the license shall remain in full force and effect for a period of 30 days thereafter; from the termination date, and then be cancelled, as provided by G.S. 87-10. Holders of a general contractors license are entitled to reexamination or replacement of the qualifying individual's credentials in accordance with G.S. 87-10, but may not engage in the practice of general contracting for any project whose cost exceeds the monetary threshold set forth in G.S. 87-1 after the license has been cancelled, until another qualifying individual has passed a required examination.

Authority G.S. 87-1; 87-10.

# .0209 APPLICATION

(a) Any application made pursuant to G.S. 87-10, when appropriate must be accompanied by a Certificate of Assumed Name as filed with the Register of Deeds office in the county in which the applicant is to conduct its business, pursuant to G.S. 66-68. A copy of such certification must be provided with the application to the Board. Applications submitted to the Board on behalf of corporations, limited liability companies and partnerships must be accompanied by a copy of the appropriate documents (Articles of Incorporation, Certificate of Authority, etc.) as filed with the North Carolina Secretary of State's office.

(b) All licensees must comply with the requirements of G.S. 66-68 and must notify the Board within 30 days of any change in the ame in which the licensee is conducting business in the State of North Carolina.

Authority G.S. 87-1; 87-4; 87-10.

## **SECTION .0300 - APPLICATION PROCEDURE**

## .0307 NOTICE OF APPROVAL

Within two weeks of the examination, an applicant will be notified of the determination by the Board of his application. If the Board approves the application, the applicant will be notified also of the exact date and location of the examination.

Authority G.S. 87-1; 87-10.

## SECTION .0400 - EXAMINATION

## .0402 SUBJECT MATTER

(a) In light of the requirements of G.S. 87-10, the examinations given by the Board are designed to ascertain:

- the applicant's general knowledge of the practice of contracting in areas such as plan and specification reading, cost estimation, safety requirements, construction theory and other similar matters of general contracting knowledge;
- (2) the applicant's knowledge of the practice of contracting within the classification or classifications of general contracting as indicated by the applicant to the Board in his application;
- (3) the applicant's knowledge of the laws of the State of North Carolina relating to contractors, construction and liens, and the aspects and fundamentals of business management and operations.

(b) The content of the examination will depend on the classification or classifications of general contracting for which the applicant seeks licensure, as indicated by his application. Also, within the specialty contractor classification, examinations given by the Board are designed to test the applicant's knowledge of the particular trade, category or categories of specialty contracting indicated in his application. The Examination Information Procedures publication and other available materials describes the suggested examination resources and reference materials for each examination.

Authority G.S. 87-1; 87-10.

# .0405 EXAMINATION SCHEDULE

Examinations are approximately one day in duration and are given in March, June, September and December of each year. Although the exact date and location of each examination cannot be specifically determined in advance, the examinations are usually given during the second Tuesday, Wednesday, or Thursday of the month in question. However, in any event, an applicant will be notified of the exact date and location of an examination upon the approval of the application by the Board no later than two weeks prior to the date of the examination. Upon approval of the application by the Board, applicants will be notified as to the instructions for scheduling the required examination or examinations. Applicants may receive details from the appropriate examinations provider concerning the actual date, time and location to report for the examination or examinations requested. Authority G.S. 87-1; 87-10.

## .0410 FAILING EXAMINATION; REEXAMINATION

Persons taking the examination must receive a score of at least 70 for each part of an examination in order to pass the examination. Persons who do not receive a passing score of 70 or higher on each required part of an examination shall have one calendar year (12 consecutive months) to retake and pass the required part of an examination, for the same classification: may not be reexamined for a period of at least 30 days following the date of any failed examination. The calendar year begins with the first examination date of the part of the examination resulting in a score of less than 70. If a person does not receive a score of 70 or higher on all parts of an examination within one calendar year, the person is required to take all parts of the examination as provided by G.S. 87-10(d):

Authority G.S. 87-10.

## **SECTION .0900 - HOMEOWNERS RECOVERY FUND**

## .0901 DEFINITIONS

The following definitions shall apply to the Board's administration of the Homeowners Recovery Fund established pursuant to Article 1A, Chapter 87 of the General Statutes:

- (1) "Constructing or altering" includes contracting for the construction or alteration of a single-family residential dwelling unit.
- (2) "Dishonest conduct" shall not include a mere breach of a contract.
- (3) "Incompetent conduct" is conduct which demonstrates a lack of ability or fitness to discharge a duty associated with undertaking to construct or alter a single family residential dwelling or the supervision of such construction or alteration.
- "Owner or former owner" includes the owner or former (4)owner of real property who contracted with a general contractor for the construction of a single-family residential dwelling unit. "Owner or former owner" shall not include a person who is a spouse, child, parent, grandparent, sibling, partner, associate, or employee of a general contractor whose conduct caused a reimbursable loss. In addition, the term shall not include general contractors or any financial or lending institution. institution, or any owner or former owner of a single-family residential dwelling unit which has been the subject of an award from the Homeowners Recovery Fund resulting from the same dishonest or incompetent conduct. "Owner or former owner" shall not include the owner of real property who constructed or contracted for construction of a single-family residential dwelling unit without intending to occupy the single-family residential dwelling unit.
- (5) "Substantial completion" means that degree of completion of a project, improvement or specified area or portion thereof whereupon the owner can use the

same for its intended use.

(6) "Separately owned residence" means a building whose construction is governed by Volume VII of the North Carolina State Building Code.

Authority G.S. 87-15.6.

## .0907 HOMEOWNERS RECOVERY FUND HEARING

(a) If it is determined by the Recovery Fund Review Committee that the Board should conduct a hearing on an application, the Board shall give the applicant and general contractor notice of hearing not less than 15 days before the hearing. Notice of hearing to the general contractor shall be sufficient if mailed to the last known address of the general contractor at least 15 days prior to the date of the hearing. This notice shall contain the following information:

- (1) The name, position, address and telephone number of a person at the offices of the Board to contact for further information or discussion;
- (2) The date, time, and place for a pre-hearing conference, if any; and
- (3) Any other information being relevant to informing the parties as to the procedure of the hearing.

(b) All homeowners recovery fund hearings will be conducted by the Board or a panel consisting of a majority of the members of the Board.

(c) The provisions of 21 NCAC 12 .0825 governing disqualification of Board members shall also govern hearings conducted pursuant to this Section.

(d) Should a party fail to appear at a hearing, the Board may proceed with the hearing and make its decision in the absence of the party, provided that the party has given proper notice. The Board may, in its discretion, order a continuance in order to give the party another opportunity to appear.

(e) Any party may be a witness and may present witnesses on the party's behalf at the hearing. The Board staff may also present evidence and participate at the hearing. All oral testimony at the hearing shall be under oath or affirmation. At the request of a party, the presiding officer may exclude witnesses from the hearing room so that they cannot hear the testimony of other witnesses.

(f) At the hearing, the applicant shall be required to show:

- (1) He has suffered a reimbursable loss as defined in G.S. 87-15.5(6) and Rule .0901(c) of this Chapter in the construction or alteration of a single-family dwelling unit owned or previously owned by that person, provided, that if there have been findings entered in a contested civil action relevant to the issue of whether the applicant has suffered a reimbursable loss, then such findings shall be presumed as established for purposes of this Section subject to rebuttal by the general contractor;
- (2) He did not, directly or indirectly, obtain the building permit in his own name or did use a general contractor;
- (3) He has made application within one year after the termination of all judicial proceedings, including

appeals, in connection with the unsatisfied judgment or within the period prescribed in Rule .0904(a) of this Chapter for claims based upon the automatic stay provisions of Section 362 of the U.S. Bankruptcy Code;

(4) He has diligently pursued his remedies against the general contractor and on any applicable bond, surety agreement or insurance contract, and attempted execution on the judgments against all judgment debtors without success.

(g) The general contractor shall be permitted to participate in the hearing as a party and shall have recourse to all appropriate means of defense, including the examination of witnesses.

Authority G.S. 87-15.5; 87-15.6; 87-15.7; 87-15.8.

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# **CHAPTER 46 - BOARD OF PHARMACY**

**Notice** is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Board of Pharmacy intends to adopt the rules cited as 21 NCAC 46.1508, .1816 and amend the rules cited as 21 NCAC 46.1413, .1810, .1814. Notice of Rule-making Proceedings was published in the Register on May 14, 1999.

Proposed Effective Date: August 1, 2000

The Public Hearings will be conducted at:

9:00 a.m. on October 5, 1999 at the Monroe Conference Center, East Carolina School of Medicine, Greenville, NC;
(2) 11:30 a.m. on October 7, 1999 at the Holiday Inn Four Seasons, Forsyth Ballroom, Greensboro, NC;

(3) 10:00 a.m. on October 8, 1999 at the Mountain AHEC, 501 Biltmore Avenue, Asheville, NC.

**Reason for Proposed Action:** (1) To revise requirements for drug distribution and control in a health care facility pharmacy when the pharmacist is absent; (2) To revise requirements for automated dispensing or drug supply devices in a health care facility pharmacy and other permitted pharmacy; (3) To set out procedures for centralized processing of prescription orders; (4) To set out prerequisites for application for the disease state management examination; (5) To revise requirements for compounding.

**Comment Procedures:** Persons wishing to present oral data, views or arguments on a proposed rule or rule change, may file a notice with the Board at least 10 days prior to the public hearing at which the person wishes to speak. Comments should be limited to 10 minutes. The Board's address is Board of Pharmacy, PO Box 459, Carrboro, NC 27510-0459. Written submission of comments or argument will be accepted at any time up to and including October 15, 1999.

# Fiscal Impact State Local Sub. None

# SECTION .1400 - HOSPITALS: OTHER HEALTH FACILITIES

# .1413 ABSENCE OF PHARMACIST

(a) When a health care facility pharmacy is not open 24 hours a day, seven days a week, arrangements shall be made in advance by the pharmacist-manager for provision of drugs and pharmaceutical care to the medical staff, other authorized personnel, and patients of the health care facility after normal working hours by use of an "on call" pharmacist accessible to the facility during all absences, and an ancillary drug cabinet as described in Rule .1414(g)of this section. In addition, one or both of the options in Subparagraphs (a)(1) and (2) may be authorized by the pharmacist-manager to assure access to drugs and pharmaceutical care in the absence of a pharmacist:

- (1) a contractual arrangement with another health care facility, pharmacy, or pharmacist;
- (2)a nurse trained and authorized by the pharmaeistmanager to remove drugs or devices from the pharmacy after hours. The pharmacist-manager shall maintain a current list of authorized persons. Entry into the pharmacy after hours shall occur only if the drug needed is not in the ancillary drug cabinet. The-on-call pharmacist shall be contacted by the nurse to verify the appropriateness and accuracy of the medication order and medication removed from the pharmacy at the time of-removal. The pharmacist-manager shall maintain a current list of authorized persons and document the initial orientation, continuing education, and quality control processes on an ongoing basis. The pharmacistmanager shall maintain a list of restricted medications that cannot be taken from the pharmacy and can only be dispensed by the "on call" pharmacist. For medications not on the restricted list, an "on call" pharmacist must be accessible for questions by the authorized nurse. Within 24 hours, the pharmacist-manager a pharmacist shall verify the accuracy and appropriateness of the medication order and the medication removed from the pharmacy.

(b) A suitable record of drugs or devices removed from ancillary drug cabinets or from pharmacy inventory shall be maintained for three years in the health care facility. The pharmacist-manager shall at least quarterly verify the accuracy of the records.

(c) Supportive personnel approved by the pharmacist-manager may be present in the pharmacy at other than regular service hours to perform certain clerical, repackaging and distributive functions according to written policies and procedures if the drugs so handled are not permitted to leave the pharmacy until all work performed has been checked and certified as being correct by the pharmacist.

(d) Only drugs in unit-of-use packaging shall be removed from the ancillary drug supply or from the pharmacy; they shall be used for administration to a specific patient only, in amounts sufficient to meet the needs for immediate therapeutic requirements. Controlled substances may be stocked and removed from an ancillary drug cabinet; controlled substances may not be removed

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from the pharmacy in the absence of a pharmacist. Drugs shall be pre-labeled by the pharmacist with drug name, strength, lot number and expiration date. A copy of written orders for new medications shall be provided to the pharmacy.

Authority G.S. 90-85.6; 90-85.21; 90-85.32; 90-85.33.

# SECTION .1500 - ADMISSION REQUIREMENTS: EXAMINATIONS

# .1508 PREREQUISITES FOR DISEASE STATE MANAGEMENT EXAMINATION

In order to apply for the disease state management examination administered by the Board, a pharmacist must:

- (1) be a North Carolina licensed pharmacist;
- (2) have 2000 hours following licensure of clinical handson Board approved pharmacy related experience; and
- (3) successfully complete the North Carolina Center for Pharmaceutical Care (NCCPC) recognized certification program, or successfully complete any national certification course or examination.

Authority G.S. 90-85.6; 90-85.34.

# **SECTION .1800 - PRESCRIPTIONS**

## .1810 COMPOUNDING

In accordance with G.S. 90-85.3(c) and (r), and 90-85.6(a), the Board has primary jurisdiction over compounding occurring in locations holding a pharmacy permit, and such <u>All</u> compounding shall comply with the following:

- (1) based on the existence of a practitioner-pharmacistpatient relationship and the presentation of a valid prescription, or in anticipation of prescription orders based on established prescribing patterns, a pharmacist may compound a drug product for an individual patient. A pharmacist also may compound a drug product prior to receiving a valid prescription based on a history of receiving valid prescriptions generated within an established practitioner-pharmacist-patient relationship. Compounded drug products shall not be offered to other entities for resale; however, practitioners may obtain compounded drug products to administer to patients within the scope of their professional practice;
- (2) the pharmacist is responsible for all aspects of compounding; however, unlicensed personnel working under the supervision of the pharmacist may assist in compounding;
- (3) drug substances used for compounding shall be USP or NF grade, or if unavailable, AR, CP, ACS, or FCC grade substances may be used. If none of the foregoing grades are available, then the pharmacist must establish the purity and safety of the ingredient prior to its use. Manufactured drug products used for ingredients must be labeled with a batch control number and a future expiration date;

- (4) equipment and utensils used for compounding shall not be reactive, additive or absorptive so that the safety, identity, strength, quality, and purity of the compounded drug product will not be adversely affected. All compounding equipment and utensils shall be cleaned and sanitized prior to use. A compounding pharmacy shall have written procedures and formulas for the compounding of drug products;
- (5) (1) any excess compounded drug product retained by the pharmacy shall be labeled with a complete list of ingredients or reference to such information, the preparation date, and an expiration date based upon the pharmacist's professional judgment. The excess compounded drug product shall be stored under conditions to preserve its strength, quality and purity;
   (f) (2)
- (2) with the exception of the simple reconstitution of drug products, the pharmacy shall maintain a log referencing instructions for compounding and showing the name or initials of the person who compounded a drug product and the name or initials of the pharmacist who checked the compounded drug product; product, if applicable;
- (7) (3) with the exception of the simple reconstitution of drug products, the pharmacy shall maintain a recordkeeping system to ensure public safety in the event that a recall occurs. from which the date of purchase, supplier, manufacturer, and lot number or other identifier of each ingredient can be determined for each compounded drug product dispensed; provided however, that health care facility pharmacies may comply with this requirement by maintaining records of lot numbers only. All pharmacy records resulting from compounding, including the compounding log, shall be readily retrievable and maintained in the pharmacy for a period of three years;
  - (8) in addition to the requirements of this Section, the compounding of radiopharmaceutical drug products shall comply with Section .2700 of this Chapter;
  - (9) in addition to the requirements of this Section, the compounding of sterile parenteral drug products shall comply with Section .2800 of this Chapter.

Authority G.S. 90-85.6; 90-85.32.

# .1814 AUTOMATED DISPENSING OR DRUG SUPPLY DEVICES

(a) Automated dispensing or drug supply devices may be used in health care facility pharmacies and where a pharmacy permit exists, for maintaining patient care unit medication inventories or for a patient profile dispensing system, provided the utilization of such devices is under the supervision of a pharmacist. The pharmacist-manager shall develop and implement procedures to assure safe and effective use of medications, and, at a minimum, shall assure that:

 only authorized personnel, as indicated by written policies and procedures, may obtain access to the drug inventories;

- (2) all drugs therein are reviewed no less than monthly:
- (3) a system of accountability must exist for all drugs contained therein; the purity, potency, and integrity of the drugs shall be preserved;
- the device provides records required by this Section and other applicable laws and rules;
- (5) requirements for controlled substances security are met; and
- (6) prior to the drug being released for access by the nurse, the pharmacist enters the medication order into a computerized pharmacy profile that is interfaced to the automated dispensing unit, so that drug allergy screening, therapeutic duplication, and appropriate dose verification is done prior to the drug being administered.

(b) Medications may be overridable, without an order being entered into an interfaced pharmacy information system, only in those situations where the automated dispensing device is being used for the purpose of providing floor stock controlled substances; and/or limited starter doses or PRN doses. This does not preclude the medication order for such drugs being entered into the pharmacy profile for therapeutic checks. Such units may also be used to provide ancillary drug inventories, as defined in Paragraph (g) in Rule .1414 of this Chapter without having a pharmacy profile interface.

(b)(c) Pharmacist supervision shall include:

- The packaging and labeling of drugs to be placed in the dispensing devices. Such packaging and labeling shall conform to all requirements pertaining to containers and label contents;
- (2) The placing of previously packaged and labeled drug units into the dispensing device;
- (3) The removal of the drug from the dispensing device and the final labeling of the drug after removal from the dispensing device; and
- (4) In the absence of a pharmacist, a person legally qualified to administer drugs may remove drugs from the dispensing devices only in the quantity of doses needed to satisfy immediate patient needs.

(c)(d) Bar code scanning of drug packaging and storage units may be utilized as a quality control mechanism if this technology is available in the automated dispensing system.

(d)(e) Restocking of automated dispensing devices may be done by pharmacy technicians under the supervision of the pharmacist.

Authority G.S. 90-85.6; 90-85.32; 90-85.33.

# .1816 PROCEDURES FOR CENTRALIZED PROCESSING OF PRESCRIPTION ORDERS

(a) A pharmacy permitted by the Board may process a request for the filling or refilling of a prescription order received by a pharmacy within this State, provided:

- (1) The pharmacy that is to fill or refill the prescription either has a contract with the pharmacy which received the prescription or has the same owner as the other pharmacy.
- (2) The prescription container:
  - (A) is clearly labeled with all information required by Federal and State laws and regulations; and
    - (B) <u>clearly shows the name and address of the</u> <u>pharmacy refilling the prescription and/or the</u> <u>name and address of the pharmacy which</u> <u>receives the refilled prescription for dispensing to</u> <u>the patient.</u>
- (3) The patient is provided with written information, either on the prescription label or with the prescription container, written information that describes which pharmacy to contact if the patient has any questions about the prescription or medication.
- (4) <u>Both pharmacies maintain complete and accurate</u> records of the prescription, including:
  - (A) the name of the pharmacist who fill or refills the prescription;
  - (B) the name of the pharmacy filling or refilling the prescription; and
  - (C) the name of the pharmacy that received the fill or refill request.
- (5) The pharmacy that fills or refills the prescription and the pharmacy that receives the prescription for dispensing to the patient share a common electronic file.
- (6) The originating pharmacy is responsible for compliance with the requirements of Federal and State laws and regulations regarding recordkeeping and patient counseling.

(b) Nothing in this Section shall be construed as barring a pharmacy from also filling new prescriptions presented by a patient or a patient's agent or transmitted to it by a prescriber.

Authority G.S. 90-85.6; 90-85.32.

**T**he Codifier of Rules has entered the following temporary rule(s) in the North Carolina Administrative Code. Pursuant to G.S. 150B-21.1(e), publication of a temporary rule in the North Carolina Register serves as a notice of rule-making proceedings unless this notice has been previously published by the agency.

# TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Rule-making Agency: Commission for Health Services

Rule Citation: 15A NCAC 19A .0401

Effective Date: August 20, 1999

Findings Reviewed and Approved by: Beecher R. Gray

Authority for the rule-making: G.S. 130A-152

**Reason for Proposed Action:** Hepatitis B vaccine (and many other vaccines) currently contain thimerosal which is a preservative that kills bacteria in vaccines. Thimerosal contains mercury. Due to the potential risk of a cumulative level of mercury over the first six months of life, the US Public Health Service and the American Academy of Pediatrics (AAP) jointly recommend that the first dose of hepatitis B be delayed until two to six months of life. Subsequently, the AAP has issued a statement recommending delay dose Iuntil six months of age until licensure of a thimerosal free hepatitis B vaccine. The AAP's statement is in conflict with the current North Carolina Rule, which states that the first dose must be administer by three months.

**Comment Procedures:** Copies of the proposed rules and information packages may be obtained by contacting the Immunization Branch at 919-715-6764. Written comments may be submitted to Barbara Laymon, Immunization Branch, DPH, PO Box 29597, Raleigh, NC 27626.

# **CHAPTER 19 - HEALTH: EPIDEMIOLOGY**

# SUBCHAPTER 19A - COMMUNICABLE DISEASE CONTROL

# SECTION .0400 - IMMUNIZATION

# .0401 DOSAGE AND AGE REQUIREMENTS FOR IMMUNIZATION

Every individual in North Carolina required to be immunized pursuant to G.S. 130A-152 through 130A-157 shall be immunized against the following diseases by receiving the specified minimum doses of vaccines by the specified ages:

- Diphtheria, tetanus, and whooping cough vaccine -- five doses: three doses by age seven months and two booster doses, one by age 19 months and the second on or after the fourth birthday and before enrolling in school (K-1) for the first time. However:
  - (a) An individual who has attained his or her seventh

birthday without having been immunized against whooping cough shall not be required to be immunized with a vaccine preparation containing whooping cough antigen;

- (b) Individuals who receive the first booster dose of diphtheria, tetanus, and whooping cough vaccine on or after the fourth birthday shall not be required to have a second booster dose;
- (c) Individuals attending school, college or university or who began their tetanus/diphtheria toxoid series on or after the age of seven years shall be required to have three doses of tetanus/diphtheria toxoid of which one must have been within the last 10 years;
- (2) Poliomyelitis vaccine--four doses: two doses of trivalent type by age five months; a third dose trivalent type before age 19 months, and a booster dose of trivalent type on or after the fourth birthday and before enrolling in school (K-1) for the first time. However:
  - (a) An individual attending school who has attained his or her 18th birthday shall not be required to receive polio vaccine;
  - (b) Individuals who receive the third dose of poliomyelitis vaccine on or after the fourth birthday shall not be required to receive a fourth dose;
  - (c) The requirements for booster doses of poliomyelitis vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987.
- (3) Measles (rubeola) vaccine--two doses of live, attenuated vaccine administered at least 30 days apart: one dose on or after age 12 months and before age 16 months and a second dose before enrolling in school (K-1) for the first time. However:
  - (a) An individual who has been documented by serological testing to have a protective antibody titer against measles shall not be required to receive measles vaccine;
  - (b) An individual who has been diagnosed prior to January 1, 1994, by a physician licensed to practice medicine as having measles (rubeola) disease shall not be required to receive measles vaccine;
  - (c) An individual born prior to 1957 shall not be required to receive measles vaccine;
  - (d) The requirement for a second dose of measles vaccine shall not apply to individuals who enroll in school (K-1) or in college or university for the first time before July 1, 1994;
- (4) Rubella vaccine--one dose of live, attenuated vaccine on or after age 12 months and before age 16 months.

### However:

- An individual who has been documented by (a) serologic testing to have a protective antibody titer against rubella shall not be required to receive rubella vaccine;
- An individual who has attained his or her fiftieth (b) birthday shall not be required to receive rubella vaccine:
- (e) An individual who entered a college or university after his or her thirtieth birthday and before February 1, 1989 shall not required to meet the requirement for rubella vaccine;
- Mumps vaccine--one dose of live, attenuated vaccine (5)administered on or after age 12 months and before age 16 months. However:
  - (a) An individual born prior to 1957 shall not be required to receive mumps vaccine;
  - The requirements for mumps vaccine shall not (b) apply to individuals who enrolled for the first time in the first grade before July 1, 1987 or in college or university before July 1, 1994. An individual who has been documented by serological testing to have a protective antibody titer against mumps shall not be required to receive mumps vaccine.
- (6)Haemophilus influenzae. b, conjugate vaccine--three doses of HbOC or two doses of PRP-OMP before age seven months and a booster dose of any type on or after age 12 months and by age 16 months. Individuals born before October 1, 1988 shall not be required to be vaccinated against Haemophilus influenzae, b. Individuals who receive the first dose of Haemophilus influenzae. b, vaccine on or after 12 months of age and before 15 months of age shall be required to have only two doses of HbOC or PRP-OMP. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 15 months of age shall be required to have only one dose of any of the Haemophilus influenzae conjugate vaccines, including PRP-D. However, no individual who has passed their fifth birthday shall be required to be vaccinated against Haemophilus influenzae, b.
- (7)Hepatitis B vaccine-three doses: one dose by age three months, a second dose before age five months and a third dose by age 19 months. Individuals born before July 1, 1994 shall not be required to be vaccinated against hepatitis B.

(b) Notwithstanding the requirements of Paragraph (a) of this Rule:

- An individual who has attained his or her seventh  $(\mathbf{1})$ birthday without having been immunized against whooping cough shall not be required to be immunized with a vaccine preparation containing whooping cough antigen:
- (2)An individual who has been documented by serologic testing to have a protective antibody titer against rubella shall not be required to receive rubella vaccine.
- (3)An individual who has been documented by serological

testing to have a protective antibody-titer against measles shall not be required to receive measles vaccine. An individual who has been diagnosed prior to January 1, 1994; by a physician licensed to practice medicine as having measles (rubeola) disease shall not be required to receive measles vaccine.

- An individual attending school who has attained his or (4)her 18th birthday shall not be required to receive oral polio vaccine.
- (5)An individual born prior to 1957 shall not be required to receive measles or mumps vaccine. An individual who has attained his or her fiftieth birthday shall not be required to receive rubella vaccine. An individual who entered a college or university after his or her thirtieth birthday and before February 1, 1989-shall-not be required to meet the requirement for rubella vaccine.
- (6)The requirements for mumps vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987 or in college or university before July 1, 1994. An individual who has been documented by serological testing to have a protective antibody titer against mumps shall not be required to receive mumps vaccine:
- (7)Individuals who receive the first booster dose of diphtheria, tetanus, and whooping cough vaccine on or after the fourth birthday shall not be required to have a second booster dose. Individuals who receive the third dose of oral poliomyelitis vaccine on or after the fourth birthday shall not be required to receive a fourth dose.
- (8)The requirements for booster doses of diphtheria; tetanus, and whooping cough vaccine and oral poliomyelitis vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987. However, individuals attending a college-or university or who began their tetanus/diphtheria toxoid series on or after the age of seven years shall be required to have three doses of tetanus/diphtheria toxoid of which one must have been within the last 10 years.
- (9)Individuals born before October 1, 1988 shall not be required to be vaccinated against Haemophilus influenzae, b. Individuals who receive the first dose of Haemophilus-influenzae, b, vaccine on or after-12 months of age and before 15 months of age shall be required to have only two doses of HbOC or PRP-OMP. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 15 months of age shall be required to have only one dose of any of the Haemophilus influenzae conjugate vaccines, including PRP-D. However, no individual who has passed their fifth birthday shall be required to be vaccinated against Haemophilus influenzae, b.
- Individuals born before July 1, 1994 shall not be (01)required to be vaccinated against hepatitis B:
- (++)The requirement for a second dose of measles vaccine shall not apply to individuals who enroll in school (K-1) or in college or university for the first time before July 1, 1994.

14:6

History Note: Authority G.S. 130A-152(c): 130A-155.1;
Eff. February I, 1976;
Amended Eff. July 1, 1977;
Readopted Eff. December 5, 1977;
Filed as a Temporary Amendment Eff. February 1, 1988, for a period of 180 days to expire on July 29, 1988;
Amended Eff. October 1, 1995; October 1, 1994; January 1, 1994;
January 4, 1993;
Filed as a Temporary Amendment Eff. May 21, 1999;
Temporary Amendment Eff. August 20, 1999.

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Rule-making Agency: Commission for Health Services

**Rule Citation:** *15A NCAC 21F .1201- .1204; 15A NCAC 21H .0314* 

Effective Date: October 1, 1999

Findings Reviewed by Beecher R. Gray: Approved

Authority for the rule-making: G.S. 130-125

**Reason for Proposed Action:** Approximately 80% of this State's birthing hospitals now have one of three types of equipment for performing newborn physiologic hearing screenings. Varied testing protocols are being employed across this State which result in varied outcomes regarding pass/fail interpretations. The North Carolina General Assembly (S.L. 1998, c. 131, s. 13) directed the Commission for Health Services to adopt temporary and permanent rules to include newborn hearing screening in the Newborn Screening Program established under G.S. 130A-125. This agency has oversight responsibility for the screening of newborns and has been placing hearing screening devices in birthing hospitals, as part of a pilot project. In order to allow adequate time for the remaining hospitals to obtain equipment and training, the effective of these rules is 10/01/99.

**Comment Procedures:** Written comments may be submitted to Mary Ann Stone, Children's Special Health Services, PO Box 29597, Raleigh, NC 27626-0957. Copies of the proposed rules may be obtained by contacting Mary Ann Stone at (919) 715-3904.

# **CHAPTER 21 - HEALTH: PERSONAL HEALTH**

# SUBCHAPTER 21F - CHILDREN'S SPECIAL HEALTH SERVICES: CHILDREN ANDYOUTH SECTION

# SECTION .1200 - NEWBORN SCREENING PROGRAM

## .1201 GENERAL

The hearing screening component of the Department of Health and Human Services 'Newborn Screening Program is administered by the central office staff for Children's Special Health Services. The genetic screening component of the Department's Newborn Screening Program is found in 15A NCAC 21H .0314. History Note: Authority G.S. 130A-125; Temporary Adoption Eff. October 1, 1999.

## .1202 DEFINITIONS

<u>As used in this Section:</u>

- (1) <u>"CSHS" means the Children's Special Health Services</u> <u>Program central office staff.</u>
- (2) "Neonate" means any term infant less than one month of age or any preterm infant less than one month corrected age.
- (3) "Person" means any natural person, partnership, corporation, unit of government of this State, and any unincorporated organizations.

History Note: Authority G.S. 130A-125; <u>Temporary Adoption Eff. October 1, 1999.</u>

# .1203 SCREENING REQUIREMENTS

(a) The attending physician shall order and medical facilities, that provide birthing or inpatient neonatal services, shall make reasonable efforts to cause each neonate, born in North Carolina, to be physiologically screened in each car for the presence of permanent hearing loss.

(b) Parents or guardians may object to the hearing screening in accordance with G.S. 130A-125(b).

History Note: Authority G.S. 130A-125; S.L. 1998, c. 131, s. 13; Temporary Adoption Eff. October 1, 1999.

## .1204 REPORTING REQUIREMENTS

(a) The attending physician shall order and medical facilities, that provide birthing or inpatient neonatal services, shall make reasonable efforts to identify and report to the local health department of residence all neonates who, prior to discharge home, were not successfully screened or who failed to pass the physiologic hearing screening. These reports shall be submitted within 30 days after discharge.

(b) All persons performing neonatal physiologic hearing screenings shall report quarterly to CSHS, within 30 days after the end of each quarter in the calendar year, the following:

- (1) Total number of neonates who were screened by each tester and the number who passed that screening, with the results of multiple screenings for the same neonate heing clarified.
- (2) Total number of neonates whose parents or guardians objected to the hearing screening.

(c) All persons performing physiologic hearing screenings for infants less than six months of age shall adopt testing and monitoring procedures and follow-up protocols to assure referral for diagnosis and treatment, in accordance with a statewide plan developed by CSHS in consultation with the Early Diagnosis and Intervention Section in the Division of Education Services in the Department.

History Note: Authority G.S. 130A-125; <u>Temporary Adoption Eff. October 1, 1999.</u>

# SUBCHAPTER 21H - SICKLE CELL SYNDROME: GENETIC COUNSELING: CHILDREN AND YOUTH SECTION

## **SECTION .0300 - GENETIC HEALTH CARE**

# .0314 SUBMISSION OF BLOOD SPECIMENS FOR SCREENING OF NEWBORNS

(a) The attending physician shall draw a blood specimen for each infant born in North Carolina and shall submit such specimens to the North Carolina State Laboratory for Public Health for testing for the following metabolic and other hereditary and congenital disorders:

- (1) phenylketonuria (PKU),
- (2) galactosemia,
- (3) congenital primary hypothyroidism,
- (4) congenital adrenal hyperplasia (21-hydroxylase deficiency).
- (5) sickle cell disease.

(b) Notwithstanding Paragraph (a) of this Rule, parents or guardians may object to screening in accordance with G.S. 130A-125(b).

(c) The hearing screening component of the Department's Newborn Screening Program is found in 15A NCAC 21F .1200.

History Note: Authority G.S. 130A-125; Eff. April 1, 1992;

ecodified from 15A NCAC 21E .0501 Eff. February 10, 1993; Amended Eff. April 1, 1994; <u>Temporary Amendment Eff. October 1, 1999.</u>

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Rule-making Agency: Commission for Health Services

Rule Citation: 15A NCAC 24A .0402-.0403

Effective Date: August 23, 1999

Findings Reviewed and Approved by: Beecher R. Grav

Authority for the rule-making: G.S. 130A

**Reason for Proposed Action:** This proposed action is being initiated by agency staff in response to: (1) a recent budget shortfall; (2) increasing demand for high-cost services, and (3) discussions within an Appropriations Sub-committee, which recently approved the transfer of funds into the program to keep it open.

**Comment Procedures:** Comments may be submitted in writing to Richard F. Moore, DHHS Controller's Office, Purchase of Medical Care Services Unit, PO Box 29602, Raleigh, NC 29626-602.

**CHAPTER 24 - GENERAL PROCEDURES FOR PUBLIC** 

# HEALTH PROGRAMS

## SUBCHAPTER 24A - PAYMENT PROGRAMS

# SECTION .0400 - REIMBURSEMENT

## .0402 REIMBURSEMENT FOR INPATIENT HOSPITALIZATION

(a) The Department shall reimburse providers of authorized inpatient hospitalization services at 80 percent of the hospital's inpatient cost rate, which is then applied to the amount billed for authorized services. The inpatient cost rate is a ratio of cost to charges that is derived from audited cost reports and is obtained from the Division of Medical Assistance. The Department shall use the cost rate in effect on the date a claim is received, and retroactive adjustments to claims paid shall not be made. If a cost rate cannot be obtained for an out-of-state hospital, the Department shall reimburse the hospital at 75 percent of the billed amount for authorized services. The cost rates and any subsequent amendments and editions are incorporated herein by reference in accordance with G.S. 150B-21.6. The cost rates can be obtained from the Office of the Controller, Purchase of Medical Care Services, P.O. Box 29602, Raleigh, N.C. 27626-0602.

(b) In addition to the requirements of Paragraph (a) of this Rule, in the Cancer Program there shall be a limit on the payment for an inpatient admission of 1% of the program's current annual budget.

History Note: Filed as a Temporary Amendment Eff. July 6, 1992 for a Period of 180 Days or Until the Permanent Rule is Effective, Whichever is Sooner;

Filed as a Temporary Amendment Eff. August 31, 1983, for a Period of 120 Days to Expire on December 29, 1983;

Authority G.S. 130A-5(3); 130A-124; 130A-127; 130A-129; 130A-205; 130A-223;

Eff. October 1, 1982;

Amended Eff. May 1, 1987; July 1, 1986; January 1, 1985;

Transferred and Recodified from 10 NCAC 4C .0402 Eff. April 4, 1990;

Amended Eff. April 1, 1999; July 1, 1995; October 1, 1992; February 1, 1992; December 1, 1990;

Temporary Amendment Eff. August 23, 1999.

# .0403 REIMBURSEMENT FOR PROFESSIONAL, OUTPATIENT, OTHER SERVICES

(a) The Department shall reimburse providers of authorized outpatient services, professional services, and all other services not otherwise covered in the rules of this Section at the Medicaid rate in effect at the time the claim is received by the Department, except in the Migrant Health Program.

(b) The Migrant Health Program shall reimburse providers of program covered outpatient, professional, and other services at the Medicaid rate in effect at the time the claim is received minus the allowable patient copayment to a maximum program payment of one hundred fifty dollars (\$150.00) per claim, per date of service. The allowable patient copayment is three dollars (\$3.00) for each prescribed drug and five dollars (\$5.00) per claim, per date of service for all other services. The one hundred fifty dollar (\$150.00) limit shall not apply to drugs, medical supplies, and

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durable medical equipment.

(c) In addition to the requirements of Paragraph (a) of this Rule, for professional and outpatient services under the Cancer Program, there shall be a per claim payment limit of 1% of the program's current annual budget.

History Note: Filed as a Temporary Amendment Eff. July 6, 1992 for a Period of 180 Days or Until the Permanent Rule is Effective, Whichever is Sooner;

Authority G.S. 130A-5(3); 130A-124; 130A-127; 130A-129; 130A-205; 130A-223;

Eff. October 1, 1982;

Amended Eff. July 1, 1983;

Transferred and Recodified from 10 NCAC 4C .0403 Eff. April 4, 1990:

Amended Eff. April 1, 1999; April 1, 1995; October 1, 1992; December 1,1990;

Temporary Amendment Eff. August 23, 1999.

## **TITLE 21 - OCCUPATIONAL LICENSING BOARDS**

# **CHAPTER 16 - BOARD OF DENTAL EXAMINERS**

Rule-making Agency: North Carolina State Board of Dental Examiners

Rule Citation: 21 NCAC 16M .0102; 16S .0101-.0102, .0201, .0203..0205

Effective Date: August 20, 1999

Findings Reviewed and Approved by: Beecher R. Grav

Authority for the rule-making: G.S. 90-48; 90-48.2; 90-48.3; 90-232

**Reason for Proposed Action:** (1) The amendment of 21 NCAC 16M .0101 is necessary because without an increase in funds, the Caring Dentist Program cannot accept new participants; and (2) The amendments of 21 NCAC 16S .0101-.0102, .0201, .0203, .0205 are necessary to integrate dental hygienists into the Caring Dentist Program

**Comment Procedures:** Written comments may be submitted on the subject matter of the proposed rule-making to Christine H. Lockwood, Executive Director of the Board at the Board's office. The Board's address is PO Box 32270, Raleigh, NC 27622-2270.

## **SUBCHAPTER 16M - FEES PAYABLE**

## **SECTION .0100 - FEES PAYABLE**

#### .0102 **DENTAL HYGIENISTS**

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(a) The following fees shall be payable to the Board:

- (1)Application for examination \$125.00
- (2)Reinstatement of license after retirement from practice

in this State

- (3)Application for provisional licensure \$60.00
- Certificate to a resident dental hygienist desiring to (4)change to another state or territory \$25.00

(b) The fee payable to the Board for each dental hygienist renewal certificate shall be annually fixed by the Board. Each year the Board shall give written notice of the amount of said renewal fee to each dental hygienist-licensed to practice in this state by mailing such notice no later than November 30 to the last address of record for each such dental hygienist. This renewal fee shall not exceed sixty dollars (\$60.00):

(b) Each dental hygienist renewing his or her license to practice dental hygiene in North Carolina shall be assessed a fee of fifteen dollars (\$15.00), in addition to the annual renewal fee, to be contributed to the operation of the Caring Dentist Program.

History Note: Authority G.S. 90-232; Eff. September 3, 1976; Readopted Eff. September 26, 1977; Amended Eff. May 1, 1989; March 1, 1988; May 1, 1987; Temporary Amendment Eff. August 20, 1999.

# SUBCHAPTER 16S - IMPAIRED DENTIST PROGRAM

# **SECTION .0100 - GENERAL**

#### .0101 **DEFINITIONS**

The following definitions are applicable to impaired dentist programs established in accordance with G.S. 90-48.2:

- "Board" means the North Carolina State Board of (1)Dental Examiners;
- (2)"Impairment" means chemical dependency or mental illness;
- (3)"Board of Directors" means individuals comprising the oversight panel consisting of representatives from the North Carolina Dental Society, the Board, licensed dental hygienists, and the UNC School of Dentistry established to function as a supervisory body to the Caring Dentist Program;
- "Director" means the person designated by the Board of (4)Directors to organize and coordinate the activities of the Caring Dentist Program;
- (5)"Caring Dentist Program" means the program established through agreements between the Board and special impaired dentist peer review organizations formed by the North Carolina Dental Society made up of Dental Society members designated by the Society, the Board, a licensed dental hygienist upon recommendation of the dental hygienist member of the Board, and the UNC School of Dentistry to conduct peer review activities as provided in G.S. 90-48.2(a).
- (6) "Caring Dentist Program members" means volunteer Dental Society members selected by the Board of Directors from peer review organizations to serve as parties to interventions, to direct impaired dentists into treatment, and as monitors of those individuals receiving treatment. Peer liaisons and volunteers participating in

\$60.00

programs for impaired dental hygienists shall be dental hygienists.

listory Note: Authority G.S. 90-48; 90-48.2; 90-48.3; Eff. April 1, 1994: <u>Temporary Amendment Eff. August 20, 1999.</u>

# .0102 BOARD AGREEMENTS WITH PEER REVIEW ORGANIZATIONS

The Board shall enter into agreements with special impaired dentist peer review organizations, pursuant to G.S. 90-48.2, to establish the Caring Dentist Program to be supervised by the Board of Directors. Such agreements shall provide for:

- investigation, review and evaluation of records, reports, complaints, litigation, and other information about the practice and practice patterns of licensed dentists <u>and</u> <u>dental hygienists</u> as may relate to impaired <del>dentists;</del> <u>dentists and dental hygienists;</u>
- (2) identification, intervention, treatment, referral, and follow up care of impaired dentists; dentists and dental <u>hygienists</u>; and due process rights for any subject dentist. dentist or dental <u>hygienist</u>.

History Note: Authority G.S. 90-48; 90-48.2; 90-48.3; Eff. April 1, 1994; <u>Temporary Amendment Eff. August 20, 1999.</u>

## SECTION .0200 - GUIDELINES FOR PROGRAM ELEMENTS

# .0201 RECEIPT AND USE OF INFORMATION OF SUSPECTED IMPAIRMENT

(a) Information concerning suspected impairment may be received by the Caring Dentist Program through any of the following sources:

- (1) reports of physicians, psychologists or counselors;
- (2) reports from family members, staff or other individuals;
- (3) self-referral; or
- (4) referral by the Board.

(b) When information of suspected impairment is received, the Program shall conduct an investigation and routine inquiries to determine the validity of the report.

(c) Dentists and dental hygienists suspected of impairment may be required to submit to personal interviews if the investigation and inquiries indicate the report of impairment may be valid.

History Note: Authority G.S. 90-48; 90-48.2; 90-48.3; Eff. April 1, 1994; <u>Temporary Amendment Eff. August 20, 1999.</u>

# .0203 INTERVENTION AND REFERRAL

(a) Following an investigation, if an impairment is determined to exist and confirmed, an intervention shall be conducted using specialized techniques designed to assist the dentist <u>or dental ygienist</u> in acknowledging responsibility for dealing with the impairment. The dentist <u>or dental hygienist</u> shall be referred to an appropriate treatment source.

(b) Following an investigation, intervention, treatment, or upon

receipt of a complaint or other information, a peer review organization participating in the Caring Dentist Program shall report to the Board detailed information about any dentist or dental hygienist licensed by the Board, if it is determined that:

- (1) the dentist <u>or dental hygienist</u> constitutes an imminent danger to the <u>public or himself</u>, <u>public or himself</u> or <u>herself</u>;
- (2) the dentist <u>or dental hygienist</u> refuses to cooperate with the program, refuses to submit to treatment, or is still impaired after treatment and exhibits professional incompetence; or
- (3) it reasonably appears that there are other grounds for disciplinary action.

(c) Program members may consult with medical professionals and treatment sources as necessary in carrying out the Program's directives.

(d) Interventions shall be arranged and conducted as expeditiously as possible. When interventions are conducted as a direct result of a Board-initiated referral, a Board representative may be present.

(e) Treatment sources shall be evaluated and determined applicable before an individual is referred for treatment, and any treatment contracts or aftercare agreements shall be documented and recorded by the Program.

History Note: Authority G.S. 90-48; 90-48.2; 90-48.3; Eff. April 1, 1994;

Temporary Amendment Eff. August 20, 1999.

## .0205 MONITORING REHABILITATION AND PERFORMANCE AFTER TREATMENT

(a) Program members shall monitor dentists and dental <u>hygienists</u> following treatment. Testing for impairment shall be conducted until rehabilitation has been accomplished.

(b) Treatment sources shall submit reports to the Director concerning a dentist's <u>or dental hygienist's</u> rehabilitation and performance.

(c) Impaired dentists and dental hygienists shall submit to periodic personal interviews before the Director or Program members designated by the Director; or, for those referred to the Program by the Board, before the Board's agents. The frequency of personal interviews shall be determined by the dentist's or dental hygienist's ability to accomplish rehabilitation and adequately perform after treatment.

(d) Complete records shall be maintained by the Program on all dentists <u>and dental hygienists</u> reporting for assistance, treatment, or monitoring and such records shall remain confidential in accordance with G.S. 90-48.2(e).

(e) The Program shall maintain statistical information regarding impairment, to be reported to the Board periodically, but no less than once a year.

(f) The Program shall compile and report information periodically to the Board regarding investigations, reports, complaints, intervention, treatment, referral, rehabilitation and follow up care of impaired <del>dentists</del>: <u>dentists</u> and <u>dental hygienists</u>. Such reports shall not identify the subject dentist <u>or dental hygienist</u> unless the dentist <u>or dental hygienist</u> was referred by the Board or a determination under Rule .0203(b) of this Section has

been made.

(g) An audit of the Caring Dentist Program funds shall be conducted in conjunction with the Board's annual audit.

History Note: Authority G.S. 90-48; 90-48.2; Eff. April 1, 1994; Temporary Amendment Eff. August 20, 1999.

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# CHAPTER 48 - BOARD OF PHYSICAL THERAPY EXAMINERS

Rule-making Agency: NC Board of Physical Therapy Examiners

Rule Citation: 21 NCAC 48F.0102

Effective Date: October 1, 1999

Findings Reviewed and Approved by: Julian Mann, III

**Authority for the rule-making:** *G.S.* 25-3-512; 90-270.33; *S.L.* 1999-345

**Reason for Proposed Action:** In the 1999 Session of the North Carolina General Assembly, the Legislature granted the Board statutory authority to increase the fees, effective immediately. The majority of fees that will be collected in 1999-2000 will be collected in November 1999, December 1999, and January, 2000. The Board had a deficit of \$54,000 in 1998-1999 fiscal year and without the fee increase, the Board will experience a larger deficit in 1999-2000. Therefore, it is imperative that the Temporary Rule become effective before November, 1999 to avoid a deficit in the current fiscal year.

**Comment Procedures:** Comments may be submitted to Mr. Ben Massey, Jr., PT, Executive Director, NC Board of Physical Therapy Examiners, 18 West Colony Place, Suite 120, Durham, NC 27705, (919) 490-6393.

# SUBCHAPTER 48F - CERTIFICATES: FEES: INVESTIGATIONS: RECORD OF LICENSEES

## SECTION .0100 - CERTIFICATES: FEES: INVESTIGATIONS: RECORD OF LICENSEES

# .0102 FEES

(a) The following fees are charged by the Board:

(1) application for physical therapist licensure:

(A) by endorsement or examination taken in another

state, one hundred dollars (\$100.00); one hundred twenty dollars (\$120.00);

- (B) by examination, one hundred dollars (\$100.00) one hundred twenty dollars (\$120.00) plus cost of examination;
- (2) application for physical therapist assistant licensure:
  - (A) by endorsement or examination taken in another state, one hundred dollars (\$100.00); one hundred twenty dollars (\$120.00);
  - (B) by examination, one hundred dollars (\$100.00) one hundred twenty dollars (\$120.00) plus cost of examination;
- (3) renewal for all persons, forty-dollars (\$40.00); sixty dollars (\$60.00);
- (4) penalty for late renewal, twenty dollars (\$20.00) plus renewal fee;
- (5) revival of license lapsed less than five years, twenty-five dollars (\$25.00) thirty dollars (\$30.00) plus renewal fee;
- (6) transfer of licensure information fee, including either the examination scores or licensure verification or both, fifteen dollars (\$15.00); twenty-five dollars (\$25.00):
- (7) retake examination, thirty dollars (\$30.00) <u>fifty dollars</u> (\$50.00) plus actual cost of examination:
- (8) certilicate replacement or duplicate. fifteen dollars (\$15.00); twenty dollars (\$20.00);
- (9) directory of licensees, five dollars (\$5.00); ten dollars (\$10.00);
- (10) computer print-out-or labels of any portion of list-of physical therapists; licensee list or labels or any portion there-of for physical therapists, sixty dollars (\$60.00);
- (11) computer-print-out or labels of any portion of list of physical therapist assistants, licensee list or labels or any portion there-of for physical therapist assistants, sixty dollars (\$60.00);
- (12) processing fee for returned checks, maximum allowed by law.

(b) The application fee is not refundable. The Board shall consider written requests for a refund of other tees based on personal or economic hardship.

(c) A certified check, money order or each is required for payment of application fees listed in Parts (a)(1)(A), (B), (C), (D), and (2)(A), (B), (C), and (D) of this Rule.

History Note: Authority G.S. 25-3-512; 90-270.33; Eff. February 1, 1976; Readopted Eff. September 30, 1977; Amended Eff. August 1, 1998; October 1, 1995; October 1, 1994; November 1, 1991; August 1, 1991; <u>Temporary Amendment Eff. October 1, 1999</u>.

### APPROVED RULES

**T**his Section includes the Register Notice citation to Rules approved by the Rules Review Commission (RRC) at its meeting of July 15, 1999 pursuant to G.S. 150B-21.17(a)(1) and reported to the Joint Legislative Administrative Procedure Oversight Committee pursuant to G.S. 150B-21.16. The full text of rules are published below when the rules have been approved by RRC in a form different from that originally noticed in the Register or when no notice was required to be published in the Register. The rules published in full text are identified by an \* in the listing of approved rules. Statutory Reference: G.S. 150B-21.17.

These rules unless otherwise noted, will become effective on the 31st legislative day of the 2000 Session of the General Assembly or a later date if specified by the agency unless a bill is introduced before the 31st legislative day that specifically disapproves the rule. If a bill to disapprove a rule is not ratified, the rule will become effective either on the day the bill receives an unfavorable final action or the day the General Assembly adjourns. Statutory reference: G.S. 150B-21.3.

### **APPROVED RULE CITATION**

2         NCAC         54         .01010102           2         NCAC         54         .0104*           10         NCAC         01B         .04180420*           10         NCAC         29C         .0103           15A         NCAC         03P         .01010102*           15A         NCAC         03P         .01010102*           15A         NCAC         07H         .21012102*           16         NCAC         06B         .0108           16         NCAC         06D         .0210*           16         NCAC         06D         .03010303           16         NCAC         06D         .0305*           16         NCAC         06D         .0305*           16         NCAC         06G         .0202           16         NCAC         06G         .03010303           16         NCAC         06G         .0301*           16         NCAC         06G         .0301*           16         NCAC         06G         .0301*           16         NCAC         031         .0207           16         NCAC         031         .03010302	2	NCAC	09K	.0214*
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10         NCAC         29C         .0103           15A         NCAC         03P         .01010102*           15A         NCAC         07H         .21012102*           16         NCAC         06B         .0108           16         NCAC         06D         .0103*           16         NCAC         06D         .0103*           16         NCAC         06D         .0210*           16         NCAC         06D         .03010303           16         NCAC         06D         .03010303           16         NCAC         06E         .0301           16         NCAC         06G         .0202           16         NCAC         06G         .03080309           16         NCAC         06G         .0311*           19A         NCAC         031         .0207           19A         NCAC         031         .03010302           19A         NCAC         031         .0401           19A         NCAC         031         .0501           19A         NCAC         031         .0601           19A         NCAC         031         .0701		NCAC	54	.0104*
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15A         NCAC         07H         .21012102*           16         NCAC         06B         .0108           16         NCAC         06D         .0103*           16         NCAC         06D         .0210*           16         NCAC         06D         .03010303           16         NCAC         06D         .03010303           16         NCAC         06D         .03010303           16         NCAC         06E         .0301           16         NCAC         06E         .0301           16         NCAC         06G         .0202           16         NCAC         06G         .03080309           16         NCAC         06G         .0301+.0302           16         NCAC         031         .0207           19A         NCAC         031         .03010302           19A         NCAC         031         .0401           19A         NCAC         031         .0501           19A         NCAC         031         .0701           19A         NCAC         031         .0701           19A         NCAC         031         .0701 <td>10</td> <td>NCAC</td> <td>29C</td> <td>.0103</td>	10	NCAC	29C	.0103
16         NCAC         06B         .0108           16         NCAC         06D         .0103*           16         NCAC         06D         .0210*           16         NCAC         06D         .03010303           16         NCAC         06D         .03010303           16         NCAC         06D         .0305*           16         NCAC         06E         .0301           16         NCAC         06G         .0202           16         NCAC         06G         .03080309           16         NCAC         06G         .03010302           16         NCAC         031         .0207           19A         NCAC         031         .03010302           19A         NCAC         031         .0401           19A         NCAC         031         .0501           19A         NCAC         031         .0601           19A         NCAC         031         .0701           19A         NCAC         031         .0701           21         NCAC         14H         .0112*           21         NCAC         16M         .0101*	15A	NCAC	03P	.01010102*
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16       NCAC       06D       .0305*         16       NCAC       06E       .0301         16       NCAC       06G       .0202         16       NCAC       06G       .03080309         16       NCAC       06G       .03080309         16       NCAC       06G       .03010309         16       NCAC       031       .0207         19A       NCAC       031       .03010302         19A       NCAC       031       .0401         19A       NCAC       031       .0501         19A       NCAC       031       .0601         19A       NCAC       031       .0701         19A       NCAC       031       .0701         21       NCAC       14H       .0112*         21       NCAC       16M       .0101*	16	NCAC	06D	.0210*
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16         NCAC         06G         .0202           16         NCAC         06G         .03080309           16         NCAC         06G         .0311*           19A         NCAC         031         .0207           19A         NCAC         031         .03010302           19A         NCAC         031         .0401           19A         NCAC         031         .0401           19A         NCAC         031         .0501           19A         NCAC         031         .0601           19A         NCAC         031         .0701           21         NCAC         14H         .0112*           21         NCAC         16M         .0101*	16	NCAC	06D	.0305*
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16         NCAC         06G         .0311*           19A         NCAC         031         .0207           19A         NCAC         031         .03010302           19A         NCAC         031         .0401           19A         NCAC         031         .0401           19A         NCAC         031         .0501           19A         NCAC         031         .0501           19A         NCAC         031         .0601           19A         NCAC         031         .0701           21         NCAC         14H         .0112*           21         NCAC         16M         .0101*	16	NCAC	06G	.0202
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19A         NCAC         031         .0501           19A         NCAC         031         .0601           19A         NCAC         031         .0701           21         NCAC         14H         .0112*           21         NCAC         14H         .0118           21         NCAC         16M         .0101*	19A	NCAC	031	.03010302
19ANCAC031.060119ANCAC031.070121NCAC14H.0112*21NCAC14H.011821NCAC16M.0101*	19A	NCAC	031	.0401
19ANCAC031.070121NCAC14H.0112*21NCAC14H.011821NCAC16M.0101*	19A	NCAC	031	.0501
21         NCAC         14H         .0112*           21         NCAC         14H         .0118           21         NCAC         16M         .0101*	19A	NCAC	031	.0601
21NCAC14H.011821NCAC16M.0101*	19A	NCAC	031	.0701
21 NCAC 16M .0101*	21	NCAC	14H	.0112*
	21	NCAC	14H	.0118
21 NCAC 18B .0208*	21	NCAC	16M	.0101*
	21	NCAC	18B	.0208*

### **REGISTER CITATION TO THE NOTICE OF TEXT**

13:20 NCR 1717
13:20 NCR 1719
13:20 NCR 1719
13:22 NCR 1823
13:19 NCR 1611
not required, G.S. 150B-21.4, 150B-21.5
13:13 NCR 1045
13:18 NCR 1504
13:22 NCR 1812
13:21 NCR 1794
13:21 NCR 1794
13:15 NCR 1221
not required, G.S. 150B-21.4, 150B-21.5(a)(5)

### **TITLE 2 - DEPARTMENT OF AGRICULTURE**

### CHAPTER 9 - FOOD AND DRUG PROTECTION DIVISION

### SUBCHAPTER 9K - SAMPLING AND TESTING OF MILK AND CREAM: FROZEN DESSERTS

### SECTION .0200 - FROZEN DESSERTS

### STANDARDS OF IDENTITY FOR FROZEN YOGURT

Frozen yogurt is the food which is prepared by freezing while stirring, a pasteurized mix consisting of the ingredients provided for in ice cream and which may contain other ingredients permitted under the Federal Food, Drug, and Cosmetic Act (21 USC 321 et seq.). All dairy ingredients are cultured after pasteurization by one or more strains of Lactobacillus bulgaricus and Streptococcus thermophilus, provided, however, fruits, nuts, or other flavoring materials may be added before or after the mix is pasteurized or cultured. Frozen yogurt, exclusive of any flavoring, contains not less than 3.25 percent milk fat, not less than 8.25 percent milk solids not fat, except that when bulky characterizing ingredients are used the percentage of milk fat is not less than 2.5 percent. The finished frozen yogurt shall weigh not less than five pounds per gallon. The titratable acidity of frozen yogurt is not less than 0.5 percent, calculated as lactic acid, except if the frozen yogurt primary flavor is a non-fruit characterizing ingredient(s). This

characteristic acidity is developed by the bacterial activity and no heat or bacteriostatic treatment, other than refrigeration, which may result in destruction or partial destruction of the organisms, shall be applied to the product after culturing. The product, when in package form, shall be labeled according to applicable Sections of 2 NCAC 9B .0016(f)(2) (21 CFR Part 101).

History Note: Authority G.S. 106-128; 106-253; 106-267; Eff. December 1, 1985; <u>Amended Eff. July 1, 2000.</u>

### **CHAPTER 54 - GRANT PROGRAMS**

### SECTION .0100 - WASTE MANAGEMENT EQUIPMENT GRANTS FOR DAIRIES

### .0104 USE OF GRANTS

Grants shall not be used to purchase equipment in connection with the Agricultural Cost-Share Program administered by the Soil and Water Conservation Commission pursuant to G.S. 143-215.74, or in connection with any other government program.

History Note: Authority S.L. 1998-212, s. 13.5; Temporary Adoption Eff. December 15, 1998; Eff. July 1, 2000.

### TITLE 10 - DEPARTMENT OF HEALTH AND AND HUMAN SERVICES

### **CHAPTER 1 - DEPARTMENTAL RULES**

### **SUBCHAPTER 1B - PROCEDURE**

### SECTION .0400 - AUDITING PROCEDURES

### .0418 SINGLE AUDITS OF LOCAL GOVERNMENTS AND PUBLIC AUTHORITIES

(a) Independent auditors retained to conduct single audits for local governments or public authorities may be notified by the Department of Health and Human Services (hereinafter "the Department") or the Local Government Commission of problem areas that the audit shall address.

(b) The Local Government Commission shall review single audits for compliance with OMB Circular A-133 and accept those single audits for the State that have been determined to comply with Federal and State requirements. Whenever a single audit is performed on a local government or public authority that received funds from the Department during the fiscal year audited, the Local Government Commission shall provide the Department with a copy of the audit report(s).

(c) Following receipt of the audit report by the Controller of the Department, relevant portions of the report shall be sent to the Controller's Office employee who is assigned division responsibility for coordination of a departmental position on the corrective actions planned or taken.

(d) The Department or any affected division of the Department

may request any additional information deemed necessary for clarification of an audit finding, recommendation, questioned cost or the corrective action plan. The local government or public authority shall provide the information to the requesting official within 30 days after the receipt of the request. If additional information or clarification from the independent auditor is requested, the local government or public authority shall direct its auditor to provide the information requested to the requesting official within the 30 day response time.

(e) If the Department has reason to believe that due professional care was not used in conducting a single audit or if a local government or public authority or their independent auditor is unwilling or unable to provide clarification or additional information requested by an official of the Department, a written request for review of the auditor's work papers may be filed with the Office of the State Auditor by the Controller. The Controller shall make or arrange for any review of the auditor's work papers deemed necessary for timely resolution of single audit findings, recommendations, or questioned cost.

(f) Following receipt of any additional information requested, the Controller's Office shall prepare a recommendation to accept or reject the corrective action plan for each fiscal compliance finding, recommendation or questioned cost. The Director of an affected division shall prepare a recommendation to accept or reject the corrective action plan for each program-specific compliance finding or recommendation. If the corrective action plan is rejected, the reasons for the rejection and an acceptable corrective action shall be specified. These recommendations shall be forwarded to the Controller's Office Audit Resolution Coordinator for Governmental Audits for coordination of a Departmental position on the corrective action plan.

(g) The Secretary of the Department shall provide the local government or public authority with a written determination which accepts or rejects the corrective action plan for each audit finding, recommendation or questioned cost that pertains to or otherwise affects a program of the Department. If the corrective action plan is rejected the reasons for the rejection and an acceptable corrective action shall be specified in the determination letter. If the corrective action plan indicates that the proposed corrective action for nonmonetary findings has not been implemented, the determination on all nonmonetary findings shall specify the time by which the local government or public authority shall implement the corrective action if different from the time proposed in the corrective action plan. The determination on all questioned costs or other charges to the Department shall state whether the cost or other charge is allowable or unallowable for reimbursement to the local government or public authority under applicable laws and rules. If a cost or other charge to the Department is determined to be unallowable for reimbursement, the determination letter shall require full monetary repayment to the Department within 60 days of the date of the determination letter. The amount of any cost or other charge determined to be unallowable shall constitute a debt due the State of North Carolina until repayment in full is received by the Department.

(h) A determination by the Secretary of the Department required under Paragraph (g) of this Rule shall become final unless a petition for a contested case is filed in accordance with G.S. 150B- 23.

(i) Upon a petition for a contested case filed in accordance with G.S. 150B-23 monetary repayment or implementation of a orrective action required under Paragraph (g) of this Rule shall be suspended only for individual determinations or parts of a determination specifically disputed in the appeal. Interest may be charged under the conditions specified under Paragraph (j) of this Rule on the amount of any cost or other charge determined to be unallowable under Paragraph (g) of this Rule.

(j) Except where otherwise provided by statutes or rules, Federal agencies are required to charge interest on overdue amounts in accordance with the Federal Claims Collection Standards (4 CFR Ch. II). The date from which interest is computed is not extended by litigation or the filing of any form of appeal. If a Federal agency charges the Department interest on the Federal share of an overdue amount from a local government or public authority, the Department shall charge the interest to the local government or public authority.

(k) If a local government or public authority fails to make repayment of an amount due to the Department or obtain Department approval of a deferred payment plan by the "due date" specified in Paragraph (g) of this Rule, the Department shall offset the amount of the disallowance or any portion thereof remaining unpaid and any interest due from subsequent reimbursements or other amounts due the local government or public authority until the amount due is fully recovered.

(1) A local government or public authority may propose a plan for repayment of amounts determined to be unallowable on an installment basis. The local government or public authority must ertify that it is unable to make repayment by the "due date" specified in Paragraph (g) of this Rule and that commercial financing can not be obtained. Repayment of the Federal share of amounts determined to be unallowable shall not be allowed on an installment basis unless the Federal grantor agency approves of the installment repayment terms. Interest may be charged as specified under Paragraph (j) of this Rule while awaiting Federal approval of an installment plan or on installment payments.

(m) If a local government or public authority fails to submit additional information requested under Paragraph (d) of this Rule or fails to implement corrective action within the time frame established by the Secretary under Paragraph (g) of this Rule, the Secretary of the Department or the Director of the requesting division may suspend all or any portion of the administrative and indirect cost funding administered by the Department until such time as the required corrective action plan or additional information is submitted as requested. Alternatively, the Secretary of the Department may issue a unilateral determination on the audit findings, recommendations, and questioned cost requiring any corrective action and repayment of questioned cost deemed necessary for compliance with the laws and rules governing assistance programs affected.

History Note: Authority G. S. 143B-10(j); 143B-139.1; 143B-39.3; 159-34; Eff. January 1, 1990; <u>Amended Eff. August 1, 2000.</u>

### .0419 AUDITS OF HOSPITALS, NONPROFITS, HIGHER EDUCATION AGENCIES

(a) Public and private hospitals, public and private institutions of higher education and quasi-public and private nonprofit organizations [recipient organization(s)] which receive, use, or expend State or Federal funds must comply with the requirements of G.S. 143-6.1, Office of the State Auditor's Audit Advisory #2 and OMB Circular A-133 as applicable. These regulations detail reporting and other requirements that recipient organizations must meet in order to receive State or Federal funds. Depending on the amount of State or Federal funds received, used, or expended, the recipient organization(s) may be required to have either an audit made or a sworn accounting of receipts and expenditures.

(b) The University of North Carolina and public hospitals operated by the State of North Carolina have annual audits performed by the State Auditor. The scope of such audits and the contents of the audit reports are the responsibility of the State Auditor and shall be accepted and relied upon by the Department unless a cognizant Federal agency finds that such audits do not meet the requirements of OMB Circular A-133.

(c) The above audit requirements are not applicable to procurements. However, the purpose and substance of an agreement rather than form shall govern whether financial assistance was provided. A subrecipient is an entity that receives financial assistance passed down from the prime recipient. The subrecipient's responsibility is to help the recipient meet the requirements of the assistance award. The test for a subrecipient relationship is whether financial assistance is received from a recipient to carry out a program. A vendor is an entity that receives a procurement contract for goods or services. The vendor's responsibility is to meet the requirements of the procurement contract.

(d) The above audit requirements do not replace a request for submission of audit reports in connection with requests for direct appropriation of state aid by the General Assembly through the Secretary of the Department for recommendations to the Governor and the Advisory Budget Commission and the General Assembly in accordance with G. S. 159-34.

(e) The above audit requirements do not replace requirements for submission of a financial audit report or financial information by the Department in connection with applications for funding or licensure, provider certification or cost reporting, and other purposes not related to provision of State and Federal financial assistance.

(f) The Secretary of the Department may grant a waiver of any or all of the audit standards to a recipient organization who does not receive any grants, contracts or other financial assistance financed in whole or in part with Federal funds when an audit of assistance financed with State funds is not otherwise required by law and is not cost effective.

(g) Each recipient organization shall submit one copy of the audit report and corrective action plan required in Paragraph (a) of this Rule to each division of the Department which provided State or Federal financial assistance during the fiscal year covered by such audit within 30 days from the date the report is issued by the auditor, and no later than the 13th month following the close of the recipient organization's fiscal year in which assistance was received.

(h) Upon receipt of the audit report the Department shall conduct a desk review of the audit report to determine if the reporting standards required in OMB Circular A-133 have been met. If an audit received from a recipient organization does not meet the standards required in OMB Circular A-133, the Secretary of the Department shall issue a letter of determination to the recipient organization rejecting the audit and listing the required standards that were not met. The recipient organization shall be allowed no more than 90 days from the date of receipt of the Secretary's determination letter to submit a revised audit report which meets the standards required in OMB Circular A-133. If the recipient organization fails to submit an audit report revised in accordance with the determination letter. the Secretary of the Department may suspend further financial assistance payments to the recipient organization or subject the recipient organization to an audit or compliance review by the Department or the State Auditor.

(i) The Department or any affected division of the Department may request any additional information deemed necessary for clarification of an audit finding, recommendation, questioned cost or the corrective action plan. The recipient organization shall provide the information to the requesting official within 30 days after the receipt of the request. If additional information or clarification from the independent auditor is requested, the recipient organization shall direct their auditor to provide the information requested to the requesting official.

(j) If the Department has reason to believe that due professional care was not used in conducting the audit required under OMB Circular A-133, or if the recipient organization or their auditor is unwilling or unable to provide clarification or additional information requested by an official of the Department, the Controller of the Department may make or arrange for any review of the auditor's work papers deemed necessary for timely resolution of the audit findings, recommendations, or questioned cost.

(k) The Secretary of the Department shall provide the recipient organization with a written determination which accepts or rejects the corrective action plan for each audit finding, recommendation or questioned cost that pertains to or otherwise affects a program of the Department. If the corrective action plan is rejected the reasons for the rejection and an acceptable corrective action shall be specified in the determination letter. If the corrective action plan indicates that the proposed corrective action for nonmonetary findings has not been implemented, the determination on all nonmonetary findings shall specify the time by which the local government or public authority shall implement the corrective action if different from the time proposed in the corrective action plan. The determination on all questioned cost or other charges to the Department shall state whether the cost or other charge is allowable or unallowable for reimbursement to the recipient organization under applicable laws, rules and other provisions of assistance agreements. If a cost or other charge to the Department is determined to be unallowable for reimbursement, the determination letter shall require full monetary repayment to the Department within 60 days of the date of the determination letter. The amount of any cost or other charge determined to be unallowable shall constitute a debt due the State of North Carolina until repayment in full is received by the Department.

(1) A determination by the Secretary of the Department required under Paragraph (k) or Paragraph (h) of this Rule shall become final unless a petition for contested case is filed in accordance with G.S. 150B-23.

(m) Upon a petition for contested case filed in accordance with G.S. 150B-23, monetary repayment or implementation of a corrective action required under Paragraph (k) of this Rule shall be suspended only for individual determinations or parts of a determination specifically disputed in the appeal. Interest may be charged under the conditions specified under Paragraph (n) of this Rule on the amount of any cost or other charge determined to be unallowable under Paragraph (k) of this Rule.

(n) Except where otherwise provided by statutes or regulations, Federal agencies are required to charge interest on overdue amounts in accordance with the Federal Claims Collection Standards (4 CFR Ch. II). The date from which interest is computed is not extended by litigation or the filing of any form of appeal. If a Federal agency charges the Department interest on the Federal share of an overdue amount from a recipient organization, the Department shall charge the interest to the recipient organization.

(0) If a recipient organization fails to make repayment of an amount due to the Department or obtain Department approval of a deferred payment plan by the "due date" specified in Paragraph (k) of this Rule the Department shall offset the amount of the disallowance or any portion thereof remaining unpaid and any interest due from subsequent reimbursements or other amounts due the recipient organization until the amount due is fully recovered.

(p) A recipient organization may propose a plan for repayment of amounts determined to be unallowable on an installment basis. The recipient organization must certify that it is unable to make repayment by the "due date" specified in Paragraph (k) of this Rule and that commercial financing can not be obtained. Repayment of the Federal share of amounts determined to be unallowable shall not be allowed on an installment basis unless the Federal grantor agency approves of the installment plan or otherwise allows the Department the same installment repayment terms. Interest may be charged as specified under Paragraph (n) of this Rule while awaiting Federal approval of an installment plan or on installment payments.

(q) If a recipient organization fails to submit the corrective action plan required under Paragraph (a) of this Rule or additional information requested under Paragraph (i) of this Rule or fails to implement corrective action within the time frame established by the Secretary under Paragraph (k) of this Rule, the Secretary of the Department or the Director of the requesting Division may suspend payment to the recipient organization of all or any portion of the administrative and indirect cost funding administered by the Department until such time as the required audit, corrective action plan or additional information is submitted as requested. Alternatively, the Secretary of the Department may issue a unilateral determination on the audit findings, recommendations, and questioned cost requiring any corrective action and repayment of questioned cost deemed necessary for compliance with the laws and rules governing assistance programs affected.

History Note: Authority G.S. 143B-10(j); 143B-139.1; Eff. January 1, 1990; <u>Amended Eff. August 1, 2000.</u>

### .0420 PURCHASE OF SERVICE VS. FINANCIAL ASSISTANCE

(a) Non-profit and for profit agencies that receive State or Federal financial assistance either directly from DHHS-as a recipient or indirectly as a subrecipient through contractual agreements with local agencies funded by DHHS shall have a compliance audit performed in accordance with OMB Circular A-133; however, Circular A-133 does not apply to purchases of goods/services (vendors).

(b) A recipient/subrecipient is distinguished from a vendor (purchase of service agreement) by the degree of responsibility assumed to meet the requirements of the program.

(c) In a financial assistance arrangement, the recipient/subrecipient receives the funding to carry out or administer a program. A recipient/subrecipient may be responsible for determining who is eligible for participation in a program by applying pre-determined eligibility requirements. A vendor who reserves the right to reject a participant based on a criteria other

than eligibility, does not become a recipient by exercising that right. A recipient/subrecipient is responsible for making programmatic decisions and its performance is measured against meeting the program's objectives. Normally, but not always, there is an interest in how program funds are expended. Although recipient/subrecipient generally have cost reimbursement grants/contracts it is possible for them to have a fee/rate per unit of service arrangement.

(d) A vendor (purchase of services/goods) is measured against the terms of a contract. Goods must meet certain specifications and services are measured against certain quality standards. A vendor normally operates in a competitive environment and once a pre-determined unit price has been established in a contract, usually there is no interest in how the vendor expends funds in meeting the vendor's obligation under the terms of the contract.

(e) In distinguishing between a purchase of service and financial assistance arrangement, the substance of the relationship is more important than the form of the agreement.

(f) Reviewing a recipient/subrecipient's contractual requirements and answering the following questions shall give an indication of the type arrangement represented by the contract.

Indic	Indication of			
Financial	Purchase/			
Assistance	Service			
Yes	No			

- 1. Does the contract provider determine client eligibility?
- 2. Does the contract provider authorize services on a client specific basis?
- 3. Does the contract provider determine the appropriateness of the services to be provided?
- 4. Does the contract provide provide administrative functions, such as:
  - a. Program evaluation?
  - b. Program planning?
  - c. Monitoring?
  - d. Develop program standards, procedures, and rules?
- 5. Does the contract provider have responsibility for program compliance?
- 6. Does the contract provider have to submit a cost report to satisfy a cost reimbursement arrangement?
- 7. Does the contract provider have any obligation to the funding authority other than the delivery of the
  - specified goods/services?
- 8. Does the contract provider operate in a competitive environment?
- 9. Does the contract provider provide similar goods or services to many different purchasers?
- 10. Does the contract provider provide the goods or services within normal business operations?

The list in this Rule is not intended to be all inclusive; however, the answers to the questions shall offer guidance in distinguishing between a purchase of service or financial assistance arrangement. There may be other factors that would influence the decision on whether a contract is classified as either financial assistance or purchase of service and the decision shall only be made after weighing all factors relative to the contract. All factors will not carry the same weight. As an illustration, if any of the answers to questions 1 through 5 are yes, the contract shall almost always be a financial assistance arrangement. However, regardless of the nswers to the questions, they shall not contradict a zetermination/classification that has been/or may be made by the funding Federal authority.

The funding DHHS Division shall be available to assist, on a timely basis, its local counterparts in making the distinction in unique situations.

No

Yes

*History Note: Authority G.S. 143B-10(j)(2); Eff. June 1, 1995;* <u>*Amended Eff. August 1, 2000.*</u>

### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

### **CHAPTER 3 - MARINE FISHERIES**

NORTH CAROLINA REGISTER

September 15, 1999

### SUBCHAPTER 3P - HEARING PROCEDURES

### ESTUARINE AND PUBLIC TRUST WATERS

### SECTION .0100 - HEARING PROCEDURES

### .0101 LICENSE/PERMIT DENIAL: INFORMAL HEARING PROCEDURES

(a) If the Division decides to deny or limit a renewal of a license or permit for an activity of a continuing nature, the license sought to be renewed shall continue in effect as provided in G.S. 150B-3.

(b) Except in cases where G.S. 113-171 is applicable, before the Division may commence proceedings for suspension, revocation, annulment, withdrawal, recall, cancellation, or amendment of a license or permit, notice shall be given to the license or permit holder notifying him that:

- (1) He may request an opportunity to show compliance with all lawful requirements for retention of the license in an informal meeting with Division personnel responsible for the initiation of the action to revoke the license; and
- (2) He has a right through filing a request for a contested case hearing in the Office of Administrative Hearings to a hearing before an administrative law judge and a final agency decision by the Marine Fisheries Commission.

(c) Any requests for an informal meeting or administrative hearings shall be made to the person designated in the notice.

(d) The Division may order summary suspension of a license or permit if it finds that the public health, safety, or welfare requires emergency action. Upon such determination the Fisheries Director shall issue an order giving the reasons for the emergency action. The effective date of the order shall be the date specified on the order or the date of service of a certified copy of the order at the last known address of the license or permit holder whichever is later.

(e) When a license is summarily suspended and a request is made for an informal meeting or a hearing, the proceeding shall be promptly commenced and determined.

History Note: Authority G.S. 113-134; 113-171; 150B-23; Eff. January 1, 1991; <u>Amended Eff. August 1, 1999.</u>

### .0102 CONTESTED CASE HEARING PROCEDURES

Administrative hearings shall be held in accordance with G.S. 150B, and the administrative hearing procedures codified at 15A NCAC 1B .0200.

History Note: Authority G.S. 113-134; 143B-289.52; Eff. January 1, 1991; <u>Amended Eff. August 1, 1999.</u>

### **CHAPTER 7 - COASTAL MANAGEMENT**

### SUBCHAPTER 7H - STATE GUIDELINES FOR AREAS OF ENVIRONMENTAL CONCERN

### SECTION .2100 - GENERAL PERMIT FOR CONSTRUCTION OF MARSH ENHANCEMENT BREAKWATERS FOR SHORELINE PROTECTION IN

### .2101 PURPOSE

This general permit shall allow the construction of offshore parallel breakwaters, made from wood, plastic lumber, or metal sheet piling for shoreline protection in conjunction with existing or created coastal wetlands. This permit shall only be applicable where a shoreline is experiencing erosion in public trust areas and estuarine waters according to authority provided in 15A NCAC 7J .1100 and according to the procedures and conditions outlined in this subchapter. This permit shall not apply within the ocean hazard AEC, the inlet hazard AEC, or waters adjacent to these. AEC's, with the exception of those portions of shoreline within the Inlet Hazard Area AEC that feature characteristics of Estuarine Shorelines. Such features include the presence of wetland vegetation, lower wave energy, and lower erosion rates than in adjoining Ocean Erodible Area.

History Note: Authority G.S. 113A-107; 113A-118.1; Eff. June 1, 1994; <u>Amended Eff. August 1, 2000.</u>

### .2102 APPROVAL PROCEDURES

(a) The applicant must contact the Division of Coastal Management and request approval for development. The applicant shall provide information on site location, dimensions of the project area, and his name and address.

- (b) The applicant must provide:
- eonfirmation that a written statement has been obtained signed by the adjacent riparian property owners indicating that they have no objections to the proposed work; or
- (2)confirmation that the adjacent riparian property owners have been notified by certified mail of the proposed work. Such notice shall instruct adjacent property owners to provide any comments on the proposed development in writing for consideration by permitting officials to the Division of Coastal Management within 10 days of receipt of the notice, and, indicate that no response shall be interpreted as no objection. DCM staff shall review all comments and determine, based on their relevance to the potential impacts of the proposed project, if the proposed project can be approved by a General Permit. If DCM staff finds that the comments are worthy of more in-depth review, the applicant shall be notified that he must submit an application for a major development permit.

(c) No work shall begin until an on-site meeting is held with the applicant and appropriate Division of Coastal Management representative so that the proposed breakwater alignment can be appropriately marked. Written authorization to proceed with the proposed development may be issued during this visit. Construction of the breakwater must begin within 90 days of this visit or the general authorization expires and it will be necessary to re-examine the alignment to determine if the general authorization can be reissued.

History Note: Authority G.S. 113A-107; 113A-118.1; Eff. June 1, 1994; <u>Amended Eff. August 1, 2000.</u>

### **TITLE 16 - DEPARTMENT OF PUBLIC EDUCATION**

CHAPTER 6 - ELEMENTARY AND SECONDARY EDUCATION

### **SUBCHAPTER 6D - INSTRUCTION**

### **SECTION .0100 - CURRICULUM**

### .0103 GRADUATION REQUIREMENTS

(a) In order to graduate and receive a high school diploma, public school students must meet the requirements of Paragraph (b) of this Rule and attain passing scores on competency tests adopted by the SBE and administered by the LEA. Students who satisfy all state and local graduation requirements but who fail the competency tests shall receive a certificate of achievement and transcript and shall be allowed by the LEA to participate in graduation exercises.

- (1) The passing score for the competency test, which is the same as grade-level proficiency as set forth in Rule .0304 of this Subchapter, shall be level III or higher. The four possible levels of achievement on these tests and for all tests administered pursuant to Section .0300 of this Subchapter, shall be:
  - (A) Level 1 fails to achieve at a basic level. Students performing at this level do not have sufficient mastery of knowledge and skills in this subject area to be successful at the next grade level.
  - (B) Level II achieves at a basic level. Students performing at this level demonstrate inconsistent mastery of knowledge and skills that are fundamental in this subject area and that are minimally sufficient to be successful at the next grade level.
  - (C) Level III achieves at a proficient level. Students performing at this level consistently demonstrate mastery of grade level subject matter and skills and are well prepared for the next grade level.
  - (D) Level IV achieves at an advanced level. Students performing at this level consistently perform in a superior manner clearly beyond that required to be proficient at grade level work.
- (2) Special education students may apply in writing to be exempted from taking the competency tests. Before it approves the request, the LEA must assure that the parents, or the child if aged 18 or older, understand that each student must pass the competency tests to receive a high school diploma.
- (3) Any student who has failed to pass the competency tests by the end of the last school month of the year in which the student's class graduates may receive additional remedial instruction and continue to take the competency tests during regularly scheduled testing until

the student reaches maximum school age.

(b) In addition to the requirements of Paragraph (a) of this Rule, students must successfully complete 20 course units in grades 9-12 as specified in this Paragraph.

- (1) Effective with the class entering ninth grade for the 1998-99 school year, the 20 course units must include:
  - (A) four units in English, which must be English I, II, III, and IV;
  - (B) three units in mathematics, one of which must be Algebra I;
  - (C) three units in social studies, one of which must be in government and economics, one in United States history and one in world studies;
  - (D) three units in science, one of which must be biology, one a physical science, and effective with the class of 2004, one earth/environmental science;
  - (E) one unit in physical education and health; and
  - (F) six units designated by the LEA, which may be undesignated electives or courses designated from the standard course of study.
- (2) LEAs may count successful completion of course work in the ninth grade at a school system which does not award course units in the ninth grade toward the requirements of this Rule.
- (3) LEAs may count successful completion of course work in grades 9-12 at a summer school session toward the requirements of this Rule.
- (4) LEAs may count successful completion of course work in grades 9-12 at an off-campus institution toward the requirements of this Rule. No high school may approve enrollment in post-secondary institutions during the regular school year in excess of five percent of its enrollment in grades 10-12 except as allowed by the SBE. 23 NCAC 2C .0301 governs enrollment in community college institutions.

(c) Effective with the class of 2001, all students must demonstrate computer proficiency as a prerequisite for high school graduation. The passing scores for this proficiency shall be 47 on the multiple choice test and 49 on the performance test. This assessment shall begin at the eighth grade. A student with disabilities shall demonstrate proficiency by the use of a portfolio if this method is required by the student's IEP.

(d) Effective with the class entering ninth grade for the 1992-93 school year, special needs students as defined by G.S. 115C-109, excluding gifted and pregnant, who do not meet the requirements for a high school diploma shall receive a graduation certificate and shall be allowed to participate in graduation exercises if they meet the following criteria:

- successful completion of 20 course units by general subject area (4 English, 3 math, 3 science, 3 social studies, 1 health and physical education, and 6 local electives) under Paragraph (b) of this Rule. These students are not required to pass the specifically designated courses such as Algebra I, Biology or United States history,
- (2) completion of all IEP requirements.

History Note: Authority G.S. 115C-12(9)c.; 115C-81(a); 115C-180; N.C. Constitution, Article IX, Sec. 5; Eff. July 1, 1986; Amended Eff. January 2, 1998; January 1, 1993; July 1, 1992; Temporary Amendment Eff. April 24, 1998: <u>Amended Eff. August 1, 1999.</u>

### SECTION .0200 - TEXTBOOKS

### .0210 DISPOSITION OF OLD TEXTBOOKS

LEAs may dispose of textbooks which are no longer listed on the state-adopted textbook list by sale, gift, or exchange. LEAs shall remit the proceeds of sale to the Department. The Department shall credit these proceeds to the LEA's textbook account.

History Note: Authority G.S. 115C-89; 115C-102(b); Eff. March 1, 1990; Amended Eff. <u>August 1, 1999;</u> June 1, 1992.

### **SECTION .0300 - TESTING PROGRAMS**

### .0305 END-OF-COURSE TESTS

(a) The LEA shall include each student's end-of-course test results in the student's permanent records and high school transcript.

(b) The LEA shall give each end-of-course test within a 110minute period within the final 10 days of the course.

(c) LEAs shall use EOC test results as a part of the student's final grade. LEAs shall adopt policies regarding the use of EOC test results in assigning final grades.

(d) Students who are enrolled for credit in courses in which end-of-course tests are required shall take the appropriate end-ofcourse test.

(e) Students who are exempt from final exams by local board of education policy shall not be exempt from end-of-course tests.

(f) Each student shall take the appropriate end-of-course test the first time the student takes the course even if the course is an honors or advanced placement course.

(g) Students shall take the appropriate end-of-course test at the end of the course regardless of the grade level in which the course is offered.

(h) Students who are identified as failing a course for which an end-of-course test is required shall take the appropriate end-of-course test.

(i) Effective with the 1999-2000 school year students may drop a course with an end-of-course test within the first 10 days of a block schedule or within the first 20 days of a traditional schedule.

History Note: Authority G.S. 115C-12(9)c.; 115C-81(b)(4); Eff. November 1, 1997; <u>Amended Eff. August 1, 1999.</u>

### SUBCHAPTER 6G - EDUCATION AGENCY RELATIONS

### SECTION .0300 - SCHOOL-BASED MANAGEMENT

### AND ACCOUNTABILITY PROGRAM

### .0311 GENERAL KNOWLEDGE TEST FOR CERTIFIED STAFF

The general knowledge test administered to certified staff in low-performing schools under G.S. 115C-105.38A shall be the reading and essay subtests of the Florida College-Level Academic Skills Test.

History Note: Authority G.S. 115C-105.38A; Codifier of Rules Objected to the Findings of Need for the Temporary Rule Eff. April 15, 1998; Temporary Adoption Eff. April 24, 1998; Eff. August 1, 1999.

### **TITLE 21 - OCCUPATIONAL LICENSING BOARDS**

### **CHAPTER 14 - BOARD OF COSMETIC ART EXAMINERS**

### **SUBCHAPTER 14H - SANITATION**

### SECTION .0100 - ORGANIZATIONAL RULES

### .0112 CLEANLINESS OF CLINIC AREA: SUPPLIES: COMBS AND BRUSHES

(a) The clinic area shall be kept clean.

(b) Waste material shall be kept in suitable covered receptacles. The area surrounding the waste receptacles shall be maintained in a neat and sanitary manner.

(c) Sanitation rules which apply to towels and cloths are as follows:

- (1) Separate and clean towels shall be used for each patron.
- (2) After a towel has been used once, it shall be discarded and placed in a clean, closed container until properly laundered.
- (3) There shall be a supply of clean towels at all times.
- (4) All capes used on patrons shall be kept clean and shall not be allowed to come in direct contact with the patron's neck.

(d) At least six combs and brushes shall be provided for each cosmetology operator and cosmetology student.

(e) All combs, brushes, esthetics and manicurist instruments shall be cleaned and disinfected after each use in the following manner:

- (1) They shall be soaked in a cleaning solution that will not leave a residue and, if necessary, scrubbed.
- (2) They shall be disinfected in accordance with the manufacturer's instructions that state the solution will destroy HIV virus, TB or HBV and is approved by the Federal Environmental Protection Agency. When selecting a disinfectant, care shall be taken to choose one that will not shorten the service life of the comb, brush, esthetics or manicuring instrument. In using a disinfectant, care shall be taken to wear any personal protective equipment, such as gloves, recommended in the Material Safety Data Sheet prepared on the

disinfectant by the manufacturer.

(3) They shall be rinsed with hot tap water and dried thoroughly with a clean towel before their next use. If they are not used immediately, they shall be stored in a clean, closed cabinet until they are needed.

History Note: Authority G.S. 88B-4; Eff. February 1, 1976; Amended Eff. June 1, 1994; April 1, 1991; January 1, 1989; April 1, 1988; Temporary Amendment Eff. January 20, 1999; <u>Amended Eff. August 1, 2000.</u>

### CHAPTER 16 - BOARD OF DENTAL EXAMINERS

### SUBCHAPTER 16M - FEES PAYABLE

### SECTION .0100 - FEES

### .0101 DENTISTS

(a) The following fees shall be payable to the Board:

(a)	i inc.	tonowing rees shan be payable to the board.	
	(1)	Application for general dentistry examination	\$500.00
	(2)	Application for instructor's license or renewal	
		thereof	\$140.00
	(3)	Application for provisional license	\$100.00
	(4)	Application for intern permit or renewal	
		thereof	\$100.00
	(5)	Certificate of license to a resident dentist	
		desiring to change to another state or territory	\$ 25.00
	(6)	Duplicate license	\$ 25.00
	(7)	Reinstatement of license after retirement from	
		practice in this State	\$225.00
	101		

(8) Penalty fee for late renewal of any license or permit \$ 50.00

(b) Each dentist or instructor renewing his license to practice dentistry in North Carolina shall be assessed a fee of twenty-five dollars (\$25.00) in addition to the annual renewal fee, to be contributed to the operation of the Caring Dentist Program.

History Note Authority G.S. 90-28; 90-39; 90-48; Eff. September 3, 1976; Readopted Eff. September 26, 1977; Amended Eff. August 1, 1978; December 1, 1994; May 1, 1989; March 1, 1988; May 1, 1987; Temporary Amendment Eff. October 28, 1998; <u>Amended Eff. August 1, 2000.</u>

### CHAPTER 18 - BOARD OF EXAMINERS OF ELECTRICAL CONTRACTORS

### SUBCHAPTER 18B - BOARD'S RULES FOR THE IMPLEMENTATION OF THE ELECTRICAL CONTRACTING LICENSING ACT

### SECTION .0200 - EXAMINATIONS

.0208 SPECIALLY ARRANGED EXAMINATIONS (a) Specially-arranged examinations are examinations given in the Board's office or elsewhere at a time other than during a regular semi-annual examination period.

(b) Provided the conditions of this Rule are met, the Board's staff may accept applications for specially-arranged examinations. to expedite verification of references and qualifications of applicants, and to arrange for such applicants to take a specially-arranged examination if the staff finds that a specially-arranged examination is justified. The Board shall consider and act on applications at the request of the application review committee or on written appeal of an applicant.

(c) An out-of-state electrical contractor shall mean a person, partnership, firm or corporation currently operating an electrical contracting business in accordance with the laws of his or its home state, outside the State of North Carolina. The Board shall give specially-arranged examinations on a reciprocal basis for out-of-state electrical contractors whose circumstances require that they be licensed prior to the time when a regular examination is scheduled and when such contractors are not eligible for a license pursuant to G.S. 87-50 because no reciprocal licensing agreement exists. An out-of-state electrical contractor's need to bid or otherwise offer to engage in a specific North Carolina project, the time-table for which will not permit waiting until the next semi-annual examination period, may constitute circumstances reasonably justifying the scheduling of a specially-arranged examination for the individual representing such out-of-state electrical contractor.

- (1) To be eligible to take a specially-arranged examination, the individual applying to become qualified must file with the Board an application, together with the following:
  - (A) Information satisfactorily verifying that the out-of-state electrical contractor which the individual represents is engaged in a lawful electrical contracting business in its home state. If the out-of-state electrical contractor is required to be, and is, licensed in its home state as an electrical contractor, this information must include written verification that the licensing agency of such state will grant the same specially-arranged privilege to North Carolina electrical contractors.
  - (B) Information satisfactorily verifying the need for a North Carolina license prior to the next semi-annual examination period.
  - (C) The specially-arranged application-examination fee as prescribed in Rule .0209 of this Section.
  - (D) Information satisfactorily verifying that the applicant for the examination has met all the minimum requirements applicable to the classification involved as prescribed in Rules .0201, .0202 and .0210 of this Section.
- (2) The Board's staff shall approve the application if the out-of-state electrical contractor is required to be, and is, licensed in its home state as an electrical contractor and if the licensing agency in that state has committed itself in writing to grant to electrical contractors licensed by North Carolina the same privilege which the applicant is requesting from the Board.

- (3) The applicant shall take the examination for the classification of license involved, and at such special time and place as mutually agreed upon by the Board's staff and the applicant.
- Specially-arranged examinations shall be graded (4)promptly, and immediately thereafter the applicant shall be notified of the results. If the applicant passes, the out-of-state electrical contractor which he represents will be eligible to apply for a license based upon his qualifications and, upon meeting all of the other license requirements applicable to the license classification involved, as prescribed in Section .0400 of this Subchapter, a license shall be issued to the out-of-state electrical contractor with him indicated thereon as the qualified individual. If the applicant fails the examination, he will be required to wait the normally-required six-month waiting period between examinations before being eligible to take another specially-arranged examination. However, if he meets all of the other requirements and wishes to apply to take another specially-arranged examination in a classification lower than the classification of his failed examination, or to apply to take a regular examination during the next semi-annual examination period, the normally-required six-month waiting period shall not apply.

(d) A North Carolina electrical contractor shall mean a person, partnership, firm or corporation licensed by the Board to engage or offer to engage in the business of electrical contracting within the state of North Carolina. The Board shall give a specially-arranged examination for a North Carolina electrical contractor whose circumstances require that it be licensed in a classification higher than its current license prior to the time when a regular examination is scheduled. A North Carolina electrical contractor's need to bid or otherwise offer to engage in a specific electrical contracting project having a value exceeding the limitations of such contractor's current license, the time-table for which will not permit waiting until the next regular semi-annual examination period, may constitute circumstances reasonably justifying the scheduling of a specially-arranged examination for the individual representing such North Carolina electrical contractor.

- (1) To be eligible to take a specially-arranged examination, the individual applying to become qualified must file with the Board an application, together with the following:
  - (A) Information satisfactorily verifying the need to have the license upgraded prior to the next regular semi-annual examination period.
  - (B) The specially-arranged application-examination fee as prescribed in Rule .0209 of this Section.
  - (C) Information satisfactorily verifying that the applicant for the examination has met all the minimum requirements applicable to the classification involved as prescribed in Rules .0201, .0202 and .0210 of this Section.
- (2) When an application for a specially-arranged examination is received, the Board's staff shall

determine if the applicant is the duly authorized representative of an electrical contractor licensed by the Board and, if so, shall approve the application.

- (3) The applicant shall take the examination for the classification of license involved, at such time and place as mutually agreed upon by the Board's staff and the applicant.
- (4)Specially-arranged examinations shall be graded promptly, and immediately thereafter the applicant shall be notified of the results. If the applicant passes, the electrical contractor which he represents will be eligible to apply to have its license upgraded based upon the passing applicant's qualification and, upon meeting all of the other license requirements applicable to the license classification involved, as prescribed in Section .0400 of this Subchapter, a new license shall be issued to the electrical contractor with him indicated thereon as the qualified individual. If the applicant fails the examination, he will be required to wait the normally-required six-month waiting period between examinations before being eligible to take another specially-arranged examination. However, if he meets all of the other requirements and wishes to apply to take another specially-arranged examination in a classification lower than the classification of his failed examination, or to apply to take a regular examination during the next semi-annual examination period, the normally-required six-month waiting period shall not apply.

(c) For the purposes of this Subsection, the loss of a listed qualified individual shall mean a currently-licensed electrical contractor being left without a listed qualified individual regularly on active duty in its electrical contracting principal or separate place of business. The Board shall give a specially-arranged examination for an electrical contractor which has lost its listed qualified individual to have another representative take a specially-arranged examination for the purposes of maintaining continuity of such electrical contractor's business. To be eligible to take a specially-arranged examination, the individual applying to become qualified must file with the Board an application, together with the following:

- (1) Information satisfactorily verifying the electrical contractor's need for a representative to take a specially-arranged examination before the next regular semi-annual examination period.
- (2) The specially-arranged application-examination fee as prescribed in Rule .0209 of this Section.
- (3) Information satisfactorily verifying that the applicant for the examination has met all the minimum requirements applicable to the classification involved as prescribed in Rules .0201, .0202 and .0210 of this Section.

History Note: Authority G.S. 87-42; 87-43.3; 87-43.4; 87-44; Eff. October 1, 1988; Amended Eff. <u>August 1, 1999;</u> February 1, 1990.

NORTH CAROLINA REGISTER

September 15, 1999

This Section contains the agenda for the next meeting of the Rules Review Commission on <u>Thursday</u>, <u>September 16, 1999</u>, <u>10:00 a.m.</u>, at 1307 Glenwood Ave., Assembly Room, Raleigh, NC. Anyone wishing to submit written comment on any rule before the Commission should submit those comments to the RRC staff, the agency, and the individual Commissioners by <u>Monday</u>, <u>September 13, 1999</u>, <u>at 5:00 p.m.</u> Specific instructions and addresses may be obtained from the Rules Review Commission at 919-733-2721. Anyone wishing to address the Commission should notify the RRC staff and the agency at least 24 hours prior to the meeting.

### **RULES REVIEW COMMISSION MEMBERS**

Appointed by Senate Teresa L. Smallwood, Vice Chairman John Arrowood Laura Devan Jim Funderburke David Twiddy Appointed by House Paul Powell, Chairman Walter Futch Jennie J. Hayman George Robinson R. Palmer Sugg

### **RULES REVIEW COMMISSION MEETING DATES**

September 16, 1999 October 21, 1999 November 18, 1999 December 16, 1999

### LOG OF FILINGS

### RULES SUBMITTED: JULY 20, 1999 THROUGH AUGUST 20, 1999

DEPARTMENT OF INSURANCE Suitability	11 NCAC 12 .1025	Amond
Suitability	11 NCAC 12 .1025	Amond
		Amend
DEPARTMENT OF LABOR		
Scope	13 NCAC 7F .0601	Adopt
Definitions	13 NCAC 7F .0602	Adopt
Training and Education	13 NCAC 7F .0603	Adopt
Resp./Symptons Indicating/Dev./MSD	13 NCAC 7F .0604	Adopt
Medical Management	13 NCAC 7F .0605	Adopt
Actual Compliance or Safe Harbor	13 NCAC 7F .0606	Adopt
DENR/SEDIMENTATION CONTROL COMMISSION		
Procedures: Notices	15 NCAC 4C .0107	Amend
EDUCATION, STATE BOARD OF		
Graduation Requirements	16 NCAC 6D .0103	Repeal
Grade Level Proficiency	16 NCAC 6D .0304	Repeal
Definitions	16 NCAC 6D .0501	Adopt
Student Accountability Standards	16 NCAC 6D .0502	Adopt
State Graduation Requirements	16 NCAC 6D .0503	Adopt
Review Procedures	16 NCAC 6D .0504	Adopt
Local Accountability Procedures	16 NCAC 6D .0505	Adopt
Students with Disabilities	16 NCAC 6D .0506	Adopt
Students/Limited English Proficiency	16 NCAC 6D .0507	Adopt

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### STATE BOARDS/NC BOARD OF REGISTRATION FOR FORESTERS

Purpose of Program	21 NCAC 20.0101	Amend
Qualifications for Registration	21 NCAC 20.0103	Amend
Examinations	21 NCAC 20.0104	Amend
References	21 NCAC 20.0105	Amend
Registration Fees	21 NCAC 20.0106	Amend
Reciprocity	21 NCAC 20.0117	Amend
Certification of Consulting Foresters	21 NCAC 20.0120	Amend
Handling of Complaints	21 NCAC 20 .0122	Amend
Continuing Education	21 NCAC 20.0123	Adopt

### NC HOUSING FINANCE AGENCY

Persons and Families of Moderate Income 24 NCAC 1H .0103 Amend

### **RULES REVIEW COMMISSION**

### August 19, 1999 MINUTES

The Rules Review Commission met on August 19, 1999, in the Assembly Room of the Methodist Building, 1307 Glenwood Avenue, Raleigh, North Carolina. Commissioners in attendance were Chairman Paul Powell, Teresa Smallwood, Jennie J. Hayman, Walter Futch, Jim Funderburk, John Arrowood, Laura Devan, R. Palmer Sugg, David R. Twiddy, and George Robinson.

Staff members present were: Joseph J. DeLuca, Staff Director; Bobby Bryan, Rules Review Specialist; and Sandy Webster.

The following people attended:

Harry Wilson	State Board of Education
Dedra Alston	DENR
Dee Williams	State Board of Cosmetic Art Examiners
Emily Lee	TRANSPORTATION/Division of Motor Vehicles
Mell Nevils	DENR/DLR/LQS
Laura Crumpler	Attorney General
Jennie Wilhelm Mau	Attorney General
Sherri Knight	DENR/GW
Arthur Mewberry	DENR/DWQ/Groundwater
Jessica Gill	DENR/Coastal Resources Commission
David A. Hance	DENR/DWQ/Groundwater
Greg Bright	DENR/Well Contractors
Linda Suggs	NCASA
Charles Johnson	Commerce/Finance
Mary Johnson	Commerce/Finance
Mary Thompson	DENR/Coastal Resources Commission

### **APPROVAL OF MINUTES**

The meeting was called to order at 10:01 a.m. with Chairman Powell presiding. He asked for any discussion, comments, or corrections concerning the minutes of the July 15, 1999 meeting. There being none, the minutes were approved.

### SWEARING IN OF NEW COMMISSIONERS

Staff Attorney Robert A. Bryan, Jr. swore in new Commissioners Jennie J. Hayman and Walter Futch.

### **FOLLOW-UP MATTERS**

2 NCAC 20B .0104: AGRICULTURE/Board of Agriculture – The rewritten rule submitted by the agency was approved by the Commission.

NCAC 43L .0309: AGRICULTURE/Board of Agriculture – The rewritten rule submitted by the agency was approved by the Commission.

2 NCAC 54 .0103 and .0105: AGRICULTURE/Agriculture and Consumer Services – These rules were returned to the agency.

12 NCAC 9A .0103: JUSTICE/Criminal Justice Education & Training Standards Commission – This Commission will meet on August 27, 1999 and the agency will respond after their Commission meets.

12 NCAC 9B .0107, .0113, .0201, .0202, .0203, .0204, .0205, .0206, .0226, .0227, .0228, .0232, .0233 and .0305: JUSTICE/Criminal Justice Education & Training Standards Commission – The Commission will meet on August 27, 1999 and the agency will respond after their Commission meets.

12 NCAC 9C .0211, .0212, and .0213: JUSTICE/Criminal Justice Education & Training Standards Commission – This Commission will meet on August 27, 1999 and the agency will respond after the Commission meets.

12 NCAC 10B .0103: JUSTICE/Sheriffs' Education & Training Standards – This Commission will meet on September 16, 1999 and the agency will respond after the Commission meets.

15A NCAC 7H.0309, 1805, and 2105: DENR/Coastal Resources Commission – The Commission approved the rewritten rules for .0309 and .2105 submitted by the agency. They continued the objection to .1805 due to lack of statutory authority. In (f), the added provision allowing the division to approve work from May 1 through November 15 is a waiver provision without specific guidelines in violation of G.S. 150B-19(6).

16 NCAC 6C .0501: STATE BOARD OF EDUCATION – The rewritten rule submitted by the agency was approved by the Commission. A motion to rescind the action taken last month to return 16 NCAC 6C .0304 to the agency for failure to comply with the rulemaking rovisions of the APA failed on a voice vote.

19A NCAC 31.0307..0402, and .0804: TRANSPORTATION/Division of Motor Vehicles – The rewritten rules submitted by the agency were approved by the Commission.

21 NCAC 14I .0104 and .0107: State Board of Cosmetic Art Examiners – The rewritten rules submitted by the agency were approved by the Commission because they had obtained the necessary authority.

21 NCAC 14J .0208 and .0501 - State Board of Cosmetic Art Examiners - The rewritten rules submitted by the agency were approved by the Commission.

21 NCAC 14L .0101 - State Board of Cosmetic Art Examiners - The rewritten rule submitted by the agency was approved by the Commission.

21 NCAC 14N .0113 - State Board of Cosmetic Art Examiners – The rewritten rule submitted by the agency was approved by the Commission.

21 NCAC 14O .0101 and .0104 - State Board of Cosmetic Art Examiners – The rewritten rules submitted by the agency were approved by the Commission.

21 NCAC 14P .0105, .0111, .0112, .0113, .0114, and .0116 - State Board of Cosmetic Art Examiners – The rewritten rules submitted by the agency were approved by the Commission.

### LOG OF FILINGS

hairman Powell presided over the review of the log and all rules were unanimously approved with the following exceptions:

4 NCAC 11 Rules - COMMERCE/Commerce Finance Center - These rules were returned to the agency for failure to comply with the notice provisions of the Administrative Procedures Act. The Notice of Text provides that the comment period would be open through

May 17, 1999. The Submission Form indicates this rule was adopted by the agency on May 6, 1999, prior to the close of the comment period. In addition the notice states that the rules do not have a substantial economic impact of \$5,000,000 in a 12 month period, but the fiscal note does show a total economic impact of \$10,420,000 in fiscal year 1999-2000. This requires a 60 day comment period, rather than the 30 day period provided for in the notice. (G.S. 150B-21.2(f)). Therefore the agency needs to republish all these rules with the proper comment period, observe the comment period, and then adopt the rules.

15A NCAC 27 .0110 (subsequently renumbered as .0101) – DENR/Well Contractors Certification Commission – The Commission objected to this rule due to lack of statutory authority. In (i) there are no standards specified for approving organizational or individual sponsors of continuing education courses. Paragraphs (j) and (k) either redefine or repeat the contents of statutory definitions at G.S. 87-98.2.

15A NCAC 27.0201 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to lack of statutory authority. There is no authority cited for not charging well contractors who work for municipal governments the exam or licensing fee. There is no indication given that it was the intent of the general assembly to make that exemption.

15A NCAC 27.0301 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to ambiguity. In (e)(2) it is unclear what constitutes a "business related to well contractor activities." It is also unclear what constitutes "satisfactory...equivalent experience." In (e)(1) - (3) various tests to establish satisfactory experience are set out. Item (4) states that instead of (1) - (3) a person may submit evidence of equivalent experience. It seems that a person may show other ways of proving experience but it is unclear what the equivalence is. After all what is the equivalent experience to completing 25 wells in 24 months or "working in a well contractor activity for 24 months" that is not working in this activity? It is possible that the board may accept a combination of experience and education or education alone as an equivalent to the work experience, but that is not what the rule says or implies.

15A NCAC 27.0410 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to lack of statutory authority. In (a) the Commission may allow an exam to be administered orally, rather than in writing for "exceptional" circumstances. This is a waiver provision. The Commission may not grant such a waiver without specific guidelines the agency shall follow in granting or denying the waiver.

15A NCAC 27 .0420 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to ambiguity. In (2)(a) it is unclear what constitutes "a sufficient number of applicants" to justify scheduling an examination. In (2)(b) it is unclear what constitutes "exceptional circumstances" or the standards the Commission shall use in deciding whether to grant or deny the application.

15A NCAC 27.0430 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to lack of statutory authority. Rules relating to internal agency procedures are not to be adopted as rules. G.S. 143B-10(j)(3).

15A NCAC 27.0701 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to lack of statutory authority and necessity. This rule simply repeats the provisions of G.S. 87-98.5, is unnecessary, and violates G.S. 150B-19(4).

15A NCAC 27.0810 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to ambiguity. In (1) – (4) of this rule, especially when taken with .0820, it is unclear what constitutes "course completion," "course credit." and what PDH credit the licensee will earn.

15A NCAC 27.0820 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to ambiguity. In (1) – (4) of this rule, especially when taken with .0810, it is unclear what constitutes "course completion," "course credit," and what PDH credit the licensee will earn. In (5) it is unclear how the agency will determine that a course or activity contains "a clear purpose and objective and result[s] in the maintenance, improvement, or expansion of skills..." "without scrutiny of individual course content."

15A NCAC 27.0840 - DENR/Well Contractors Certification Commission – The Commission objected to this rule due to lack of statutory authority and ambiguity. There is no authority cited to grant an exemption from the statutory continuing education requirement. While an agency has the authority to grant exemptions to its own rules, it has no authority to exempt persons from the statutory requirements, at least not unless such authority is specifically granted by the legislature. Even if there is authority for this rule, it is unclear what constitutes "physical disability, illness, or other extenuating circumstances..." in (3). The logical question that needs to be answered is what sort of disability, illness, or circumstances would render a person capable of performing satisfactorily as a well contractor but not able to obtain or needful of obtaining continuing education hours. It is also unclear what standards the board shall use in determining whether to grant the exemption.

21 NCAC 12 .0504 - NC Licensing Board for General Contractors - This rule was withdrawn by the agency.

### COMMISSION PROCEDURES AND OTHER MATTERS

Mr. DeLuca announced that election of officers would take place next month. The Commission voted to go into Executive Session to consider the lawsuit and hear from its attorneys. The Commission reconvened after Executive Session and voted to adjourn at 12:25 p.m.

The next meeting will be on September 16, 1999.

Respectfully submitted, Sandy Webster

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This Section contains the full text of some of the more significant Administrative Law Judge decisions along with an index to all recent contested cases decisions which are filed under North Carolina's Administrative Procedure Act. Copies of the decisions listed in the index and not published are available upon request for a minimal charge by contacting the Office of Administrative Hearings, (919) 733-2698. Also, the Contested Case Decisions are available on the Internet at the following address: http://www.state.nc.us/OAH/hearings/decision/caseindex.htm.

### OFFICE OF ADMINISTRATIVE HEARINGS

Chief Administrative Law Judge JULIAN MANN, III

Senior Administrative Law Judge FRED G. MORRISON JR.

### ADMINISTRATIVE LAW JUDGES

Sammie Chess Jr. Beecher R. Gray Melissa Owens Meg Scott Phipps Robert Roosevelt Reilly Jr. Beryl E. Wade

AGENCY	CASE <u>NUMBER</u>	<u>ALJ</u>	DATE OF <u>DECISION</u>	PUBLISHED DECISION REGISTER CITATION
ADMINISTRATION				
Britthaven. Inc. v. Department of Administration	98 DOA 0811	Chess	06/10/99	
and Priva-Trends, Inc.				
Priva-Trends, Inc. Laidlaw Transit Sves, Inc. v. Katie G. Dorsett, Sec`y/Dept/Administration	99 DOA 0102	Morrison	06/11/99	14:02 NCR 115
Landiaw Hansir Sves, file, v. Kane O. Dorsen, See 970epo Administration	39 DOA 010	Montson	00/11/79	14.02 NCK 115
OFFICE OF ADMINISTRATIVE HEARINGS				
Ted Murrell, Zarn, Inc. v. Office of Administrative Hearings	99 OAH 0665	Chess	07/14/99	
Samuel Lee Ferguson v. Office of Administrative Hearings	99 OAH 0718	Chess	07/16/99	
AGRICULTURE				
Archie McLean v. Department of Agriculture	98 DAG 1770	Reilly	07/12/99	14-04 NCR 349
ALCOHOLIC BEVERAGE CONTROL COMMISSION				
Alcoholic Beverage Control Commission v. Keyland, Inc., T/A Cloud 9	98 ABC 1099	Overby	01/17/99	
Alcoholic Beverage Control Commission v. Food Lion, Inc., Store #1351	98 ABC 1270	Gray	03/31/99	14.04 NCR 347
Alcoholic Beverage Control Commission v. Beech Mountain Resort, Inc.	99 ABC 0287	Reilly	08/11/99	
Alcoholic Beverage Control Commission v. Jaeson Nyung Kim	99 ABC 0407	Mornson	07/09/99	
Delores Ann Holley v. Alcoholic Beverage Control Commission	99 ABC 0876	Gray	08/10/99	
CRIME CONTROL AND PUBLIC SAFETY				
Paul Richard Mull v. Crime Victims Compensation Commission	98 CPS 0342	Chess	07/26/99	
Bobby Mills v. Crime Victims Compensation Commission	98 CPS 1412	Wade	08/06/99	
William Samuel McCraw v. Crime Victims Compensation Commission	98 CPS 1626	Morrison	06/09/99	
Anson D. Looney v. Crime Victims Compensation Commission	99 CPS 0096	Morrison	05/25/99	
Elvin Williams, Jr. v. Crime Victims Compensation Commission	99 CPS 0118	Owens	08/03/99	
Michael Anthony Powell v. Crime Victums Compensation Commission	99 CPS 0426	Reilly	08/03/99	
Mary Elizabeth Peoples Hogan v. Crime Victums Compensation Comm.	99 CPS 0504	Reilly	07/29/99	
Annabell B. McCormick v. Crime Victums Compensation Commission	99 CPS 0564	Phipps	08/04/99	
ENVIRONMENT AND NATURAL RESOURCES				
R.J. Reynolds Tobacco Co. v. Dept. of Environment & Natural Resources	98 EHR 1315	Wade	06/04/99	14:02 NCR 110
Willie Setzer v. Department of Environment & Natural Resources	99 EHR 0166	Chess	06/28/99	
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Fiscal Note: S = Rule affects the expenditure or distribution of state funds. L = Rule affects the expenditure or distribution of local government funds. SE = Rule has a substantial economic impact of at least \$5,000,000 in a 12-month period. \* = Rule-making agency has determined that the rule does not impact state or local funds and does not have a substantial economic impact. See G.S. 150B-21.4.

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Notice of Public Hearing on Proposed Temporary Rules

14:05 NCR 368

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Citation	Proceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
2 NCAC 52B 0207	13:23 NCR 1946	13:23 NCR 1946	14:03 NCR 128	×						
2 NCAC 52B .0302	13:23 NCR 1901		14:03 NCR 128	÷						
2 NCAC 52E .0209	13:23 NCR 1901		14:03 NCR 128	*						
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21 NCAC 57A .0305	13:01 NCR 3		13:05 NCR 513	*	Object Approve	11/19/98	*		85 AUN 10-E1	
CHIROPRACTIC					mandate	66101160				
21 NCAC 10.0203		12:23 NCR 2098	13:14 NCR 1117	*	Approve	04/15/99	<del>ت</del>		14:02 NCR 84	
COMMERCE										
4 NCAC 01E .0104	11:09 NCR 569		13:08 NCR 652	-1 <u>7</u>	Object	12/17/98	*		8981 AUN (C-E1	
4 NCAC 01F	11:09 NCR 569				avoide	66/17/10				
4 NCAC 01H	11:09 NCR 569									
4 NCAC 011.0101	11:09 NCR 569	13:15 NCR 1224	13:08 NCR 652	*	Agey withdrew	v 12/17/98				
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4 NCAC 011.0102	11:09 NCR 569	13:15 NCR 1224	13.08 NCR 652	*	Agey withdrew					
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(Updated through <u>September 10, 1999</u> )	Fiscal	Note	-	*	÷-	÷	*	L/S	*	L/S	<del></del>	S/1	÷	÷	÷	**
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	Temporary	Rule		Temp. Expired 10/29/99 13:20 NCR 1719	13:15 NCR 1224	Temp. Expired 10/29/99 13:20 NCR 1719	13:15 NCR 1224 13:08 NCR 652	Temp. Expired 10/29/99 13:20 NCR 1719	13:15 NCR 1224 13:08 NCR 652	Temp. Expired 10/29/99 13:20 NCR 1719	13:15 NCR 1224 13.08 NCR 652	Temp. Expired 10/29/99 13:20 NCR 1719	13:15 NCR 1224 13:08 NCR 652	1emp. Expired 10/29/99 13:20 NCR 1719	13:15 NCR 1224 13:08 NCR 652	Femp. Expired 10/29/9
	Rule-making	Proceedings			11:09 NCR 569		11:09 NCR 569		11:09 NCR 569		11:09 NCR 569		11:09 NCR 569		11:09 NCR 569	
	Agency/Rule	Citation		4 NCAC 011.0404	4 NCAC 011.0405	4 NCAC 011,0405	4 NCAC 011,0501	4 NCAC 011.0501	4 NCAC 011.0502	4 NCAC 011.0502	4 NCAC 011.0503	4 NCAC 011.0503	4 NCAC 011.0601	4 NCAC 011,0601	4 NCAC 011.0701	4 NCAC 011.0701

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1304 NCR 274       1         1404 NCR 274       1 </th <th>Citation</th> <th>Proceedings</th> <th>Rule</th> <th>Text</th> <th>Note</th> <th>Action</th> <th>Date</th> <th>Irom proposal</th> <th>Governor</th> <th>Approved Kule</th> <th>Other</th>	Citation	Proceedings	Rule	Text	Note	Action	Date	Irom proposal	Governor	Approved Kule	Other
1401 NCR 724       1         1401 NCR 724       1 </td <td>4 NCAC 03L .0302</td> <td>13:24 NCR 1997</td> <td></td> <td>14:04 NCR 274</td> <td>*</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	4 NCAC 03L .0302	13:24 NCR 1997		14:04 NCR 274	*						
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Nut         Atim         Date         Date         Date         Contract         Contract	Tenporary		Notice of	Fiscal	RRC	Status	Text differs	Effective by	Annroved Rule	Other
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L       Approve       (6/17/9)         L       Approve       (6/17/9)         L       Approve       (6/17/9)         L       Approve       (6/17/9)         L       Object       (6/17/9)         Approve       (8/19/9)       *	13:14 NCR 1157	-	3:19 NCR 1652	L	Approve	06/11/00			14.05 NCR 402	
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15A NCAC 01L .0902		13.18 NCR 1528	13:22 NCR 1827	S/L						
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13.18 NCR 15.26       13.22 NCR 1827       *         NCR 183       13.23 NCR 1937       *         NCR 1813       13.23 NCR 1937       *         NCR 1873       11.12 NCR 2058       *         NCR 1873       13.23 NCR 1937       *         NCR 1873       11.12 NCR 2058       *         NCR 1873       13.23 NCR 1937       *         NCR 1873       13.23 NCR 1937       *         NCR 1873       13.13 NCR 1044       \$         NCR 1873       NCR 1873       *         NCR 1873       11.11 NCR 907       *         NCR 436       13.13 NCR 1044       \$         NCR 436       13.13 NCR 1044       \$         NCR 438       *       *         NCR 428       *       *	Citation	Proceedings	Rufe	Text	Note	Action	Date	proposal	Governor	Approved Rule	Office
13.18 NCR 15.38       13.22 NCR 18.27       *         NCR 1813       13.23 NCR 1937       *         NCR 1873       13.23 NCR 1937       *         NCR 163       11.11 NCP 907       *         NCR 163       11.11 NCP 907       *         NCR 164       5       0houte         NCR 163       13.13 NCR 10.41       5         NCR 163       11.11 NCP 907       *         NCR 1873       NCR 1937       *         NCR 1873       *       *         NCR 1873       *       *											
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13.23 NCR 1937       *         13.23 NCR 1937       *         13.23 NCR 1937       *         11.27 NCB 2058       *         11.27 NCB 2058       *         11.23 NCR 1937       \$/L         13.23 NCR 1937       *         13.13 NCR 1044       \$         13.13 NCR 1043       *	oastal Resources Com	mission									
13.23 NCR 1937       *         13.23 NCR 1937       *         11:27 NCR 2058       *         11:27 NCR 2058       *         11:27 NCR 2058       *         13:23 NCR 1937       \$/L         11:27 NCR 2058       *         13:23 NCR 1937       \$/L         13:23 NCR 1044       \$         05       13:13 NCR 1044         13:13 NCR 1044       \$         13:23 NCR 1037       *         13:23 NCR 1037       *         13:23 NCR 1037       *	15A NCAC 07	11:04 NCR 183									
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13.23 NCR 1937       *         11.27 NCR 2058       *         11.27 NCR 2058       *         13.23 NCR 1937       \$/1         13.13 NCR 1097       *         11.11 NCR 907       *         11.11 NCR 907       *         11.11 NCR 907       *         13.13 NCR 1044       \$         0       13.13 NCR 1044         1       13.13 NCR 1044         1       13.13 NCR 1044         1       *         13.13 NCR 1044       \$         0.15/99         Approve       08/19/99	15A NCAC 07H .0201	12:21 NCR 1873		13:23 NCR 1937	**						14-02 NCR 74
11:27 NCR 2058       *         13:23 NCR 1937       \$/1.         13:23 NCR 1937       \$/1.         11:11 NCR 907       *	15A NCAC 07H .0203			13:23 NCR 1937	÷						14-02 NCR 74
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|               | Praceedings Rule Text Note Action Date proposal Governor Approved Rule | Praceedings Rule Text Note Action Date proposal Governor Approved Rule | Praceedings Rule Text Note Action Date proposal Governor Approved Kule | Rule Text Note Action Date proposal Governor Approved Wale | Rule     Text     Note     Action     Date     room     Governor     Approved Kule       3     13:07 NCR 593     13:16 NCR 1259     *     Object     07/15/99 | Rule         Text         Note         Action         Date         Proposal         Governor         Approved Mule           3         13:07 NCR 593         13:16 NCR 1259         *         Object         07/15/99         08/19/99           3         13:23 NCR 1937         *         Object         08/19/99         08/19/99 | Rule         Text         Note         Action         Date         Unit         Governor         Approved Mule           3         13.07 NCR \$93         13.16 NCR 1259         *         Object         07/15/99      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05/15/99 | Rule         Text         Note         Action         Date         unull         Governor         Approved Mule           3         13.07 NCR 593         13.16 NCR 1259         *         Object         07/15/99         - | Rule         Text         Note         Action         Date         proposal         Governor         Approved Mule           3         13:07 NCR 593         13:16 NCR 1259         *         Object         07/15/99         1         14:06 NCR 490           3         13:23 NCR 1937         *         Object         07/15/99         14:06 NCR 490           3         13:13 NCR 1044         S         Approve         07/15/99         *         14:06 NCR 490 | Rule         Text         Note         Action         Date         unuit         Governor         Approversit           3         13.07 NCR 593         13.16 NCR 1259         *         Object         07/15/99         in         Governor         Approversit           3         13.07 NCR 593         13.16 NCR 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       Object         07/1599         for 0000         06000         Approved Autor           3         13.07 NCR 593         13.16 NCR 1259         *         Object         07/1599         for 0000         06000         Approved Autor         Approved Autor         Approved Autor         Approved Autor         13.13 NCR 1031         *         13.15 NCR 1031         *         14.06 NCR 490         14.06 NCR 490         14.06 NCR 490         13.13 NCR 1041         \$         Approve         07/15/99         *         14.06 NCR 490         14.06 NCR 490<th>Rule         Text         Note         Action         Date         protoci         Approved avoid           3         13.07 NCR 593         13:16 NCR 1259         *         Object         07/15799         for notion         14.06 NCR 490           3         13.23 NCR 1937         *         Object         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.13 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1037         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1037         5         Approve</th><th>Rule         Text         Note         Action         Date         proposal         Governor         Approved nor           3         13:07 NCR 593         13:16 NCR 1259         *         Onject         07/15/99         [600 NCR 499]         [14:06 NCR 490]           3         13:07 NCR 593         13:16 NCR 1259         *         Onject         07/15/99         [600 NCR 490]           3         13:23 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Onject         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 340]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 340]  &lt;</th><th>Rule         Text         Note         Action         Date         proposi         Governor         Approvation           3         13.07 NCR 593         13.16 NCR 1259         •         Object         0715/99         •         14.06 NCR 490           3         13.07 NCR 593         13.16 NCR 1259         •         Object         0715/99         •         14.06 NCR 490           3         13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 300</th><th>Rule         Text         Note         Action         Date         proposal         Governor         Approval           3         13/07 NCR 593         13/16 NCR 1259         *         Object         07/15/99         14/06 NCR 490         44/06           3         13/23 NCR 1031         *         Object         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         A</th><th>Rule         Test         Note         Action         Jac         Total         Approved mode           3         1307 NCR 593         1316 NCR 1259         -         Object         07/1599         -         1496 NCR 490           3         1307 NCR 593         1316 NCR 1259         -         07/1599         -         1496 NCR 490         1496 NCR 490           3         1333 NCR 1034         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5</th><th>Funceding,         Rate         Teat         Note         Action         Jage         Option         Approvant           1221 NCR 1873         1307 NCR 593         1316 NCR 1250         •         Object         00         00         01500         1400 NCR 430         1400 NCR 430           1221 NCR 1873         1307 NCR 593         1316 NCR 1250         •         Object         001509         •         1400 NCR 430           1221 NCR 1873         1315 NCR 1014         5         Approva         071509         •         1400 NCR 430           1406 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1406 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         &lt;</th><th>Threedings         Rue         Text         Motion         Date         Motion         Motion<th>Incredings         Ruit         Text         Mut         Auto         Mut         Automatic         Automatic         Automatic           121 NCR 1873         130 NCR 303         1316 NCR 1250         -         Mut         <t< th=""><th>Torocedings         Rule         Total         Mat         Mat         Data         Approximation           1231 NCR 1873         1307 NCR 303         1316 NCR 1259        </th><th>Increting         Rule         Tat         Note         Action         Data         Contront         Approximation           1211 NCR 1873         137 NCR 933         13416 NCR 1259         -         Object         0115999         -</th><th>InvocationRoteTotNotNotApproximation1211 UK 18731307 UK 18731316 UK 1290<math>1316</math> UK 1290<math>1313</math> UK 1290<math>131</math></th><th>Invocation         Rote         Total         Action         Data         Governant         Governant</th></t<></th></th></th> | Rule         Text         Note         Action         Jate         proposit         Governor         Approved Autor           3         13.07 NCR 593         13.16 NCR 1259         *         Object         07/1599         for 0000         06000         Approved Autor           3         13.07 NCR 593         13.16 NCR 1259         *         Object         07/1599         for 0000         06000         Approved Autor         Approved Autor         Approved Autor         Approved Autor         13.13 NCR 1031         *         13.15 NCR 1031         *         14.06 NCR 490         14.06 NCR 490         14.06 NCR 490         13.13 NCR 1041         \$         Approve         07/15/99         *         14.06 NCR 490         14.06 NCR 490 <th>Rule         Text         Note         Action         Date         protoci         Approved avoid           3         13.07 NCR 593         13:16 NCR 1259         *         Object         07/15799         for notion         14.06 NCR 490           3         13.23 NCR 1937         *         Object         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.13 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1037         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1037         5         Approve</th> <th>Rule         Text         Note         Action         Date         proposal         Governor         Approved nor           3         13:07 NCR 593         13:16 NCR 1259         *         Onject         07/15/99         [600 NCR 499]         [14:06 NCR 490]           3         13:07 NCR 593         13:16 NCR 1259         *         Onject         07/15/99         [600 NCR 490]           3         13:23 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Onject         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 340]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 340]  &lt;</th> <th>Rule         Text         Note         Action         Date         proposi         Governor         Approvation           3         13.07 NCR 593         13.16 NCR 1259         •         Object         0715/99         •         14.06 NCR 490           3         13.07 NCR 593         13.16 NCR 1259         •         Object         0715/99         •         14.06 NCR 490           3         13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 300</th> <th>Rule         Text         Note         Action         Date         proposal         Governor         Approval           3         13/07 NCR 593         13/16 NCR 1259         *         Object         07/15/99         14/06 NCR 490         44/06           3         13/23 NCR 1031         *         Object         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         A</th> <th>Rule         Test         Note         Action         Jac         Total         Approved mode           3         1307 NCR 593         1316 NCR 1259         -         Object         07/1599         -         1496 NCR 490           3         1307 NCR 593         1316 NCR 1259         -         07/1599         -         1496 NCR 490         1496 NCR 490           3         1333 NCR 1034         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5</th> <th>Funceding,         Rate         Teat         Note         Action         Jage         Option         Approvant           1221 NCR 1873         1307 NCR 593         1316 NCR 1250         •         Object         00         00         01500         1400 NCR 430         1400 NCR 430           1221 NCR 1873         1307 NCR 593         1316 NCR 1250         •         Object         001509         •         1400 NCR 430           1221 NCR 1873         1315 NCR 1014         5         Approva         071509         •         1400 NCR 430           1406 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1406 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         &lt;</th> <th>Threedings         Rue         Text         Motion         Date         Motion         Motion<th>Incredings         Ruit         Text         Mut         Auto         Mut         Automatic         Automatic         Automatic           121 NCR 1873         130 NCR 303         1316 NCR 1250         -         Mut         <t< th=""><th>Torocedings         Rule         Total         Mat         Mat         Data         Approximation           1231 NCR 1873         1307 NCR 303         1316 NCR 1259        </th><th>Increting         Rule         Tat         Note         Action         Data         Contront         Approximation           1211 NCR 1873         137 NCR 933         13416 NCR 1259         -         Object         0115999         -</th><th>InvocationRoteTotNotNotApproximation1211 UK 18731307 UK 18731316 UK 1290<math>1316</math> UK 1290<math>1313</math> UK 1290<math>131</math></th><th>Invocation         Rote         Total         Action         Data         Governant         Governant</th></t<></th></th> | Rule         Text         Note         Action         Date         protoci         Approved avoid           3         13.07 NCR 593         13:16 NCR 1259         *         Object         07/15799         for notion         14.06 NCR 490           3         13.23 NCR 1937         *         Object         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.13 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         07/15799         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1044         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1037         5         Approve         05/19/99         *         14.06 NCR 490           3         13.23 NCR 1037         5         Approve | Rule         Text         Note         Action         Date         proposal         Governor         Approved nor           3         13:07 NCR 593         13:16 NCR 1259         *         Onject         07/15/99         [600 NCR 499]         [14:06 NCR 490]           3         13:07 NCR 593         13:16 NCR 1259         *         Onject         07/15/99         [600 NCR 490]           3         13:23 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Onject         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 490]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 340]           3:13 NCR 1014         S         Approve         07/15/99         *         [14:06 NCR 340]  < | Rule         Text         Note         Action         Date         proposi         Governor         Approvation           3         13.07 NCR 593         13.16 NCR 1259         •         Object         0715/99         •         14.06 NCR 490           3         13.07 NCR 593         13.16 NCR 1259         •         Object         0715/99         •         14.06 NCR 490           3         13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 490           13.13 NCR 1044         5         Approve         0715/99         •         14.06 NCR 300 | Rule         Text         Note         Action         Date         proposal         Governor         Approval           3         13/07 NCR 593         13/16 NCR 1259         *         Object         07/15/99         14/06 NCR 490         44/06           3         13/23 NCR 1031         *         Object         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         07/15/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         Approve         05/20/99         *         14/06 NCR 490           3         13/13 NCR 1014         5         A | Rule         Test         Note         Action         Jac         Total         Approved mode           3         1307 NCR 593         1316 NCR 1259         -         Object         07/1599         -         1496 NCR 490           3         1307 NCR 593         1316 NCR 1259         -         07/1599         -         1496 NCR 490         1496 NCR 490           3         1333 NCR 1034         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 490           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5         Approve         07/1599         -         1496 NCR 300           1331 NCR 1044         5 | Funceding,         Rate         Teat         Note         Action         Jage         Option         Approvant           1221 NCR 1873         1307 NCR 593         1316 NCR 1250         •         Object         00         00         01500         1400 NCR 430         1400 NCR 430           1221 NCR 1873         1307 NCR 593         1316 NCR 1250         •         Object         001509         •         1400 NCR 430           1221 NCR 1873         1315 NCR 1014         5         Approva         071509         •         1400 NCR 430           1406 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1406 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         •         1400 NCR 430           1305 NCR 436         1313 NCR 1014         5         Approva         071509         < | Threedings         Rue         Text         Motion         Date         Motion         Motion <th>Incredings         Ruit         Text         Mut         Auto         Mut         Automatic         Automatic         Automatic           121 NCR 1873         130 NCR 303         1316 NCR 1250         -         Mut         <t< th=""><th>Torocedings         Rule         Total         Mat         Mat         Data         Approximation           1231 NCR 1873         1307 NCR 303         1316 NCR 1259        </th><th>Increting         Rule         Tat         Note         Action         Data         Contront         Approximation           1211 NCR 1873         137 NCR 933         13416 NCR 1259         -         Object         0115999         -</th><th>InvocationRoteTotNotNotApproximation1211 UK 18731307 UK 18731316 UK 1290<math>1316</math> UK 1290<math>1313</math> UK 1290<math>131</math></th><th>Invocation         Rote         Total         Action         Data         Governant         Governant</th></t<></th> | Incredings         Ruit         Text         Mut         Auto         Mut         Automatic         Automatic         Automatic           121 NCR 1873         130 NCR 303         1316 NCR 1250         -         Mut         Mut <t< th=""><th>Torocedings         Rule         Total         Mat         Mat         Data         Approximation           1231 NCR 1873         1307 NCR 303         1316 NCR 1259        </th><th>Increting         Rule         Tat         Note         Action         Data         Contront         Approximation           1211 NCR 1873         137 NCR 933         13416 NCR 1259         -         Object         0115999         -</th><th>InvocationRoteTotNotNotApproximation1211 UK 18731307 UK 18731316 UK 1290<math>1316</math> UK 1290<math>1313</math> UK 1290<math>131</math></th><th>Invocation         Rote         Total         Action         Data         Governant         Governant</th></t<> | Torocedings         Rule         Total         Mat         Mat         Data         Approximation           1231 NCR 1873         1307 NCR 303         1316 NCR 1259 | Increting         Rule         Tat         Note         Action         Data         Contront         Approximation           1211 NCR 1873         137 NCR 933         13416 NCR 1259         -         Object         0115999         - | InvocationRoteTotNotNotApproximation1211 UK 18731307 UK 18731316 UK 1290 $1316$ UK 1290 $1313$ UK 1290 $131$ | Invocation         Rote         Total         Action         Data         Governant         Governant |

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3.2. NCH 101       146. NCH 162       SE         3.2. NCH 101       12.0. NCH 102       SE         3.2. NCH 101       12.0. NCH 122       SE         12.3. NCH 101       13.0. NCH 122       S         13.3. NCH 111       13.0. NCH 122       S         13.1. NCH 111       13.0. NCH 122       S         13.1. NCH 111       13.2. NCH 129       S         13.1. NCH 128       13.2. NCH 129       S         13.1. NCH 128       13.3. NCH 129       S         13.1. NCH 128       13.3. NCH 129       S         13.1. NCH 128       13.3. NCH 128       S         13.1. NCH 128       S	Citation	Proceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
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3.2. NOR 101     143 NOR 162     SE       3.2. NOR 101     1.3. NOR 172     SE       3.2. NOR 111     1.3. NOR 172     S       3.2. NOR 111     1.3. NOR 172     S       3.2. NOR 113     1.3. NOR 172     S       3.2. NOR 114     1.3. NOR 172     S       3.2. NOR 119     1.3. NOR 172     S       3.2. NOR 110     1.3. NOR 172     S       3.2. NOR 129     S     S       3.2. NOR 129     S     Approx       3.2. NOR 129     S     Approx       3.2. NOR 129     S     S       3.2. NOR 129     S     Approx       3.2. NOR 129     S     Approx       3.2. NOR 129     S     S       3.2. NOR 120     1.3. NOR 120       3.2. NOR 120     S     S       3.2. NO	15A NCAC 02B .0260			14:03 NCR 162	SE						
623 NG 160       132 NG 160         634 NG 111       132 NG 127       1         734 NG 111       132 NG 177       1         134 NG 111       132 NG 1772       1         134 NG 111       132 NG 1772       1         134 NG 111       132 NG 1772       1         134 NG 111       132 NG 1723       1         134 NG 111       132 NG 1929       1         121 NG 193       1       1         134 NG 106       132 NG 1939       1         134 NG 106       132 NG 193       1         145 NG 106       132 NG 193       1         145 NG 106       132 NG 194       1         145 NG 106       132 NG 194       1         145 NG 106       132 NG 194       1         145 NG 196       1       1         145 NG 196       1       1         145 NG 196       1       1         146 NG 196       1	15A NCAC 02B .0261			14:03 NCR 162	SE						
(3)4) MCR (11)       (3)2) MCR (72)       4         (3)4) MCR (11)       (3)2) MCR (72)       4         (3)4) MCR (11)       (3)2) MCR (72)       4         (3)4) MCR (13)       (3)2) MCR (72)       4         (3)4) MCR (14)       (3)2) MCR (12)       4         (3)4) MCR (14)       (3)4       4         (4)4) MCR (14)       (3)4       4         (1)5) MCR (20)       (1)15) MCR (20)       1         (1)6) MCR (20)       (1)15) MCR (20)       4         (1)7) MCR (20)       (1)15) MCR (20)       4         (1)8) MCR (20)       (1)15) MCR (20)       4         (1)15) MCR (20)       (1)15) MCR (20)       4         (1)15) MCR (20)       (1)15) MCR (20)       4         (1)15) MCR	15A NCAC 02B .0262	13:23 NCR 1901									
B1-10CR [11]       12-0xCR 172       9         B2-14 XCR [11]       13-0xCR 129       1         B2-14 XCR [149]       13-0xCR 122       1         B2-14 XCR [149]       13-0xCR 122       1         B2-14 XCR [149]       13-0xCR 122       1         B2-14 XCR [140]       13-0xCR 122       1         B2-14 XCR [140]       13-0xCR 120       1         B2-15 XCR 100       13-0xCR 120       1         B1-15 XCR 100       1       1         B1-15 XCR 100       1       1         B1-16 XCR 200       1	15A NCAC 02B .0303			13:20 NCR 1727	<del>%</del>						
R1-NCR [11]       [32) NCR 122       =         13 NCR 106       [33 NCR 129       =         12 NCR 111       [33 NCR 122]       =         13 NCR 111       [33 NCR 122]       =         13 NCR 111       [33 NCR 122]       =         13 NCR 106       [33 NCR 122]       =         13 NCR 106       [33 NCR 122]       =         13 NCR 106       [32 NCR 122]       =         13 NCR 106       [32 NCR 120]       =         13 SNCR 132       [32 NCR 120]       =         13 SNCR 132       [32 NCR 120]       =         14 NCR 1200       [13 SNCR 120]       =         15 NCR 1200       [14 SNCR 120]       =         15 NCR 1200       [15 NCR 120]       =         16 NCR 1201       [16 NCR 120]       =         16 NCR 1201	15A NCAC 02B .0304			13-20 NCR 1727	÷.						
1310 NCR 160       133 NCR 1939       1         1216 NCR 1489       133 NCR 1939       1         1314 NCR 161       132 NCR 1939       1         1314 NCR 1616       132 NCR 1939       1         1315 NCR 933       1       1         1315 NCR 130       1       1         115 NCR 130       1       1         116 NCR 136       1       1         116 NCR 136       1       1         116 NCR 136       1       1         116 NCR 130       1       1         116 NCR 136       1       1	15A NCAC 02B .0306	13:14 NCR 1111		13:20 NCR 1727	**						14:02 NCR 73
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13:14 NCR 111       13:20 NCR 122       1         13:19 NCR 1016       13:23 NCR 1929       1         11:26 NCR 1046       12:01 NCR 6       2         11:26 NCR 1046       13:23 NCR 1929       1         12:30 NCR 1046       13:23 NCR 1929       1         12:30 NCR 1046       13:23 NCR 1929       1         12:30 NCR 1045       13:23 NCR 1929       1         12:15 NCR 1046       1       1         12:15 NCR 1200       1       1         11:15 NCR 1200	15A NCAC 02B .0308										
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11:56 KR 1976     12:01 KR 6     *     Approve     01/508     *     12:21 KR 1836       13:12 KR 943     13:23 KR 1929     *     *     12:31 KR 943     *     12:31 KR 943       12:02 KR 923     11:51 KR 1200     11:51 KR 1200     *     *     *     *     *       11:15 KR 1200     11:15 KR 1200     11:15 KR 1200     *     *     *     *     *       11:15 KR 1200     11:15 KR 1200     *     *     *     *     *     *       11:15 KR 1200     11:15 KR 1200     *     *     *     *     *       11:15 KR 1200     11:15 KR 1200     *     *     *     *     *       11:15 KR 1200     11:15 KR 1200     *     *     *     *     *       11:15 KR 1200     11:15 KR 1200     *     *     *     *     *       11:15 KR 1200     *     *     *     *     *     *       11:15 KR 1200     *     *     *     *     *     *       11:15 KR 1200     *     *     *     *     *       11:15 KR 1200     *     *     *     *     *       11:15 KR 1200     *     *     *     *     *       11:15 KR	15A NCAC 02B .0310			13:23 NCR 1929	¥.						
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15A NCAC 031.0120	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	×						
15A NCAC 031 .0103 15A NCAC 031 .0103 15A NCAC 031 .0104	13:14 NCR 1113 13:14 NCR 1113	13:08 NCR 739 14:01 NCR 18 14:01 NCR 18	14:06 NCR 443 14:06 NCR 443	* *						
15A NCAC 03J .0110	13 14 NCR 1113	14:01 NCR 18	14:06 NCR 443	×						
15A NCAC 03J .0202 15A NCAC 03J .0301	11.07 NCR 407 13:14 NCR 1113 13:14 NCR 1113	14:01 NCR 18	11:11 NCR 888 14:06 NCR 443	* *						
15A NCAC 03J .0302	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	×						
15A NCAC 03J .0305	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*						
15A NCAC 03K .0101	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*						
15A NCAC 03K .0105	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*						
15A NCAC 03K .0106	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	×						
15A NCAC 03K .0202	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*						
15A NCAC 03K .0502	13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	×						
15A NCAC 03L .0102	11:07 NCR 407		11:11 NCR 888	*						
15 A NCAC 031 0301	STUDIES TO									

Agency/Rule Rule-making	Temporary	Notice of	Fiscal	RRC Status	-	Text differs Effective hy	 	
Citation Proceedings	Rule	Text	Note	Action	Date proposal		Approved Kute	Olher
15A NCAC 031 . 0206 - 13-14 NCB 1113	14.01 NCR 18	14-06 NCR 443	×					
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154 NCAC 03M .0202 13.14 NCK 1113	14:01 NCK 18	14:00 NCK 445	÷					
I5A NCAC 03M .0301 13.14 NCR 1113	14:01 NCR 18	14:06 NCR 443	×					
15A NCAC 03M .0501	13:08 NCR 739							
[3:14 NCR [113] 154 NCAC 03M 0503 13:14 NCP 1113	14:01 NCR 18	14:06 NCR 443	* *					
I5A NCAC 03M .0504 [3:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*					
15A NCAC 03M .0506	13:22 NCR 1865							
15A NCAC 03M .0507 13:10 NCR 803								
15A NCAC 03M :0507 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*					
15A NCAC 03M .0511 13.14 NCR 1113	14:01 NCR 18	14:06 NCR 443	<i>₩</i> .					
15A NCAC 03M .0513 11:26 NCR 1976		[2:05 NCR 418	*					
15A NCAC 03M, 0513 13.14 NCR 1113	14:01 NCR 18							
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15A NCAC 03M .0513 13:19 NCR 1666	13:19 NCR 1666							
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15A NCAC 03M .0516 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*					
15A NCAC 030 .0101 13-14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*					
15A NCAC 030 .0102 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*					
15A NCAC 030 .0103 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*					
15A NCAC 030 .0104 - 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	¥					
15A NCAC 03O .0105 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	*					
15A NCAC 03O .0106 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	¥.					
15A NCAC 030 .0107 13:14 NCR 1113	[4,0] NCR 18	14:06 NCR 443	*					
[5A NCAC 030 .0108 13:14 NCR 1113	[4:0] NCR 18							
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15A NCAC v30 .0164 13:14 NCR 1113	14:01 NCR 18	14:06 NCR 443	<del>77</del>					
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Troonling         Bits         Total         More	Agenev/Rule Rule	Rule-making	Tennorary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by	-	
R113       1401 NCR 18       1406 NCR 413       2         R111       1401 NCR 18       1406 NCR 413       2         R111       1401 NCR 18       1406 NCR 413       2         R113       <		ceedings	Rale	Text	Note	Action	Date	trom proposal	Governor	Approved Rule	Other
R  1]         140 NCR 18         140 NCR 413         5           R  1]         140 NCR 18         140 NCR 13         5           R  1]         140 NCR 18         140 NCR 13         5           R  1]         140 NCR 18         140 NCR 13         5           R  1]         140 NCR 18         140 NCR 13         5           R  1]         140 NCR 13         150 NCR 143         5           R  1]         140 NCR 13         150 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         140 NCR 18         140 NCR 143         5           R  1]         151 NCR 153         140 NCR 143         5           R  1]         151 NCR 153         140 NCR 143         5           R  1]	5A NCAC 030 .0110 13:14	NCR 1113	14.01 NCR 18	14:06 NCR 443	÷						
R III3         140 NCR IS         140 NCR L3         1           R III3         140 NCR IS         140 NCR L3         1           R III3         140 NCR IS         140 NCR L3         1           R III3         140 NCR IS         140 NCR L3         1           R III3         140 NCR IS         140 NCR L3         1           R III3         140 NCR L3         1         1           R III3         131 NCR 153         140 NCR L3         1           R III3         131 NCR 153         140 NCR L3         1           R III3         131 NCR 153         140 NCR L3         1 <tr< td=""><td>5A NCAC 030 .0111 13.14</td><td>NCR 1113</td><td>14:01 NCR 18</td><td>14.06 NCR 443</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></tr<>	5A NCAC 030 .0111 13.14	NCR 1113	14:01 NCR 18	14.06 NCR 443	*						
R III 3       140 NCR IS       146 NCR 143       7         R III 3       140 NCR 13       146 NCR 143       7         R III 3       140 NCR 13       146 NCR 143       7         R III 3       140 NCR 18       146 NCR 143       7         R III 3       140 NCR 18       146 NCR 143       7         R III 3       140 NCR 18       146 NCR 143       7         R III 3       140 NCR 18       146 NCR 143       7         R III 3       140 NCR 18       146 NCR 143       7         R III 3       140 NCR 18       140 NCR 143       7         R III 3       140 NCR 18       140 NCR 143       7         R III 3       140 NCR 18       140 NCR 143       7         R III 3       140 NCR 153       140 NCR 143       7         R III 3       151 NCR 153       140 NCR 143       7         R III 3       151 NCR 153       140 NCR 143       7         R III 3       151 NCR 153       140 NCR 143       7         R III 3       151 NCR 153       140 NCR 143       7         R III 3       151 NCR 153       140 NCR 143       7         R III 3       151 NCR 153       140 NCR 143       7		NCR 1113	14:01 NCR 18	14 06 NCR 443	×						
R1113         1401 NCR 18 1401 NCR 23 1401 NCR 23 1401 NCR 23 1401 NCR 23 1401 NCR 13 1401 NCR 13 1401 NCR 18 1400 NCR 143 1410 NCR 18 1400 NCR 18 1400 NCR 18 1400 NCR 18 1400 NCR 13 1400 NCR 13 1400 NCR 13 1400 NCR 143 1400 NCR 143 140 NCR 1		NCR 1113	14:01 NCR 18	14.06 NCR 443	÷.						
R (113)       1-01 NCR (15)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-01 NCR (18)       1-06 NCR (143)       7         R (113)       1-101 NCR (18)       1-06 NCR (143)       7         R (113)       1-101 NCR (18)       1-06 NCR (143)       7         R (113)       1-101 NCR (18)       1-06 NCR (143)       7         R (113)       1-101 NCR (18)       1-06 NCR (143)       7         R (113)       1-181 NCR (153)       1-06 NCR (143)       7         R (113)       1-181 NCR (153)       1-06 NCR (143)       7         R (113)       1-181 NCR (153)       1-06 NCR (143)       7         R (113)       1-181 NCR (153)       1-06 NCR (143)       7         <		NCR 1113	14:01 NCR 18	14 06 NCB 443	÷						
R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-01 NCR 18       1-06 NCR 44.3       *         R (113)       1-31 8 NCR 155.3       1-06 NCR 44.3       *         R (113)       1-31 8 NCR 155.3       1-06 NCR 44.3       *         R (113)       1-31 8 NCR 155.3       1-06 NCR 44.3       *         R (113)       1-31 8 NCR 155.3       1-06 NCR 44.3       *         R (113)       1-31 8 NCR 155.3       1-06 NCR 44.3       *         R (113)       1-31 8 NCR 155.3       1-06 NCR 44.3       *         R (113)       1-31 8 NCR 155.3       1-06 NCR 44.3       *         R (113)       1-		NCR 1113	14:01 NCR 18	14:06 NCR 443	÷						
R III3       1401 NCR IS       1406 NCR 413       1         R III3       1401 NCR IS       1406 NCR 413       1         R III3       1401 NCR IS       1406 NCR 413       1         R III3       1401 NCR IS       1406 NCR 413       1         R III3       1401 NCR IS       1406 NCR 413       1         R III3       1401 NCR IS       1406 NCR 413       1         R III3       1401 NCR IS       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         R III3       131 SN CR 1553       1406 NCR 413       1         N III3       131 SN CR 1553       1406 NCR 413       1         N III3       131 SN CR 1553       1406 NCR 413		NCR 1113	14:01 NCR 18	14.06 NCR 443	÷						
R III3       1401 NCR I8       1406 NCR 413       *         R III13       1401 NCR I8       1406 NCR 413       *         R III13       1401 NCR I8       1406 NCR 413       *         R III3       1401 NCR I8       1406 NCR 413       *         R III3       1401 NCR I8       1406 NCR 413       *         R III3       1401 NCR I8       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R III3       1318 NCR I553       1406 NCR 413       *         R II13       1318 NCR I553       1406 NCR 413       *         R II13       1318 NCR I553       1406 NCR 413       *         R II13       1318 NCR I553       1406 NCR 413		NCR 1113	14:01 NCR 18	14.06 NCR 443	**						
R [113       1401 NCR [8       14.06 NCR 443       *         R [113       14.01 NCR [8       14.06 NCR 413       *         R [113       14.01 NCR [8       14.06 NCR 413       *         R [113       14.01 NCR [553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1553       14.06 NCR 413       *         R [113       15.18 NCR 1		NCR 1113	14.01 NCR 18	14:06 NCR 443	<del>):</del>						
R 1113       1401 NCR 18       1406 NCR 143       *         R 1113       1401 NCR 18       1406 NCR 143       *         R 1113       1401 NCR 18       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         R 1113       1318 NCR 1553       1406 NCR 143       *         NA       N/A       Approve       07/1599         R 103       *       N/A       Approve       07/1599         R 103       *       N/A       Approve       05/2099         R 103       *       Approve       05/2		NCR 1113	14:01 NCR 18	14.06 NCR 443	-1/ -2-						
R 1113       14.01 NCR 18       14.06 NCR 44.3       *         R 1113       14.01 NCR 18       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         R 1113       13.18 NCR 1553       14.06 NCR 44.3       *         N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         N/A       13.13 NCR 10.13       *       Approve         N/A       13.13 NCR 10.13       *       05/20/99         R 1097       13.13 NCR 10.13       *       Approve       05/20/99		NCR 1113	14:01 NCR 18	14:06 NCR 443	1+ 21						
R 1113       1401 NCR 18       1406 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         R 1113       13.18 NCR 1553       14.06 NCR 413       *         N 1       N/A       N/A       Approve       07/15/99         R 1013       N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99       *         R 1097       N/A       N/A       Approve       05/20/99         R 1097       N       N/A       Approve       05/20/99         R 1097       N       N/A       Approve       05/20/99 <tr< td=""><td></td><td>NCR 1113</td><td>14:01 NCR 18</td><td>14.06 NCR 443</td><td><del>31</del></td><td></td><td></td><td></td><td></td><td></td><td></td></tr<>		NCR 1113	14:01 NCR 18	14.06 NCR 443	<del>31</del>						
R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         NA       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         R 1097       *       Approve       05/20/99         R 1097       *       Approve       05/20/99         R 1097       *       Approve       05/20/99         R 1097       *       Approve       05/20/99    <		NCR 1113	14:01 NCR 18	14.06 NCR 443	×						
R 1113       13.18 NCR 1553       14.06 NCR 443       *         R 1113       13.18 NCR 1553       14.06 NCR 443       *         R 1113       13.18 NCR 1553       14.06 NCR 443       *         R 1113       13.18 NCR 1553       14.06 NCR 443       *         R 1113       13.18 NCR 1553       14.06 NCR 443       *         R 1113       13.18 NCR 1553       14.06 NCR 443       *         R 1113       13.18 NCR 1553       14.06 NCR 443       *         R 1113       13.18 NCR 1553       14.06 NCR 443       *         N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         R 1097       13.13 NCR 1043       *       Approve		NCR 1113	13:18 NCR 1553	14:06 NCR 443	¥						
R 1113       13:18 NCR 1553       14:06 NCR 44:3       *         R 1113       13:18 NCR 1553       14:06 NCR 44:3       *         R 1113       13:18 NCR 1553       14:06 NCR 44:3       *         R 1113       13:18 NCR 1553       14:06 NCR 44:3       *         R 1113       13:18 NCR 1553       14:06 NCR 44:3       *         R 1113       13:18 NCR 1553       14:06 NCR 44:3       *         N 1       N/A       N/A       Approve       07/15/99         N/A       N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         R 1085       13:13 NCR 1043       *       Approve       05/20/99         R 1097       13:13 NCR 1043       *       Approve       05/20/99         R 1097       X       13:13 NCR 1043       *       Approve       05/20/99		NCR 1113	13:18 NCR 1553	14.06 NCR 443	*						
R 113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 1113       13:18 NCR 1553       14:06 NCR 443       *         R 113       13:18 NCR 1553       14:06 NCR 443       *         N/A       N/A       N/A       Approve       07/15/99         N/A       N/A       N/A       Approve       07/15/99         N/A       N/A       N/A       Approve       07/15/99         St 1985       13:13 NCR 1043       *       Approve       05/20/99         St 1097       13:13 NCR 1043       *       Approve       05/20/99         St 1097       13:13 NCR 1043       *       Approve       05/20/99         St 1097       13:13 NCR 1043       *       Approve       05/20/99		NCR 1113	13:18 NCR 1553	14 06 NCR 443	¥						
R I113       13:18 NCR 1553       14:06 NCR 44.3       *         R I113       13:18 NCR 1553       14:06 NCR 44.3       *         R I113       13:18 NCR 1553       14:06 NCR 44.3       *         N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         R 1037       N/A       N/A       Approve       07/15/99         R 1097       I.3:13 NCR 1043       *       Approve       05/20/99         R 1097       X 1097       X 1037       X 1037       X 1037		NCR 1113	13:18 NCR 1553	14-06 NCR 443	*						
R 1113       13:18 NCR 1553       14:06 NCR 44.3       *         N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         N/A       N/A       Approve       07/15/99         R 1985       13:13 NCR 1043       *       Approve         SR 1097       38:1097       *       Approve         SR 1097       Approve       05/20/99		NCR 1113	13:18 NCR 1553	14 06 NCR 443							
N/A     N/A     Approve     07/15/99       N/A     N/A     Approve     07/15/99       N/A     N/A     Approve     07/15/99       SR 1985     I.3.13 NCR 1043     *     Approve       SR 1097     *     Approve     05/20/99       SR 1097     *     Approve     05/20/99		NCR 1113	13:18 NCR 1553	14:06 NCR 443	÷						
N/A         N/A         Approve         07/15/99           N/A         N/A         Approve         06/17/99           R 1985         1.3:13 NCR 104.3         *         Approve         06/17/99           CR 1097         *         Approve         05/20/99         05/20/99           CR 1097         *         Approve         05/20/99         05/20/99				N/A	V/V	Approve	66/51/20			14-06 NCR 490	
N/A         N/A         Approve         06/17/99           CR 1985         1.3:13 NCR 1013         *         Approve         05/20/99           CR 1097         *         Approve         05/20/99            CR 1097         *         Approve         05/20/99            CR 1097         *         *         Approve         05/20/99				N/A	N/A	Approve	66/51/20			14.06 NCR 490	
R 1985     13:13 NCR 1043     *     Approve     05/20/99       CR     1097          CR     1097				N/A	N/A	Approve	06/11/90			14-05 NCR 402	
ks and Recreation Commission 5A NCAC 12A 2001 12-13 NCR 1097 5A NCAC 12A 2004 12-13 NCR 1097 5A NCAC 12A 2005 12-13 NCR 1097		NCR 1985		13:13 NCR 1043	*	Approve	05/20/99			14.04 NCR 330	
	ks and Recreation Commissi	ion									
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		NCR 1097									
		NCR 1097									

	Approved Kule Other	
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Text differs	Irom proposal	proposal
RRC Status	Date	Date
RRC	Action	Action
Fiscal	Note	21011
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Temporary	Rule	Mult
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Notice of	Text	13:21 NCR 1788	13.21 NCR 1788	13:21 NCR 1788	13:21 NCR 1788		13:12 NCR 948	N/A	13:12 NCR 948	13:22 NCR 1842	13:12 NCR 948	13:12 NCR 948	N/A															
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Agencv/Rule	Citation	Agency/Rule Citation	Citation	CILATION		15A NCAC 10F .0333	15A NCAC 10F .0339	15A NCAC 10F.0339	15A NCAC 10F.0342	15A NCAC 10F.0353	15A NCAC 10F.0354	15A NCAC 10F .0355	15A NCAC 10F .0359	15A NCAC 10F .0367 13:14 NCR 1113	FINAL DECISION LETTERS	Voting Rights Act	Voting Rights Act	Voting Rights Act	FORESTERS, BOARD OF REGISTRATION FOR	21 NCAC 20.0101	21 NCAC 20.0103	21 NCAC 20.0104	21 NCAC 20.0105	21 NCAC 20 .0106	21 NCAC 20.0117	21 NCAC 20.0120	21 NCAC 20 .0122	21 NCAC 20.0123	GENERAL CONTRACTORS LICENSING BOARD	21 NCAC 12 .0202	21 NCAC 12 .0204

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5       11-14 NCR 1108       11:27 NCR 2054       *         0       12:21 NCR 1873       14:03 NCR 154       *         1       12:21 NCR 1873       14:03 NCR 154       *         2       12:21 NCR 1873       14:03 NCR 154       *         1       12:21 NCR 1873       14:03 NCR 154       *         1       12:21 NCR 1873       14:03 NCR 154       L         1       12:21 NCR 1873       14:03 NCR 154       *         1       12:21 NCR 1873       *       Approve         1       12:21 NCR 1873       *       Approve         1       12:21 NCR 1823       *       Approve         1       12:21 NCR 1823       *       Approve       07/15/99         1       13:14 NCR 1109       13:22 NCR 1823       *       Approve       07/15/99       *	10 NCAC 03U .0102	12:21 NCR 1873		14:03 NCR 154	*						
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10 NCAC 03R .6211		13:14 NCK 1119	14:05 NCK 130	3/1/36						
10 NCAC 03R .6212		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6213		13:14 NCR 1119	14:03 NCR 130	4						
10 NCAC 03R-6214		13:14 NCR 1119	14:03 NCR 130	×						
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10 NCAC 03R .6219		[3:14 NCR 1119	14:03 NCR 130	×						
10 NCAC 03R .6220		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R-6221		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6222		14.04 NUK 514 13:14 NUK 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6223		13:14 NCR 1119	14:03 NCR 130	*						
10 NCAC 03R .6224		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6225		13:14 NCR 1119	14:03 NCR 130	×						
10 NCAC 03R .6226		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6227		13:14 NCR 1119	14:03 NCR 130	÷						
10 NCAC 03R .6228		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6229		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6230		13-14 NCR 1119	14:03 NCR 130	÷						
10 NCAC 03R .6231		13:14 NCR 1119	14:03 NCR 130	÷						
10 NCAC 03R .6232		13:14 NCR 1119	14:03 NCR 130	×						
10 NCAC 03R_6233		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
10 NCAC 03R .6234		13:14 NCR 1119	14:03 NCR 130	S/L/SE						
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ł	Agency/Rule	Citation	10 NCAC 03S .1303	10 NCAC 03S 1401	10 NCAC 03S .1501	10 NCAC 03S .1601	10 NCAC 03S .1701	10 NCAC 03S .1702	10 NCAC 03S .1801	10 NCAC 03S .1802	10 NCAC 03S .1803	10 NCAC 03S .1804	10 NCAC 03S .1805	10 NCAC 03S .1806	10 NCAC 03S .1901	10 NCAC 03S .1902	10 NCAC 03S .1903	10 NCAC 03S .2001	10 NCAC 03S .2002	10 NCAC 03S .2101	10 NCAC 03S .2102	10 NCAC 03S .2103	10 NCAC 03S .2104	10 NCAC 03S .2105	10 NCAC 03S .2106	Health Services, Commission for	C 16A .1104	15A NCAC 16A .1106	15A NCAC .9A.0401	

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00       123 UGK 1820       1401 UGK 12       1         010       123 UGK 1820       1       1         010       120 UGK 14       1       1         121 UGK 103       1       1       1	Citation	Proceedings	Rule	Text	Note	Action	Date	rrom proposal	Governor	Approved Kule	Other
3.2.000 NS0       14010CR12       1         3.2.000 NS0       1       1         3.2.000 NS0       1       1         3.2.000 NS0       1       1         3.000 NS0       1       1         3.011 NS0       1       1											
000         12.0.000 (15.0.000 (15.0.000 (12))         140 (000 (12))         140 (000 (12))           010         12.2.000 (18.00)         140 (000 (12))         140 (000 (12))           010         12.2.000 (18.00)         140 (000 (12))         140 (000 (12))           010         12.2.000 (18.00)         140 (000 (12))         140 (000 (12))           010         12.2.000 (18.00)         140 (000 (12))         140 (10)           010         12.0.000 (11) (10)         12.1.000 (12))         140 (12)           010         12.0.000 (11) (10)         12.1.000 (12))         140 (12)           010         11.1.000 (10)         12.1.000 (12)         140 (12)           010         11.1.000 (12)         12.1.000 (12)         140 (12)           010         11.1.000 (12)         12.1.000 (12)         140 (12)           010         11.1.000 (12)         140 (12)         140 (12)           010         11.1.000 (12)         140 (12)         140 (12)           111.000 (12)         11.000 (12)         140 (12)         140 (12)           111.000 (12)         11.000 (12)         140 (12)         140 (12)           111.000 (12)         11.000 (12)         140 (12)         140 (12)           111.000 (12)         11.	15A NCAC 26C .0101			14:01 NCR 12	÷						
001         3-23 NGR (83)         +91 NGR (2         9           001         3-23 NGR (83)         140 NGR (2         9           010         12-3 NGR (83)         140 NGR (2         9           01         1-14 NGR (10)         1         1           11         1-14 NGR (10)         1         1           11 <td< td=""><td>15A NCAC 26C .0102</td><td></td><td></td><td>14:01 NCR 12</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></td<>	15A NCAC 26C .0102			14:01 NCR 12	*						
100         13.2 NGR 18.0         140 NGR 1         0           105         13.2 NGR 18.0         140 NGR 1         0           106         13.2 NGR 18.0         140 NGR 1         0           10         13.2 NGR 18.0         140 NGR 1         0           11         140 NGR 1         1         1           12         140 NGR 1         1         1           11         140 NGR 1         1         1           10         15.06 NGR 11         1         1           11         140 NGR 1         1         1           11         11.1 NGR 108         1         1           11         11.1 NGR 108         1         1           12         12.1 NGR 103         1         1           12         12.1 NGR 103         1         1           12         12.1 NGR 103         1         1           13         12.5 NGR 137         1         1           13         12.6 NGR 837         1         1	15A NCAC 26C .0103			14:01 NCR 12	¥						
100         13.2 NGR 18.0         140 NGR 1         9           100         32.2 NGR 18.0         140 NGR 1         1           101         32.2 NGR 18.0         140 NGR 1         1           11         100 NGR 44         140 NGR 1         1           10         200 NGR 44         1         1           10         10.0 NGR 41         1         1           10         114 NGR 108         1         1           10         114 NGR 108         1         1           10         114 NGR 108         1         1           114 NGR 108         1         1         1           10         114 NGR 108         1         1           114 NGR 108         1         1         1	15A NCAC 26C .0104			14:01 NCR 12	<del>17</del>						
100         13.2 NCR 18.0         140 NCR 1         5           101         13.2 NCR 18.0         140 NCR 1         1           11         140 NCR 1         1         1           10         13.2 NCR 18.0         1         1           10         13.0 NCR 1.3         1         1           10         13.4 NCR 10         1         1           11.1 NCR 108         1         1         1           11.1 NCR 107         1         1         1           11.1 NCR 107         1         1         1           11.1 NCR 108         1         1         1           11.1 NCR 107         1         1         1           11.1 NCR 107         1         1         1           11.1 NCR 108         1         1         1 <tr< td=""><td>15A NCAC 26C .0105</td><td></td><td></td><td>14:01 NCR 12</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></tr<>	15A NCAC 26C .0105			14:01 NCR 12	*						
100         12.3 UGR 18.0         1401 UGR 319         1401 UGR 319         1401 UGR 319         1521 UGR 1875         1521 UGR 2805         1521 UGR 2805	15A NCAC 26C .0106			14:01 NCR 12	*						
13         1401 NC84         1404 NC839         *           10         1134 NC8 143         1221 NC8 1975         *           10         1134 NC8 103         2.293 NC8 877         *           10         1134 NC8 103         12.99 NC8 877         *           11         12.90 NC8 877         *         *           12         12.90 NC8 877         *         *           12.90 NC8 877         *         *         *           12.90 NC8 877         *         *         *           12.90 NC8 877         *         *         *           12.90 NC8 873         *         *         *         *           13.01 NC8 304         1.02 NC8 608         %         *         1.02 NC8 109           13.01 NC8 703         *         *         Approve         0.012/09         *         1.323 NC8 106           13.02 NC8 203         *         *         Approve         0.012/09         *         1.323 NC8 106           13.02 NC8 203         *         *         Approve         0.012/090         *         1.323 NC8 106           13.02 NC8 203         *         *         Approve         0.012/090         *         1.323 NC8 106      <	15A NCAC 26C .0107			14:01 NCR 12	*						
140 NCK1       140 NCR10       121 NCR 137       1         11:14 NCR 108       12.0 NCR 877       12.1 NCR 1875       8         11:14 NCR 108       12.0 NCR 877       12.1 NCR 1375       8         11:14 NCR 108       12.0 NCR 873       9       9         12:0 NCR 873       12.0 NCR 873       9       9         12:0 NCR 873       12:0 NCR 873       9       9         12:0 NCR 873       10:0 NCR 827       10:0 NCR 827       9         12:0 NCR 873       11:2 NCR 934       13:0 NCR 93       13:2 NCR 934         10:0 NCR 13       10:0 NCR 93       13:1 NCR 93       9       13:2 NCR 934         10:0 NCR 13       10:0 NCR 93       10:1 NCR 93       9       13:2 NCR 934         10:0 NCR 13       10:0 NCR 93       10:1 NCR 93       9       13:2 NCR 934         10:0 NCR 14       10:0 NCR 93       10:1 NCR 93       9       13:2 NCR 934         10:0 NCR 14       10:0 NCR 93       10:1 NCR 93       13:2 NCR 934       13:2 NCR 934         10:0 NCR 14       10:0 NCR 14       10:1 NCR 94       13:2 NCR 934       13:2 NCR 934         10:0 NCR 14       10:0 NCR 94       10:1 NCR 94       13:2 NCR 94       13:2 NCR 934         10:0 NCR 14       10:0 NC	<b>Medical Assistance</b>										
100 0C 04 44         121 NCR 187         *           11:H NCR 108         -         221 NCR 187           11:H NCR 108         -         123 NCR 27           11:H NCR 108         -         130 NCR 27           12:B NCR 23/15/3         130 NCR 23         -           12:B NCR 23/15/3         130 NCR 24         130 NCR 24           12:B NCR 23/15/3         130 NCR 64         0.121/09         -           12:B NCR 23/1         130 NCR 64         0.121/09         -           13:B NCR 23/1         130 NCR 73         -         -           10:B NCR 23/1         130 NCR 73         -         -           10:B NCR 15         -         Approx         0.121/09         -           13:B NCR 15         -         Approx         0.218/09         -           13:B NCR 15         -         -         -         -           13:B NCR 15         -         -	10 NCAC 26B .0113	14:01 NCK 4	14:04 NCR 319								
II:H WCR 108         II:H WCR 108         II:H WCR 109	10 NCAC 26D .0110	12:06 NCR 444		12:21 NCR 1875	÷						
11-1 NCR 105         12-09 NCR 8.27         12-09 NCR 8.28         13-09 NCR 7.39         13-09 NC	10 NCAC 26H .0101	11:14 NCR 1108									
12.09 NCR 827         12.09 NCR 827         12.01 NCR 733         12.01 NCR 733         12.01 NCR 733         12.01 NCR 733         12.02 NCR 827         12.09 NCR 827         12.09 NCR 827         12.09 NCR 827         12.09 NCR 827         13.03 NCR 733         13.03 NCR 733         13.03 NCR 734         13.04 NCR 548         13.05 NCR 744         13.04 NCR 744         13.05 NCR 744         13.04 NCR 744 <td< td=""><td>10 NCAC 26H .0102</td><td>11:14 NCR 1108</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></td<>	10 NCAC 26H .0102	11:14 NCR 1108									
11:26 NCR 197         12:09 NCR 827         12:09 NCR 827         13:08 NCR 733         13:08 NCR 733         13:08 NCR 733         13:03 NCR 304         13:03 NCR 304         13:03 NCR 105         13:04 NCR 105         13:05 NCR 337         13:06 NCR 414         13:01 NCR 5         13:01 NCR 5         13:01 NCR 5         13:01 NCR 5         13:01 NCR 310         14) HOCR 310         14) HOCR 310	10 NCAC 26H .0212		12:09 NCR 827 Temp.Expired 7/31 12:13 NCR 733	86/							
12:09 NCR 827       13:08 NCR 733       13:08 NCR 733         13:08 NCR 733       13:08 NCR 668       S/L       Object       12/17/98         13:03 NCR 304       13:08 NCR 668       S/L       Object       12/17/98         14:05 NCR 304       13:07 NCR 947       *       Approve       01/21/99       *       13:22 NCR 1868         13:02 NCR 175       13:02 NCR 334       13:12 NCR 947       *       Approve       02/18/99       *       13:24 NCR 2037         13:02 NCR 133       13:02 NCR 588       *       Approve       02/18/99       *       13:24 NCR 2037         12:05 NCR 337       13:07 NCR 588       *       Approve       02/18/99       *       13:24 NCR 2037         12:06 NCR 444       12:01 NCR 1875       *       Approve       02/18/99       *       13:24 NCR 2037         12:06 NCR 444       12:21 NCR 1875       *       Approve       02/18/99       *       13:24 NCR 2037         12:06 NCR 444       13:21 NCR 1875       *       1       13:24 NCR 2037       *       13:24 NCR 2037         12:06 NCR 444       13:21 NCR 1875       *       1       13:24 NCR 2037       *       13:24 NCR 2037         12:06 NCR 444       13:34 NCR 20       *       *       <	10 NCAC 26H .0213		11:26 NCR 1997								
13.08 NCR 733         13.08 NCR 733         13.08 NCR 733         13.03 NCR 316         13.08 NCR 668         S/L         Object         12/17/98         *         13.22 NCR 1868           14.05 NCR 394         13.08 NCR 668         8/L         Object         12/17/99         *         13.22 NCR 1868           14.05 NCR 394         13.12 NCR 947         *         Approve         01/21/99         *         13.22 NCR 1868           13.02 NCR 137         13.02 NCR 588         *         Approve         02/18/99         *         13.21 NCR 2037           13.02 NCR 137         13.07 NCR 588         *         Approve         02/18/99         *         13.21 NCR 2037           12.05 NCR 337         12.01 NCR 1875         *         Approve         02/18/99         *         13.21 NCR 2037           12.06 NCR 444         12.21 NCR 1875         *         13.21 NCR 2037         *         13.21 NCR 2037           12.06 NCR 444         12.21 NCR 1875         *         13.21 NCR 2037         *         13.24 NCR 2037           12.06 NCR 444         12.21 NCR 1875         *         13.24 NCR 2037         *         13.24 NCR 2037           12.06 NCR 444         12.24 NCR 2018         13.34 NCR 2018         *         *         13.24 NCR 2037	10 NCAC 26H .0213		12:09 NCR 827								
13.03 NCR 316       13.03 NCR 668       5/L       Object       12/17/98       *       13:22 NCR 1868         14.05 NCR 394       13:12 NCR 947       *       Approve       01/21/99       *       13:22 NCR 1868         13:02 NCR 1394       13:12 NCR 947       *       Approve       02/18/99       *       13:23 NCR 1868         13:02 NCR 137       13:07 NCR 588       *       Approve       02/18/99       *       13:24 NCR 2037         12:05 NCR 337       13:07 NCR 588       *       Approve       02/18/99       *       13:24 NCR 2037         12:05 NCR 337       13:07 NCR 588       *       Approve       02/18/99       *       13:24 NCR 2037         12:06 NCR 414       12:06 NCR 414       12:21 NCR 1875       *        13:24 NCR 2037         12:06 NCR 414       12:06 NCR 414       13:01 NCR 5       *        13:24 NCR 2037         12:06 NCR 414       13:01 NCR 5       *       *       13:24 NCR 2037       *         12:06 NCR 414       13:01 NCR 5       *       *       13:24 NCR 2037         12:06 NCR 414       13:01 NCR 5       *       *       13:24 NCR 2037         12:06 NCR 414       13:01 NCR 5       *       *       * <tr< td=""><td></td><td></td><td>13:08 NCR 733</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr<>			13:08 NCR 733								
14:05 NCR 394       14:05 NCR 394       *       13:02 NCR 248       *       13:02 NCR 248       *       13:02 NCR 248       *       13:04 NCR 2037         13:02 NCR 175       13:07 NCR 588       *       North 2037       13:07 NCR 588       *       13:04 NCR 2037         12:05 NCR 337       12:06 NCR 444       12:21 NCR 1875       *       13:01 NCR 5       *       13:04 NCR 2037         12:06 NCR 444       12:06 NCR 444       12:21 NCR 1875       *       *       13:04 NCR 2037         12:06 NCR 444       12:06 NCR 444       12:01 NCR 5       *       *       13:04 NCR 2037         12:06 NCR 444       13:01 NCR 5       *       *       *       13:04 NCR 2037         12:06 NCR 444       13:01 NCR 5       *       *       *       13:04 NCR 2037         12:06 NCR 444       13:04 NCR 5       *       *       *       *         12:06 NCR 444       13:04 NCR 5       *       *       *       *         12:06 NCR 444       13:04 NCR 5       *       *       *       *         12:06 NCR 444       13:04 NCR 5       *       *       *       *         12:06 NCR 444       13:04 NCR 5       *       *       *       *	10 NCAC 26H .0304		13:03 NCR 316	13:08 NCR 668	S/L	Object Annrove	12/17/98/01/21/98	÷		13:22 NCR 1868	
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		Temp Expired 03/12/99	2/99							
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10 NCAC 14V .7006		12:01 NCR 31 12 Temp Fy circl 03/28/08	12:07 NCR 511 8/98	×						
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Social Services Commission	sion									
10 NCAC 24	14:06 NCR 427									
10 NCAC 29C .0103		13:06 NCR 566	13:19 NCR 1611	÷	Approve	01/15/99			14 06 NCR 490	
10 NCAC 41E .0401	12:11 NCR 919		13:05 NCR 438	**						
			13:11 NCR 857	÷. ÷	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41E (0403	12:11 NCK 919		13:05 NCK 438 13:11 NCR 857	÷÷	Approve	02/18/99			13:24 NCR 2037	
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10 NCAC 41E .0406	12:11 NCR 919		13:05 NCR 438	* :						
10 NCAC 41E .0501	12:11 NCR 919		13:11 NCK 85/ 13:05 NCR 438	÷ *	Approve	66/81/70			13:24 NOK 2037	
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10 NCAC 41E 0503	12.11 NCR 919		13:05 NCR 438	×	-					
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10 NCAC 41E .0704	12:11 NCR 919		13:05 NCR 438	×						
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10 NCAC 41G .0501	1211 NCK 919		13:05 NCK 438 13:11 NCP 857	* *	Approxim	00/31/20			13-11 NCD 2017	
10 NCAC 41G .0502	12:11 NCR 919		13:05 NCR 438	*	mande	10170				
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10 NCAC 41G .0504	12:11 NCR 919		13:05 NCR 438 13-11 NCP 857	¥ *	Approx	00/81/20			LEUC AUN FEEL	
10 NCAC 41G .0505	12:11 NCR 919		13:05 NCR 438	÷	Approve	66/01/70			1007 YON 5701	
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10 NCAC 41G .0506	12:11 NCR 919		13:05 NCR 438	* •						
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10 NCAC 41G .0509	12:11 NCR 919		13:05 NCR 438	* *						
10 NCAC 41G 0510	12:11 NCR 919		13:11 NCK 857 13:05 NCR 438	* *	Approve	66/81/70			13.24 NCK 2037	
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10 NCAC 41G .0511	12.11 NCR 919		13:05 NCR 438	*	:					
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10 NCAC 41G .0512	12:11 NCR 919		13:05 NCR 438	* *	A second	00/01/00			12-21 NCD 2027	
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10 NCAC 41G .0602	12:11 NCR 919		13:05 NCR 438	- <del>*</del>	Approve	66/01/70			1907 NOV 5091	
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10 NCAC 41G .0603	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41G .0803 12:11 NCR 919	3 919		13:05 NCR 438	*						
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10 NCAC 41G .0804 12:11 NCR 919	3 919		13:05 NCR 438	***						
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10 NCAC 41G .1005	12.11 NCR 919		13:05 NCR 438	*						
			13:11 NCR 857	*	Approve	02/18/99		13:24 NCR 2037	R 2037	
10 NCAC 41G .1006	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41G .1007	12:11 NCR 919		13:05 NCR 438	×						
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10 NCAC 41G 1008	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41G 1009	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41G .1010	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41G 1011	12:11 NCR 919		13:05 NCR 438	×						
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10 NCAC 41G .1012	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41G .1101	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 410 .1104	12:11 NCK 919		13:01 NCK 438	÷÷	Approve	00/81/00		LEUC AUN FC-EI	7017 G	
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10 NCAC 41G .1201	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41G .1202	12:11 NCR 919		13:05 NCR 438	**						
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			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
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10 NCAC 41G .1309	12:11 NCR 919		13:05 NCR 438	* 4						
10 NCAC 41G 1402	12-11 NCR 919		13:11 NCK 857 13:05 NCR 438	* *	Approve	66/81/70			13:24 NCK 2037	
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10 NCAC 411.0100	10:17 NCR 2228				-					
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10 NCAC 41R .0101	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41R .0102	12:11 NCR 919		13:05 NCR 438	* *						
10 NCAC 418 0103	12-11 NCP 010		13:11 NCR 857 13:05 NCR 338	* *	Approve	02/18/99			13:24 NCK 2037	
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Agency/Rule	Citation		10 NCAC 415 .0101		10 NCAC 41S .0102	10 NCAC 41S .0201		10 NCAC 41S .0202	10 NCAC 41S .0203		10 NCAC 41S .0204		10 NCAC 41S .0301	10 NC 4C TIS 0302		10 NCAC 41S .0303		10 NCAC 41S .0304		10 NCAC 41S .0305	10 NCAC 41S_0306		10 NCAC 41S .0307	10 NCAC 415 0401		10 NCAC 41S .0402		10 NCAC 41S .0403		10 NCAC 41S .0404		10 NCAC 41S .0405	10 NCAC 41S 0406	NUMBER OF STATE	

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13.05 NGR 438       •       Approve       02/18/09       •         13.11 NGR 857       •       Approve       02/18/09       •         13.01 NGR 857       •       Approve       02/18/09 <td>13.05 NCR 138       Aprive       0.2/18/09       *         13.11 NCR 857       4       Aprive       0.2/18/09       *<td></td><td></td><td>13:11 NCR 857</td><td>÷</td><td>Approve</td><td>02/18/99</td><td></td><td></td><td>13:24 NCR 2037</td><td></td></td>	13.05 NCR 138       Aprive       0.2/18/09       *         13.11 NCR 857       4       Aprive       0.2/18/09       * <td></td> <td></td> <td>13:11 NCR 857</td> <td>÷</td> <td>Approve</td> <td>02/18/99</td> <td></td> <td></td> <td>13:24 NCR 2037</td> <td></td>			13:11 NCR 857	÷	Approve	02/18/99			13:24 NCR 2037	
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[3:11 NCR 857       *       Approve       02/18/99         [3:05 NCR 438       *       Approve       02/18/99         [3:11 NCR 857       *       Approve       02/18/99 <td< td=""><td>[3:11] NCR 857       3       Approve       0218/99         [3:01] NCR 857       4       Approve       0218/99         [3:02] NCR 438       4       Approve       0218/99         [3:02] NCR 438       4       Approve       0218/99         [3:05] NCR 438       4       Approve       0218/99         [3:05] NCR 438       4       Approve       0218/99         [3:11] NCR 857       Approve       0218/99       4pprove         [3:11] NCR 857       Approve       0218/99</td><td>61</td><td></td><td>13:05 NCR 438</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></td<>	[3:11] NCR 857       3       Approve       0218/99         [3:01] NCR 857       4       Approve       0218/99         [3:02] NCR 438       4       Approve       0218/99         [3:02] NCR 438       4       Approve       0218/99         [3:05] NCR 438       4       Approve       0218/99         [3:05] NCR 438       4       Approve       0218/99         [3:11] NCR 857       Approve       0218/99       4pprove         [3:11] NCR 857       Approve       0218/99	61		13:05 NCR 438	*						
R.0.5 NCR 4.38       *       Approve       0.218/99         R.0.5 NCR 457       *       Approve       0.218/99         R.0.5 NCR 458       *       Approve       0.218/99         R.0.1 NCR 857       *       Approve       0.218/99         R.0.5 NCR 438       *       Approve       0.218/99         R.11 NCR 857       *       Approve       0.218/99         R.11 NCR 85	[3:05 NCR 438       Approve       02/18/99         [3:11 NCR 857       Approve       02/18/99         [3:15 NCR 438       Approve       02/18/99         [3:10 NCR 857       Approve       02/18/99         [3:10 NCR 857       Approve       02/18/99         [3:11 NCR 857       Approve       02/18/99         [3:10 NCR 857       Approve       02/18/99         [3:11 NCR 857			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
[3:1] NCR 857 <ul> <li>Approve</li> <li>0.2/18/99</li> <li>3.05 NCR 4.38</li> <li>1.8 NC 857</li> <li>4.05 NCR 4.38</li> <li>1.8 NG NCR 4.38</li> <li>1.</li></ul>	13.11 NCR 857       Approve       02/18/99         13.15 NCR 438       Approve       02/18/99         13.16 NCR 438       Approve       02/18/99         13.16 NCR 438       Approve       02/18/99         13.16 NCR 438       Approve       02/18/99         13.05 NCR 438	16		13:05 NCR 438	*						
[3.05 NCR 4.38       •       Approve       02/18/99         [3.11 NCR 857       •       Approve       02/18/99 <t< td=""><td>13.10 NCR 438       4       Aprove       02/18/99         13.11 NCR 857       4       Aprove       02/18/99         13.11 NCR 857       4       Aprove       02/18/99         13.11 NCR 857       4       Aprove       02/18/99         13.05 NCR 438       4       Aprove       02/18/99         13.01 NCR 857       4       Aprove       02/18/99         13.01 NCR 857       4       Aprove       02/18/99         13.05 NCR 438       4       Aprove       02/18/99         13.05 NCR 438<td></td><td></td><td>13:11 NCR 857</td><td>*</td><td>Approve</td><td>02/18/99</td><td></td><td></td><td>13:24 NCR 2037</td><td></td></td></t<>	13.10 NCR 438       4       Aprove       02/18/99         13.11 NCR 857       4       Aprove       02/18/99         13.11 NCR 857       4       Aprove       02/18/99         13.11 NCR 857       4       Aprove       02/18/99         13.05 NCR 438       4       Aprove       02/18/99         13.01 NCR 857       4       Aprove       02/18/99         13.01 NCR 857       4       Aprove       02/18/99         13.05 NCR 438       4       Aprove       02/18/99         13.05 NCR 438 <td></td> <td></td> <td>13:11 NCR 857</td> <td>*</td> <td>Approve</td> <td>02/18/99</td> <td></td> <td></td> <td>13:24 NCR 2037</td> <td></td>			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
3.11 NCR 857     4 pprove     0218/99       3.05 NCR 438     4 pprove     0218/99       3.11 NCR 857     4 pprove     0218/99       3.15 NCR 438     4 pprove     0218/99       3.16 NCR 857     4 pprove     0218/99       3.11 NCR 857     4 pprove     0218/99       3.13 NCR 438<	3:11 NCR 857     Approve     0.218/99       3:05 NCR 438     Approve     0.218/99       3:11 NCR 857     Approve     0.218/99       3:05 NCR 438     Approve     0.218/99       3:11 NCR 857     Approve     0.218/99       3:05 NCR 438     Approve     0.218/99       3:05 NCR 438<	6]		13:05 NCR 438	*						
3:05 NCR 438       *       Aprove       02/18/99         3:05 NCR 857       *       Aprove       02/18/99         3:05 NCR 438       *       Aprove       02/18/99         3:11 NCR 857       *       Aprove       02/18/99         3:11 NCR 857       *       Aprove       02/18/99         13:05 NCR 438	13.05 NCR 438       Approve       02/18/99         13.11 NCR 857       Approve       02/18/99         13.11 NCR 857       Approve       02/18/99         13.05 NCR 438       Approve       02/18/99         13.05 NCR 438       Approve       02/18/99         13.01 NCR 857       Approve       02/18/99         13.05 NCR 438			13:11 NCR 857	***	Approve	02/18/99			13:24 NCR 2037	
[3.1] NCR 857       4 pprove       02/18/99         [3.1] NCR 857       Approve       02/18/99         [3.1] NCR 8	R11 NCR 857       Approve       0218/99         R305 NCR 438       Approve       0218/99         R311 NCR 857       Approve       0218/99         R315 NCR 438       Approve       0218/99         R316 NCR 438       Approve       0218/99         R316 NCR 438       Approve       0218/99         R316 NCR 438       Approve       0218/99	6		13:05 NCR 438	×						
13.05 NCR 438       *       Aprive       02/18/99         13.10 NCR 857       *       Aprive       02/18/99         13.10 NCR 857       *       Aprive       02/18/99         13.11 NCR 857       *       Aprive       02/18/99         13.05 NCR 438       *       Aprive       02/18/99         13.05 NCR 438       *       Aprive       02/18/99         13.11 NCR 857       *       Aprive       02/18/99         13.11 NCR 857 <td>3:05 NCR 438       *       Aprove       02/18/99         3:01 NCR 857       *       Aprove       02/18/99         3:05 NCR 438       *</td> <td></td> <td></td> <td>13:11 NCR 857</td> <td>*</td> <td>Approve</td> <td>02/18/99</td> <td></td> <td></td> <td>13:24 NCR 2037</td> <td></td>	3:05 NCR 438       *       Aprove       02/18/99         3:01 NCR 857       *       Aprove       02/18/99         3:05 NCR 438       *			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
[3:1] NCR 857       *       Aprioue       02/18/99         [3:05 NCR 438       *       Aprioue       02/18/99         [3:1] NCR 857       *       Aprioue       02/18/99 <td< td=""><td>11 NCR 857       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13</td><td>61</td><td></td><td>13:05 NCR 438</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></td<>	11 NCR 857       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13	61		13:05 NCR 438	*						
[3:0] NCR 438       Aprice       0218/99         [3:1] NCR 857       Aprice       0218/99 <td>13:05 NCR 418       *       Aprice       02/18/99         13:11 NCR 857       *       Aprice       02/18/99         13:05 NCR 4138       *       Aprice       02/18/99         1</td> <td></td> <td></td> <td>13:11 NCR 857</td> <td>*</td> <td>Approve</td> <td>02/18/99</td> <td></td> <td></td> <td>13:24 NCR 2037</td> <td></td>	13:05 NCR 418       *       Aprice       02/18/99         13:11 NCR 857       *       Aprice       02/18/99         13:05 NCR 4138       *       Aprice       02/18/99         1			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
13.11 NCR 857     *     Approve     02/18/99       13.05 NCR 438     *     Approve     02/18/99       13.11 NCR 857     *     Approve     02/18/99       13.15 NCR 857     *     Approve     02/18/99       13.05 NCR 438     *     Approve     02/18/99       13.05 NCR 438     *     Approve     02/18/99       13.11 NCR 857     *     Approve     02/18/99       13.11 NCR 857     *     Approve     02/18/99       13.05 NCR 438     *     Approve     02/18/99       13.11 NCR 857     *     Approve     02/18/99       13.05 NCR 438     *<	13:11 NCR 857       4       Aprive       02/18/99         13:05 NCR 438       4       Aprive       02/18/99         13:05 NCR 438 <td>5</td> <td></td> <td>13:05 NCR 438</td> <td>*</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	5		13:05 NCR 438	*						
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13.11 NCR 857       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.11 NCR 857       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99         13.06 NCR 438       *       Approve       02/18/99         13.05 NCR 438       *       Approve       02/18/99 <td< td=""><td>13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99         <td< td=""><td>6</td><td></td><td>13:05 NCR 438</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></td<></td></td<>	13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99 <td< td=""><td>6</td><td></td><td>13:05 NCR 438</td><td>*</td><td></td><td></td><td></td><td></td><td></td><td></td></td<>	6		13:05 NCR 438	*						
13:05 NCR 438       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99 <td< td=""><td>13:05 NCR 4.38       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 4.38       *       Approve       02/18/99</td><td></td><td></td><td>13:11 NCR 857</td><td>×</td><td>Approve</td><td>02/18/99</td><td></td><td></td><td>13:24 NCR 2037</td><td></td></td<>	13:05 NCR 4.38       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 4.38       *       Approve       02/18/99			13:11 NCR 857	×	Approve	02/18/99			13:24 NCR 2037	
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[3:1] NCR 857       *       Approve       02/18/99         [3:05 NCR 438       *       Approve       02/18/99         [3:1] NCR 857       *       Approve       02/18/99 <td>13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99         14:04 NCR 321       14:04 NCR 321       *       Approve       02/18/99</td> <td>6</td> <td></td> <td>13:05 NCR 438</td> <td>×</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99         14:04 NCR 321       14:04 NCR 321       *       Approve       02/18/99	6		13:05 NCR 438	×						
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3:05 NCR 438       **       Approve       0.21677         3:05 NCR 438       *       Approve       0.218/99         13:05 NCR 438       *       Approve       0.2118/99         13:11 NCR 857       *       Approve       0.2118/99         13:11 NCR 857       *       Approve       0.2118/99	13.05 NCR 438       **       Approve       0.21677         13.05 NCR 438       *       Approve       0.21879         13.05 NCR 438       *       Approve       0.21899         14.04 NCR 321       *       Approve       0.21899	2		13:02 NCK 438	÷ -#	America	00/81/00			13-71 NCP 2027	
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13:05 NCR 438       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:15 NCR 438       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99	13:05 NCR 438       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99         13:11 NCR 857       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99         13:05 NCR 438       *       Approve       02/18/99			13:11 NCR 857	**	Approve	02/18/99			13:24 NCR 2037	
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Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kule	Other
10 NCAC 41T .0106	12:11 NCR 919		13:05 NCR 438	**						
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10 NCAC 41T -0202	12:11 NCR 919		13:05 NCR 438 13:11 NCR 857	* *	Annrove	05/18/00	÷		13 54 NCB 2037	
10 NCAC 41T .0203	12:11 NCR 919		13:05 NCR 438	<u>.</u>						
10 NCAC 41T .0204	12:11 NCR 919		13:11 NCK 857 13:05 NCK 438	* *	Approve	66/81/70			13.24 NCK 2037	
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10 NCAC 41T .0206	12:11 NCR 919		13:05 NCR 438 13:11 NCP 857	* *	A moreover	00/81/00			280c ADN Fe-84	
10 NCAC 42A	14:06 NCR 427									
10 NCAC 42E	14:06 NCR 427									
10 NCAC 42V	14:06 NCR 427									
10 NCAC 42Z	14:06 NCR 427									
Vocational Rehabilitation Services	m Services									
10 NCAC 20B .0224		13:17 NCR 1379	14:05 NCR 392	-24 						
10 NCAC 20B .0228		13-17 NCR 1379	14:05 NCR 392	<del>7.</del>						
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24 NCAC 01H .0103 INSURANCE	13:22 NCR 1822		14:02 NCR 82	÷						
II NCAC 06	12:09 NCR 744									
II NCAC 12	12:09 NCR 744									
11 NCAC 12 .1702	14:02 NCR 78		14:06 NCR 433	*						
II NCAC 13	12.09 NCR 744									
H NCAC 13-0514	14:02 NCR 78		14:06 NCR 433	***						
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LI NCAC 14	12:09 NCR 744									
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13:14 NCR 1110		13:19 NCR 1611	÷	Return to Agey 0//12/99 Approve 06/17/99	¥		14.05 NCR 402	
13:14 NCR 1110		13:19 NCR 1611	*	Approve 06/17/99	*		14-05 NCR 402	
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12 NCAC 09B .0233	13:14 NCR 1110		13/19 NCR 1611	S	Object Return to Agev	06/17/99 gcv 07/15/99				
12 NCAC 09B .0302	13:14 NCR 1110		13:19 NCR 1611	*	Approve		<del>3.</del>		14 05 NCR 402	
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12 NCAC 09B .0304	13:14 NCR 1110		13:19 NCR 1611	×	Approve	66/11/90	*		14.05 NCR 402	
12 NCAC 09B .0305	13:14 NCR 1110		13-19 NCR 1611	**	Object	06/12/00				
12 NCAC 09B .0312	13:14 NCR 1110		13.19 NCR 1611	**	keturn to Agey Approve				14:05 NCR 402	
12 NCAC 09B .0403	13:14 NCR 1110		13:19 NCR 1611	**	Approve	06/11/90			14-05 NCR 402	
12 NCAC 09B .0404	13.14 NCR 1110		13:19 NCR 1611	<del>17</del>	Approve	66/17/90			14:05 NCR 402	
12 NCAC 09B .0405	13:14 NCR 1110		13:19 NCR 1611	*	Approve	06/17/00			14:05 NCR 402	
12 NCAC 09B .0406	13:14 NCR 1110		13:19 NCR 1611	S	Approve	06/11/90	4 <u>8</u> 41		14:05 NCR 402	
12 NCAC 09B .0407	13:14 NCR 1110		13:19 NCR 1611	*	Approve	66/11/90			14.05 NCR 402	
12 NCAC 09B .0414	13:14 NCR 1110		13:19 NCR 1611	-10 -71	Approve	66/11/90	<del>11</del>		14:05 NCR 402	
12 NCAC 09B .0415	13:14 NCR 1110		13:19 NCR 1611	*	Approve	06/11/90			14.05 NCR 402	
12 NCAC 09C .0211	13:14 NCR 1110		13:19 NCR 1611	×	Object Deture to Acce	06/11/90				
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12 NCAC 09E .0107	13:14 NCR 1110		13:19 NCR 1611	*	Approve	66/11/90			14:05 NCR 402	
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12 NCAC 07D .0800	13:14 NCR 1110									
12 NCAC 07D .1201	11:10 NCR 818		12:14 NCR 1263	*	Object	86/61/11				
12 NCAC 07D 1202	11:10 NCR 818		12:14 NCR 1263	÷	Object	86/61/11				
12 NCAC 07D .1301	11:16 NCR 1268		12:14 NCR 1263	*	Object	86/61/11				
12 NCAC 07D 1302	11:16 NCR 1268		12.14 NCR 1263	2	Object	86/61/11				
12 NCAC 07D .1303	11:16 NCR 1268		12:14 NCR 1263	<del>\$</del> ;	Object	86/61/11				

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12 NCAC 07D .1304	11.16 NCR 1268		12:14 NCK 1265	*	Object	86/61/11				
12 NCAC 07D .1305	11:16 NCR 1268		12:14 NCR 1263	*	Object	11/19/98				
12 NCAC 07D .1306	11:16 NCR 1268		12:14 NCR 1263	×	Object	11/19/98				
12 NCAC 07D .1307	11:16 NCR 1268		12:14 NCR 1263	**	Object	86/61/11				
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12 NCAC 10B .0103	13:14 NCR 1110		13:19 NCR 1637	S	Object Return to Agev	06/11/00 				
12 NCAC 10B .0502	13:14 NCR 1110		13:19 NCR 1637	L	Object	66/11/90				
12 NCAC 10B .0505	13:14 NCR 1110		13:19 NCR 1637	*	Approve	06/17/90			14:05 NCR 402	
12 NCAC 10B .0506	13:14 NCR 1110		13:19 NCR 1637	*	Approve	66/11/90			14:05 NCR 402	
12 NCAC 10B .0507	13:14 NCR 1110		13:19 NCR 1637	×	Approve	06/17/99			14:05 NCR 402	
12 NCAC 10B .0508	13:14 NCR 1110		13:19 NCR 1637	×	Approve	06/17/99			14:05 NCR 402	
12 NCAC 10B .0509	13:14 NCR 1110		13:19 NCR 1637	÷	Approve	06/11/90			14-05 NCR 402	
12 NCAC 10B ,0601	13-14 NCR 1110		13:19 NCR 1637	S/L	Object	06/11/00				
12 NCAC 10B .0606	13:14 NCR 1110									
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12 NCAC 10B .0703	13:14 NCR 1110		13:19 NCR 1637	S/L	Approve	66/1/90	*		14:05 NCR 402	
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12 NCAC 10B .1002	13-14 NCR 1110		13:19 NCR 1637	No.	Approve	66/11/90			14-05 NCR 402	
12 NCAC 10B .1401	13:14 NCR 1110		13:19 NCR 1637	S	Approve	06/11/90			14:05 NCR 402	
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12 NCAC 10B .1404	13.14 NCR 1110		13:19 NCR 1637	S	Approve	06/12/0	÷		14.05 NCR 402	
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13 NCAC 13 .0406	13 03 NCR 269		13:08 NCR 685	*						

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21 NCAC 26 .0105	14:05 NCR 373									
21 NCAC 26.0302		12:08 NCR 730	Temp Expired 07/12/98							
21 NCAC 26 .0506		12:08 NCR 730	Temp Expired 07/12/98							
21 NCAC 26 .0507		12:08 NCR 730	Temp Expired 07/12/98							
21 NCAC 26 .0508		12:08 NCR 730	Temp Expired 07/12/98							
21 NCAC 26 .0509		12:08 NCR 730	Temp Expired 07/12/98							
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21 NCAC 32B	11-18 NCR 1369									
21 NCAC 32B	12:04 NCR 245									
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21 NCAC 32R 0103	14:03 NCR 127									
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21 NCAC 34C	12:09 NCR 745									
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21 NCAC 36 .0213	13:22 NCR 1821		14:02 NCR 82	×						
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21 NCAC 37D .0202		14:05 NCR 398								
21 NCAC 37E .0102		14:05 NCR 398								

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21 NCAC 37G .0102		14:05 NCR 398								
21 NCAC 37G .0201		14:05 NCR 398								
21 NCAC 37H .0102		14:05 NCR 398								
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21 NCAC 46 .1317	13:22 NCR 1821									
21 NCAC 46 .1413	13:22 NCR 1821		14:06 NCR 480	×						
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21 NCAC 46.1816	13:22 NCR 1821		14:06 NCR 480	*						
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21 NCAC 46 .2306	12:24 NCR 2203		13:04 NCR 419	4:	Object	11/19/98				
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anov/Rule	Citation	21 NCAC 50 .1206	21 NCAC 50 .1207	21 NCAC 50 .1208	21 NCAC 50 .1209	21 NCAC 50 .1210	21 NCAC 50 .1210	21 NCAC 50 .1211	21 NCAC 50 .1212	21 NCAC 50 .1212	21 NCAC 50 .1213	21 NCAC 50 .1214	21 NCAC 50 .1302	PSYCHOLOGY BOARD	21 NCAC 54 .1611	21 NCAC 54 .1612	21 NCAC 54 .1613	21 NCAC 54 .1901	21 NCAC 54 .2006	21 NCAC 54 .2010	21 NCAC 54 .2104	21 NCAC 54 .2301	21 NCAC 54 .2302	21 NCAC 54 .2303	21 NCAC 54 .2304	21 NCAC 54 .2305	21 NCAC 54 .2306	21 NCAC 54 .2307

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Rule-making	Temporary	Notice of	Fiscal	RRC Status	atus	Text differs from	Effective by	Annroved Rule	Other
Proceedings	Rule	Text	Note	Action	Date	proposal	Governor		
	13.12 NICD 1061	13-19 NGD 1503	*	Amound	00/51/20			LE-06 NCP 100	
14:06 NCR 428									
		13:18 NCR 1503	*	Return to Agcy 07/15/99	07/15/99				
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14:06 NCR 428									
		13:18 NCR 1503	**	Return to Agcy 07/15/99	- 07/1S/99				
		13:18 NCR 1503	¥	Return to Agcy 07/15/99	07/15/99				
		13:24 NCR 2008	*						
		13:18 NCR 1503	23 73	Return to Agcy 07/15/99	66/31/20				
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		13:18 NCR 1503	*	Return to Agcy 07/15/99	66/31/20				
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		13:18 NCR 1503	<del>11</del>	Return to Agey 07/15/99	66/31/20				
		13:18 NCR 1503	*	Return to Agcy 07/15/99	07/15/99				
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		13:18 NCR 1503	÷	Return to Agey (17/15/99	01/15/99				
		13:18 NCR 1503	*	Return to Agcy 07/15/99	66/31/20				
		13:18 NCR 1503	**	Return to Agcy 07/15/99	07/15/99				
14:06 NCR 428									
		13.18 NCR 1503		Object	02/15/99 08/19/00				
	12:22 NCR 2010 13 Tonio Example 07/09/99	13:18 NCR 1503 2/90	#	Approve	07/15/99	¥		14:06 NCR 490	
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	Other																											
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Agency/Rule	Citation	16 NCAC 06H .0106		16 NCAC 06H .0107	16 NCAC 06H .0107	16 NCAC 06H .0108	16 NCAC 06H .0109	16 NCAC 06H .0110	REAL ESTATE COMMISSION	21 NCAC 58A .0107	21 NCAC 58A .0109	21 NCAC 58A .0110	21 NCAC 58A .0113	21 NCAC 58A .0114	21 NCAC 58A .0301	21 NCAC 58A .0302	21 NCAC 58A .0303	21 NCAC 58A .0304	21 NCAC 58A .0401	21 NCAC 58A .0402	21 NCAC 58A .0403	21 NCAC 58A .0404	21 NCAC 58A .0406	21 NCAC 58A .0503	21 NCAC 58A .0505	21 NCAC 58A .0601	21 NCAC 58A .1402	21 NCAC 58A .1703

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X , 1999)	RRC Status	Date																													
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	Agency/Rule	Citation	21 NCAC 58A .1708	21 NCAC 58B .0101	21 NCAC 58B .0102	21 NCAC 58C .0105	21 NCAC 58C .0106	21 NCAC 58C .0107	21 NCAC 58C .0207	21 NCAC 58C .0213	21 NCAC 58C .0214	21 NCAC 58C .0217	21 NCAC 58C .0218	21 NCAC 58C .0302	21 NCAC 58C .0304	21 NCAC 58C .0305	21 NCAC 58C .0306	21 NCAC 58C .0307	21 NCAC 58C .0310	21 NCAC 58C .0312	21 NCAC 58E .0102	21 NCAC 58E_0202	21 NCAC 58E .0204	21 NCAC 58E .0205	21 NCAC 58E .0304	21 NCAC 58E .0310	21 NCAC 58E .0412	21 NCAC 58E .0515	REVENUE		

Internet         Rat         Text         Not         Att         Not         Att         A	Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC Status	itus	Text differs	Effective by		241
N/A         13.08 NCR 690         N/A           N/A         N/A         N/A           N/A	Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	vpproveu vuie	Olite
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N/A     13.08 NCR 690     N/A	NCAC 04B .0107	N/A		13:08 NCR 690	N/A						
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12:14 NCR 1285 *	VCAC 04F .0005	N/A		13.08 NCR 690	N/A						
89	NCAC 050-0102			12:14 NCR 1285	*						
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Citation Proceedings	Temporary	Notice of	Fiscal	NUN	KRU MAILIS	1 ext anters	Effective by		<
NCAC 05C 0703		Text	Note	Action	Date	proposal	Governor	Approved Kule	UBG
NCAC 05C 0703									
		12:14 NCR 1285	*						
17 NCAC 06B .0105 N/A		13:08 NCR 694	N/A						
17 NCAC 06B .0118 N/A		13:09 NCR 762	N/A	Object Object	12/17/98 03/18/00				
				Approve	04/15/99	÷		14:02 NCR 84	
17 NCAC 07B .0124 N/A		13:08 NCR 695	N/A	:					
17 NCAC 07B .0125 N/A		13.08 NCR 695	N/A						
17 NCAC 07B .2101 N/A		13:09 NCR 767	N/A						
17 NCAC 09K .0601 N/A		13.08 NCR 695	N/A						
SECRETARY OF STATE									
18 NCAC 06 -1212	13:14 NCR 1151								
18 NCAC 06.1304	13:14 NCR 1151								
18 NCAC 06 .1502	13:14 NCR 1151								
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18 NCAC 10 (13(1) 13:00 NCB 759									
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18 NCAC 10.0306	13:18 NCR 1556								
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OGISTS AND ALTIFOLGIST. BOARD OF EXAMINERS 13.18 NCR 1560 13.20 NCR 773 13.09 NCR 773 13.00 NCR 773	Citation	Proceedings	Ruie	Text	Note	Action	Date	trom proposał	Governor	Approved Kute	Other
IOTINIALIDICICIST. BOARD OF EXAMINERS         ISIS NCR 1560       13.09 NCR 773       5         13.18 NCR 1560       13.22 NCR 1860       6         13.18 NCR 1560       13.22 NCR 1860       7         13.18 NCR 1560       13.23 NCR 1860       7											
0.015T5 AND ALDICO.0517, BOARD OF EXAMINERS           13:18 NCR 1560         13:20 NCR 773         1           13:18 NCR 1560         13:22 NCR 1850         1           11:19 NCR 129         1         1           11:13 NCR 1560         13:22 NCR 1850         1           11:13 NCR 1560         13:22 NCR 1329         1           13:09 NCR 773         1         1           13:09 NCR 773         1         1           13:18 NCR 1560         13:29 NCR 1850         1           13:18 NCR 1560         13:29 NCR 1850         1           13:18 NCR 1560         13:23 NCR 1850         1											
13.0 NCR 1560       13.2 NCR 1560       13.2 NCR 1560         13.1 NCR 1560       13.2 NCR 1580       1         13.1 NCR 1560       13.2 NCR 1590       1         11.1 NCR 1560       11.1 NCR 1429       1         11.1 NCR 1560       11.1 NCR 1429       1         13.1 NCR 1550       11.1 NCR 173       1         13.0 NCR 773       1       1         13.0 NCR 773       1       1         13.0 NCR 773       1       1         13.1 NCR 1560       13.2 NCR 1850       1         13.0 NCR 773       1       1	<b>ECH AND LANG</b>	UAGE PATHOL	OGISTS AND AUD	IOLOGIST, BOARD	OF ENAMINE	RS					
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13.05 NCR 436         13.09 NCR 773         1           13.15 NCR 1560         13.25 NCR 1580         1           13.15 NCR 1560         13.25 NCR 129         1           11.11 NCR 1001         13.25 NCR 139         1           13.05 NCR 436         13.09 NCR 773         1           13.05 NCR	ATE PERSONNEL	COMMISSION									
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	25 NCAC 01B-0354	13/05 NCR 436		13:09 NCR 773	<del>9</del> ++						
	25 NCAC 01B .0414		13:18 NCR 1560	13:22 NCR 1850	*						
	25 NCAC 01B .0434		13:18 NCR 1560	13:22 NCR 1850	¥						
13.18.NCR 1560         13.2.NCR 1850         1           11.13.NCR 10x2         11.19.NCR 1420         1           10.002 83.3         11.19.NCR 1420         1           10.002 83.4         10.002 83.3         11.90.NCR 130         1           10.002 83.4         13.09.NCR 773         1         1           13.05 NCR 136         13.09 NCR 773         1         1           13.05 NCR 136         1.30 NCR 136	25 NCAC 01B .0437	13:05 NCR 436		13:09 NCR 773	*						
11.13 NCR 1062         11.19 NCR 1129         *           Temp Expred Temp Expred 12.00 NCR 436         Temp Expred 07/1/98         *           13.05 NCR 436         13.00 NCR 773         *           13.05 NCR 1560         13.22 NCR 1850         *           13.05 NCR 1560         13.22 NCR 1850         *           14.00 NCR 126         13.01 NCR 116         *           14.00 NCR 126         13.01 NCR 116         *           14.00 NCR 126         13.01 NCR 116         *           14.01 NCR 126         14.01 NCR 126         *	25 NCAC 01C .0214		13:18 NCR 1560	13:22 NCR 1850	<u>*;</u> 11						
Interprised         Temp Exprired 07/31/98           1305 NCR 436         1309 NCR 773         1           1305 NCR 436         13.09 NCR 773         1           1318 NCR 156         13.20 NCR 1850         1           1407 NCR 126         13.14 NCR 1116         1           1408 NCR 126         13.14 NCR 1116         1           1408 NCR 126         1.314 NCR 1116         1           1408 NCR 126         1.314 NCR 1116	25 NCAC 01D .2516		11:13 NCR 1062	11-19 NCR 1429	<del>41</del> 41						
1305 NCR 436       1300 NCR 773       +         1305 NCR 426       1300 NCR 773       +         1403 NCR 126       +       Approx         1403 NCR 126       +       -	NCAC 01D .2517		lemp expired 12:09 NCR 835	Temp Expired 07/31/98							
13.05 NCR 136       13.09 NCR 773       *         13.05 NCR 136       13.23 NCR 1850       *         14.01 NCR 126       13.14 NCR 116       *         14.03 NCR 126       13.14 NCR 126       *         14.03 NCR 126       13.14 NCR 126       *         14.03 NCR 126       13.14 NCR 126       *	NCAC 01H .0602	13:05 NCR 436		13:09 NCR 773	*						
I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:05 NCR 436         I 3:09 NCR 773         ±           I 3:08 NCR 436         I 3:22 NCR 1850         ±           I 1 4:03 NCR 126         I 3:22 NCR 1850         ±           I 1 4:03 NCR 126         I 3:14 NCR 1116         ±           I 1 4:03 NCR 126         I 3:14 NCR 1116         ±           I 1 4:03 NCR 126         I 3:14 NCR 1116         ±           I 1 4:03 NCR 126         I 3:14 NCR 1116         ±           I 1 0:03 NCR 126         I 3:14 NCR 1116         ±	NCAC 01H .0605	13.05 NCR 436		13:09 NCR 773	*						
13.05 NCR 436       13.09 NCR 773       *         13.05 NCR 436       13.18 NCR 1850       *         13.05 NCR 436       13.09 NCR 773       *         13.05 NCR 436       13.20 NCR 773       *         13.05 NCR 436       13.20 NCR 773       *         13.08 NCR 1560       13.22 NCR 1850       *         14.03 NCR 126       13.11 NCR 1116       *         14.03 NCR 126       13.14 NCR 116       *         14.03 NCR 126       13.14 NCR 116       *         14.03 NCR 126       14.03 NCR 126       *         14.03 NCR 126       14.03 NCR 126       *         14.03 NCR 126       14.03 NCR 126       *	NCAC 01H .0606	13:05 NCR 436		13:09 NCR 773	꾟						
13.18 NCR 1560       13.22 NCR 1850       *         13.05 NCR 436       13.09 NCR 773       *         13.05 NCR 436       13.20 NCR 1850       *         13.18 NCR 1560       13.22 NCK 1850       *         1       1403 NCR 126       *	NCAC 01J .0503	13:05 NCR 436		13:09 NCR 773	×						
13.05 NCR 436       13.09 NCR 773       *         13.05 NCR 436       13.20 NCR 773       *         13.16 NCR 1560       13.22 NCR 1850       *         13.18 NCR 1560       13.22 NCR 1850       *         11.14 NCR 116       *       Approve         12.14 NCR 116       *       Approve         13.14 NCR 126       *       Approve         14.03 NCR 126       13.14 NCR 1116       *         14.03 NCR 126       13.14 NCR 1116       *         14.03 NCR 126       13.14 NCR 1116       *         14.03 NCR 126       14.03 NCR 126       *         17.103 NCR 126       14.03 NCR 126       *	NCAC 011 .0506		13:18 NCR 1560	13:22 NCR 1850	÷						
13.05 NCR 436       13:09 NCR 773       *         13.18 NCR 1560       13:22 NCR 1850       *         15       13:18 NCR 1560       13:22 NCR 1850       *         15       13:08 NCR 626       13:24 NCR 1116       *         11       14:03 NCR 126       *       Approve       04/15/99         13       14:03 NCR 126       *       Approve       04/15/99         14       10:3 NCR 126       *       Approve       04/15/99         15       14:03 NCR 126       *       Approve       04/15/99	NCAC 01J .0512	13:05 NCR 436		13:09 NCR 773	÷						
13:18 NCR 1560       13:22 NCK 1850       *         15       13:08 NCR 626       13:14 NCR 1116       *         11       14:03 NCR 126       *       Approve         12       14:03 NCR 126       *       Approve         13       14:03 NCR 126       *       Approve         13       14:03 NCR 126       *       Approve         13       14:03 NCR 126       *       Approve         14       14:03 NCR 126       *       Approve         14       14:03 NCR 126       *       Approve         14:03 NCR 126       *       Approve       04/15/99         14:03 NCR 126       *       Approve       04/15/99	NCAC 01J .0603	13:05 NCR 436		13:09 NCR 773	*						
N 15 13.08 NCR 626 13:14 NCR 1116 * Approve 04/15/99 10 14:03 NCR 126 13 14:03 NCR 126 13 14:03 NCR 126 15 14:03 NCR 126 16 14:03 NCR 126 16 14:03 NCR 126 17 14:03 NCR 126	NCAC 01J .0603		13:18 NCR 1560	13:22 NCK 1850	*						
15     13.08 NCR 626     13:14 NCR 1116     *     Approve     04/15/99       21     14:03 NCR 126     *     Approve     04/15/99       22     14:03 NCR 126     *     *     Approve       33     14:03 NCR 126     *     *     *       34     14:03 NCR 126     *     *     *       37     14:03 NCR 126     *     *     *	TRANSPORTATION										
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