### NORTH CAROLINA REGISTER

**VOLUME 12** • ISSUE 23 • Pages 2086 - 2189 June 1, 1998

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#### **PUBLISHED BY**

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KATHRINE R. EVERETT
LAW LIBRARY

For those persons that have questions or concerns regarding the Administrative Procedure Act or any of its components, consult with the agencies below. The bolded headings are typical issues which the given agency can address, but are not inclusive.

#### Rule Notices, Filings, Register, Deadlines, Copies of Proposed Rules, etc.

Office of Administrative Hearings

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 116 West Jones Street
 (919) 733-7061

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 (919) 733-0640 FAX

contact: Mark Sisak, Economist III msisak@osbm.state.nc.us
Anna Tefft, Economist II atefft@osbm.state.nc.us

#### Rule Review and Legal Issues

Rules Review Commission
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(919) 733-2721
(919) 733-9415 FAX

contact: Joe DeLuca Jr., Staff Director Counsel
Bobby Bryan, Staff Attorney

#### Legislative Process Concerning Rule Making

Joint Legislative Administrative Procedure Oversight Committee

545 Legislative Office Building

 300 North Salisbury Street
 (919) 733-2578

 Raleigh, North Carolina 27611
 (919) 715-5460 FAX

contact: Mary Shuping, Staff Liaison marys@ms.ncga.state.nc.us

#### County and Municipality Government Questions or Notification

NC Association of County Commissioners

215 North Dawson Street (919) 715-2893

Raleigh, North Carolina 27603

contact: Jim Blackburn or Rebecca Troutman

NC League of Municipalities

215 North Dawson Street (919) 715-4000

Raleigh, North Carolina 27603

contact: Paula Thomas

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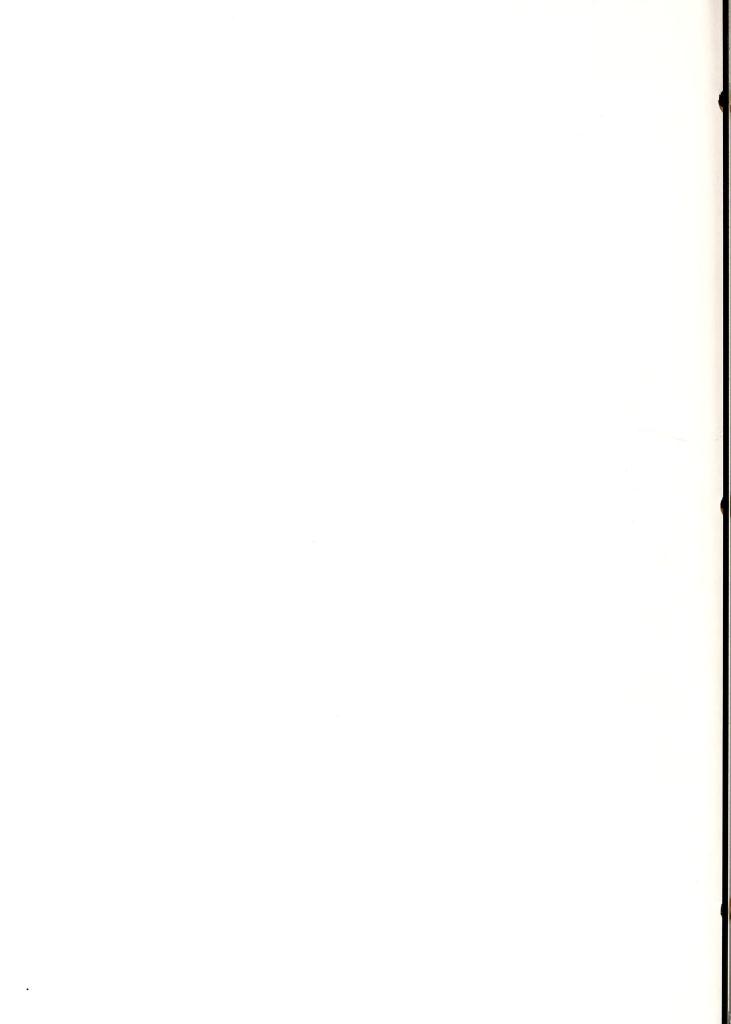
June 1, 1998

This issue contains documents officially filed through May 8, 1998.

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FIL	FILING DEADLINES	S	NOTICE OF RULE-MAKING PROCEEDINGS			? (eithe	NOTICE OF TEXT (either column A or column B)	nn B)			TEMPORARY RULE
					8-น0น	A. non-substantial economic impact	nic impact	ពន	B, substantial economie impaet	e impact	
volume and issue number	issue date	last day for filing	carliest register issue for publication of text	earliest date for public hearing	end of required comment period	deadline to submit to RRC for review at next RRC meeting	first legislative day of the next regular session	end of required comment period	deadline to submit to RRC for review at next RRC meeting	first legislative day of the next regular session	270 <sup>th</sup> day from issue date
12:23	86/10/90	86/80/50	86/03/80	86/91/90	07/01/98	07/20/98	01/27/99	07/31/98	08/20/98	01/27/99	02/26/99
12:24	86/51/90	05/22/98	08/14/98	86/08/90	86/51/20	07/20/98	01/27/99	08/14/98	08/20/98	01/27/99	03/17/99
13:01	86/10/20	86/01/90	86/10/60	07/16/98	86/12/20	08/50/98	01/27/99	86/11/80	09/21/98	01/27/99	03/28/99
13:02	86/51/20	86/23/98	86/51/60	07/30/98	08/14/98	08/20/98	01/27/99	09/14/98	09/21/98	01/27/99	04/11/99
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13:04	08/14/98	07/24/98	86/51/01	08/31/98	09/14/98	09/21/98	01/27/99	10/13/98	10/20/98	01/27/99	05/11/99
13:05	86/10/60	86/11/80	11/02/98	86/91/60	86/10/01	10/20/98	01/27/99	11/02/98	11/20/98	66/22/10	05/29/99
13:06	09/15/98	08/24/98	11/16/98	86/30/60	10/15/98	10/20/98	01/27/99	11/16/98	11/20/98	01/27/99	66/17/90
13:07	10/01/98	86/01/60	12/01/98	86/91/01	11/02/98	11/20/98	01/27/99	86/08/11	12/21/98	02/00	06/28/99
13:08	10/15/98	09/24/98	12/15/98	10/30/98	11/16/98	11/20/98	01/27/99	12/14/98	12/21/98	02/00	04/17/0
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13:13	01/04/99	12/09/98	03/12/60	66/61/10	05/03/69	02/22/99	02/00	03/05/99	03/22/99	02/00	10/01/66
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13:16	02/12/99	01/25/99	05/03/99	03/02/99	03/11/60	03/22/99	09/50	04/16/99	04/20/99	02/00	11/12/99
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# **EXPLANATION OF THE PUBLICATION SCHEDULE**

This Publication Schedule is prepared by the Office of Administrative Hearings as a public service and the computation of time periods are not to be deemed binding or controlling. Time is computed according to 26 NCAC 2C.0302 and the Rules of Civil Procedure, Rule 6.

### CENERAL

### The North Carolina Register shall be published twice a month and contains the following information submitted for publication by a state agency:

- temporary rules;
- notices of rule-making proceed-£
- text of proposed rules; (3)
- text of permanent rules approved by the Rules Review Commission; 4
  - notiees of receipt of a petition for municipal incorporation, required by G.S. 120-165; (5)
- Attorney General concerning Executive Orders of the Governor; final decision letters from the U.S. changes in laws affecting voting in 96

a jurisdiction subject of Section 5

of the Voting Rights Act of 1965,

- orders of the Tax Review Board as required by G.S. 120-30.9H; 8 6
- other information the Codifier of Rules determines to be helpful to issued under G.S. 105-241.2; and

COMPUTING TIME: In computing time in the Carolina Register is not included. The last unless it is a Saturday, Sunday, or State schedule, the day of publication of the North day of the period so computed is included, holiday, in which event the period runs until he preceding day which is not a Saturday, Sunday, or State holiday.

## FILING DEADLINES

#### issue for that day will be published on the after) the first or fifteenth respectively that is not a Saturday, Sunday, or holiday for State ISSUE DATE: The Register is published on the first and fifteen of each month if the first or fifteenth of the month is not a Saturday, Sunday, or State holiday for employees mandated by the State Personnel Commission. If the first or fifteenth of any month is a Saturday, Sunday, or a holiday for State employees, the North Carolina Register day of that month closest to (either before or employees.

LAST DAY FOR FILING: The last day for filing for any issue is 15 days before the issue date exeluding Saturdays, Sundays, and holidays for State employees.

## NOTICE OF RULE-MAKING PROCEEDINGS

#### proposed rules is published, and the text of RULE-MAKING PRO-CEEDINGS: This date is 60 days from the issue date. An agency shall accept comments on the notice of rulemaking proceeding until the text of the END OF COMMENT PERIOD TO A NOTICE OF the proposed rule shall not be published until at least 60 days after the notice of rulemaking proceedings was published.

PUBLICATION OF TEXT: The date of the next issue following the end of the comment ISSEE REGISTER EARLIEST period.

## NOTICE OF TEXT

## EARLIEST DATE FOR PUBLIC HEARING; The hearing date shall be at least 15 days after he date a notice of the hearing is published.

## END OF REQUIRED COMMENT PERIOD

comments on the text of a proposed rule for at least 30 days after the text is published or until the date of any public hearings held on NON-SUBSTANTIAL ECONOMIC IMPACT: An agency shall accept he proposed rule, whichever is longer. WIIII (I) RULE

2) RULE WITH SUBSTANTIAL ECONOMIC MPACT: An agency shall accept comments on the text of a proposed rule published in economic impact requiring a fiscal note ander G.S. 150B-21.4(b1) for at least 60 days after publication or until the date of any public hearing held on the rule, whichever is he Register and that has a substantial

DEADLINE TO SUBMIT TO THE RULES REVIEW COMMISSION: The Commission shall review a rule submitted to it on or before the twentieth of a month by the last day of the next month.

ASSEMBLY: This date is the first legislative General Assembly following approval of the day of the next regular session of the rule by the Rules Review Commission. See FIRST LEGISLATIVE DAY OF THE NEXT REGULAR SESSION OF THE GENERAL G.S. 150B-21.3, Effective date of rules.

#### EXECUTIVE ORDER NO. <u>133</u> GOVERNOR'S PUBLIC MANAGEMENT INTERNSHIP PROGRAM

WHEREAS, the State of North Carolina has a continuing need to attract and retain exceptionally capable and highly trained public managers and policy researchers; and,

WHEREAS, the State has nine universities within the University of North Carolina system and one private university training students to assume leadership roles as public managers and policy researchers through Master of Public Administration or similarly focused programs; and,

WHEREAS, the graduates of these programs would bring innovative ideas and leadership to human resources, policy development, finance, planning and analysis, and other functions of state government; and,

WHEREAS, very few new graduates now seek employment in state government and, therefore, take their knowledge, skills and abilities to the federal government through the Presidential Management Internship Program, enter post-graduate internship programs offered by local governments, or begin careers in other related settings.

NOW, THEREFORE, by the power vested in me as Governor by the laws and Constitution of North Carolina, IT IS ORDERED:

#### Section I. The Governor's Public Management Internship Program (GPMIP) Established.

The Governor's Public Management Internship Program is hereby established. The Program shall be a partnership between the Office of the Governor and the graduate programs in the state offering Master of Public Administration degrees or similar degrees at the nine universities within the University of North Carolina (Appalachian State University, East Carolina University, North Carolina Central University, North Carolina State University, University of North Carolina at Chapel Hill, University of North Carolina at Charlotte, University of North Carolina at Pembroke, and Western Carolina University), and Duke University.

#### Section 2. Purpose.

The Governor's Public Management Internship Program shall serve four primary purposes:

- a. Provide an entry path into state government management and research position for highly qualified recent MPA graduates;
- b. Establish a partnership between state government and the academic community to improve the quality of managerial talent available to the state;

- c. Communicate clearly the importance of ability and appropriate advanced education in state government;
   and.
- d. Create a partnership of public, private and academic sectors to improve the quality of state government.

#### Section 3. Administration.

The State Personnel Director and the Directors of the ten graduate programs shall plan and administer the Governor's Public Management Internship Program and shall serve as the GPMIP Partnership Council. The Partnership Council may select an Advisory Group from the private business, nonprofit and local government sectors, the Cabinet and Council of State agencies, the Presidential Management Internship Program, and others who can contribute to the effective functioning of the program.

#### Section 4. Funding Positions.

Each Cabinet agency, plus the Office of State Budget and Management and the Office of State Personnel, shall identify resources to fund a GPMIP position. Council of State agencies are encouraged to fund a position.

#### Section 5. Selection of Candidates.

The recruitment and selection processes within the University and all participating agencies shall:

- a. Ensure the candidates selected best meet the needs of the respective agency;
- b. Comply with all existing state and federal laws, policies and rules governing personnel actions;
- Ensure full and fair consideration of all candidates without regard to race, religion, color, creed, national origin, sex, age, disability or political affiliation; and,
- d. Comply with good human resource management practices and with any procedural guidelines designed by the GPMIP Partnership Council.

#### Section 6. Guidelines and Timeframes.

The GPMIP Partnership Council shall develop operational guidelines and timeframes to ensure that candidates and the state receive maximum benefits from the program. The items to be included in the guidelines shall include, but not be limited to:

- a. Recruitment and selection processes at the University level, limited to three applicants per university;
- b. Recruitment and selection processes at the Agency level;
- c. Assessment Center procedures;
- d. Orientation program to state government and to the respective Agencies;
- e. Performance agreements for the Candidates, the Agencies, the Universities, the Office of State

#### **EXECUTIVE ORDERS**

- Personnel, and the GPMIP Partnership Council;
- f. Cross-functional activities within state government, and seminars and workshops to enhance professional growth;
- g. Mentoring and other support roles for Agency and University personnel to nurture and guide the Candidates;
- h. Performance measurement strategies of the Candidates, Partnership Council, Mentors. Agency and University personnel, and other key parties;
- i. Monitoring procedures for program implementation within the agencies;
- j. Evaluation of the development, implementation and impact, with special emphasis on the outcomes, of the GPMIP:
- k. Recommendations and strategies to ensure program

- effectiveness: and
- I. Procedures to report the impact of GPMIP to the Office of the Governor, the General Assembly, the Universities, and the general public.

#### Section 7. Duties of the Office of State Personnel.

The Office of State Personnel shall ensure the provisions of this Order are accomplished. The Office of State Personnel shall monitor the implementation of the program and compliance with this Order.

This Order shall be effective immediately.

Done in the Capital City of Raleigh, North Carolina, this 22<sup>nd</sup> day of April, 1998.

A Notice of Rule-making Proceedings is a statement of subject matter of the agency's proposed rule making. The agency must publish a notice of the subject matter for public comment at least 60 days prior to publishing the proposed text of a rule. Publication of a temporary rule serves as a Notice of Rule-making Proceedings and can be found in the Register under the section heading of Temporary Rules. A Rule-making Agenda published by an agency serves as Rule-making Proceedings and can be found in the Register under the section heading of Rule-making Agendas. Statutory reference: G.S. 150B-21.2.

#### TITLE 1 - DEPARTMENT OF ADMINISTRATION

#### CHAPTER 25 - NORTH CAROLINA ENVIRONMENTAL POLICY ACT

Notice of Rule-making Proceedings is hereby given by the Department of Administration in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rules it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 1 NCAC 25.0212 - .0213, .0302 - .0303, .0402, .0504 - .0506, .0602 - .0603 and .0605. Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 113A-1 through 113A-11

**Statement of the Subject Matter:** The rules governing the implementation of the State Environmental Policy Act will be revised to streamline the process of filing environmental documents and to make other technical changes.

Reason for Proposed Action: The Departments of Administration and Environment and Natural Resources, along with representatives of local and state government and environmental interests, convened a task force to study methods to make SEPA more efficient and responsive. These rule changes are a part of the recommendations of that task force.

Comment Procedures: Chrys Baggett, Director, State Clearinghouse, 116 W. Jones Street, 5th Floor - Administration Building, Raleigh, NC 27603, 919-733-7232.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

#### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

Notice of Rule-making Proceedings is hereby given by the DENR - Environmental Management Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rules it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 15A NCAC 2B .0311. Other rules may be proposed in the

course of the rule-making process.

**Authority for the rule-making:** G.S. 143-214.1; 143-215.1; 143-215.3(a)(1); 143-214.5

Statement of the Subject Matter: Proposed reclassification of a portion of the Deep River (Cape Fear River Basin) in Guilford and Randolph Counties for water supply use. The proposed reclassification to WS-IV NSW (water supply and nutrient sensitive waters) would potentially also include a management strategy to address nutrient over-enrichment, toxicants, treatment and location options for wastewater discharges, and development restrictions.

Reason for Proposed Action: The Piedmont Triad Regional Water Authority, on behalf of several local governments, has requested that the Deep River be reclassified for drinking water supply use. A dam is proposed to be built on the Deep River near the Town of Randleman to form Lake Randleman. The current classification of this portion of the Deep River is Class C (general purpose waters). The proposed classification is Class WS-1V NSW. If reclassified for water supply purposes, local governments having jurisdiction within five miles and draining to the proposed lake would be responsible for adopting and implementing land use ordinances that, at a minimum, meet the state's requirements for water supply watershed protection. In addition to considering the appropriateness of reclassifying the Deep River for water supply purposes, the rule-making process will consider the development and implementation of a special water quality management strategy for the reservoir. Water quality data and modeling results for the proposed reservoir suggest that a site-specific strategy is warranted. The issues that will be considered by the proposed management strategy include nutrient over-enrichment, treatment and location options for wastewater discharges, and the risk of toxicants from nonpoint sources.

Comment Procedures: The purpose of this announcement is to encourage those interested in this proposal to provide written comments. Written comments, data or other information relevant to this proposal must be submitted by July 31, 1998. It is very important that all interested and potentially affected persons or parties make their views known to the Environmental Management Commission (EMC) on whether to proceed with this reclassification and if so, what controls or management proposals would be appropriate to protect the use of the proposed reservoir. Written comments may be submitted to: Boyd DeVane, DENR/Division of Water Quality, Planning Branch, P.O. Box 29535, Raleigh, NC 27626-0535. 919-733-5083, ext. 559.

#### **CHAPTER 3 - MARINE FISHERIES**

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North Carolina Marine Fisheries Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rules it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 15A NCAC 3H.0103; 3I; 3J.0107, .0109; 3M.0507; 3P. Other rules may be proposed in the course of the rule-making process.

**Authority for the rule-making:** *G.S. 113-134; 113-182; 143B-289* 

Statement of the Subject Matter: Procedures for declaratory ruling; petitions for rulemaking; require escape panels in pound nets and long haul seines; procedures for assessing civil penalties; trip limits for commercial catches of dolphin; appeal petition and other evidence; and hardship licenses.

Reason for Proposed Action: The Marine Fisheries Commission, through the Committee process, has identified rules which are proposed for amendment which will clarify processes for declaratory ruling, petitioning for rulemaking and accessing civil penalties, allow for reduction in undersize fish taken by pound nets and long haul seines, establish a trip limit for the commercial take of dolphin, and clarify several license rules dealing with appeals process.

**Comment Procedures:** Written comments may be submitted to the Marine Fisheries Commission, Attention: Juanita Gaskill,

PO Box 769, Morehead City, NC 28557. Comments will be accepted through August 3, 1998.

#### TITLE 21 - OCCUPATIONAL LICENSING BOARDS

#### **CHAPTER 66 - VETERINARY MEDICAL BOARD**

North Carolina Veterinary Medical Board in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rules it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 21 NCAC 66.0207 - .0208. Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 90-185(6)

**Statement of the Subject Matter:** Minimum facility and practice standards, facilities providing limited veterinary services.

**Reason for Proposed Action:** To modify and improve the existing minimum facility and practice standards, and to further define the rules for facilities providing limited veterinary services. New rules may be implemented to accomplish this.

Comment Procedures: Written comments should be sent to: Office of the Executive Director, NC Veterinary Medical Board, PO Box 12587, Raleigh, NC 27525.

This Section contains the text of proposed rules. At least 60 days prior to the publication of text, the agency published a Notice of Rule-making Proceedings. The agency must accept comments on the proposed rule for at least 30 days from the publication date, or until the public hearing, or a later date if specified in the notice by the agency. The required comment period is 60 days for a rule that has a substantial economic impact of at least five million dollars (\$5,000,000). Statutory reference: G.S. 150B-21.2.

#### TITLE 10 - DEPARTMENT OF HEALTH AND HUMAN SERVICES

Notice is hereby given in accordance with G.S. 150B-21.2 that the Social Services Commission intends to adopt rules cited as 10 NCAC 24A .0508 and amend rule cited as 42R .0201. Notice of Rule-making Proceedings for 10 NCAC 24A .0508 was published in the Register on November 19, 1997. Notice of Rule-making Proceedings for 10 NCAC 42R .0201 was published in the Register on December 1, 1997.

Proposed Effective Date: April 1, 1999

A Public Hearing will be conducted for 10 NCAC 24A .0508 and 42R .0201 at 10:00 a.m. on September 9, 1998 at the Albemarle Building, Conference Room 943-2, 325 N. Salisbury St., Raleigh, NC.

Reason for Proposed Action: 10 NCAC 24A.0508 - Action to adopt temporary rules is required to insure that rules of the Social Services Commission are consistent with S.L. 1997-497. Current APA rules for recoupment of benefits do not address all programs of public assistance and do not include garnishment as a remedy. The ability to petition the court for an order of garnishment of wages is a new remedy and shall be used only after all administrative remedies have been exhausted unsuccessfully. This action is necessary because a section was added to G.S. 108A-25, which permits county departments of social services to petition the court for garnishment of wages to recoup fraudulent public assistance program payments. The law became effective December 1, 1997.

10 NCAC 42R.0201 - The cost of providing adult day care and adult day health has increased since the last increase to the maximum rates (July 1994), therefore, the maximum rates must be increased to come closer to the provider's actual costs. The maximum rate for transportation has not been increased since the early 1980's, which has caused providers to operate at a loss when transportation is provided to participants. A separate rate is being established for adult day health services in recognition of the higher costs of providing this level of care and in order to match the rate being paid by the Division of Medical Assistance for the same service. The North Carolina General Assembly expanded the amount of SSBG/State funds available to the State Adult Day Care fund, allowing for the rate increases without reducing the numbers of clients to be served.

Comment Procedures: Anyone wishing to comment should contact Sharnese Ransome, APA Coordinator, Social Services Commission, NC Division of Social Services, 325 N. Salisbury St., Raleigh, NC 27603, phone 919/733-3055.

**Fiscal Note:** Rule 10 NCAC 24A .0508 does not affect the expenditures or revenues of state or local government funds. 10 NCAC 42R .0201 does affect the expenditures or revenues of state or local government funds. These Rules do not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### **CHAPTER 24 - SOCIAL SERVICES: GENERAL**

**SUBCHAPTER 24A - GENERAL** 

**SECTION .0500 - GENERAL POLICIES** 

#### .0508 ADVISORY TO COUNTIES REGARDING PETITION OF GARNISHMENT

The Division of Social Services shall advise county departments of social services and consolidated human services boards of any State and federal laws and regulations that restrict the garnishment of wages to recoup a fraudulent public assistance program payment as provided in G.S. 108A-25.1.

Authority G.S. 108A-25.1; 143B-153; S.L. 1997-497.

#### **CHAPTER 42 - INDIVIDUAL AND FAMILY SUPPORT**

SUBCHAPTER 42R - ADULT DAY CARE - STATE FUND

SECTION .0200 - STATE ADULT DAY CARE FUND

#### .0201 NATURE AND PURPOSE OF STATE ADULT DAY CARE FUND

- (a) The State Adult Day Care Fund shall be used for adult day care and adult day health services provided through county departments of social services for the purpose of enabling people to remain in or return to their own homes.
- (b) The fund shall be used to increase state participation in the costs of this service.
- (c) The maximum rate for the purchase of adult day care services under a vendor agreement shall not exceed five hundred dollars (\$500.00) per month, of which four hundred and fifty-five dollars (\$455.00) shall be for the purchase of daily care and forty-five dollars (\$45.00) shall be for transportation five hundred sixty-five dollars (\$565.00) per month, of which five hundred dollars (\$500.00) shall be for the purchase of daily care and sixty-five dollars (\$65.00) shall be for transportation. The maximum rate for the purchase of adult day health services under a vendor agreement shall not exceed seven hundred fifteen dollars (\$715.00) per month, of which six hundred fifty dollars (\$650.00) shall be for the purchase of daily care and sixty-five dollars (\$65.00) shall be for transportation. Adult day health

services may only be purchased for an individual following a preadmission health assessment as specified in 10 NCAC 42Z .0604(b) (2)(A) and a determination that the individual needs one or more services delineated in 10 NCAC 42Z .0802(a)(1) through (3).

Authority G.S. 143B-153; S.L. 1997-443; 1993 S.L., c. 591, s. 2(a).

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Notice is hereby given in accordance with G.S. 150B-21.2 that the DENR - Environmental Management Commission intends to amend rule cited as 15A NCAC 2B .0308. Notice of Rule-making Proceedings was published in the Register on December 15, 1997.

Proposed Effective Date: April 1, 1999

A Public Hearing will be conducted at 7:00 p.m. on June 18, 1998 at the Statesville City Hall, Council Chambers, 227 South Center Street, Statesville, NC 28677.

Reason for Proposed Action: The City of Statesville has requested that Lookout Shoals Lake in Alexander, Catawba, and Iredell Counties (Catawba River Basin) be reclassified to the appropriate water supply classification based on the location that has been chosen for a new water supply intake for the City of Statesville. The selected intake site is just inside Iredell County, approximately two miles south of where Alexander, Catawba, and Iredell Counties share a common border. Lookout Shoals Lake currently carries the primary classifications WS (Water Supply) - V, WS-IV, WS-IV&B (Primary Recreation) and WS-IV&B CA (Critical Area; defined as the area within one half mile and draining to the normal pool elevation of the reservoir). The Division of Water Quality proposes to reclassify Lookout Shoals Lake to WS-IV CA and WS-IV&B CA. As part of this proposed reclassification, tributary streams that are within the Protected Area (PA; defined as the area within five miles and draining to the normal pool elevation of the reservoir) will be reclassified to WS-IV, and tributary streams that are within the Critical Area will be reclassified to WS-IV CA. In WS-IV water supply watersheds, domestic and industrial wastewater dischargers are allowed, although in the WS-IV CA, new industrial process wastewater discharges will have additional wastewater treatment Where land disturbing activities in WS-IV requirements. watersheds require a Sedimentation and Erosion Control Plan, development is limited to two-dwelling units (du) per acre or 24% built upon area (low density option). developments without curb and gutter street systems. development may take place up to three du'acre or 36% built upon area in the PA. A high density option, which requires control of runoff of the first inch of rainfall through the use of engineered stormwater controls, permits development at up to 50% built upon area in the CA and 70% built upon area in the

PA. Thirty foot stream buffers are required with the low density option, and 100 foot buffers are required with the high density option. Alexander, Catawba, and Iredell Counties have land use jurisdiction within the area that would be affected by this proposed reclassification. Reclassification to Classes WS-IV CA and WS-IV&B CA would require the affected local governments to revise their drinking water supply maps within 120 days after adoption and notification by the Environmental Management Commission that the reclassification has become effective. Once the reclassification becomes effective, the state would apply the appropriate requirements that affect the permitting of landfills, residual application sites and wastewater discharges within the adopted Critical and Protected Areas. The effective date of the reclassification is expected to be April 1, 1999.

Comment Procedures: The purpose of this announcement is to encourage those interested in this proposal to provide comments. You may either attend the public hearing and make relevant verbal comments or submit written comments, data or other relevant information by July 18, 1998. The Hearing Officer may limit the length of time that you may speak at the public hearing, if necessary, so that all those who wish to speak may have an opportunity to do so. We encourage you to submit written comments as well. The Environmental Management Commission (EMC) is very interested in all comments pertaining to the proposed reclassification. It is very important that all interested and potentially affected persons or parties make their views known to the EMC whether in favor of or opposed to any and all provisions of the proposed reclassification. The EMC may not adopt a rule that differs substantially from the text of the proposed rule published in the North Carolina Register unless the EMC publishes the text of the proposed different rule and accepts comments on the new text (see 150B-21.2(g)). All interested and potentially affected persons are strongly encouraged to read the entire announcement and supporting information, and make appropriate comments on the proposal. Anyone wishing to comment should contact Liz Kovasckitz, DENR/Division of Water Quality, PO Box 29535, Raleigh, NC 27626-0535, (919) 733-5083, ext. 572.

Fiscal Note: This Rule does not affect the expenditures or revenues of state government, however this Rule does affect local government funds. This Rule does not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

SUBCHAPTER 2B - SURFACE WATER AND WETLAND STANDARDS

SECTION .0300 - ASSIGNMENT OF STREAM CLASSIFICATIONS

[Note: Text shown in bold type has been approved by the Rules Review Commission at their January 1998 (amendment k) and February 1998 (amendment l) meetings

and is pending the 1998 Legislative Session. Text shown in italics was previously published in the 12:19 NC Register (amendment m) and the 12:21 NC Register (amendment n).]

#### .0308 CATAWBA RIVER BASIN

- (a) The schedule may be inspected at the following places:
  - (1) Clerk of Court:

Alexander County

Avery County

Burke County

Caldwell County

Catawba County

Gaston County

Iredell County

Lincoln County

Lincom County

McDowell County

Mecklenburg County

**Union County** 

Watauga County

- (2) North Carolina Department of **Environment** and Natural Resources:
  - (A) Mooresville Regional Office919 North Main StreetMooresville, North Carolina
  - (B) Asheville Regional Office Interchange Building
     59 Woodfin Place Asheville, North Carolina.
- (b) Unnamed Streams. Such streams entering South Carolina are classified "C."
- (c) The Catawba River Basin Schedule of Classifications and Water Quality Standards was amended effective:
  - (1) March 1, 1977;
  - (2) August 12, 1979;
  - (3) April 1, 1982;
  - (4) January 1, 1985;
  - (5) August 1, 1985;
  - (6) February 1, 1986;
  - (7) March 1, 1989;
  - (8) May 1, 1989;
  - (9) March 1, 1990;
  - (10) August 1, 1990;
  - (11) August 3, 1992;
  - (12) April 1, 1994; (13) July 1, 1995;
  - (14) September 1, 1996;
  - (15) August 1, 1998;
  - (16) April 1, 1999.
- (d) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective March 1, 1989 as follows:
  - (1) Wilson Creek (Index No. 11-38-34) and all tributary waters were reclassified from Class B-trout and Class C-trout to Class B-trout ORW and Class C-trout ORW.
- (e) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective

May 1, 1989 as follows:

- (1) Henry Fork [Index Nos. 11-129-1-(1) and 11-129-1-(2)] from source to Laurel Creek, including all tributaries, were reclassified from Class WS-1, C and C trout to Class WS-1 ORW, C ORW and C trout ORW, except Ivy Creek and Rock Creek which will remain Class C trout and Class C.
- (2) Jacob Fork [Index Nos. 11-129-2-(1) and 11-129-2-(4)] from source to Camp Creek, including all tributaries, were reclassified from Class WS-III trout and WS-III to WS-III trout ORW and WS-III ORW.
- (f) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective March 1, 1990 as follows:
  - (1) Upper Creek [Index No. 11-35-2-(1)] from source to Timbered Branch including all tributaries except Timbered Branch (Index No. 11-35-2-9) was reclassified from Class C Trout to Class C Trout ORW.
  - (2) Steels Creek [Index No. 11-35-2-12(1)] from source to Little Fork and all tributaries was reclassified from Class C Trout to Class C Trout ORW.
- (g) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective August 3, 1992 with the reclassification of all water supply waters (waters with a primary classification of WS-I, WS-II or WS-III). These waters were reclassified to WS-I, WS-II, WS-III, WS-IV or WS-V as defined in the revised water supply protection rules, (15A NCAC 2B .0100, .0200 and .0300) which became effective on August 3, 1992. In some cases, streams with primary classifications other than WS were reclassified to a WS classification due to their proximity and linkage to water supply waters. In other cases, waters were reclassified from a WS classification to an alternate appropriate primary classification after being identified as downstream of a water supply intake or identified as not being used for water supply purposes.
- (h) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective April 1, 1994 as follows:
  - (1) Friday Lake (Index No. 11-125.5) from its source to Little Paw Creek was reclassified from Class C to Class B.
  - (2) The Linville River [Index No. 12-29-(1)] from Grandmother Creek to Linville Falls was reclassified from Class C Tr to Class B Tr.
- (i) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective July 1, 1995 with the reclassification of Clark Creek from a point 0.6 mile downstream of Catawba County SR 2014 to 0.4 mile upstream of Larkard Creek [Index No. 11-129-5-(4.5)], and Howards Creek from its source to 0.7 mile upstream of Lincoln County State Road 1200 [Index No. 11-129-4], including associated tributaries from Class WS-IV to Classes C and WS-IV.
- (j) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective

September 1, 1996 as follows:

- (1) North Fork Catawba River [Index No. 11-24-(1)] from Laurel Branch to Armstrong Creek from Class C Tr to Class B Tr; and
- (2) Catawba River (Lake Hickory) from Rhodhiss dam to highway 321 { [Index No. 11-(51)] from Class WS-IV CA to Class WS-IV&B CA.
- (k) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective August 1, 1998 with the revision to the primary classification for portions of the South Fork Catawba River [Index No. 11-129-(0.5)] and Hoyle Creek [Index No. 11-129-15-(1)] from Class WS-IV to Class WS-V.
- (I) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective August 1, 1998 as follows:
  - (1) Mill Creek [Index No. 11-7] from its source to Swannanoa Creek, including all tributaries, from Class C Tr to Class C Tr HQW; and
  - (2) Toms Creek [Index Nos. 11-21-(1) and 11-21-(2)] from its source to Harris Creek, including all tributaries, from Class C Tr to Class C Tr HQW and from Harris Creek to McDowell County SR 1434, including all tributaries, from Class C to Class C HQW.

(m) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective April 1, 1999 with the reclassification of a portion of the Catawba River [Index Nos. 11-(27.5) and 11-(31) from Class

WS-IV & B and WS-IV to Class WS-V & B and WS-V.

- (n) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective April 1, 1999 with the reclassification of Armstrong Creek [Index Nos. 11-24-14-(1), 11-24-14-(13.5) and 11-24-14-(14)], and all tributaries from Classes WS-II Tr, WS-II, WS-II CA and C Tr to Classes C Tr HOW and C HOW.
- (o) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended April 1, 1999 as follows:
  - (1) Lookout Shoals Lake from Oxford Dam to Island Creek [Index No. 11-(67)] from Class WS-V to Class WS-IV CA, from Island Creek to Elk Shoal Creek [Index No. 11-(70.5)] from Class WS-IV to Class WS-IV CA and from Elk Shoal Creek to a point one half mile upstream of Lookout Shoals Dam [Index No. 11-(72)] from Class WS-IV&B to Class WS-IV&B CA; and
  - (2) The primary classifications of tributary streams that are within five miles and draining to the normal pool elevation of Lookout Shoals Lake (Protected Area) have been revised to Class WS-IV; and
  - (3) The primary classifications of tributary streams that are within one half mile and draining to the normal pool elevation of Lookout Shoals Lake (Critical Area) have been revised to Class WS-IV CA.

Authority G.S. 143-214.1; 143-215.1; 143-215.3(a)(1).

The Codifier of Rules has entered the following temporary rule(s) in the North Carolina Administrative Code. Pursuant to G.S. 150B-21.1(e), publication of a temporary rule in the North Carolina Register serves as a notice of rule-making proceedings unless this notice has been previously published by the agency.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Rule-making Agency: North Carolina Marine Fisheries Commission

Rule Citation: 15A NCAC 3M.0503, .0507; 3O.0303, .0306

Effective Date: June 7, 1998

Findings Reviewed and Approved by: Beecher R. Gray

**Authority for the rule-making:** G.S. 113-134; 113-153.1; 1993 (Regular Session 1994), c.576, s. 3; 113-182; 113-221; 143B-289.4

Reason for Proposed Action: 15A NCAC 3M .0503 - Two recent joint Atlantic States Marine Fisheries Commission/Mid-Atlantic Fishery Management Council actions require that North Carolina adopt these amendments to remain in compliance with the Summer Flounder, Scup and Black Sea Bass Fishery Management Plan. Amendments to G.S. 143B-289.22(e) included in the Fisheries Reform Act of 1997 includes the authority of the Marine Fisheries Commission to adopt temporary rules to maintain compliance.

15A NCAC 3M .0507 - Adoption of an interim rule by the National Marine Fisheries Service increasing the size limit for blue and white marlin requires the Marine Fisheries Commission to adopt a temporary rule to complement these increases in size in order to maintain compliance. Amendments to G.S. 143B-289.22(e) included in the Fisheries Reform Act of 1997 includes the authority of the Marine Fisheries Commission to adopt temporary rules to maintain compliance.

15A NCAC 30.0303, .0306 - The 1997 Fisheries Reform Act added the vessel endorsement to sell as a license to be placed under the moratorium. (From 1994-1997, the moratorium applied to vessel licenses, crab licenses, shellfish licenses and non-vessel endorsements to sell.) The appeals panel operating rules adopted by the Commission in 1994 needs to be amended to take this recent change into account.

Comment Procedures: Written comments may be submitted to the Marine Fisheries Commission, Attention Juanita Gaskill, PO Box 769, Morehead City, NC 28557. The Marine Fisheries Commission will hold public hearings on this rule in August and September (dates will be announced in the future). Comments will be accepted through September 30, 1998.

#### **CHAPTER 3 - MARINE FISHERIES**

#### **SUBCHAPTER 3M - FINFISH**

#### **SECTION .0500 - OTHER FINFISH**

[Note: Text shown in bold print has been previously approved by the Rules Review Commission and is pending the 1998 Legislative Session.]

#### .0503 FLOUNDER

- (a) It is unlawful to possess flounder flounder:
  - (1) Less less than 13 inches in length length. taken from internal waters;
  - (2) Less than 14 inches in length taken from the Atlantic Ocean with commercial fishing equipment or by hook-and-line or gig if claiming the exemption specified in Paragraph (f) of this Rule;
  - (3) Less than 15 14½ inches in length taken from the Atlantic Ocean by hook-and-line or gig.
- (b) From Between October 1 through and April 30, it shall be unlawful to use a trawl in the Atlantic Ocean within three miles of the ocean beach from the North Carolina/Virginia state line (35° 33′ N) to Cape Lookout (34° 36′ N) unless each trawl has a eod end (tailbag) mesh length of greater than 5½ inches or larger diamond mesh (stretched) or 6 inches or larger square mesh (stretched) applied throughout the body, extension(s) and the cod end (tailbag) of the net eod end for at least 75 continuous meshes forward of the terminus (end) of the net, or the terminal one third portion of a net, measured from the terminus of the cod end to the head rope for cod ends with less than 75 meshes, except as provided in Paragraphs (h) and (i) and (j) of this Rule.
  - (c) License to Land Flounder from the Atlantic Ocean:
    - It is unlawful to land more than 100 pounds per trip of flounder taken from the Atlantic Ocean unless the vessel has been issued a License to Land Flounder from the Atlantic Ocean.
    - (2) It is unlawful for a fish dealer to purchase or offload more than 100 pounds of flounder taken from the Atlantic Ocean by a vessel that has not first procured a valid North Carolina License to Land Flounder from the Atlantic Ocean.
    - (3) To qualify for a North Carolina License to Land Flounder from the Atlantic Ocean, a vessel shall have:
      - (A) been licensed under G.S. 113-152 or 113-153 during any two of the 1992-93, 1993-94, or 1994-95 license years, and
      - (B) landed in North Carolina at least 1,000 pounds of flounder each year from the Atlantic Ocean during any two of the 1992-93, 1993-94, or 1994-95 license years for which the vessel was licensed to land in North Carolina.
    - (4) At least 10 days prior to issuance, applicants for the license shall complete an application form provided

by the Division of Marine Fisheries and submit it to the North Carolina Division of Marine Fisheries, Post Office Box 769, 3441 Arendell Street, Morehead City, North Carolina 28557. The following information is required:

- (A) Valid documentation papers or current motor boat registration or copy thereof;
- (B) Proof of required licenses and flounder landings data for that vessel during the years the vessel was licensed.

Licenses shall be issued to qualifying vessels at no fee and only from the Morehead City Office of the Division of Marine Fisheries.

- (5) Licenses may only be transferred:
  - (A) with the transfer of the ownership of a vessel holding a License to Land Flounder from the Atlantic Ocean to the new owner of that <u>vessel</u>; <u>vessel</u>, or
  - (B) by the owner of a vessel to another vessel under the same ownership. The vessel owner is only eligible for the same number of Licenses to Land Flounder from the Atlantic Ocean for which his boats <u>qualify</u>; <del>qualify</del>;
  - (C) any transfer of license under this Paragraph must be facilitated through the Division of Marine Fisheries Morehead City Office only.
- (6) It is unlawful for any individual to land flounder from the Atlantic Ocean without having ready at hand for inspection a valid License to Land Flounder from the Atlantic Ocean, except as specified in Subparagraph (c)(1) of this Rule.
- (7) Suspension or Revocation:
  - (A) A License to Land Flounder from the Atlantic Ocean issued under this Rule shall be subject to suspension or revocation pursuant to the provisions of 15A NCAC 3P, except that this license shall be subject to revocation pursuant to the provisions of G.S. 113-166 when the licensee is convicted of a criminal offense within the jurisdiction of the Department under the provisions of Subchapter IV of G.S. 113, or of the rules of the Marine Fisheries Commission adopted under the authority of that Subchapter.
  - (B) The Division may commence proceedings under 15A NCAC 3P, for suspension or revocation of a License to Land Flounder from the Atlantic Ocean if it finds:
    - (i) the license was obtained by providing any false information or willfully omitting required information when the information is material to the securing of the license; or
    - (ii) the license was falsified, fraudulently altered, or counterfeited; or
    - (iii) the licensee practices any fraud or deception designed to evade the

provisions of this Rule or reasonable administrative directives made under the authority of this Rule or G.S. 113-182(b)(3).

- (d) It is unlawful to transfer flounder taken from the Atlantic Ocean from one vessel to another.
- (e) It is unlawful to possess flounder less than 14 inches total length taken by hook-and-line or gig from the Atlantic Ocean.

(e)(f) It is unlawful to possess more than eight 10 flounder per person per day taken by hook-and-line or gig from the Atlantic Ocean.

(f)(g) Persons fishing from a vessel with a valid vessel endorsement to sell or persons fishing but not from a vessel who hold a valid a nonvessel endorsement to sell are exempt from the possession limit in Paragraphs (a)(3) and (e) and (f) of this Rule.

(g)(h) Tailbag liners of any mesh size, the multiple use of two or more cod ends, or other netting material that in any way could restrict the legal size mesh required by this Rule, shall not be used or possessed on the deck of a vessel in the Atlantic Ocean from between October 1 through and April 30 from the North Carolina/Virginia state line (36° 33′ N) to Cape Lookout (34° 36′ N).

(h)(i) Trawls with a cod end mesh size smaller than described in Paragraph (b) of this Rule may be used or possessed on the deck of a vessel provided not more than 100 pounds of flounder per trip from May 1 through October 31 or more than 200 pounds from November 1 through April 30 is possessed aboard or landed from that vessel.

(i)(j) Flynets are exempt from the flounder trawl mesh requirements if they meet the following definition:

- (1) The net has large mesh in the wings that measure 8 inches to 64 inches;
- (2) The first body section (belly) of the net has 35 or more meshes that are at least 8 inches; and
- (3) The mesh decreases in size throughout the body of the net to as small as 2 inches or smaller towards the terminus of the net.

#### (i)(k) Commercial Season.

- (1) The North Carolina season for landing ocean-caught flounder shall open January 1 each year. If 70 percent of the quota allocated to North Carolina in accordance with the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries Commission Mid-Atlantic Fisheries Management Council-Atlantic States Marine Fisheries Commission Fishery Management Plan for Summer Flounder is projected to be taken, the Fisheries Director shall, by proclamation, close North Carolina ports to landing of flounder taken from the ocean.
- (2) The season for landing flounder taken in the Atlantic Ocean shall reopen November 1 if any of the quota allocated to North Carolina in accordance with the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries

Commission Mid-Atlantic Fisheries Management Council-Atlantic States Marine Fisheries Commission Fishery Management Plan for Summer Flounder remains. If after reopening, 100 percent of the quota allocated to North Carolina in accordance with the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries Commission Mid-Atlantic Fisheries Management Council-Atlantic States Marine Fisheries Commission Fishery Management Plan for Summer Flounder is projected to be taken prior to the end of the calendar year, the Fisheries Director shall, by proclamation, close North Carolina ports to landing of flounder taken from the ocean. During the closed season, vessels may land up to 100 pounds of flounder per trip taken from the Atlantic Ocean.

(3) <u>During any closed season prior to November 1, vessels may land up to 100 pounds of flounder per trip taken from the Atlantic Ocean. The season for landing flounder taken in the Atlantic Ocean shall reopen November 1.</u>

(k)(1) The Fisheries Director may, by proclamation, establish trip limits for the taking of flounder from the Atlantic Ocean to assure that the individual state quota allocated to North Carolina in the joint Mid-Atlantic Fishery Management Council/Atlantic States Marine Fisheries Commission Mid-Atlantic Fisheries Management Council-Atlantic States Marine Fisheries Commission. Fishery Management Plan for Summer Flounder is not exceeded.

History Note: Filed as a Temporary Amendment Eff. November 1, 1995 for a period of 180 days or until the permanent rule becomes effective, whichever is sooner;

Authority G.S. 113-134; 113-182; 113-221; 143B-289.4; Fff. January 1, 1001;

Eff. January 1, 1991;

Amended Eff. March 1, 1996; February 1, 1992;

Temporary Amendment Eff. December 23, 1996;

Amended Eff. April 1, 1997;

Temporary Amendment Eff. June 7, 1998, August 18, 1997.

#### .0507 RECREATIONAL FISHING RESTRICTIONS

- (a) Blue marlin:
- (1) It is unlawful to possess blue marlin less than 96 86 inches in length from the lower jaw to the fork in the tail.
- (2) It is unlawful to possess more than one blue marlin per person per day.
- (b) White marlin:
- (1) It is unlawful to possess white marlin less than 66 62 inches in length from the lower jaw to the fork in the tail.
- (2) It is unlawful to possess more than one white marlin per person per day.
- (c) Sailfish:
- (1) It is unlawful to possess sailfish less than 57 inches in

- length from the lower jaw to the fork in the tail.
- (2) It is unlawful to possess more than one sailfish per person per day.
- (d) Cobia:
- (1) It is unlawful to possess cobia less than 33 inches fork length taken by hook-and-line.
- (2) It is unlawful to possess more than two cobia per person per day taken by hook-and-line.
- (e) Dolphin:
- (1) It is unlawful to possess more than 10 dolphin per person per day.
- (2) Exemptions:
  - (A) Charter vessels with a valid National Marine Fisheries Service Charter Vessel Coastal Migratory Pelagic Permit and licensed by the U.S. Coast Guard to carry six or less passengers for hire, may possess a maximum of 60 dolphin per day regardless of the number of people on board.
  - (B) Vessels with a valid commercial National Marine Fisheries Service Federal Coastal Migratory Pelagic Permit including charterboats when fishing with three or less persons (including captain and mate) on board are exempt from the creel limits set out in Subparagraph (e)(1) of this Rule.
- (f) It is unlawful to possess yellowfin tuna less than 22 inches fork length taken by hook-and-line.

History Note: Authority G.S. 113-134; 113-182; 113-221; 143B-289.4;

Eff. January 1, 1991;

Amended Eff. March 1, 1996; March 1, 1994; February 1, 1992; September 1, 1991;

Temporary Amendment Eff. June 7, 1998; September 9, 1996.

#### SUBCHAPTER 30 - LICENSES, LEASES, AND FRANCHISES

#### **SECTION .0300 - LICENSE APPEAL PROCEDURES**

#### .0303 APPEAL PETITION AND OTHER EVIDENCE

- (a) Under the Statutes authorizing issuance of special licenses in cases of emergencies or hardships, the most important criterion is the demonstration of emergency or hardship. The Appeals Panel must and shall deny petitions which fail to demonstrate emergency or hardship consistent with the provisions of 15A NCAC 3O .0305 and .0306.
  - (b) The contents of an appeal petition are as follows:
    - (1) Petitions that do not contain the following items shall be returned to the petitioner without being processed:
      - (A) A completed Appeals License Application;
      - (B) A statement of the license(s) being requested;
      - (C) Where a vessel license is requested, a copy of the registration/documentation information which identifies the vessel;

- (D) The petitioner's notarized signature; and
- (E) Where petitioners are not residents of North Carolina, certification from the fisheries agency of their resident state or jurisdiction showing, for the time period beginning July 1, 1991 to the present, all licenses held and any violations or convictions entered against them, or the lack thereof.
- (2) In addition, a petition shall include:
  - (A) A statement of emergency or hardship consistent with the standards in this Section;
  - (B) A list of license suspensions and revocations, and convictions of fisheries offenses in any state or jurisdiction during the past three years;
  - (C) The reason(s) for failure to obtain the license(s) before July 1, <del>1994; 1994, and in the case of vessel endorsements to sell, between 1994 and August 15, 1997;</del>
  - (D) A list of commercial fishing license(s), from any state or jurisdiction, held by the petitioner since July 1, 1991, with identifying license number and issuing agency; and
  - (E) Request for oral argument, if desired.
- (3) A petition may be accompanied by:
  - (A) Evidence demonstrating the extent to which the petitioner relies on commercial fishing as a livelihood, such as tax records, sales records, trip tickets, and similar information;
  - (B) Sworn affidavits by others verifying or supporting the information in the petition;
  - (C) Exhibits and any other evidence to be offered in support of the appeal; and
  - (D) A statement waiving the opportunity to reply to the Division of Marine Fisheries recommendation.
- (c) Requests for oral arguments may only be made in the appeal petition.
- (d) Petitions, evidence, and supporting information may only be filed with the Division of Marine Fisheries at its offices in Morehead City or by mailing to Post Office Box 769, Morehead City, North Carolina 28557-0769. The petition shall not be processed until the petitioner provides an original and four copies of the petition and supporting information.
- (e) The Division of Marine Fisheries shall submit its recommendation and any other relevant information on each appeal to the Appeals Panel within 10 working days of the receipt of a complete petition. On the same day the recommendation is sent to the Appeals Panel, the Division of Marine Fisheries shall serve a copy of its recommendation on the petitioner by depositing it in first class mail, hand delivery, or facsimile delivery.
- (f) Any reply to the Division of Marine Fisheries recommendation must be filed with the Division of Marine Fisheries within 10 days after the recommendation is served. The petition shall not be processed until the petitioner provides an original and four copies of the reply and supporting information.

History Note: Filed as a Temporary Adoption Eff. August 9, 1994, for a period of 180 days or until the permanent rule becomes effective, whichever is sooner;

Authority G.S. 113-134; 113-153.1; 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.4;

Eff. February 1, 1995;

Temporary Amendment Eff. June 7, 1998.

#### .0306 HARDSHIP LICENSES

The following criteria will be applied in approving or denying petitions based on hardship:

- (1) A petition will be denied unless it demonstrates at least one of the following circumstances:
  - (a) For each license applied for, the petitioner has held that license or an equivalent commercial fishing license from North Carolina or from another state or jurisdiction in two out of the past three years; years prior to the moratorium applicable to that license; and petitioner can demonstrate extenuating or extraordinary circumstances which prevented him or her from obtaining the North Carolina commercial fishing license for 1993–1994; 1993-1994 or, in the case of the years from 1994 through 1997;
  - (b) It can be demonstrated that petitioner did not obtain a 1993-1994 license because petitioner was on active military duty outside the state and that for two out of the three years previous to going on active military duty, petitioner held the license being applied for:
  - (c) The petitioner has become 16 years of age since June 30, 1994; has a history of commercial fishing with their parent or guardian; and holds a Shellfish or Crab License;
  - (d) A member of the petitioner's immediate family, who holds a current license, has died, is incapacitated, or is retiring from the commercial fishery; the petitioner needs the license to continue in that fishery operation; and the family member will surrender the license upon approval of the petition; or
  - (e) The petitioner is applying for a commercial vessel license; does not have and has not applied for a vessel endorsement to sell fish; can demonstrate that the license is necessary to provide nutritional subsistence for petitioner's household which petitioner is otherwise unable to afford; and petitioner agrees to restrict possession of fish to recreational size and creel limits.
  - (f) The petitioner can demonstrate facts similar in hardship to the preceding situations.
- (2) Hardship and emergency licenses are issued solely to the petitioner based upon individual demonstration of need. A petition may be denied if the Appeals Panel

- finds that the petitioner is unable to demonstrate a substantial adverse effect on his or her livelihood in the event the license is denied.
- (3) The petition shall be denied if, the petitioner has a history of fishing law violations which would cause petitioner to be ineligible for a license in North Carolina or has a history of substantial noncompliance with federal or state laws, regulations, or rules for the protection of marine and estuarine resources in any state or jurisdiction.
- (4) The holder of a current and valid hardship license on June 30 of the license year has the same eligibility to renew the license as persons not subject to the moratorium.

History Note: Filed as a Temporary Adoption Eff. August 9, 1994, for a period of 180 days or until the permanent rule becomes effective, whichever is sooner;

Authority G.S. 113-134; 113-153.1; 1993 (Regular Session 1994), c. 576, s. 3; 143B-289.4;

Eff. February 1, 1995;

Temporary Amendment Eff. June 7, 1998; March 1, 1998.

#### TITLE 21 - OCCUPATIONAL LICENSING BOARDS

#### CHAPTER 10 - BOARD OF CHIROPRACTIC EXAMINERS

Rule-making Agency: NC Board of Chiropractic Examiners

Rule Citation: 21 NCAC 10.0203

Effective Date: May 1, 1998

Findings Reviewed and Approved by: Beecher R. Gray

Authority for the rule-making: G.S. 90-143

**Reason for Proposed Action:** To give effect to a recent statutory change that allows applicants for chiropractic licensure who pass a nationally-administered examination to be licensed without having to take the State examination.

Comment Procedures: Written comments may be submitted to the Secretary of the Board of Examiners for 30 days following publication in the Register. The Secretary's address is PO Box 312, Concord, NC 28025.

#### **SECTION .0200 - PRACTICE OF CHIROPRACTIC**

#### .0203 EXAMINATIONS

(a) Eligibility. Only those applicants who meet the requirements of G.S. 90-143, or in the case of reciprocity applicants, G.S. 90-143.1, and who have submitted a timely and complete written application pursuant to 21 NCAC 10 .0202

shall be allowed to take the examination.

- (b) Date of Examination. The examination shall be given twice annually. The spring examination shall commence on the first Saturday after the first Tuesday in June. The fall examination shall commence on the first Saturday after the first Tuesday in November. Applicants eligible for examination will be notified of the exact date, time and location of the examination as soon as possible after their written applications have been approved by the Board.
- (c) National Boards. In order to take the North Carolina portion of the examination, an applicant must first have taken and passed-each of the following examinations given by the National Board of Chiropractic Examiners: Part I, Part II, Part III (WCCE) and the elective examination (termed "Physiotherapy" by the National Board). It shall be the applicant's responsibility to arrange for his test results from the National Board examinations to be reported to the North Carolina Board in advance of the date of the North Carolina portion of the examination. National Boards. In order to take the North Carolina portion of the examination or otherwise demonstrate clinical proficiency, an applicant must first achieve a score of 375 or higher on each of the following examinations given by the National Board of Chiropractic Examiners: Part I, Part II, Part III (WCCE) and the elective examination (termed "Physiotherapy" by the National Board). An applicant must then demonstrate clinical proficiency, either by passing the North Carolina portion of the examination as described in Paragraphs (d) and (e) of this Ruleor by achieving a score of 475 or higher on Part IV of the National Board examination.
  - (1) An applicant who otherwise meets all requirements for licensure and who submits proof of a Part IV score of 475 or higher shall be issued a license on the next examination date specified in Paragraph (b) of this Rule.
  - (2) It shall be the applicant's responsibility to arrange for his test results from any National Board examination to be reported to the North Carolina Board in a timely manner. Failure to comply with this provision shall be a basis for delaying the issuance of a license.
- (d) Nature of Examination. The North Carolina portion of the examination is intended to test an applicant's proficiency in the practical aspects of chiropractic and to augment the information submitted in his written application. It is administered orally and may include questions on the following subjects: x-ray; general office practice; and chiropractic analysis, procedure, examination, diagnosis and treatment. No part of the North Carolina portion of the examination is open-book, and no reference material of any kind shall be allowed in the examination area.
- (e) Passing Grade. To pass the examination, an applicant must answer correctly a minimum of 65 percent of the questions on each subject and must also answer correctly an average of 75 percent of all the questions on the examination. An applicant who fails because of a deficiency in only one subject may be re-examined in that subject the next time the examination is given and shall not be required to pay another application fee.

An applicant who fails the examination for any other reason must re-take the entire examination and pay another application fee.

(f) Review of Examination Results. An applicant who has been denied licensure because of failing examination grades may request a review of his answers provided his request is made in writing and received by the secretary not later than 20 days after issuance of the examination results. The review shall be limited to a re-tabulation of the applicant's test scores to make certain no clerical errors were made in grading. Applicants shall not discuss their examinations with Board members, graders or test administrators.

History Note: Legislative Objection Lodged Eff. January 31. 1983:

Curative Amended Eff. February 18, 1983:

Authority G.S. 90-142; 90-143;

Eff. February 1, 1976;

Readopted Eff. January 27, 1978;

Amended Eff. August 1, 1995; December 1, 1988; January 1,

1983; October 17, 1980;

Temporary Amendment Eff. May 1, 1998.

This Section includes the Register Notice citation to Rules approved by the Rules Review Commission (RRC) at its meeting of March 20, 1998 pursuant to G.S. 150B-21.17(a)(1) and reported to the Joint Legislative Administrative Procedure Oversight Committee pursuant to G.S. 150B-21.16. The full text of rules are published below when the rules have been approved by RRC in a form different from that originally noticed in the Register or when no notice was required to be published in the Register. The rules published in full text are identified by an \* in the listing of approved rules. Statutory Reference: G.S. 150B-21.17.

These rules unless otherwise noted, will become effective on the 31st legislative day of the 1998 Short Session of the General Assembly or a later date if specified by the agency unless a bill is introduced before the 31st legislative day that specifically disapproves the rule. If a bill to disapprove a rule is not ratified, the rule will become effective either on the day the bill receives an unfavorable final action or the day the General Assembly adjourns. Statutory reference: G.S. 150B-21.3.

#### APPROVED RULE CITATION

#### REGISTER CITATION TO THE NOTICE OF TEXT

10	NCAC 03U	.0102*	12:13 NCR 1
10	NCAC 03U	.02010202	12:13 NCR 1
10	NCAC 03U	.0204	12:13 NCR 1
10	NCAC 03U	.0205*	12:13 NCR 1
10	NCAC 03U	.02060207	12:13 NCR 1
10	NCAC 03U	.0301	12:13 NCR 1
10	NCAC 03U	.03030304	12:13 NCR 1
10	NCAC 03U	.0401	12:13 NCR 1
10	NCAC 03U	.0403	12:13 NCR 1
10	NCAC 03U	.0505*	12:13 NCR 1
10	NCAC 03U	.05060508	12:13 NCR 1
10	NCAC 03U	.05090511*	12:13 NCR 1
10	NCAC 03U	.0602	12:13 NCR 1
10	NCAC 03U	.06040605*	12:13 NCR 1
10	NCAC 03U	.07010703*	12:13 NCR 1
10	NCAC 03U	.0705*	12:13 NCR 1
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10	NCAC 03U	.0709	12:13 NCR 1
10	NCAC 03U	.0711	12:13 NCR 1
10	NCAC 03U	.07120714*	12:13 NCR 1
10	NCAC 03U	.0802	12:13 NCR 1
10	NCAC 03U	.08030804*	12:13 NCR 1
10	NCAC 03U	.09010902*	12:13 NCR 1
10	NCAC 03U	.10011003*	12:13 NCR 1
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11	NCAC 08	.1308*	12:14 NCR 1253
11	NCAC 10	.0105*	12:14 NCR 1255
11	NCAC 11B	.06010617	12:14 NCR 1255
11	NCAC 11C	.01080109	12:14 NCR 1255
11	NCAC 19	.00020003*	12:14 NCR 1262
11	NCAC 19	.0004	12:14 NCR 1262
11	NCAC 19	.0006	12:14 NCR 1262
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15A NCAC   19C   0.808 - 0.809*   12:14 NCR 1280   16 NCAC   06C   0.601 - Eff. April 1, 1998   12:12 NCR 1051   17 NCAC   03C   0.0008   12:14 NCR 1281   17 NCAC   04B   0.615   12:14 NCR 1282   17 NCAC   04B   0.615   12:14 NCR 1283   17 NCAC   04D   0.505   12:14 NCR 1283   17 NCAC   04D   0.505   12:14 NCR 1283   17 NCAC   04D   0.5068   12:14 NCR 1283   17 NCAC   04D   0.901   12:14 NCR 1283   17 NCAC   04D   0.901   12:14 NCR 1283   17 NCAC   04D   0.901   12:14 NCR 1283   17 NCAC   05E   0.1015   12:14 NCR 1285   17 NCAC   05E   0.105   12:14 NCR 1285   17 NCAC   05E   0.105   12:14 NCR 1285   17 NCAC   05E   0.105   12:14 NCR 1285   17 NCAC   06B   0.104   12:14 NCR 1285   17 NCAC   06B   0.104   12:14 NCR 1285   17 NCAC   06B   0.104   12:14 NCR 1288   17 NCAC   06B   0.112   12:14 NCR 1288   17 NCAC   06B   0.609   12:14 NCR 1288   17 NCAC   06B   0.609   12:14 NCR 1288   17 NCAC   06B   3.503   12:14 NCR 1288   17 NCAC   06B   3.503   12:14 NCR 1288   17 NCAC   06B   3.503   12:14 NCR 1288   17 NCAC   06B   3.714   12:14 NCR 1288   17 NCAC   06B   3.714   12:14 NCR 1288   17 NCAC   06B   3.714   12:14 NCR 1288   17 NCAC   06B   3.725   12:14 NCR 1288   17 NCAC   06B   3.725   12:14 NCR 1288   17 NCAC   06B   3.704   12:14 NCR 1288   17 NCAC   06B   3.704   12:14 NCR 1288   17 NCAC   06B   3.704   12:14 NCR 1288   17 NCAC   06B   3.904   12:14 NCR 1288   17 NCAC   06B   3.904   12:14 NCR 1288   17 NCAC   06B   3.904   12:14 NCR 1286   17 NCAC   07B   0.104   12:14 NCR 1296   1				
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17	17	NCAC 04B	.0615	12:14 NCR 1283
17	17	NCAC 04D	.0303	12:14 NCR 1283
17	17	NCAC 04D	.0505	12:14 NCR 1283
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17				
17         NCAC         05E         .0105         12:14 NCR 1285           17         NCAC         06B         .0104         12:14 NCR 1288           17         NCAC         06B         .0112         12:14 NCR 1288           17         NCAC         06B         .0112         12:14 NCR 1288           17         NCAC         06B         .01170118         12:14 NCR 1288           17         NCAC         06B         .3503         12:14 NCR 1288           17         NCAC         06B         .3526         12:14 NCR 1288           17         NCAC         06B         .3725         12:14 NCR 1288           17         NCAC         06B         .3725         12:14 NCR 1288           17         NCAC         06B         .3904         12:14 NCR 1288           17         NCAC         06C         .0201         12:14 NCR 1288           17         NCAC         06C         .0203         12:14 NCR 1288           17         NCAC         06C         .0203         12:14 NCR 1288           17         NCAC         07B         .0104         12:14 NCR 1286           17         NCAC         07B         .0104         12:14 NCR 1				
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17         NCAC         06B         .0609         12:14 NCR 1288           17         NCAC         06B         .3503         12:14 NCR 1288           17         NCAC         06B         .3526         12:14 NCR 1288           17         NCAC         06B         .3714         12:14 NCR 1288           17         NCAC         06B         .3725         12:14 NCR 1288           17         NCAC         06B         .3904         12:14 NCR 1288           17         NCAC         06C         .0201         12:14 NCR 1288           17         NCAC         06C         .0203         12:14 NCR 1288           17         NCAC         07B         .0104         12:14 NCR 1288           17         NCAC         07B         .0104         12:14 NCR 1296           17         NCAC         07B         .0901         12:14 NCR 1296           17         NCAC         07B         .1901         12:14 NCR 1296           17         NCAC         07B         .1602         12:14 NCR 1296           17         NCAC         07B         .1602         12:14 NCR 1296           17         NCAC         07B         .18011802         12:14 NCR 12	17	NCAC 06B	.01170118	12:14 NCR 1288
17         NCAC         06B         .3503         12:14 NCR 1288           17         NCAC         06B         .3526         12:14 NCR 1288           17         NCAC         06B         .3714         12:14 NCR 1288           17         NCAC         06B         .3904         12:14 NCR 1288           17         NCAC         06C         .0201         12:14 NCR 1288           17         NCAC         06C         .0203         12:14 NCR 1288           17         NCAC         06C         .0203         12:14 NCR 1288           17         NCAC         07B         .0104         12:14 NCR 1286           17         NCAC         07B         .0207         12:14 NCR 1296           17         NCAC         07B         .0207         12:14 NCR 1296           17         NCAC         07B         .1301         12:14 NCR 1296           17         NCAC         07B         .1404         12:14 NCR 1296           17         NCAC         07B         .1404         12:14 NCR 1296           17         NCAC         07B         .18011703         12:14 NCR 1296           17         NCAC         07B         .18011802         12:				12:14 NCR 1288
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17         NCAC         07B         .0207         12:14 NCR 1296           17         NCAC         07B         .0901         12:14 NCR 1296           17         NCAC         07B         .1301         12:14 NCR 1296           17         NCAC         07B         .1404         12:14 NCR 1296           17         NCAC         07B         .1602         12:14 NCR 1296           17         NCAC         07B         .17011703         12:14 NCR 1296           17         NCAC         07B         .18011802         12:14 NCR 1296           17         NCAC         07B         .2201         12:14 NCR 1296           17         NCAC         07B         .2212         12:14 NCR 1296           17         NCAC         07B         .3104         12:14 NCR 1296           17         NCAC         07B         .33013306         12:14 NCR 1296           17         NCAC         07B         .3910         12:14 NCR 1296           17         NCAC         07B         .4301         12:14 NCR 1296           17         NCAC         07B         .4301         12:14 NCR 1296           17         NCAC         07B         .4301	17	NCAC 07B	.0104	12:14 NCR 1296
17         NCAC         07B         .0901         12:14 NCR 1296           17         NCAC         07B         .1301         12:14 NCR 1296           17         NCAC         07B         .1404         12:14 NCR 1296           17         NCAC         07B         .1602         12:14 NCR 1296           17         NCAC         07B         .1703         12:14 NCR 1296           17         NCAC         07B         .18011802         12:14 NCR 1296           17         NCAC         07B         .2201         12:14 NCR 1296           17         NCAC         07B         .2212         12:14 NCR 1296           17         NCAC         07B         .3104         12:14 NCR 1296           17         NCAC         07B         .33013306         12:14 NCR 1296           17         NCAC         07B         .3901         12:14 NCR 1296           17         NCAC         07B         .3910         12:14 NCR 1296           17         NCAC         07B         .3910         12:14 NCR 1296           17         NCAC         07B         .4301         12:14 NCR 1296           17         NCAC         07B         .4301         12:				
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17       NCAC       07B       .1404       12:14 NCR 1296         17       NCAC       07B       .1602       12:14 NCR 1296         17       NCAC       07B       .17011703       12:14 NCR 1296         17       NCAC       07B       .18011802       12:14 NCR 1296         17       NCAC       07B       .2201       12:14 NCR 1296         17       NCAC       07B       .3104       12:14 NCR 1296         17       NCAC       07B       .33013306       12:14 NCR 1296         17       NCAC       07B       .33013306       12:14 NCR 1296         17       NCAC       07B       .3901       12:14 NCR 1296         17       NCAC       07B       .3910       12:14 NCR 1296         17       NCAC       09I       .0304       12:14 NCR 1310         17       NCAC       09I       .0203				
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17       NCAC       07B       .2212       12:14 NCR 1296         17       NCAC       07B       .3104       12:14 NCR 1296         17       NCAC       07B       .33013306       12:14 NCR 1296         17       NCAC       07B       .3901       12:14 NCR 1296         17       NCAC       07B       .4301       12:14 NCR 1296         17       NCAC       07B       .4301       12:14 NCR 1296         17       NCAC       09I       .0102       12:14 NCR 1310         17       NCAC       09I       .0304       12:14 NCR 1310         17       NCAC       09J       .0203       12:14 NCR 1310         17       NCAC       09K       .0205       12:14 NCR 1310         17       NCAC       09K       .0511       12:14 NCR 1310         17       NCAC       09K       .0511       12:14 NCR 1310         18       NCAC       06       .1205       12:14 NCR 1313         18       NCAC       06       .1410       12:14 NCR 1313         18       NCAC       06       .1411*       12:14 NCR 1313         18       NCAC       06       .1412       12:14 NCR 1313 <td>17</td> <td>NCAC 07B</td> <td>.18011802</td> <td>12:14 NCR 1296</td>	17	NCAC 07B	.18011802	12:14 NCR 1296
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18	NCAC 06	.1804*	12:14 NCR 1313
18	NCAC 06	.1806	12:14 NCR 1313
18	NCAC 06	.1809	12:14 NCR 1313
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19A	NCAC 02E	.02210222*	12:12 NCR 0990
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21	NCAC 14B	.0605*	12:11 NCR 0925
21	NCAC 14G	.0003	12:11 NCR 0925
21	NCAC 14G	.0007*	12:11 NCR 0925
21	NCAC 14G	.0013*	12:11 NCR 0925
21	NCAC 14H	.0013	12:11 NCR 0925
21	NCAC 14H	.00180019	12:11 NCR 0925
21	NCAC 1411 NCAC 141	.0104	12:11 NCR 0925
21	NCAC 141	.0105*	12:11 NCR 0925
21	NCAC 141	.0107	12:11 NCR 0925
21	NCAC 141	.0109*	12:11 NCR 0925
21	NCAC 141	.0401	12:11 NCR 0925
21	NCAC 14J	.0102	12:11 NCR 0925
21	NCAC 14J	.0103*	12:11 NCR 0925
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21	NCAC 14K	.0001	12:11 NCR 0925
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		.0108	12:11 NCR 0925
21	NCAC 14L	.0214*	12:11 NCR 0925
21	NCAC 14N	.01040105	12:11 NCR 0925
21	NCAC 14N	.0108	12:11 NCR 0925
21	NCAC 161	.0002	not required, G.S. 150B-21.5
21	NCAC 16V	.01010102*	11:20 NCR 1557
21	NCAC 34A	.0126	12:14 NCR 1334
21	NCAC 34A	.0201	12:14 NCR 1334
21	NCAC 34B	.01020103	12:14 NCR 1334
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21	NCAC 34B	.0403	12:14 NCR 1334
21	NCAC 34D	.0101	12:14 NCR 1334
21	NCAC 34D	.0303	12:14 NCR 1334
21	NCAC 36	.0601	12:14 NCR 1334
21	NCAC 36	.06020603*	12:14 NCR 1334
21	NCAC 36	.0604	12:14 NCR 1334
21	NCAC 36	.0606	12:14 NCR 1334
21	NCAC 40	.0104	12:14 NCR 1334
21	NCAC 40	.0108	12:14 NCR 1338
21	NCAC 40	.0202	12:14 NCR 1338
21	NCAC 40	.0212	12:14 NCR 1338
21	NCAC 40	.0214	12:14 NCR 1338
21	NCAC 40	.0319	12:14 NCR 1338
21	NCAC 40	.0324	12:14 NCR 1338
21	NCAC 46	.1601	12:09 NCR 0798
21	NCAC 46	.1810*	12:09 NCR 0800
21	NCAC 48B	.0102	12:13 NCR 1151
21	NCAC 48C	.01010102	12:13 NCR 1152

21	NCAC 48C	.0103*	12:13 NCR 1153
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21	NCAC 48C	.0402*	12:13 NCR 1153
21	NCAC 48D	.0103	12:13 NCR 1153
21	NCAC 48D	.0106	12:13 NCR 1154
21	NCAC 48D	.0109	12:13 NCR 1154
21	NCAC 48D	.0110*	12:13 NCR 1154
21	NCAC 48E	.0101*	12:13 NCR 1154
21	NCAC 48E	.0104	12:13 NCR 1154
21	NCAC 48E	.0110*	12:13 NCR 1155
21	NCAC 48G	.0202	12:13 NCR 1156
21	NCAC 48G	.04020403	12:13 NCR 1156
21	NCAC 48G	.0504	12:13 NCR 1156
21	NCAC 48H	.0701*	12:13 NCR 1158
21	NCAC 48H	.0704	12:13 NCR 1158
21	NCAC 58A	.0101*	12:13 NCR 1160
21	NCAC 58A	.01030104*	12:13 NCR 1160
21	NCAC 58A	.0105	12:13 NCR 1162
21	NCAC 58A	.0107*	12:13 NCR 1163
21	NCAC 58A	.0108	12:13 NCR 1164
21	NCAC 58A	.0109*	12:13 NCR 1164
21	NCAC 58A	.0110	12:13 NCR 1164
21	NCAC 58A	.0114*	12:13 NCR 1164
21	NCAC 58A	.0302	12:13 NCR 1168
21	NCAC 58A	.0502*	12:13 NCR 1169
21	NCAC 58A	.05050506	12:13 NCR 1170
21	NCAC 58A	.0601	12:13 NCR 1171
21	NCAC 58A	.06130614	12:13 NCR 1171
21	NCAC 58A	.1702	12:13 NCR 1172
21	NCAC 58B	.0402	12:13 NCR 1172
21	NCAC 58E	.0407	12:13 NCR 1172
26	NCAC 03	.0122	12:13 NCR 1172
20	HONC UJ	.0122	12.13 NOR 11/2

#### TITLE 10 - DEPARTMENT OF HEALTH AND HUMAN SERVICES

#### **CHAPTER 3 - FACILITY SERVICES**

#### SUBCHAPTER 3U - CHILD DAY CARE STANDARDS

#### SECTION .0100 - SAFETY REQUIREMENTS FOR DAY CARE CENTERS

#### .0102 DEFINITIONS

The terms and phrases used in this Subchapter shall be defined as follows except when the content of the rule clearly requires a different meaning. The definitions prescribed in G.S. 110-86 also apply to these Rules.

- "Agency" means Division of Child Development, Department of Health and Human Services, located at 319 Chapanoke Road, Suite 120, Raleigh, North Carolina 27603.
- (2) "Appellant" means the person or persons who request a contested case hearing.
- (3) "A" license means the license issued to child care operators who meet the minimum requirements for the

- legal operation of a child care facility pursuant to G.S. 110-91 and applicable rules in this Subchapter.
- (4) "AA" license means the license issued to child care operators who meet the higher voluntary standards promulgated by the Child Care Commission as codified in Section .1600 of this Subchapter.
- (5) "Child Care Program" means a single center or home, or a group of centers or homes or both, which are operated by one owner or supervised by a common entity.
- (6) "Child care administrator" means the person responsible for ensuring that all applicable child care requirements are met, in addition to the duties in G.S. 110-86.
- (7) "Child care provider" as defined by G.S. 110-90.2 and used in Section .2700 of this Subchapter, includes but is not limited to the following employees: facility directors, administrative staff, teachers, teachers' aides, cooks, maintenance personnel and drivers.
- (8) "Child Development Associate Credential" means the national early childhood credential administered by the Council for Early Childhood Professional Recognition.

- (9) "Department" means the Department of Health and Human Services.
- (10) "Developmentally appropriate" means suitable to the chronological age range and developmental characteristics of a specific group of children.
- (11) "Division" means the Division of Child Development within the Department of Health and Human Services.
- (12) "Drop-in care" means a child care arrangement where children attend on an intermittent, unscheduled basis.
- (13) "Group" means the children assigned to a specific caregiver, or caregivers, to meet the staff/child ratios set forth in G.S. 110-91(7) and this Subchapter, using space which is identifiable for each group.
- (14) "Licensee" means the person or entity that is granted permission by the State of North Carolina to operate a child care facility.
- (15) "North Carolina Early Childhood Credential" means the state early childhood credential that is based on completion of coursework and standards found in the North Carolina Early Childhood Instructor Manual published jointly under the authority of the Department and the Department of Community Colleges. These standards are incorporated by reference and include subsequent amendments. A copy of the North Carolina Early Childhood Credential requirements is on file at the Division at the address given in Item (1) of this Rule and will be available for public inspection during regular business hours.
- (16) "Operator" means the person or entity held legally responsible for the child care business. The terms "operator", "sponsor" or "licensee" may be used interchangeably.
- (17) "Part-time care" means a child care arrangement where children attend on a regular schedule but less than a full-time basis.
- (18) "Passageway" means a hall or corridor.
- (19) "Preschooler" or "preschool-aged child" means any child who does not fit the definition of school-aged child in this Rule.
- (20)"School-aged child" means any child who is at least five years old on or before October 16 of the current school year and who is attending, or has attended, a public or private grade school or kindergarten; or any child who is not at least five years old on or before October 16 of that school year, but has been attending school during that school year in another state in accordance with the laws or rules of that state before moving to and becoming a resident of North Carolina; or any child who is at least five years old on or before April 16 of the current school year, is determined by the principal of the school to be gifted and mature enough to justify admission to the school, and is enrolled no later than the end of the first month of the school year.
- (21) "Seasonal Program" means a recreational program as set forth in G.S. 110-86(2)(b).
- (22) "Section" means Division of Child Development.

- (23) "Substitute" means any person who temporarily assumes the duties of a regular staff person for a time period not to exceed two consecutive months.
- (24) "Temporary care" means any child care arrangement which provides either drop-in care or care on a seasonal or other part-time basis and is required to be regulated pursuant to G.S. 110-86.
- (25) "Volunteer" means a person who works in a child care facility and is not monetarily compensated by the facility.

History Note: Authority G.S. 110-88; 143B-168.3; Eff. January 1, 1986;

Amended Eff. April 1, 1992; October 1, 1991; October 1, 1990; November 1, 1989:

Temporary Amendment Eff. January!, 1996; Amended Eff. July 1, 1998; April 1, 1997.

#### SECTION .0200 - GENERAL PROVISIONS RELATED TO LICENSING CHILD CARE CENTERS

#### .0205 PARENTAL ACCESS

The parent, guardian or full-time custodian of a child enrolled in any child care center subject to regulation under Article 7 of Chapter 110 of the North Carolina General Statutes shall be allowed unlimited access to the center during its operating hours for the purposes of contacting the child or evaluating the center and the care provided by the center. The parent, guardian or custodian shall notify the on-site administrator of his or her presence immediately upon entering the premises.

History Note: Authority G.S. 110-85; 110-91; 143B-168.3; Eff. July 1, 1988;

Amended Eff. July 1, 1998; November 1, 1989.

#### SECTION .0500 - DEVELOPMENTALLY APPROPRIATE ACTIVITIES FOR CENTERS

#### .0505 DEVELOPMENTAL DAY CENTERS

Child care centers which meet the criteria for developmental day centers, as defined in 10 NCAC 14V .2401 (contained in APSM 30-1, Rules for Mental Health, Developmental Disabilities and Substance Abuse Facilities and Services, published by the Division of Mental Health, Developmental Disabilities and Substance Abuse Services), shall be deemed to be in compliance with the provisions of Rules. 0508 through .0511 of this Section by complying with the requirements for activities for developmental day centers set forth in 10 NCAC 14V .2403.

History Note: Authority G.S. 110-91(2),(12); 143B-168.3; Eff. January 1, 1987; Amended Eff. July 1, 1998; July 1, 1988.

#### .0509 ACTIVITIES: GENERAL REQUIREMENTS FOR CENTERS

(a) Each center shall have developmentally appropriate equipment and materials accessible on a daily basis.

- (b) The materials and equipment shall be sufficient to provide a variety of play experiences which promote the children's social, emotional, intellectual and physical development.
- (c) Teacher-made and home-made equipment and materials may be used if they are safe and functional. Materials and equipment that are accessible to children shall not be coated or treated with, nor shall they contain, toxic materials such as creosote, pentacholorphenol, tributyl tin oxide, dislodgeable arsenic and any finishes which contain pesticides.
- (d) Developmentally appropriate equipment and materials shall be provided for a variety of outdoor activities which allow for vigorous play and large muscle development. Each child shall have the opportunity for outdoor play each day that weather conditions permit. The center shall provide space and time for vigorous indoor activities when children cannot play outdoors.

History Note: Authority G.S. 110-91(2),(12); 143B-168.3; Eff. July 1, 1988;

Amended Eff. July 1, 1998; January 1, 1996.

#### .0510 ACTIVITY AREAS: PRESCHOOL CHILDREN TWO YEARS AND OLDER

- (a) Each center shall have equipment and materials available in activity areas on a daily basis. Centers with a licensed capacity of three to 12 children located in a residence are not required to have activity areas, but must have equipment and materials available daily for the children in care.
- (b) An activity area is an identifiable space which is accessible to the children and where related equipment and materials are kept in an orderly fashion.
- (c) Each activity area shall contain enough materials to allow three related activities to occur at the same time. The materials and equipment shall be in sufficient quantity to allow at least three children to use the area regardless of whether the children choose the same or different activities.
- (d) Each center shall make at least four of the activity areas listed in G.S. 110-91(12) available daily to preschool children two years or older as follows:
  - Centers with a licensed capacity of 30 or more children shall have at least four activity areas available in the space occupied by each group of children.
  - (2) Centers with a licensed capacity of less than 30 children shall have at least four activity areas available daily. Separate groups of children may share use of the same activity areas.
  - (3) Centers with a licensed capacity of three to 12 children located in a residence shall have at least four types of activities available daily.
- (e) In addition to the activity areas which are available each day, each center shall have materials and equipment in sufficient quantity, as described in Paragraph (c) of this Rule, to ensure that activities are made available at least once per month in each of the five activity areas listed G.S. 110-91(12).
- (f) Each center shall provide materials and opportunities for music and rhythm activities, science and nature activities, and sand and water play for each group of children at least once per month.

History Note: Authority G.S. 110-91(6),(12); 143B-168.3; Eff. July 1, 1998;

Amended Eff. July 1, 1998; October 1, 1991.

#### .0511 ACTIVITIES FOR CHILDREN UNDER TWO YEARS OF AGE

- (a) Each center shall have developmentally appropriate toys and activities for each child to promote the child's physical, emotional, intellectual and social well-being including appropriate books, blocks, dolls, pretend play materials, musical toys, sensory toys, and fine motor toys.
  - (1) The materials shall be kept in an identifiable space where related equipment and materials are kept in identifiable groupings and must be made available to the children for a substantial portion of each day.
  - (2) The materials shall be offered in sufficient quantity to allow all children to use them at some point during the day and to allow for a range of choices with duplicates of the most popular toys.
  - (3) Caregivers shall make provisions for the promotion of physical development for a substantial portion of the day which shall include varied, developmentally appropriate physical activities. A safe clean, uncluttered area shall be available for infants to crawl or creep and for toddlers to move around.
  - (4) Hands-on experiences, including both familiar and new activities, shall be provided to enable the infant or toddler to learn about himself and the world.
- (b) The center shall provide time and space for sleeping, eating, toileting, diaper changing, and playing according to each child's individual need.
- (c) The caregivers shall interact in a positive manner with each child every day, including the following ways:
  - (1) Caregivers shall respond promptly to an infant or toddler's physical and emotional needs, especially when indicated by crying through actions such as but not limited to the following: feeding, diapering, holding, positive touching, smiling, talking and eye contact.
  - (2) The caregiver shall recognize the special difficulties of infant and toddler separations and assist families, infants, and toddlers to make the transition from home to center as gently as possible, such as a phased-in orientation process to allow infants and toddlers to experience limited amounts of time at the center before becoming fully integrated.
  - (3) A caregiver or team of caregivers shall be assigned to each infant or toddler as the primary caregiver(s) who shall be responsible for care the majority of the time.
  - (4) The caregiver shall make provision for constructive guidance and the setting of clearly defined limits which foster the infant's or toddler's ability to be selfdisciplined, as appropriate to the child's age and development.
  - (5) In drop-in centers, every effort shall be made to place an infant or toddler, who uses the center frequently, with the same caregiver.
  - (d) Each child shall have the opportunity to be outdoors daily

when weather conditions permit.

History Note: Authority G.S. 110-91(2),(12); 143B-168.3; Eff. July 1, 1988;

Amended Eff. July 1, 1998; October 1, 1991; January 1, 1991.

#### SECTION .0600 - SAFETY REQUIREMENTS FOR DAY CARE CENTERS

#### .0604 GENERAL SAFETY REQUIREMENTS

- (a) Potentially hazardous items, such as firearms and ammunition, hand and power tools, nails, chemicals, lawn mowers, gasoline or kerosene, archery equipment, propane stoves, whether or not intended for use by children, shall be stored in locked areas or with other appropriate safeguards, or shall be removed from the premises.
- (b) Electrical outlets not in use which are located in space used by the children shall be covered with safety plugs unless located behind furniture or equipment that cannot be moved by a child.
- (c) Electric fans shall be mounted out of the reach of children or shall be fitted with an appropriate mesh guard to prevent access by children.
- (d) All small electrical appliances shall be used only in accordance with the manufacturer's instructions.
- (e) Electrical cords shall not be accessible to infants and toddlers. Extension cords, except as approved by the local fire inspector, shall not be used. Frayed or cracked electrical cords shall be replaced.
- (f) All materials used for starting fires, such as matches and lighters, shall be kept in locked storage or shall be stored out of the reach of children.
- (g) Smoking shall not be permitted in space used by children when children are present. All smoking materials shall be kept in locked storage or out of the reach of children.
- (h) Fuel burning heaters, fireplaces and floor furnaces shall be provided with a protective screen attached securely to substantial supports to prevent access by children and to prevent objects from being thrown into them.
- (i) Plants that are toxic shall not be in indoor or outdoor space that is used by or is accessible to children.
- (j) The outdoor play area shall be protected by a fence or other protection. The height shall be a minimum of four feet and the top of the fence shall be free of protrusions by January 1, 1999. The requirement disallowing protrusions on the tops of fences shall not apply to fences six feet high or above. The fencing shall exclude fixed bodies of water such as ditches, quarries, canals, excavations, and fish ponds. Gates to the fenced outdoor play area shall remain securely closed while children occupy the area. When the center uses areas outside the fenced outdoor play area for children's activities or takes children off the premises for play or outings, the parent of each child shall give written permission for the child to be included in such activities. The permission may be:
  - (1) a one-time, blanket permission for all activities;
  - (2) a one-time, blanket permission for a specific activity at any time; or
  - (3) a one-time permission for a specific activity at a

designated time.

The center shall maintain the signed permission in the child's record. When children are taken off the premises, staff accompanying the children shall have a list of the names of all children participating in the outing. When the center provides transportation for children, the center shall furnish parents the names of all regularly scheduled drivers.

- (k) Air conditioning units shall be located so that they are not accessible to children or shall be fitted with a mesh guard to prevent objects from being thrown into them.
- (l) Gas tanks shall be located so they are not accessible to the children or shall be in a protective enclosure or surrounded by a protective guard.
- (m) Cribs and playpens shall be placed so that the children occupying them shall not have access to cords or ropes, such as venetian blind cords.
- (n) Children shall not be allowed to play on outdoor equipment that is too hot to touch.
- (o) The indoor and outdoor premises shall be checked daily for debris, vandalism and broken equipment. Debris shall be removed and disposed of appropriately.
- (p) The playground surface area shall be checked at least weekly to assure that surface material is maintained to assure continued resiliency.
- (q) Following completion of safety training by the administrator or other staff person as required by Rule .0705(e) of this Subchapter, a monthly playground inspection shall be conducted and a record of each inspection shall be completed. This staff person shall use a playground inspection checklist provided by the Division. The checklist shall be signed by the person who conducts the inspection and shall be maintained in the center's files for review by a representative of the Division.
- (r) Plastic bags, toys and toy parts small enough to be swallowed, and materials that can be easily torn apart such as foam rubber and styrofoam, shall not be accessible to children under three years of age, except that styrofoam plates and larger pieces of foam rubber may be used for supervised art activities and styrofoam plates may be used for food service. Latex and rubber balloons shall not be accessible to children under five years of age.

History Note: Authority G.S. 110-85(1); 110-91(3),(6); 143B-168.3:

Eff. January 1, 1991;

Amended Eff. January 1, 1996; November 1, 1991;

Temporary Amendment Eff. October 1, 1997;

Amended Eff. July 1, 1998.

#### .0605 CONDITION OF OUTDOOR PLAY EQUIPMENT

- (a) All equipment shall be in good repair and shall be maintained in useable condition. All commercially manufactured equipment shall be assembled and installed according to procedures specified by the manufacturer.
- (b) Equipment shall be sturdy, stable, and free of hazards that are accessible to children during normal supervised play including sharp edges, lead based paint, loose nails, splinters, protrusions (excluding nuts and bolts on sides of fences), pinch

and crush points.

- (c) All broken equipment shall be removed from the premises immediately or made inaccessible to the children.
- (d) Any openings in equipment, steps, decks and handrails shall be smaller than 3½" or greater than 9" to prevent entrapment.
- (e) All upright angles shall be greater than 55° to prevent entrapment and entanglement.
- (f) All stationary outdoor equipment shall be installed over a resilient surface. Footings which anchor equipment shall not be exposed. Loose surfacing material shall not be installed over concrete. Acceptable materials to be used for surfacing include the following: wood mulch, double shredded bark mulch, uniform wood chips, fine sand, coarse sand, and pea gravel. Other materials that have been certified by the manufacturer to be shock-absorbing resilient material in accordance with the American Society for Testing and Materials (ASTM) Standard 1292, may be used only if installed, maintained and replaced according to the manufacturer's instructions. Pea gravel shall not be used if the area will be used by children under three years of age. The depth of the surfacing that is required shall be based on the critical height of the equipment. The critical height is defined as the maximum height a child may climb, sit or stand.
  - (1) Equipment with a critical height of five feet or less shall have six inches of any of the surfacing materials listed.
  - (2) Equipment with a critical height of more than five feet but less than seven feet shall have six inches of any of the surfacing materials listed, except for sand.
  - (3) Equipment with a critical height of seven feet to 10 feet shall have nine inches of any of the surfacing materials listed, except for sand.
  - (4) When sand is used as a surfacing material for equipment with a critical height of more than five feet, 12 inches is required.
- (g) The resilient surfacing shall extend beyond the external limits of the equipment for a minimum of six feet. The area which is required to have the resilient surfacing is the area under and around the equipment where the child is likely to fall and it is called the fall zone. Fall zones may overlap in three situations: between two swing structures, around spring rockers, or around equipment that is less than 30 inches in height.
- (h) Swings shall have resilient surfacing that extends two times the length of the pivot point to the surface below. The surfacing shall be to the front and rear of the swing. Enclosed tot swings shall have resilient surfacing that extends two times the length of the pivot point to the bottom of the swing seat. The surfacing shall be to the front and rear of the swing. Tot swings are defined as swings with enclosed seats. Tire swings shall have resilient surfacing that extends a distance of six feet plus the measurement from the pivot point to the swing seat and six feet to the side of the support structure.
- (i) Swing seats shall be made of plastic or soft or flexible material.
- (j) Elevated platforms shall have a guardrail or protective barrier, depending upon the height of the platform and the age of children that will have access to the piece of equipment. All sides of platforms shall be protected except for the area which

allows entry or exit. Guardrails shall prevent inadvertent or unintentional falls off the platform. Protective barriers shall prevent children from climbing over or through the barrier. The critical height for a platform with a protective barrier is the platform surface; the critical height for a platform with a guardrail is the top of the guardrail. Measurements for the guardrails and protective barriers are stated below:

- Equipment used by preschool and school-age children;
  - (A) Guardrails an elevated surface that is more than 20 inches and no more than 30 inches above the underlying surface shall have a guardrail. The minimum height of the top surface of the guardrail shall be at least 38 inches high and the lower edge shall be no more than 23 inches above the platform.
  - (B) Protective Barriers an elevated surface that is more than 30 inches above the underlying surface shall have a protective barrier. The minimum height of the top surface of the protective barrier shall be at least 38 inches high.
- (2) Equipment used exclusively by preschool children:
  - (A) Guardrails an elevated surface that is more than 20 inches and no more than 30 inches above the underlying surface shall have a guardrail. The minimum height of the top surface of the guardrail shall be at least 29 inches high and the lower edge shall be no more than 23 inches above the platform.
  - (B) Protective Barriers an elevated surface that is more than 30 inches above the underlying surface shall have a protective barrier. The minimum height of the top surface of the protective barrier shall be at least 29 inches high.
- (3) Equipment used exclusively by school-age children:
  - (A) Guardrails an elevated surface that is more than 30 inches and no more than 48 inches above the underlying surface shall have a guardrail. The minimum height of the top surface of the guardrail shall be at least 38 inches high and the lower edge shall be no more than 26 inches above the platform.
  - (B) Protective Barriers an elevated surface that is more than 48 inches above the underlying surface shall have a protective barrier. The minimum height of the top surface of the protective barrier shall be at least 38 inches high.
- (k) All equipment and surfacing ordered, constructed or installed on or after October 1, 1997 shall conform to all the requirements in this Rule. All equipment and surfacing ordered, constructed, or installed prior to October 1, 1997 shall conform to Paragraphs (a) through (c) of this Rule.
- (l) All equipment and surfacing ordered, constructed, or installed prior to October 1, 1997 shall conform with paragraphs (d) through (j) of this rule by January 1, 2000.

- (1) Any operator who is unable to comply by this date due to hardship may contact the Division by July 1, 1999 to apply for an extension until January 1, 2001.
- (2) In cases where a large composite structure was installed after January 1, 1989 until January 1, 1996 according to manufacturer's instructions and met existing safety standards for playground equipment at the time of installation, an operator may contact the Division to apply for approval for continued use of the structure. Approval shall be based upon:
  - (A) Documentation submitted that verifies the structure was installed according to manufacturer's instructions; and
  - (B) Documentation submitted that verifies the structure met existing safety standards at the time of installation; and
  - (C) An inspection from a representative of the Division to determine the structure remains in good repair and in a useable condition.

History Note: Authority G.S. 110-91(6); 143B-168.3; Temporary Adoption Eff. October 1, 1997; Eff. July 1, 1998.

#### SECTION .0700 - REQUIREMENTS FOR CENTER STAFF

#### .0701 HEALTH STANDARDS FOR STAFF

- (a) All personnel, including the director, shall have on file within 60 days of the date of employment, a statement signed by a licensed physician or an authorized health professional under his/her supervision, that indicates that the person is emotionally and physically fit to care for children. When submitted the medical statement shall not be older than 12 months. For the purposes of this Rule, an authorized health professional means a nurse practitioner or physician assistant currently approved to perform medical acts by the North Carolina Board of Medical Examiners.
- (b) The Division, or the director of the child care center, may request another evaluation of an employee's emotional and physical fitness to care for children when there is reason to believe that there has been deterioration in the person's emotional or physical fitness to care for children.
- (c) A test showing each employee, including the director, to be free of active tuberculosis is required prior to employment. The results indicating the individual is free of active tuberculosis shall be obtained within the 12 months prior to the date of employment.
- (d) Each employee, including the director, shall also annually submit a medical statement from a licensed physician or authorized health professional as defined in (a) of this Rule, or must complete a health questionnaire giving information about the status of his/her health on a form provided by the Division.
- (e) Staff medical statements, proof of a tuberculosis test, and completed health questionnaires shall be included in the employee's individual personnel file in the center.
- (f) Emergency medical care information shall be on file for each individual staff person. That information shall include the

name, address, and telephone number of the person to be contacted in case of an emergency, the responsible party's choice of health care provider, and preferred hospital; any chronic illness the individual has and any medication taken for that illness; and any other information that has a direct bearing on assuring safe medical treatment for the individual. This emergency medical care information shall be on file in the center on the staff person's first day of employment.

History Note: Authority G.S. 110-91(1),(8),(9); 143B-168.3; Eff. January 1, 1986; Amended Eff. July 1, 1998.

#### .0702 STANDARDS FOR SUBSTITUTES AND VOLUNTEERS

- (a) The substitute staff and volunteers who are counted in the child care staff/child ratio shall comply with the health standards contained in this Section.
- (b) All substitutes and volunteers not included in the child care staff/child ratio shall complete the health questionnaire described in Rule .0701 of this Section prior to the first day of work and will complete a health questionnaire annually thereafter as long as they continue to work in the center.
- (c) A test showing each substitute and volunteer is free of active tuberculosis is required prior to the first day of work. The results of the test shall be obtained within the 12 months prior to employment or the beginning of the volunteer activity. This requirement shall apply only to individuals who volunteer more than once per week.
- (d) The age of substitute staff and volunteers shall be verified prior to the first day of work by documenting the substitute staff or volunteer's date of birth in the individual's record. Any substitute teacher shall be at least 18 years old and literate.
- (e) Emergency medical care information as described in Rule .0701(f) of this Section shall be on file for all substitutes and volunteers on the person's first day of work.

History Note: Authority G.S. 110-91(1),(8),(9): 143B-168.3; Eff. January 1, 1986; Amended Eff. July 1, 1998; October 1, 1991.

#### .0703 GENERAL STATUTORY REQUIREMENTS

- (a) Staff counted toward meeting the staff/child ratio requirements set forth in Rules .0712 and .0713 of this Section shall meet the requirements of G.S. 110-91(8). No one under 18 years of age shall have full responsibility for or be left in charge of a group of children.
- (b) Anyone who is at least 13 years of age, but less than 16 years of age, may work in a child care center on a volunteer basis, as long as he or she is supervised by and works with a required staff person who is at least 21 years of age, and also meets the health standards for volunteers found in Rule .0702 of this Section. No one younger than 16 years old shall be left alone with children nor counted toward meeting the required staff/child ratio.
- (c) The provisions of G.S. 110-91(8) which exclude persons with certain criminal records or personal habits or behavior which may be harmful to children from operating or being

employed in a child care center are hereby incorporated by reference and shall also apply to any person on the premises with the operator's permission when the children are present. This exclusion does not include parents or other persons who enter the center only for the purpose of performing parental responsibilities; nor does it include persons who enter the center for brief periods for the purpose of conducting business with the operator and who are not left alone with the children.

- (d) Within six months of an individual assuming lead teacher or child care administrator duties, each center shall maintain the following information in the individual's staff record:
  - (1) a copy of the credential certificate; or
  - (2) a copy of notification from the Division that the individual meets the equivalency or that the individual does not meet the equivalency and must enroll in coursework; or
  - (3) a dated copy of the request submitted by the individual to the Division for the assessment of equivalency status or the opportunity to test out of the credential coursework; or
  - (4) documentation of enrollment in credential coursework.
- (e) If the individual does not yet meet the staff qualifications required by G.S. 110-91(8) when assuming lead teacher or administrative duties, the individual shall submit the following information to the Division within six months of assuming the duties:
  - (1) a request to test out of credential coursework on a form provided by the Division; or
  - (2) application for equivalency on a form provided by the Division, with documentation of completion of the coursework or credential to be considered for equivalency as required by the Division.
- (f) For centers with a licensed capacity of three to 12 children, when an individual has responsibility both for administering the child care program and for planning and implementing the daily activities of a group of children, the requirements for lead teacher in this Section shall apply to this individual. If the program has more than one group of children the requirement regarding lead teacher shall apply to each group of children.

History Note: Authority G.S. 110-85; 110-91(8); 143B-168.3; Eff. January 1, 1986;

Amended Eff. <u>July 1, 1998;</u> January 1, 1990; July 1, 1988; January 1, 1987.

#### .0705 SPECIAL TRAINING REQUIREMENTS

- (a) At least one staff member shall be knowledgeable of and able to recognize common symptoms of illness.
- (b) Staff who have completed within the last three years a course in basic first aid approved by the Division shall be present at the center at all times children are present. The number of staff required to complete the course shall be based on the number of children present in the center as shown in the following chart:

Number of children present	Number of staff trained in first aid required
1-29	l staff
30-79	2 staff
80 and above	3 staff

Verification of each required staff person's completion of this course shall be maintained in the person's individual personnel file in the center. The basic first aid course at a minimum shall address principles for responding to emergencies, rescue breathing, and techniques for handling common childhood injuries, accidents and illnesses such as: choking, burns, fractures, bites and stings, wounds, scrapes, bruises, cuts and lacerations, poisoning, seizures, bleeding, allergic reactions, eye and nose injuries and sudden changes in body temperature.

- (c) A first aid information sheet shall be posted in a prominent place for quick referral. An acceptable form may be requested free of charge from the North Carolina Child Care Health and Safety Resource Center by calling 1-800-CHOOSE-1.
- (d) Each child care center shall have at least one person on the premises at all times who has successfully completed within the last 12 months a cardiopulmonary resuscitation (CPR) course provided by either the American Heart Association or the American Red Cross or other organizations approved by the Division. Other organizations will be approved if the Division determines that the courses offered are substantially equivalent to those offered by the American Red Cross. Successfully completed is defined as demonstrating competency, as evaluated by the instructor, in performing CPR. The course shall provide training in CPR appropriate for the ages of children in care. Documentation of successful completion of the course from the American Heart Association, the American Red Cross, or other organization approved by the Division shall be on file in the center.
- (e) Staff shall complete at least four clock hours of training in safety approved by the Division. At a minimum, this training shall address playground safety hazards, playground supervision, maintenance and general upkeep of the outdoor area, and age and developmentally appropriate playground equipment. Staff counted to comply with this Rule shall have six months from the date of employment, or from the date a vacancy occurs, to complete the required safety training. The number of staff required to complete this training shall be as follows:
  - (1) In centers with a licensed capacity of less than 30 children, at least one staff person shall complete this training.
  - (2) In centers with a licensed capacity of 30 or more children, at least two staff, including the administrator, shall complete this training.

History Note: Authority G.S. 110-91(1),(8); 143B-168.3; Eff. January 1, 1986;

Amended Eff. January 1, 1996; January 1, 1992; January 1, 1991; January 1, 1987;

Temporary Amendment Eff. October 1, 1997;

Amended Eff. July 1, 1998.

#### .0707 IN-SERVICE TRAINING REQUIREMENTS

- (a) Each child care center shall provide, or arrange for the provision of, training for staff to assure that each new staff person who has contact with the children will receive a minimum of 10 clock hours of on-site orientation within the first six weeks of employment. This orientation shall include training in their job-specific duties and responsibilities; a review of the child care licensing law and regulations; a review of the individual center's personnel and operational policies, purpose, and goals; an explanation of the role of state and local government agencies, their effect on the center, their availability as a resource, and individual staff responsibilities to representatives of State and local government agencies; observation of center operations; maintaining a safe and healthy environment; and training to recognize symptoms of child abuse and neglect.
- (b) The child care administrator and any staff who have responsibility for planning and supervising a child care program, as well as staff who work directly with children, shall participate in in-service training activities annually, according to the individual's assessed needs. Staff may choose one of the following options for meeting the in-service requirement:
  - (1) Each staff person shall complete in-service training required in G.S. 110-91(11) as specified in the following Parts:
    - (A) persons with a four-year degree or higher advanced degree in a child care-related field of study from a regionally accredited college or university shall complete five clock hours of training annually.
    - (B) persons with a two-year degree in a child care-related field of study from a regionally accredited college or university, or persons with a North Carolina Early Childhood Administration Credential or its equivalent shall complete eight clock hours of training annually.
    - (C) persons with a certificate or diploma in a child care-related field of study from a regionally accredited college or university, or persons with a North Carolina Early Childhood Credential or its equivalent shall complete 10 clock hours of training annually.
    - (D) persons employed on or after September 1, 1986 with at least 10 years documented, professional experience as a teacher, director, or caregiver in a licensed child care arrangement shall complete 15 clock hours of training annually.
    - (E) all other persons shall complete 20 clock hours of training annually.
  - (2) For staff listed in Parts (b)(1), (A), (B), (C) and (D) of this Rule, basic cardiopulmonary resuscitation (CPR) training required in Rule .0705 of this Section shall not be counted toward meeting annual in-service training. First aid training may be counted once every three years.

- (3) If a child care administrator or lead teacher is currently enrolled in coursework to meet the staff qualification requirements in G.S. 110-91(8), the coursework may be counted toward meeting the annual in-service training requirement.
- (c) For staff working less than 40 hours per week on a regular basis and choosing the option for 20 hours of in-service training, the training requirement may be prorated as follows:

WORKING HOURS	CLOCK HOURS
PER WEEK	REQUIRED
0-10	5
11-20	10
21-30	15
31-40	20

History Note: Authority G.S. 110-91(11); 143B-168.3; Eff. January 1, 1986;

Amended Eff. <u>July 1, 1998;</u> October 1, 1991; November 1, 1989; July 1, 1988; January 1,1987.

#### .0708 MEETING IN-SERVICE REQUIREMENTS

Staff may meet the in-service training requirements by attending child-care workshops, conferences, seminars, or courses, provided each training activity satisfies the following criteria:

- (1) Prior approval from the Division is not required for training offered by an accredited college or university, government agency, or state or national professional organization or its recognized affiliates, provided the content complies with G.S. 110-91(11).
- (2) Prior approval from the Division is required on an annual basis for training provided by agencies and organizations which have staff who provide, or who arrange for the provision of, training for child care operators and staff. To obtain such approval, the agency or organization shall submit its annual training plan to the Division. Approval shall be determined based upon the qualifications of the trainer(s).
- (3) Prior approval for training shall be obtained from the Division by any organization, association, or individual not included in Paragraphs (1) and (2) of this Rule. Approval shall be determined based upon the qualifications of the trainer(s).
- (4) No more than five clock hours of the 20 clock hours of training required annually shall be provided on site by center staff. This restriction shall not apply if the center staff providing the training have been approved according to the criteria outlined in either Paragraph (1) or (2) of this Rule.

History Note: Authority G.S. 110-91(11); 143B-168.3; Eff. January 1, 1986;

Amended Eff. <u>July 1, 1998;</u> November 1, 1989; July 1, 1988; January 1, 1987.

#### .0712 STAFF/CHILD RATIOS FOR CENTERS WITH A LICENSED CAPACITY OF LESS THAN 30 CHILDREN

(a) The staff/child ratios and group sizes for a child care center with a licensed capacity of less than 30 children are as follows:

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Age of Children	No. Children	No. Staff	Group Size	No. Staff
0 to 12 Months	5	1	10	2
12 to 24 Months	6	1	12	2
2 to 3 Years	10	1	20	2
3 to 5 Years	15	1	25	2
5 Years and Older	25	1	25	1

- (1) When only one caregiver is required to meet the staff/child ratio, and children under two years of age are in care, that person shall not concurrently perform food preparation or other duties which are not direct child care responsibilities.
- (2) When only one caregiver is required to meet the staff/child ratio, the operator shall select one of the following options for emergency relief:
  - (A) The center shall post the name, address, and telephone number of an adult who has agreed in writing to be available to provide emergency relief and who can respond within a reasonable period of time; or
  - (B) There shall be a second adult on the premises who is available to provide emergency relief.
- (b) The staff/child ratios for a center located in a residence with a licensed capacity of three to 12 children when any preschool aged child is enrolled, or with a licensed capacity of three to 15 children when only school-aged children are enrolled are as follows:

Additional number of

Age of Children	No. Children	No. Staff	school-aged children allowed
0 to 12 Months	5	1	3
12 to 24 Months	6	1	2
2 to 13 Years	10	1	0
3 to 13 Years	12	1	0
All school-aged	15	1	0

History Note: Authority G.S. 110-91(7); 143B-168.3;

Eff. December 1, 1988;

Amended Eff. July 1, 1998; July 1, 1994; January 1, 1992; August 1, 1990.

#### .0713 STAFF/CHILD RATIOS FOR CENTERS WITH A LICENSED CAPACITY OF 30 OR MORE CHILDREN

(a) The staff/child ratios and group sizes for single-age groups of children in centers with a licensed capacity of 30 or more children shall be as follows:

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Age of Children	No. Children	No. Staff	Group Size	No. Staff
0 to 12 Months	5	1	10	2
12 to 24 Months	6	1	12	2
2 to 3 Years	10	1	20	2
3 to 4 Years	15	1	25	2
4 to 5 Years	20	1	25	2
5 Years and Older	25	1	25	1

(b) In any multi-age group situation, the staff/child ratio for the youngest child in the group shall be maintained for the entire group.

- (c) Children younger than two years old may be cared for in groups with older children at the beginning and end of the operating day provided the staff/child ratio for the youngest child in the group is maintained.
- (d) A child two years of age and older may be placed with children under one year of age when a physician certifies that the developmental age of the child makes this placement appropriate.
- (e) When determined to be developmentally appropriate by the operator and parent, a child age two or older may be placed one age level above his or her chronological age without affecting the staff/child ratio for that group. This provision shall be limited to one child per group.
- (f) Except as provided in Paragraphs (c) and (d), children under one year of age shall be kept separate from children two years of age and over.
- (g) Children between the ages of 12 months and 24 months shall not be routinely grouped with older children unless all children in the group are less than three years old.
- (h) When only one caregiver is required to meet the staff/child ratio, and no children under two years of age are in care, that person may concurrently perform food preparation or other duties which are not direct child care responsibilities as long as supervision of the children as specified in Rule .0714(f) of this Section is maintained.
- (i) When only one caregiver is required to meet the staff/child ratio, the operator shall select one of the following options for emergency relief:
  - (1) The center shall post the name, address, and telephone number of an adult who has agreed in writing to be available to provide emergency relief and who can respond within a reasonable period of time; or
  - (2) There shall be a second adult on the premises who is available to provide emergency relief.
- (j) Except as provided in Paragraph (h) of this Rule, staff members and administrators who are counted in meeting the staff/child ratios as stated in this Rule shall not concurrently perform food preparation or other duties which are not direct child care responsibilities.

History Note: Authority G.S. 110-91(7); 143B-168.3;

Eff. December 1, 1988;

Amended Eff. July 1, 1998; July 1, 1994; January 1, 1992; August 1, 1990; November 1, 1989.

#### .0714 OTHER STAFFING REQUIREMENTS

- (a) Each child care center shall have an administrator on site on a regular basis. The administrator shall be responsible for monitoring the program and overseeing administrative duties of the center. This requirement may be met by having one or more persons on site who meet the requirements for an administrator according to the licensed capacity of the center. The following hourly requirements are based on an administrator's normal working schedule and may include times when the administrator may be off site due to administrative duties, illness, or vacation.
  - (1) Each center with a licensed capacity of less than 30 children shall have an administrator on site for at least 20 hours per week.
  - (2) Each center with a licensed capacity of 30 to 79 children shall have an administrator on site for at least 25 hours per week.
  - (3) Each center with a licensed capacity of 80 to 199 children shall have an administrator on site for at least 30 hours per week.
  - (4) Each center with a licensed capacity of 200 or more children shall have an administrator on site for at least 40 hours per week.
- (b) At least one person who meets the requirements for an administrator or lead teacher as set forth in this Section shall be on site during the center's operating hours except that a person who is at least 18 years old with at least a high school diploma or its equivalent and who has a minimum of one year's experience working with children in a child care center may be on duty at the beginning or end of the operating day provided that:
  - (1) No more than 10 children are present.

- (2) The staff person has worked in that center for at least three months.
- (3) The staff person is thoroughly familiar with the center's operating policies and emergency procedures.
- (c) At least one person who meets the requirements for a lead teacher shall be responsible for each group of children as defined in Rule .0102 of this Subchapter except as provided in Paragraph (b) of this Rule. This requirement may be met by having one or more persons who meet the requirements for a lead teacher responsible for the same group of children. Each lead teacher shall be responsible for only one group of children at a time. Each group of children shall have a lead teacher in attendance for at least two-thirds of the total daily hours of operation, based on a normal working schedule and may include times when the lead teacher may not be in attendance due to circumstances such as illness or vacation.
- (d) A teacher is a person who is responsible to the lead teacher and assists with planning and implementing the daily program.
- (e) An aide shall not have full responsibility for a group of children except as provided in Paragraph (b) of this Rule.
- (f) Children shall be adequately supervised at all times. Adequate supervision shall mean that staff interact with the children while moving about the indoor or outdoor area, and are able to hear and see the children at all times, except when emergencies necessitate that direct supervision is impossible for brief periods of time.
- (g) For groups of children aged two years or older, the staff/child ratio during nap time is considered in compliance if at least one person is either in each room or is visually supervising all the children and if the total number of required

staff are on the premises and within calling distance of the rooms occupied by children.

History Note: Authority G.S. 110-85(1); 110-91(7),(8); 143B-168.3;

Eff. July 1, 1988;

Amended Eff. <u>July 1, 1998;</u> January 1, 1996; October 1, 1991; November 1, 1989.

#### SECTION .0800 - HEALTH STANDARDS FOR CHILDREN IN CENTERS

#### .0803 ADMINISTERING MEDICATION

- (a) No drug or medication shall be administered to any child without specific instructions from the child's parent, a physician, or other authorized health professional. No drug or medication shall be administered after its expiration date.
  - (1) Prescribed medicine shall be in its original container bearing the pharmacist's label which lists the child's name, date the prescription was filled, the physician's name, the name of the medicine or the prescription number, and directions for dosage, or be accompanied by written instructions for dosage, bearing the child's name, which are dated and signed by the prescribing physician or other health professional. Prescribed medicine shall be administered only to the person for whom it is prescribed.
  - Over-the-counter medicines, such as cough syrup, (2) decongestant, acetaminophen, ibuprofen, topical teething medication, topical antibiotic cream for abrasions, or medication for intestinal disorders shall be in its original container and shall be administered as authorized in writing by the child's parent, not to exceed amounts and frequency of dosage specified in the printed instructions accompanying the medicine. The parent's authorization shall give the child's name, the specific name of the over-the-counter medicine, dosage instructions, the parent's signature, and the date signed. Over-the-counter medicine may also be administered in accordance with written instructions from a physician or other authorized health professional.
  - (3) When any questions arise concerning whether medication provided by the parent should be administered, that medication shall not be administered without signed, written dosage instructions from a licensed physician or authorized health professional.
  - (4) A written statement from a parent may give blanket permission for up to six months to authorize administration of medication for asthma and allergic reactions. A written statement from a parent may give blanket permission for up to one year to authorize administration of sunscreen and over-the counter diapering creams. The written statement shall describe the specific conditions under which these medications and creams are to be administered and detailed instructions on how they are to be

administered.

- (5) A written statement from a parent may give blanket permission to administer a one-time, weight appropriate dose of acetaminophen in cases where the child has a fever and the parent cannot be reached.
- (b) Any medication remaining after the course of treatment is completed shall be returned to the child's parents.
- (c) Any time medication other than sunscreen or diapering creams is administered by center personnel to children receiving care, the child's name, the date, time, amount and type of medication given, and the name and signature of the person administering the medication shall be recorded. This information shall be noted on a medication permission slip, or on a separate form developed by the provider which includes the required information. This information shall be available for review by a representative of the Division during the time period the medication is being administered and for at least six months after the medication is administered.

History Note: Authority G.S. 110-91(1),(9); 143B-168.3; Eff. January 1, 1986;

Amended Eff. July 1, 1998; January 1, 1996.

#### .0804 INFECTIOUS AND CONTAGIOUS DISEASES

- (a) Centers may provide care for a mildly ill child who has a Fahrenheit temperature of less than 100 degrees axillary, 101 degrees orally, or 102 degrees rectally and who remains capable of participating in routine group activities; provided the child does not:
  - have the sudden onset of diarrhea characterized by an increased number of bowel movements compared to the child's normal pattern and with increased stool water; or
  - (2) have two or more episodes of vomiting within a 12 hour period; or
  - (3) have a red eye with white or yellow eye discharge until 24 hours after treatment; or
  - (4) have scabies or lice; or
  - (5) have known chicken pox or a rash suggestive of chicken pox; or
  - (6) have tuberculosis, until a health professional states that the child is not infectious; or
  - (7) have strep throat, until 24 hours after treatment has started; or
  - (8) have pertussis, until five days after appropriate antibiotic treatment; or
  - (9) have hepatitis A virus infection, until one week after onset of illness or jaundice; or
  - (10) have impetigo, until 24 hours after treatment; or
  - (11) have a physician's or other health professional's written order that the child be separated from other children.
- (b) Centers which choose to provide care for mildly ill children shall:
  - follow all procedures to prevent the spread of communicable diseases described in 15A NCAC 18A .2800, "Sanitation of Child Day Care Facilities", as adopted by the Health Services Commission;

- (2) separate from the other children any child who becomes ill while in care or who is suspected of having a communicable disease or condition other than as described in Paragraph (a) of this Rule until the child leaves the center;
- (3) notify all parents at enrollment that the center will be providing care for mildly ill children;
- (4) immediately notify the parent of any child who becomes ill while in care or who is suspected of being ill with a communicable condition other than as described in Paragraph (a) of this Rule that the child is ill and may not remain in care;
- (5) immediately notify the parent of any sick child in care if the child's condition worsens while the child is in care.

History Note: Authority G.S. 110-91(1),(2); 143B-168.3; Eff. January 1, 1986:

Amended Eff. <u>July 1, 1998;</u> November 1, 1991; November 1, 1989.

#### SECTION .0900 - NUTRITION REQUIREMENTS FOR CENTERS

#### .0901 GENERAL NUTRITION REQUIREMENTS

- (a) Meals and snacks served shall comply with the Meal Patterns for Children in Child Care standards which are based on the recommended nutrient intake judged by the National Research Council to be adequate for maintaining good nutrition. The types of food, number and size of servings shall be appropriate for the ages and developmental levels of the children in care. The Meal Patterns for Children in Child Care nutrition standards are incorporated by reference and include subsequent amendments. A copy of these standards is available free of charge from the Division at the address in Rule .0102(1) of this Subchapter.
- (b) Menus for nutritious meals and snacks shall be planned at least one week in advance. At least one dated copy of the current week's menu shall be posted where it can be seen easily by parents and food preparation staff when food is prepared or provided by the center, except in centers with a licensed capacity of three to 12 children located in a residence. A variety of food shall be included in meals and snacks. Any substitution will be of comparable food value and will be recorded on the menu.
- (c) When children bring their own food for meals or snacks to the center, if the food does not meet the nutritional requirements specified in (a) of this Rule, the center must provide additional food necessary to meet those requirements.
- (d) Drinking water must be freely available to children of all ages and offered at frequent intervals. Approved drinking fountains or individual drinking utensils shall be provided. When a private water supply is used, it must be tested by and meet the requirements of the Department of Environment and Natural Resources.
- (e) Children's special diets or food allergies shall be posted in the food preparation area and in the child's eating area.
- (f) The food required by special diets may be provided by the center or may be brought to the center by the parents. If the diet

is prescribed by a health care provider, a statement signed by the health care provider shall be on file at the center and written instructions shall be provided by the child's parent, health care provider, or a registered dietitian. If the diet is not prescribed by a health care provider, written instructions shall be provided by the child's parent and shall be on file at the center.

(g) Food and beverages with little or no nutritional value served as a snack, such as sweets, fruit drinks, soft drinks, etc., will be available only for special occasions.

History Note: Authority G.S. 110-91(2); 143B-168.3; Eff. January 1, 1986;

Amended Eff. July 1, 1998; October 1, 1991; November 1, 1989.

#### .0902 GENERAL NUTRITION REQUIREMENTS FOR INFANTS

- (a) The parent or health care provider of each child under 15 months of age shall provide the center an individual written feeding schedule for the child. This schedule must be followed at the center. This schedule must include the child's name, be signed by the parent or health care provider, and be dated when received by the center. Each infant's schedule shall be modified in consultation, with the child's parent and/ or health care provider, to reflect changes in the child's needs as he or she develops. The feeding instructions for each infant shall be posted for quick reference by the caregivers, except in centers licensed for three to 12 children located in a residence.
- (b) Each infant will be held for bottle feeding until able to hold his or her own bottle. Bottles will not be propped. Each child will be held or placed in feeding chairs or other age-appropriate seating apparatus to be fed.

History Note: Authority G.S. 110-91(2); 143B-168.3; Eff. January 1, 1986;

Amended Eff. <u>July 1, 1998;</u> October 1, 1991; November 1, 1989.

#### SECTION .1000 - TRANSPORTATION STANDARDS FOR CENTERS

#### .1001 SEAT RESTRAINTS

- (a) Each adult and child shall be restrained with an individual seat belt or appropriate child restraint device when the vehicle is in motion.
- (b) Only one person shall occupy each seat belt or child restraint device.
- (c) Children shall not occupy the front seat if the vehicle is equipped with an operational passenger side airbag.
- (d) Except for when children under two years of age are transported, the restraint requirements in this Rule do not apply to vehicles not required by federal or state law to be equipped with seat restraints.

History Note: Authority G.S. 110-91; 110-91(13); 143B-168.3;

Eff. January 1, 1986;

Amended Eff. <u>July 1</u>, <u>1998</u>; July 1, 1995; November 1, 1989; July 1, 1988; January 1, 1987.

### .1002 SAFE VEHICLES

- (a) All vehicles used to transport children shall be in good repair, safe, and free of hazards such as torn upholstery that allows children to remove the interior padding or hurt themselves, broken windows, and holes in the floor or roof.
- (b) Vehicles shall be insured for liability as required by State laws governing transportation of passengers.
- (c) Vehicles used to transport children in snowy, icy, and other hazardous weather conditions must be equipped with snow tires, chains, or other safety equipment as appropriate.

History Note: Authority G.S. 110-91; 110-91(13); 143B-168.3:

Eff. January 1, 1986;

Amended Eff. July 1, 1998.

### .1003 SAFE PROCEDURES

- (a) The driver or other adult in the vehicle shall assure that all children are received by a responsible person.
- (b) Each center shall establish safe procedures for pick-up and delivery of children. These procedures shall be communicated to parents, and a copy shall be posted in the center where they can easily be seen. Centers licensed for three to 12 children located in a residence are not required to post these procedures.
- (c) A first-aid kit shall be located in each vehicle used on a regular basis to transport children. The first-aid kit shall be firmly mounted or otherwise secured if kept in the passenger compartment.
- (d) Emergency and identification information about each child must be in the vehicle whenever children are being transported.
- (e) The driver shall be 18 years old or a duly licensed school bus driver and have a valid driver's license of the type required under North Carolina Motor Vehicle Law for the vehicle being driven or comparable license from the state in which the driver resides and no convictions of Driving While Impaired (DWI) or any other impaired driving offense within the last three years.
- (f) Each person in the vehicle must be seated in the manufacturer's designated areas. No child shall ride in the load carrying area or floor of a vehicle.
- (g) Children shall never be left in a vehicle unattended by an adult.
- (h) Children shall be loaded and unloaded from curbside, or in a safe, off-street area, out of the flow of traffic, so that they are protected from all traffic hazards.

History Note: Authority G.S. 110-91; 110-91(13); 143B-168.3;

Eff. January 1, 1986;

Amended Eff. July 1, 1998; October 1, 1991; January 1, 1987.

# SECTION .1300 - BUILDING CODE REQUIREMENTS FOR CHILD CARE CENTERS

# .1301 BUILDING CODE REQUIREMENTS IN OPERATION PRIOR TO 4/1/72

For the purpose of carrying out the provisions of G.S.

110-91(4), the North Carolina Building Code standards for child care centers in operation prior to April 1, 1972 developed by the Building Code Council are hereby incorporated by reference by the Child Care Commission and do not include any subsequent amendments. This Rule does not apply to small group facilities described in Rule .1303 of this Section. A copy of the North Carolina Building Code standards is on file at the Division of Child Development located at the address given in Rule .0102 of this Subchapter and will be available for public inspection during regular business hours.

History Note: Authority G.S. 110-91(4); 143B-168.3; Eff. January 1, 1986; Amended Eff. July 1, 1998.

# .1302 BUILDING CODE REQUIREMENTS FOR CHILD CARE CENTERS

For the purpose of carrying out the provision of G.S. 110-91(4), the North Carolina Building Code standards in Volume 1, General Construction, for child care centers originally in operation on or after April 1, 1972 developed by the Building Code Council are hereby incorporated by reference by the Child Care Commission and include subsequent amendments. This Rule does not apply to small group facilities described in Rule .1303 of this Section. A copy of the North Carolina Building Code standards is on file at the Division of Child Development located at the address given in Rule .0102 of this Subchapter and will be available for public inspection during regular business hours.

History Note: Authority G.S. 110-91(4); 143B-168.3; Eff. January 1, 1986; Amended Eff. July 1, 1998; January 1, 1987.

# .1303 BUILDING CODE REQUIREMENTS FOR SMALL GROUP FACILITIES LICENSED PRIOR TO 7/1/88

For the purpose of carrying out the provisions of G.S. 110-91(4), the North Carolina Building Code standards for small group day care facilities (6-15 children) licensed prior to July 1, 1988 developed by the Building Code Council are hereby incorporated by reference by the Child Care Commission and do not include any subsequent amendments. A copy of the North Carolina Building Code standards is on file at the Division of Child Development located at the address given in Rule .0102 of this Subchapter and will be available for public inspection during regular business hours.

History Note: Authority G.S. 110-91(4); 143B-168.3; Eff. January 1, 1986; Amended Eff. July 1, 1998.

# .1304 REQUIREMENTS FOR CHILD CARE CENTERS LICENSED IN A RESIDENCE

For the purpose of carrying out the provisions of G.S. 110-91(4), the North Carolina Building Code standards in Volume 1, General Construction, for Large Day Care Homes developed by the Building Code Council are hereby incorporated by reference

by the Child Care Commission and include subsequent amendments for child care centers licensed in a residence for three to 12 children when any preschool-aged children are in care, or for three to 15 children when only school-aged children are in care. A copy of the North Carolina Building Code standards is on file at the Division of Child Development located at the address given in Rule .0102 of this Subchapter and will be available for public inspection during regular business hours.

History Note: Authority G.S. 110-91(4); 143B-168.3; <u>Eff. July 1, 1998.</u>

# SECTION .1400 - SPACE REQUIREMENTS FOR CENTERS

#### .1401 INDOOR SPACE

- (a) Indoor space on which licensed capacity is based will be referred to as "primary space". The licensing consultant will measure all primary space that will routinely be used by children who attend the center, except that the following will not be included: closets, hallways, storage areas, kitchens, bathrooms, utility areas; thresholds, foyers, space or rooms used for administrative activities or space occupied by adult-sized desks, cabinets, file cabinets, etc.; single-use rooms, including music rooms, isolation/sick rooms, gymnasiums, dining rooms, sleep rooms; any floor space occupied by or located under equipment, furniture, or materials not used by children; and any floor space occupied by or located under built-in equipment or furniture.
  - (1) Any single-use room used by the children for sleeping only, either during nap time or any other time, will also be measured by the Division's representative to assure that the available floor space provides 200 cubic feet of air space per child for the maximum number of children who will sleep in that room at any time.
  - (2) All measurements will be rounded off to the nearest inch.
  - (3) Total space on which the licensed capacity is based will be the sum of the measurements of all primary space to be used by the children. However, no room will routinely be occupied by more children than the primary space in that room will accommodate at 25 square feet of space per child. This is not meant to preclude grouping children together periodically for special activities, such as to view films or slides; for special presentations, such as puppet or magic shows. a special story teller, a discussion of safety practices by a fireman or nurse, etc. However, care must be taken to assure that during such special activities, the room used is not so overcrowded that the children and staff would be endangered in case of a fire or other emergency necessitating evacuation of the center.
- (b) For centers with a licensed capacity of three to 12 children located in a residence, the dining area of a kitchen may be counted if it is routinely used for children's activities in addition to eating.
- (c) Paragraph (a) of this Rule shall apply only to child care centers initially licensed on or after February 1, 1985.

History Note: Authority G.S. 110-91(6); 143B-168.3; Eff. January 1, 1986; Amended Eff. July 1, 1998; November 1, 1989.

### SECTION .1700 - FAMILY CHILD CARE HOME STANDARDS

### .1705 HEALTH AND TRAINING REQUIREMENTS FOR FAMILY CHILD CARE HOME OPERATORS

- (a) Prior to receiving a license, each family child care home operator shall:
  - (1) Complete and keep on file a health questionnaire which attests to the operator's physical and emotional ability to care for children. The Division may require a written statement or medical examination report signed by a licensed physician or other authorized health professional if there is reason to believe that the operator's health may adversely affect the care of the children.
  - (2) Obtain written proof that he or she is free of active tuberculosis. The results indicating the individual is free of active tuberculosis shall be obtained within 12 months prior to applying for a license.
  - (3) Complete within 12 months prior to applying for a license a basic first aid course that at a minimum, shall address principles for responding to emergencies, techniques for rescue breathing, and techniques for handling common childhood injuries, accidents and illnesses such as: choking, burns, fractures, bites and stings, wounds, scrapes, bruises, cuts and lacerations, poisoning, seizures, bleeding, allergic reactions, eye and nose injuries and sudden changes in body temperature.
  - (4) Successfully complete within 12 months prior to applying for a license a course by the American Heart Association or the American Red Cross or other organizations approved by the Division, in cardiopulmonary resuscitation (CPR) appropriate for the ages of children in care. Other organizations will be approved if the Division determines that the courses offered are substantially equivalent to those offered by the American Red Cross. Successfully completed is defined as demonstrating competency, as evaluated by the instructor, in performing CPR. Documentation of successful completion of the course from the American Heart Association, the American Red Cross, or other organization approved by the Division shall be on file in the home.
  - (b) After receiving a license, an operator shall:
  - (1) Update the health questionnaire referenced in Paragraph (a) of this Rule annually. The Division may require the operator to obtain written proof that he or she is free of active tuberculosis.
  - (2) Complete a first aid course as referenced in Paragraph (a) of this Rule every three years.
  - (3) Successfully complete a CPR course annually as referenced in Paragraph (a) of this Rule.

- (4) Complete 12 clock hours of annual in-service training in the topic areas required by G.S. 110-91(11).
  - (A) Persons with at least 10 years work experience as a caregiver in a regulated child care arrangement shall complete eight clock hours of annual in-service training.
  - (B) Only training which has been approved by the Division as referenced in Rule .0708 of this Subchapter shall count toward the required hours of annual in-service training.
  - (C) The operator shall maintain a record of annual in-service training activities in which he or she has participated. The record shall include the subject matter, the topic area in G.S. 110-91(11) covered, the name of the training provider or organization, the date training was provided and the number of hours of training completed.
  - (D) First aid training may be counted once every three years.

History Note: Authority G.S. 110-88; 110-91; 143B-168.3; Eff. January 1, 1986;

Amended Eff. July 1, 1998; November 1, 1989; January 1, 1987.

### .1718 REQUIREMENTS FOR DAILY OPERATIONS

The operator shall provide the following on a daily basis for all children in care:

- Patterns for Children in Child Care standards which are based on the recommended nutrient intake judged by the National Research Council to be adequate for maintaining good nutrition. The types of food and number and size of servings shall be appropriate for the ages and developmental levels of the children in care. The Meal Patterns for Children in Child Care nutrition standards are incorporated by reference and include subsequent amendments. A copy of these standards is available free of charge from the Division at the address in Rule .0102 of this Subchapter
  - (a) No child shall go more than four hours without a meal or a snack being provided.
  - (b) Drinking water shall be freely available to children and offered at frequent intervals.
  - (c) When milk, milk products, or fruit juices are provided by the operator, only pasteurized products or products which have undergone an equivalent process to pasteurization shall be used. Any formula which is prepared by the operator shall be prepared according to the instructions on the formula package or label, or according to written instructions from the child's health care provider.
  - (d) Each infant will be held for bottle feeding until able to hold his or her own bottle. Bottles will not be propped. Each child will be held or placed in feeding chairs or other ageappropriate seating apparatus to be fed.

- (e) The parent or health care provider of each child under 15 months of age shall provide the operator an individual written feeding schedule for the child. This schedule shall be followed at the home. This schedule shall include the child's name, be signed by the parent or health care provider, and be dated when received by the operator. Each infant's schedule shall be modified in consultation with the child's parent and/or health care provider to reflect changes in the child's needs as he or she develops.
- (2) frequent opportunities for outdoor play or fresh air.
- (3) an individual sleeping space such as a bed, crib, play pen, cot, mat, or sleeping bag with individual linens for each pre-school aged child in care for four hours or more, or for all children if overnight care is provided, to rest comfortably. The linens shall be changed weekly or whenever they become soiled or wet
- (4) a quiet, separate area which can be easily supervised for children too sick to remain with other children. Parents shall be notified immediately if their child becomes too sick to remain in care.
- (5) visual supervision for all children who are awake. The operator shall be able to hear and respond quickly to those children who are sleeping or napping.
- (6) developmentally appropriate activities as planned on a written schedule. Materials and/or equipment shall be available to support the activities listed on the written schedule. The written schedule shall:
  - (a) show blocks of time usually assigned to types of activities and shall include periods of time for both active play and quiet play or rest; and
  - (b) be displayed in a place where parents are able to view; and
  - (c) reflect daily opportunities for both free-choice and guided activities.

History Note: Authority G.S. 110-88; 110-91(2),(12); <u>Eff. July 1</u>, 1998.

# .1719 REQUIREMENTS FOR A SAFE INDOOR/OUTDOOR ENVIRONMENT

The operator shall make all necessary efforts to provide a safe indoor and outdoor environment for the children in care. The operator shall:

- (1) keep all areas used by the children, indoors and outdoors, clean and orderly and free of items which are potentially hazardous to children. This includes the removal of small items that a child can swallow. In addition, loose nails or screws and splinters shall be removed on inside and outside equipment.
- (2) safely store equipment and supplies such as lawnmowers, power tools, or nails, so they are inaccessible to children.
- (3) ensure that all stationary outdoor equipment is firmly anchored and is not installed over concrete or asphalt. Footings which anchor the equipment shall not be

exposed.

- (4) securely mount electric fans out of the reach of children or have a mesh guard on each fan.
- (5) cover all electrical outlets not in use and remove old, cracked or frayed cords in occupied outlets.
- (6) have solid and safe indoor and outdoor stairs and steps if these are used by the children. Indoor and outdoor stairs with two or more steps which are used by the children shall be railed. Indoor stairs with more than two steps shall be made inaccessible to children in care who are two years old or younger.
- (7) maintain any swimming pools or wading pools on the premises in a manner which will safeguard the lives and health of the children. All swimming or wading pools used by children in care shall meet the "Rules Governing Public Swimming Pools," in accordance with 15A NCAC 18A .2500 which are hereby incorporated by reference including subsequent amendments. A copy of these Rules is on file at the Division at the address given in Rule .0102 of this Subchapter or may be obtained at no cost by writing the North Carolina Department of Environment and Natural Resources, Division of Environmental Health, PO Box 29534, Raleigh, NC 27626-0534.
- (8) enclose any in-ground swimming pools by a fence four feet high to prevent chance access by children. The swimming pool shall be separate from the play area. Access to the water in above ground swimming pools shall be prevented by locking and securing the ladder in place or storing the ladder in a place inaccessible to the children.
- (9) ensure that animals that are potentially dangerous to children as determined by the Division are safely secured in areas not accessible to the children in care.

History Note: Authority G.S. 110-88; 91(3),(4),(5),(6); Eff. July 1, 1998.

### .1721 REQUIREMENTS FOR RECORDS

- (a) The operator shall maintain the following health records for each child who attends on a regular basis:
  - a copy of the child's health assessment as required by G.S. 110-91(1).
  - (2) a copy of the child's immunization record.
  - (3) a health and emergency information form provided by the Division that is completed and signed by the child's parents or guardian. The completed form shall be on file the first day the child attends. An operator may use another form other than the one provided by the Division, as long as the form includes the following information:
    - (A) the child's name, address, and date of birth;
    - (B) the names of individuals to whom the child may be released;
    - (C) the general status of the child's health:
    - (D) any allergies or restrictions on the child's participation in activities with specific instructions from the child's parent or

- physician;
- (E) the names and phone numbers of persons to be contacted in an emergency situation:
- (F) the name and phone number of the child's physician and preferred hospital:
- (G) notarized authorization for the operator to seek emergency medical care in the parent's absence.
- (4) when medication is administered, authorization for the operator to administer the specific medication according to the parent's or physician's instructions.
- (b) The operator shall complete and maintain other records which shall include:
  - (1) documentation for the operator's procedures in emergency situations, on a form which shall be provided by the Division.
  - (2) documentation that monthly fire drills are practiced. The documentation shall include the date each drill is held, the time of day, the length of time taken to evacuate the home, and the operator's signature.
  - (3) incident reports that are completed each time a child receives medical treatment by a physician, nurse, physician's assistant, nurse practitioner, community clinic, or local health department, as a result of an incident occurring while the child is in the family child care home. Each incident shall be reported on a form provided by the Division, signed by the operator and the parent, and maintained in the child's file. A copy shall be mailed to a representative of the Division within seven calendar days after the incident occurs.
  - (4) an incident log which is filled out any time an incident report is completed. This log shall be cumulative and maintained in a separate file and shall be available for review by a representative of the Division. This log shall be completed on a form supplied by the Division.
  - (5) documentation that a monthly check for hazards on the outdoor play area is completed. This form shall be supplied by the Division and shall be maintained in the family child care home for review by a representative of the Division.

History Note: Authority G.S. 110-88; 110-91(1),(9); <u>Eff. July 1, 1998.</u>

#### .1723 TRANSPORTATION REQUIREMENTS

To assure the safety of children whenever they are transported, the operator, or any other transportation provider, shall:

- (1) have written permission from a parent or guardian to transport his or her child and notify the parent when and where the child is to be transported.
- (2) ensure that all children regardless of age or location in the vehicle shall be restrained by individual seat belts or child restraint devices. Only one person shall occupy each seat belt or child restraint device.
- (3) have a valid driver's license issued by the Division of Motor Vehicles, not including a limited permit.

- (4) ensure that each child is seated in a manufacturer's designated area.
- (5) ensure that a child shall not occupy the front seat if the vehicle has an operational passenger side airbag.
- (6) never leave children in a vehicle unattended by an adult.
- (7) have emergency and identification information about each child in the vehicle whenever children are being transported.

History Note: Authority G.S. 110-91; 110-91(13); Eff. July 1, 1998.

### SECTION .2500 - CARE FOR CHILDREN IN SCHOOL-AGE PROGRAMS

### .2506 GENERAL SAFETY REQUIREMENTS

- (a) First aid equipment shall always be available regardless of where activities are provided.
- (b) All regulations in Rule .1403 regarding swimming pools apply.
- (c) Potentially hazardous items, such as archery equipment, firearms and ammunition, hand and power tools, propane stoves, or chemicals shall be used by children only when adult supervision is provided. Such potentially hazardous items, whether or not intended for use by the children, shall be stored in locked areas or with other appropriate safeguards, or shall be removed from the premises.
- (d) All children shall be adequately supervised. Adequate supervision means staff shall be with the group of children and able to hear and see each child in his/her care, except:
  - (1) Children who are developmentally able may be permitted to go to the restroom independently, provided that:
    - (A) Staff members' proximity to children assures immediate intervention to safeguard a child from harm; and
    - (B) Individuals who are not staff members may not enter the restroom area while in use by any child; and
    - (C) Children up to nine years of age are supervised by staff members who are able to hear the child. Children nine years of age and older are not required to be directly supervised, however, staff members shall know the whereabouts of children who have left their group to use the restroom.
  - (2) Adequate supervision for children ages nine and older means that staff shall be with the group of children and able to hear or see each child in his/her care. A staff member shall accompany any children that leave the group to go indoors or outdoors.
  - (3) When emergencies necessitate that direct supervision is impossible for brief periods of time.
- (e) Children shall wear life jackets whenever they participate in boating, rafting or canoeing activities and children riding bicycles shall wear bicycle safety helmets.

History Note: Authority G.S. 110-91; 143B-168.3; Eff. July 1, 1988; Amended Eff. July 1, 1998; September 1, 1990.

#### .2510 STAFF QUALIFICATIONS

- (a) The following staff requirements shall apply to a part-time school-age or seasonal school-age program:
  - (1) The individual who is responsible for ensuring the administration of the program, whether on-site or offsite, shall:
    - (A) Be at least 21 years old and have at least one year of full-time experience or two summers' experience working with school-age children in a school-age care program or camp setting prior to employment; and
    - (B) Meet the requirements for a child care administrator in G.S. 110-91(8).
  - (2) At least one individual who is responsible for planning and ensuring the implementation of daily activities for a school-age program (who may be called a program coordinator) shall:
    - (A) Be at least 18 years old and have a high school diploma or its equivalent prior to employment; and
    - (B) Have completed two semester credit hours in child and youth development and two semester credit hours in school-age programming. Each individual who does not meet this requirement shall enroll in coursework within six months after becoming employed or by September 1, 1999, whichever is later, and shall complete this coursework within 18 months of enrollment;
    - (C) In a part day program be on site when children are in care. For a full day program be on site for two thirds of the hours of operation. This may include times when the individual may be off site due to illness or vacation.
  - (3) All other staff (who may be called group leaders or assistant group leaders) shall be at least 16 years of age and complete seven clock hours of school-age program training approved by the Division. The school-age program training shall include the following topics: child care regulations; quality school-age care; school-age child development; positive behavior management practices; ageappropriate activities; curriculum and environmental design; and health, safety, and playground training.
    - (A) Staff in part-time school-age programs shall complete the school-age program training within three months of becoming employed.
    - (B) Staff in seasonal school-age programs shall complete the school-age program training within six weeks of becoming employed.
- (b) The following staff requirements shall apply to a schoolage program that operates on a full year basis and offers full time care for school-age children:
  - (1) The individual who is responsible for the

- administration of the program, whether on-site or offsite, shall meet the requirements in Subparagraph (a)(1) of this Rule.
- (2) At least one individual who is responsible for planning and ensuring the implementation of the daily activities for a school-age program shall meet the requirements in Subparagraph (a)(2) of this Rule.
- (3) All other staff shall meet the requirements in Subparagraph (a)(3) of this Rule within three months of becoming employed.
- (c) The following staff requirements shall apply to a schoolage program which operates on a full year basis as a component of a center which also provides care to preschool-age children:
  - (1) The individual who is on-site and responsible for the administration of the program shall meet the requirements for child care administrator in G.S. 110-91(8) and Section .0700 of this Subchapter.
  - (2) At least one individual responsible for planning and ensuring the implementation of the daily activities for the school-age component of the program shall:
    - (A) Meet the requirements in Subparagraph (a)(2) of this Rule; or
    - (B) Have a North Carolina Early Childhood Credential or its equivalent and complete the school-age program training described in Subparagraph (a)(3) of this Rule within three months of becoming employed.
  - (3) All other staff working in the school-age component of the program shall complete the school-age program training described in Subparagraph (a)(3) of this Rule within three months of becoming employed.
- (d) The special training requirements in Rule .0705 shall apply to all programs for school-age children.
- (e) Whenever children participate in swimming or other aquatic activities, the following provisions shall apply:
  - (1) The children shall be supervised by persons having life saving certificates, issued by the Red Cross or other issuing entity approved by the Division appropriate for the type of body of water and type of aquatic activities:
    - (A) One lifeguard is required for groups of 25 or fewer children.
    - (B) Two lifeguards are required for groups of 26 or more children.
  - (2) A person with lifeguard certification is not required when there are no more than 12 children present and the body of water has no portion deeper than 30 inches and the total surface area is not more than 400 square feet. The children shall be supervised by at least one adult who is certified to perform cardiopulmonary resuscitation appropriate for the ages of children in care.
- (f) All staff shall participate in at least three hours of documented orientation related to the program's policies. activities and child safety within six weeks of assuming responsibility for supervising a group of children.
- (g) The health requirements for staff and volunteers in Rule .0701 .0702 shall apply.

- (h) All staff under age 18 counted toward meeting the required staff/child ratio shall work under the direction of another staff person at least 21 years of age.
- (i) When an individual has responsibility for both administering the program and planning and ensuring the implementation of the daily activities of a school-age program, the individual shall meet the staff requirements for an administrator and shall complete the seven hours of school-age program training.
- (j) Completion of the seven clock hour school-age program training shall count toward meeting one year's annual on-going training requirements in Section .0700 of this Subchapter.

History Note: Authority G.S. 110-91(8),(11); 143B-168.3; Eff. July 1, 1988;

Amended Eff. July 1, 1998; January 1, 1992; September 1, 1990.

### **CHAPTER 14 - MENTAL HEALTH: GENERAL**

### SUBCHAPTER 14V - RULES FOR MENTAL HEALTH, DEVELOPMENTAL DISABILITIES, AND SUBSTANCE ABUSE FACILITIES AND SERVICES

# SECTION .7100 - THOMAS S. DEATH REPORTING AND REVIEW

### .7104 DEATH REVIEW REQUIREMENTS

The death of any prospective or confirmed class member not residing in a state facility shall be reviewed by a local committee designated by the Area Director.

- (1) The committee designated to review deaths shall include a physician. There must be a provision for substitution if existing committee members were involved in the case at issue.
- (2) The committee shall review relevant aspects of the individual's care in order to identify any acts, policies, or practices which may have contributed to the death. The committee shall have access to all medical records, hospital records and records maintained by the State, any county, or any local agency necessary to carry out the purposes of this Section, including police investigations data, medical examiner investigative data, health records, mental health records and social services records, as designated in G.S. 122C-112(a)(15).
- (3) The committee shall investigate and analyze any circumstances surrounding the death that it considers to be unusual, as specified in G.S. 122C-112(a)(15).
- (4) A report from the area authority shall be issued following each review and sent to the Thomas S. Services Section, Division of MH/DD/SAS within 90 days of the death of a confirmed class member, and within 90 days of the area program being notified of the death of a prospective class member. This report shall include the following:
  - (a) name and unique 1D;
  - (b) date and place of death;
  - (c) residential and vocational providers and

addresses:

- (d) age and diagnoses;
- (e) circumstances surrounding the death;
- (f) medical information/history, including medical diagnoses, medications, recent treatment, and the cause of death;
- (g) brief description of staff responses to medical needs around the time of death;
- (h) involvement, if applicable, by law enforcement or other agencies;
- (i) summary of unusual circumstances leading to the death:
- (j) actions taken and recommendations;
- (k) signature of person preparing the report and date:
- (l) Area Program medical director review and comments, if the report was not prepared by the medical director; and
- (m) a copy of the death certificate.

The report shall address any local policies or practices that have been or will be revised as a result of the review; and state policies or practices that should be re-examined as a result of the review. The report shall not contain confidential peer review information produced solely under the proceedings of a quality assurance committee established under G.S. 122C-191.

(5) In order to facilitate adequate follow-up and review in Area Programs, the Division's Pioneer and Thomas S. Unit Cost Reimbursement Systems, as defined in 10 NCAC 14C .1148 and .1155 shall be available for billing under QA-Peer Review Activity or Quality Improvement/Quality Assurance Activity, following the death of a Thomas S. class member.

History Note: Authority G.S. 108A-99; 108A-100; 108A-101; 108A-102; 108A-103; 108A-104; 108A-105; 108A-106; 108A-107; 108A-108; 108A-109; 108A-110; 108A-111; 122C-112(a)(15); 122C-191; 130A-383; 130A-389; 130A-398; Eff. August 1, 1998.

### TITLE II - DEPARTMENT OF INSURANCE

# CHAPTER 5 - FIRE AND RESCUE SERVICES DIVISION

# SUBCHAPTER 5C - WORKER'S COMPENSATION FUND

### **SECTION .0100 - GENERAL PROVISIONS**

#### .0103 ROSTER OF COVERED INDIVIDUALS

Each year every eligible unit shall determine and report to the unit's governing body the names of the individual members of the eligible unit who are covered by the Fund. The governing body shall, upon determination of the validity and accuracy of

the information, certify the information to the Commission by June 30 of each year.

History Note: Authority G.S. 58-87-10; Eff. August 1, 1998.

# CHAPTER 8 - ENGINEERING AND BUILDING CODES

# SECTION .1300 - HOME INSPECTOR CONTINUING EDUCATION

### .1301 DEFINITIONS

- (a) As used in this Section:
  - (1) "Credit hour" means one continuing education course hour, comprising at least 50 minutes of instruction.
- (2) "License period" means October 1 through the following September 30.
- (3) "Licensee" means a home inspector or associate home inspector licensed by the Board under G.S. 143, Article 9F and Section .1000 of this Chapter.
- (b) The definitions contained in G.S. 143-151.45 apply to this Section.

History Note: Authority G.S. 143-151.49; 143-151.55; Eff. August 1, 1998.

#### .1302 RENEWAL OF ACTIVE LICENSE

- (a) In order to renew an active home inspector or associate home inspector license for license periods beginning on or after October 1, 1999, the licensee shall have completed, during the previous license period, the following number of credit hours:
  - (1) From October 1, 1998 through September 15, 1999: eight credit hours.
  - (2) From October 1, 1999 through September 15, 2000: 12 credit hours.
  - (3) Each subsequent license period: 12 credit hours.
- (b) A licensee who is initially licensed on or after June 1 is exempt from this Section for the following license period.

History Note: Authority G.S. 143-151.49; 143-151.55; Eff. August 1, 1998.

# .1308 DUTIES OF LICENSEES TO SHOW PROOF OF COMPLIANCE

- (a) In order to receive credit from the Board for completion of continuing education courses under this Section, a licensee must provide documentation to the Board on a form prescribed by the Board, no later than September 15 of each year, that:
  - (1) Each continuing education course or courses taken and completed by the licensee:
    - (A) Comprised at least one credit hour; and
    - (B) Contained subject matter that was directly related to the practice of home inspection. Examples of course subject matter that are acceptable to the Board for credit include: Topics directly related to systems and components listed in the Standards of Practice

and Code of Ethics in Section .1100 of this Chapter, report writing, and inspection procedures and practices. Examples of subject matter that are not acceptable include: Sales and marketing topics, general business management, office procedures, success training, personal development, radon testing, and time management.

- (2) The licensee:
  - (A) Had an opportunity to interact directly either in person or by interactive television with the instructor at all times during the course; or
  - (B) Took a written examination after course completion if the course comprised correspondence instruction or media-based instruction, such as videotape, audio tape, remote non-interactive television, or computer programs; or
  - (C) Verified completion of the course if the course was based on alternate educational practices, such as computer-assisted instruction or videotape instruction; and
  - (D) Complied with Rule .1304 of this Section.
- (b) The documentation filed by the licensee with the Board shall include:
  - (1) A course outline provided by the course sponsor showing details of the course content; and
  - (2) In the case of traditional classroom courses, a course completion certificate provided by the course sponsor indicating the licensee's completion of the course (or attendance for at least 90% of the allotted time); or
  - (3) In the case of alternate education courses (such as videotape, computer-assisted, audio tape, or correspondence courses) a course completion certificate provided by the course sponsor certifying that the sponsor has verified that the licensee has passed a written examination based on the course subject matter, or submitted equivalent proof of completion to the sponsor.

History Note: Authority G.S. 143-151.49; 143-151.55; Eff. August 1, 1998.

# CHAPTER 10 - PROPERTY AND CASUALTY DIVISION

### **SECTION .0100 - GENERAL PROVISIONS**

# .0105 MANUSCRIPT OR INDIVIDUAL RISK FILINGS

- (a) Within 60 days after the inception date of a manuscript or individual risk policy, the insurer shall submit to the Department's Property and Casualty Division:
  - (1) Any form or endorsement not previously filed with the Department and approved for use.
  - (2) A statement explaining why a manuscript or individual risk policy was needed.
  - (3) The appropriate filing fee.
  - (b) Continuous policies are not permitted.
  - (c) A copy of the approved filing shall be retained by the filer

in accordance with 11 NCAC 19 .0002 through 11 NCAC 19 .0005.

- (d) If the rates have been determined by an unfiled "(a) rating" or "individual risk rating", the insurer shall submit the following:
  - (1) A statement describing how the rates were calculated.
  - (2) A certification that the rates are not excessive, inadequate, or unfairly discriminatory.

History Note: Authority G.S. 58-2-40; 58-6-5; 58-41-50; 58-43-5:

Eff. April 1, 1992;

Amended Eff. August 1, 1998; February 1, 1996.

### **CHAPTER 19 - MARKET EXAMINATIONS DIVISION**

### **SECTION .0100 - GENERAL PROVISIONS**

#### .0102 MAINTENANCE OF RECORDS

- (a) Every insurer licensed to do business in this State shall maintain for not less than three years all records, books, documents, and other business records that are required by this Chapter and by Chapter 58 of the General Statutes. This data shall be maintained in such an order that information can be readily ascertained by the Department upon a market conduct examination.
- (b) Every agency, agent, broker, or producer of record shall maintain a file for each policy sold, and the file shall contain all work papers and written communications in his or her possession pertaining to the policy documented therein. These records shall be retained for not less than three years.

History Note: Authority G.S. 58-2-40(1); 58-2-50; 58-2-131; 58-2-132; 58-2-133; 58-2-185; 58-2-190; 58-2-195; 58-2-200; 58-7-50; 58-20-30; 58-21-40; 58-21-75; 58-22-20(6); 58-23-25; 58-24-135; 58-27-10; 58-36-85(1); 58-39-70; 48-41-15; 58-41-20; 58-41-25; 58-47-100; 58-48-65; 58-49-55; 58-56-16; 58-62-66; 58-63-20; 58-64-55; 58-65-105; 58-67-100; Eff. March 1, 1993;

Amended Eff. August 1, 1998.

#### .0103 COMPLAINT RECORDS

Each insurer or its agents shall maintain or cause to be maintained an itemization register or log of all written complaints listing the Department file number, the name of the insured, the nature of the complaint, the Department subject to the complaint, the policy or claim number of the insured, and the disposition of the complaint. This record shall be retained for at least three years.

History Note: Authority G.S. 58-2-40(1); 58-2-50; 58-2-131; 58-2-132; 58-2-133; 58-7-50; 58-20-30; 58-21-40; 58-36-85(1); 58-47-100; 58-21-75; 58-22-20(6); 58-23-25; 58-24-135; 58-27-10; 58-39-70; 58-48-65; 58-49-55; 58-56-16; 58-62-66; 58-63-20; 58-64-55; 58-65-105; 58-67-100; Eff. March 1, 1993;

Amended Eff. August 1, 1998.

#### TITLE 12 - DEPARTMENT OF JUSTICE

# CHAPTER 10 - N.C. SHERIFFS' EDUCATION AND TRAINING STANDARDS COMMISSION

# SUBCHAPTER 10B - N.C. SHERIFFS' EDUCATION AND TRAINING STANDARDS COMMISSION

# SECTION .0100 - COMMISSION ORGANIZATION AND PROCEDURES

#### .0103 DEFINITIONS

In addition to the definitions set forth in G.S. 17E-2, the following definitions apply throughout this Chapter, unless the context clearly requires otherwise:

- (1) "Appointment" as it applies to a deputy sheriff means the date the deputy's oath of office is administered; and as it applies to a detention officer means either the date the detention officer's oath of office was administered, if applicable, or the detention officer's actual date of employment as reported on the Report of Appointment (Form F-4T) by the employing agency, whichever is earlier; and as it applies to a telecommunicator, the telecommunicator's actual date of employment as reported on the Report of Appointment (Form F-4T).
- (2) "Convicted" or "Conviction" means and includes, for purposes of this Chapter, the entry of:
  - (a) a plea of guilty;
  - (b) a verdict or finding of guilt by a jury, judge, magistrate, or other duly constituted, established, and recognized adjudicating body, tribunal, or official, either civilian or military; or
  - (c) a plea of no contest, nolo contendere, or the equivalent.
- (3) "Department Head" means the chief administrator of any criminal justice agency or communications center. Department head includes the sheriff or a designee appointed in writing by the Department head.
- (4) "Director" means the Director of the Sheriffs' Standards Division of the North Carolina Department of Justice.
- (5) "Division" means the Sheriffs' Standards Division.
- (6) "High School" means a school accredited as a high school by:
  - (a) the Department or Board of Education of the state in which the high school is located; or
  - (b) the regional accrediting body; or
  - (c) the state university of the state in which the high school is located.
- (7) "Enrolled" means that an individual is currently actively participating in an on-going formal presentation of a commission-accredited basic training course which has not been concluded on the day probationary certification expires.

- (8) "Essential Job Functions" means those tasks deemed by the agency head to be necessary for the proper performance of a justice officer.
- (9) "Lateral Transfer" means certification of a justice officer when the applicant for certification has previously held general or grandfather certification as a justice officer or a criminal justice officer as defined in G.S. 17C-2(c), excluding state correctional officers, state probation/parole officers, and state youth services officers, provided the applicant has been separated from a sworn law enforcement position for no more than one year, or has had no break in service.
- (10) "Misdemeanor" means those criminal offenses not classified by the North Carolina General Statutes, the United States Code, the common law, or the courts as felonies. Misdemeanor offenses are classified by the Commission as follows:
  - "Class A Misdemeanor" means an act committed or omitted in violation of any common law, duly enacted ordinance or criminal statute of this state which is not classified as a Class B Misdemeanor pursuant to Sub-item (10)(b) of this Rule. Class A Misdemeanor also includes any act committed or omitted in violation of any common law, duly enacted ordinance, criminal statute, or criminal traffic code of any jurisdiction other than North Carolina, either civil or military, for which the maximum punishment allowable for the designated offense under the laws, statutes, or ordinances of the jurisdiction in which the offense occurred includes imprisonment for a term of not more than six months. Specifically excluded from this grouping of "Class A Misdemeanor" criminal offenses jurisdictions other than North Carolina, are motor vehicle or traffic offenses designated as misdemeanors under the laws of other jurisdictions, or duly enacted ordinances of an authorized governmental entity with the exception of the offense of driving while impaired which is expressly included herein as a class A misdemeanor, if the offender could have been sentenced for a term of not more than six months. Also specifically included herein as a Class A Misdemeanor is the offense of driving while impaired, if the offender was sentenced under punishment level three [G.S. 20-179(i)], level four [G.S. 20-179(j)], or level five [G.S. 20-179(k)]. Class "A" Misdemeanor shall also include acts committed or omitted in North Carolina prior to October 1, 1994 in violation of any common law, duly enacted ordinance or criminal statute, of this state for which the maximum punishment allowable for the designated offense included imprisonment for a term of not more than six months.
  - (b) "Class B Misdemeanor" means an act

committed or omitted in violation of any common law, criminal statute, or criminal traffic code of this state which is classified as a Class B Misdemeanor as set forth in the "Class B Misdemeanor Manual" as published by the North Carolina Department of Justice and shall automatically include any later amendments and editions of the incorporated material as provided by G.S. 150B-21.6. Copies of the publication may be obtained from the North Carolina Department of Justice, Post Office Box 629, Raleigh, North Carolina 27602. There is no cost per manual at the time of adoption of this Rule. Class B Misdemeanor also includes any act committed or omitted in violation of any common law, duly enacted ordinance, or criminal statute, or criminal traffic code of any jurisdiction other than North Carolina, either civil or military, for which the maximum punishment allowable for the designated offense under the laws, statutes, or ordinances of the jurisdiction in which the offense occurred includes imprisonment for a term of more than six months but not more than two years. Specifically excluded from this grouping of "Class B Misdemeanor" criminal offenses for jurisdictions other than North Carolina, are motor vehicle or traffic offenses designated as being misdemeanors under the laws of other jurisdictions with the following exceptions: Class B Misdemeanor does expressly include, either first or subsequent offenses of driving while impaired if the maximum allowable punishment is for a term of more than six months but not more than two years, and driving while license permanently revoked or permanently suspended. "Class B Misdemeanor" shall also include acts committed or omitted in North Carolina prior to October 1, 1994 in violation of any common law, duly enacted ordinance, or criminal statute, of this state for which the maximum punishment allowable for the designated offense included imprisonment for a term of more than six months but not more than two years. Specifically excluded from the grouping of "Class B misdemeanors" committed or omitted in North Carolina prior to October 1, 1994 are motor vehicle or traffic offenses designated as being misdemeanors under Chapter 20 (motor vehicles) of the General Statutes of North Carolina, with the following exceptions: "Class B misdemeanors" committed or omitted in North Carolina prior to October 1, 1994 expressly include, either first or subsequent offenses of G.S. 20-138(a) or (b), G.S. 20-166 (duty to stop in the event of an accident), G.S. 20-138.1 (impaired driving) if the defendant was sentenced under punishment level one [G.S. 20-179(g)] or punishment level two [G.S. 20-179(h)] for the offense, and shall also include a violation of G.S. 20-28(b) [driving while license permanently revoked or suspended].

- (11) "Felony" means any offense designated a felony by the laws, statutes, or ordinances of the jurisdiction in which the offense occurred.
- (12) "Dual Certification" means that a justice officer holds probationary, general, or grandfather certification in two or more of the following positions with the same agency:
  - (a) deputy sheriff;
  - (b) detention officer:
  - (c) telecommunicator.
- (13) "Detention Officer" means any person performing responsibilities, either on a full-time, part-time,

- permanent or temporary basis, which include but are not limited to the control, care, and supervision of any inmates incarcerated in a county jail or other confinement facility under the direct supervision and management of the sheriff. "Detention Officer" shall also mean the administrator and the other custodial personnel of district confinement facilities as defined in G.S. 153A-219.
- (14) "Deputy Sheriff" means any person who has been duly appointed and sworn by the sheriff and who is authorized to exercise the powers of arrest in accordance with the laws of North Carolina.
- (15) "Telecommunicator" means any person performing responsibilities, either on a full-time, part-time, permanent or temporary basis, for communication functions to include but not limited to receiving calls or dispatching for emergency and law enforcement services.
- (16) "Commission" as it pertains to criminal offenses shall mean a finding by the North Carolina Sheriffs' Education and Training Standards Commission or an administrative body, pursuant to the provisions of Chapter 150B of the North Carolina General Statutes, that a person performed the acts necessary to satisfy the elements of a specified criminal offense.
- (17) "Sworn Law Enforcement Position" means a position with a criminal justice agency of the United States, any state, or a political subdivision of any state which, by law, has general power of arrest held by a person who has successfully completed the North Carolina Basic Law Enforcement Training Course.

History Note: Filed as a Temporary Amendment Eff. October 1, 1994 for a period of 180 days or until the permanent rule becomes effective whichever is sooner;

Authority G.S. 17E-7;

Eff. January 1, 1989;

Amended Eff. January 1, 1996; March 1, 1995; January 1, 1994; January 1, 1993;

Temporary Amendment Eff. March 1, 1998;

Amended Eff. August 1, 1998.

# SECTION .0400 - CERTIFICATION OF DEPUTY SHERIFFS

# .0403 PROBATIONARY CERTIFICATION REQUIREMENT

- (a) For certification as a justice officer, a Report of Appointment (Form F-4T) must be submitted to the Division. Report of Appointment forms must be submitted to the Division by the sheriff's office no later than ten days after the deputy sheriff has taken the Oath of Office, or the detention officer or the telecommunicator has been appointed. The Division shall forward the justice officer's certification to the appointing agency.
- (b) No deputy sheriff or detention officer probationary certification shall be issued by the Division prior to the applicant meeting the conditions set forth in this Paragraph. As an

additional requirement for probationary certification, the applicant shall meet the following requirements:

- (1) If the applicant for probationary certification is authorized to carry a firearm pursuant to the provisions of 12 NCAC 10B .2104, the employing agency shall submit evidence of satisfactory completion of the employing agency's in-service firearms training and requalification program pursuant to 12 NCAC 10B .2000 and .2100; or
- (2) If the applicant for probationary certification is not authorized to carry a firearm pursuant to the provisions of 12 NCAC 10B .2104, the employing agency shall notify the Division, in writing, that the applicant is not authorized to carry a firearm.

History Note: Authority G.S. 17E-4; 17E-7;

Eff. January 1, 1989;

Amended Eff. February 1, 1998, January 1, 1996; January 1, 1994; January 1, 1991.

Temporary Amendment Eff. March 1, 1998;

Amended Eff. August 1, 1998.

### SECTION .1100 - SHERIFFS', DEPUTY SHERIFFS' AND DETENTION OFFICERS' SERVICE AWARD PROGRAM

#### .1101 PURPOSE

In order to recognize Sheriffs', deputy sheriffs' and detention officers' loyal and competent service to a particular sheriff's office in North Carolina, and also to the State of North Carolina, the Commission establishes the Sheriffs', deputy sheriffs' and detention officers' Service Award Program. This program is a method by which dedicated officers may receive local, state-wide and nation-wide recognition for their loyal and competent law enforcement service.

History Note: Authority G.S. 17E-4; Eff. January 1, 1989; Amended Eff. February 1, 1998; January 1, 1992;

Temporary Amendment Eff. March 1, 1998;

Amended Eff. August 1, 1998.

#### .1102 GENERAL PROVISIONS

(a) In order to be eligible for one or more of the service awards, a Deputy Sheriff, Detention Officer, or Sheriff shall first meet the following preliminary qualifications:

- (1) Be an elected or appointed sheriff or be a deputy sheriff or detention officer that holds a valid general or grandfather certification. An officer serving under a probationary certification is not eligible for consideration. Any deputy sheriff or detention officer subject to suspension or revocation proceedings by the Commission or the North Carolina Criminal Justice Education and Training Standards Commission shall not be eligible for a service award for the pendency of the proceedings.
- (2) Be familiar with and subscribe to the Law Enforcement Code of Ethics as promulgated by the

International Association of Chiefs of Police.

- (3) Employees of a North Carolina Sheriff's Office who have previously held certification, but are presently, by virtue of promotion or transfer, serving in positions not subject to certification may participate in the service award program. Eligibility for this exception requires continuous employment with a sheriff's office from the date of promotion or transfer from a certified position to the date of application for a service award as certified in writing by the Sheriff.
- (b) Only experience as a full-time certified member of a law enforcement agency in North Carolina or experience as an elected or appointed sheriff in North Carolina shall be acceptable for consideration.

History Note: Authority G.S. 17E-4; Eff. January 1, 1989; Amended Eff. February 1, 1998; January 1, 1990; Temporary Amendment Eff. March 1, 1998; Amended Eff. August 1, 1998.

### .1103 INTERMEDIATE SERVICE AWARD

In addition to the qualifications set forth in Rule .1102 an applicant must have served a minimum of 15 years as an elected or appointed Sheriff or a certified deputy sheriff or detention officer to receive an intermediate service award.

History Note: Authority G.S. 17E-4; Eff. January 1, 1989; Amended Eff. January 1, 1992; January 1, 1990; Temporary Amendment Eff. March 1, 1998; Amended Eff. August 1, 1998.

#### .1104 ADVANCED SERVICE AWARD

In addition to the qualifications set forth in Rule .1102 an applicant must have served a minimum of 20 years as an elected or appointed Sheriff a certified deputy sheriff or detention officer to receive an advanced service award.

History Note: Authority G.S. 17E-4; Eff. January 1, 1989; Amended Eff. January 1, 1992; January 1, 1990; Temporary Amendment Eff. March 1, 1998; Amended Eff. August 1, 1998.

### .1105 HOW TO APPLY

- (a) All applicants for either the Intermediate Service Award for the Advanced Service Award shall complete an "Application: Professional Certificate/Service Award," (F-6).
- (b) Documentation of the applicant's length of service in North Carolina shall be based upon the Division's certification records, however, oaths of office may be requested of the applicant.
- (c) The applicant shall submit the application to the agency head who shall attach his recommendation and forward the application to the Division. The Service Award shall be issued to the agency head for presentation to the applicant.

History Note: Authority G.S. 17E-4; Eff. January 1, 1989; Amended Eff. <u>August 1, 1998;</u> January 1, 1992; January 1, 1991.

# SECTION .1300 - MINIMUM STANDARDS OF TRAINING FOR TELECOMMUNICATORS

### .1304 EVALUATION FOR TRAINING WAIVER

- (a) Applicants for certification with prior telecommunicating experience shall have been employed and certified in a telecommunicator position in order to be considered for training evaluation under this Rule.
- (b) Persons who separated from a telecommunicator position during their probationary period after having completed a commission-accredited Telecommunicator Certification Course and who have been separated from a telecommunicator position for one year or less shall serve the remainder of the initial probationary period, but need not complete an additional training program.
- (c) Persons who separated from a telecommunicator position during their probationary period without having completed a commission-accredited Telecommunicator Certification Course, or whose certification was suspended pursuant to 12 NCAC 10B .0204(b)(1), and who have remained separated or suspended for over one year shall complete a commission-accredited Telecommunicator Certification Course in its entirety and pass the State Comprehensive Examination, and shall be allowed a 12 month probationary period as prescribed in 12 NCAC 10B .1303(a).
  - (d) Persons previously holding Grandfather telecommunicator

certification in accordance with G.S. 17E-7(c1) who have been separated from a telecommunicator position for more than one year shall be required to complete a commission-accredited Telecommunicator Certification Course in its entirety and pass the State Comprehensive Examination within the 12 month probationary period as prescribed in 12 NCAC 10B .1303(a).

History Note: Authority G.S. 17E-4; 17E-7; Temporary Adoption Eff. March 1, 1998; Eff. August 1, 1998.

# SECTION .2100 - DEPUTY SHERIFFS' AND DETENTION OFFICERS' FIREARMS IN-SERVICE CENTER

#### .2101 SHERIFF RESPONSIBILITIES

The sheriff shall ensure that the deputy sheriffs' and detention officers' In-Service Firearms Training and Requalification Program is conducted according to minimum specifications as outlined in 12 NCAC 10B .2103 and .2104. In addition, the sheriff:

- shall maintain copies of each course of fire adopted for use by his department and shall make those courses available for review by the Commission's representative upon request; and
- (2) shall maintain in each officer's personnel file a copy of a commission-approved Firearms Requalification Record Form which verifies that the officer did, or did not, successfully complete the minimum annual in-service firearms training requirement; and
- (3) may, where the officer fails to qualify with any of the weapons specified in 12 NCAC 10B .2104 prohibit access or possession of such weapon while on duty or when acting in the discharge of that agency's official duties and may deny the officer authorization to carry such weapons concealed when off-duty, except when the officer is on his/her own premises; and
- (4) shall report to the Division once each calendar year a roster of all deputy sheriffs and detention officers who fail to successfully complete the annual inservice firearms training and qualification and shall certify that all deputy sheriffs and detention officers required to qualify pursuant to 12 NCAC 10B .2104 who are not listed did successfully complete the training. This roster shall reflect the annual in-service firearms training and qualification status of all deputy sheriffs and detention officers employed by the agency as of December 31st of each calendar year and shall be received by the Division no later than the following January 15th; and
- (5) shall report to the Division not later than January 15th of each calendar year a list of those deputy sheriffs and detention officers employed by the agency who are not authorized by the sheriff to carry a weapon; and
- (6) may ensure that once each year all officers receive a review of departmental policies regarding the use of force. It is recommended by the Commission that all

officers be tested on departmental policies.

History Note: Authority G.S. 17E-4; 17E-7; Eff. January 1, 1989; Amended Eff. January 1, 1995; January 1, 1993; Temporary Amendment Eff. March 1, 1998; Amended Eff. August 1, 1998.

#### .2102 **INSTRUCTORS**

The following requirements and responsibilities are hereby established for instructors who conduct the Deputy Sheriffs' and Detention Officers' In-Service Firearms Training and Requalification Program:

- The instructor shall hold "Specific Instructor (1) Certification-Firearms" issued by the North Carolina Criminal Justice Education and Training Standards Commission:
- The instructor shall deliver the training consistent (2) with the minimum specifications as established by 12 NCAC 10B .2103 and .2104; and shall be present at all times during which said training is being conducted to personally provide all supervision, classroom training, range training, and scoring for certification purposes:
- The instructor shall document the successful or (3) unsuccessful completion of training for each officer on a commission-approved Firearms Requalification Record Form and forward such form to each officer's sheriff:
- The instructor shall submit to the sheriff copies of all courses of fire used for qualification of deputy sheriffs and detention officers in compliance with 12 NCAC 10B .2101(1).

History Note: Authority G.S. 17E-4; 17E-7;

Eff. January 1, 1989;

Amended Eff. January 1, 1995; January 1, 1993; January 1,

Temporary Amendment Eff. March 1, 1998; Amended Eff. August 1, 1998.

#### IN-SERVICE FIREARMS REQUALIFICATION .2104 **SPECIFICATIONS**

(a) All deputy sheriffs and detention officers who are authorized by the sheriff to carry a handgun shall qualify a minimum of once each year with their individual and department-approved service handgun. The course of fire shall not be less stringent than the "Basic Law Enforcement Training Course" requirements for firearms qualification.

- (b) All deputy sheriffs and detention officers who are issued, or otherwise authorized by the sheriff to carry a shotgun, rifle, or automatic weapon shall be required to qualify with each weapon respectively a minimum of once each year.
- (c) Qualifications conducted pursuant to Paragraphs (a) and (b) of this Rule shall be completed with duty equipment and duty ammunition or duty-type ammunition meeting the specifications of the duty ammunition as to type projectile, weight and velocity.
- (d) All deputy sheriffs and detention officers who are authorized by the sheriff to carry off duty handguns shall qualify with their off duty handgun a minimum of once each year pursuant to 12 NCAC 10B .2103 and .2104(a) and (b) with each handgun the officer carries off duty using ammunition approved by the sheriff.
- (e) All deputy sheriffs and detention officers who are issued or have access to any weapons not stated in this Rule must qualify with these weapons once each year using ammunition approved by the sheriff.
- (f) In cases where reduced-sized targets are used to simulate actual distances, a modified course of fire may be used.
- (g) To satisfy the minimum training requirements for all in-service firearms requalifications, a deputy sheriff or detention officer shall attain a minimum qualification score of 70 percent accuracy with each weapon once in three attempts with no more than three attempts on each course of fire per day.
- (h) The "In-Service Firearms Qualification Manual" as published by the North Carolina Justice Academy is hereby incorporated by reference, and shall automatically include any later amendments or editions of the referenced materials to apply as a minimum guide for conducting the annual in-service firearms qualification. Copies of the publication may be obtained from the North Carolina Justice Academy, Post Office Drawer 99, Salemburg, North Carolina 28385. There is no cost per manual at the time of adoption of this Rule.

History Note: Authority G.S. 17E-4; 17E-7;

Eff. January 1, 1989;

Amended Eff. January 1, 1994; January 1, 1993; January 1, 1992; January 1, 1991;

Temporary Amendment Eff. March 1, 1998;

Amended Eff. August 1, 1998.

### TITLE 13 - DEPARTMENT OF LABOR

#### **CHAPTER 13 - BOILER AND PRESSURE VESSEL**

#### **SECTION 200 - ADMINISTRATION**

### CERTIFICATE AND INSPECTION FEES

- (a) A twenty five dollar (\$25.00) certificate and processing fee for each boiler or pressure vessel inspected by an Insurance Inspector and found to be in compliance with the rules in this Chapter shall be paid to the North Carolina Department of Labor.
- (b) An inspection and certificate fee shall be paid to the North Carolina Department of Labor for each boiler or pressure vessel inspected by a deputy inspector as follows:

<b>Boilers</b> - An inspection of a boiler where the heating surface is:	External Inspection	Internal Inspection
Less than 500 sq. ft.	\$40.00	\$70.00
500 or more sq. ft. but less than 5000 sq. ft.	\$100.00	\$200.00
5000 or more sq. ft.	\$250.00	\$400.00
Pressure Vessels - An inspection of a pressure vessel, other than a heat exchanger, where the product of measurement in feet of the diameter or width, multiplied by its length is:	External Inspection	Internal Inspection
Less than 20	\$30.00	\$35.00
20 or more but less than 50	\$40.00	\$50.00
50 or more but less than 70	\$70.00	\$100.00
70 or more	\$100.00	\$150.00
<b>Heat Exchangers</b> - An inspection of a heat exchanger, where the heating surface is:	External Inspection	
Less than 500 sq. ft.	\$40.00	
500 or more sq. ft. but less than 1000 sq. ft.	\$50.00	
1000 or more sq. ft. but less than 2000 sq. ft.	\$75.00	
2000 or more sq. ft. but less than 3000 sq. ft.	\$100.00	
3000	\$125.00	

- (c) In addition to the base fees established herein, a fee of seventy dollars (\$70.00) per hour, including travel time, plus each expense allowed by 138-6 and 138-7 and the standards and criteria established thereto by the Director of the Budget, at the applicable state rate shall be paid to the North Carolina Department of Labor for each special inspection as defined by 13 NCAC 13 .0101(43) and for all inspections performed outside of normal working hours as defined by 13 NCAC 13 .0101(31).
- (d) A fee of two hundred fifty dollars (\$250.00) per one-half day (four hours) or any part of one-half day or four hundred twenty dollars (\$420.00) for one day (four to eight hours) plus, in either case, each expense allowed by 138-6 and 138-7 and the standards and criteria established thereto by the Director of the Budget, at the applicable state rate shall be paid to the North Carolina Department of Labor for each shop inspection as defined by 13 NCAC 13 .0101(42).
- (e) A fee of three hundred dollars (\$300.00) per one-half day (four hours) or any part of one-half day or four hundred eighty dollars (\$480.00) for one day (four to eight hours), plus, in either case, each expense allowed by 1138-6 and 138-7 and the standards and criteria established thereto by the Director of the Budget, at the applicable state rate shall be paid to the North Carolina Department of Labor for each nuclear inspection.
- (f) A fee of three hundred fifty dollars (\$350.00) per one-half day (four hours) or any part of one-half day or five hundred fifty dollars (\$550.00) for one day (four to eight hours), plus, in either case, each expense allowed by 1138-6 and 138-7 and the standards and criteria established thereto by the Director of the Budget, at the applicable state rate shall be paid to the North Carolina Department of Labor for audits.
- (g) Fees for regularly scheduled inspections and audits that exceed eight hours per inspection visit shall include an additional thirty dollar (\$30.00) fee per hour in addition to the normal inspection or audit fee.
- (h) Printed information derived from the database for boilers and pressure vessels maintained by the Division, is available for public scrutiny. Charges for providing this service shall be payable upon receipt of invoice to the North Carolina Department of Labor. Charges for this service are as follows:
  - (1) Requests for database information for which the Division has created the information selection criteria and printout format for its own use, and which can be furnished without the need for special programming will be furnished to the requester at the actual cost of reproducing the record.
  - (2) Requests for database information which requires special selection criteria or printout format, and which requires the need for special programming services to derive the requested information or format, will be furnished for seventy-five dollars (\$75.00) plus twenty-five cents (\$0.25) per page.
  - (i) Copies of inspection reports or other inspection records may be provided upon written request to the requester at the actual cost

of reproducing the record.

History Note: Authority G.S. 95-69.11;

Eff. May 29, 1981;

Amended Eff. January 1, 1995; March 2, 1992; September 1, 1986;

Temporary Amendment Eff. December 8, 1997; March 11, 1997;

Amended Eff. August 1, 1998.

### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

# SUBCHAPTER 2B - SURFACE WATER AND WETLAND STANDARDS

# SECTION .0300 - ASSIGNMENT OF STREAM CLASSIFICATIONS

#### .0305 WATAUGA RIVER BASIN

- (a) The schedule may be inspected at the following places:
  - (1) Clerk of Court:

Avery County

Watauga County

(2) North Carolina Department of Environment and Natural Resources Asheville Regional Office

Interchange Building 59 Woodfin Place

Asheville, North Carolina.

- (b) Unnamed Streams. Such streams entering the State of Tennessee are classified "C."
- (c) The Watauga River Basin Schedule of Classifications and Water Quality Standards was amended effective:
  - (I) August 12, 1979;
  - (2) February 1, 1986;
  - (3) October 1, 1987;
  - (4) August 1, 1989;
  - (5) August 1, 1990;
  - (6) December 1, 1990;
  - (7) April 1, 1992;
  - (8) August 3, 1992;
  - (9) February 1, 1993;
  - (10) April 1, 1994;
  - (11) August 1, 1998.
- (d) The Schedule of Classifications and Water Quality Standards for the Watauga River Basin was amended effective July 1, 1989 as follows:
  - (1) Dutch Creek (Index No. 8-11) was reclassified from Class C-trout to Class B-trout.
  - (2) Pond Creek (Index No. 8-20-2) from water supply intake (located just above Tamarack Road) to Beech Creek and all tributary waters were reclassified from Class WS-III to C.
- (e) The Schedule of Classifications and Water Quality Standards for the Watauga River Basin was amended effective

December 1, 1990 with the reclassification of the Watauga River from the US Highway 321 bridge to the North Carolina/Tennessee state line from Class C to Class B.

- (f) The Schedule of Classifications and Water Quality Standards for the Watauga River Basin was amended effective April 1, 1992 with the reclassification of Pond Creek from Classes WS-III and C to Classes WS-III Trout and C Trout.
- (g) The Schedule of Classifications and Water Quality Standards for the Watauga River Basin was amended effective August 3, 1992 with the reclassification of all water supply waters (waters with a primary classification of WS-1, WS-II or WS-III). These waters were reclassified to WS-I, WS-II, WS-III, WS-IV or WS-V as defined in the revised water supply protection rules, (15A NCAC 2B .0100, .0200 and .0300) which became effective on August 3, 1992. In some cases, streams with primary classifications other than WS were reclassified to a WS classification due to their proximity and linkage to water supply waters. In other cases, waters were reclassified from a WS classification to an alternate appropriate primary classification after being identified as downstream of a water supply intake or identified as not being used for water supply purposes.
- (h) The Schedule of Classifications and Water Quality Standards for the Watauga River Basin has been amended effective February 1, 1993 with the reclassification of Boone Fork (Index No. 8-7) and all tributary waters from Classes C Tr HQW and C HQW to Classes C Tr ORW and C ORW.
- (i) The Schedule of Classifications and Water Quality Standards for the Watauga River Basin has been amended effective April 1, 1994 with the reclassification of the Elk River from Peavine Branch to the North Carolina/Tennessee state line [Index No. 8-22-(3)] from Class C Tr to Class B Tr.
- (j) The Schedule of Classifications and Water Quality Standards for the Watauga River Basin has been amended effective August 1, 1998 with the reclassification of East Fork Pond Creek from its source to the backwater of Santis Lake, [Index No. 8-20-2-1.5] from Class WS-11 Tr to Class WS-111 Tr; the reclassification of West Fork Pond Creek (Santis Lake) [Index No. 8-20-2-1-(2)] from the backwaters of Santis Lake to Pond Creek from WS-11 Tr CA to WS-111 Tr CA; and the reclassification of the connecting stream of Lake Coffey [Index No. 8-20-2-2] from the dam at Lake Coffey to Pond Creek from WS-11 Tr CA to C Tr.

History Note: Authority G.S. 143-214.1; 143-215.1; 143-215.3(a)(1);

Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998</u>; April 1, 1994; February 1, 1993; August 3, 1992; April 1, 1992.

### .0308 CATAWBA RIVER BASIN

- (a) The schedule may be inspected at the following places:
  - (1) Clerk of Court:

Alexander County

Avery County

**Burke County** 

Caldwell County

Catawba County

Gaston County

Iredell County

Lincoln County

McDowell County

Mecklenburg County

Union County

Watauga County

- (2) North Carolina Department of Environment and Natural Resources:
  - (A) Mooresville Regional Office919 North Main StreetMooresville, North Carolina
  - (B) Asheville Regional Office Interchange Building
     59 Woodfin Place Asheville, North Carolina.
- (b) Unnamed Streams. Such streams entering South Carolina are classified "C."
- (c) The Catawba River Basin Schedule of Classifications and Water Quality Standards was amended effective:
  - (1) March 1, 1977;
  - (2) August 12, 1979;
  - (3) April 1, 1982;
  - (4) January 1, 1985;
  - (5) August 1, 1985;
  - (6) February 1, 1986;
  - (7) March 1, 1989;(8) May 1, 1989;
  - (9) March 1, 1990;
  - (10) August 1, 1990;
  - (11) August 3, 1992;
  - (12) April 1, 1994;
  - (13) July 1, 1995;
  - (14) September 1, 1996;
  - (15) August 1, 1998.
- (d) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective March 1, 1989 as follows:
  - Wilson Creek (Index No. 11-38-34) and all tributary waters were reclassified from Class B-trout and Class C-trout to Class B-trout ORW and Class C-trout ORW.
- (e) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective May 1, 1989 as follows:
  - (1) Henry Fork [Index Nos. 11-129-1-(1) and 11-129-1-(2)] from source to Laurel Creek, including all tributaries, were reclassified from Class WS-I, C and C trout to Class WS-I ORW, C ORW and C trout ORW, except lvy Creek and Rock Creek which will

- remain Class C trout and Class C.
- (2) Jacob Fork [Index Nos. 11-129-2-(1) and 11-129-2-(4)] from source to Camp Creek, including all tributaries, were reclassified from Class WS-III trout and WS-III to WS-III trout ORW and WS-III ORW
- (f) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective March 1, 1990 as follows:
  - (1) Upper Creek [Index No. 11-35-2-(1)] from source to Timbered Branch including all tributaries except Timbered Branch (Index No. 11-35-2-9) was reclassified from Class C Trout to Class C Trout ORW.
  - (2) Steels Creek [Index No. 11-35-2-12(1)] from source to Little Fork and all tributaries was reclassified from Class C Trout to Class C Trout ORW.
- (g) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective August 3, 1992 with the reclassification of all water supply waters (waters with a primary classification of WS-I, WS-II or WS-III). These waters were reclassified to WS-I, WS-II, WS-III, WS-IV or WS-V as defined in the revised water supply protection rules, (15A NCAC 2B .0100, .0200 and .0300) which became effective on August 3, 1992. In some cases, streams with primary classifications other than WS were reclassified to a WS classification due to their proximity and linkage to water supply waters. In other cases, waters were reclassified from a WS classification to an alternate appropriate primary classification after being identified as downstream of a water supply intake or identified as not being used for water supply purposes.
- (h) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective April 1, 1994 as follows:
  - (1) Friday Lake (Index No. 11-125.5) from its source to Little Paw Creek was reclassified from Class C to Class B.
  - (2) The Linville River [Index No. 12-29-(1)] from Grandmother Creek to Linville Falls was reclassified from Class C Tr to Class B Tr.
- (i) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective July 1, 1995 with the reclassification of Clark Creek from a point 0.6 mile downstream of Catawba County SR 2014 to 0.4 mile upstream of Larkard Creek [Index No. 11-129-5-(4.5)], and Howards Creek from its source to 0.7 mile upstream of Lincoln County State Road 1200 [Index No. 11-129-4], including associated tributaries from Class WS-IV to Classes C and WS-IV.
- (j) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective September 1, 1996 as follows:
  - (1) North Fork Catawba River [Index No. 11-24-(1)] from Laurel Branch to Armstrong Creek from Class C Tr to Class B Tr; and
  - (2) Catawba River (Lake Hickory) from Rhodhiss dam to highway 321 [Index No. 11-(51)] from Class WS-

### IVCA to Class WS-IV&B CA.

- (k) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective August 1, 1998 with the revision to the primary classification for portions of the South Fork Catawba River [Index No. 11-129-(0.5)] and Hoyle Creek [Index No. 11-129-15-(1)] from Class WS-IV to Class WS-V.
- (1) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective August 1, 1998 as follows:
  - (1) Mill Creek [Index No. 11-7] from its source to Swannanoa Creek, including all tributaries, from Class C Tr to Class C Tr HQW; and
  - (2) Toms Creek [Index Nos 11-21-(1) and 11-21-(2)] from its source to Harris Creek, including all tributaries, from Class C Tr to Class C Tr HQW and from Harris Creek to McDowell County SR 1434, including all tributaries, from Class C to Class C HQW.
- (m) The Schedule of Classifications and Water Quality Standards for the Catawba River Basin was amended effective August 1, 1998 with the reclassification of Howards Creek [Index No. 11-129-4-(0.7)] from Class WS-IV to Class C; Clarks Creek [Index No. 11-129-5-(7.5)] from Class WS-IV to Class C; Indian Creek [Index No. 11-129-8-(5)] from Class WS-IV to Class C; and Beaver Creek [Index No. 11-129-9] from Class WS-IV to Class C.

History Note: Authority G.S. 143-214.1; 143-215.1; 143-215.3(a)(1);

Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998;</u> September 1, 1996; July 1, 1995; April 1, 1994; August 3, 1992; August 1, 1990.

### .0313 ROANOKE RIVER BASIN

- (a) The schedule may be inspected at the following places:
- (1) Clerk of Court:

Bertie County

Caswell County

Forsyth County

Granville County

**Guilford County** 

Halifax County

Martin County

Northampton County

Person County

**Rockingham County** 

Stokes County

Surry County

Vance County

Warren County

Washington County

- (2) North Carolina Department of Environment and Natural Resources:
  - (A) Raleigh Regional Office 3800 Barrett Drive Raleigh, North Carolina
  - (B) Washington Regional Office

1424 Carolina Avenue Washington, North Carolina

- (C) Winston-Salem Regional Office 8025 North Point Boulevard, Suite 100 Winston-Salem, North Carolina.
- (b) Unnamed Streams. Such streams entering Virginia are classified "C." Except that all backwaters of John H. Kerr Reservoir and the North Carolina portion of streams tributary thereto not otherwise named or described shall carry the classification "B," and all backwaters of Lake Gaston and the North Carolina portion of streams tributary thereto not otherwise named or described shall carry the classification "C and B."
- (c) The Roanoke River Basin Schedule of Classification and Water Quality Standards was amended effective:
  - (1) May 18, 1977;
  - (2) July 9, 1978;
  - (3) July 18, 1979;
  - (4) July 13, 1980;
  - (5) March 1, 1983;
  - (6) August 1, 1985;
  - (7) February 1, 1986;
  - (8) July 1, 1991;
  - (9) August 3, 1992;
  - (10) August 1, 1998.
- (d) The Schedule of Classifications and Water Quality Standards for the Roanoke River Basin was amended effective July 1, 1991 with the reclassification of Hyco Lake (Index No. 22-58) from Class C to Class B.
- (e) The Schedule of Classifications and Water Quality Standards for the Roanoke River Basin was amended effective August 3, 1992 with the reclassification of all water supply waters (waters with a primary classification of WS-I, WS-II or WS-III). These waters were reclassified to WS-I, WS-II, WS-III, WS-IV or WS-V as defined in the revised water supply protection rules, (15A NCAC 2B .0100, .0200 and .0300) which became effective on August 3, 1992. In some cases, streams with primary classifications other than WS were reclassified to a WS classification due to their proximity and linkage to water supply waters. In other cases, waters were reclassified from a WS classification to an alternate appropriate primary classification after being identified as downstream of a water supply intake or identified as not being used for water supply purposes.
- (f) The schedule of Classifications and Water Quality Standards for the Roanoke River Basin was amended effective August 1, 1998 with the reclassification of Cascade Creek (Camp Creek) [Index No. 22-12] and its tributaries from its source to the backwaters of the swimming lake from Class B to Class B ORW, and the reclassification of Indian Creek [Index No. 22-13] and its tributaries from its source to Window Falls from Class C to Class C ORW.
- (g) The Schedule of Classifications and Water Quality Standards for the Roanoke River Basin was amended effective August 1, 1998 with the revision to the primary classification for portions of the Dan River [Index No. 22-(19.5)] and the Mayo River [Index No. 22-30-(1)] from Class WS-IV to Class WS-V.

History Note: Authority G.S. 143-214.1; 143-215.1;

143-215.3(a)(1):

Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998</u>; August 3, 1992; July 1, 1991; February 1, 1986; August 1, 1985.

#### .0315 NEUSE RIVER BASIN

- (a) The schedule may be inspected at the following places:
- (1) Clerk of Court:

Beaufort County

Carteret County

Craven County

**Durham County** 

Franklin County

Granville County

Greene County

Johnston County

Jones County

Lenoir County

Nash County

Orange County

Pamlico County

Person County

Pitt County

Wake County

Wayne County

Wilson County

- (2) North Carolina Department of Environment and Natural Resources:
  - (A) Raleigh Regional Office 3800 Barrett Drive Raleigh, North Carolina
  - (B) Washington Regional Office 1424 Carolina Avenue Washington, North Carolina
  - (C) Wilmington Regional Office 127 Cardinal Drive Wilmington, North Carolina.
- (b) The Neuse River Basin Schedule of Classification and Water Quality Standards was amended effective:
  - (1) March 1, 1977;
  - (2) December 13, 1979:
  - (3) September 14, 1980;
  - (4) August 9, 1981;
  - (5) January 1, 1982;
  - (6) April 1, 1982:
  - (7) December 1, 1983;
  - (8) January 1, 1985;
  - (9) August 1, 1985;
  - (10) February 1, 1986;
  - (11) May 1, 1988;
  - (12) July 1, 1988;
  - (13) October 1, 1988;
  - (14) January 1, 1990;
  - (15) August 1, 1990;
  - (16) December 1, 1990:
  - (17) July 1, 1991;
  - (18) August 3, 1992;
  - (19) April 1, 1994;

- (20) July 1, 1996;
- (21) September 1, 1996;
- (22) April 1, 1997;
- (23) August 1, 1998.
- (c) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin has been amended effective July 1, 1988 as follows:
  - (1) Smith Creek [Index No. 27-23-(1)] from source to the dam at Wake Forest Reservoir has been reclassified from Class WS-1ll to WS-1.
  - (2) Little River [Index No. 27-57-(1)] from source to the N.C. Hwy. 97 Bridge near Zebulon including all tributaries has been reclassified from Class WS-III to WS-I.
  - (3) An unnamed tributary to Buffalo Creek just upstream of Robertson's Pond in Wake County from source to Buffalo Creek including Leo's Pond has been reclassified from Class C to B.
- (d) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin has been amended effective October 1, 1988 as follows:
  - (1) Walnut Creek (Lake Johnson, Lake Raleigh) [Index No. 27-34-(1)]. Lake Johnson and Lake Raleigh have been reclassified from Class WS-III to Class WS-III & B.
  - (2) Haw Creek (Camp Charles Lake) (Index No. 27-86-3-7) from the backwaters of Camp Charles Lake to dam at Camp Charles Lake has been reclassified from Class C to Class B.
- (e) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin has been amended effective January 1, 1990 as follows:
  - (1) Neuse-Southeast Pamlico Sound ORW Area which includes all waters within a line beginning at the southwest tip of Ocracoke Island, and extending north west along the Tar-Pamlico River Basin and Neuse River Basin boundary line to Lat. 35 degrees 06′ 30″, thence in a southwest direction to Ship Point and all tributaries, were reclassified from Class SA NSW to Class SA NSW ORW.
  - (2) Core Sound (Index No. 27-149) from northeastern limit of White Oak River Basin (a line from Hall Point to Drum Inlet) to Pamlico Sound and all tributaries, except Thorofare, John Day Ditch were reclassified from Class SA NSW to Class SA NSW ORW
- (f) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin was amended effective December 1, 1990 with the reclassification of the following waters as described in (1) through (3) of this Paragraph.
  - (1) Northwest Creek from its source to the Neuse River (Index No. 27-105) from Class SC Sw NSW to Class SB Sw NSW;
  - (2) Upper Broad Creek [Index No. 27-106-(7)] from Pamlico County SR 1103 at Lees Landing to the Neuse River from Class SC Sw NSW to Class SB Sw NSW; and
  - (3) Goose Creek [Index No. 27-107-(11)] from Wood

Landing to the Neuse River from Class SC Sw NSW to Class SB Sw NSW.

- (g) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin was amended effective July 1, 1991 with the reclassification of the Bay River [Index No. 27-150-(1)] within a line running from Flea Point to the Hammock, east to a line running from Bell Point to Darby Point, including Harper Creek, Tempe Gut, Moore Creek and Newton Creek, and excluding that portion of the Bay River landward of a line running from Poorhouse Point to Darby Point from Classes SC Sw NSW and SC Sw NSW HQW to Class SA NSW.
- The Schedule of Classifications and Water Quality (h) Standards for the Neuse River Basin was amended effective August 3, 1992 with the reclassification of all water supply waters (waters with a primary classification of WS-I, WS-II or WS-III). These waters were reclassified to WS-I, WS-II, WS-III, WS-IV or WS-V as defined in the revised water supply protection rules, (15A NCAC 2B .0100, .0200 and .0300) which became effective on August 3, 1992. In some cases, streams with primary classifications other than WS were reclassified to a WS classification due to their proximity and linkage to water supply waters. In other cases, waters were reclassified from a WS classification to an alternate appropriate primary classification after being identified as downstream of a water supply intake or identified as not being used for water supply purposes.
- (i) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin was amended effective April 1, 1994 as follows:
  - (1) Lake Crabtree [Index No. 27-33-(1)] was reclassified from Class C NSW to Class B NSW.
  - (2) The Eno River from Orange County State Road 1561 to Durham County State Road 1003 [Index No. 27-10-(16)] was reclassified from Class WS-IV NSW to Class WS-IV&B NSW.
  - (3) Silver Lake (Index No. 27-43-5) was reclassified from Class WS-III NSW to Class WS-III&B NSW.
- (j) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin was amended effective July 1, 1996 with the reclassification of Austin Creek [Index Nos. 27-23-3-(1) and 27-23-3-(2)] from its source to Smith Creek from classes WS-III NSW and WS-III NSW CA to class C NSW.
- (k) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin was amended effective September 1, 1996 with the reclassification of an unnamed tributary to Hannah Creek (Tuckers Lake) [Index No. 27-52-6-0.5] from Class C NSW to Class B NSW.
- (I) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin was amended effective April 1, 1997 with the reclassification of the Neuse River (including tributaries) from mouth of Marks Creek to a point 1.3 miles downstream of Johnston County State Road 1908 to class WS-IV NSW and from a point 1.3 miles downstream of Johnston County State Road 1908 to the Johnston County Water Supply intake (located 1.8 miles downstream of Johnston County State Road 1908) to class WS-IV CA NSW [Index Nos. 27-(36) and 27-(38.5)].

(m) The Schedule of Classifications and Water Quality Standards for the Neuse River Basin was amended effective August 1, 1998 with the revision of the Critical Area and Protected Area boundaries surrounding the Falls Lake water supply reservoir. The revisions to these boundaries is the result of the Corps of Engineers raising the lake's normal pool elevation. The result of these revisions is the Critical and Protected Area boundaries (classifications) may extend further upstream than the current designations. The Critical Area for a WS-IV reservoir is defined as .5 miles and draining to the normal pool elevation. The Protected Area for a WS-IV reservoir is defined as 5 miles and draining to the normal pool elevation. The normal pool elevation of the Falls Lake reservoir has changed from 250.1 feet mean sea level (msl) to 251.5 feet msl.

History Note: Authority G.S. 143-214.1; 143-215.1; 143-215.3(a)(1);

Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998;</u> April 1, 1997; September 1, 1996; July 1, 1996; April 1, 1994; August 3, 1992; July 1, 1991.

# SUBCHAPTER 2D - AIR POLLUTION CONTROL REQUIREMENTS

#### SECTION .0500 - EMISSION CONTROL STANDARDS

# .0506 PARTICULATES FROM HOT MIX ASPHALT PLANTS

- (a) The allowable emission rate for particulate matter resulting from the operation of a hot mix asphalt plant shall not exceed the level calculated with the equation  $E=4.9445(P)^{0.4376}$  calculated to two significant figures, where "E" equals the maximum allowable emission rate for particulate matter in pounds per hour and "P" equals the maximum process rate in tons per hour. The allowable emission rate shall be 60.0 pounds per hour for process weights equal to or greater than 300 tons per hour.
- (b) All hot mix asphalt plants shall be equipped with a fugitive process dust control system for the drying, conveying, classifying, and mixing equipment which shall be operated and maintained in such a manner as to reduce to a minimum the emission of particulate matter from any point other than the stack outlet. Emissions from this equipment shall be controlled such that the applicable opacity standards in Rule .0521 or .0524 of this Section are not exceeded.
- (c) Fugitive non-process dust emissions shall be controlled by Rule .0540 of this Section.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5);

Eff. February 1, 1976;

Amended Eff. July 1, 1998; January 1, 1985.

# .0509 PARTICULATES FROM MICA OR FELDSPAR PROCESSING PLANTS

(a) The allowable emission rate for particulate matter from the processing of mica or feldspar that are discharged from any

chimney, stack, vent, or outlet into the atmosphere shall not exceed the level calculated with the equation  $E = 4(P)^{0.677}$ calculated to three significant figures for process rates less than or equal to 30 tons per hour. For process rates greater than 30 tons per hour but less than 1,000 tons per hour, the allowable emission rate for particulate matter shall not exceed the level calculated with the equation  $E = 20.421(P)^{0.1977}$  calculated to three significant figures. For process rates greater than or equal to 1,000 tons per hour but less than 3,000 tons per hour, the allowable emission rate for particulate matter shall not exceed the level calculated with the equation  $E = 38.147(P)^{0.1072}$ calculated to three significant figures. The allowable emission rate shall be 90.0 pounds per hour for process weight rates equal to or greater than 3,000 tons per hour. For the purpose of these equations, "E" equals the allowable emission rate for particulate matter in pounds per hour and "P" equals the actual process weight rate in tons per hour.

- (b) The owner or operator of any mica or feldspar plant shall control process-generated emissions:
  - (1) from crushers with wet suppression, and
- (2) from conveyors, screens, and transfer points, such that the applicable opacity standards in Rule .0521 or .0524, of this Section are not exceeded.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5); Eff. February 1, 1976; Amended Eff. July 1, 1998; April 1, 1986; January 1, 1985.

# .0510 PARTICULATES FROM SAND, GRAVEL, OR CRUSHED STONE OPERATIONS

- (a) The owner or operator of a sand, gravel, or crushed stone operation shall not cause, allow, or permit any material to be produced, handled, transported or stockpiled without taking measures to reduce to a minimum any particulate matter from becoming airborne to prevent exceeding the ambient air quality standards beyond the property line for particulate matter, both PM10 and total suspended particulates.
- (b) Fugitive non-process dust emissions from sand, gravel, or crushed stone operations shall be controlled by Rule .0540 of this Section.
- (c) The owner or operator of any sand, gravel, or crushed stone operation shall control process-generated emissions:
  - (1) from crushers with wet suppression, and
- (2) from conveyors, screens, and transfer points, such that the applicable opacity standards in Rule .0521 or .0524, of this Section are not exceeded.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5); Eff. February 1, 1976; Amended Eff. July 1, 1998; January 1, 1985.

# .0511 PARTICULATES FROM LIGHTWEIGHT AGGREGATE PROCESSES

(a) The owner or operator of a lightweight aggregate process shall not cause, allow, or permit any material to be produced, handled, transported or stockpiled without taking measures to reduce to a minimum any particulate matter from becoming airborne to prevent the ambient air quality standards for particulate matter, both PM10 and total suspended particulates, from being exceeded beyond the property line.

- (b) Fugitive non-process dust emissions from lightweight aggregate processes subject to this Rule shall be controlled by Rule .0540 of this Section.
- (c) The owner or operator of any lightweight aggregate process shall control process-generated emissions:
  - (1) from crushers with wet suppression, and
- (2) from conveyors, screens, and transfer points, such that the applicable opacity standards in Rule .0521 or .0524, of this Section are not exceeded.
- (d) Particulate matter from any stack serving any lightweight aggregate kiln or lightweight aggregate dryer shall be reduced by at least 95 percent by weight before being discharged to the atmosphere. The 95-percent reduction shall be by air pollution control devices.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5);

Eff. February 1, 1976:

Amended Eff. <u>July 1, 1998;</u> October 1, 1989; January 1, 1985; April 1, 1977.

### .0540 PARTICULATES FROM FUGITIVE NON-PROCESS DUST EMISSION SOURCES

- (a) For the purpose of this Rule the following definitions shall apply:
  - (1) "Fugitive non-process dust emission" means particulate matter that is not collected by a capture system and is generated from areas such as pit areas, process areas, haul roads, stockpiles, and plant roads.
  - (2) "Substantive complaints" means complaints that are verified with physical evidence acceptable to the Division.
- (b) The owner or operator of a facility required to comply with rules 15A NCAC 2D .0506, Particulates from Hot Mix Asphalt Plants, .0509, Particulates from Mica or Feldspar Processing Plants, .0510, Particulates from Sand, Gravel, or Crushed Stone Operations, or .0511, Particulates from Lightweight Aggregate Processes, shall not cause or allow fugitive non-process dust emissions to cause or contribute to substantive complaints.
- (c) If fugitive non-process dust emissions from a facility required to comply with this Rule cause or contribute to substantive complaints, the owner or operator of the facility shall:
  - within 30 days upon receipt of written notification from the Director of a second substantive complaint in a 12-month period, submit to the Director a written description of what has been done and what will be done to reduce fugitive non-process dust emissions from that part of the facility that caused the second substantive complaint;
  - (2) within 90 days of receipt of written notification from the Director of a second substantive complaint in a 12-month period, submit to the Director a control plan

- as described in Paragraph (e) of this Rule; and
- (3) within 30 days after the Director approves the plan, be in compliance with the plan.
- (d) The Director may require that the owner or operator of a facility covered by Paragraph (b) of this Rule, develop and submit a fugitive non-process dust control plan as described in Paragraph (e) of this Rule if:
  - ambient air quality measurements or dispersion modeling acceptable to the Division show violation or a potential for a violation of an ambient air quality standard for particulates in 15A NCAC 2D .0400; or
  - (2) if the Division observes excessive fugitive nonprocess dust emissions from the facility beyond the property boundaries.

The control plan shall be submitted to the Director no later than 90 days after notification. The facility shall be in compliance with the plan within 30 days after the Director approves the plan.

- (e) The fugitive dust control plan shall:
  - (1) identify the sources of fugitive non-process dust emissions within the facility;
  - (2) describe how fugitive non-process dust will be controlled from each identified source;
  - (3) contain a schedule by which the plan will be implemented;
  - (4) describe how the plan will be implemented, including training of facility personnel; and
- (5) describe methods to verify compliance with the plan.
- (f) The Director shall approve the plan if he finds that:
- (1) the plan contains all required elements in Paragraph(e) of this Rule;
- (2) the proposed schedule contained in the plan will reduce fugitive non-process dust emissions in a timely manner:
- (3) the methods used to control fugitive non-process dust emissions are sufficient to prevent fugitive nonprocess dust emissions from causing or contributing to a violation of the ambient air quality standards for particulates; and
- (4) the described compliance verification methods are sufficient to verify compliance with the plan.

If the Director finds that the proposed plan does not meet the requirements of this Paragraph he shall notify the owner or operator of the facility of any deficiencies in the proposed plan. The owner or operator shall have 30 days after receiving written notification from the Director to correct the deficiencies.

(g) If after a plan has been implemented, the Director finds that the plan inadequately controls fugitive non-process dust emissions, he shall require the owner or operator of the facility to correct the deficiencies in the plan. Within 90 days after receiving written notification from the Director identifying the deficiency, the owner or operator of the facility shall submit a revision to his plan to correct the deficiencies.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107 (a)(5); 143-215.108(c)(7);

Eff. July 1, 1998.

# SECTION .0900 - VOLATILE ORGANIC COMPOUNDS

# .0914 DETERMINATION OF VOC EMISSION CONTROL SYSTEM EFFICIENCY

- (a) The provisions of this Rule are applicable, in accordance with Rule .0912 of this Section, to any test method employed to determine the collection or control efficiency of any device or system designed, installed, and operated for the purpose of reducing volatile organic compound emissions.
- (b) The following procedures shall be used to determine efficiency:
  - (1) The volatile organic compound containing material shall be sampled and analyzed using the procedures contained in this Subchapter such that the quantity of emissions that could result from the use of the material can be quantified.
  - (2) Samples of the gas stream containing volatile organic compounds shall be taken simultaneously at the inlet and outlet of the emissions control device.
  - (3) The total combustible carbon content of the samples shall be determined by a method described in Rule .0939 of this Section.
  - (4) The efficiency of the control device shall be expressed as the fraction of total combustible carbon content reduction achieved.
  - (5) The volatile organic compound mass emission rate shall be the sum of emissions from the control device and emissions not collected by the capture system.
- (c) Capture efficiency performance of volatile organic compound emission control systems shall be determined using the EPA recommended capture efficiency protocols and test methods as described in the EPA document, EMTIC GD-035, "Guidelines for Determining Capture Efficiency".
- (d) The EPA document, EMTIC GD-035, "Guidelines for Determining Capture Efficiency" cited in this Rule is hereby incorporated by reference including any subsequent amendments or editions. A copy of this document is available for inspection at the Regional Offices of the North Carolina Department of Environment and Natural Resources (Addresses are given in Rule .0103 of this Subchapter). Copies of this document may be obtained by downloading a text file from the EPA TTN 2000 home page through the EMTIC (Emission Measurement Technical Information) technical information area at http://ttnwww.rtpnc.epa.gov/html/emtic/guidlnd.htm.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.68; 143-215.107(a)(5);

Eff. July 1, 1979:

Amended Eff. July 1, 1998; January 1, 1985.

#### .0927 BULK GASOLINE TERMINALS

- (a) For the purpose of this Rule, the following definitions apply:
  - (1) "Bulk gasoline terminal" means:
    - (A) breakout tanks of an interstate oil pipeline facility; or
    - (B) a gasoline storage facility which usually

receives gasoline from refineries primarily by pipeline, ship, or barge; and delivers gasoline to bulk gasoline plants or to commercial or retail accounts primarily by tank truck; and has an average daily throughput of more than 20,000 gallons of gasoline.

- (2) "Gasoline" means a petroleum distillate having a Reid vapor pressure of four psia or greater.
- (3) "Breakout tank" means a tank used to:
  - (A) relieve surges in a hazardous liquid pipeline system, or
  - (B) receive and store hazardous liquids transported by pipeline for reinjection and continued transport by pipeline.
- (b) This Rule applies to bulk gasoline terminals and the appurtenant equipment necessary to load the tank truck or trailer compartments.
- (c) Gasoline shall not be loaded into any tank trucks or trailers from any bulk gasoline terminal unless:
  - (1) The bulk gasoline terminal is equipped with a vapor control system that prevents the emissions of volatile organic compounds from exceeding:
    - (A) 80 milligrams per liter (4.7 grains per gallon) of gasoline loaded for control systems installed before December 1, 1992 until December 1, 1995 or the next major modification, whichever occurs first: after December 1, 1995 or at the next major modification, these control systems shall prevent emissions of volatile organic compounds from exceeding 35 milligrams per liter of gasoline loaded:
    - (B) 35 milligrams per liter for control systems installed after December 1, 1992; and that is properly installed, in good working order, and in operation. The owner or operator shall obtain from the manufacturer and maintain in his records a pre-installation certification stating the vapor control efficiency of the system in use;
  - (2) Displaced vapors and gases are vented only to the vapor control system or to a flare;
  - (3) A means is provided to prevent liquid drainage from the loading device when it is not in use or to accomplish complete drainage before the loading device is disconnected; and
  - (4) All loading and vapor lines are equipped with fittings which make vapor-tight connections and which are automatically and immediately closed upon disconnection.
  - (d) Sources regulated by Paragraph (b) of this Rule shall not:
  - (1) allow gasoline to be discarded in sewers or stored in open containers or handled in any manner that would result in evaporation, or
  - (2) allow the pressure in the vapor collection system to exceed the tank truck or trailer pressure relief settings.
- (e) The owner or operator of a bulk gasoline terminal shall paint all tanks used for gasoline storage white or silver at the next scheduled painting or by December 1, 2002, whichever

occurs first.

- (f) The owner or operator of a bulk gasoline terminal shall install on each external floating roof tank with an inside diameter of 100 feet or less used to store gasoline a self-supporting roof, such as a geodesic dome, at the next time that the tank is taken out of service or by December 1, 2002, whichever occurs first.
- (g) The following equipment shall be required on all new tanks storing gasoline at a bulk gasoline terminal when put into service and shall be required on all existing tanks storing gasoline at a bulk gasoline terminal by December 1, 1995:
  - (1) rim-mounted secondary seals on all external and internal floating roof tanks.
  - (2) welded seams where possible, otherwise gaskets on roof and deck fittings, and
  - (3) floats in the slotted guide poles with a gasket around the cover of the poles.
- (h) If, upon facility or operational modification of a bulk gasoline terminal that existed before

December 1, 1992, an increase in benzene emissions results such that:

- (1) emissions of volatile organic compounds increase by more than 25 tons cumulative at any time during the five years following modifications; and
- (2) annual emissions of benzene from the cluster where the bulk gasoline terminal is located (including the pipeline and marketing terminals served by the pipeline) exceed benzene emissions from that cluster based upon calendar year 1991 gasoline throughput and application of the requirements of this Subchapter.

the annual increase in benzene emissions due to the modification shall be offset within the cluster by reduction in benzene emissions beyond that otherwise achieved as a result of compliance with this Rule, in the ratio of at least 1.3 to 1.

- (i) The owner or operators of a bulk gasoline terminal that has been permitted before December 1, 1992, to emit toxic air pollutants under 15A NCAC 2Q .0700 to comply with Section .1100 of this Subchapter shall continue to adhere to all terms and conditions of the permit issued under 15A NCAC 2Q .0700 and to bring the terminal into compliance with Section .1100 of this Subchapter in accordance with the terms and conditions of the permit, in which case the bulk gasoline terminal shall continue to need a permit to emit toxic air pollutants and shall be exempted from Paragraphs (e) through (h) of this Rule.
- (j) Within one year after December 1, 1996, the Director shall determine the incremental ambient benzene levels at the fence line of any bulk gasoline terminal cluster resulting from benzene emissions from such cluster and shall report his findings to the Commission.
- (k) The owner or operator of any bulk gasoline terminal subject to this Rule that begins construction or is in operation before December 1, 1992, shall submit:
  - (1) documentation that the control system meets the limit of 35 milligrams per liter required under Paragraph (c) of this Rule and that the requirements of Paragraph (g) of this Rule have been met, or
  - (2) a compliance schedule by which the bulk gasoline terminal shall come into compliance by December 1,

1995, with Paragraphs (c) or (g) of this Rule.

(1) The owner or operator of a bulk gasoline terminal shall not load, or allow to be loaded, gasoline into any truck tank or trailer unless the truck tank or trailer has been certified leak tight in accordance with Rule .0932 of this Section within the last 12 months.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5);

Eff. July 1, 1979;

Amended Eff. <u>July 1</u>, <u>1998</u>; July 1, 1996; July 1, 1994; December 1, 1992; December 1, 1989.

# SECTION .1100 - CONTROL OF TOXIC AIR POLLUTANTS

# .1112 112(g) CASE BY CASE MAXIMUM ACHIEVABLE CONTROL TECHNOLOGY

- (a) Applicability. This Rule applies to the construction or reconstruction of major sources of hazardous air pollutants unless:
  - (1) the major source has been specifically regulated or exempted from regulation under:
    - (A) Rule .1109 or .1111 of this Section; or
    - (B) a standard issued pursuant to Section 112(d), 112(h), or 112(j) of the federal Clean Air Act and incorporated in another Subpart of 40 CFR Part 63; or
  - (2) the owner or operator of such major source has received all necessary air quality permits for such construction or reconstruction project before July 1, 1998
- (b) Exclusions. The requirements of this Rule shall not apply to:
  - (1) electric utility steam generating units unless and until such time as these units are added to the source category list pursuant to Section 112(c)(5) of the federal Clean Air Act.
  - (2) stationary sources that are within a source category that has been deleted from the source category list pursuant to Section 112(c)(9) of the federal Clean Air Act.
  - (3) research and development activities.
- (c) Definitions. For the purposes of this Rule, the following definitions apply:
  - (1) "Affected source" means the stationary source or group of stationary sources that, when fabricated (on site), erected, or installed meets the definition of "construct a major source" or the definition of "reconstruct a major source" contained in this Paragraph.
  - (2) "Affected States" means all States or local air pollution agencies whose areas of jurisdiction are:
    - (A) contiguous to North Carolina and located less than D=Q/12.5 from the facility, where:
      - Q = emissions of the pollutant emitted at the highest permitted rate in tons per year, and

- (ii) D = distance from the facility to the contiguous state or local air pollution control agency in miles; or
- (B) within 50 miles of the permitted facility.
- (3) "Available information" means, for purposes of identifying control technology options for the affected source, information contained in the following information sources as of the date of approval of the MACT determination by the Division:
  - (A) a relevant proposed regulation, including all supporting information;
  - (B) background information documents for a draft or proposed regulation;
  - (C) data and information available from the Control Technology Center developed pursuant to Section 113 of the federal Clean Air Act;
  - (D) data and information contained in the Aerometric Informational Retrieval System including information in the MACT data base;
  - (E) any additional information that can be expeditiously provided by the Division and EPA; and
  - (F) for the purpose of determinations by the Division, any additional information provided by the applicant or others, and any additional information considered available by the Division.
- (4) "Construct a major source" means:
  - (A) To fabricate, erect, or install at any greenfield site a stationary source or group of stationary sources which is located within a contiguous area and under common control and which emits or has the potential to emit 10 tons per year of any HAP's or 25 tons per year of any combination of HAP, or
  - (B) To fabricate, erect, or install at any developed site a new process or production unit which in and of itself emits or has the potential to emit 10 tons per year of any HAP or 25 tons per year of any combination of HAP, unless the process or production unit satisfies Subparts (i) through (vi) of this Paragraph:
    - (i) All HAP emitted by the process or production unit that would otherwise be controlled under the requirements of this Rule will be controlled by emission control equipment which was previously installed at the same site as the process or production unit;
    - (ii) The Division:
      - has determined within a period of five years prior to the fabrication, erection, or installation of the process or production unit that the existing emission control equipment represented best available control technology (BACT) under Rule .0530 of this

- Subchapter or lowest achievable emission rate (LAER) under Rule .0531 of this Subchapter for the category of pollutants which includes those HAP's to be emitted by the process or production unit; or
- (11) determines that the control of HAP emissions provided by the existing equipment will be equivalent to that level of control currently achieved by other well-controlled similar sources (i.e., equivalent to the level of control that would be provided by a current BACT, LABR, or MACT determination under Rule .1109 of this Section):
- (iii) The Division determines that the percent control efficiency for emissions of HAP from all sources to be controlled by the existing control equipment will be equivalent to the percent control efficiency provided by the control equipment prior to the inclusion of the new process or production unit:
- (iv) The Division has provided notice and an opportunity for public comment concerning its determination that criteria in Subparts (i), (ii), and (iii) of this Subparagraph apply and concerning the continued adequacy of any prior LAER, BACT, or MACT determination under Rule .1109 of this Section:
- (v) If any commenter has asserted that a prior LAER, BACT, or MACT determination under Rule .1109 of this Section determination is no longer adequate, the Division has determined that the level of control required by that prior determination remains adequate; and
- (vi) Any emission limitations, work practice requirements, or other terms and conditions upon which the above determinations by the Division are predicated will be construed by the Division as applicable requirements under Section 504(a) of the federal Clean Air Act and either have been incorporated into an existing permit issued under 15A NCAC 2Q .0500 for the affected facility or will be incorporated into such permit upon issuance.
- (5) "Control technology" means measures, processes, methods, systems, or techniques to limit the emission of hazardous air pollutants including measures that:

- (A) reduce the quantity of, or eliminate emissions of, such pollutants through process changes, substitution of materials or other modifications;
- (B) enclose systems or processes to eliminate emissions:
- (C) collect, capture or treat such pollutants when released from a process, stack, storage or fugitive emissions point;
- (D) are design, equipment, work practice, or operational standards (including requirements for operator training or certification) as provided in 42 U.S.C. 7412(h); or
- (E) are a combination of Parts (A) through (D) of this definition.
- (6) "Electric utility steam generating unit" means any fossil fuel fired combustion unit of more than 25 megawatts that serves a generator that produces electricity for sale. A unit that co-generates steam and electricity and supplies more than one-third of its potential electric output capacity and more than 25 megawatts electric output to any utility power distribution system for sale shall be considered an electric utility steam generating unit.
- (7) "Greenfield site" means a contiguous area under common control that is an undeveloped site.
- (8) "HAP" means hazardous air pollutants.
- (9) "Hazardous air pollutant" means any pollutant listed under Section 112(b) of the federal Clean Air Act.
- (10) "List of source categories" means the source category list required by Section 112(c) of the federal Clean Air Act.
- (11) "MACT" means maximum achievable control technology.
- (12) "Maximum achievable control technology emission limitation for new sources" means the emission limitation which is not less stringent than the emission limitation achieved in practice by the best controlled similar source, and which reflects the maximum degree of reduction in emissions that the permitting authority, taking into consideration the cost of achieving such emission reduction, and any non-air quality health and environmental impacts and energy requirements, determines is achievable by the constructed or reconstructed major source.
- (13) "Process or production unit" means any collection of structures or equipment, that processes, assembles, applies, or otherwise uses material inputs to produce or store an intermediate or final product. A single facility may contain more than one process or production unit.
- (14) "Reconstruct a major source" means the replacement of components at an existing process or production unit that in and of itself emits or has the potential to emit 10 tons per year of any HAP or 25 tons per year of any combination of HAP, whenever:
  - (A) The fixed capital cost of the new components exceeds 50 percent of the fixed capital cost that would be required to construct a comparable

process or production unit; and

- (B) It is technically and economically feasible for the reconstructed major source to meet the applicable maximum achievable control technology emission limitation for new sources established under this Subpart.
- (15) "Research and development activities" means activities conducted at a research or laboratory facility whose primary purpose is to conduct research and development into new processes and products, where such source is operated under the close supervision of technically trained personnel and is not engaged in the manufacture of products for sale or exchange for commercial profit, except in a de minimis manner.
- (16) "Similar source" means a stationary source or process that has comparable emissions and is structurally similar in design and capacity to a constructed or reconstructed major source such that the source could be controlled using the same control technology.
- (d) Principles of MACT determinations. The following general principles shall be used to make a case-by-case MACT determination concerning construction or reconstruction of a major source under this Rule:
  - (1) The MACT emission limitation or MACT requirements recommended by the applicant and approved by the Division shall not be less stringent than the emission control that is achieved in practice by the best controlled similar source, as determined by the Division.
  - (2) Based upon available information, the MACT emission limitation and control technology (including any requirements under Subparagraph (3) of this Paragraph) recommended by the applicant and approved by the Division shall achieve the maximum degree of reduction in emissions of HAP that can be achieved by utilizing those control technologies that can be identified from the available information, taking into consideration the costs of achieving such emission reduction and any non-air quality health and environmental impacts and energy requirements associated with the emission reduction.
  - (3) The owner or operator may recommend a specific design, equipment, work practice, or operational standard, or a combination thereof, and the Director may approve such a standard if the Division specifically determines that it is not feasible to prescribe or enforce an emission limitation under the criteria set forth in Section 112(h)(2) of the federal Clean Air Act.
  - (4) If the EPA has either proposed a relevant emission standard pursuant to Section 112(d) or 112(h) of the federal Clean Air Act or adopted a presumptive MACT determination for the source category that includes the constructed or reconstructed major source, then the MACT requirements applied to the constructed or reconstructed major source shall have considered those MACT emission limitations and requirements of the proposed standard or presumptive

MACT determination.

- (e) Effective date of MACT determination. The effective date of a MACT determination shall be the date of issuance of a permit under procedures of 15A NCAC 2Q .0300 or .0500 incorporating a MACT determination.
- (f) Compliance date. On and after the date of start-up, a constructed or reconstructed major source that is subject to the requirements of this Rule shall be in compliance with all applicable requirements specified in the MACT determination.
- (g) Compliance with MACT determinations. The owner or operator of a constructed or reconstructed major source that:
  - is subject to a MACT determination shall comply with all requirements set forth in the permit issued under 15A NCAC 2Q .0300 or .0500, including any MACT emission limitation or MACT work practice standard, and any notification, operation and maintenance, performance testing, monitoring, reporting, and recordkeeping requirements; or
  - has obtained a MACT determination shall be deemed (2) to be in compliance with Section 112(g)(2)(B) of the federal Clean Air Act only to the extent that the constructed or reconstructed major source is in compliance with all requirements set forth in the permit issued under 15A NCAC 2Q .0300 or .0500. Any violation of such requirements by the owner of operator shall be deemed by the Division and by EPA to be a violation of the prohibition on construction or reconstruction in Section 112(g)(2)(B) of the federal Clean Air Act for whatever period the owner or operator is determined to be in violation of such requirements, and shall subject the owner or operator to appropriate enforcement action under the General Statutes and the federal Clean Air Act.
- (h) Requirements for constructed or reconstructed major sources subject to a subsequently promulgated MACT standard or MACT requirement. If EPA promulgates an emission standard under Section 112(d) or 112(h) of the federal Clean Air Act or the Division issues a determination under Rule .1109 of this Section that is applicable to a stationary source or group of sources that would be deemed to be a constructed or reconstructed major source under this Rule:
  - (1) before the date that the owner or operator has obtained a final and legally effective MACT determination under 15A NCAC 2Q .0300 or .0500, the owner or operator of the source(s) shall comply with the promulgated standard or determination rather than any MACT determination under this Rule by the compliance date in the promulgated standard; or
  - (2) after the source has been subject to a prior case-bycase MACT under this Rule, and the owner or
    operator obtained a final and legally effective case-bycase MACT determination prior to the promulgation
    date of such emission standard, the Division shall (if
    the initial permit has not yet been issued under 15A
    NCAC 2Q .0500) issue an initial permit that
    incorporates the emission standard or determination,
    or shall (if the initial permit has been issued under
    15A NCAC 2Q .0500) revise the permit according to

the reopening procedures in 15A NCAC 2Q .0517, Reopening for Cause, whichever is relevant, to incorporate the emission standard or determination.

- (i) Compliance with subsequent 112(d), 112(h), or 112(j) EPA may include in the emission standard standards. established under Section 112(d) or 112(h) of the federal Clean Air Act a specific compliance date for those sources that have obtained a final and legally effective MACT determination under this Rule and that have submitted the information required by 40 CFR 63.43 to EPA before the close of the public comment period for the standard established under section 112(d) of the federal Clean Air Act. Such date shall assure that the owner or operator shall comply with the promulgated standard as expeditiously as practicable, but not longer than eight years after such standard is promulgated. In that event, the Division shall incorporate the applicable compliance date in the permit issued under 15A NCAC 2Q .0500. If no compliance date has been established in the promulgated 112(d) or 112(h) standard or determination under Rule .1109 of this Section, for those sources that have obtained a final and legally effective MACT determination under this Rule, then the Director shall establish a compliance date in the permit that assures that the owner or operator shall comply with the promulgated standard or determination as expeditiously as practicable, but not longer than eight years after such standard is promulgated or a determination is made under Rule .1109 of this Section.
- (i) Revision of permit to incorporate less stringent control. Notwithstanding the requirements of Paragraph (h) of this Rule, if the Administrator of EPA promulgates an emission standard under Section 112(d) or Section 112(h) of the federal Clean Air Act or the Division issues a determination under Rule .1109 of this Section that is applicable to a stationary source or group of sources that was deemed to be a constructed or reconstructed major source under this Rule and that is the subject of a prior case-by-case MACT determination pursuant to 40 CFR 63.43, and the level of control required by the emission standard issued under Section 112(d) or 112(h) or the determination issued under Rule .1109 of this Section is less stringent than the level of control required by any emission limitation or standard in the prior MACT determination, the Division is not required to incorporate any less stringent terms of the promulgated standard in the permit issued under 15A NCAC 2Q .0500 applicable to such source(s) and may consider any more stringent provisions of the prior MACT determination to be applicable legal requirements when issuing or revising such an operating permit.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107 (a)(5)(10);

Eff. July 1, 1998.

# SUBCHAPTER 2Q - AIR QUALITY PERMIT PROCEDURES

#### **SECTION .0500 - TITLE V PROCEDURES**

#### .0528 112(g) CASE-BY-CASE MACT PROCEDURES

(a) Applicability. The owner or operator of a source required to apply maximum achievable control technology (MACT) under

- 15A NCAC 2D .1112 shall follow the permit procedures set out in this Rule.
- (b) Construction prohibition. After July 1, 1998 a person shall not begin actual construction or reconstruction of a major source of hazardous air pollutants unless:
  - (1) The major source has been specifically regulated or exempted from regulation under:
    - (A) 15A NCAC 2D .1109 or .1111, or
    - (B) a standard issued pursuant to Section 112(d), 112(h), or 112(j) of the federal Clean Air Act under 40 CFR Part 63,

and the owner and operator has fully complied with all procedures and requirements for preconstruction review established by that standard, including any applicable requirements set forth in 40 CFR Part 63, Subpart A; or

- (2) The Division has made a final and effective case-bycase determination under 15A NCAC 2D .1112 such that emissions from the constructed or reconstructed major source will be controlled to a level no less stringent than the maximum achievable control technology emission limitation for new sources.
- (c) Requirements for constructed and reconstructed major sources. When a case-by-case determination of MACT is required by 15A NCAC 2D .1112, the owner and operator shall submit a permit application to the Division and the Division shall process the application following the procedures of Rule .0501(c) of this Section.
- (d) Alternative operating scenarios. When applying for a permit, the owner or operator may request approval of case-by-case MACT determinations for alternative operating scenarios. Approval of such determinations satisfies the requirements of Section 112(g) of the federal Clean Air Act for each such scenario.
- (e) Application requirements for a case-by-case MACT determination. The owner or operator of a source required to apply MACT under Rule 15A NCAC 2D .1112 shall submit a permit application that contains all the information required under 40 CFR 63.43(e).
- (f) Reporting to the EPA. Within 60 days of the issuance of a permit under this Section or Section .0300 of this Subchapter incorporating a MACT determination, the Director shall provide a copy of such permit to EPA, and shall provide a summary in a compatible electronic format for inclusion in the MACT data base.

History Note: Authority G.S. 143-215.3(a)(1): 143-215.107 (a)(5),(10):

Eff. July 1, 1998.

# SUBCHAPTER 2R - WETLANDS RESTORATION PROGRAM

#### SECTION .0500 - WETLANDS RESTORATION FUND

### .0503 SCHEDULE OF FEES

(a) This schedule of fees is based on the cost of restoring or creating wetlands or surface waters capable of performing the

same or similar functions as the wetlands or surface waters that have been impaired by permitted development projects. The fees include directly related costs of restoration planning, land acquisition, long-term monitoring, maintenance and preservation of restored areas.

- (b) The amount of payment into the Fund in lieu of compensatory mitigation requirements as described in Rule .0501(b) of this Section shall be determined in accordance with Subparagraphs (1) through (3) of this Paragraph. The fee will be based on the acres and types of compensatory mitigation specified in the approved U.S. Army Corps of Engineers permit under 33 U.S.C. §1344 or the certification issued by this Department under 33 U.S.C. §1341. Payments shall be calculated in 0.25 acre increments for wetlands and by the linear foot for streams.
  - (1) Classified surface waters other than wetlands as defined in 15A NCAC 2B .0202. The payment shall be one hundred twenty-five dollars (\$125.00) per linear foot of stream.
  - (2) Class WL wetlands as defined in 15A NCAC 2B .0101(c)(8). The payment shall be:
    - (A) twelve thousand dollars (\$12,000) per acre for non-riparian wetlands.
    - (B) twenty-four thousand dollars (\$24,000) per acre for riparian wetlands.
  - (3) Class SWL wetlands as defined in 15A NCAC 2B .0101(d)(4). The payment shall be one hundred twenty thousand dollars (\$120,000) per acre.
- (c) Donations or dedications of interest in real property may be accepted in lieu of compensatory mitigation requirements as described in Rule .0501(b) of this Section provided that the property has been identified as an approved site in the restoration plan developed for each river basin. Other properties may be considered by the Secretary or his designee on a case-by-case basis. The decision on whether to accept other properties shall include a determination that restoration or preservation of the property is consistent with the goals and objectives of the restoration plan for the river basin. The amount of credit for donations of property shall consider the costs of restoration planning, long-term monitoring, and maintenance of the donated property.

History Note: Authority G.S. 143-214.11; 143-214.12; Temporary Adoption Eff. May 6, 1997; <u>Eff. August 1, 1998.</u>

### **CHAPTER 3 - MARINE FISHERIES**

**SUBCHAPTER 31 - GENERAL RULES** 

**SECTION .0100 - GENERAL RULES** 

### .0117 FISHERY RESOURCE GRANT PROGRAM

- (a) Purpose. The purpose of this program is to enhance North Carolina's coastal fishery resources.
- (b) Types of proposals. Proposals shall address one or more of the following areas of research:
  - (1) New fisheries equipment or gear particularly those

- that reduce bycatch and fishing impact on non-target species and size classes.
- (2) Environmental Pilot Studies.
- (3) Aquaculture or mariculture.
- (4) Seafood Technology.
- (c) Eligibility to receive grants. Proposals will be considered from a diversity of sources including, but not limited to fishers, groups of fishers, and fishing companies.
  - (d) Application for grants:
    - 1) Grant proposals shall be typed or printed, and one copy submitted to the North Carolina Sea Grant Program at Post Office Box 8605, Raleigh, North Carolina 27695-8605. Submitted proposals must be postmarked no later than the date selected by Sea Grant. Proposals received or postmarked later than that date may not be considered for funding.
    - (2) Grant proposals shall contain at least the following information:
      - (A) Name(s), mailing address(es), telephone number(s), signature(s) and social security or federal identification number of the applicant(s).
      - (B) A description of the project, its objectives, and its relationship to current fishery problems/issues, written in sufficient detail to be realistically evaluated by professional fishery scientists, managers and the fishing industry.
      - (C) A detailed statement of the projected costs of the project. Description of participant costsharing and explanation/justification of projected costs is encouraged.
      - (D) Biographical information documenting experience and expertise of project participants.
      - (E) A description of how the project will be carried out, results evaluated, and findings made public.
      - (F) An explanation of how the project will enhance North Carolina's coastal fishery resources.
      - (G) Any other information that may be needed to enable the Marine Fisheries Commission to make a decision on the application.
    - (3) Ineligible proposals will be returned to the applicant with a written description of deficiencies.
    - (4) Projects may last for up to two years from the date of award.
    - (5) Once approved, project time periods may be increased beyond the award period upon extenuating circumstances as determined by North Carolina Sea Grant, but funding may not be increased.
  - (e) Review of proposals:
    - (1) Review Procedures: The North Carolina Sea Grant Program will conduct an anonymous peer review for all proposals. At least one of the peer review persons must be involved in a fishing industry. Proposals shall be confidential until after funding decisions are made. Proposal quality will be assessed on the

following criteria:

- (A) Address a priority as set pursuant to G.S. 113-90:
- (B) Ability to protect or enhance fishery resources;
- (C) Organization of a plan of work leading to conclusions:
- (D) Plan to extend findings to the fishing industry;
- (E) Availability of expertise and its application;
- (F) Familiarity and experience with the problem addressed:
- (G) Innovation of approach or potential findings:
- (H) Cost-effectiveness of implementation of results; and
- (1) Reasonableness of budget for work proposed.
- (2) Proposals will be reviewed by members of the Fisheries Resource Grant Steering Committee of the North Carolina Sea Grant Program and the Marine Fisheries Commission. Proposals to be granted will be selected by the Marine Fisheries Commission. The North Carolina Sea Grant Program will notify applicants in writing of acceptance or denial.

(f) Award of grants:

- (1) The North Carolina Sea Grant Program shall administer the proposal review process and shall submit a list of eligible proposals to the Marine Fisheries Commission.
- (2) The North Carolina Marine Fisheries Commission shall determine the level of funding on each proposal.
- (3) Funds will be conveyed to grantees through contracts with the North Carolina Sea Grant Program.
- (4) Of the total funds granted for each project, up to 25 percent may be paid upon signing of the contract if such payment is requested as part of the grant application.
- (5) Of the total funds granted for each project, 25 percent shall be held back and paid only upon acceptance of the final project report by the North Carolina Marine Fisheries Commission.
- (6) Other payments to grantees shall be paid upon receipt of expenditure reports or invoices at intervals of not less than three months.
- (7) Grants will be awarded as evenly as practicable among the Northern, Pamlico, Central, and Southern coastal areas of North Carolina.
- (8) Prior to initiation of work on research projects. grantees shall secure all necessary state and federal permits and licenses.

(g) Reporting:

- (1) Grantees shall submit written progress reports at three-month intervals describing status of their projects, progress toward achieving project objectives, notable occurrences, and any significant problems encountered and steps taken to overcome the problems.
- (2) As a condition of receiving a Fishery Resources Grant, the applicant shall agree to submit acceptable quarterly reports. A technical representative

designated by the North Carolina Sea Grant Program shall determine if reports are acceptable. The technical representative shall determine the percentage of the report which is complete and acceptable. The project leader will be notified of deficiencies and must provide an acceptable report within 30 working days. If an acceptable report is not received in the specified time, the applicant shall be required to repay Sea Grant a prorated refund of the total project award as shown in Table 1.

Table 1. Prorated refund schedule for non-compliance with report requirements.

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An applicant who has been found in noncompliance with reporting rules and who does not agree to provide such reports may request that the Marine Fisheries Commission informally mediate the case. An applicant who is not satisfied with the results of any informal mediation may request a contested case hearing as set out in 15A NCAC 3P .0102. An applicant will have a maximum of 180 days to make repayment to Sea Grant following the final appeals process.

- (3) Grantees shall submit a written draft final report within 60 days of the completion of their projects to the North Carolina Sea Grant Program for review and comment.
  - (A) If the North Carolina Sea Grant Program finds the draft final report substantially acceptable, Sea Grant shall so notify the Marine Fisheries Commission and grantee(s). The grantee(s) shall make any needed minor corrections and re-submit the final report to Sea Grant. The Marine Fisheries Commission will consider Sea Grant's recommendation for approval and may approve the report and authorize final payment.
  - (B) If the North Carolina Sea Grant Program finds the draft final report substantially deficient because of lack of adherence to established procedures, insufficient sampling, lack of data to support conclusions, or other reasons pertaining to fulfillment of project requirements, Sea Grant shall notify the Marine Fisheries Commission and grantee(s).
    - (i) If the Marine Fisheries Commission accepts Sea Grant's recommendation, it shall notify the grantee(s) in writing of the steps it must take to meet its contractual obligations in order to satisfactorily complete the project and final report and receive final payment.

- (ii) If the Marine Fisheries Commission rejects Sea Grant's recommendation of substantial deficiency, it shall instruct Sea Grant to proceed with final approval as provided in Part (A) of this Subparagraph.
- (C) Grantees may be required to give an annual verbal report on their project.
- (D) The North Carolina Sea Grant Program shall publish an annual report summarizing the status of all projects conducted under this program.
- (E) The North Carolina Sea Grant Program shall conduct a field review of each project at least annually. Sea Grant may request the assistance of other agencies of the Department of Environment and Natural Resources and of the consolidated University of North Carolina to conduct field reviews.
- (4) Grantees shall submit a final expenditure report or invoice within 60 days of the end of the contract.

History Note: Filed as a Temporary Adoption Eff. September 26, 1994, for a period of 180 days or until the permanent rule becomes effective, whichever is sooner;

Authority G.S. 113-134; 143B-289.4; 1993 (Regular Session 1994), c. 769, s. 27.17;

Eff. February 1, 1995;

Recodified from 15A NCAC 31.0017 Eff. December 17, 1996;

Temporary Amendment Eff. April 20, 1997;

Amended Eff. August 1, 1998.

# CHAPTER 12 - PARKS AND RECREATION AREA RULES

### SUBCHAPTER 12K - PARKS AND RECREATION TRUST FUND GRANTS FOR LOCAL GOVERNMENT

### **SECTION .0100 - GENERAL PROVISIONS**

### .0108 ELIGIBLE PROJECTS AND COSTS

- (a) PARTF grants are awarded to grantees for projects that are for the sole purpose of providing local park and recreation opportunities to the public. Applicants may request funds for the following types of projects:
  - Acquisition. Fee simple acquisition of real property for the following: future recreational development and to protect areas with outstanding natural or scenic resources.
    - (A) Applicants acquiring property for recreation development have up to five years from when the Authority and the applicant sign the grant agreement to begin developing recreation facilities.
    - (B) Applicants acquiring property to protect areas with outstanding natural or scenic resources must open these areas to the general public to the extent that the resources will not be

seriously impaired.

- (2) Development. Projects for the construction, expansion, and renovation/repair of the following:
  - (A) Primary facilities including outdoor and indoor recreation facilities. Examples include camping facilities, picnic facilities, sports and playfields, trails, swimming facilities, boating/fishing facilities, spectator facilities, gymnasiums, etc.
  - (B) Support facilities and improvements such as roads, parking areas, accessibility features, utilities, landscaping, and other infrastructure projects, that would have little or no recreational value without the primary recreation facilities.
- (b) Other criteria for determining eligible projects and costs include:
  - (1) Only development on or acquisition of a single project site is eligible for PARTF assistance.
  - (2) Utility lines developed with PARTF assistance shall be placed underground.
  - (3) Certain incidental project costs shall be eligible for PARTF assistance including appraisals, architectural and engineering fees, pre-agreement planning costs and contingency fees as follows:
    - (A) Pre-agreement costs such as site planning, preliminary designs, preparation of cost estimates, construction drawings and specifications may not exceed 15 percent of the total development costs and must be incurred within one year of the application submission date.
    - (B) Incidental appraisal costs such as appraisals, title work, surveys and attorney fees may not exceed five percent of the appraised fair market value of the property.
    - (C) Architectural and engineering fees may not exceed 10 percent of the total development cost of the proposed project.
    - (D) A contingency amount may be included in the development cost estimates, but may not exceed five percent of total development costs.
  - (4) PARTF-assisted facilities on school property shall not be recreational facilities generally provided by the school for the use of their students.

History Note: Filed as a Temporary Adoption Eff. November 1, 1994, for a period of 180 days or until the permanent rule becomes effective, whichever is sooner;

Authority G.S. 113-44.15;

Eff. April 1,1995;

Amended Eff. August 1, 1998.

#### **CHAPTER 18 - ENVIRONMENTAL HEALTH**

# SUBCHAPTER 18D - WATER TREATMENT FACILITY OPERATORS

### SECTION .0200 - QUALIFICATIONS OF APPLICANTS AND CLASSIFICATION OF FACILITIES

#### .0201 GRADES OF CERTIFICATION

Applicants for the various grades of certification shall meet the following educational and experience requirements:

- GRADE A-SURFACE shall have one year acceptable experience at a surface water facility while holding a Grade B-Surface certificate and have satisfactorily completed an A-Surface school conducted by the Board.
- (2) GRADE B-SURFACE shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a surface water facility, or
  - (b) Have one year of acceptable experience at a surface water facility while holding a Grade C-Surface certificate and have satisfactorily completed a B-Surface school conducted by the Board.
- (3) GRADE C-SURFACE shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a surface water facility, or
  - (b) Be a high school graduate or equivalent, have six months acceptable experience at a surface water facility and have satisfactorily completed a C-Surface school conducted by the Board...
- (4) GRADE A-WELL shall have one year of acceptable experience at a well water facility while holding a Grade B-Well certificate and have satisfactorily completed an A-Well school conducted by the Board.
- (5) GRADE B-WELL shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a well water facility, or
  - (b) Have one year of acceptable experience at a well water facility while holding a Grade C-Well certificate and have satisfactorily completed a B-Well school conducted by the Board.
- (6) GRADE C-WELL shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a well water facility, or
  - (b) Have six months of acceptable experience at a

- well water facility and have satisfactorily completed a C-Well school conducted by the Board, or
- (c) Hold a GRADE A-SURFACE certification and have satisfactorily completed a C-Well school conducted by the Board.
- (7) GRADE A-DISTRIBUTION shall have one year of acceptable experience at Class B or higher distribution system while holding a Grade B-Distribution certificate and have satisfactorily completed an A-Distribution school conducted by the Board, and hold current cardiopulmonary resuscitation certificate.
- (8) GRADE B-DISTRIBUTION shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a Class B or higher distribution system, or
  - (b) Have one year of acceptable experience at a Class C or higher distribution system while holding a Grade C-Distribution certificate and have satisfactorily completed a B-Distribution school conducted by the Board.
- (9) GRADE C-DISTRIBUTION shall hold a certificate of completion of trench shoring training conducted by the Board and shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences, or be a graduate of a two year technical program with a diploma in water and wastewater technology, and have six months of acceptable experience at a Class C or higher distribution system, or
  - (b) Be a high school graduate or equivalent, have six months of acceptable experience at a Class C or higher distribution system and have satisfactorily completed a C-Distribution school conducted by the Board, or
  - (c) Have one year of acceptable experience at a Class C or higher distribution system and have satisfactorily completed a C-Distribution school conducted by the Board.
- (10) GRADE CROSS-CONNECTION-CONTROL shall:
  - (a) Be a college graduate with a bachelor's degree in the physical or natural sciences, or be a graduate of a two-year technical program with a degree in water and wastewater or civil engineering technology, and have satisfactorily completed a cross connection control school conducted by the Board, or
  - (b) Be a high school graduate or equivalent, have six months of acceptable experience at Class C-Distribution or higher system and have satisfactorily completed a cross connection control school conducted by the Board, or
  - (c) Have one year of acceptable experience at a Class C-Distribution or higher system while

holding a Grade C-Distribution or higher certificate and have satisfactorily completed a cross connection school conducted by the Board, or

(d) Be a plumbing contractor licensed by the State of North Carolina and have satisfactorily completed a cross connection control school conducted by the Board.

History Note: Authority G.S. 90A-21(c); 90A-22; 90A-23; 90A-24:

Eff. February 1, 1976;

Amended Eff. September 1, 1977;

Readopted Eff. March 1, 1979;

Amended Eff. August 1, 1998; May 3, 1993; August 3, 1992;

July 1, 1991; December 31, 1980.

#### SECTION .0300 - APPLICATIONS AND FEES

#### .0308 CONTINUING EDUCATION

- (a) An operator holding an A-Well, B-Well, C-Well, A-Surface, B-Surface, or C-Surface certification shall complete six contact hours of instruction during the year immediately preceding annual certification renewal for each certification renewed. The same contact hours may be credited to both well and surface certifications for an individual operator holding both types of certifications if the instruction is relevant to both surface water and well water technology. The instruction shall be related to system operation or professional development as needed and determined by the individual operator. With the annual certification renewal application, the operator shall report on the Board's form the contact hours completed during the year.
- (b) The organization providing the instruction shall give each participant certificate or other proof of successful completion which includes the name of the provider, the provider's address, and contact person with telephone number. The proof of completion shall identify the name of the participant, the number of contact hours completed, the course name, the instructor's name, and the date of the instruction received. For in-house training, an instructor from outside of the organization shall provide the instruction. If an operator fails to provide proof of the required six contact hours of instruction at the time of annual certification renewal, the certification shall be revoked.

History Note: Authority G.S. 90A-25.1; 90A-26; Eff. August 1, 1998.

#### **SECTION .0400 - ISSUANCE OF CERTIFICATE**

### .0405 RECIPROCAL CERTIFICATES

Applications for certification of an operator certified in a state other than North Carolina shall be submitted on the Board's form. The application shall supply information which will assist the Board in determining whether or not the requirements under which the out-of-state certification was obtained are equal to those required by the rules of the Water Treatment Facility Operators Board of Certification.

History Note: Authority G.S. 90A-21(c); 90A-25(b); Eff. February 1, 1976; Readopted Eff. March 1, 1979; Amended Eff. August 1, 1998; September 1, 1990.

#### **CHAPTER 19 - HEALTH: EPIDEMIOLOGY**

#### SUBCHAPTER 19C - OCCUPATIONAL HEALTH

# SECTION .0800 - LEAD-BASED PAINT HAZARD MANAGEMENT PROGRAM

### .080I GENERAL

- (a) In addition to the definitions found in 40 CFR Part 745 Subpart L, the following definitions shall apply throughout this Section:
  - (I) "Accredited training course" means a lead training course accredited by the Program.
  - (2) "Accredited training provider" means a training provider who is accredited by the Program, and who provides accredited training courses.
  - (3) "Design" means a written or graphic plan prepared by a certified project designer specifying how an abatement project will be performed, and includes, but is not limited to, scope of work and technical specifications. The certified project designer's signature and certification number shall be on all such abatement designs.
  - (4) "Emergency Lead-Based Paint Abatement" means abatement conducted to remediate a lead-based paint hazard which has been determined by a certified risk assessor and the Program to be an imminent leadbased paint hazard to building occupants in a child occupied facility.
  - (5) "Immediate family" means an individual's family members limited to spouse, parents, siblings, grandparents, children, and grandchildren.
  - (6) "Occupant Protection Plan" means a written plan which describes the measures and management procedures that will be taken during abatement to protect building occupants from exposure to lead-based paint hazards. The plan shall be unique to each residential dwelling or child-occupied facility. For projects less than five units, the plan shall be prepared by a certified supervisor or project designer. For projects with five or more units, the plan shall be prepared by a certified project designer. The plan shall include the preparer's signature and certification number.
  - (7) "Program" means the Lead-Based Paint Hazard Management Program within the NC Department of Health and Human Services.
  - (8) "Start date" means the date on which activities begin on a permitted lead abatement project requiring the use of certified individuals, including the abatement area isolation and preparation or any other activity which may disturb lead-based paint.
  - (9) "Working day" means Monday through Friday.

- Holidays falling on any of these days are working days.
- (10) "Certified Industrial Hygienist" means a person who has met the education, experience, and examination requirements established by the American Board of Industrial Hygiene for certified industrial hygienists and whose certification has not been revoked by that organization.
- (b) Lead-Based Paint Activities, 40 CFR Part 745 Subpart L, is hereby incorporated by reference, including any subsequent amendments and editions. This document is available for inspection at the Department of Health and Human Services, Health Hazards Control Branch, 2728 Capitol Blvd., Raleigh, NC 27604. A copy of 40 CFR Part 745 Subpart L may be obtained in writing from the US Government Bookstore, 999 Peachtree Street, Suite 120, Atlanta, GA, at a cost of thirty-eight dollars (\$38.00).

History Note: Authority G.S. 130A-453.01; 130A-453.11; 150B-21.1(a)(3); Temporary Adoption Eff. July 7, 1997; Eff. July 1, 1998.

#### .0802 CERTIFICATION OF INDIVIDUALS

- (a) No person shall perform lead-based paint activities until that person has been certified by the Program in the appropriate certification category, except as provided for in G.S. 130A-453.03(b).
- (b) An applicant for certification shall successfully complete applicable training courses accredited by the Program or accredited by a state, tribe, or territory that has a written reciprocating agreement with the Program, and shall successfully complete the examination specified in Rule .0804 of this Section. Successful completion includes attendance of at least 95 percent of the course, passing the course exam with a minimum score of 70% and passing the hands-on skills assessment. An applicant for initial certification shall have successfully completed an accredited initial training course for a specific discipline within the 12 months immediately preceding application. If initial training was completed more than 12 months prior to application, the applicant shall have successfully completed an accredited refresher course for the specific discipline at least every 24 months from the date of completion of initial training and within 12 months prior to applying for certification. However, an applicant who completed training prior to the effective date of this Rule and applies for certification prior to December 31, 1998, shall meet the following requirements:
  - (1) Training taken prior to July 1, 1995, shall be recognized for certification if the applicant has completed a refresher course at least every 24 months from the date of initial training; if the applicant has not attended a refresher course at least every 24 months from the date of initial training, the applicant shall complete an accredited initial training course; or
  - (2) Applicants for certification who have successfully completed an initial training course for a specific discipline between July 1, 1995, and July 1, 1998.

- shall successfully complete an accredited refresher course for the specific discipline by December 31, 1998, or by date of application whichever is first and within 12 months prior to applying for certification.
- (c) In addition to the requirements in Paragraph (b) of this Rule, an applicant, other than those for the worker category, shall meet the following:
  - (1) a risk assessor shall meet the training requirements for inspector and the examination requirements pursuant to Rule .0804 of this Section for inspector and risk assessor, and shall have:
    - (A) a Bachelor's degree and one year experience in a related field that demonstrates skills directly transferable to the job activities for risk assessor; or
    - (B) an Associate's degree and two years experience in a related field that demonstrates skills directly transferable to the job activities for risk assessor; or
    - (C) certification as an industrial hygienist, professional engineer, registered architect; or
    - (D) a high school diploma or equivalent and at least three years of experience in a related field that demonstrates skills directly transferable to the job activities for risk assessor.
  - (2) a supervisor shall meet the examination requirements pursuant to Rule .0804 of this Section for supervisor and shall have:
    - (A) one year experience as a certified lead abatement worker; or
    - (B) at least two years experience in a related field that demonstrates skills directly transferable to the job activities for supervisor.
  - (3) a project designer shall meet the training requirements for supervisor and project designer and the examination requirements pursuant to Rule .0804 of this Section for supervisor and shall have:
    - (A) a Bachelor's degree in engineering, architecture, or related profession, and one year of experience in building construction and design: or
    - (B) an Associate's degree and two years experience in a related field that demonstrates skills directly transferable to the job activities for designer; or
    - (C) certification as an industrial hygienist, professional engineer, or registered architect; or
    - (D) a high school diploma or equivalent, and four years experience in building construction and design or a related field that demonstrates skills directly transferable to the job activities for designer.
  - (4) an inspector shall meet the examination requirements pursuant to Rule .0804 of this Section for inspector.
- (d) To obtain certification, the applicant shall submit to the Program:
  - (1) a completed application with the following

information:

- (A) full name and social security number of applicant;
- (B) address, including city, state, zip code, and telephone number;
- (C) date of birth, sex, height, and weight;
- (D) discipline applied for;
- (E) name, address, and telephone number of employer;
- (F) training agency attended;
- (G) name of training course completed; and
- (H) dates of course attended;
- (2) two current, identical, 1 1/4 inch x 1 1/4 inch color photographs of the applicant;
- confirmation of completion of accredited initial and refresher training courses, as applicable, from the training agency; the confirmation shall be in the form of an original certificate of completion of the accredited training course bearing the training agency's official seal, or an original letter from the training agency, on training agency letterhead, confirming completion of the course; however, if an applicant is certified in a state, tribe, or territory that has a reciprocating agreement with the Program, the applicant shall submit a copy of the state issued certification and meet the requirements of Paragraphs (b), (d)(1) and (2) of this Rule;
- (4) when education is a requirement, a copy of the diploma or other written documentation; and
- (5) when work experience is a requirement, work history documenting lead or other related experience including employer name, address, and telephone number; positions held and a description of work duties performed; and dates when the positions were held.
- (e) All certifications shall expire at the end of the twelfth month after the certification is issued.
- (f) An applicant for renewal of certification shall successfully complete the required accredited refresher training course within 12 months prior to applying for certification renewal, and shall meet the requirements of Paragraphs (d)(1), (2), and (3) of this Rule. If a person fails to obtain the required refresher training within 24 calendar months of the date of last training, that person may be re-certified only by meeting the requirements of Subparagraphs (b), (c), and (d) of the Rule.
- (g) All certified persons shall be assigned a unique certification number by the Program.
- (h) In accordance with G.S. 130A-23, the Program may suspend or revoke certification for any violation of G.S. 130A, Article 19A or these Rules, or upon finding that its issuance was based upon incorrect information or misrepresentations that materially affected the decision to issue certification. The Program may also suspend or revoke certification upon finding that the certified person has violated any requirement referenced in Rule .0808(h) of this Section. A person whose certification is revoked shall repeat the initial training course and meet the requirements set out in Paragraphs (b), (c), and (d) of this Rule. A person whose certification is revoked because of fraudulent

misrepresentations or because of violations that create a significant public health hazard shall not reapply for certification before 12 months after the revocation, and shall repeat the initial training course and meet the requirements set out in Paragraphs (b), (c), and (d) of this Rule.

(i) Certification for persons who were certified under the Interim Lead Abatement Certification Program and who were conducting specified lead-based paint activities, as defined in the Interim Lead Abatement Certification Program, prior to the effective date of these Rules, shall remain valid until the completion of the project begun prior to the effective date of these Rules.

History Note: Authority G.S. 130A-453.03; 130A-453.11; 150B-21.1(a)(3);

Temporary Adoption Eff. July 7, 1997; Eff. July 1, 1998.

#### .0803 CERTIFICATION OF FIRMS

- (a) All firms who conduct lead-based paint activities shall become certified by the Program. The Program shall issue a certificate of approval to firms meeting the requirements in Paragraphs (b) and (c) of this Rule.
- (b) To become certified the firm shall submit a completed application to the Program. The form shall include:
  - (1) the name, address and telephone number of the firm;
  - (2) a statement that attests that all individuals to be used by the firm to perform lead-based paint activities are certified by the Program;
  - (3) a statement that attests that the firm will perform leadbased paint activities in accordance with these Rules and all applicable local, State, and Federal requirements, including all applicable record keeping requirements;
  - (4) a disclosure of any action by EPA or an EPA authorized program involving violations, suspensions, revocations, or modifications of a firm's activities; and
  - (5) the original signature, title, and printed name of an official of the firm.
- (c) All certifications shall expire at the end of the twelfth month after the certification is issued and can be renewed by submitting a completed application provided by the Program.
- (d) In accordance with G.S. 130A-23, the Program may suspend or revoke certification for any violation of G.S. 130A, Article 19A or the rules of this Section, or upon finding that its issuance was based upon incorrect information or misrepresentations that materially affected the decision to issue certification or recertification. The Program may revoke certification upon a finding that a certified firm has violated any requirement referenced in Rule .0808(h) of this Section. Certification may be revoked upon revocation of certification by EPA or an EPA authorized program. A firm whose certification has been revoked because of fraudulent misrepresentations or because of violations that create a significant public health hazard shall not be eligible for certification for a period of 12 months from the date of revocation.

History Note: Authority G.S. 130A-453.04; 130A-453.11; 150B-21.1(a)(3);

Temporary Adoption Eff. July 7, 1997;

Eff. July 1, 1998.

#### .0804 PROGRAM ADMINISTERED EXAMS

- (a) The Program shall offer examinations for each individual certification category except worker. Individuals pass the exam by achieving a score of at least 70 percent. Individuals seeking certification shall pass the appropriate exam. The examination shall be administered by the Program or by a state, tribe, or territory that has a written reciprocating agreement with the Program. If an individual does not successfully complete the examination after three attempts, the individual shall retake the initial course from an accredited training program before reapplying for certification.
- (b) Applicants seeking North Carolina certification who wish to take the Program administered examination shall first complete all other requirements for certification; the applicant will be notified of the exact time and location of the examination. The applicant shall present photo identification for verification of identity at the time of the examination.
- (c) Applicants seeking North Carolina certification who have been certified by a state, tribe, or territory that has a written reciprocating agreement with the Program shall meet the requirements of Rule .0802 Paragraphs (b), (d)(I) and (2) of this Section. A copy of that state's, tribe's, or territories' issued certification shall be verification that the applicant has met all other requirements for certification.

History Note: Authority G.S. 130A-453.05; 130A-453.11; 150B-21.1(a)(3); Eff. July 1, 1998.

### .0805 ACCREDITATION OF TRAINING COURSES

- (a) Training courses taught in North Carolina for lead certification shall be accredited by the Program, and shall be offered by an accredited training provider, pursuant to Rule .0806 of this Section. If the course is accredited by a state, tribe, or territory that has a written reciprocating agreement with the Program, the course shall meet the requirements of Paragraphs (b), (c), (e), (h), and (i) of this Rule and Rule .0806 of this Section to become accredited by the Program.
- (b) A training provider may apply for initial and refresher training course accreditation for any of the following disciplines: inspector, risk assessor, supervisor, project designer, and worker. Training provider applying for accreditation shall submit a completed training course application to the Program for review and approval, pursuant to Paragraph (e) of this Rule. Once a training course is accredited, any changes in curriculum, handson exercises, principal instructor, or quality control plan from the original course accreditation application shall be approved by the Program prior to implementation.
- (c) For all courses, the training provider shall administer a closed book examination. Initial courses, except the Project Designer Course shall also include a hands-on skills assessment. The initial course examination shall consist of a minimum of 50 multiple choice questions, and the refresher course examinations

- shall consist of a minimum of 25 multiple choice questions.
- (d) Training courses shall be evaluated for accreditation purposes by the Program for course administration, course length, curriculum, training methods, instructors' qualifications, instructors' teaching effectiveness, technical accuracy of written materials and instruction, examination, and training certificate. The evaluation shall be conducted using 40 CFR Part 745 Subpart L.
- (e) Training course providers shall submit the following for evaluation and accreditation by the Program:
  - (1) a completed application on a form provided by the Program, along with supporting documentation. The form and supporting documentation shall include the following:
    - (A) name, address, and telephone number of the training provider, and name and signature of the contact person, training manager, and principal instructor;
    - (B) course title, location and the language in which the course is to be taught;
    - (C) course agenda;
    - (D) a copy of all written instructional material used;
    - (E) learning or performance objectives for each topic to be taught;
    - (F) a copy or description of all audio/visual materials used;
    - (G) a description of each hands-on training activity and skills assessment, including criteria for student proficiency;
    - (H) a description of instructional facilities and equipment;
    - (I) a copy of a sample exam with correct answers marked:
    - (J) a sample certificate with the following information:
      - (i) Name, address, and social security number of student;
      - (ii) Training course title specifying initial or refresher:
      - (iii) Inclusive dates of course and applicable examination;
      - (iv) Statement that the student completed the course and passed the required examination and hands-on skills assessment:
      - (v) Unique certificate number;
      - (vi) Printed name and signature of the training course manager and printed name of the principal instructor;
      - (vii) Name, address, and telephone number of the training provider;
      - (viii) Training course location;
      - (ix) For worker training courses taught in languages other than English, the certificate shall indicate the language of the course; and
    - (K) a list of accredited lead training courses

currently being provided for certification.

- (2) A list of instructors who will teach in North Carolina and their qualifications in accordance with Paragraph (f) of this Rule.
- (3) A copy of the course quality control plan that meets the requirements of 40 CFR 745 Subpart L Subsection .225(c)(9).
- (f) All instructors and training managers shall be approved by the Program. Any person seeking approval as a training manager or instructor for courses covered under these Rules and taught in North Carolina shall meet the following requirements:
  - (1) Training managers and instructors shall meet the requirements of 40 CFR 745 Subpart L Subsection .225(c), except that guest instructors who teach work practice topics and hands-on training shall meet the training requirements for principal instructors; however, guest instructors whose course instruction is limited to conducting training for XRF instruments are not required to meet the requirements for principal instructors:
  - (2) Principal instructors and guest instructors who teach work practice topics or hands-on training shall meet the training requirements for certification, pursuant to Rule .0802 of this Section, for the discipline in which instructor approval is sought; and
  - (3) All training providers shall submit to the Program a completed application with the following information:
    - (A) name, address, and telephone number of the applicant;
    - (B) name, address, and telephone number of the training provider that is employing the applicant;
    - (C) when training course completion is a requirement, confirmation of completion of an accredited initial or refresher training course from the training agency, the confirmation shall be in the form of an original certificate of completion of the accredited training course or the following information: the course title, dates of instruction, names of instructors, name, address, and telephone number of the training provider;
    - (D) when education is a requirement, a copy of the diploma or other written documentation; and
    - (E) when work experience is a requirement, documentation of relevant work history, including employer name, address, and telephone number, positions held, dates when positions were held, and legible copies of any relevant licenses, registrations, or certifications.
- (g) An application for course accreditation shall be processed as follows:
  - (1) The Program shall review the application and supporting documentation and advise the applicant of any deficiencies. If the deficiencies are not corrected within one year from the date of application, the application and any supporting documentation may be

- returned to the applicant and the applicant shall be required to re-submit a completed application. Approval of submitted documentation does not constitute course accreditation:
- (2) If the submitted documentation meets all applicable requirements of this Rule, the Program shall notify the applicant of this and also advise the applicant that it may contact the Program to schedule an on-site audit. The on-site audit shall be of a class of at least two student attendees and taught in North Carolina;
- (3) If the Program determines, as a result of the on-site audit, that the training course meets all applicable requirements of this Rule, it shall issue course accreditation. If the course does not meet these requirements, the Program shall notify the applicant of the deficiencies and advise the applicant that it may request one additional on-site audit, which shall be held no more than six months from the date of the first audit:
- (4) If the Program determines, as the result of the second audit, that the training course meets all applicable requirements of this Rule, it shall issue course accreditation. If the course does not meet all these requirements, the Program shall notify the applicant of the deficiencies, return all application materials, and advise the applicant that it may not reapply for course accreditation for the audited course for a period of six months from the date of the last audit.
- (h) Training course providers shall perform the following in order to maintain accreditation of all initial and refresher courses:
  - (1) Issue a certificate of training meeting the requirements of Part (e)(1)(J) of this Rule to any student who successfully completes the required training, passes the hands on skills assessment, and passes the applicable examination.
  - (2) Submit to the Program written notice of intention to conduct a training course for North Carolina lead certification purposes if the course is to be taught in North Carolina. Notices for training courses, except lead worker, shall be postmarked or received 10 working days before the training course begins. Notices for lead worker training courses shall be postmarked or received five working days before the training course begins. If the training course is canceled, the training course provider shall notify the Program at least one working day prior to the scheduled start date. Notification of intent to conduct a training course shall be made using a form provided by the Program and shall include the following:
    - (A) Training provider name, address, telephone number and contact person;
    - (B) Training course title;
    - (C) Inclusive dates of course and applicable exam;
    - (D) Start and completion times;
    - (E) Location of the course facility and directions to the course facility if the site is not routine for the training provider;

- (F) Language in which the course is taught;
- (G) Principal instructor; and
- (H) Signature of the training manager.
- (3) Notify the Program, in writing, at least 10 working days prior to the scheduled course start date, of any changes to course length, curriculum, training methods, training manual or materials, instructors, examination, training certificate, training course manager or contact person.
- (4) Submit to the Program information and documentation for any course approved under Paragraph (e) of this Rule if requested by the Program.
- (5) Ensure that all training managers and instructors are approved by the Program.
- (6) Ensure that all training courses covered under this Rule meet the requirements of 40 CFR Part 745 Subpart L, Subsection 225(c), (d), and (e) and the following requirements:
  - (A) The instructor must follow the curriculum that was approved by the Program or a state, tribe, or territory with whom the Program has a reciprocity agreement. The schedule may be adjusted, but all curriculum elements shall be covered.
  - (B) All initial and refresher training courses shall have a maximum of 40 students;
  - (C) A day of training shall include at least six and one-half hours of direct instruction, including classroom, hands-on training or field trips;
  - (D) Work time and instruction time shall not exceed 12 hours in a 24 hour period;
  - (E) A training course shall be completed within a two-week period;
  - (F) A single instructor is allowed only for a worker course. Other initial disciplines shall have a minimum of two instructors;
  - (G) Instructor ratio for hands-on training shall be no more than 10 students per instructor;
  - (H) All course materials shall be in the language in which the course is being taught;
  - (1) Each training course shall be discipline specific;
  - (J) Students shall be allowed to take an examination no more than twice for each course. After two failures, the student shall retake the full course before being allowed to retest; and
  - (K) Training providers shall provide examination security to prevent student access to the examination materials before and after the exam. Training providers shall take measures to preclude cheating during the exam, such as providing space between students, prohibiting talking, and monitoring students throughout the exam.
- (7) Verify, by photo identification, the identity of any student requesting training.

- (8) For each course accredited by the Program, and taught in North Carolina, the training provider shall submit a completed renewal application on a form provided by the Program. Effective July 1, 1999, a renewal application shall be submitted prior to the next course offering and annually thereafter. If an annual training course renewal lapses, the provider shall submit a renewal application prior to offering the course again in North Carolina.
- (9) Work practice and worker protection demonstrations and hands-on exercises, including, but not limited to respirator fit testing, presented in all training courses covered under this Rule shall be conducted in accordance with Rule .0807 of this Section and 29 CFR 1926.62, which is hereby incorporated by reference, including any subsequent amendments and editions. Copies may be obtained by writing the NC Department of Labor, Bureau of Education, Training and Technical Assistance, 319 Chapanoke Road, Suite 105, Raleigh, NC, 27603, at a cost of ten dollars and sixty cents (\$10.60).
- (i) Training course providers shall permit Program representatives to attend, evaluate and monitor any training course, take the course examination and have access to records of training courses without charge or hindrance to the Program for the purpose of evaluating compliance with these Rules. The Program shall perform periodic and unannounced on-site audits of training courses.
- (j) In accordance with G.S. 130A-23, the Program may suspend or revoke accreditation for a training course for any violation of G.S. 130A, Article 19A or these Rules and may revoke accreditation upon revocation of accreditation by the EPA or by an EPA authorized accreditation program.

History Note: Authority G.S. 130A-453.07; 130A-453.11; 150B-21.1(a)(3); Eff. July 1, 1998.

### .0806 ACCREDITATION OF TRAINING PROVIDERS

- (a) All training providers who offer lead training courses in North Carolina for individual certification shall be accredited by the Program before offering training courses.
  - (b) To become accredited, the training provider shall:
  - (1) employ a training manager who meets the requirements of 40 CFR 745 Subpart L Subsection .225(c); and
  - (2) submit a completed application to the Program including:
    - (A) the name, address and telephone number of the training provider;
    - (B) a statement that all courses taught in North Carolina for certification will comply at all times with all of the requirements of these
    - (C) a statement that the training provider is responsible for maintaining the validity and integrity of the hands-on skills assessment to

- ensure that it accurately evaluates the trainees' performance of the work practices and procedures associated with the course topics;
- (D) a statement that the training provider is responsible for maintaining the validity and integrity of the course examination to ensure that it accurately evaluates the trainees' knowledge and retention of the course topics;
- (E) a completed application for training manager, pursuant to Rule .0805(f) of this Section with documentation for meeting the requirements of 40 CFR 745 Subpart L Subsection .225(c); and
- (F) the original signature, title, and printed name of an official of the training company.
- (c) In accordance with G.S. 130A-23, the Program may suspend or revoke accreditation of a training course provider for any violation of G.S. 130A, Article 19A or these Rules, and may revoke accreditation upon revocation of accreditation by EPA or by an EPA authorized state. The Program shall revoke training provider accreditation upon finding that the training provider has falsified training documents. When training provider accreditation is revoked for falsification of training documents, the training course provider shall not be eligible for reaccreditation for a period of three years from the date of revocation.

History Note: Authority G.S. 130A-453.07; 130A-453.11; 150B-21.1(a)(3); Eff. July 1, 1998.

### .0808 LEAD-BASED PAINT ABATEMENT PERMITS

- (a) No person shall conduct abatement without an abatement permit issued by the Program, except as provided for in G.S. 130A-453.09(c). All abatement activities shall be conducted by a certified firm.
- (b) All applications shall be made in writing on a form provided or approved by the Program. The application shall include at least all of the following:
  - (1) name, address, contact name, and telephone number of the owner and operator of the target housing or child occupied facility;
  - (2) name, certification number, address, contact name, and telephone number of the certified firm;
  - (3) name, certification number, address, and telephone number of the inspector and risk assessor;
  - (4) name, certification number, address, and telephone number of the project designer;
  - (5) location and street address, including building number or name and floor or room number, city, county, and state, of the building where the abatement is taking place;
  - (6) scheduled start and completion dates of lead-based paint abatement work including preparation work and cleanup;
  - (7) work schedule, including days of the week and hours to be worked:
  - (8) amount of material to be abated;
  - (9) method(s) of abatement;

- (10) non-hazardous waste transporter, address, contact name, and telephone number;
- (11) non-hazardous waste disposal site, address, contact name, and telephone number;
- (12) hazardous waste transporter, address, contact name, and telephone number;
- (13) hazardous waste disposal site, address, contact name, and telephone number;
- (14) for ordered abatements, the name, title, and authority of the State or local government representative who has ordered the abatement, the date that the order was issued, and the date the abatement was ordered to begin;
- (15) for emergency abatements, a description of the nature of the emergency and an explanation of how failure to correct the situation would cause a lead-based paint hazard:
- (16) contract price for the abatement; and
- (17) the name of the representative of the certified firm, address, original signature, and date.
- (c) Applications for lead abatement permits shall be postmarked or received by the Program at least 10 working days prior to the scheduled abatement start date. For emergency lead abatement activities, the Program will take action immediately.

Applications for emergency lead-based paint abatement activities shall be submitted along with a letter from the owner or the certified risk assessor explaining the nature of the emergency.

- (d) Application for revision to an issued lead abatement permit shall be made by the applicant in writing on a form provided or approved by the Program and shall be received by the Program in accordance with the following:
  - (1) Revision to a start date for a project that will begin after the start date stated in the approved permit shall be received on or before the previously stated start date or previously revised start date;
  - (2) Revision to a start date for a project that will begin before the start date stated in the approved permit or subsequent revisions shall be received at least 10 working days before the new start date;
  - (3) Revision to a completion date that will be extended beyond the completion date stated in the approved permit shall be received by the original completion date or previously revised completion date;
  - (4) Revision to a completion date that will be earlier than the completion date stated in the approved permit or subsequent revision shall be received by the new completion date; and
  - (5) Revision to permits other than start or completion dates shall be submitted to the Program prior to initiating the activity which the revision addresses.
- (e) The following shall be maintained on site during abatement activities and be immediately available for review by the Program:
  - a copy of the abatement permit issued by the Program and all revisions with the Program's confirmation of receipt;
  - (2) photo identification cards issued by the Program for

- all personnel performing lead abatement activities;
- (3) the occupant protection plan; and
- (4) any applicable abatement design, risk assessment and inspection reports.
- (f) All permitted abatement activities shall be conducted in accordance with Rule .0807 of this Section.
- (g) A certified supervisor shall be on-site at all times when permitted abatement activities are being conducted.
- (h) In accordance with G.S. 130A-23, the Program may suspend or revoke the permit for any violation of G.S. 130A, Article 19A or these Rules. The Program may also revoke the permit upon a finding that its issuance was based upon incorrect information or misrepresentations that materially affected the decision to issue the permit. Notwithstanding permit revocation for violation of the rules of this Section, a lead-based paint abatement permit shall also be subject to revocation if the abatement activities are in violation of the following provisions with regard to lead-based paint abatement, as determined by the agencies which administer these Rules:
  - (1) Department of Labor Rules found at Chapter 7, Title 13 of the North Carolina Administrative Code;
  - (2) Department of Transportation Rules found at Title 19A, of the North Carolina Administrative Code;
  - (3) Solid Waste Management Rules found at Chapter 13. Title 15A of the North Carolina Administrative Code; and
  - (4) NC Childhood Lead Poisoning Prevention Program requirements found at G.S. 130A, Article 5, Part 4.

History Note: Authority G.S. 130A-453.09; 130A-453.11; 150B-21.1(a)(3); Eff. July 1, 1998.

### .0809 FEES

- (a) The fees required by G.S. 130A-453.08 for individual and firm certification shall be submitted with a completed application for certification. The amount of the fee shall be one hundred fifty dollars (\$150.00) for each category of individual certification except that the fee for worker shall be fifty dollars (\$50.00). The fee for firm certification shall be fifty dollars (\$50.00).
- (b) The fee required by G.S. 130A-453.08 for examination shall be submitted with a completed application for certification. The amount of the fee shall be seventy-five dollars (\$75.00).
- (c) The fees required by G.S. 130A-453.08 for initial course accreditation and renewal course accreditation shall be submitted with a training course application. The amount of the fee shall be fifteen hundred dollars (\$1500.00) for each initial course accreditation if the course does not have prior approval by a state, tribe, or territory that has a reciprocating agreement with the Program; one thousand dollars (\$1000.00) for each course accreditation if the course is accredited by a state, territory, or tribe that has a reciprocating agreement with the Program; and five hundred dollars (\$500.00) for each renewal course accreditation.
- (d) The fees required by G.S. 130A-453.08 for course provider accreditation shall be submitted with a completed application. The amount of the fee shall be one hundred fifty

dollars (\$150.00).

- (e) The fee required by G.S. 130A-453.09 for abatement permits shall be submitted with a completed permit application. The amount of the fee shall be two percent of the contract price, not to exceed five hundred dollars (\$500.00).
- (f) The fee for a replacement photo identification card shall be fifteen dollars (\$15.00).
- (g) In the case of issuing a refund for permits, an administrative cost of two hundred dollars (\$200.00) shall be retained by the Program.

History Note: Authority G.S. 130A-453.08; 130A-453.11; 150B-21.1(a)(3); Eff. July 1, 1998.

### **TITLE 18 - SECRETARY OF STATE**

### **CHAPTER 6 - SECURITIES DIVISION**

**SUBCHAPTER 6 - RECORDS** 

SECTION .1200 - EXEMPTIONS

## .1211 NOTICE FILING PROCEDURES FOR RULE 506 OFFERINGS

An issuer offering a security that is a "covered security" under Section 18(b)(4)(D) of the Securities Act of 1933 shall file a notice on SEC Form D, a consent to service of process on a form prescribed by the Administrator, and pay a fee of seventy-five dollars (\$75.00) no later than 15 days after the first sale in this State of such security covered under federal law. An issuer is not required to file any amendments to a Form D unless the amendment reflects a change in the offering in this State.

History Note: Authority G.S. 78A-31(b); 78A-49(a); Temporary Adoption Eff. October 1, 1997; Eff. August 1, 1998.

# SECTION .1400 - REGISTRATION OF DEALERS AND SALESMEN

# .1411 RECORD KEEPING REQUIREMENTS FOR DEALERS

- (a) Unless otherwise provided by order of the Securities and Exchange Commission, each dealer registered or required to be registered under this Act shall make, maintain and preserve books and records in compliance with U.S. Securities and Exchange Commission Rules 17a-3 and 17a-4 (17 C.F.R. 240.17a-3 and 17 C.F.R. 240.17a-4) and with section 15 of the Securities Exchange Act of 1934 (15 U.S.C. 78o) and the rules promulgated thereunder, as amended from time to time.
- (b) To the extent required by the Securities Exchange Act of 1934 or the rules adopted thereunder, every dealer registered or required to be registered under this Act shall maintain within this State, in a readily accessible location, all records required by this Rule. A written request for the waiver of the provisions of this Section may be made to the administrator to permit any

registered dealer to maintain any of the records required by this Section, in some place other than the State of North Carolina. In determining whether or not the provisions of this Section shall be waived the administrator may consider, among other things, whether the main office of the dealer is in a place outside the State of North Carolina or whether the dealer clears all or some of its transactions and uses all or some of the bookkeeping facilities of some other dealer whose main office is outside the State of North Carolina.

History Note: Authority G.S. 78A-38(a)(b)(d); 78A-49(a); Eff. April 1, 1981; Temporary Amendment Eff. October 1, 1997; Amended Eff. August 1, 1998.

### SECTION .1700 - REGISTRATION OF INVESTMENT ADVISERS AND INVESTMENT ADVISER REPRESENTATIVES

# .1704 MINIMUM FINANCIAL REQUIREMENTS FOR INVESTMENT ADVISERS

- (a) Unless an investment adviser posts a bond pursuant to Rule .1705, an investment adviser registered or required to be registered under the Act who has custody of client funds or securities shall maintain at all times a minimum net worth of thirty-five thousand dollars (\$35,000.00), and every investment adviser registered or required to be registered under the Act who has discretionary authority over client funds or securities but does not have custody of client funds or securities shall maintain at all times a minimum net worth of ten thousand dollars (\$10,000.00).
- (b) Unless otherwise exempted, as a condition of the right to continue to transact business in this state, every investment adviser registered or required to be registered under the Act shall by the close of business on the next business day notify the administrator if such investment adviser's total net worth is less than the minimum required. After transmitting such notice, each investment adviser shall file by the close of business on the next business day a written report with the administrator of its financial condition, including the following:
  - (1) a trial balance of all ledger accounts;
  - (2) a statement of all client funds or securities which are not segregated;
  - (3) a computation of the aggregate amount of client ledger debit balances; and
  - (4) a statement as to the number of client accounts.
- (c) For purposes of this Rule, the term "net worth" shall mean an excess of assets over liabilities, as determined by generally accepted accounting principles, but shall not include as assets: prepaid expenses (except as to items properly classified as current assets under generally accepted accounting principles), deferred charges, subordinated loans, goodwill, franchise rights, organizational expenses, patents, copyrights, marketing rights, unamortized debt discount and expense, all other assets of intangible nature; home, home furnishings, automobile(s), and any other personal items not readily marketable in the case of an individual; advances or loans to stockholders and officers in the case of a corporation; and advances or loans to partners in the

case of a partnership.

- (d) The administrator may require that a current appraisal be submitted in order to establish the worth of any asset.
- (e) Every investment adviser that has its principal place of business in a state other than this state shall maintain such capital as required by the state in which the investment adviser maintains its principal place of business, provided the investment adviser is licensed in such state and is in compliance with such state's minimum capital requirements, if any.

History Note: Filed as a Temporary Rule Eff. January 2, 1989, for a period of 180 days to expire on June 30, 1989; Authority G.S. 78C-17(d); 78C-18(c)(d); 78C-30(a); Eff. February 1, 1989; Amended Eff. September 1, 1995; Temporary Amendment Eff. October 1, 1997; Amended Eff. August 1, 1998.

## .1712 CHANGE OF NAME OF INVESTMENT ADVISER

Where only a change in the name of the investment adviser applicant or registrant occurs, an amended Form ADV shall be filed with the administrator together with any amendments to the organizational documents, or accompanying letters of explanation, within 30 days of the date of the change. The investment adviser shall return its license and a new license will be issued reflecting the name change. Where a change in the name of an investment adviser covered under federal law occurs, a copy of those documents filed with the Securities and Exchange Commission in connection with the name change shall be filed with the Administrator. There will be no fee for reissuance of the license. Each investment adviser representative shall retain his investment adviser representative's license and this license shall suffice as evidence of licensing under the new investment adviser name until renewal.

History Note: Filed as a Temporary Rule Eff. January 2, 1989, for a period of 180 days to expire on June 30, 1989; Authority G.S. 78C-17(c); 78C-18(d); 78C-30(a)(b); Eff. February 1, 1989; Temporary Amendment Eff. October 1, 1997; Amended Eff. August 1, 1998.

### SECTION .1800 - MISCELLANEOUS PROVISION-INVESTMENT ADVISERS

### .1801 DISHONEST OR UNETHICAL PRACTICES

(a) An investment adviser or an investment adviser covered under federal law is a fiduciary and has a duty to act primarily for the benefit of its clients. The provisions of this Section apply to investment advisers covered under federal law only to the extent that the conduct alleged is fraudulent or deceptive, or as otherwise permitted by the National Securities Markets Improvement Act of 1996 (Pub. L. No. 104-290). While the extent and nature of his duty varies according to the nature of the relationship between an investment adviser and its clients and the circumstances of each case, an investment adviser or an investment adviser covered under federal law shall not engage

in unethical business practices, including the following:

- (1) Recommending to a client to whom investment supervisory, management or consulting services are provided the purchase, sale or exchange of any security without reasonable grounds to believe that the recommendation is suitable for the client on the basis of information furnished by the client after reasonable inquiry concerning the client's investment objectives, financial situation and needs, and any other information known or acquired by the investment adviser after reasonable examination of such of the client's financial records as may be provided to the investment adviser;
- (2) Placing an order to purchase or sell a security for the account of a client without authority to do so;
- (3) Placing an order to purchase or sell a security for the account of a client upon instruction of a third party without first having obtained a written third-party trading authorization from the client;
- (4) Exercising any discretionary authority in placing an order for the purchase or sale of securities for a client without obtaining written discretionary authority from the client within 10 business days after the date of the first transaction placed pursuant to oral discretionary authority. Discretionary power does not include a power relating solely to the price at which, or the time when, an order involving a definite amount of a specified security shall be executed, or both;
- (5) Inducing trading in a client's account that is excessive in size or frequency in view of the financial resources, investment objectives and character of the account;
- (6) Borrowing money or securities from a client unless the client is a dealer, an affiliate of the investment adviser, or a financial institution engaged in the business of lending funds or securities;
- (7) Lending money to a client unless the investment adviser is a financial institution engaged in the business of lending funds or a dealer, or unless the client is an affiliate of the investment adviser;
- (8) Misrepresenting to any advisory client, or prospective advisory client, the qualifications of the investment adviser or any employee of the investment adviser, or misrepresenting the nature of the advisory services being offered or fees to be charged for such service, or omitting to state a material fact necessary to make the statements made regarding qualifications, services or fees, in light of the circumstances under which they are made, not misleading;
- (9) Providing a report or recommendation to any advisory client prepared by someone other than the adviser without disclosing that fact. (This prohibition does not apply to a situation in which the adviser uses published research reports or statistical analyses to render advice or where an adviser orders such a report in the normal course of providing service.);
- (10) Charging a client an advisory fee that is unreasonable in the light of the type of services to be provided, the experience and expertise of the adviser, the

- sophistication and bargaining power of the client, and whether the adviser has disclosed that lower fees for comparable services may be available from other sources:
- (11) Failing to disclose to a client in writing before entering into or renewing an advisory agreement with that client any material conflict of interest relating to the adviser or any of its employees which could reasonably be expected to impair the rendering of unbiased and objective advice including:
  - (A) Compensation arrangements connected with advisory services to clients which are in addition to compensation from such clients for such services; and
  - (B) Charging a client an advisory fee for rendering advice when a commission for executing securities transactions pursuant to such advice will be received by the adviser or its employees;
- (12) Guaranteeing a client that a specific result will be achieved (gain or no loss) as a result of the advice which will be rendered;
- (13) Publishing, circulating or distributing any advertisement which does not comply with Rule 206(4)-1 under the Investment Advisers Act of 1940;
- (14) Disclosing the identity, affairs or investments of any client to any third party unless required by law to do so, or unless consented to by the client;
- (15) Taking any action, directly or indirectly, with respect to those securities or funds in which any client has any beneficial interest, where the investment adviser has custody or possession of such securities or funds when the adviser's action is subject to and does not comply with the safekeeping requirements of Rule 206(4)-2 under the Investment Advisers Act of 1940, unless the investment adviser is exempt from such requirements by virtue of Rule 206(4)-2(b);
- (16) Entering into, extending or renewing any investment advisory contract, other than a contract for impersonal advisory services, unless such contract is in writing and discloses, in substance: the services to be provided; the term of the contract; the advisory fee or the formula for computing the fee; the amount or the manner of calculation of the amount of the prepaid fee to be returned in the event of contract termination or non-performance; whether the contract grants discretionary authority to the adviser; and that no assignment of such contract shall be made by the investment adviser without the consent of the other party to the contract;
- (17) Failing to disclose to any client or prospective client all material facts with respect to:
  - (A) A financial condition of the adviser that is reasonably likely to impair the ability of the adviser to meet contractual commitments to clients, if the adviser has discretionary authority (express or implied) or custody over such client's funds or securities, or requires

- prepayment of advisory fees of more than five hundred dollars (\$500.00) from such client, six months or more in advance; or
- (B) A legal or disciplinary event that is material to an evaluation of the adviser's integrity or ability to meet contractual commitments to clients; and
- (18) Utilizing an agent or subagent who satisfies the definition of an investment adviser representative as set forth in G.S. 78C-2(3), where such agent or subagent is not registered as an investment adviser representative pursuant to G.S. 78C-16;
- (19) Failing to establish, maintain, and enforce written policies and procedures reasonably designed to prevent the misuse of material nonpublic information contrary to the provisions of Section 204A of the Investment Advisers Act of 1940;
- (20) Entering into, extending, or renewing any advisory contract contrary to the provisions of Section 205 of the Investment Advisers Act of 1940;
- (21) Indicating in an advisory contract, any condition, stipulation, or provisions binding any person to waive compliance with any provision of this Act or of the Investment Advisers Act of 1940, or any other practice that would violate Section 215 of the Investment Advisers Act of 1940;
- (22) Engaging in any act, practice, or course of business which is fraudulent, deceptive, or manipulative in contravention of Section 206(4) of the Investment Advisers Act of 1940:
- (23) Engaging in conduct or any act, indirectly or through or by any other person, which would be unlawful for such person to do directly under the provisions of this Act or any rule or regulation thereunder.

The conduct set forth in Rule .1801(a) is not exclusive. It also includes employing any device, scheme, or artifice to defraud or engaging in any act, practice or course of business which operates or would operate as a fraud or deceit. The federal statutory and regulatory provisions referenced herein shall apply both to investment advisers and to investment advisers covered under federal law, to the extent permitted by the National Securities Markets Improvement Act of 1996 (Pub. L. No. 104-290).

- (b) There shall be a rebuttable presumption that the following legal or disciplinary events involving the adviser or a management person of the adviser (any of the foregoing being referred to hereafter as "person") that were not resolved in the person's favor or subsequently reversed, suspended, or vacated are material within the meaning of Subparagraph (a)(17)(B) of this Rule for a period of 10 years from the time of the event:
  - (1) A criminal or civil action in a court of competent jurisdiction in which the person:
    - (A) was convicted, pleaded guilty or nolo contendere ("no contest") to a felony or misdemeanor, or is the named subject of a pending criminal proceeding (any of the foregoing referred to hereafter as "action"), and such action involved: an investment-related

- business, fraud, false statements, or omissions; wrongful taking of property; or bribery, forgery, counterfeiting, or extortion;
- (B) was found to have been involved in a violation of an investment-related statute or regulation;
   or
- (C) was the subject of any order, judgment, or decree permanently or temporarily enjoining the person from, or otherwise limiting the person from, engaging in any investment-related activity;
- (2) Administrative proceedings before the Administrator, Securities and Exchange Commission, any other federal regulatory agency or any other state agency (any of the foregoing being referred to hereafter as "agency") in which the person:
  - (A) was found to have caused an investment-related business to lose its authorization to do business;
  - (B) was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency denying, suspending, or revoking the authorization of the person to act in, or barring or suspending the person's association with, an investment-related business or otherwise significantly limiting the person's investment-related activities; or
  - (C) was found to have engaged in an act or a course of conduct which resulted in the issuance by the agency of an order to cease and desist the violation of the provisions of any investment-related statute or rule; or
- (3) Self-Regulatory Organization (SRO) proceedings in which the person:
  - (A) was found to have caused an investment-related business to lose its authorization to do business; or
  - (B) was found to have been involved in a violation of the SRO's rules and was the subject of an order by the SRO barring or suspending the person from membership or from association with other members, or expelling the person from membership; fining the person more than two thousand five hundred dollars (\$2,500.00); or otherwise significantly limiting the person's investment-related activities.
- (c) The information required to be disclosed by Subparagraph (a)(17) shall be disclosed to clients promptly, and to prospective clients not less than 48 hours prior to entering into any written or oral investment advisory contract, or no later than the time of entering into such contract if the client has the right to terminate the contract without penalty within five business days after entering into the contract.
  - (d) For purposes of this Rule:
    - (1) "Management person" means a person with power to exercise, directly or indirectly, a controlling influence over the management or policies of an investment

- adviser which is not a natural person or to determine the general investment advice given to clients:
- "Found" means determined or ascertained by (2)adjudication or consent in a final SRO proceeding, administrative proceeding, or court action;
- "Investment-related" means pertaining to securities, (3) commodities, banking, insurance, or real estate fincluding, but not limited to, acting as or being associated with a dealer, investment company, investment adviser, government securities broker or dealer, municipal securities dealer, bank, savings and loan association, entity or person required to be registered under the Commodity Exchange Act (7 U.S.C. 1 et seq.), or fiduciary];
- "Involved" means acting or aiding, abetting, causing, (4) counseling, commanding, inducing, conspiring with or failing reasonably to supervise another in doing an act: and
- (5) "Self-Regulatory Organization" or "SRO" means any national securities or commodities exchange, registered association, or registered clearing agency.
- (e) For purposes of calculating the ten-year period during which events are presumed to be material under Paragraph (b), the date of a reportable event shall be the date on which the final order, judgment, or decree was entered, or the date on which any rights of appeal from preliminary orders, judgments, or decrees
- (f) Compliance with this Rule shall not relieve any investment adviser from the obligations of any other disclosure requirement under the Act, the rules thereunder, or under any other federal or state law.

Filed as a Temporary Rule Eff. January 2, History Note: 1989, for a period of 180 days to expire on June 30, 1989; Authority G.S. 78C-18(b); 78C-30(a); Eff. February 1, 1989; Amended Eff. September 1, 1995;

Temporary Amendment Eff. October 1, 1997;

Amended Eff. August 1, 1998.

#### EXEMPTION/SECTION 78C-8(a)(3)/CERTAIN .1804 **BROKER-DEALERS**

- (a) For purposes of this Rule:
  - "Publicly distributed written materials" means written materials which are distributed to 35 or more persons who pay for those materials;
  - "Publicly made oral statements" means oral statements (2) made simultaneously to 35 or more persons who pay for access to those statements; and
  - "Investment adviser" shall include an investment adviser covered under federal law as defined in G.S. 78C-2(4).
- (b) An investment adviser registered as a broker-dealer pursuant to Section 15 of the Securities Exchange Act of 1934 shall be exempt from Section 78C-8(a)(3) of the Act in connection with any transaction in relation to which that broker-dealer acts as an investment adviser:
  - solely by means of publicly distributed written

- materials or publicly made oral statements;
- (2) solely by means of written materials or oral statements not purporting to meet the objectives or needs of specific individuals or accounts;
- solely through the issuance of statistical information (3) containing no expressions of opinion as to the investment merits of a particular security; or
- any combination of the foregoing services.

This exemption shall apply only if the materials and oral statements disclose that, if the purchaser of the advisory communication uses the investment adviser's services in connection with the sale or purchase of a security which is a subject of the communication, the investment adviser may act as principal for its own account or as agent for another person. Compliance by the investment adviser with the foregoing disclosure requirement shall not relieve it of any other disclosure obligations under the Act.

History Note: Filed as a Temporary Rule Eff. January 2, 1989, for a period of 180 days to expire on June 30, 1989; Authority G.S. 78C-8(f); 78C-30(a);

Eff. February 1, 1989;

Temporary Amendment Eff. October 1, 1997; Amended Eff. August 1, 1998.

### TITLE 19A - DEPARTMENT OF TRANSPORTATION

### **CHAPTER 2 - DIVISION OF HIGHWAYS**

### **SUBCHAPTER 2E - MISCELLANEOUS OPERATIONS**

### **SECTION .0200 - OUTDOOR ADVERTISING**

#### .0221 **FEES**

- (a) The fee for an initial installation is two hundred fifty dollars (\$250.00) per each mainline, per each ramp, and per each trailblazer business sign. Contracts shall be renewed annually every November 1. The annual maintenance fee is two hundred fifty dollars (\$250.00) per each mainline, per each ramp, and per each trailblazer business sign. The initial fee shall cover a oneyear period beginning with placement and acceptance of the "business sign" or "logo sign" by the department. The fee for that period of time between the first anniversary of placement and acceptance and the first annual renewal date shall be the prorated portion of the annual fee. Any business which meets the criteria to participate in the program may pay the cost of initial installation of a complete logo sign panel subject to a credit to be determined by the department at the time it receives any fee from a business which later qualifies and elects to participate in the program on the subject panel. The aforesaid payment of the cost of initial installation of a complete logo sign panel in no way relieves the participating business from the obligation of its payment of the annual maintenance fee per business sign.
- (b) Fees may be paid by check or money order and due in advance of the period or service covered by said fee. Failure to pay a charge when due is ground for removal of the sign and

termination of the contract.

- (c) When requested by a business, the department may perform additional requested services in connection with changes of the business sign, upon payment of a twenty-five dollar (\$25.00) service charge per business sign, and any new or renovated business sign required for such purpose shall be provided by the applicant. If the department removes or masks a business sign because of seasonal operation, there shall be no additional charge to the business.
- (d) The department shall not be responsible for damages to business signs caused by acts of vandalism, accidents, natural causes (including natural deterioration), requiring repair or replacement of business sign(s). Applicants in such event shall provide a new or renovated business sign together with payment of a twenty-five dollar (\$25.00) service charge per business sign to the department to replace such damaged business sign(s).
- (e) Any participating business, other than "GAS", "FOOD", or "LODGING" service located more than three miles from a fully controlled access highway, which did not previously participate in the initial cost of the installation of logo sign panels, and which meets the criteria to participate in the program, may by making application to the department and paying nine hundred and ten dollars (\$910.00), per each mainline business sign and per each ramp business sign, avoid being removed from this program by applications of other businesses deemed closer to the interchange. No charge shall be made for trailblazers. Any participating applicant may pay for only one logo sign on any sign panel. This payment shall be made only at the beginning of a contract or at the renewal time. This payment in no way relieves the participating business from the obligation of its payment of the annual maintenance fee per each business sign. The annual maintenance fee shall be two hundred fifty dollars (\$250.00) per each mainline, per each ramp, and per each trailblazer business sign.
- (f) Any business, other than "GAS", "FOOD", or "LODGING" service located more than three miles from a fully controlled access highway, which meets the criteria to participate in the program, by making application to the department and prepaying all construction cost fees may avoid being removed from this program by applications of other businesses deemed to be closer to the interchange. Any business applicant may prepay the balance of construction costs for only one logo sign on any sign panel. This prepayment of all construction cost fees in no way relieves the participating business from the obligation of its payment of the annual maintenance fee per each business sign. The annual maintenance fee per each mainline, per each ramp, and per each trailblazer business sign shall be two hundred fifty dollars (\$250.00).
- (g) Any participating business located more than three miles from a fully controlled access highway, that prepaid all construction costs for the initial installation of the logo background sign panels, shall be allowed a reimbursement if dislocated by another qualifying, participating business. This reimbursement amount shall be determined by the Department, based on the life-cycle costs of the logo signs and the in-service time the business logo was displayed.
- (h) Any business, other than "GAS", "FOOD", or "LODGING" service located more than three miles from a fully

controlled access highway, which meets the criteria to participate in the program, by making application to the department and paying nine hundred ten dollars (\$910.00) per each mainline business sign and per each ramp business sign, may avoid being removed from this program by applications of other businesses deemed closer to the interchange. No charge shall be made for trailblazers. Any business applicant may pay for only one logo sign on any sign panel. This payment shall be made only at the beginning of a contract or at the renewal time. This payment in no way relieves the participating business from the obligation of its payment of the annual maintenance fee per each business sign. The annual maintenance fee per each mainline, per each ramp, and per each trailblazer business sign shall be two hundred fifty dollars (\$250.00).

(i) No fee shall be charged for supplemental service signs.

History Note: Authority G.S. 136-89.56; 136-137; 136-139; 143B-346; 143B-348; 143B-350(f); 23 C.F.R. 750, Subpart A; 23 U.S.C. 131(f);

Eff. April 1, 1982;

Amended Eff. <u>August 1, 1998;</u> April 1, 1994; October 1, 1993; December 1, 1992; September 1, 1990.

### .0222 CONTRACTS WITH THE DEPARTMENT

- (a) The department shall perform all required installation, maintenance, removal and replacement of all business signs upon panels.
- (b) Individual businesses requesting placement of business signs on panels shall apply by submitting to the Department of Transportation a completed Agreement form. As a condition of said Agreement, the applicant must agree to submit the required initial fee within 30 days after the business is approved by the department. The department shall provide a statement(s) to the applicant at the time agreements are provided that itemize the number of business signs required, their fee(s) and remittance requirements.
- (c) Businesses must submit a layout of their proposed business sign for approval by the department before the business sign is fabricated.
- (d) No business sign shall be displayed which, in the opinion of the department, is unsightly, badly faded, or in a state of dilapidation. The department shall remove, replace, or mask any such business signs as appropriate. Ordinary initial installation and maintenance services shall be performed by the department at such necessary times upon payment of the annual renewal fee, and removal shall be performed upon failure to pay any fee or for violation of any provision of the rules in this Section and the business sign shall be removed. The business shall furnish all business signs.
- (e) When a business sign is removed, it shall be taken to the division traffic services shop of the division in which the business is located. The business shall be notified of such removal and given 30 days in which to retrieve their business sign(s). After 30 days, the business sign shall become the property of the department and shall be disposed of as the department shall see fit.
- (f) Should the department determine that trailblazing to a business that is signed for at the interchange is desirable, it shall

be done with an assembly (or series of assemblies) consisting of a ramp size business sign and a white on blue arrow. The business shall furnish all business sign(s) required and deemed necessary by the department. Fees shall be the same as for other business sign(s). If several different services are located on the same business site, duplicate type logo signs shall not be erected in a single logo trailblazer installation. In such trailblazer installations, only one logo sign and one directional arrow sign shall be used. The business may submit, subject to approval by the department, different logo signs to identify different services which may be located on the same business site.

- (g) Should a business qualify for business signs at two interchanges, the business sign(s) shall be erected at the nearest interchange. If the business desires signing at the other interchange also, it may be so signed provided it does not prevent another business from being signed.
- (h) Where there are more businesses which meet the criteria to participate in the program than space is available on the panel(s), then those businesses closer to the interchange, measured as described in Rule .0219(b) of this Section, shall be permitted to participate. except as provided for in Rule .0221(a), (e), and (f) of this Section.

A business under construction shall not be allowed to apply for participation in the program if its participation would prevent an existing open business applicant from participating, unless the open business has turned down a previous opportunity offered by the Department to participate in the program as provided in Paragraph (i) of this Rule. After approval of an application to participate, a business under construction shall be allowed priority participation over another business, which qualifies and becomes open for business prior to the time specified for opening in the application by the business under construction.

(i) Should the number of businesses of a particular service at an interchange increase to more than the maximum number of business signs allowed on a panel, and a closer business qualifies and requests installation of its business signs, the business sign(s) of the farthest business shall be removed at the renewal date, provided that any business which has previously paid the full cost of erecting a panel shall not be removed under this Rule. A business with more than one sign displayed on any panel shall have the additional sign(s) removed at the end of a contract period when other qualifying business(es) applies for space on the panels. A business which has turned down a previous opportunity offered by the department to participate in the program may not qualify as a closer business under this Rule. except as provided in Rule .0221(a),(e), and (f) of this Section. If the existing sign is designed to hold less than the maximum allowed number of business panels, then the new business must pay the full cost of upgrading the sign to the maximum size such that displacements of renters shall not take place until the sign is at maximum size.

A business closed for reconstruction or renovation, or for restoration of damages caused by fire or storm shall notify the division engineer's office immediately upon closing. The business shall be granted one year to complete the construction, renovation, or restoration, provided all logo fees are maintained and the same type of qualifying service is provided after reopening, even if under a different business name. The

business signs shall be removed from the panels and stored by the department until notice of reopening is received. The signs shall then be reinstalled upon payment of a fee of twenty-five dollars (\$25.00) per sign.

- (i) When it comes to the attention of the department that a participating business is not in compliance with the minimum state criteria, the division engineer's office shall promptly verify the information and if a breach of agreement is ascertained, inform the business that it shall be given 30 days to correct any deficiencies or its business signs shall be removed. If the business is removed and later applies for reinstatement, this request shall be handled in the same manner as a request from a new applicant. When a participating business is determined not to be in compliance with the minimum state criteria for a second time within two years of the first determination of noncompliance, its business signs shall be permanently removed. At the time specified for opening, if a business under construction is found to not be in compliance, or not open for business, the Division Engineer shall promptly verify the information. If a breach of agreement is ascertained, the Division Engineer shall inform the business that it shall be given 30 days to correct any deficiencies or its business signs shall not be erected. If the business later applies for reinstatement, this request shall be handled in the same manner as a request from a new applicant.
- (k) The department may cover or remove any or all business signs in the conduct of maintenance or construction operations, or for research studies, or whenever deemed by the department to be in the best interest of the department or the traveling public, without advance notice thereof.
- (1) The transfer of ownership of a business for which an agreement has been lawfully executed with the original owner shall not in any way affect the validity of the agreement for the business sign(s) of the business, provided that the appropriate division engineer is given notice in writing of the transfer of ownership within 30 days of the actual transfer.
- (m) No new contracts shall be accepted by the Department during the month of October.

History Note: Authority G.S. 136-89.56; 136-137; 136-139; 143B-346; 143B-348; 143B-350(f); 23 C.F.R. 750, Subpart A; 23 U.S.C. 131(f);

Eff. April 1, 1982;

Amended Eff. <u>August 1, 1998</u>; December 1, 1994; October 1, 1993; October 1, 1992; September 1, 1990.

#### TITLE 21 - OCCUPATIONAL LICENSING BOARDS

CHAPTER 14 - BOARD OF COSMETIC ART EXAMINERS

**SUBCHAPTER 14A - DEPARTMENTAL RULES** 

SECTION .0100 - ORGANIZATIONAL RULES

.0101 DEFINITIONS

The following definitions apply in this Chapter:

- (1) "Beauty Establishment" refers to both cosmetic art schools and cosmetic art shops.
- "Board" refers to the North Carolina State Board of (2) Cosmetic Art Examiners.
- "Cosmetic Art School" refers to any place where (3) cosmetic art, as defined by G.S. 88-2, or methods of teaching cosmetic art are taught for purposes of licensing by the Board regardless of the title of the school or program.
- "Cosmetic Art Shop" refers to any building, or part (4) thereof, wherein cosmetic art, as defined by G.S. 88-2, is practiced, other than a cosmetic art school.
- "Cosmetology School" is any cosmetic art school (5) which teaches cosmetology as defined by G.S. 88-2, Paragraph 2, but is not a manicurist school.
- "Cosmetology Student" is a student in any cosmetic art school with the exception of a manicurist student.
- "Cosmetology Teacher" is any teacher who is licensed (7)by the Board to teach the cosmetic arts.
- "Manicuring" is that set of cosmetic arts related to the (8) nails, hands, arms and feet. It includes traditional manicuring, pedicuring, arm and hand massages, and all types of artificial nails.
- (9) "Manicurist School" is a cosmetic art school which teaches only the cosmetic arts of manicuring.
- "Manicurist Student" is a student in any cosmetic art (10)school whose study is limited to the manicurist curriculum set forth in 21 NCAC 14K .0002.
- "Manicurist Teacher" is a teacher who is licensed by (11)the Board to teach only the manicuring curriculum.
- (12)"Booth" is a work station within a cosmetic art shop which is used primarily by one cosmetologist or manicurist in performing cosmetic art services for their clientele.

History Note: Authority G.S. 88-1; 88-23;

Eff. February 1, 1976;

Amended Eff. August 1, 1998; June 1, 1993; October 1, 1991;

May 1, 1991; January 1, 1989.

### **SUBCHAPTER 14B - RULE-MAKING PROCEDURES**

### **SECTION .0600 - FEES**

#### .0605 **COSMETOLOGIST LICENSE FEE**

The license fee and the renewal fee of a registered cosmetologist shall be thirty-nine dollars (\$39.00) for three years payable in advance if the license is renewed before it becomes delinguent.

History Note: Authority G.S. 88-23; 88-21;

Eff. August 1, 1998.

### SUBCHAPTER 14G - REQUIREMENTS FOR THE ESTABLISHMENT OF COSMETIC ART SCHOOLS

#### .0007 **EQUIPMENT AND TEACHERS**

(a) A cosmetic art school shall have the necessary classrooms

- and equipment for teaching as required by Subchapters 141 and 14J, and shall provide a staff of cosmetic art teachers licensed by the Board.
- (b) The Board shall not accept an application for a letter of approval until all furniture, supplies and equipment as prescribed by the Rules in this Chapter has been installed and the entire school is complete.
- (c) All courses in a cosmetic art school must be taught by a licensed cosmetology teacher, except that manicuring courses may be taught by either a licensed cosmetology teacher or a licensed manicurist teacher.
- (d) Authority for direction of the cosmetology program shall be delegated to a full-time director/manager at each cosmetic art school location. This authority encompass responsibilities for maintaining the Act to Regulate the Practice of Cosmetic Art in the State of North Carolina and other legal requirements in all areas of the program, as listed in said Act. The program director shall records supervise maintenance of all student records and verify and sign each student's application for the licensing examination as "Manager" of the cosmetology school before sending the application to the North Carolina State Board of Cosmetic Art Examiners.
- (e) Notwithstanding Paragraph (c) of this Rule, a registered cosmetologist not licensed to teach cosmetic art may substitute for a cosmetology or manicurist teacher and a registered manicurist not licensed by this Board as a manicurist teacher may substitute for a manicurist teacher. In no event may such a substitution last for more than 15 working days per year per teacher.

History Note: Authority G.S. 88-23; 88-30; Eff. February 1, 1976;

Amended Eff. August 1, 1998; February 2, 1995; May 1, 1991; January 1, 1989.

#### .0013 **TEACHER/STUDENT RATIO**

- (a) All cosmetic art schools shall provide one teacher for every 20 students, or a fraction thereof, present.
- (b) This ratio shall be adhered to at all time schools are in operation. Refer to 21 NCAC 14G .0015.
- (c) Each teaching cosmetology faculty member shall not be responsible for more than 20 students in the same time period.

History Note: Authority G.S. 88-23;88-30;

Eff. February 1, 1976;

Amended Eff. August 1, 1998; April 1, 1991; January 1, 1989; May 1, 1988.

### SUBCHAPTER 141 - OPERATIONS OF SCHOOLS OF COSMETIC ART

### SECTION .0100 - RECORD KEEPING

#### .0105 TRANSFER OF CREDIT

(a) In order that hours may be transferred from one cosmetic art school to another, a student must pass an entrance examination given by the school to which the student is transferring.

- (b) A cosmetology student must complete at least 500 hours in the cosmetic art school certifying his or her application for the state board examination.
- (c) Upon written petition by the student, the Board, in its discretion, may make an exception to the requirements set forth in Paragraph (b) of this Rule if the student shows that unusual circumstances beyond the student's control prohibited him or her from completing 500 hours at the school which certifies his or her application.
- (d) A student who wishes to transfer from a cosmetology course to a manicuring course may not receive credit for hours received in the cosmetology course.
- (e) A student who wishes to transfer from a manicurist course to a cosmetology course may not receive credit for hours received in the manicurist course.
- (f) If a student is transferring from another state, the student shall submit certification of hours and performances to the cosmetic art school in which they are enrolled.

History Note: Authority G.S. 88-23; 88-30; Eff. February 1, 1976; Amended Eff. <u>August 1, 1998</u>; December 1, 1993; January 1, 1991; January 1, 1989; April 1, 1988.

### .0109 SUMMARY OF COSMETIC ART EDUCATION

- (a) The manager of each cosmetic art school must compile, from the school's records, a summary of hours, live model performance completions, date of enrollment, and last date of attendance. The examination application must be presented to the student upon graduation or within 30 days after the student's graduation date.
- (b) This examination application must be signed by the owner/director, a teacher, and the student and must have the seal of the school affixed.
- (c) The examination application must be prepared on a form furnished by the Board. The cosmetic art school shall mail a copy with the school seal affixed of the examination application to the Board at the Board's address.

History Note: Authority G.S. 88-23; 88-30; Eff. February 1, 1976; Amended Eff. <u>August 1, 1998;</u> May 1, 1991; January 1, 1989; April 1, 1988.

### SUBCHAPTER 14J - COSMETOLOGY CURRICULUM

### **SECTION .0100 - BEGINNERS' DEPARTMENT**

# .0103 TIME REQUIREMENTS ACCORDING TO HOURS

- (a) The maximum time a student may earn in a cosmetology school in any one day is eight clock hours. The maximum time a student may earn in a cosmetology school in any one week is 40 clock hours.
- (b) Hours earned on one day shall not be credited to another day.
- (c) Each student must complete 1200 hours in a cosmetology school before applying to the Board for the cosmetologist's

examination, except those students enrolled for the manicurist course only.

- (d) Each student must spend 300 hours in the beginner department before entering the advanced department and may not work on members of the public during this 300 hours except shampoo and scalp manipulations.
- (e) Hours earned in the beginner department must be devoted to scientific study and mannequin practice as outlined in 21 NCAC 14J .0104 and .0105.
- (f) Manicuring practice in the beginner department must be done during the first 300 hours of instruction and shall be done on the students enrolled in the cosmetology school.

History Note: Authority G.S. 88-23; Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998;</u> February 1, 1995; January 1, 1989; April 1, 1988.

### **SECTION .0200 - ADVANCED DEPARTMENT**

## .0202 PRACTICAL WORK FOR ADVANCED STUDENTS

- (a) The hours earned in the advanced department must be devoted to study and live model performance completions.
- (b) Work in this department may be done on the public. Students with less than 300 hours credit must not work in this department and are not allowed to work on the public except shampoo and scalp manipulations.
- (c) All work done by students on the public must be checked by the cosmetology teacher as the work is being performed and after the service has been completed so that the teacher may point out errors to the student in order that they may be corrected.

History Note: Authority G.S. 88-23;

Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998;</u> January 1, 1995; January 1, 1989.

### SUBCHAPTER 14L - COSMETIC ART TEACHERS

# SECTION .0100 - TEACHER QUALIFICATIONS AND EXAMINATIONS

# .0101 QUALIFICATIONS - COSMETOLOGIST TEACHERS

- (a) To be a cosmetology teacher, an applicant must:
  - (1) have a high school diploma or a high school graduation equivalency certificate and a current North Carolina cosmetology license;
  - (2) have either:
    - (A) practiced cosmetology in a cosmetic art shop for a period equivalent to five years of full-time work; or
    - (B) completed an 800-hour teacher training course in cosmetology set forth in 21 NCAC 14L .0216(a) in an approved cosmetic art school; and

(3) pass the cosmetology teacher's examination.

(b) This Rule applies to applicants who submit an application to be a cosmetology teacher on or after August 1, 1989, except those who were enrolled in a teacher training course on that date. The rules in effect until August 1, 1989 apply to applicants who were enrolled in a teacher training course on that date.

History Note: Authority G.S. 88-23;

Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998;</u> May 1, 1991; August 1, 1989;

May 1, 1988.

## SECTION .0200 - TEACHER PROGRAM AND CURRICULUM

### .0214 FEE

An applicant for a cosmetic art teacher's license shall pay the fee as set by G.S. 8-21(a)(16). The Board shall not issue a license until this fee is paid.

History Note: Authority G.S. 88-21; 88-23;

Eff. February 1, 1976;

Amended Eff. <u>August 1, 1998;</u> April 1, 1991; August 1, 1989;

May 1, 1988.

### **CHAPTER 16 - BOARD OF DENTAL EXAMINERS**

### SUBCHAPTER 16V - UNPROFESSIONAL CONDUCT

### .0101 DEFINITION: UNPROFESSIONAL CONDUCT

Unprofessional conduct by a dentist shall include, but not be limited to, the following:

- (1) Having a license to practice dentistry revoked, suspended, or otherwise acted against, including the denial of licensure, by the licensing authority of another state, territory, or country; (For purposes of this Section, the surrender of a license under threat of disciplinary action shall be considered the same as if the licensee had been disciplined.)
- (2) Intentionally presenting false or misleading testimony, statements, or records to the Board or the Board's investigator or employees during the scope of any investigation, or at any hearing of the Board;
- (3) In connection with the practice of dentistry, making or filing a report or record which the licensee knows to be false, failing to file a report or record required by state or federal law, or knowingly impeding or obstructing the filing of a report or record, or inducing another person to do so;
- (4) Committing any act which would constitute sexual assault or battery in connection with the provision of dental services;
- (5) Violating any lawful order of the Board previously entered in a disciplinary hearing, or failing to comply with a lawfully-issued subpoena of the Board;
- (6) Conspiring with any person to commit an act, or committing an act which would tend to coerce, intimidate, or preclude any patient or witness from

- testifying against a licensee in any disciplinary hearing, or retaliating in any manner against any patient or other person who testifies or cooperates with the Board during any investigation under the Dental Practice or Dental Hygiene Acts;
- (7) Failing to identify to a patient, patient's guardian or the Board the name of an employee, employer, contractor, or agent who renders dental treatment or services upon request;
- (8) Prescribing, procuring, dispensing, or administering any controlled substance for personal use except those prescribed, dispensed, or administered by a practitioner authorized to prescribe them;
- (9) Pre-signing blank prescription forms or using preprinted or rubber stamped prescription forms containing the dentist's signature or the name of any controlled substance;
- (10) Forgiving the co-payment provisions of any insurance policy, insurance contract, health prepayment contract, health care plan, or nonprofit health service plan contract by accepting the payment received from a third party as full payment, unless the dentist discloses to the third party that the patient's payment portion will not be collected;
- (11) Failing to provide radiation safeguards required by the Radiation Protection Section of the State Department of Environment, Health, and Natural Resources;
- (12) Having professional connection with or lending one's name to the unlawful practice of dentistry; and
- (13) Using the name of any deceased or retired and licensed dentist on any office door, directory, stationery, bill heading, or any other means of communication any time after one year following the death or retirement from practice of said dentist.

History Note: Authority G.S. 90-28; 90-41; 90-48; Eff. August 1, 1998.

# .0102 DEFINITION: UNPROFESSIONAL CONDUCT BY A DENTAL HYGIENIST

Unprofessional conduct by a dental hygienist shall include, but not be limited to, the following:

- (1) Having a license to practice dental hygiene revoked, suspended, or otherwise acted against, including the denial of licensure, by the licensing authority of another state, territory, or country; (For purposes of this Section, the surrender of a license under threat of disciplinary action shall be considered the same as if the licensee had been disciplined.)
- (2) Presenting false or misleading testimony, statements, or records to the Board or a Board employee during the scope of any investigation or at any hearing of the Board:
- (3) In connection with the practice of dentistry, making or filing a false report or record, failing to file a report or record required by state or federal law, knowingly impeding or obstructing the filing of a report or record or inducing another person to do so;

- (4) Committing any act which would constitute sexual assault or battery in connection with the provision of dental hygiene services;
- (5) Violating a lawful order of the Board previously entered in a disciplinary hearing or failing to comply with a lawfully-issued subpoena of the Board;
- (6) Conspiring with any person to commit an act, or committing an act which would tend to coerce, intimidate, or preclude any patient or witness from testifying against a licensee in any disciplinary hearing, or retaliating in any manner against any person who testifies or cooperates with the Board during any investigation of any licensee;
- (7) Failing to identify to a patient, patient's guardian, or the Board the name of any person or agent who renders dental treatment or services upon request;
- (8) Procuring, dispensing, or administering any controlled substance for personal use except those prescribed, dispensed, or administered by a practitioner authorized to prescribe them;
- (9) Acquiring any controlled substance from any pharmacy or other source by misrepresentation, fraud or deception; and
- (10) Having professional connection with or lending one's name to the illegal practice of dental hygiene.

History Note: Authority G.S. 90-223; 90-229; Eff. August 1, 1998.

### **CHAPTER 36 - BOARD OF NURSING**

### SECTION .0600 - ARTICLES OF ORGANIZATION

### .0602 PREREQUISITES FOR ORGANIZATION

- (a) Before filing the articles of organization for a limited liability company with the Secretary of State, the organizing members shall submit the following to the Board:
  - (1) a registration fee as set by Rule .0606 of this Section; and
  - (2) a certificate certified by those registered nurse organizing members, setting forth the names, addresses, social security and license numbers of each person who will be employed by the limited liability company to practice nursing and related services as specified in G.S. 55B14(c)(2), (4) (6), and stating that all such persons are duly licensed to practice nursing in North Carolina, and representing that the company will be conducted in compliance with the General Statutes of North Carolina Articles of Organization and this Subchapter.
- (b) A certification that each of those organizing members who may provide nursing and related services as specified in G.S. 55B-14(c)(2), (4) (6) is licensed to practice nursing in North Carolina shall be returned to the limited liability company for filing with the Secretary of State.

History Note: Authority G.S. 55B-4; 55B-10; 55B-12; 55B-14; 57C-2-01;

Eff. August 1, 1998.

### .0603 CERTIFICATE OF REGISTRATION

A Certificate of Registration for a Limited Liability Company shall remain effective until December 31 of the year in which it was issued unless suspended or terminated as provided by law. A Certificate of Registration shall be renewed annually on application forms supplied by the Board. The application shall be accompanied by a renewal fee as set by Rule .0606 of this Section.

History Note: Authority G.S. 55B-10; 55B-11; 57C-2-01; Eff. August 1, 1998.

### **CHAPTER 46 - BOARD OF PHARMACY**

### **SECTION .1800 - PRESCRIPTIONS**

### .1810 COMPOUNDING LOG

In accordance with G.S. 90-85.3(c) and (r), and 90-85.6(a), the Board has primary jurisdiction over compounding occurring in locations holding a pharmacy permit, and such compounding shall comply with the following:

- based (1) existence practitioner-pharmacist-patient relationship and the presentation of a valid prescription, or in anticipation of prescription orders based on established prescribing patterns, a pharmacist may compound a drug product for an individual patient. A pharmacist also may compound a drug product prior to receiving a valid prescription based on a history of receiving valid prescriptions generated within an established practitioner-pharmacist-patient relationship. Compounded drug products shall not be offered to other entities for resale; however, practitioners may obtain compounded drug products to administer to patients within the scope of their professional practice;
- (2) the pharmacist is responsible for all aspects of compounding; however, unlicensed personnel working under the supervision of the pharmacist may assist in compounding;
- (3) drug substances used for compounding shall be USP or NF grade, or if unavailable, AR, CP, ACS, or FCC grade substances may be used. If none of the foregoing grades are available, then the pharmacist must establish the purity and safety of the ingredient prior to its use. Manufactured drug products used for ingredients must be labeled with a batch control number and a future expiration date;
- (4) equipment and utensils used for compounding shall not be reactive, additive or absorptive so that the safety, identity, strength, quality, and purity of the compounded drug product will not be adversely affected. All compounding equipment and utensils shall be cleaned and sanitized prior to use. A compounding pharmacy shall have written procedures and formulas for the compounding of drug products;

- (5) any excess compounded drug product retained by the pharmacy shall be labeled with a complete list of ingredients or reference to such information, the preparation date, and an expiration date based upon the pharmacist's professional judgment. The excess compounded drug product shall be stored under conditions to preserve its strength, quality and purity;
- (6) with the exception of the simple reconstitution of drug products, the pharmacy shall maintain a log showing the name or initials of the person who compounded a drug product and the name or initials of the pharmacist who checked the compounded drug product;
- (7) with the exception of the simple reconstitution of drug products, the pharmacy shall maintain a recordkeeping system from which the date of purchase, supplier, manufacturer, and lot number or other identifier of each ingredient can be determined for each compounded drug product dispensed; provided however, that health care facility pharmacies may comply with this requirement by maintaining records of lot numbers only. All pharmacy records resulting from compounding, including the compounding log, shall be readily retrievable and maintained in the pharmacy for a period of three years;
- (8) in addition to the requirements of this Section, the compounding of radiopharmaceutical drug products shall comply with Section .2700 of this Chapter;
- (9) in addition to the requirements of this Section, the compounding of sterile parenteral drug products shall comply with Section .2800 of this Chapter.

History Note: Authority G.S. 90-85.6; 90-85.32; Eff. September 1, 1995; Amended Eff. August 1, 1998.

# CHAPTER 48 - BOARD OF PHYSICAL THERAPY EXAMINERS

## SUBCHAPTER 48C - SCOPE OF PHYSICAL THERAPY PRACTICE

### **SECTION.0100 - PHYSICAL THERAPISTS**

### .0103 PROHIBITED PRACTICE

- (a) A physical therapist is prohibited from employing acts, tests, procedures, treatments and modalities in the treatment of patients that are beyond the scope of the practice of physical therapy. Any patient whose condition requires medical diagnosis of disease or treatment beyond the scope of physical therapy must be referred to a licensed medical doctor or dentist.
- (b) A physical therapist may not permit any person working under his or her supervision to engage in acts or practices beyond the scope allowed by the Physical Therapy Practice Act or these Rules.
- (c) Physical therapy does not include the application of roentgen rays or radioactive materials, but consistent with the requirements of G.S. 90-270.35(4) a physical therapist may

request a radiologic consultation and reviewing x-rays; however, a physical therapist shall not order radiological examinations.

History Note: Authority G.S. 90-270.24; 90-270.26; 90-270.35:

Eff. December 30, 1985;

Amended Eff. August 1, 1998; April 1, 1989.

### **SECTION .0400 - PHYSICAL THERAPY AIDES**

### .0402 FUNCTION

- (a) A physical therapy aide may perform only those acts delegated by a licensed physical therapist or physical therapist assistant.
- (b) A physical therapist or physical therapist assistant must be present in the same facility and supervising any physical therapy aide to whom acts are delegated.
- (c) A physical therapy aide shall not engage in the performance of physical therapy activities without supervision by a licensee in accordance with this Subchapter.

History Note: Authority G.S. 90-270.24; 90-270.26; Eff. December 30, 1985; Amended Eff. August 1, 1998.

### **SUBCHAPTER 48D - EXAMINATIONS**

### **SECTION 0100 - EXAMINATIONS**

### .0110 ADMINISTRATION OF EXAMINATION

The applicant shall comply with the procedures established by the testing center at the site where the test is administered.

History Note: Authority G.S. 90-270.26; 90-270.29; 90-270.30; 90-270.36;

Eff. October 28, 1979;

Amended Eff. <u>August 1, 1998;</u> February 1, 1996; April 1, 1989; May 1, 1988.

## SUBCHAPTER 48E - APPLICATION FOR LICENSURE

### **SECTION .0100 - REQUIREMENTS**

### .0101 FILING APPLICATION

- (a) The applicant shall ascertain that his credentials are filed properly with the executive director.
- (b) To be certain an applicant shall be considered for the desired examination date, the application shall be submitted to the executive director at least 30 days prior to the examination.
- (c) The Board shall not consider an application until the applicant has successfully completed all the academic requirements and all clinical affiliations.

History Note: Authority G.S. 90-270.26; 90-270.29; 90-270.31(b);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. May 1, 1988; December 30, 1985; October 28, 1979:

Recodified Paragraph (c) to 21 NCAC 48C .0501 Eff. January 25, 1989;

Amended Eff. August 1, 1998; February 1, 1996.

### .0110 FOREIGN-TRAINEDPHYSICALTHERAPISTS

- (a) English Translations. All application forms and supporting documents shall be in English or accompanied by an English translation.
- (b) Supporting Documents. In addition to the other requirements of this Section and G.S. 90-270.30, each foreign-trained applicant shall submit the following:
  - (1) If the applicant has graduated from a physical therapy educational program, a certification of physical therapy education shall be submitted directly to the Board.
  - If the applicant does not meet the requirements of (2)G.S. 90-270.29(2), the Board shall examine the applicant's educational background to determine if the general college and professional instruction is substantially equivalent to that of a United States physical therapy educational program. At a minimum, 120 semester hours of college education is required, which includes a minimum of 60 semester hours of professional curriculum, including basic health sciences, clinical sciences and clinical education, and a minimum of 50 semester hours of general education. The applicant shall make arrangements with a credentialing service to have the credentials evaluated. Evaluation of credentials, to be acceptable to the Board, shall be done by a service that has a physical therapist consultant on its staff. The Board shall make its own review of applicant's educational program and is not bound by the findings of the credentialing service.
  - (3) Proof acceptable to the Board shall be provided that:
    - (A) For examinations administered prior to August I, 1998, the required minimum score of 210 on the TSE (Test of Spoken English) or the SPEAK (Speaking Proficiency English Assessment Kit) examination was obtained;
    - (B) For examinations administered on or after August 1, 1998, the required minimum score of 50 on the TSE examination or the SPEAK examination was obtained, the required minimum score of the Test of Written English (TWE) of 4.5, and the Test of English as a Foreign Language (TOEFL) of 560; or
    - (C) English is the applicant's native language.

History Note: Filed as a Temporary Amendment Eff. July 21, 1995, for a period of 180 days or until the permanent rule becomes effective, whichever is sooner;

Authority G.S. 90-270.26; 90-270.29; 90-270.30; 90-270.31; Eff. December 30, 1985;

Amended Eff. <u>August 1, 1998</u>; February 1, 1996; October 1, 1995; November 1, 1991; August 1, 1991.

## SUBCHAPTER 48H - RULEMAKING AND ADMINISTRATIVE HEARING PROCEDURES

### SECTION .0700 - ADOPTION OF RULES

#### .0701 PETITIONS

- (a) Any person wishing to submit a petition to the Board requesting the adoption, amendment or repeal of a rule shall file the petition with the Board's executive director.
  - (b) The petition shall contain the following information:
    - (1) a proposed text of the rule to be adopted or amended or a citation to the rule to be repealed;
  - (2) a statement of the reason for the proposal, including statutory authority;
  - (3) effect of the proposed rule change on the practice of physical therapy;
  - (4) any data supporting the proposal;
  - (5) name, address and telephone number of each petitioner.
- (c) The Board shall consider whether to grant or deny a petition at its next regularly scheduled meeting following receipt of a completed petition, but in any event, no more than 120 days after a completed petition is filed with the Board.

History Note: Authority G.S. 90-270.26; 150B-20; Eff. October 1, 1995; Amended Eff. August 1, 1998; February 1, 1996.

### **CHAPTER 58 - REAL ESTATE COMMISSION**

## SUBCHAPTER 58A - REAL ESTATE BROKERS AND SALESMEN

### SECTION .0100 - GENERAL BROKERAGE

### .0101 PROOF OF LICENSURE

- (a) The annual license renewal pocket card issued by the Commission to each licensee shall be retained by the licensee as evidence of licensure. Each licensee shall carry his pocket card on his person at all times while engaging in real estate brokerage and shall produce the card as proof of licensure whenever requested.
- (b) The principal broker of a firm shall retain the firm's renewal pocket card at the firm and shall produce it upon request as proof of firm licensure as required by Rule .0502(e)(3) of this Subchapter.
- (c) Every licensed real estate business entity or firm shall prominently display its license certificate or facsimile thereof in each office maintained by the entity or firm. A broker-in-charge shall also prominently display his license certificate in the office where he is broker-in-charge.

History Note: Authority G.S. 93A-3(c);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. <u>August 1, 1998</u>; April 1, 1997; February 1, 1989; April 1, 1987.

### .0103 LICENSEE NAME AND ADDRESS

Upon initial licensure and at all times thereafter, every licensee shall assure that the Commission has on record the licensee's current personal name, firm name, trade name, residence address and firm address. Every licensee shall notify the Commission in writing of each change of personal name, firm name, trade name, residence address and firm address within 10 days of said change. All addresses shall be sufficiently descriptive to enable the Commission to correspond with and locate the licensee.

History Note: Authority G.S. 93A-3(c);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. August 1, 1998; February 1, 1989; May 1, 1984.

### .0104 AGENCY AGREEMENTS AND DISCLOSURE

- (a) Every listing agreement, buyer agency agreement or other agreement for brokerage services in a real estate sales transaction shall be in writing, shall provide for its existence for a definite period of time and shall provide for its termination without prior notice at the expiration of that period.
- (b) Every listing agreement, buyer agency agreement or other agreement for brokerage services in a real estate sales transaction shall contain the following provision: The broker shall conduct all his brokerage activities in regard to this agreement without respect to the race, color, religion, sex, national origin, handicap or familial status of any buyer, prospective buyer, seller or prospective seller. The provision shall be set forth in a clear and conspicuous manner which shall distinguish it from other provisions of the agreement. For the purposes of this Rule, the term, familial status, shall be defined as it is in G.S. 41A-3(1b).
- (c) Every listing agreement, buyer agency agreement or other agreement for brokerage services in a real estate sales transaction shall incorporate the "Description of Agent Duties and Relationships" prescribed by the Commission which shall be set forth in a clear and conspicuous manner and shall not include or be accompanied by any additional text which contradicts its meaning and substance. The "Description of Agent Duties and Relationships" shall read as follows:

### DESCRIPTION OF AGENT DUTIES AND RELATIONSHIPS

Before you begin working with any real estate agent, you should know who the agent represents in the transaction. Every listing agreement, buyer agency agreement or other agreement for brokerage services in a real estate sales transaction in North Carolina must contain this "Description of Agent Duties and Relationships" [N.C. Real Estate Commission Rule 21 NCAC 58A .0104(c), eff. 7/1/95]. Real estate agents should carefully review this information with you prior to entering into any agency agreement.

### **AGENTS' DUTIES**

When you contract with a real estate firm to act as your agent in a real estate transaction, the agent must help you obtain the best price and terms possible, whether you are the buyer or seller. The agent also owes you the duty to:

- Safeguard and account for any money handled for you
- •Be loyal and follow reasonable and lawful instructions
- •Act with reasonable skill, care and diligence
- Disclose to you any information which might influence your decision to buy or sell

Even if the agent does not represent you, the agent must still be fair and honest and disclose to you all "material facts" which the agent knows or reasonably should know. A fact is "material" if it relates to defects or other conditions affecting the property, or if it may influence your decision to buy or sell. This does not require a seller's agent to disclose to the buyer the minimum amount the seller will accept, nor does it require a buyer's agent to disclose to the seller the maximum price the buyer will pay.

#### AGENTS WORKING WITH SELLERS

A seller can enter into a "listing agreement" with a real estate firm authorizing the firm and its agent(s) to represent the seller in finding

### APPROVED RULES

a buyer for his property. The listing agreement should state what the seller will pay the listing firm for its services, and it may require the seller to pay the firm no matter who finds the buyer.

The listing firm may belong to a listing service to expose the seller's property to other agents who are members of the service. Some of those agents may be working with buyers as buyers' agents; others will be working with buyers but still representing the sellers' interests as an agent or "subagent." When the buyer's agents and seller's subagents desire to share in the commission the seller pays to the listing firm, the listing agent may share the commission with the seller's permission.

### AGENTS WORKING WITH BUYERS

A buyer may contract with an agent or firm to represent him (as a **buyer's agent**), or may work with an agent or firm that represents the seller (as a **seller's agent or subagent**). All parties in the transaction should find out at the beginning who the agent working with the buyer represents.

If a buyer wants a buyer's agent to represent him in purchasing a property, the buyer should enter into a "buyer agency agreement" with the agent. The buyer agency agreement should state how the buyer's agent will be paid. Unless some other arrangement is made which is satisfactory to the parties, the buyer's agent will be paid by the buyer. Many buyer agency agreements will also obligate the buyer to pay the buyer's agent no matter who finds the property that the buyer purchases.

A buyer may decide to work with a firm that is acting as agent for the seller (a **seller's agent or subagent**). If a buyer does not enter into a buyer agency agreement with the firm that shows him properties, that firm and its agents will show the buyer properties as an agent or subagent working on the seller's behalf. Such a firm represents the seller (**not** the buyer) and must disclose that fact to the buyer.

A seller's agent or subagent must still treat the buyer fairly and honestly and disclose to the buyer all material facts which the agent knows or reasonably should know. The seller's agent typically will be paid by the seller. If the agent is acting as agent for the seller, the buyer should be careful not to give the agent any information that the buyer does not want the seller to know.

### **DUAL AGENTS**

A real estate agent or firm may represent more than one party in the same transaction only with the knowledge and written consent of all parties for whom the agent acts. "Dual Agency" is most likely to occur when a buyer represented by a buyer's agent wants to purchase a property listed by that agent's firm. A dual agent must carefully explain to each party that the agent and the agent's firm are also acting for the other party.

In some situations, the agents may practice a form of dual agency known as "designated agency:" an agent in a firm is designated to represent the interests of the seller, and another agent in the same firm is designated to represent the interests of the buyer. This form of dual agency allows the designated agent to more fully represent the interests of the party with whom the agent is working.

In any dual agency situation, the agent must obtain a written agreement from the parties which fully describes the obligations of the agent and the agent's firm to each of them.

Immediately after the "Description of Agent Duties and Relationships", every listing and buyer agency agreement shall contain the following provision, including a box which the agent shall check when the provision is applicable: "

This firm represents both sellers and buyers. This means that it is possible that a buyer we represent will want to purchase a property owned by a seller we represent. When that occurs, the agent and firm listed above will act as **dual agents** if all parties agree."

- (d) A broker or brokerage firm representing one party in a transaction shall not undertake to represent another party in the transaction without the express, written authority of each party.
- (e) In every real estate sales transaction, a broker or salesman working directly with a prospective buyer as a seller's agent or subagent shall disclose to the prospective buyer at the first substantial contact with the prospective buyer that the broker or salesman represents the interests of the seller. The broker or salesman shall make the disclosure on the "Disclosure to Buyer from Seller's Agent or Subagent" form prescribed by the Commission. If the first substantial contact occurs by telephone or by means of other electronic communication where it is not practical to provide written disclosure, the broker or salesman shall immediately disclose by similar means whom he represents and shall immediately, but in no event later than three days from the date of first substantial contact, mail or otherwise transmit a copy of the form to the buyer.
- (f) In every real estate sales transaction, a broker or salesman representing a buyer shall, at the initial contact with the seller or seller's agent, disclose to the seller or seller's agent that the broker or salesman represents the buyer's interests. In addition, in every

real estate sales transaction other than auctions, the broker or salesman shall, no later than the time of delivery of an offer to the seller or seller's agent, provide the seller or seller's agent with a written confirmation disclosing that he represents the interests of the buyer. The written confirmation may be made in the buyer's offer to purchase.

- (g) The provisions of Paragraphs (c), (d) and (e) of this Rule shall not apply to real estate licensees representing sellers in auction sales transactions.
- (h) A broker or salesman representing a buyer in an auction sale transaction shall, no later than the time of execution of a written agreement memorializing the buyer's contract to purchase, provide the seller or seller's agent with a written confirmation disclosing that he represents the interests of the buyer. The written confirmation may be made in the written agreement.
- (i) A firm which represents both the buyer and the seller in the same real estate sales transaction is a dual agent and, through the brokers and salesmen associated with the firm, shall disclose its dual agency to the buyer and seller.
- (j) When a firm represents both the buyer and seller in the same real estate sales transaction, the firm may, with the prior written approval of its buyer and seller clients, designate one or more individual brokers or salesmen associated with the firm to represent only the interests of the seller and one or more other individual brokers and salesmen associated with the firm to represent only the interests of the buyer in the transaction. An individual broker or salesman shall not be so designated and shall not undertake to represent only the interests of one party if the broker or salesman has actually received confidential information concerning the other party in connection with the transaction. A broker-in-charge shall not act as a designated agent for a party in a real estate sales transaction when a salesman under his supervision will act as a designated agent for another party with a competing interest.
- (k) When a firm acting as a dual agent designates an individual broker or salesman to represent the seller, the broker or salesman so designated shall represent only the interest of the seller and shall not, without the seller's permission, disclose to the buyer or a broker or salesman designated to represent the buyer:
  - (1) that the seller may agree to a price, terms, or any conditions of sale other than those established by the seller;
  - (2) the seller's motivation for engaging in the transaction unless disclosure is otherwise required by statute or rule; and
  - (3) any information about the seller which the seller has identified as confidential unless disclosure of the information is otherwise required by statute or rule.
- (l) When a firm acting as a dual agent designates an individual broker or salesman to represent the buyer, the broker or the salesman so designated shall represent only the interest of the buyer and shall not, without the buyer's permission, disclose to the seller or a broker or salesman designated to represent the seller:
  - (1) that the buyer may agree to a price, terms, or any conditions of sale other than those offered by the buyer;
  - (2) the buyer's motivation for engaging in the transaction unless disclosure is otherwise required by statute or rule; and
  - (3) any information about the buyer which the buyer has identified as confidential unless disclosure of the information is otherwise required by statute or rule.
- (m) A broker or salesman designated to represent a buyer or seller in accordance with Paragraph (j) of this Rule shall disclose the identity of all of the brokers and salesmen so designated to both the buyer and the seller. The disclosure shall take place no later than the presentation of the first offer to purchase or sell.
- (n) When an individual broker or salesman represents both the buyer and seller in the same real estate sales transaction pursuant to a written agreement authorizing dual agency, the parties may provide in the written agreement that the broker or salesman shall not disclose the following information about one party to the other without permission from the party about whom the information pertains:
  - (1) that a party may agree to a price, terms or any conditions of sale other than those offered;
  - (2) the motivation of a party for engaging in the transaction, unless disclosure is otherwise required by statute or rule; and
  - (3) any information about a party which that party has identified as confidential, unless disclosure is otherwise required by statute or rule.

*History Note: Authority G.S.* 41A-3(1b); 93A-3(c);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. August 1, 1998; July 1, 1997; August 1, 1996; July 1, 1995.

### .0107 HANDLING AND ACCOUNTING OF FUNDS

(a) All monies received by a broker acting in his fiduciary capacity shall be deposited in a trust or escrow account not later than three banking days following receipt of such monies except that earnest money deposits paid by means other than currency which are received on offers to purchase real estate and tenant security deposits paid by means other than currency which are received in connection with real estate leases shall be deposited in a trust or escrow account not later than three banking days following acceptance of such offer to purchase or lease; the date

of acceptance of such offer to purchase or lease shall be set forth in the purchase or lease agreement. All monies received by a salesman shall be delivered immediately to the broker by whom he is employed.

(b) In the event monies received by a broker while acting in a fiduciary capacity are deposited in a trust or escrow account which bears interest, such broker shall first secure from all parties having an interest in the monies written authorization for the deposit of such monies in an interest-bearing account. Such authorization shall specify how and to whom the interest will be

disbursed, and, if contained in an offer, contract, lease, or other transaction instrument, such authorization shall be set forth in a clear and conspicuous manner which shall distinguish it from other provisions of the instrument.

- (c) Closing statements shall be furnished to the buyer and the seller in the transaction at the closing or not more than five days after closing.
- (d) Trust or escrow accounts shall be so designated by the bank or savings and loan association in which the account is located, and all deposit tickets and checks drawn on said account as well as the monthly bank statement for the account shall bear the words "Trust Account" or "Escrow Account."
- (e) A broker shall maintain and retain records sufficient to verify the accuracy and proper use of his trust or escrow accounts, including, but not limited to:
  - bank statements: (1)
  - canceled checks which shall be referenced to the (2) corresponding transaction or owner ledger sheet:
  - (3) deposit tickets and, if necessary, a supplemental worksheet for each deposit ticket identifying the property and the parties to each transaction for which funds are deposited;
  - (4) a separate ledger sheet for each sales transaction and for each owner of property managed by the broker identifying the property, the parties to the transaction, the amount, date. and purpose of the deposits and from whom received, the amount, date, check number, and purpose of disbursements and to whom paid, and the running balance of funds on deposit for the particular transaction or owner of property;
  - a journal or check stubs identifying each transaction and showing a running balance for all funds in the account;
  - copies of contracts, leases and management (6)agreements:
  - closing statements and property (7) management statements; and
  - (8)any other documents necessary and sufficient to verify and explain record entries.

A broker shall maintain records of all receipts and disbursements of trust or escrow monies in such a manner as to create a clear audit trail from deposit tickets and canceled checks to check stubs or journals and to the ledger sheets. A broker must reconcile ledger sheets and his journals or check stubs to the trust or escrow account bank statements on a monthly basis. A broker shall create a worksheet for each such monthly reconciliation and retain it as part of his records.

- (f) All trust or escrow account records shall be made available for inspection by the Commission or its authorized representatives in accordance with Rule 58A .0108.
- (g) In the event of a dispute between the seller and buyer or landlord and tenant over the return or forfeiture of any deposit other than a residential tenant security deposit held by a broker. the broker shall retain said deposit in his trust or escrow account until he has obtained a written release from the parties consenting to its disposition or until disbursement is ordered by a court of competent jurisdiction. If it appears to a broker holding a disputed deposit that a party has abandoned his claim.

the broker may disburse the money to the other claiming parties according to their written agreement provided that the broker first makes a reasonable effort to notify the party who has apparently abandoned his claim and provides that party with an opportunity to renew his claim to the disputed funds.

- (h) A broker may transfer earnest money deposits in his possession collected in connection with a sales transaction to the closing attorney or other settlement agent not more than ten days prior to the anticipated settlement date. A broker or salesman shall not disburse prior to settlement any earnest money in his possession for any other purpose without the written consent of the parties.
- (i) The funds of a property owner association, when collected, maintained or disbursed by a licensee of the Commission, are trust monies and shall be treated as such in the manner required by this Rule.
- (j) Every broker or salesman shall safeguard the money or property of others coming into his possession in a manner consistent with the requirements of the Real Estate License Law and the rules adopted by the Commission. A licensee shall not convert the money or property of others to his own use, apply such money or property to a purpose other than that for which it was paid or entrusted to him, or permit or assist any other person in the conversion or misapplication of such money or property.

History Note: *Authority G.S. 93A-3(c)*; Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. August 1, 1998; July 1, 1996; July 1, 1993; May 1, 1990.

#### .0109 BROKERAGE FEES AND COMPENSATION

- (a) A licensee shall not receive, either directly or indirectly, any commission, rebate or other valuable consideration from a vendor or a supplier of goods and services for an expenditure made on behalf of his principal in a real estate transaction without the written consent of the licensee's principal.
- (b) A licensee shall not receive, either directly or indirectly, any commission, rebate or other valuable consideration for services which he recommends, procures, or arranges relating to a real estate transaction for any party, without full disclosure to such party; provided, however, that nothing in this Rule shall be construed to permit a licensee to accept any fee, kickback or other valuable consideration that is prohibited by the Real Estate Settlement Procedures Act of 1974 (12 USC 2601 et. seq.) or any rules and regulations promulgated by the United States Department of Housing and Urban Development pursuant to such Act.
- (c) The Commission shall not act as a board of arbitration and shall not compel parties to settle disputes concerning such matters as the rate of commissions, the division of commissions, pay of salesmen, and similar matters.
- (d) A licensee shall not undertake in any manner, any arrangement, contract, plan or other course of conduct, to compensate or share compensation with unlicensed persons or entities for any acts performed in North Carolina for which licensure by the Commission is required.

### APPROVED RULES

History Note: Authority G.S. 93A-3(c);

Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. <u>August 1, 1998</u>; April 1, 1997; July 1, 1989; November 1, 1987.

### .0114 RESIDENTIAL PROPERTY DISCLOSURE STATEMENT

(a) Every owner of real property subject to a transfer of the type contemplated by G.S. 47E-1, 47E-2, and 47E-3, shall complete the following residential property disclosure statement and furnish a copy of the complete statement to a purchaser in accordance with the requirements of G.S. 47E-4. The form shall bear the seal of the North Carolina Real Estate Commission and shall read as follows:

[N.C. REAL ESTATE COMMISSION SEAL]

# STATE OF NORTH CAROLINA RESIDENTIAL PROPERTY DISCLOSURE STATEMENT

### **Instructions to Property Owners**

- 1. North Carolina General Statute 47E requires owners of residential real estate (single-family homes and buildings with up to four dwelling units) to furnish purchasers a property disclosure statement. This form is the only one approved for this purpose. A disclosure statement must be furnished in connection with the sale, exchange, option and sale under a lease with option to purchase (unless the tenant is already occupying or intends to occupy the dwelling). A disclosure statement is not required for some transactions, including the first sale of a dwelling which has never been inhabited and transactions of residential property made pursuant to a lease with option to purchase where the lessee occupies or intends to occupy the dwelling. For a complete list of exemptions, see N.C.G.S. 47E-2.
- 2. You must check □ one of the boxes for each of the 20 questions on the reverse side of this form.
  - a. If you check "Yes" for any question, you must describe the problem or attach a report from an engineer, contractor, pest control operator or other expert or public agency describing it. If you attach a report, you will not be liable for any inaccurate or incomplete information contained in it so long as you were not grossly negligent in obtaining or transmitting the information.
  - b. If you check "No", you are stating that you have no actual knowledge of any problem. If you check "No" and you know there is a problem, you may be liable for making an intentional misstatement.
  - c. If you check "No Representation", you have no duty to disclose the conditions or characteristics of the property, even if you should have known of them.
  - \* If you check "Yes" or "No" and something happens to the property to make your Statement incorrect or inaccurate (for example, the roof begins to leak), you must promptly give the purchaser a corrected Statement or correct the problem.
- 3. If you are assisted in the sale of your property by a licensed real estate broker or salesman, you are still responsible for completing and delivering the Statement to the purchasers; and the broker or salesman must disclose any material facts about your property which they know or reasonably should know, regardless of your responses on the Statement.
- 4. You must give the completed Statement to the purchaser no later than the time the purchaser makes an offer to purchase your property. If you do not, the purchaser can, under certain conditions, cancel any resulting contract (See "Note to Purchasers" below). You should give the purchaser a copy of the Statement containing your signature and keep a copy signed by the purchaser for your records.

### Note to Purchasers

If the owner does not give you a Residential Property Disclosure Statement by the time you make your offer to purchase the property, you may under certain conditions cancel any resulting contract and be entitled to a refund of any deposit monies you may have paid. To cancel the contract, you must personally deliver or mail written notice of your decision to cancel to the owner or the owner's agent within three calendar days following your receipt of the Statement, or three calendar days following the date of the contract, whichever occurs first. However, in no event does the Disclosure Act permit you to cancel a contract after settlement of the transaction or (in the case of a sale or exchange) after you have occupied the property, whichever occurs first.

	Property Address:			ı
	Owner's Name(s):			
	Owner(s) acknowledge having examined this Statement before signing and that all information and correct as of the date signed.	n is tru	е	
	Owner Signature:Date			
	Owner Signature: Date	,		
	Purchaser(s) acknowledge receipt of a copy of this disclosure statement; that they have exam signing; that they understand that this is not a warranty by owner or owner's agent; that it is not a substitute for any inspections they may wish to obtain; and that the representations are the owner and not the owner's agent(s) or subagent(s). Purchaser(s) are encouraged to obta own inspection from a licensed home inspector or other professional.	made b <sub>.</sub>	y	e
	Purchaser Signature: Date			
	Purchaser Signature: Date		_	
Note: 1	Address/Description:  In this form, "property" refers only to dwelling unit(s) and not sheds, detached garages or other by  The property identified above, do you know of any problem (malfunction or	_	_	— — vith a
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FOI WII AT moo	In this form, "property" refers only to dwelling unit(s) and not sheds, detached garages or other build the property identified above, do you know of any problem (malfunction or allowing:  UNDATION, SLAB, FIREPLACES/CHIMNEYS, FLOORS, WINDOWS (INCLUDING STORM NDOWS AND SCREENS). DOORS, CEILINGS, INTERIOR AND EXTERIOR WALLS, FACHED GARAGE, PATIO, DECK OR OTHER STRUCTURAL COMPONENTS including any diffications to them?  Ing is   Masonry   Wood   Composition/Hardboard   Vinyl   Synthetic Stucco   Other oximate age of structure?	Yes*	No	No Resenta
FOI WII AT' mod Sid ——————————————————————————————————	In this form, "property" refers only to dwelling unit(s) and not sheds, detached garages or other built the property identified above, do you know of any problem (malfunction or allowing:  UNDATION, SLAB, FIREPLACES/CHIMNEYS, FLOORS, WINDOWS (INCLUDING STORM NDOWS AND SCREENS). DOORS, CEILINGS, INTERIOR AND EXTERIOR WALLS, FACHED GARAGE, PATIO, DECK OR OTHER STRUCTURAL COMPONENTS including any diffications to them?  In this form, "property" refers only to dwelling unit(s) and not sheds, detached garages or other builting the property identified above, do you know of any problem (malfunction or allowing).	Yes*	No	No Resenta

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	space or slab?		
4.	ELECTRICAL SYSTEM (outlets, wiring, panel, switches, fixtures etc.)?		
5.	PLUMBING SYSTEM (pipes, fixtures, water heater, etc.)?		
6.	HEATING AND/OR AIR CONDITIONING?		
a.	Heat Source is: ☐ Furnace ☐ Heat Pump ☐ Baseboard ☐ Other		
b.	Cooling Source is: ☐ Central Forced Air ☐ Wall/Window Unit(s) ☐ Other		
c.	Fuel Source is: ☐ Electricity ☐ Natural Gas ☐ Propane ☐ Oil ☐ Other		
7.	WATER SUPPLY (including water quality, quantity and water pressure)?		
a.	Water supply is: ☐ City/County ☐ Community System ☐ Private Well ☐ Other		
b.	Water pipes are: □ Copper □ Galvanized □ Plastic □ Other □ Unknown		
8.	SEWER AND/OR SEPTIC SYSTEM?		
a.	Sewage disposal system is: ☐ Septic Tank ☐ Septic Tank with Pump ☐ Community System ☐ Connected to City/County System ☐ City/County System available ☐ Other		
9.	BUILT-IN APPLIANCES (RANGE/OVEN, ATTACHED MICROWAVE, HOOD/FAN, DISHWASHER, DISPOSAL, etc.)?		
10.	OTHER SYSTEMS AND FIXTURES: CENTRAL VACUUM, POOL, HOT TUB, SPA, ATTIC FAN, EXHAUST FAN, CEILING FAN, SUMP PUMP, IRRIGATION SYSTEM, TV CABLE WIRING OR SATELLITE DISH, OR OTHER SYSTEMS?		
11.	DRAINAGE, GRADING OR SOIL STABILITY OF LOT?		
12.	PRESENT INFESTATION, OR DAMAGE FROM PAST INFESTATION OF WOOD DESTROYING INSECTS OR ORGANISMS which has not been repaired?		
Also	regarding the property identified above, do you know of any:		_
13.	ROOM ADDITIONS OR OTHER STRUCTURAL CHANGES ?		
14.	ENVIRONMENTAL HAZARDS (substances, materials or products) including asbestos, formaldehyde, radon gas, methane gas, lead-based paint, underground storage tank, or other hazardous or toxic material (whether buried or covered), contaminated soil or water, or other environmental	П	
	contamination)?		
15.	COMMERCIAL OR INDUSTRIAL NUISANCES (noise, odor, smoke, etc.) affecting the property?		
16.	VIOLATIONS OF BUILDING CODES, ZONING ORDINANCES, RESTRICTIVE COVENANTS OR OTHER LAND-USE RESTRICTIONS?		
17.	UTILITY OR OTHER EASEMENTS, SHARED DRIVEWAYS, PARTY WALLS OR ENCROACHMENTS FROM OR ON ADJACENT PROPERTY?		

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*	If you answered "Yes" to any of the above questions, please explain (Attach additional	sheets,	if nece	ssary):
20.	FLOOD HAZARD or that the property is in a FEDERALLY-DESIGNATED FLOOD PLAIN?			
19.	OWNERS' ASSOCIATION OR "COMMON AREA" EXPENSES OR ASSESSMENTS?			
18.	LAWSUITS, FORECLOSURES, BANKRUPTCY, TENANCIES, JUDGMENTS, TAX LIENS, PROPOSED ASSESSMENTS, MECHANICS' LIENS, MATERIALMENS' LIENS, OR NOTICE FROM ANY GOVERNMENTAL AGENCY that could affect title to the property?			П

(b) The form described in Paragraph (a) of this Rule may be reproduced, but the form shall not be altered or amended in any way.

History Note: Authority G.S. 47E-4(b); 93A-3(c); 93A-6; Eff. October 1, 1998.

#### SECTION .0500 - LICENSING

### .0502 BUSINESS ENTITIES

- (a) Every business entity other than a sole proprietorship shall apply for and obtain from the Commission a firm license prior to engaging in business as a real estate broker. An entity which changes its business form shall be required to submit a new application immediately upon making the change and to obtain a new license. Incomplete applications shall not be acted upon by the Commission. Application forms for partnerships, corporations, limited liability companies, associations and other business entities required to be licensed as brokers shall be available upon request to the Commission and shall set forth the name of the entity, the name under which the entity will do business, the address of its principal office, and a list of all brokers and salesmen associated with the entity.
- (b) The application of any partnership, including a general partnership, limited partnership and limited liability partnership, shall also call for such information as a copy of its written partnership agreement or if no written agreement exists, a written description of the rights and duties of the several partners; a copy of any Certificate of Limited Partnership as may be required by law; past conviction of criminal offenses of any general or limited partner; past revocation, suspension, or denial of a business or professional license of any general or limited partner; and the name and residence address of each general and limited partner.
- (c) The application of a limited liability company shall also call for such information as a copy of its Articles of Organization evidencing its authority to engage in the business of real estate brokerage; past conviction of criminal offenses of any manager or member; past revocation, suspension, or denial of a business or professional license of any manager or member; and the name and residence address of each manager or member.
- (d) The application of a corporation shall also call for such information as a copy of its Articles of Incorporation evidencing

- its authority to engage in the business of real estate brokerage; and shall call for such information as past conviction of criminal offenses of any corporate director, officer, employee or shareholder who owns ten percent or more of the outstanding shares of any class; past revocation, suspension, or denial of a business or professional license to any director, officer, employee or shareholder who owns ten percent or more of the outstanding shares of any class; the name and residence address of each director and officer of the corporation; and the name and address of each person, partnership, corporation, or other entity owning ten percent or more of the outstanding shares of any class.
- (e) The application of any other business entity shall also call for such information as a copy of its organizational documents evidencing its authority to engage in real estate brokerage; past conviction of criminal offenses of any principal in the company; past revocation, suspension or denial of a business or professional license of any principal; and the name and residence address of each principal. For purposes of this Paragraph, the term "principal" shall mean any person or entity who owns the business entity to any extent, or who is an officer, director, manager, member, partner or who holds any other comparable position.
- (f) A foreign business entity shall further qualify by filing with its application for license a copy of any certificate of authority to transact business in this state issued by the North Carolina Secretary of State which may be required by law and a consent to service of process and pleadings which shall be accompanied by a duly certified copy of the resolution of the general partners, managers or board of directors authorizing the proper partner, manager or officer to execute said consent.
- (g) After filing a written application with the Commission and upon a showing that at least one principal of said business entity holds a broker license on active status and in good standing and will serve as principal broker of the entity, the entity shall be licensed provided it appears that the applicant entity employs

and is directed by personnel possessed of the requisite truthfulness, honesty, and integrity. The principal broker of a partnership of any kind must be a general partner of the partnership, the principal broker of a limited liability company must be a manager of the company, and the principal broker of a corporation must be an officer of the corporation. A licensed business entity may serve as the principal broker of another licensed business entity if the principal broker-entity has as its principal broker a natural person who is himself licensed as a broker. The natural person who is principal broker shall assure the performance of the principal broker's duties with regard to both entities.

- (h) The licensing of a business entity shall not be construed to extend to the licensing of its partners, managers, members, directors, officers, employees or other persons acting for the entity in their individual capacities regardless of whether they are engaged in furthering the business of the licensed entity.
- (i) The principal broker of a business entity shall assume responsibility for:
  - (1) designating and assuring that there is at all times a broker-in-charge for each office and branch office of the entity at which real estate brokerage activities are conducted;
  - (2) renewing the real estate broker license of the entity;
  - (3) retaining the firm's renewal pocket card at the firm and producing it as proof of firm licensure upon request and maintaining a photocopy of the firm

- license certificate and pocket card at each branch office thereof:
- (4) notifying the Commission of any change of business address or trade name of the entity and the registration of any assumed business name adopted by the entity for its use; and
- (5) notifying the Commission in writing of any change of his status as principal broker within ten days following the change.
- (j) Every licensed business entity and every entity applying for licensure shall conform to all the requirements imposed upon it by the North Carolina General Statutes for its continued existence and authority to do business in North Carolina. Failure to conform to such requirements shall be grounds for disciplinary action or denial of the entity's application for licensure. Upon receipt of notice from an entity or agency of this state that a licensed entity has ceased to exist or that its authority to engage in business in this state has been terminated by operation of law, the Commission shall cancel the license of the entity.

History Note: Authority G.S. 93A-3(c); 93A-4(a),(b),(d); Eff. February 1, 1976;

Readopted Eff. September 30, 1977;

Amended Eff. <u>August 1, 1998;</u> January 1, 1997; July 1, 1994; May 1, 1990.

 $\boldsymbol{T}$  his Section contains the full text of some of the more significant Administrative Law Judge decisions along with an index to all recent contested cases decisions which are filed under North Carolina's Administrative Procedure Act. Copies of the decisions listed in the index and not published are available upon request for a minimal charge by contacting the Office of Administrative Hearings, (919) 733-2698.

### OFFICE OF ADMINISTRATIVE HEARINGS

Chief Administrative Law Judge JULIAN MANN, III

Senior Administrative Law Judge FRED G. MORRISON JR.

### ADMINISTRATIVE LAW JUDGES

Brenda B. Becton Sammie Chess Jr. Beecher R. Gray Meg Scott Phipps Robert Roosevelt Reilly Jr. Dolores O. Smith

	CASE		DATE OF	PUBLISHED DECISION
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ADMINISTRATION				
E. Edward Gambill v. Department of Administration	97 DOA 0364	Reilly	09/10/97	
Triangle CAD Solutions, Inc. v. Div. Purchase and Contract, EHNR	97 DOA 0670	Reilly	12/19/97	
Henry Bryon Brewer v. NC Commission of Indian Affairs	97 DOA 0959	Gray	12/17/97	
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Str Gregory Leo Kelly, Ruby Louise Kelly v Sheila Director Ocean House and Mental Health Southeastern Regional Wilmington, NC	97 OAH 0714	Chess	03/24/98	
Sir Gregory Leo Kelly, Ruby Louise Kelly v. Client Complaint Secretary (Art Constantini) Mental Health Director	97 OAH 0715	Chess	03/24/98	
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Everette Craig Hornbuckle v. Alcoholic Beverage Control Commission	93 ABC 0987	Gray	08/18/97	
Saleh Ahmed Alı Futhah v Alcoholic Beverage Control Commission	94 ABC 0264	Grav	08/18/97	
Carolyn T Ray v Alcoholic Beverage Control Commission	95 ABC 0429	Grav	09/23/97	
Alcoholic Beverage Control Commission v. Fast Fare, Inc.	96 ABC 0483	Morrison	06/18/97	
Alcoholic Beverage Control Commission v. Mendoza Enterprises, Inc.	96 ABC 1196	Grav	08/26/97	
Paul Tyler IV Enterprises, Inc., Alpha Vinson T/A Mirrors (Sid's	96 ABC 1804	Morrison	09/29/97	
Showgirls) v. Alcoholic Beverage Control Commission and				
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and				
Guman Khera				
George Robert Scott v. Alcoholic Beverage Control Commission	96 ABC 1995	Reilly	12/05/97	
Nasar Sader v. Alcoholic Beverage Control Commission	97 ABC 0030	Phipps	10/08/97	
Alcoholic Beverage Control Commission v. Paradise Landing, Inc.	97 ABC 0031	Gray	06/13/97	
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Alcoholic Beverage Control Commission v Fast Fare, Inc. No 576	97 ABC 0197	Morrison	01/15/98	
Alcoholic Beverage Control Commission v Altaf Hussain	97 ABC 0312	Mann	07/29/97	
Alcoholic Beverage Control Commission v. Robert Johnson	97 ABC 0321	Gray	08/25/97	
Alcoholic Beverage Control Commission v. Crown Central Petroleum Corp	97 ABC 0325	Gray	04/15/98	
Alcoholic Beverage Control Commission v Masonboro County Store, Inc	97 ABC 0432	Reilly	09/09/97	
Daniel Gary Ledbetter v. Alcoholic Beverage Control Commission	97 ABC 0443	Gray	07/08/97	
Alcoholic Beverage Control Comm v Raymond Lee	97 ABC 0488	Smith	10/30/97	
Alcoholic Beverage Control Comm. v. Percy Daniel Bowen	97 ABC 0495	Morrison	09/24/97	
Alcoholic Beverage Control Commission v Bridgette Dee Williams	97 ABC 0576	Phipps	09/04/97	
Alcoholic Beverage Control Commission v. Westside Tavern, Inc.	97 ABC 0586	Phipps	09/17/97	

## **CONTESTED CASE DECISIONS**

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AGENCY	CASE <u>NUMBER</u>	ALJ	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
Alcoholic Beverage Control Commission v. Grove Park Inn Resort, Inc.	97 ABC 0706	Morrison	09/15/97	12:07 NCR 609
Bradford Allan Capps & Garland Lewis Williams v. Alc. Bev. Ctl. Comm.	97 ABC 0820	Reilly	02/05/98	
Alcoholic Beverage Control Commission v. Soo Jung Pak	97 ABC 0834	Reilly	03/04/98	
Sunset Enterprises, Inc. v. Alcoholic Beverage Control Commission	97 ABC 0846	Gray	12/10/97	
Alcoholic Beverage Control Commission v. 6 Twelve Corporation	97 ABC 0895	Reilly	12/16/97	
Alcoholic Beverage Control Commission v. Kimberly Loette Hankins	97 ABC 0897	Gray	10/06/97	
Alcoholic Bev. Ctl. Comm. v. Monterrey Mex. Rest. of Greensboro, Inc.	97 ABC 0965	Morrison	01/15/98	
Alcoholic Beverage Control Comm. v. Fiesta Mexicana, Inc.	97 ABC 0983	Mann	02/17/98	
Alcoholic Beverage Control Commission v. James Martini	97 ABC 1036	Gray	12/31/97	
Alcoholic Beverage Control Comm. v. Cynthia Lea Wagner	97 ABC 1115	Morrison	02/19/98	
Alcoholic Beverage Control Comm. v. B.B. & S., Inc.	97 ABC 1250	Gray	02/24/98	
Alcoholic Beverage Control Comm v. Ali Mohmood Ahmed	97 ABC 1289	Morrison	02/26/98	
Momhammed H. Darwish & Hazeem M Eldara v. Alcoholic Bev Ctl Comm		Smith	12/31/97	
Alcoholic Beverage Control Comm. v. Mr. James A. Fields, Jr.	97 ABC 1437	Phipps	04/24/98	
Alcoholic Beverage Control Comm. v. Circle K. Stores, Inc.	97 ABC 1538	Smith	03/13/98	
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COMMUNITY COLLEGES  Livrage Fillion Price of Depth of Community Colleges St. Rd. of Comm. Col.	98 DCC 0136	Grou	03/11/98	
James Elliot Price v. Dept. of Community Colleges, St. Bd. of Comm. Col.	96 DCC 0130	Gray	03/11/96	
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Della Sherrod v. Crime Victims Compensation Commission	96 CPS 0300	Chess	07/18/97	
Stanley D. Carter, Sr. v. Victims Compensation Commission	96 CPS 1887	Chess	01/26/98	
Mary A. Kearney v. CPS, Victims Compensation Commission	96 CPS 2033	Becton	09/26/97	
Mae Allen Murray v. Crime Victims Compensation Commission	96 CPS 2110	Chess	10/31/97	
Beverly McLaughlin v Crime Victims Compensation Commission	97 CPS 0170	Phipps	08/29/97	
Malcolm W. Fields v. Crime Victims Compensation Commission	97 CPS 0360	Chess	09/12/97	
Rodney P. Hodge v. Crime Victims Compensation Commission	97 CPS 0449	Reilly	07/01/97	
Billy Steen v. Crime Victims Compensation Commission	97 CPS 0472	Morrison	07/23/97	
Clifford R. Pulley v. Crime Victims Compensation Commission	97 CPS 0523	Gray	08/06/97	
Curtis Jermaine Newkirk v. Crime Victims Compensation Commission	97 CPS 0645	Morrison	10/03/97	
Huston Christopher Mason v. Victims Compensation Commission	97 CPS 0691	Becton	12/04/97	
Percival R. Johnson, AKA Reeves Johnson v. Crime Victims Comp. Comm	97 CPS 0779	Gray	02/06/98	
Gregory Bynum v. Crime Victims Compensation Commission	97 CPS 0901	Reilly	10/16/97	
Michaela Dionne Brewington v. Crime Victims Compensation Commission	97 CPS 0992	Mann	12/23/97	
Lisa S. Snead v. Crime Victims Compensation Commission	97 CPS 1025	Reilly	11/10/97	
Robert L. Welty, II v. Crime Victims Compensation Commission	97 CPS 1023	Gray	01/20/98	
Robert T. Blakeney v. Office of Administrative Hearings	97 CPS 1187	Becton	11/07/97	
Connie Cowan v. Crime Victims Compensation Commission	97 CPS 1214	Becton	12/03/97	
Abram J. Smith v. Crime Victims Compensation Commission	97 CPS 1214 97 CPS 1383	Morrison	04/14/98	
Linda Atkinson v. Crime Victims Compensation Commission	97 CPS 1389	Becton	12/31/97	
Barry Lee Whitley v. Crime Victims Compensation Commission	97 CPS 1389 97 CPS 1691	Mann	04/09/98	
Judy N. Scurry for Wendy C. Scurry v. Crime Victims Comp. Comm.	98 CPS 0210	Becton	04/09/98	
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Herbert C. Avery v. Environment, Health, and Natural Resources	96 EHR 0161	Chess	09/23/97	
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Leroy Anderson v County of Moore Department of Health	96 EHR 1969	Morrison	07/15/97	12:03 NCR 223
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Peter D. McDowell, Sr. v. New Hanover Health Department	96 EHR 2075	Gray	08/07/97	12.12 NCD 1222
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Jimmie A. Bryant v. Environment, Health & Natural Resources	97 EHR 0211	Chess	04/16/98	
Riggings Homeowners Assoc, Inc. v. Environment, Health, & Natural Res.	97 EHR 0263	Reilly	08/13/97	
John Ronald Taylor v. Environment, Health, & Natural Resources	97 EHR 0275	Reilly	06/09/97	
Independence Mall Associates v. Environment and Natural Resources	97 EHR 0328	Chess	03/06/98	
Rick Parker v. Pitt County Health Dept./Mr. Ernie Nichols	97 EHR 0470	Phipps	07/01/97	
James R. Melvin v. Environment and Natural Resources	97 EHR 0682	Phipps	09/23/97	
Roger L. Moore v Environment and Natural Resources	97 EHR 0821	Smith	03/11/98	
Lee A. Riggs v. Craven County Health Department	97 EHR 0851	Becton	10/02/97	
Tommy Anthony Swangin v. Dept. of Environment & Natural Resources	97 EHR 0875	Smith	12/15/97	
Robert E. Cahoon v. Carteret County Health Department	97 EHR 0878	Becton	09/30/97	
Jim R. Lanier v. Environment, Health, and Natural Resources	97 EHR 0880	Phipps	03/13/98	
John Martin v Environment, Health, and Natural Resources	97 EHR 0993*21	Phipps	10/13/97	
John Martin v. Environment, Health, and Natural Resources	97 EHR 0994*21	Phipps	10/13/97	
Jack R. Whitmore v. Dept. of Environment & Natural Resources	97 EHR 1039	Mann	12/17/97	
Rachel S. Tugwell v. Environment, Health and Natural Resources	97 EHR 1086	Becton	12/03/97	
Joanne B. Huff v. Dept. of Environment and Natural Resources	97 EHR 1149	Reilly	12/30/97	
NC Waste Awareness & Reduction Network, Inc. v. EHNR	97 EHR 1189	Becton	02/16/98	

<u>AGENCY</u>	CASE <u>NUMBER</u>	<u>ALJ</u>	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
and				
Carolina Solite Corporation and Oldover Corporation				
Alphasine Cheryl Barfield v EHNR, Div Environmental Health	97 EHR 1221	Morrison	01/28/98	
Mae B Blankenburg v Dare Countyl Health Dept (Issued by - Crawford)	97 EHR 1267	Morrison	04/17/98	
Gilbert T Davis, Jr v Forsyth County Environmental Affairs Dept	97 EHR 1281	Smith	11/10/97	
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Station Break, Marie K. Graham v. Martin Tyrell Washington, District Health Department	97 EHR 1639	Phipps	03/09/98	
Coastal Management Glenn Sasser v Division of Coastal Management	97 EHR 0763	Grav	12/31/97	
	J. Line over	Oraș	12/31/97	
Environmental Management Craig King Farms v. EHNR, Environmental Management Commission	96 EHR 0609	Gray	01/14/98	
Henry G. Dail, Dail Brothers v. EHNR, Environmental Management	96 EHR 2104	Grav	08/27/97	
	90 LIII 2104	Olay	00/2//9/	
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James H Lowdermilk & J Wayne Lowdermilk v. EHNR. Land Res	96 EHR 0745	Gray	10/30/97	
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Thomas Windell Foster, Minnie Foster Tate, Carolyn D. Hughes, Joseph & Lorne Voliva v. EHNR, Division of Land Resources	97 EHR 1002*34	Mann	02/05/98	
Thomas Windell Foster, Minnie Foster Tate, Carolyn D. Hughes, Joseph & Lorrie Voliva v. EHNR, Division of Land Resources	97 EHR 1003* <sup>34</sup>	Mann	02/05/98	
Thomas Windell Foster, Minnie Foster Tate, Carolyn D. Hughes, Joseph & Lorrie Voliva v. EHNR, Division of Land Resources	97 EHR 1043*34	Mann	02/05/98	
Thomas Windell Foster, Minnie Foster Tate, Carolyn D. Hughes, Joseph	97 EHR 1057* <sup>34</sup>	Mann	02/05/98	
& Lorrie Voliva v. EHNR, Division of Land Resources				
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William B Tate v Division of Marine Fisheries	96 EHR 1922	Becton	12/16/97	
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Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman W Gillikin, Oliver C Lawrence, Hiram Gillikin, Louis Gray Thomas.	97 EHR 0917**	Morrison	12/30/97	
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin	02 EMB 0022*30	Morreson	13/20/07	
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman W Gillikin, Oliver C Lawrence, Hiram Gillikin, Louis Gray Thomas,	97 EHR 0927* <sup>30</sup>	Morrison	12/30/97	
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman	97 EHR 0928**	Morrison	12/30/97	
W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas,	77 EIII (0726	14101113011	12/30/7/	
Jr., Fave Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin	07 EUD 0030*30	Morrison	12/30/97	
Hassell B. Lawrence, Sr., Gordon Lawrence, Bobby G. Gillikin, Norman W., Gillikin, Oliver C., Lawrence, Hiram Gillikin, Louis Gray Thomas,	97 EHR 0929* <sup>30</sup>	Morrison	12/30/97	
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman W Gillikin, Oliver C Lawrence, Hiram Gillikin, Louis Gray Thomas,	97 EHR 0935* <sup>30</sup>	Morrison	12/30/97	
Jr., Faye Thomas, Mark Allen Gillıkın, Mıllie Lawrence, June Martin				
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman	97 EHR 0936* <sup>30</sup>	Morrison	12/30/97	
W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas, Jr., Fave Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman	97 EHR 0961* <sup>30</sup>	Morrison	12/30/97	
W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas, Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
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W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas,				
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
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Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman	97 EHR 1038*3	Morrison	12/30/97	
W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas.				
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman	97 EHR 1052* <sup>30</sup>	Morrison	12/30/97	
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Water Quality				
от месь Учины				

## **CONTESTED CASE DECISIONS**

AGENCY	CASE <u>NUMBER</u>	<u>AL<sub>J</sub></u>	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
Castle Hayne Steering Committee v. EHNR, Division of Water Quality	96 EHR 1731	Mann	06/30/97	
and New Hanover County Water and Sewer District RAYCO Utilities, Inc., Briarwood WWTP v. EHNR, Div. of Water Quality RAYCO Utilities, Inc., Greystone Forrest WWTP v. EHNR, Water Quality RAYCO Utilities, Inc., Melbille Heights MHP	97 EHR 0018 97 EHR 0412 97 EHR 0643* <sup>22</sup>	Chess Smith Smith	09/12/97 10/29/97 10/29/97	
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HUMAN RESOURCES Sampson Health Care Facilities Inc. v. Dept. of Health & Human Services John & Veronica Spearman v. Department of Human Resources New Beginnings Christian Academy v. Department of Human Resources Cindy G. Geho v. Office of Administrative Hearings, R. Marcus Lodge Helen Wyman v. Department of Human Resources DeRothea G. Williams d/b/a Dee Williams & Company, a proprietorship v. Buncombe County Partnership for Children, Inc., a NC Nonprofit Corp.; NC Department of Human Resources [Division of Child Development]; NC Department of Environment, Health, and Natural Resources [Division of Maternal and Child Health]	96 DHR 0535 96 DHR 1543 96 DHR 1925 97 DHR 0286 97 DHR 0407 97 DHR 0424	Reilly Chess Reilly Chess Reilly Morrison	11/06/97 09/12/97 08/22/97 07/23/97 08/08/97 09/22/97	
Lorraine M. Monroe v. Department of Human Resources Ruby Woodward v. Department of Human Resources Linda Rouse Sharp v. Department of Human Resources William & Deborah Gilbert v. Department of Human Resources Fannie Odessa Dixon v. Department of Human Resources Ocelee Gibson v. Department of Human Resources Larry Patton v. Department of Human Resources Rita Faircloth v Department of Human Resources Valerie Bullock v Department of Human Resources Valerie Bullock v. Department of Human Resources HILCO v. Forsyth County Environmental Affairs Department Fannie Odessa Dixon v. Department of Human Resources Althea L. Boone v. Department of Human Resources	97 DHR 0540 97 DHR 0552 97 DHR 0616 97 DHR 0652**0 97 DHR 0658 97 DHR 0829 97 DHR 0900 97 DHR 1255 97 DHR 1345 97 DHR 1454 97 DHR 1455**0 97 DHR 1673	Gray Chess Mann Chess Reilly Reilly Phipps Reilly Mann Mann Gray Reilly Smith	10/30/97 02/02/98 08/28/97 01/29/98 04/13/98 07/22/97 10/17/97 08/15/97 02/04/98 02/18/98 12/19/97 04/13/98 05/01/98	12:17 NCR 1682
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<sup>\*</sup> Consolidated Cases.

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Karen L. Holgersen v Department of Public Instruction	96 EDC 0808	Smith	05/27/97	12.15 Rek 1201
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Joe Nathan Graham v Department of Correction William A Rich v Dennis Rowland (Asst Supt.) Wake Corr. Ctr. DOC Deborah R. Dixon v Department of Correction	97 OSP 0542 97 OSP 0594	Smith	01/29/98	12-17 NCR 1685
Joe Nathan Graham v Department of Correction William A Rich v Dennis Rowland (Asst Supt ) Wake Corr Ctr DOC	97 OSP 0542			12 <sup>-</sup> 17 NCR 1685

## **CONTESTED CASE DECISIONS**

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Dannie M. Dutcher v. Department of Correction	97 OSP 0745	D oilly	12/10/07	
Dennis M. Butcher v. Department of Correction  Lonnie F. McCaskill, III v. Department of Correction	97 OSP 0743 97 OSP 0761	Reilly Gray	12/10/97 10/02/97	
William E. McCaskill v. Department of Correction	97 OSP 0770	Becton	09/26/97	
William A. Rich v. Department of Correction	97 OSP 0853	Mann	12/23/97	
Andrew Pinto v. Department of Correction	97 OSP 0873	Becton	10/31/97	
Regina Draughon v. Department of Correction, Duplin Correctional Ctr.	97 OSP 0883	Phipps	12/19/97	
Ronald M. Johnson v. Emp. Rel. Comm., DOC (Morrison Youth Inst.)	97 OSP 0940	Gray	10/02/97	
Bernie B. Kelly v. Harry Chambers, Department of Correction	97 OSP 0998	Becton	02/03/98	
Stanley L. Ingram v. Department of Correction	97 OSP 1075	Mann	12/23/97	
Randy L. Tompkins v. Department of Correction	97 OSP 1110	Smith	12/16/97	
Constance L. Hines v. Personnel Dept. Polk Youth Institute	97 OSP 1225	Mann	02/11/98	
Omar J. Finley v. Dept. of Correction, Div. of Adult Probation & Parole Andrew Pinto v. Department of Correction	97 OSP 1237 97 OSP 1261	Phipps Phipps	03/24/98 02/04/98	
Pamela Denise Hall v. Scotland Correctional Center	97 OSP 1201	Chess	03/05/98	
Reginald W. Lewis v. Piedmont Corr. Institute, Mr. T. Pinion, Cpt,	97 OSP 1276	Smith	12/02/97	
K. Fry, Sgt, Dancy, SCT, B. Crawford	,, op. 12.0	J	12/02/31	
Donald W. Keith v. Department of Correction	97 OSP 1294	Chess	01/14/98	
William D. Bryant, Jr. v. Department of Correction	97 OSP 1320	Chess	03/05/98	
Frances A. Holden v. Lumberton Correctional Institute	97 OSP 1321	Becton	12/31/97	
Thomas Woolridge v. Lumberton Correctional Institute	97 OSP 1401	Becton	12/19/97	
Ronald Graham Moore v. Department of Correction	97 OSP 1453	Phipps	03/12/98	
Donald Hargrove v. Department of Correction/Emp. Relations Comm.	98 OSP 0075	Gray	03/06/98	
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Mary H. Ranson v. Employment Security Commission	97 OSP 0387	Mann	07/24/97	
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Dowell Gray v. Department of Environment and Natural Resources	97 OSP 0374* <sup>27</sup>	Gray	12/01/97	12:13 NCR 1222
and			• =	
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Victor Lail v. Department of Environment, Health, and Natural Resources	97 OSP 1602	Becton	04/29/98	
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Betty J. Souther v. New River Area MH/DD/SA Program	94 OSP 0327	Becton	10/20/97	
Kenneth B. Cooper v. Piedmont Area Mental Health	95 OSP 0312	Smith	12/19/97	
Willie D. Parks v. Cherry Hospital, Department of Human Resources Robert Tilson Morley v. Department of Human Resources	96 OSP 0617 96 OSP 0969	Phipps	09/10/97 08/21/97	
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Felicia Ann Baker v. Lenoir County DSS, Jack B. Jones	96 OSP 1664	Becton	12/05/97	
Sharron S. Moten v Lenoir County DSS, Jack B Jones	96 OSP 1665	Becton	12/05/97	12:13 NCR 1215
Pamela Massey v. Department of Human Resources	96 OSP 1927	Becton	08/28/97	12:06 NCR 497
Clifton Dean Hill v Department of Human Resources	97 OSP 0007	Phipps	06/20/97	12:02 NCR 107
Bennie Allen Suttle v Department of Human Resources	97 OSP 0069	Reilly	09/30/97	
Calvin E. Kaiser v. Southeastern Mental Health Center	97 OSP 0073	Gray	08/08/97	
Sandra Riley v Onslow County Department of Social Services	97 OSP 0217	Reilly	10/14/97	
Vicky Angel Morgan v. Buncombe County Department of Social Services	97 OSP 0283	Becton	10/02/97	
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Rick A Sanders v. Department of Health and Human Services	97 OSP 0307	Reilly	10/16/97	
Troy Gaines v. Durham County Mental Health Department	97 OSP 0347	Mann	08/05/97	
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Christine Potter v. Department of Human Resources	97 OSP 0402 97 OSP 0481	Gray	03/23/98	12.12 NCK 1007
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Richard G. Steeves v. Scotland County Board of Health	97 OSP 0622* <sup>23</sup>	Phipps	10/21/97	
Harold Lee Batiste v. Department of Human Resources	97 OSP 0655	Reilly	03/31/98	
Antonio A. Archibeque v. Barbara D. Whitley, Dir, Stanly County DSS	97 OSP 0663	Smith	09/02/97	

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Theon Shan v Department of Human Resources, Caswell Center DHR, Deaf & Hard of Hearing CNCSD, Evonne Broadnax v DHR,	97 OSP 0733 97 OSP 0756	Chess Becton	04/29/98 09/03/97	
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Labor Penny E. Leonhardt v Department of Labor	97 OSP 1088	Chess	03/05/98	
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Tommie R Jones, Samuel W Winstead, Timothy C Sturges, Michael J Boone, and Ronnie Batchelor v Dept of Transportation, Div of Motor Vehicles, Enforcement Section	96 OSP 0781* <sup>26</sup>	Phipps	11/13/97	12:11 NCR 979
Tommie R. Jones, Samuel W. Winstead, Timothy C. Sturges, Michael J. Boone, and Ronnie Batchelor v. Dept. of Transportation, Div. of Motor Vehicles, Enforcement Section.	96 OSP 0782* <sup>26</sup>	Phipps	11/13/97	12:11 NCR 979
Tommie R Jones, Samuel W Winstead, Timothy C Sturges, Michael J Boone, and Ronnie Batchelor v Dept of Transportation, Div of Motor Vehicles, Enforcement Section	96 OSP 0783* <sup>26</sup>	Phipps	11/13/97	12:11 NCR 979
Georgia B. Warren v. Dept of Transportation, Div. of Motor Vehicles, Enforcement Section	96 OSP 0784* <sup>26</sup>	Phipps	11/13/97	12:11 NCR 979
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Tommie R. Jones, Samuel W. Winstead, Timothy C. Sturges, Michael J. Boone, and Ronnie Batchelor v. Dept. of Transportation, Div. of Motor	96 OSP 0786* <sup>26</sup>	Phipps	11/13/97	12:11 NCR 979
Vehicles, Enforcement Section  Evia L. Jordan v. Dept. of Transportation, Division of Motor Vehicles Wendell L. Webb v. Department of Transportation, Ferry Division Wendell L. Webb v. Department of Transportation, Ferry Division Daniel H. Spaulding v. Department of Transportation Frank A. Tice, Ill v. Department of Transportation Carl H. Posey, Jr. v. Department of Transportation Roy J. Abbott v. Department of Transportation James French v. Department of Transportation Nita Anderson v. Department of Transportation	96 OSP 0980 96 OSP 1710*24 97 OSP 0198*23 97 OSP 0221 97 OSP 0380 97 OSP 0486 97 OSP 0697 97 OSP 1252 97 OSP 1515	Phipps Phipps Phipps Becton Mann Gray Morrison Smith Phipps	04/07/98 10/31/97 10/31/97 02/26/98 09/05/97 03/04/98 12/05/97 12/16/97 03/09/98	12:18 NCR 1742
University of North Carolina Boyd S Taylor v NC Central University Gregory Lapicki v East Carolina University Diane Riggsbee-Raynor v UNC at Chapel Hill Helen McIntyre v UNC-TV University of North Carolina Elaine P Browne v Winston-Salem State University Carol Glosson v University of NC Hospitals at Chapel Hill Theresa Rogers v University of NC Hospitals at Chapel Hill Ann O Meares v NC State University Jesse Daniels v East Carolina University Darrell J Hampton v NC Central University Clinton A Browne v NC A&T State University Kenneth L Jarman v East Carolina University William A Covington v NC A & T State University	94 OSP 0363 94 OSP 1721 96 OSP 0326 96 OSP 0822 96 OSP 1007 96 OSP 1015 96 OSP 1065 96 OSP 1870 97 OSP 0123 97 OSP 0155 97 OSP 0199 97 OSP 0249 97 OSP 0686	Chess Reilly Chess Gray Reilly Becton Morrison Chess Morrison Mann Phipps Gray Becton	09/12/97 02/11/98 06/04/97 09/26/97 09/24/97 10/08/97 10/23/97 09/22/97 01/13/98 08/11/97 09/18/97 09/26/97 08/29/97	12:01 NCR 39

### **CONTESTED CASE DECISIONS**

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Beth W. Vinson v. Western Carolina University	97 OSP 0762	Phipps	10/10/97	
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Helen McIntyre v. UNC-TV University of North Carolina	97 OSP 0991	Gray	09/26/97	
Johnny Johnson, Jr. v. A&T St. University, Student Union Grievance Bd.	97 OSP 1060	Phipps	03/17/98	
Helen McIntyre v. UNC-TV University of North Carolina	97 OSP 1148	Gray	10/16/97	
Synthia Shaw v. UNC at Chapel Hill School of Medicine	97 OSP 1171	Morrison	03/11/98	
E. Julius Carter v. UNC-Greensboro	97 OSP 1202	Phipps	11/05/97	
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Cindy Hartzell v. N.C.S.U. Facilities Operations	97 OSP 1299	Phipps	02/13/98	
Edward L. Chatman v. UNC Hospital Marriot	97 OSP 1384	Phipps	01/20/98	
Winifred Bird v. University of NC Hospitals at Chapel Hill	97 OSP 1413	Phipps	01/12/98	
William Gibbs v. University of NC Hospitals at Chapel Hill	97 OSP 1559	Gray	03/06/98	
Sharon McLawhorn v. East Carolina University	97 OSP 1560	Phipps	02/05/98	
Donna M. VanHook v. University of NC Hospitals at Chapel Hill	97 OSP 1581	Gray	04/01/98	
Tianyou Zheng v. University of NC at Chapel Hill	97 OSP 1675	Phipps	04/03/98	12.21 NCR 1970
James Mathis, Jr v. University of NC Wilmington	97 OSP 1690	Chess	03/26/98	
Alonzo Andrews, Jr v. Fayetteville State University	98 OSP 0151	Phipps	03/27/98	
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Arapahoe Charter Schools v. Department of Revenue	97 REV 1696	Reilly	03/27/98	
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Greenway Capital Corp. & Stacey Lee Davis v. Securities Div. Sec'y/State	94 SOS 0097	Gray	10/28/97	
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Shelby H. Underwood, et.al. v. Trustees Teachers/St. Emp Ret. Sys.	96 DST 0390	Reilly	08/05/97	
Richard Albert Jose v. State Treasurer Retirement Systems Div.	97 DST 0281	Reilly	10/02/97	
Annie Tharrington Harrington v. Trustees Teachers/St. Emp Ret. Sys.	97 DST 0866	Mann	01/15/98	12:15 NCR 1468
Linda Gail Swaim v. Dept. of State Treasurer, Retirement System Div	97 DST 1373	Smith	03/23/98	12:20 NCR 1865
and Lisa Jenkins				
Lisa ventinis				
TRANSPORTATION				
Audrey W. Harris v. Transportation, Manson/Wheat Contr., & Wake Elec	97 DOT 0566	Gray	07/28/96	
Terrance E. McEnally, Ill v. Division of Motor Vehicles	98 DOT 0445	Morrison	04/16/98	12:21 NCR 1975
UNIVERSITY OF NORTH CAROLINA				
Clinton S. Rogers v. UNC-Chapel Hill	97 UNC 1062	Becton	10/31/97	

**T**he North Carolina Administrative Code (NCAC) has four major subdivisions of rules. Two of these, titles and chapters, are mandatory. The major subdivision of the NCAC is the title. Each major department in the North Carolina executive branch of government has been assigned a title number. Titles are further broken down into chapters which shall be numerical in order. The other two, subchapters and sections are optional subdivisions to be used by agencies when appropriate.

### TITLE/MAJOR DIVISIONS OF THE NORTH CAROLINA ADMINISTRATIVE CODE

### TITLE DEPARTMENT LICENSING BOARDS CHAPTER

ſ <del></del>	<del>,</del>		
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2	Agriculture	Architecture	2
3	Auditor	Athletic Trainer Examiners	3
4	Commerce	Auctioneers	4
5	Correction	Barber Examiners	6
6	Council of State	Certified Public Accountant Examiners	8
7	Cultural Resources	Chiropractic Examiners	10
8	Elections	Employee Assistance Professionals	liĭ
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10	Health and Human Services	Cosmetic Art Examiners	14
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12	Justice	Dietetics/Nutrition	17
13	Labor	Electrical Contractors	18
14A	Crime Control & Public Safety	Electrolysis	19
15A	Environment and Natural	Foresters	20
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16	Public Education	Hearing Aid Dealers and Fitters	22
17	Revenue	Landscape Architects	26
18	Secretary of State	Landscape Contractors	28
19A	Transportation	Marital and Family Therapy	31
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23	Community Colleges	Nursing	36
24	Independent Agencies	Nursing Home Administrators	37
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This index provides information related to notices, rules and other documents published in the Register. It includes information about rules for which Notice of Rule-Making Proceedings or Notice of Text have heen published, rules submitted to the Rules Review Commission and rules codified since the last session of the General Assembly. For assistance contact the Rules Division at 919/733-2678.

Fiscal Note: S = Rule affects the expenditure or distribution of state funds. L = Rule affects the expenditure or distribution of local government funds. SE = Rule has a substantial economic impact of at least \$5,000,000 in a 12-month period. \* = Rule-making agency has determined that the rule does not impact state or local funds and does not have a substantial economic impact. See G.S. 150B-21.4.

# ACUPUNCTURE, LICENSING BOARD

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21 NCAC 01 .0101	21 NCAC 01 .0105

### ADMINISTRATION

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## Purchase and Contract Division

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Citation	Praceedings	Rule	Text	Nate	Action	Date	rom proposal	Governor	Approved Kule	Other
1021 GS0 DACK 1		13-17 NCP 1611								
LINCAL USD : 1301		15.17.28.17.17.1								
1 NCAC 0513 1519		12:17 NCR 1611								
1 NCAC 0518_1604		12:17 NCR 1611								
1 NCAC 0513 .1906		12:17 NCR 1611								
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1 NCAC 30G .0101		11:04 NCR 194	11:19 NCR 1414	*	Approve	03/20/97			11:26 NCR 2004	
1 NCAC 30G .0102		11:04 NCR 194	11:19 NCR 1414	*	Object	03/20/97	•		A 100 GOIA 90 11	
1 NCAC 30G .0103		11:04 NCR 194	11:19 NCR 1414	*	Арргоус Арргоус	03/13/97	* *		11:30 NCR 2314 11:26 NCR 2004	
1 NCAC 30G .0104		11:04 NCR 194	H:19 NCR 1414	S/L	Object	03/20/97	4			
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26 NCAC 04 .0101		12:12 NCR 1071	12:16 NCR 1508	*	Approve	04/15/98				
26 NCAC 04 .0201		12:12 NCR 1071	12:16 NCR 1508	*	Approve	04/15/98				
26 NCAC 04-0202		12:12 NCR 1071	12:16 NCR 1508	*	Approve	04/15/98	*			
26 NCAC 04 .0203		12:12 NCR 1071	12:16 NCR 1508	*	Approve	04/15/98				
26 NCAC 04 .0204		12.12 NCR 1071	12:16 NCR 1508	*	Approve	04/15/98				
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26 NCAC 03.0122	12:08 NCR 621		12:13 NCR 1172	x	Approve	03/20/98			12:23 NCR 2100	
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26 NCAC 02C	11:19 NCR 1413									
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2 NCAC 48A .0206	10:24 NCR 3056		11:06 NCR 324	*	Ext. Review	12/19/96	*		11-33 NCB 1717	
2 NCAC 48A .0211	10:24 NCR 3056		11:06 NCR 324	*	Approve Ext. Review	12/19/96	. 4		11.22 INCR 1717	
2 NCAC 48A .0214	10:24 NCR 3056		11:06 NCR 324	*	Approve Ext. Review	01/16/9/ 12/19/96	٠		11:22 NCK 1717	
2 NCAC 48D .0103	< Z	< Z	<b>Y</b> /Z		Approve Approve	01/16/97			11:22 NCR 1717 12:17 NCR 1620	
2 NCAC 52A .0104	11:27 NCR 2053		12:03 NCR 169	*	Approve	12/18/97	*		12:17 NCR 1620	

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC Status	tatus	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Aetion	Date	irom proposal	Governor	Approved Kule	Other
2 NCAC 52A .0105	11:27 NCR 2053		12:03 NCR 169	*	Approve	12/18/97			12:17 NCR 1620	
2 NCAC 52A .0106	11:27 NCR 2053		12:03 NCR 169	*	Approve	12/18/97	*		12:17 NCR 1620	
2 NCAC 52A .0107	11:27 NCR 2053		12:03 NCR 169	*	Approve	12/18/97	*		12:17 NCR 1620	
2 NCAC 52A .0108	11:27 NCR 2053		12:03 NCR 169	*	Approve	12/18/97	*		12:17 NCR 1620	
2 NCAC 52A .0109	11:27 NCR 2053		12:03 NCR 169	*	Approve	12/18/97	*		12:17 NCR 1620	
2 NCAC 52B .0212	11:14 NCR 1107		11:22 NCR 1709	*	Approve	05/15/97			11:30 NCR 2314	
2 NCAC 52B,0303	11:14 NCR 1107		11:22 NCR 1709	*	Approve	05/15/97			11:30 NCR 2314	
2 NCAC 52C .0701	11:14 NCR 1107		11:22 NCR 1709	*	Approve	05/15/97			11:30 NCR 2314	
2 NCAC 52D .0101	11:27 NCR 2053		12:03 NCR 169	*	Approve	12/18/97	*		12:17 NCR 1620	
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2 NCAC 43F.0103	11:14 NCR 1107		11:22 NCR 1706	*	Object	26/51/50	4			
2 NCAC 4311.0101	11:14 NCR 1107		11:22 NCR 1706	*	Approve Approve	05/19/97 05/15/97	*		12:03 NCR 213 11:30 NCR 2314	
2 NCAC 43H .0107	11:14 NCR 1107		11:22 NCR 1706	*	Object	05/15/97				
2 NCAC 43L .0202	11:14 NCR 1107		11:22 NCR 1706	*	Approve Approve	06/19/97 05/15/97	<del>*</del> -		12:03 NCR 213 11:30 NCR 2314	
2 NCAC 43L .0401	11:14 NCR 1107		11:22 NCR 1706	*	Approve	05/15/97			11:30 NCR 2314	
2 NCAC 43L .0402	11:14 NCR 1107		11:22 NCR 1706	*	Approve	26/51/50			11:30 NCR 2314	
2 NCAC 43L .0403	11:14 NCR 1107		11:22 NCR 1706	*	Approve	05/15/97			11:30 NCR 2314	
2 NCAC 43L .0405	11:14 NCR 1107		11:22 NCR 1706	*	Approve	05/15/97	*		11:30 NCR 2314	
Plant Conservation Board	rd									
2 NCAC 48F .0301	11:07 NCR 407		11:11 NCR 883	*	Approve	03/20/97			11:26 NCR 2004	
2 NCAC 48F .0302	11:07 NCR 407		11:11 NCR 883	*	Approve	03/20/97			11:26 NCR 2004	
2 NCAC 48F .0304	11:07 NCR 407		11:11 NCR 883	*	Approve	03/20/97	*		11:26 NCR 2004	
2 NCAC 48F .0305	11:07 NCR 407		11:11 NCR 883	*	Approve	03/20/97	*		11:26 NCR 2004	
2 NCAC 48F.0306	11:07 NCR 407		11:11 NCR 883	*	Approve	03/20/97	*		11:26 NCR 2004	
Structural Pest Control										
2 NCAC 34 .0102	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34 .0302	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34 .0303	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			

	Other				Addendum 12:15	NCK 1419	Addendum 12:15	NCK 1419																						
	Approved Rule				Add	N. S.	Add																							
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Text differs	from proposal		*	*	*		*		*	*	*		*			*	*		*	*	*	*	*				*		*	
RRC Status	Date		04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/12/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98		04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98			04/15/98		04/15/98	
RRC	Action		Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Object	Approve	Approve	Approve		Approve	Approve	Approve	Approve	Approve	Approve			Approve		Approve	-
Fiscal	Note		*	*	S/L	*	S/L	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*		*	*	*	*	
Notice of	Text		12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:14 NCR 1234	12:06 NCR 455	12:14 NCR 1234		12:06 NCR 455	12:14 NCR 1234	12:06 NCR 455	12:14 NCR 1234						
Temporary	Rule																	11:21 NCR 1651								11:21 NCR 1651		11:21 NCR 1651		
Rufe-making	Proceedings		12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743	12:09 NCR 743		12:09 NCR 743		12:09 NCR 743		12:09 NCR 743							
Agency/Rufe	Citation		2 NCAC 34 .0306	2 NCAC 34 .0308	2 NCAC 34 .0309	2 NCAC 34 .0312	2 NCAC 34 .0313	2 NCAC 34 .0323	2 NCAC 34,0325	2 NCAC 34 .0328	2 NCAC 34 .0401	2 NCAC 34 .0402	2 NCAC 34 .0403	2 NCAC 34 .0404	2 NCAC 34 .0406	2 NCAC 34 .0501	2 NCAC 34 .0502	2 NCAC 34 .0503	2 NCAC 34 .0503	2 NCAC 34 .0504	2 NCAC 34 .0505	2 NCAC 34 .0506	2 NCAC 34 .0507	2 NCAC 34 .0508	2 NCAC 34 .0601	2 NCAC 34 .0602	2 NCAC 34 .0602	2 NCAC 34 .0604	2 NCAC 34 .0604	

Agency/Kure Citation	Carried Control	Tomborom	Notice of	Tieson I	RRC	RRC Status	Text differs	E Continu ha	. ^	
	Proceedings	Rule	Text	Nute	Action	Date	from proposal	Governor	Approved Rule	Other
2 NCAC 34,0605	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34 .0701	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34 .0702	12:09 NCR 743									
2 NCAC 34 .0703	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34,0803	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34 .0902	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34 .0904	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
2 NCAC 34 .1101	12:09 NCR 743		12:14 NCR 1234	*	Approve	04/15/98	*			
ARCHITECTURE, BOARD OF	BOARD OF									
21 NCAC 02 .0208	12:04 NCR 244									
21 NCAC 02 .0210	12:04 NCR 244									
21 NCAC 02 .0213	12:04 NCR 244		12:09 NCR 795	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0901	12:04 NCR 244		12:09 NCR 795	S/L/SE	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0902	12:04 NCR 244		12:09 NCR 795	S/L/SE	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0903	12:04 NCR 244		12:09 NCR 795	S/L/SE	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0904	12:04 NCR 244		12:09 NCR 795	S/L/SE	Object	03/20/98	*			
21 NCAC 02 .0905	12:04 NCR 244		12:09 NCR 795	S/1/SE	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0906	12:04 NCR 244		12:09 NCR 795	S/L/SE	Object	03/20/98	*			
21 NCAC 02 .0907	12:04 NCR 244		12:09 NCR 795	S/L/SE	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0908	12:04 NCR 244		12:09 NCR 795	S/L/SE	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0909	12:04 NCR 244		12:09 NCR 795	S/L/SE	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 02 .0910	12:04 NCR 244		12:09 NCR 795	S/L/SE	Approve	03/20/98	*		12:23 NCR 2100	
ATHLETIC TRAINER EXAMINERS, BOARD OF	ER EXAMINERS,	BOARD OF								
21 NCAC 03 .0101		12:18 NCR 1714	12:22 NCR 2007	s						
21 NCAC 03 .0102		12:18 NCR 1714	12:22 NCR 2007	s						
21 NCAC 03 .0103		12:18 NCR 1714	12:22 NCR 2007	s						
21 NCAC 03 .0201		12:18 NCR 1714	12:22 NCR 2007	S						
21 NCAC 03,0301		12:18 NCR 1714	12:22 NCR 2007	s						

	Other																												
	Approved Rule								12:16 NCR 1521																				
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RRC Status	Date								11/20/97		04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	Agency withdrew filing 03/20/98	04/15/98	04/15/98	04/15/98	Agency withdrew filing 03/20/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98
RRC	Action								Approve		Approve	Approve	Approve	Approve	Approve	Agency withd	Approve	Approve	Approve	Agency withd	Approve								
Fiscal	Note	S	S	s	S		*		*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Notice of	Text	12:22 NCR 2007	12:22 NCR 2007	12:22 NCR 2007	12:22 NCR 2007	COMMITTEE	12:22 NCR 2007		11:28 NCR 2129		12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138	12:13 NCR 1138					
Temporary	Rule	12.18 NCR 1714	12.18 NCR 1714	12:18 NCR 1714	12.18 NCR 1714	MEDICAL BOARI	12.18 NCR 1714			EXAMINERS																			
Rule-mating	Proceedings					R EXAMINERS/		ENSING BOARD	11:18 NCR 1368	COUNTANT	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619					
Anonov/Rule	Citation	21 NCAC 03 .0302	21 NCAC 03 .0303	21 NCAC 03 .0304	21 NCAC 03 .0401	ATHLETIC TRAINER EXAMINERS/MEDICAL BOARD COMMITTEE	21 NCAC 03 .0501	AUCTIONEERS LICENSING BOARD	21 NCAC 04B 0202	CERTIFIED PUBLIC ACCOUNTANT EXAMINERS	21 NCAC 08A .0301	21 NCAC 08A .0309	21 NCAC 08F .0103	21 NCAC 08F .0105	21 NCAC 08F .0302	21 NCAC 08F .0304	21 NCAC 08F .0401	21 NCAC 08F .0410	21 NCAC 08G .0404	21 NCAC 08G .0406	21 NCAC 0811.0001	21 NCAC 081 .0004	21 NCAC 08I .0005	21 NCAC 08J .0001	21 NCAC 08J .0005	21 NCAC 08J .0006	21 NCAC 08J .0008	21 NCAC 08J .0010	21 NCAC 08K .0301

Agency/Rule	Rufe-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	irom proposal	Governor	Approved Rule	Other
21 NCAC 08M .0101	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08M .0102	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08M .0201	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08M .0204	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/12/98	*			
CHIROPRACTIC										
21 NCAC 10 .0203		12:23 NCR 2098								
COMMERCE										
4 NCAC 01E	11:09 NCR 569									
4 NCAC 01F	11:09 NCR 569									
4 NCAC 0111	11:09 NCR 569									
4 NCAC 011	11:09 NCR 569									
4 NCAC 01J	11:09 NCR 569									
4 NCAC 01K	11.09 NCR 569									
Community Assistance										
4 NCAC 01K .0501	11:09 NCR 569									
4 NCAC 01K .0502	11:09 NCR 569									
4 NCAC 01K .0503	11:09 NCR 569									
4 NCAC 01K .0504	11:09 NCR 569									
4 NCAC 01K .0505	11:09 NCR 569									
4 NCAC 01K .0506	11:09 NCR 569									
4 NCAC 19L .0401	H:09 NCR 569		11:14 NCR 1113	*	Object	11/20/97	*		12:17 NCP 1620	
4 NCAC 19L .0403	11:09 NCR 569		11:14 NCR 1113	*	Approve	11/20/97	*		12:16 NCR 1521	
4 NCAC 19L .0404	11:09 NCR 569		11:14 NCR 1113	*	Object	11/20/97	4			
4 NCAC 19L .0407	11:09 NCR 569		11:14 NCR 1113	*	Арргоvе Арргоvе	12/18/97 11/20/97	•		12:17 NCK 1620 12:16 NCR 1521	
4 NCAC 19L .0501	11:09 NCR 569		11:14 NCR 1113	*	Approve	11/20/97	*		12:16 NCR 1521	
4 NCAC 19L .0502	11:09 NCR 569		II:14 NCR 1113	*	Approve	11/20/97	*		12:16 NCR 1521	
4 NCAC 19L .0505	11:09 NCR 569		11:14 NCR 1113	*	Object	11/20/97	*		12:17 NCR 1620	
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	Other																									
	Approved Kule	12:16 NCR 1521	0071 00714 61 61	12:17 NCK 1620	12:17 NCR 1620 12:16 NCR 1521		12:16 NCR 1521	12:16 NCR 1521	12:16 NCR 1521	0001 GON 51-01	12:16 NCR 1521	12:16 NCR 1521	0691 ADN 21-61	12:17 NCW 1020	12.17 NCR 1620 12:16 NCR 1521	12:16 NCR 1521	12-17 NCR 1620	12:16 NCR 1521	12:16 NCR 1521	12:17 NCR 1620	12:16 NCR 1521	12:16 NCR 1521	12:16 NCR 1521	0021 00/02101	12.17 INC K 1620	12:17 NCR 1620
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RRC Status	Date	11/20/97	11/20/97	11/20/97	12/18/97		11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	12/18/97	11/20/07	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	12/18/97	12/18/97
RRC	Action	Approve	Object	Approve Object	Approve Approve		Approve	Approve	Approve	Object	Approve	Approve	Object	Object	Арргоус Арргоус	Approve	Object	Approve	Approve	Object	Approve	Approve	Approve	Object	Approve Object	Approve
Fiscal	Note	*	¥	*	*		*	*	*	*	*	#-	*	*	*	*	*	*	*	*	*	*	*	*	*	
Notice of	Text	11:14 NCR 1113	11:14 NCR 1113	11:14 NCR 1113	II:14 NCR 1113		H:14 NCR 1113	11:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	11:14 NCR 1113	H:14 NCR 1113	11:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	H:14 NCR 1113	11:14 NCR 1113	11:14 NCR 1113	
Temporary	Rule																									
Rule-making	Proceedings	11:09 NCR 569	11.09 NCR 569	11:09 NCR 569	11:09 NCR 569	11.09 NCR 569	11:09 NCR 569	11.09 NCR 569	H:09 NCR 569	H.09 NCR 569	11.09 NCR 569	11:09 NCR 569	11.09 NCR 569	11:09 NCR 569	11:09 NCR 569	11.09 NCR 569	11.09 NCR 569	11:09 NCR 569	11.09 NCR 569	11-09 NCR 569	11:09 NCR 569	11:09 NCR 569	11.09 NCR 569	11:09 NCR 569	11:09 NCR 569	11:09 NCR 569
Agency/Rule	Citation	4 NCAC 19L.0706	4 NCAC 191, 0707	4 NCAC 19L .0708	4 NCAC 191, 0802	4 NCAC 191, 0805	4 NCAC 191, .0901	4 NCAC 19L.0906	4 NCAC 191, .0907	4 NCAC 19E.0911	4 NCAC 19L.1002	4 NCAC 19L, 1004	4 NCAC 19L 1009	4 NCAC 19L.1011	4 NCAC 191, 1301	4 NCAC 191, 1302	4 NCAC 19L-1303	4 NCAC 191, 1701	4 NCAC 191, .1702	4 NCAC 191,-1703	4 NCAC 191,±1801	4 NCAC 191, 1802	4 NCAC 191, .1803	4 NCAC 19L.1804	4 NCAC 19L.1805	4 NCAC 19L.1900

3	Other																												
G.	Approved Rule		12:07 NCR 561	919 GOIN 91.C1	12:10 NCR 8/8 12:07 NCR 561		12:03 NCR 213     12:03 NCR 213	12:03 NCR 213	12:03 NCR 213	12:03 NCR 213	12:03 NCR 213	12:03 NCR 213	12:03 NCR 213	12:03 NCR 213															
Effective by	Governor																												
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RRC Status	Date		08/21/97	08/21/97	08/21/97		16/11/90	16/61/90	26/61/90	06/19/97	16/61/90	26/61/90	16/61/90	26/61/90	16/61/90	26/61/90	26/61/90	16/11/90	26/61/90	26/61/90	26/61/90	06/19/97	06/19/97	26/61/90	26/61/90	16/11/90	26/61/90	26/61/90	16/11/90
RRC	Action		Approve	Object	Approve Approve		Approve           Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve															
Fiscal	Note		*	*	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Notice of	Text		11:29 NCR 2182	11:29 NCR 2182	11:29 NCR 2182		11:13 NCR 1040    11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040															
Temporary	Rule																												
Rule-making	Proceedings		10:18 NCR 2398	10:18 NCR 2398	10:18 NCR 2398		10:24 NCR 3056    10:24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056															
Agency/Rule	Citation	redit Union Division	4 NCAC 06C .0205	4 NCAC 06C .0407	4 NCAC 06C .0409	tate Ports Authority	4 NCAC 13A .0101	4 NCAC 13A .0102	4 NCAC 13A .0105	4 NCAC 13A .0202	4 NCAC 13A .0203	4 NCAC 13A .0204	4 NCAC 13B .0001	4 NCAC 13B .0002	4 NCAC 13B .0003	4 NCAC 13B .0004	4 NCAC 13B .0005	4 NCAC 13C .0001	4 NCAC 13D .0101	4 NCAC 13E .0101	4 NCAC 13E.0102	4 NCAC 13E .0103	4 NCAC 13E .0201	4 NCAC 13E.0202	4 NCAC 13E.0301	4 NCAC 13E.0302	4 NCAC 13E.0401	4 NCAC 13E .0402	4 NCAC 13E .0403

12 400	Office																												
7	Approved wate	12:03 NCR 213     12:03 NCR 213		13:32 NCB 2013	12:21 NCR 1886		12:21 NCR 1886	12:21 NCR 1886																					
Effective by	Governor																												
Text differs	proposal																	*								*		*	*
RRC Status	Date	26/61/90	16/61/90	26/61/90	26/16/90	26/61/90	26/61/90	26/61/90	06/19/97	26/61/90	26/61/90	26/61/90	26/61/90	26/61/90	26/61/90	26/61/90		01/15/98	01/15/98	86/51/10	86/51/10	86/51/10	86/\$1/10	86/\$1/10	01/15/98	86/\$1/10		86/\$1/10	01/15/98
RRC	Action	Approve           Approve		Object	Approve		Approve	Approve																					
Fiscal	Note	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*		*	*	*	*	*	*	*	*	*		*	÷
Notice of	Text	11.13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	H:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040	11:13 NCR 1040		12:09 NCR 802		12:09 NCR 802	12:09 NCR 802								
Temporary	Rufe																	11:25 NCR 1919	11:25 NCR 1919			11:25 NCR 1919	11:25 NCR 1919		11:25 NCR 1919	11:25 NCR 1919		11:25 NCR 1919	11:25 NCR 1919
Rulc-making	Proceedings	10:24 NCR 3056	10:24 NCR 3056	10.24 NCR 3056	10:24 NCR 3056	10.24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056	10:24 NCR 3056	10·24 NCR 3056	10.24 NCR 3056	10:24 NCR 3056	EGES.	11-18 NCR 1369	11.18 NCR 1369	11-18 NCR 1369	11:18 NCR 1369	11:18 NCR 1369	11.18 NCR 1369	11:18 NCR 1369	11:18 NCR 1369	11:18 NCR 1369	11:17 NCR 1336	11.18 NCR 1369	11:18 NCR 1369				
Agency/Rule	Citation	4 NCAC 13E .0404	4 NCAC 13E .0405	4 NCAC 13E .0501	4 NCAC 13E .0502	4 NCAC 13E .0601	4 NCAC 13E .0602	4 NCAC 13E .0603	4 NCAC 13E .0701	4 NCAC 13E .0702	4 NCAC 13E .0801	4 NCAC 13E .0803	4 NCAC 13E .0901	4 NCAC 13E .0902	4 NCAC 13F.0301	4 NCAC 13F .0302	OMMUNITY COLLEGES	23 NCAC 01A .0001	23 NCAC 02C .0108	23 NCAC 02C .0202	23 NCAC 02C .0207	23 NCAC 02C .0305	23 NCAC 02C .0604	23 NCAC 02C .0701	23 NCAC 02D .0103	23 NCAC 02D .0201	23 NCAC 02D .0202	23 NCAC 02D .0202	23 NCAC 02D .0203

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
23 NCAC 02D .0301	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Object Approve	01/15/98	*		12-22 NCB 2012	
23 NCAC 02D .0323	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	86/\$1/10			12:21 NCR 1886	
23 NCAC 02D .0324	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	86/\$1/10			12:21 NCR 1886	
23 NCAC 02D .0327	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Object	96/51/10	*		13:32 NCB 3012	
23 NCAC 02E .0101	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	01/12/98			12:21 NCR 1886	
23 NCAC 02E .0102	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Арргоче	86/51/10			12:21 NCR 1886	
23 NCAC 02E .0201	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	01/15/98			12:21 NCR 1886	
23 NCAC 02E .0203	10:24 NCR 3058		11:09 NCR 585	*	Ohject	26/91/10	•		4014	
23 NCAC 02E .0203	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve Approve	02/20/9/ 10/15/98	*		11:24 NCK 1832 12:21 NCR 1886	
23 NCAC 02E .0204	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	86/51/10			12:21 NCR 1886	
23 NCAC 02E .0205	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	01/15/98	*		12:21 NCR 1886	
23 NCAC 02E .0501	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	86/51/10			12:21 NCR 1886	
23 NCAC 02E .0604	11:18 NCR 1369	11:25 NCR 1919	12:09 NCR 802	*	Approve	01/15/98			12:21 NCR 1886	
COSMETIC ART EXAMINERS	AMINERS									
21 NCAC 14A .0101	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 14B .0605	12:06 NCR 925		12:11 NCR 925	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 14G .0103	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 14G .0107	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 14G .0113	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 1411.0105	12:06 NCR 453		12:11 NCR 925	*	Object	03/20/98	*			
21 NCAC 14H .0113	12:06 NCR 453		12:11 NCR 925	*	Арргоvе Арргоvе	03/20/98	•		12:23 NCR 2100	
21 NCAC 14H .0118	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 14H .0119	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 141 .0104	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 141.0105	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 141.0107	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 141.0107	12:22 NCR 1981									

	Approved Rule Other	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100		12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100		12:23 NCR 2100		12:23 NCR 2100		12:23 NCR 2100	12:23 NCR 2100	
Text differs Effective by	from proposal Governor	*			*			*												4	•		* *			*	
RRC Status	Date	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98		03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	04/15/98 03/20/98	03/20/98	04/15/98 03/20/98		03/20/98	03/20/98	80/02/10
RRC	Action	Approve	Approve	Approve	Approve	Approve		Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Object	Approve Approve	Object	Approve Approve		Approve	Approve	Ohioot
Fiscal	Note	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Notice of	Text	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12.11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12.11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12.11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12:11 NCR 925	12-11 NCP 025					
Temporary	Rule																										
Rule-making	Proceedings	12 06 NCR 453	12:06 NCR 453	12.06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12.06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 NCR 453	12.06 NCR 453	12:06 NCR 453	12:06 NCR 453	12.06 NCR 453	12:06 NCR 453	12:06 NCR 453	12.06 NCR 453	12:06 NCR 453	12:06 NCR 453	12:06 N/CP 453
Agency/Rule	Citation	21 NCAC 141 0109	21 NCAC 141 0401	21 NCAC 14J 0102	21 NCAC 14J .0103	21 NCAC 14J 0104	21 NCAC 14J .0105	21 NCAC 14J 0202	21 NCAC 14J .0204	21 NCAC 14J ,0205	21 NCAC 14J .0206	21 NCAC 14J .0207	21 NCAC 14J .0303	21 NCAC 14J .0306	21 NCAC 14J 0307	21 NCAC 14J .0401	21 NCAC 141 0402	21 NCAC 14J .0403	21 NCAC 14J .0404	21 NCAC 14J .0501	21 NCAC 14K .0101	21 NCAC 14K .0103	21 NCAC 141, 0101	21 NCAC 14L .0105	21 NCAC 141, .0108	21 NCAC 14L .0214	21 NCAC 14N 0102

Agency/Rule	Rulc-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	rrom proposal	Governor	Approved Kule	Other
21 NCAC 14N .0104	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12;23 NCR 2100	
21 NCAC 14N .0105	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 14N .0107	12:06 NCR 453		12:11 NCR 925	*	Object	03/20/98	*			
21 NCAC 14N .0108	12:06 NCR 453		12:11 NCR 925	*	Approve Approve	03/20/98	•		12:23 NCR 2100	
21 NCAC 14N .0113	12:06 NCR 453		12:11 NCR 925	*	Object	03/20/98	*			
CRIME CONTROL & PUBLIC SAFETY	& PUBLIC SAFET	<b>&gt;</b>			Approve	04/15/98	•			
Governor's Crime Commission	ssion									
14A NCAC 07 .0313 11:24 NCR 1818	11:24 NCR 1818		12:01 NCR 6	*						
CULTURAL RESOURCES	RCES									
North Carolina Historical Commission	al Commission									
7 NCAC 04R .0909	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	S	Object	03/20/98	*			
7 NCAC 04R .0910	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	S	Approve Object	04/13/98				
7 NCAC 04R 0911	12:06 NCR 444	12-13 NCR 1174	12-13 NCR 1174	v	Approve Object	04/15/98	*			
TOTAL OFFICE	12:00 NCM	17.13.13.13.13.13.13.13.13.13.13.13.13.13.		ĵ.	Approve	04/15/98	*			
7 NCAC 04R .0912	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	S	Object	03/20/98	*			
7 NCAC 04R .0913	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	s	Object	03/20/98				
7 NCAC 04R .0914	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	S	Approve Object	03/20/98	•			
7 NCAC 04R .0915	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	S	Approve Object	03/20/98				
USS North Carolina Battleship Commission	tleship Commission				Approve	04/13/98	•			
7 NCAC 05 .0203		11:19 NCR 1436 Temp Expired								
DENTAL EXAMINERS	RS	12:16 NCR 1511	12:16 NCR 1511	S/L	Approve	04/15/98				
21 NCAC 16B .0303	11:20 NCR 1538		11:25 NCR 1915	*	Approve	16/81/60			12:10 NCR 878	
21 NCAC 161.0001	11:20 NCR 1538		11:25 NCR 1915	*	Object	76/81/60	41		13:11 NCB 647	
21 NCAC 161 .0002	11:20 NCR 1538		11:25 NCR 1915	*	Approve 10/16/9/ Extended review 09/18/97	to/16/97 cw 09/18/97			12:11:14CR 947	
					Approve	11/20/97	¥		12:16 NCR 1521	

Agenev/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC Status	fatus	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	irom proposal	Governor	Approved Kule	Other
21 NCAC 161.0003	11:20 NCR 1538		11:25 NCR 1915	*	Approve	26/81/60			12:10 NCR 878	
21 NCAC 161 .0004	11:20 NCR 1538									
21 NCAC 161 0005	11:20 NCR 1538									
21 NCAC 161.0006	11:20 NCR 1538		11:25 NCR 1915	*	Approve	26/81/60			12:10 NCR 878	
21 NCAC 16M 0001	11:20 NCR 1538		11:25 NCR 1915	*	Approve	26/81/60			12:10 NCR 878	
21 NCAC 16M .0003	11:20 NCR 1538		11:25 NCR 1915	*	Object	26/81/60	4			
21 NCAC 16R .0001	11:20 NCR 1538		11:25 NCR 1915	*	Approve 10/16/97 Extended review 09/18/97	10/16/9/ w 09/18/97	<b>←</b> -1		12:11 NCK 947	
21 NCAC 16R .0002	11:20 NCR 1538				Approve	/ 6/07/11	*		12:16 NCK 1521	
21 NCAC 16R .0003	11:20 NCR 1538									
21 NCAC 16R .0004	11:20 NCR 1538		11:25 NCR 1915	*	Approve	26/81/60			12:10 NCR 878	
21 NCAC 16R .0005	11:20 NCR 1538									
21 NCAC 16V .0101	10:16 NCR 2043		11:20 NCR 1556	*	Approve	03/20/98	*		12:23 NCR 2100	Notice Subject Matter
21 NCAC 16V .0102	10:16 NCR 2043		11:20 NCR 1556	*	Approve	03/20/98	*		12:23 NCR 2100	Notice Subject Matter
ELECTRICAL CONTRACTORS, EXAMINERS OF	TRACTORS, EXA	MINERS OF								
21 NCAC 1813	12:22 NCR 1982									
21 NCAC 18B .0107	N/A	N/A	N/A		Approve	03/20/98			12:23 NCR 2100	
21 NCAC 18B .0209	N/A	N/A	N/A		Approve	04/15/98				
21 NCAC 18B .0404	N/A	N/A	N/A		Approve	04/15/98				
21 NCAC 1813.0802	N/A	N/A	N/A		Approve	04/15/98				
21 NCAC 18B .0904	N/A	N/A	N/A		Approve	03/20/98			12:23 NCR 2100	
EMPLOYEE ASSISTANCE PROFESSIONALS, BOARD OF	TANCE PROFESS	HONALS, BOARD	OF							
21 NCAC 11 .0101	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0102	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0103	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0104	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 0105	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0106	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0107	12:19 NCR 1764	12:21 NCR 1884		S/L						

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by	-	i
Citation	Proceedings	Rule	Text	Note	Action	Date	from	Governor	Approved Rule	Other
21 NCAC 11,0108	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0109	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0110	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11 .0111	12:19 NCR 1764	12:21 NCR 1884		S/L						
21 NCAC 11.0112	12:19 NCR 1764	12:21 NCR 1884		S/L						
ENVIRONMENT AND NATURAL RESOURCES	ID NATURAL RE	SOURCES								
Notice of Intent to Redevelop a Brownfields Property	evelop a Brownfields	Property								12:10 NCR 864
15A Public Notice - Division of Water Quality	vision of Water Qual	lity								12:03 NCR 112
15A Administrative Or	der on Consent - Div	15A Administrative Order on Consent - Division of Waste Management	nent							12:03 NCR 158
15A NCAC 01J .0401	12:08 NCR 614	12:09 NCR 833	12:14 NCR 1266	*	Approve	04/15/98				
15A NCAC 01J.0402	12:08 NCR 614	12:09 NCR 833	12:14 NCR 1266	*	Approve	04/15/98				
15A NCAC 01K	10:19 NCR 2506									
15A NCAC 01M .0101	_	11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0102	^:	11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0201	_	11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0202	<b>A</b> 1	11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0301	_	11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0302	•	11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0303		11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0304	_	11:19 NCR 1439	Temp Expired							
15A NCAC 01M .0305	16	11:19 NCR 1439	Temp Expired							
15A NCAC 01M,0306		11:19 NCR 1439	Temp Expired							
15A NCAC 01N .0101	12:08 NCR 614	12:16 NCR 1511								
15A NCAC 01N .0102	12:08 NCR 614	12:16 NCR 1511								
15A NCAC 01N .0103	12:08 NCR 614	12:16 NCR 1511								
15A NCAC 01N .0201	12:08 NCR 614	12:16 NCR 1511								
15A NCAC 01N .0202	12:08 NCR 614	12:16 NCR 1511								
15A NCAC 01N .0203	12:08 NCR 614	12:16 NCR 1511								

Other
Approved Rule
Effective by Governor
Text differs from proposal
RRC Status Action Date
Fiscal Note
Notice of Text
Temporary N
Rule-making Proceedings
Agency/Rule Citation

	12:16 NCR 1511	12.16 NCR 1511	12:16 NCR 1511	12.16 NCR 1511	12:16 NCR 1511	12.16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12·16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12.16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:16 NCR 1511	12:17 NCR 1617	12:17 NCR 1617	12:17 NCR 1617
	12.08 NCR 614	12.08 NCR 614	12 08 NCR 614	12.08 NCR 614	12 08 NCR 614	12.08 NCR 614	12.08 NCR 614	12.08 NCR 614	12.08 NCR 614	12.08 NCR 614	12:08 NCR 614	12 08 NCR 614	12.08 NCR 614	12 08 NCR 614	12:08 NCR 614	12.08 NCR 614	12:08 NCR 614	12.08 NCR 614	12:08 NCR 614	12 08 NCR 614	12.08 NCR 614	12.08 NCR 614	12.08 NCR 614	12.08 NCR 614	12:08 NCR 614	12:16 NCR 1482	12:16 NCR 1482	12-16 NCR 1482
	15A NCAC 01N 0301 12.08 NCR 614	15A NCAC 01N .0302	15A NCAC 01N 0303	15A NCAC 01N .0304	15A NCAC 01N 0401	15A NCAC 01N .0402	15A NCAC 01N 0403	15A NCAC 01N ,0501	15A NCAC 01N .0502	15A NCAC 01N .0503	15A NCAC 01N ,0601	15A NCAC 01N 0602	15A NCAC 01N .0603	15A NCAC 01N 0604	15A NCAC 01N .0605	15A NCAC 01N .0606	15A NCAC 01N .0701	15A NCAC 01N .0702	15A NCAC 01N 0703	15A NCAC 01N .0704	15A NCAC 01N 0705	15A NCAC 01N .0801	15A NCAC 01N ,0802	15A NCAC 01N ,0901	15A NCAC 01N ,0902	15A NCAC 01O 0101	15A NCAC 010 .0102	15A NCAC 01O 0103 12.16 NCR 1482
_			2. 4																									

Rule-making Temporary Notice of Fiscal RRC Status Text differs  Froeeedings Rule Text differs  Action Date proposal	Notice of Fiscal RRC Status Text Note Action Date
12:16 NCR 1482 12:17 NCR 1617 12:16 NCR 1482 12:17 NCR 1617 12:16 NCR 1482 12:17 NCR 1617	12:17 NCR 1617 12:17 NCR 1617 12:17 NCR 1617
12:16 NCR 1482 12:17 NCR 1617 12:16 NCR 1482 12:17 NCR 1617	12:17 NCR 1617 12:17 NCR 1617
12:16 NCR 1482 12:17 NCR 1617	12:17 NCR 1617
12:03 NCR 209 12:15 NCR 1451	12:03 NCR 209 12:15 NCR 1451
12:02 NCR 52 12:03 NCR 209 12:14 NCR 1266 S/L Approve 03/20/98	12:14 NCR 1266 S/L Approve
Coastal Resources Commission	
15A NCAC 07H .0106 11:19 NCR 1408 11:27 NCR 2058 * State Budget 12/18/97 Extend Review 01/15/98	* State Budget Extend Review
-	* State Budget
Extend Keview Approve	Extend Keview Approve
lget cview	* State Budget Extend Review
Approve 02/19/98 11:22 NCR 1704 agency withdrew	Approve
11:22 NCR 1704 * State Budget 12/18/97	* State Budget
Exterior Buaget Approve	Exterior Buaget Approve
15A NCAC 07H .0205 11:22 NCR 1704 11:27 NCR 2058 * State Budget 12/18/97 Extend Review 01/15/98	* State Budget Extend Review
Approve 02/19/98	Approve * State Budget
Extend Review	Extend Review
Approve 02/19/98 11:22 NCR 1704 agency withdrew	Approve
	1 1 1 2 2
11:22 NCR 1704	* State Budget Extend Review
* * * * * * * * * * * * * * * * * * *	* Approve
15A NCAC 07H .0208 11:04 NCK 183 11:11 NCK 907 + Object 08/21/97 Approve 09/18/97	Approve

	Other																							
	Approved Rule					12:11 NCR 947	12:11 NCR 947				11:22 NCR 1717			381 SON 1001	0001 11717	12:07 NCR 561	950 GUN 01:01	12:07 NCR 561		2801 GON 16.61	15.21 NCN 1930	) or 100 kg	12:21 NC K 1886	
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RRC Status	Date					10/16/97	10/16/97				26/91/10			11/20/97 12/18/97	0.00100	08/21/97	08/21/97	09/16/97		11/20/97	92/01/10	11/20/97	86/01/10	11/20/97
RRC	Action					Approve	Approve				Approve			Object Object Approve	a coulde	Approve	Object	Approve		Object Object	acoude	Ohjeet Ohjeet	Approve	Object
Fiscal	Note	*				x	x	*			*	s		*		*	*	*		*		*		*
Notice of	Text	11:27 NCR 2058				11:27 NCR 2069	11:27 NCR 2069	11:41 NCR 907			11:12 NCR 981	12:20 NCR 1828		11:11 NCR 907		11.11 NCR 907	11:11 NCR 907	11.11 NCR 907		11:41 NCR 907		11:11 NCR 907		H:11 NCR 907
Temporary	Rule					11 15 NCR 1226 Temp Expired	12:08 NCR 726 11:15 NCR 1226 Temp Expired	12:08 NCR 726																
Rufe-making	Proceedings	11-19 NCR 1408	12:21 NCR 1873	12:21 NCR 1873	12:02 NCR 52	11:15 NCR 1200	11.15 NCR 1200	11:04 NCR 183	12:19 NCR 1763	12:16 NCR 1489	11:08 NCR 442	12:11 NCR 919	12:21 NCR 1873	11:04 NCR 183	12:21 NCR 1873	11.04 NCR 183	11:04 NCR 183	11:04 NCR 183	12:21 NCR 1873	11:04 NCR 183	12:21 NCR 1873	11:04 NCR 183	12:21 NCR 1873	11:04 NCR 183
Aoenev/Rufe	Citation	15A NCAC 07H .0208	15A NCAC 07H .0208	15A NCAC 07H .0209	15A NCAC 07H .0210	15A NCAC 0711.0304	15A NCAC 0711.0305 11.15 NCR 1200	15A NCAC 07H .0306 11:04 NCR 183	15A NCAC 07H .0306	15A NCAC 0711.0308	15A NCAC 07H .0309	15A NCAC 0711.0310	15A NCAC 07H.1100	15A NCAC 0711, 1104	15A NCAC 07H 1200	15A NCAC 0711.1202	15A NCAC 0711.1204	15A NCAC 0711:1205	15A NCAC 07II.1300	15A NCAC 07H 1304	15A NCAC 07H .1400	15A NCAC 0711,1404	15A NCAC 0711,1500 - 12:21 NCR 1873	15A NCAC 07H .1504

				BRC	RRC Status	Text differe			
Temporary		Notice of	Fiscal		Status	from	Effective by	Approved Rule	Other
Kule		lext	Note	Action	Date	proposal	Governor		
				Ohiect	12/18/07				
				Approve	01/15/98	*		12:21 NCR 1886	
		11:27 NCR 2071	*	Approve	04/15/98				
		11:27 NCR 2071	*	Approve	04/15/98	*			
1	_	11:27 NCR 2071	*	Approve	04/15/98	*			
_	_	11:11 NCR 907	*	Object Object	11/20/97				
				Approve	01/15/98	*		12:21 NCR 1886	
-	_	11:11 NCR 907	*	Object	11/20/97				
				Object Approve	12/18/97 01/15/98	*		12:21 NCR 1886	
Ξ	=	11:11 NCR 907	*	Object Object	11/20/97				
				Approve	86/\$1/10	*		12:21 NCR 1886	
_	_	II:11 NCR 907	*	Object Object	11/20/97				
				Approve	01/15/98	*		12:21 NCR 1886	
_	_	11:11 NCR 907	*	Object Object	11/20/97 12/18/97				
				Approve	01/15/98	*		12:21 NCR 1886	
=	=	11:11 NCR 907	*	Approve	08/21/97	*		12:07 NCR 561	

Agency/Rule	Rulc-making	Тепрогагу	Notice of	Fiscal	RRC Status	atus	Text differs	Effective by		ONE
Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kule	Officer
15A NCAC 07M .0301 -10.16B NCR 1921	10.16B NCR 1921		11:11 NCR 907	*	Approve	11/20/97	*		12:16 NCR 1521	
15A NCAC 07M .0302	2 10:16B NCR 1921		11:11 NCR 907	*	Approve	11/20/97			12:16 NCR 1521	
15A NCAC 07M .0303 - 10:16B NCR 1921	10:16B NCR 1921		11:11 NCR 907	*	Object	11/20/97	*		13:12 NCB 1630	
15A NCAC 07M .0304 - 10.16B NCR 192	10:16B NCR 1921		11:11 NCR 907	*	Approve	11/20/97			12:16 NCR 1521	
15A NCAC 07M_0305 10:16B NCR 192	: 10:16B NCR 1921		11:11 NCR 907	*	Approve	11/20/97			12:16 NCR 1521	
15A NCAC 07M .0306 10 16B NCR 1921	10 16B NCR 1921		11:11 NCR 907	*	Approve	11/20/97	*		12:16 NCR 1521	
15A NCAC 07M .0307 10:16B NCR 1921	7 10:16B NCR 1921		H:11 NCR 907	*	Approve	11/20/97			12:16 NCR 1521	
15A NCAC 07M .0308	3 10:16B NCR 1921		11:11 NCR 907	*	Approve	11/20/97			12:16 NCR 1521	
15A NCAC 07M .0309 10:16B NCR 192	10:16B NCR 1921		11:11 NCR 907	*	Approve	11/20/97	*		12:16 NCR 1521	
15A NCAC 07M .0401	10:18 NCR 2317		11:11 NCR 931	*	Approve	01/16/97	*			
15A NCAC 07M .0402	2 10:18 NCR 2317		11:11 NCR 931	*	Approve	01/16/97	*			
15A NCAC 07M .0403	3 10:18 NCR 2317		11:11 NCR 931	*	Object	26/91/10	*		C601 GDM FC-11	
15A NCAC 07M .1201 11:19 NCR 1408	11:19 NCR 1408		11:27 NCR 2058	*	Approve State Budget Extend Descious	12/18/97	÷		11.24 NCK 1832	
15A NCAC 07M .1202 11:19 NCR 1408	H119 NCR 1408		11:27 NCR 2058	*	Approve State Budget	02/19/98 12/18/97	*		12:22 NCR 2012	
					Extend Review Approve	01/15/98 02/19/98	*		12:22 NCR 2012	
Environmental Management Commission	nent Commission									
NPDES Permits										12:13 NCR 1093
15A NCAC 02	10:24 NCR 3045									
ISA NCAC 02	11.04 NCR 183									
15A NCAC 02	11:19 NCR 1408									
15A NCAC 02B .0101	11:24 NCR 1818		11:30 NCR 2303	*						
15A NCAC 02B .0202	11:24 NCR 1818		11:30 NCR 2303	*						
15A NCAC 02B .0202	11:02 NCR 75		12:06 NCR 462	S/L/SE	Approve	01/15/98	*		12:21 NCR 1886	
15A NCAC 02B,0223	11.02 NCR 75									
15A NCAC 02B .0223	11:03 NCR 109									
15A NCAC 0213 .0224	10:18 NCR 2400		11:12 NCR 973	*	Approve	02/19/98	*		12:22 NCR 2012	
15A NCAC 02B .0227	10:18 NCR 2400		11:12 NCR 973	*						

11-20 NCR 75   11-10 NCR 2303   1-1   11-10 NCR 2303   1-1   11-10 NCR 2303   1-1   11-10 NCR 2303   1-1   11-10 NCR 2303   11-10 NCR 2304	Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		100	
11-30 NCR 2303   1-1-40 NCR 824   1-1-5E   1-1-40 NCR 824   1-1-40 NCR 8	ation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kuie	Office	
1130 NCR 2343   1.56   1.150 NCR 2343   1.56   1.150 NCR 2343   1.56   1.150 NCR 2344   1.56   1.150 NCR 2344   1.5   0.00ject   0.01/15/98   1.150 NCR 2345   1.150 NCR 1345   1.150 NCR 1345   1.150 NCR 1345   1.150 NCR 1345   1.150 NCR 2345   1.150 NCR 235   1.150 NCR 235												
11-10 NCR R24	15A NCAC 02B .0230			11:30 NCR 2303	*							
11-14 NCR 1136   11-15 NCR 82.4   L. Object   01/1598   P.   12-22 NCR 2012   11-14 NCR 1136   L. Approve   02/1998   P.	15A NCAC 02B .0231			11:10 NCR 824 11:14 NCR 1136	I/SE							
12-15 MCR 517   12-15 MCR 1136   12-15 MCR 1134   12-15	15A NCAC 02B .0232			11:10 NCR 824	J	Object Approve	86/51/10	*		12:22 NCR 2012		
12.02 NCR 1348   1.11 H NCR 1136   1. Approve   02/19/98   .   12.22 NCR 2012   12.21 H NCR 1348   1.20 NCR 824   .   Approve   02/19/98   .   12.22 NCR 2012   11.14 NCR 1136   .   Approve   02/19/98   .     12.22 NCR 2012   12.06 NCR 824   .   Approve   02/19/98   .     12.22 NCR 2012   12.06 NCR 462   .     Approve   02/19/98   .     12.22 NCR 2012   12.06 NCR 462   .     Approve   02/19/98   .     12.22 NCR 2012     12.22 NCR 2012     12.22 NCR 462   .     Approve   02/19/98   .     12.22 NCR 2012     12.22 NCR 2012     12.22 NCR 2012     12.22 NCR 2012	AC 02B 0233	11:02 NCR 75		H:14 NCR 1136 12:06 NCR 462 11:10 NCR 824	S/L/SE L	Object	86/51/10					
12:14 NCR 1348			12:02 NCR 77	11:14 NCR 1136	ı	Approve	02/19/98	*		12:22 NCR 2012		
12:14 NCR 1348   11:10 NCR 824   ** Object   07/15/98   **   12:22 NCR 2012   12:14 NCR 1136   ** Object   07/15/98   **   12:22 NCR 2012   12:06 NCR 462   ** Approve   02/19/98   **   12:22 NCR 2012   12:06 NCR 462   S1/SE   Approve   07/15/98   **   12:22 NCR 2012   12:06 NCR 462   S1/SE   Approve   07/15/98   **   12:22 NCR 2012   12:06 NCR 462   S1/SE   Approve   07/15/98   **   12:22 NCR 2012   12:20 NCR 462   S1/SE   Approve   07/15/98   **   12:22 NCR 2012   12:22 NCR 2012   12:22 NCR 2012   12:20 NCR 462   S1/SE   Approve   07/15/98   **   12:21 NCR 1886   12:21 NCR 1886   12:21 NCR 1846   12:20 NCR 61   **   Approve   07/15/98   **   12:21 NCR 1886			12:14 NCR 1348									
11:14 NCR   136	AC 02B .0234	11:02 NCR 75	12:14 NCR 1348	12:06 NCR 462 11:10 NCR 824	S/L/SE *	Object	86/51/10	H		Cloc doly co.c.		
	AC 02B .0235	11:02 NCR 75		11:14 NCR 1136 12:06 NCR 462 11:10 NCR 824	S/L/SE *	Approve Object	01/15/98			12.22 INC.R 2012		
11:10 NCR 824				11:14 NCR 1136 12:06 NCR 462	S/I/SE	Approve	02/19/98	*		12:22 NCR 2012		
12.06 NCR 462         S/L/SE         Approve         01/15/98         *         12.21 NCR 1886           11.02 NCR 75         12.06 NCR 462         S/L/SE         Object         01/15/98         *         12.22 NCR 2012           11.02 NCR 75         12.06 NCR 462         S/L/SE         Approve         02/19/98         *         12.22 NCR 2012           11.02 NCR 75         12.06 NCR 462         S/L/SE         Approve         02/19/98         *         12.22 NCR 2012           11.02 NCR 75         12.06 NCR 462         *         Approve         02/19/98         *         12.22 NCR 2012           11.24 NCR 1818         12.01 NCR 6         *         Approve         02/19/98         *         12.21 NCR 1886           11.26 NCR 1934         12.05 NCR 414         *         Approve         03/20/98         *         12.23 NCR 2100           11.26 NCR 1934         12.01 NCR 6         *         Approve         01/15/98         *         12.23 NCR 1886           11.26 NCR 1934         12.01 NCR 6         *         Approve         01/15/98         *         12.21 NCR 1886           11.26 NCR 1934         11.26 NCR 1934         *         Approve         01/15/98         *         12.21 NCR 1886	AC 02B .0236	11:02 NCR 75		11:10 NCR 824 11:14 NCR 1136								
11.02 NCR 75	AC 02B .0238	11:02 NCR 75		12:06 NCR 462 12:06 NCR 462	S/L/SE S/L/SE	Approve Object	86/51/10	*		12:21 NCR 1886		
11:02 NCR 75	AC 02B .0239			12:06 NCR 462	S/L/SE	Approve Object	02/19/98 01/15/98	*		12:22 NCR 2012		
10:18 NCR 2400         11:12 NCR 973         *         Approve         02/19/98         *         12:22 NCR 2012           11:24 NCR 1818         12:01 NCR 6         *         Approve         01/15/98         *         12:21 NCR 1886           11:26 NCR 1934         12:01 NCR 6         *         Approve         02/19/98         *         12:22 NCR 2012           11:26 NCR 1934         *         Approve         01/15/98         *         12:21 NCR 1886           11:26 NCR 1934         *         Approve         01/15/98         *         12:21 NCR 1886           11:26 NCR 1934         *         Approve         01/15/98         *         12:21 NCR 1886           11:26 NCR 1934         *         Approve         01/15/98         *         12:21 NCR 1886	AC 02B .0240			12:06 NCR 462	S/L/SE	Approve Approve	02/19/98 01/15/98	* *		12:22 NCR 2012 12:21 NCR 1886		
11:24 NCR 1818         12:01 NCR 6         *         Approve         01/15/98         *         12:21 NCR 1886           11:26 NCR 1976         12:01 NCR 6         S         Approve         02/19/98         *         12:22 NCR 2012           11:26 NCR 1984         12:01 NCR 6         *         Approve         01/15/98         *         12:23 NCR 2100           11:26 NCR 1976         12:01 NCR 6         *         Approve         01/15/98         *         12:21 NCR 1886           11:26 NCR 1976         12:01 NCR 6         *         Approve         01/15/98         *         12:21 NCR 1886           11:26 NCR 1976         12:01 NCR 1984         *         Approve         01/15/98         *         12:21 NCR 1886	15A NCAC 02B .0303	10:18 NCR 2400		11:12 NCR 973	*	Approve	02/19/98	*		12:22 NCR 2012		
11.26 NCR 1976   12.01 NCR 6   S Approve   02/19/98   *	15A NCAC 02B .0304	11:24 NCR 1818		12:01 NCR 6	*	Approve	01/15/98	*		12:21 NCR 1886		
11:26 NCR 1984       *       Approve       03/20/98       *       12:23 NCR 2100         11:20 NCR 1534       12:01 NCR 6       *       Approve       01/15/98       *       12:21 NCR 1886         11:26 NCR 1984       12:01 NCR 6       *       Approve       01/15/98       *       12:21 NCR 1886         11:26 NCR 1976       *       Approve       01/15/98       *       12:21 NCR 1886	AC 02B .0304	11:26 NCR 1976		12:01 NCR 6	S	Approve	02/19/98	*		12:22 NCR 2012		
11:26 NCR 1976       *       Approve       01/15/98       *       12:21 NCR 1886         11:26 NCR 1984       *       Approve       01/15/98       *       12:21 NCR 1886         11:26 NCR 1976       *       Approve       01/15/98       *       12:21 NCR 1886	15A NCAC 02B .0305	11:26 NCR 1984 11:20 NCR 1534		12:05 NCR 414	*	Approve	03/20/98	*		12:23 NCR 2100		
11:26 NCR 1984 11:26 NCR 1976 12:01 NCR 6 * Approve 01/15/98 * 11:26 NCR 1984	15A NCAC 02B .0306	11:26 NCR 1976		12:01 NCR 6	*	Approve	01/15/98	*		12:21 NCR 1886	Extend Com Period 12:13 NCR 1095	
11:26 NCR 1976 12:01 NCR 6 * Approve 01/15/98 * 11:26 NCR 1984		11:26 NCR 1984										
	AC 02B .0307	11:26 NCR 1976 11:26 NCR 1984		12:01 NCR 6	*	Approve	86/51/10	*		12:21 NCR 1886		

Agency/Rule	Rufe-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		
Citation	Proceedings	Rulc	Text	Note	Action	Date	proposal	Governor	Approved Kuie	Other
15A NCAC 0213 .0308	11:20 NCR 1534		11:28 NCR 2121	*	Approve	02/19/98	*		12:22 NCR 2012	
15A NCAC 0213 0308	11:26 NCR 1976 11:26 NCR 1984		12:01 NCR 6	*	Approve	01/15/98	* *		12:21 NCR 1886 12:23 NCR 2100	Extend Com Period 12-13 NCR 1095
15A NCAC 02B .0308	12.12 NCR 993		12:21 NCR 1879	* -						
15A NCAC 02B .0308	12:14 NCR 1233		12:19 NCR 1769	2*						
15A NCAC 02B 0308	12:16 NCR 1489									
15A NCAC 02B :0309			12:01 NCR 6	*	Approve	86/\$1/10	*		12:21 NCR 1886	
15A NCAC 02B ,0309	11:26 NCR 1984 12:14 NCR 1233		12:19 NCR 1769	*						
15A NCAC 02B .0311	H.26 NCR 1976		12:01 NCR 6	*	Approve	86/\$1/10	*		12:21 NCR 1886	
15A NCAC 02B ,0311	12:10 NCR 865		12:20 NCR 1825	*						
15A NCAC 02B 0311	12:23 NCR 2088									
15A NCAC 02B .0313	11:24 NCR 1818		12.05 NCR 416	*	Αρριονο	02/19/98	*		12:22 NCR 2012	
15A NCAC 02B .0313	11;26 NCR 1976		12:01 NCR 6	*	Approve	03/20/08	*		12:23 NCR 2100	Extend Com. Period
15A NCAC 02B 0313	11:26 NCR 1984 12:10 NCR 865		12:20 NCR 1825	*						12:13 NCR 1095
15A NCAC 02B .0315	H:24 NCR 1818		12:07 NCR 515	_	Approve	03/20/98	*		12:23 NCR 2100	
15A NCAC 02B 0316	11:20 NCR 1534									
15A NCAC 0213 .0316	H:26 NCR 1976		12:01 NCR 6	*	Approve	86/51/10	*		12:21 NCR 1886	Extend Com. Period
PICA CICA WAYNA A 21	H.26 NCR 1984		A GOTA MACE	*	·	00/21/10	*		2001 GON ICCI	IZIS NCK 1093
13/A INC/AC 0218 ,0317	11:26 NCR 1984		12:01 INCK 0	•	opplace	01/13/30			12.21 INC N 1080	
15A NCAC 02D 0101	H.15 NCR 1200		12:04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02D .0101	12:02 NCIR 52									
15A NCAC 02D ,0101	12:16 NCR 1482									
15A NCAC 02D .0104	H:15 NCR 1200		12.04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02D .0105	H:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .0105			V/Z		Approve	04/12/98				
15A NCAC 02D .0108	H:15 NCR 1200									
15A NCAC 02D .0202	H:15 NCR 1200		12:04 NCR 270	*	Approve	86/\$1/10			12:21 NCR 1886	
15A NCAC 02D .0302	H:15 NCR 1200		12:04 NCR 270	*	Approve	86/51/10	*		12:21 NCR 1886	

Other
, Approved Rule
Effective by Governor
Text differs from proposal
RRC Status n Date
RRC Action
Fiscal Note
Notice of Text
Temporary Rule
Rulc-making Proceedings
Agency/Rule Citation

		12:23 NCR 2100 12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100	12:23 NCR 2100			12:23 NCR 2100			12:21 NCR 1886	11:29 NCR 2211		12:23 NCR 2100			
		*		*	*	*											*	*			
		03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98			03/20/98			01/15/98	04/17/97	04/15/98	03/20/98			
		Approve Approve	Approve			Approve			Approve	Approve	Approve	Approve									
	*	* *	*	*	*	*	*	*	*			*			*	*	*	*	*	*	*
	12:22 NCR 1983	12:10 NCR 867 12:10 NCR 867	12:10 NCR 867	12:10 NCR 867	12:10 NCR 867	12:10 NCR 867	12:10 NCR 867	12:10 NCR 867	12:10 NCR 867			12:10 NCR 867			12:04 NCR 270	11:16 NCR 1271	12:08 NCR 650	12:10 NCR 867	12:22 NCR 1983	12:22 NCR 1983	12:22 NCR 1983
15A NCAC 02D.0307 11:15 NCR 1200 15A NCAC 02D.0405 12:16 NCR 1482 15A NCAC 02D.0409 12:16 NCR 1482 15A NCAC 02D.0410 12:16 NCR 1482	ISA NCAC 02D.0501 10:18 NCR 2318 ISA NCAC 02D.0501 11:15 NCR 1200 ISA NCAC 02D.0501 11:04 NCR 183	15A NCAC 02D .0506 10:18 NCR 2318 15A NCAC 02D .0507 10:18 NCR 2318	ISA NCAC 02D .0508 10;18 NCR 2318	15A NCAC 02D .0509 10:18 NCR 2318	15A NCAC 02D .0510 10:18 NCR 2318	15A NCAC 02D .0511 10:18 NCR 2318	15A NCAC 02D .0513 10:18 NCR 2318	15A NCAC 02D .0514 10:18 NCR 2318	15A NCAC 02D .0515 10:18 NCR 2318	15A NCAC 02D .0518 11:19 NCR 1408	15A NCAC 02D .0521 11:15 NCR 1200	15A NCAC 02D .0521 11:04 NCR 183	15A NCAC 02D .0524 11:15 NCR 1200	ISA NCAC 02D .0525 11:15 NCR 1200	ISA NCAC 02D .0531 11:15 NCR 1200	15A NCAC 02D .0535 10:18 NCR 2317	10:18 NCR 2317	15A NCAC 02D .0540 10:18 NCR 2318	15A NCAC 02D .0601 10:18 NCR 2318	15A NCAC 02D ,0602 10:18 NCR 2318	ISA NCAC 02D .0604 10:18 NCR 2318

	Approved Rule Other																								12:23 NCR 2100				
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RRC Status	Date																								03/20/98				
RRC	Action																								Approve				
Fiscal	Note		*	*	*	*	*		*		*		*		*		*				*				*				
Notice of	Text		12:22 NCR 1983				12:22 NCR 1983				12:10 NCR 867																		
Temporary	Rule																												
Rufe-making	Proceedings		10.18 NCR 2318	10:18 NCR 2318	10:18 NCR 2318	10:18 NCR 2318	10:18 NCR 2318	11.15 NCR 1200	10·18 NCR 2318	11:15 NCR 1200	10-18 NCR 2318	11:15 NCR 1200	10.18 NCR 2318	HH5 NCR 1200	10:18 NCR 2318	11.15 NCR 1200	10:18 NCR 2318	11:15 NCR 1200	11:26 NCR 1976	11:19 NCR 1408	10:18 NCR 2318	11-15 NCR 1200	11.19 NCR 1408	11:15 NCR 1200	11:15 NCR 1200	11:19 NCR 1408	11:19 NCR 1408	H.19 NCR 1408	11-10 NCB 1.109
Agency/Rule	Citation		15A NCAC 02D .0605	15A NCAC 02D .0606	15A NCAC 02D .0607	15A NCAC 02D .0608	15A NCAC 02D .0610	15A NCAC 02D .0610	15A NCAC 02D .0611	15A NCAC 02D .0611	15A NCAC 02D .0612	15A NCAC 02D .0612	15A NCAC 02D .0613	15A NCAC 02D .0613	15A NCAC 02D .0614	15A NCAC 02D .0614	15A NCAC 02D .0615	15A NCAC 02D .0615	15A NCAC 02D .0806	15A NCAC 02D .0902	15A NCAC 02D .0903	15A NCAC 02D .0903	15A NCAC 02D, 0909	15A NCAC 02D .0912	15A NCAC 02D .0914	15A NCAC 02D .0917	15A NCAC 02D .0918	15A NCAC 02D .0919	15 A NCAC 020 0030

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Effective by Governor
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Agency/Rule Citation

					12:23 NCR 2100		12:23 NCR 2100						12:21 NCR 1886	12:23 NCR 2100		CLOC HOLLECTO	12:22 NCK 2012									12:21 NCR 1886	12:23 NCR 2100
					*								*			,	•		*	*				*			
				04/15/98	03/20/98		03/20/98						86/51/10	03/20/98		01/15/98	86/61/70	04/15/98	04/15/98	04/15/98				04/15/98		01/15/98	03/20/98
				Approve	Approve		Approve						Approve	Approve		Object	Approve	Approve	Approve	Approve				Approve		Approve	Approve
				*	*		*						*	*		*		SE	SE	SE		*		SE		*	*
				12:08 NCR 650	12:10 NCR 867		12:10 NCR 867						12:04 NCR 270	12:10 NCR 867		12:04 NCR 270		12:08 NCR 650	12:08 NCR 650	12:08 NCR 650		12:22 NCR 1983		12:08 NCR 650		12:04 NCR 270	12:08 NCR 650
																					12:02 NCR 77						
15A NCAC 02D .0921 11:19 NCR 1408	15A NCAC 02D .0922 11:19 NCR 1408	15A NCAC 02D .0923 11:19 NCR 1408	15A NCAC 02D .0924 11:19 NCR 1408	15A NCAC 02D .0927 10:18 NCR 2317	15A NCAC 02D .0927 10:24 NCR 3045	15A NCAC 02D .0934 11:19 NCR 1408	15A NCAC 02D .0938 12:02 NCR 52	15A NCAC 02D .0948 11:19 NCR 1408	15A NCAC 02D .0949 11:19 NCR 1408	15A NCAC 02D .0950 11:19 NCR 1408	15A NCAC 02D .0951 11:19 NCR 1408	15A NCAC 02D .0952 12:16 NCR 1482	15A NCAC 02D .0953 11:15 NCR 1200	15A NCAC 02D .0953 11:26 NCR 1976	15A NCAC 02D .0954 11:15 NCR 1200	15A NCAC 02D .1005 11:15 NCR 1200	15A NCAC 02D .1100 11:08 NCR 442	15A NCAC 02D .1102 11:08 NCR 442	15A NCAC 02D .1103 11:08 NCR 442	15A NCAC 02D .1104 11:08 NCR 442	15A NCAC 02D .1104 12:02 NCR 52	15A NCAC 02D .1105 10:18 NCR 2318	15A NCAC 02D .1105 11:15 NCR 1200	15A NCAC 02D .1106 11:08 NCR 442	15A NCAC 02D .1106 11:26 NCR 1976	15A NCAC 02D .1107 11:15 NCR 1200	15A NCAC 02D .1109 10:18 NCR 2317

Agency/Rule	Rule-making	.Vemporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	rom proposal	Сочегног	Approved Rule	Other
15A NCAC 02D 1112	10:18 NCR 2317		12:08 NCR 650	*	Approve	03/20/98	*		12;23 NCR 2100	
15A NCAC 02D 1200	12:16 NCR 1482									
15A NCAC 02D 1201	10:24 NCR 3045		11:16 NCR 1271	L/SE	Approve	04/17/97			11-29 NCR 2211	
15A NCAC 02D 1201	10:18 NCR 2317		12.08 NCR 650	*	Approve	04/15/98				
15A NCAC 02D 1202	H0:24 NCR 3045		11:16 NCR 1271	L/SE	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02D 1203	10:24 NCR 3045		11:16 NCR 1271	L/SE	Approve	04/17/97			11,29 NCR 2211	
15A NCAC 02D ,1203	11:15 NCR 1200									
15A NCAC 02D 1204	10:24 NCR 3045		11:16 NCR 1271	E/SIE	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02D 1204	11:04 NCR 183		12:04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02D 1204	10:18 NCR 2318		12:22 NCR 1983	*						
15A NCAC 02D 1204	12:16 NCR 1482									
15A NCAC 02D 1205	10:24 NCR 3045		11:16 NCR 1271	I/SI	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02D 1205	10.18 NCR 2317		12.08 NCR 650	*	Approve	04/15/98				
15A NCAC 02D 1206	10-24 NCR 3045		11:16 NCR 1271	L/SE	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02D, 1206	11:04 NCR 183		12:04 NCR 270	*	Approve	86/\$1/10			12:21 NCR 1886	
15A NCAC 02D 1207	10:24 NCR 3045		11:16 NCR 1271	I/SE	Approve	04/17/97			11,29 NCR 2211	
15A NCAC 02D 1208	10:24 NCR 3045		11:16 NCR 1271	[/SI]	Approve	04/17/97	*		11:29 NCR 2211	
15A NCAC 02D 1209	10:24 NCR 3045		11:16 NCR 1271	1/81	Approve	04/17/97	*		11:29 NCR 2211	
15A NCAC 02D .1305	11:04 NCR 183		12:04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02D .1404	10:18 NCR 2318		12:22 NCR 1983	*						
15A NCAC 02D 1404	H:15 NCR 1200									
15A NCAC 02D ,1500	11:19 NCR 1408									
15A NCAC 02D 1500	12:20 NCR 1817									
15A NCAC 02D .1503	11:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1603	11:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/08			12:21 NCR 1886	
15A NCAC 02D 1701	11:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1702	H;15 NCR 1200		12.04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02D 1703	11:15 NCR 1200		12:04 NCR 270	<u>~</u>	Approve	86/51/10			12:21 NCR 1886	

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by	-	
Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kuie	
15A NCAC 02D .1704 11:15 NCR 1200	11:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1705	11:15 NCR 1200		12:04 NCR 270	Γ	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1706	11:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1707	11:15 NCR 1200		12:04 NCR 270	Γ	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1708	11:15 NCR 1200		12:04 NCR 270	J	Approve	86/\$1/10	*		12:21 NCR 1886	
15A NCAC 02D .1709	11:15 NCR 1200		12:04 NCR 270	Γ	Approve	01/15/98	*		12:21 NCR 1886	
15A NCAC 02D .1710	11:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1700	12:02 NCR 52									
15A NCAC 02D .1902	11:19 NCR 1408		12:04 NCR 270	*	Approve	01/15/98	*		12:21 NCR 1886	
15A NCAC 02D .1903	11:19 NCR 1408		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02D .1903	12:16 NCR 1482									
15A NCAC 02D .1904	12:16 NCR 1482									
15A NCAC 02D .2200	11:26 NCR 1976									
15A NCAC 0211.0225	11:15 NCR 1200	11:15 NCR 1225 11:27 NCR 2073	11:20 NCR 1550 11:27 NCR 2073	* *	Object	76/11/10	*		13.07 NCB 56.51	
15A NCAC 0211.0226 12:20 NCR 1817	12:20 NCR 1817				Approve	08/17/8/			12:07 INCK 301	
15A NCAC 02H .0610 15A NCAC 02H .0610	10:18 NCR 2317 11:08 NCR 442		12:08 NCR 650	*						
15A NCAC 02H .0610	12:02 NCR 52	12:02 NCR 77								
15A NCAC 0211.1202	11:15 NCR 1200									
15A NCAC 02H .1203	11:15 NCR 1200									
15A NCAC 02H.1204	11:15 NCR 1200									
15A NCAC 02H .1205	11:15 NCR 1200									
15A NCAC 02L	11:15 NCR 1200 11:15 NCR 1204									
15A NCAC 02L .0106		10:19 NCR 2508	11:21 NCR 1639	*	Approve	12/18/97	*		12:17 NCR 1620	
15A NCAC 02L.0115 11:15 NCR 1200	11:15 NCR 1200	12.06 INCK / 13	11:21 NCR 1639	J	Object	12/18/97	*		12:22 NCB 2012	
	11:15 NCR 1204	12:08 NCR 713			Special	05/12/10			107 (10)	

Agency/Rule	Rufe-making	Temporary	Notice of	Fiscal	RRC Status		Fext differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kule	Officer
15A NCAC 02L .0202	10:20 NCR 2591									
15A NCAC 02N 15A NCAC 02N	11:15 NCR 1200 11:15 NCR 1204									
15A NCAC 02N .0701	11:15 NCR 1200	12:08 NCR 713	11-21 NCR 1639	* *	Approve	12/18/97	*		12:17 NCR 1620	
13/4 INC AC 02IN :0707	11.12 INC.N. 1204	12.00 INCIN 713	4501 NON 1771		Approve	02/19/98	*		12:22 NCR 2012	
15A NCAC 02P 15A NCAC 02P .0402	H:15 NCR 1200 H:15 NCR 1204	10.19 NCR 2512	11:21 NCR 1639	*	Approve	12/18/97			12:17 NCR 1620	
15A NCAC 02Q .0101	10:18 NCR 2317	12:08 NCR 713	12:08 NCR 650	*	Approve	03/20/98			12:23 NCR 2100	
15A NCAC 02Q .0102	10:18 NCR 2317		12:08 NCR 650	*	Approve Approve	04/15/98				
15A NCAC 02Q .0102			11:06 NCR 350	*						
15A NCAC 02Q .0102	11:19 NCR 1408									
15A NCAC 02Q .0102	12:02 NCR 52									
15A NCAC 02Q .0102	12:16 NCR 1482									
15A NCAC 02Q .0103	11:15 NCR 1200		12:04 NCR 270	*	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02Q 0103	12:16 NCR 1482									
15A NCAC 02Q .0103	12:20 NCR 1817									
15A NCAC 02Q .0107	12:16 NCR 1482									
15A NCAC 02Q .0108	11:15 NCR 1200		12:04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02Q .0201	12:02 NCR 52		12:10 NCR 867	*	Approve	03/20/98			12:23 NCR 2100	
15A NCAC 02Q .0207	11:19 NCR 1408		12:04 NCR 270	*	Approve	86/\$1/10			12:21 NCR 1886	
15A NCAC 02Q .0300	11:26 NCR 1976									
15A NCAC 02Q .0300	12:20 NCR 1817									
15A NCAC 02Q,0301	10:18 NCR 2317		12:08 NCR 650	*	Approve	04/15/98				
15A NCAC 02Q,0302	10:18 NCR 2317		12:08 NCR 650	*	Approve	03/20/98			12:23 NCR 2100	
15A NCAC 02Q .0306	10:18 NCR 2317		12:08 NCR 650	*	Approve	03/20/98			12:23 NCR 2100	
15A NCAC 02Q .0307	11:15 NCR 1200		12:04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02Q .0312	10:18 NCR 2317		12:08 NCR 650	*	Approve	03/20/98			12:23 NCR 2100	
15A NCAC 02Q .0312	10.24 NCR 3045		H:16 NCR 1271	*	Approve	04/17/97			11:29 NCR 2211	

Agenev/Rule	Rufe-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by	-	
Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kule	Other
15A NCAC 02Q .0313	10:24 NCR 3045		11:16 NCR 1271	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02Q.0400	12:04 NCR 240									
15A NCAC 02Q .0500	12:20 NCR 1817									
15A NCAC 02Q .0501	10:18 NCR 2317		12:08 NCR 650	*	Approve	04/15/98				
15A NCAC 02Q .0521	11:15 NCR 1200		12:04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02Q .0525	10:24 NCR 3045		11:16 NCR 1271	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02Q .0527	10:24 NCR 3045		11:16 NCR 1271	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02Q .0528	10:18 NCR 2317		12:08 NCR 650	*	Approve	03/20/98	*		12:23 NCR 2100	
15A NCAC 02Q .0607	10:24 NCR 3045		11:16 NCR 1271	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 02Q .0700	11:08 NCR 442									
15A NCAC 02Q .0701	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98	*			
15A NCAC 02Q .0702	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98	*			
15A NCAC 02Q .0703	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98				
15A NCAC 02Q .0704	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98				
15A NCAC 02Q .0705	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98	*			
15A NCAC 02Q .0706	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98				
15A NCAC 02Q .0707	, 11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98	*			
15A NCAC 02Q.0708	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98	*			
15A NCAC 02Q .0709	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98	*			
15A NCAC 02Q .0710	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98				
15A NCAC 02Q .0711	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98				
15A NCAC 02Q .0712	: 11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98				
15A NCAC 02Q .0713	11:08 NCR 442		12:08 NCR 650	SE	Approve	04/15/98				
15A NCAC 02Q .0801	12:02 NCR 52									
15A NCAC 02Q .0803	12:02 NCR 52									
15A NCAC 02Q.0805	10:18 NCR 2317		12:04 NCR 270	S	Approve	86/51/10			12:21 NCR 1886	
15A NCAC 02Q.0806	10:24 NCR 3045		12:04 NCR 270	S	Approve	01/15/98			12:21 NCR 1886	
15A NCAC 02Q .0807 10:24 NCR 3045	10:24 NCR 3045		12:04 NCR 270	*	Approve	86/51/10			12:21 NCR 1886	

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Agency/Rule Citation	Kule-making Proceedings	l emporary Rule	Notice of Text	Fiscal	Aetion	Date	from proposal	Encetive by Governor	Approved Rule	Other
15A NCAC 02Q 0808	12:16 NCR 1482									
15A NCAC 02R .0101	12:02 NCR 52		12:14 NCR 1267	*	Approve	04/15/98	*			
15A NCAC 02R .0102	12:02 NCR 52		12:14 NCR 1267	*	Approve	04/15/98	*			
15A NCAC 02R 0201	12:02 NCR 52		12:14 NCR 1267	*	Approve	04/15/98	*			
15A NCAC 02R .0202	12:02 NCR 52		12:14 NCR 1267	S	Approve	04/15/98	*			
15A NCAC 02R 0203	12:02 NCR 52		12:14 NCR 1267	S	Approve	04/15/98	*			
15A NCAC 02R .0204	12:02 NCR 52		12:14 NCR 1267	s						
15A NCAC 02R .0205	12:02 NCR 52		12:14 NCR 1267	×						
15A NCAC 02R .0301	12:02 NCR 52		12:14 NCR 1267	*	Approve	04/15/98	*			
15A NCAC 02R .0302	12:02 NCR 52		12:14 NCR 1267	×	Approve	04/15/98	*			
15A NCAC 02R 0401	12:02 NCR 52		12:14 NCR 1267	S	Approve	04/15/98	*			
15A NCAC 02R .0402	12:02 NCR 52		12:14 NCR 1267	S	Approve	04/15/98	*			
15A NCAC 02R .0403	12:02 NCR 52		12:14 NCR 1267	s	Approve	04/15/98	*			
15A NCAC 02R .0501	12:02 NCR 52		12:14 NCR 1267	S	Approve	04/15/98	*			
15A NCAC 02R .0501		11:27 NCR 2075	12:08 NCR 650	<b>S</b> 4	Approve	02/19/98	* 1		12:22 NCR 2012	
15A NCAC 02R :0502		11:27 NCR 2075	12:14 NCR 1267 12:08 NCR 650	÷ *	Approve Approve	04/13/98 02/19/98	٠ *		12:22 NCR 2012	
			12:14 NCR 1267	*	Approve	04/15/98	*			
15A NCAC 02R .0503		11:27 NCR 2075	12:08 NCR 650 12:14 NCR 1267	* *	Approve	03/20/98 04/15/98	* *		12:23 NCR 2100	
15A NCAC 02R .0504		11:27 NCR 2075	12:08 NCR 650	* *	Approve	02/19/98	* *		12:22 NCR 2012	
15A NCAC 02R .0600 12:02 NCR 52	12:02 NCR 52		12.14 INCK 1207		avoiddy	04/17/190				
Health Services, Commission for	ion for									
15A NCAC 13A .0100	12:02 NCR 52									
15A NCAC 13A .0101	11:16 NCR 1269		11:20 NCR 1552	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 13A .0101	N/A		N/A		Approve	07/11/97			12:04 NCR 317	
15A NCAC 13A .0105	11:16 NCR 1269		11:20 NCR 1552	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 13A .0107	11:16 NCR 1269		H:20 NCR 1552	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 13A .0109	12:07 NCR 509		12:22 NCR 2000	*						
15A NCAC 13A .0110 12:07 NCR 509	12:07 NCR 509		12:22 NCR 2000	*						

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11:20 NCR 1552 12:22 NCR 2000 11:20 NCR 1552 11:20 NCR 1552	22 NCR 2000 20 NCR 1552 20 NCR 1552
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15A NCAC 13A .0111 15A NCAC 13A .0111	

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	Approved Rule	11:00 NCB 1717	11.12 NOV 22.11	11:22 NCR 1717		11:22 NCR 1717		11:22 NCK 1717	11:22 NCR 1717		11:22 NCR 1717				12:11 NCR 947		12:16 NCR 1521	1521 d'Alv 21-61	12:11 NCR 947			12:22 NCK 2012		12:22 NCR 2012		12:22 NCR 2012			12:22 NCR 2012	12:21 NCR 1886		000000000000000000000000000000000000000	12:22 NCR 2012	
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Status	Date	01/16/07	12/19/96	01/16/97	12/19/96	01/16/97	12/19/96	01/16/9/	01/16/97	12/19/96	26/91/10				10/16/97	10/16/97	11/20/97	76/97/11	16/91/01	12/18/97	01/15/98	86/1/20	01/15/98	02/19/98	/6/81/71	02/19/98	12/18/97	01/15/98	02/19/98	01/15/98	12/18/97	01/15/98	02/19/98	16/01/71
RRC Status	Action	Approprie	Object	Approve	Object	Approve	Object	Approve	Approve	Object	Approve				Approve	Object	Approve	Coloci	лрргоус Арргоус	Ext. Review	Object	Approve for Review	Object	Approve	Ext. Keview Object	Approve	Ext. Review	Object	Approve Est Review	Approve	Ext. Review	Object	Approve	EMI. RCVICW
Fiscal	Note		*		*		*	*		*					*	*	,	٠	*	*		*		4	*		*		*		*		*	
Notice of	Text		11-12 NCR 987		11:12 NCR 987		11.12 NCR 987	11:12 NCB 087	11.12 NCN 707	11:12 NCR 987					12:02 NCR 61	12:02 NCR 61	12.03.4 (0.01	12:02 INC K 01	12:02 NCR 61	12:07 NCR 519		12:07 NCR 519	(1) N (N (1) (1) (1)		12:07 NCK 519		12:07 NCR 519		12:07 NCR 519	21241211212	12:07 NCR 519		012 9'AN 70-51	12:07 INC N 213
Temporary	Rule										12:21 NCR 1882	13-21 NCB 1882	12-18 NCR 1713	12:21 NCR 1882	H:20 NCR 1561	11:20 NCR 1561	1 22 L 00 M 00 L L	11:20 NCK 1361	H:20 NCR 1561															
Rule-mating	Proceedings		11:08 NCR 442		11:08 NCR 442		11:08 NCR 442	CFF d. JN 80-11		11:08 NCR 442			12-16 NCR 1482		H:19 NCR 1408	11:19 NCR 1408		11:19 NCK 1408	H:19 NCR 1408	12:03 NCR 168		891 SON 50-C1			12:03 NCK 168		12:03 NCR 168		12:03 NCR 168	200 100 100 100 100 100 100 100 100 100	12:03 NCR 168		891 GUIN SA-CI	12.U2 INC N 100
Agency/Rule	Citation		15A NCAC 18A 0618 11:08 NCR 442		15A NCAC 18A .0621 11:08 NCR 442		15A NCAC 18A 0901	102   V81 .7V. JIV V 51	150 NC WC 160 1501	15A NCAC 18A .1319 - 11:08 NCR 442	15A NCAC 18A .1601	15A NCAC 18A 1611	15A NCAC 18A 1720	07/11/00/10/04/05/	15A NCAC 18A .1937	15A NCAC 18A .1938	0900 4 00 50 4 50 14 4 50	12A NCAC 18A . 1938	15A NCAC 18A .[96]	15A NCAC 18A .2301		CORC ARL DADIN ARE	150 INCAC HILD SECTION		15A NCAC 18A .2303		15A NCAC 18A .2304 12:03 NCR 168		15A NGAC 18A 2305 12:03 NGB 168		15A NCAC 18A .2306		841 8131 FO:C1 - Z02C - V81 DV DV V81	13/1 INC 1/1 10/1 12/1/

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Status	Date	12/18/97	12/18/97	12/18/97	01/13/56																01/15/98	01/15/98	01/15/98	01/15/98	01/15/98	01/15/98	01/15/98	01/15/98
RRC Status	Action	Ext. Review	Ext. Review	Ext. Review	Applove																Approve							
Fiscal	Note	*	*	*																	*	*	*	*	*	*	*	*
Notice of	Text	12:07 NCR 519	12:07 NCR 519	12:07 NCR 519																	12:08 NCR 696							
Temporary	Rule																											
Rufe-making	Proceedings	8 12:03 NCR 168	9 12:03 NCR 168	0 12:03 NCR 168	8 12:08 NCR 614	3 12:08 NCR 614	5 12:08 NCR 614	7 12:08 NCR 614	8 12:08 NCR 614	2 12:08 NCR 614	6 12:08 NCR 614	8 12:08 NCR 614	0 12:08 NCR 614	1 12:08 NCR 614	2 12:08 NCR 614	5 12:08 NCR 614	7 12:08 NCR 614	9 12:08 NCR 614	3 12:08 NCR 614	0 12:04 NCR 240	1 12:04 NCR 240	2 12:04 NCR 240	3 12:04 NCR 240	4 12:04 NCR 240	5 12:04 NCR 240	6 12:04 NCR 240	7 12:04 NCR 240	8 12:04 NCR 240
Agency/Rule	Citation	15A NCAC 18A .2308 12:03 NCR 168	15A NCAC 18A .2309 12:03 NCR 168	15A NCAC 18A .2310 12:03 NCR 168	15A NCAC 18A .2508	15A NCAC 18A .2513	15A NCAC 18A .2515	15A NCAC 18A .2517	15A NCAC 18A .2518	15A NCAC 18A .2522	15A NCAC 18A .2526	15A NCAC 18A .2528	15A NCAC 18A .2530	15A NCAC 18A .2531	15A NCAC 18A .2532	15A NCAC 18A .2535	15A NCAC 18A .2537	15A NCAC 18A .2539	15A NCAC 18A .2543	15A NCAC 18A .2600	15A NCAC 18A .2601	15A NCAC 18A .2602	15A NCAC 18A .2603	15A NCAC 18A .2604	15A NCAC 18A .2605	15A NCAC 18A .2606	15A NCAC 18A .2607	15A NCAC 18A .2608

	Other	:																												
	Approved Rule		12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886		12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886					
Effective hv	Governor																													
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RRC Status	Date		01/15/98	01/15/98	86/\$1/10		86/51/10	86/\$1/10	01/15/98	86/51/10	01/15/98	86/\$1/10	01/15/98	86/\$1/10	86/\$1/10	01/15/98	86/51/10	01/15/98	86/51/10	01/15/98	86/51/10	01/15/98	86/51/10	86/51/10	86/51/10					
RRC	Action		Approve	Approve	Approve		Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve					
Fiscal	Note		*	*	*		*	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*					
Notice of	Text		12:08 NCR 696	12:08 NCR 696	12:08 NCR 696		12 08 NCR 696	12.08 NCR 696	12:08 NCR 696	12.08 NCR 696	12.08 NCR 696	12.08 NCR 696	12:08 NCR 696	12:08 NCR 696	12.08 NCR 696	12:08 NCR 696	12.08 NCR 696	12:08 NCR 696	12.08 NCR 696	12:08 NCR 696										
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15A NCAC 19C .0802	12:10 NCR 866	12:01 NCR 31	12:14 NCR 1272	S	Approve	03/20/98	*		12:23 NCR 2100	
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2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •         Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         •	15A NCAC 11.0414	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240         12.09 NCR 749         • Approve         01/15/98           2.04 NCR 240 <t< td=""><td>15A NCAC 11.0415</td><td>12:04 NCR 240</td><td></td><td>12:09 NCR 749</td><td>*</td><td>Approve</td><td>01/15/98</td><td></td><td></td><td>12:21 NCR 1886</td><td></td></t<>	15A NCAC 11.0415	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve	15A NCAC 11.0416	12:04 NCR 240		12:09 NCR 749	*	Approve	86/51/10			12:21 NCR 1886	
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204 NCR 240  205 NCR 749  205 NCR 240  206 NCR 240  206 NCR 240  207 NCR 240  208 NCR 240  208 NCR 240  209 NCR 749  209 NCR 749  209 NCR 749  200 NCR 240  200 N	15A NCAC 11.0418	12:04 NCR 240		12:09 NCR 749	*	Approve	86/51/10			12:21 NCR 1886	
204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve         01/15/98           204 NCR 240         12.09 NCR 749         *         Approve	15A NCAC 11.0419	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
204 NCR 240	15A NCAC 11.0420	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
204 NCR 240         12:09 NCR 749         *         Approve         01/15/98           204 NCR 240         12:09 NCR 749         *         Approve         01/15/98           204 NCR 240         12:09 NCR 749         *         Approve         01/15/98           204 NCR 240         12:09 NCR 749         *         Approve         01/15/98           204 NCR 240         12:09 NCR 749         *         Approve         01/15/98           204 NCR 240         12:09 NCR 749         *         Approve         01/15/98           204 NCR 240         12:09 NCR 749         *         Approve         01/15/98           222 NCR 1979         *         Approve         01/15/98         *           222 NCR 1979         *         Approve         01/15/98         *           224 NCR 240         *         Approve         01/15/98         *           224 NCR 240         *         Approve         01/15/98         *           234 NCR 240	15A NCAC 11.0421	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.04 NCR 240	15A NCAC 11.0422	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.04 NCR 240 12:09 NCR 749	15A NCAC 11.0423	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.04 NCR 240	15A NCAC 11.0424	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.04 NCR 240	15A NCAC 11.0425	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.04 NCR 240	15A NCAC 11.0426	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.22 NCR 1979 2.22 NCR 1979 2.22 NCR 1979 2.22 NCR 1979 2.24 NCR 240 2.24 NCR 240 2.24 NCR 240 2.25 NCR 1979 2.24 NCR 240 2.24 NCR 240 2.25 NCR 1979 2.24 NCR 240 2.25 NCR 1979 2.24 NCR 240 2.25 NCR 1979 2.26 NCR 240 2.27 NCR 240 2.26 NCR 240 2.27 NCR 240 2.27 NCR 240 2.27 NCR 240 2.27 NCR 240 2.28 NCR 240 2.29 NCR 240 2.20 NCR 2	15A NCAC 11.0427	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2.22 NCR 1979 2.22 NCR 1979 2.24 NCR 240 2.04 NCR 240 2.22 NCR 1979 2.20 NCR 1979 2.20 NCR 1979 2.20 NCR 240 2.204 NCR 2	15A NCAC 11.0428	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2:22 NCR 1979 2:04 NCR 240 2:04	15A NCAC 11.0500	12:22 NCR 1979									
2:22 NCR 1979 2:04 NCR 240 2:04 NCR 240 2:02 NCR 1979 2:04 NCR 240 2:0	15A NCAC 11.0700	12:22 NCR 1979									
2:04 NCR 240 2:02 NCR 1979 2:04 NCR 240 2:02 NCR 1979 2:04 NCR 240 3:04 NCR 240 3:0	15A NCAC 11.1000	12:22 NCR 1979									
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2:22 NCR 1979 2:04 NCR 240 12:09 NCR 749	15A NCAC 11.1400	12:04 NCR 240									
2:04 NCR 240       12:09 NCR 749       * Approve       01/15/98       *       12:09 NCR 749       * Approve       01/15/98       *       12:09 NCR 749       * Approve       01/15/98       * Approve       12:09 NCR 749       * Approve       01/15/98       12:09 NCR 749       * Approve       01/15/98       12:09 NCR 749       12:09 NCR 749       * Approve       01/15/98       12:09 NCR 749       12:09 NCR 749       12:09 NCR 749       * Approve       01/15/98       12:09 NCR 749	15A NCAC 11.1600	12:22 NCR 1979									
2:04 NCR 240	15A NCAC 11.1601	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2:04 NCR 240	15A NCAC 11.1603	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98	*		12:21 NCR 1886	
2:04 NCR 240	15A NCAC 11.1611	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
2:04 NCR 240	15A NCAC 11.1620	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
//A N/A Object 10/16/97 Approve 12/18/97	15A NCAC 11.1647	12:04 NCR 240		12:09 NCR 749	*	Approve	01/15/98			12:21 NCR 1886	
N/A N/A Object 10/16/97 Approve 12/18/97	Soil & Water Conservati	00									
	15A NCAC 06E .0104	N/A	N/A	N/A		Object Approve	10/16/97 12/18/97			12:17 NCR 1620	

	Other																											
	Approved Kule	0631 GDM 71-61	12.17 NCK 1020	12:11 NCR 947	12:11 NCR 947	12:11 NCR 947								12:10 NCR 878	1001 0014 21.01	12:16 NCK 1321	12:16 NCR 1521 12:10 NCR 878		12:17 NCR 1620	12:10 NCK 8/8	12:10 NCR 878	12;10 NCR 878	1000 0000	12:16 NCR 878	12:10 NCR 878	12:10 NCR 878	12:10 NCR 878	12:10 NCR 878
Effective by	Covernor																											
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RRC Status	Date	10/16/97	16/01/71	10/16/97	10/16/97	26/91/01								26/81/60	26/81/60	76/07/11	11/20/97 09/18/97	26/81/60	12/18/97	/6/81/60	26/81/60	26/81/60	09/18/97	76/81/60	26/81/60	16/81/60	26/81/60	26/81/60
RRC	Action	Object	anddy	Approve	Approve	Approve								Approve	Withheld	Approve Object	Approve Approve	Object	Object Approve	Approve	Approve	Approve	Withheld	Арргоус Арргоус	Approve	Approve	Approve	Approve
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Notice of	Text	N/A		V/N	V/N	V/N								11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123		11:28 NCK 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123	11:28 NCR 2123
Temporary	Rule	V/N		N/A	V/N	N/A	ertification Commissi							H 19 NCR 1442	11-19 NCR 1442	11:19 NCR 1442	11:19 NCR 1442	11-19 NCR 1442		11.19 NCK 1442	11.19 NCR 1442	11.19 NCR 1442	II:19 NCR 1442	H:19 NCR 1442	H:19 NCR 1442	H:19 NCR 1442	H-19 NCR 1442	H:19 NCR 1442
Rufe-making	Proceedings	N/A	12:20 NCR 1817	V/V	V/V	V/Z	System Operators (	11:26 NCR 1976	11;26 NCR 1976	11:26 NCR 1976	11:26 NCR 1976	11:26 NCR 1976	11:26 NCR 1976															
Ageney/Rule	Citation	15A NCAC 061;_0105 N/A	15A NCAC 0613,0105	15A NCAC 06B, 0106	15A NCAC 06B; 0107	15A NCAC 06E .0108	Water Pollution Control System Operators Certification Commission	15A NCAC 08A	15A NCAC 08B	15A NCAC 08C	ISA NCAC 08D	15A NCAC 08E	15A NCAC 08F	15A NCAC 08F 0101	15A NCAC 08F .0102	15A NCAC 08F, 0201	15A NCAC 08F 0202	15A NCAC 08F .0203		13A NCAC 08F ,0301	15A NCAC 08F;0401	15A NCAC 08F .0402	15A NCAC 08F ,0403	15A NCAC 08F 0404	15A NCAC 08F, 0405	15A NCAC 08F, 0406	15A NCAC 08F, 0407	15A NCAC 08F, 0501

Agency/Rule	Rule-making	Тспрогагу	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		÷
Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kuie	Officer
15A NCAC 08F .0502		11:19 NCR 1442	11:28 NCR 2123	S	Approve	26/81/60			12:10 NCR 878	
15A NCAC 08F.0503		11:19 NCR 1442	11:28 NCR 2123	s	Approve	26/81/60			12:10 NCR 878	
15A NCAC 08F.0504		11:19 NCR 1442	11:28 NCR 2123	S	Approve	26/81/60			12:10 NCR 878	
15A NCAC 08F .0505		11:19 NCR 1442	11:28 NCR 2123	S	Approve	26/81/60	*		12:10 NCR 878	
15A NCAC 08F.0506		11:19 NCR 1442	11:28 NCR 2123	*	Approve	09/18/97			12:10 NCR 878	
Water Treatment Facility Certification Board	y Certification Boar	þ								
15A NCAC 18D .0105 10:18 NCR 2317	10:18 NCR 2317		12:11 NCR 922	*	Approve	02/19/98	*		12:22 NCR 2012	
15A NCAC 18D .0201	10:18 NCR 2317		12:11 NCR 922	S/L	Approve	03/20/98	*		12:23 NCR 2100	
15A NCAC 18D .0307	10:18 NCR 2317		12:11 NCR 922	*	Approve	02/19/98			12:22 NCR 2012	
15A NCAC 18D .0308	10:18 NCR 2317		12:11 NCR 922	S/L	Approve	03/20/98	*		12:23 NCR 2100	
15A NCAC 18D .0309	10:18 NCR 2317		12:11 NCR 922	*	Approve	02/19/98	*		12:22 NCR 2012	
15A NCAC 18D .0405	10:18 NCR 2317		12:11 NCR 922	*	Approve	03/20/98	*		12:23 NCR 2100	
15A NCAC 18D .0701 10:18 NCR 2317	10:18 NCR 2317		12:11 NCR 922	*	Approve	02/19/98	*		12:22 NCR 2012	
Wildlife Resources Commission	mission									
15A NCAC 10B .0100	12.06 NCR 445									
15A NCAC 10B .0111	12:06 NCR 445		12:12 NCR 1004	*						
15A NCAC 10B .0113	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10B .0115	11:11 NCR 882	Agency Withdrew Rule-making	ule-making							
15A NCAC 10B .0116	11:12 NCR 959		11:18 NCR 1372	*	Approve	04/17/97			11:29 NCR 2211	
15A NCAC 10B .0200	12:06 NCR 445									
15A NCAC 10B .0202	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10B .0203	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10B .0208	11:02 NCR 76		11:08 NCR 495	*	Agency With	Agency Withdrew Rule-making				
15A NCAC 10B .0209	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10B .0216	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10B .0300	12:06 NCR 445									
15A NCAC 10B .0400	12:06 NCR 445									
15A NCAC 10C .0101	12:06 NCR 445									

Agency/Rule         Rule-making           Citation         Proceedings           15A NCAC 10C 0102         12.06 NCR 445           15A NCAC 10C 0103         12.06 NCR 445           15A NCAC 10C 0104         12.06 NCR 445           15A NCAC 10C 0105         12.06 NCR 445           15A NCAC 10C 0106         12.06 NCR 445           15A NCAC 10C 0107         11.02 NCR 76           15A NCAC 10C 0107         12.06 NCR 445           15A NCAC 10C 0108         12.06 NCR 445           15A NCAC 10C 0109         12.06 NCR 445		Text Rule Text Text Text Text Text  Agency Withdrew Rule-making 12:12 NCR 1004	Fiscal Note	Action Approve Approve	n Date n Date c 04/15/98	from from proposal statements and the statements and the statements are statements are statements and the statements are statements are statements and the statements are statements are statements are statements and the statements are statements are statements are statements and the statements are s	Governor	Approved Rufe	Other
	_	ithdrew Rule-making 12:12 NCR 1004	* * *	Approve Approve	Date 04/15/98 04/15/98	proposal * *	Governor		
		ithdrew Rule-making 12:12 NCR 1004	* * *	Арргоvе Арргоvе	04/15/98				
		ithdrew Rule-making 12:12 NCR 1004	* * *	Approve	04/15/98				
		ithdrew Rule-making 12:12 NCR 1004	* * *	Approve	04/15/98				
		ithdrew Rule-making 12:12 NCR 1004 12:12 NCR 1004	* * *	Approve	04/15/98				
		ithdrew Rule-making 12:12 NCR 1004	* * *	Approve	04/15/98				
		ithdrew Rule-making 12:12 NCR 1004 12:12 NCR 1004	* * *	Approve	04/15/98				
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	R 445 R 445 R 445 R 445 R 445 R 445	12:12 NCR 1004 12:12 NCR 1004	* * *	Approve	04/15/98				
	R 445 R 445 R 445 R 445	12:12 NCR 1004	* *	Approve	04/15/98				
	'R 445 'R 445 'R 445 'R 445	12:12 NCR 1004	* *	Approve	04/15/98				
	'R 445 'R 445 'R 445	12:12 NCR 1004	* *	Approve	04/15/98	* *			
15A NCAC 10C .0110 12:06 NCR 445	'R 445 'R 445	12:12 NCR 1004	* *	Approve	04/15/98	* *			
15A NCAC 10C .0111 12:06 NCR 445	'R 445	12:12 NCR 1004	* *	Approve Approve	04/15/98 04/15/98	* *			
15A NCAC 10C 0203 12:06 NCR 445			*	Approve	04/15/98	*			
15A NCAC 10C .0205 12:06 NCR 445	'R 445	12:12 NCR 1004							
15A NCAC 10C .0206 12:06 NCR 445	'R 445								
15A NCAC 10C .0211 12:06 NCR 445	JR 445								
15A NCAC 10C .0212 12:06 NCR 445	'R 445	12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10C .0215 12:06 NCR 445	'R 445								
15A NCAC 10C .0302 12:06 NCR 445	'R 445								
15A NCAC 10C .0304 12:06 NCR 445	'R 445	12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10C .0305 12:06 NCR 445	'R 445	12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10C 0401 11:02 NCR 76		Agency Withdrew Rule-making							
15A NCAC 10C .0401 11:07 NCR 408		Agency Withdrew Rule-making							
15A NCAC 10C 0401 12:06 NCR 445	'R 445	12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10C .0402 12:06 NCR 445	'R 445	12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10C .0404 12:06 NCR 445	'R 445	12:12 NCR 1004	*						
15A NCAC 10C .0407 12.06 NCR 445	'R 445	12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10D 12:18 NCR 1694	JR 1694								
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Citation	Proceedings	Rule	Text	Note	Action	Date	rom proposal	Governor	Approved Kuie	Otner
15A NCAC 10D .0002 11:02 NCR 76	11:02 NCR 76		11:08 NCR 495	*	Agency With	Agency Withdrew Rule-making				
15A NCAC 10D .0002 12:06 NCR 445	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10D .0003	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10D .0004	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10E.0001	12:06 NCR 445									
15A NCAC 10E .0002	12:06 NCR 445									
15A NCAC 10E.0003	12:06 NCR 445									
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15A NCAC 10F.0100	12:06 NCR 445									
15A NCAC 10F.0102	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10F.0103	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10F.0104	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10F.0105	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10F.0109	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10F.0300	11:01 NCR 13	Agency Withdrew Rule-making	ule-making							
15A NCAC 10F.0301	12:19 NCR 1763									
15A NCAC 10F.0302	11:05 NCR 272		11:14 NCR 1150	*	Approve	03/20/97	*		11:26 NCR 2004	
15A NCAC 10F.0303	12:19 NCR 1763									
15A NCAC 10F.0305	12:10 NCR 865	12:16 NCR 1518	12:16 NCR 1518	*						
15A NCAC 10F.0307	11:08 NCR 451		11:14 NCR 1150	*	Approve	03/20/97	*		11:26 NCR 2004	
15A NCAC 10F.0308	11:21 NCR 1638		11:29 NCR 2206	*	Approve	09/18/97			12:10 NCR 878	
15A NCAC 10F.0310	12:19 NCR 1763									
15A NCAC 10F .0311 12:01 NCR 5	12:01 NCR 5	12:13 NCR 1186	12:07 NCR 517	*	Approve	01/15/98			12:21 NCR 1886	

11:29 NCR 2211

04/11/97

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11:19 NCR 1427

15A NCAC 10F .0311 12:11 NCR 920 15A NCAC 10F .0314 12:19 NCR 1763 15A NCAC 10F .0317 11:13 NCR 1039

15A NCAC 10F.0317 12:11 NCR 920 15A NCAC 10F.0318 12:06 NCR 445

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RRC	Action	Approve		Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve		Approve					Approve	Approve	Extend Review 04/15/98				Approve	Approve			
Fiscal	Note	*	J	*		*	*	*	*	*	*	*		*					*	*	*				*	*			
Notice of	Text	11:20 NCR 1551	12:17 NCR 1608	12:11 NCR 921	N/A	12:07 NCR 517	11:19 NCR 1427	11:29 NCR 2206	12:11 NCR 921	12:12 NCR 1004	12:12 NCR 1004	12:01 NCR 18		12:07 NCR 517		Rule-making	Rule-making		12:12 NCR 1004	12:12 NCR 1004	12:12 NCR 1004				12:13 NCR 1127	12:13 NCR 1137			
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Agenev/Rule	Citation	15A NCAC 10F.0327	15A NCAC 10F .0327	15A NCAC 10F.0330	15A NCAC 10F,0330	15A NCAC 10F .0333	15A NCAC 10F.0339	15A NCAC 10F.0339	15A NCAC 10F 0339	15A NCAC 10F.0345	15A NCAC 10F.0347	15A NCAC 10F.0355	15A NCAC 10F.0359	15A NCAC 10F.0360	15A NCAC 10F.0367	15A NCAC 10G	15A NCAC 10G 0100	15A NCAC 10G .0400	15A NCAC 10G 0402	15A NCAC 10G .0403	15A NCAC 10G .0404	15A NCAC 1011.0100	15A NCAC 10H .0300	15A NCAC 1011.0300	15A NCAC 10H,0802	15A NCAC 1011.0810	15A NCAC 10H.0900	15A NCAC 1011,1000	15A NCAC 1011-1100

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(Updated through May 27, 1998)

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15A NCAC 10H.1200	15A NCAC 10I .0001	15A NCAC 10I .0002	15A NCAC 101,0003	15A NCAC 101.0004	15A NCAC 101.0005	15A NCAC 10J.0001	15A NCAC 10J .0002	15A NCAC 10J.0003	15A NCAC 10J .0004

# FINAL DECISION LETTERS

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12:07 NCR 524

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21 NCAC 12 .0202

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Citation	Proceedings	Rule	Text	Note	Action	Date	rom proposal	Governor	Approved Kale	Other
21 NCAC 12 0204	11:28 NCR 2117		12:04 NCR 292	*	Approve	04/15/98	*			
21 NCAC 12,0503	11:28 NCR 2117									
21 NCAC 12,0504	11:28 NCR 2117									
21 NCAC 12 0901	11:28 NCR 2117		12.04 NCR 292	*	Approve	02/19/98			12:22 NCR 2012	
21 NCAC 12,0902	11:28 NCR 2117									
21 NCAC 12 0903	11:28 NCR 2117		12.04 NCR 292	*	Approve	02/19/98	*		12:22 NCR 2012	
21 NCAC 12,0904	11:28 NCR 2117		12.04 NCR 292	*	Approve	02/19/98			12:22 NCR 2012	
21 NCAC 12 0905	11-28 NCR 2117									
21 NCAC 12 .0906	11:28 NCR 2117									
21 NCAC 12 0907	11:28 NCR 2117									
21 NCAC 12,0908	11:28 NCR 2117									
21 NCAC 12 0909	11:28 NCR 2117									
21 NCAC 12 0910	11:28 NCR 2117									
21 NCAC 12.0911	11:28 NCR 2117									
21 NCAC 12 0912	11:28 NCR 2117									
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Citation	Proceedings	Rule	Text	Note	Action	Date	irom proposal	Governor	Approved Rule	Other
Number 124 - Eff. 12/18/97	18/97									12:15 NCR 1407
Number 125 - Eff. 12/18/97	18/97									12:15 NCR 1407
Number 126 - Eff. 12/19/97	26/61									12:15 NCR 1407
Number 127 - Eff. 01/16/98	86/91									12:16 NCR 1472
Number 128 - Eff. 01/21/98	86/17									12:17 NCR 1606
Number 129 - Eff. 02/04/98	)4/98									12:17 NCR 1606
Number 130 - Eff. 02/25/98	35/98									12:19 NCR 1749
Number 131 - Eff. 03/25/98	36/53									12:21 NCR 1870
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24 NCAC 01P .0101		11:14 NCR 1154	11:28 NCR 2132	S	Approve	26/21/20			12:04 NCR 317	
24 NCAC 01P .0102 24 NCAC 01P .0103		11:14 NCR 1154 11:14 NCR 1154	11:28 NCR 2132 11:28 NCR 2132	s s	Approve Object	79/11/70 07/11/79			12:04 NCK 317	
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24 NCAC 01P .0201 24 NCAC 01P 0202		11:14 NCR 1154 11:14 NCR 1154	11:28 NCR 2132 11:28 NCR 2132	ss ss	Approve	07/17/97	* *		12:04 NCR 317 12:04 NCR 317	
24 NCAC 01P .0203		11:14 NCR 1154	11:28 NCR 2132	S	Approve	07/17/97			12:04 NCR 317	
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10 NCAC 01B .0501	11:23 NCR 1779		12:09 NCR 747	*	Approve	01/15/98	*		12:21 NCR 1886	
10 NCAC 01B .0502	11:23 NCR 1779		12:09 NCR 747	*	Object	01/15/98	*		12-22 NCR 2012	
10 NCAC 49B .0315 Aging		12:18 NCR 1703								
10 NCAC 22	10:23 NCR 2956									
Child Day Care Commission	ssion									
10 NCAC 03U .0102	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0102	12:21 NCR 1873									
10 NCAC 03U .0201	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .0202	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .0204	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	

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Rulc		Text	Note	Action	Date	proposal	Governor	Approved Nate	CARRET
		12-13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
Agency withdrew rule-making	drew ri	ıle-making							
		12:13 NCR 1098	*	Object	03/20/98				
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		12.13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
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		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
		12 13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
Agency withdrew rule-making	₹.	ile-making							
		12.13 NCR 1098	*	Approve	03/20/08			12:23 NCR 2100	
		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
Agency withdrew rule-making	=	ıle-making							
		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
Agency withdrew rule-making	n wa	ıle-making							
12:08 NCR 710	_								
		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
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12:08 NCR 710	0								
		12:13 NCR 1098	S/L	Approve	03/20/98	*		12:23 NCR 2100	

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Fiscal	Note	*	*	*	*	*	*	*					*	*	*	*	*	*		*	*	*	*	*	*	*			*
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Тепрогагу	Rule								Agency withdrew rule-making	Agency withdrew rule-making									Agency withdrew rule-making										
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10 NCAC 03U .2510	11:08 NCR 449	Agency withdrew rule-making	le-making							
10 NCAC 03U .2510	11:29 NCR 449		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .2601	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2602	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2603	11:24 NCR 1817	Agency withdrew rule-making	le-making							
10 NCAC 03U .2603	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2604	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2605	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2606	11:08 NCR 449	Agency withdrew rule-making	le-making							
10 NCAC 03U .2606	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2607	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2608	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2609	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2610	11:24 NCR 1817	Agency withdrew rule-making	ıle-making							
10 NCAC 03U .2610	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2611	11:24 NCR 1817	Agency withdrew rule-making	ıle-making							
10 NCAC 03U .2611	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
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10 NCAC 03R .3002		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3020	10:23 NCR 2956		11:06 NCR 328	S/L/SE						
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	le Other		11:11 NCR 888																									
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RRC	Action		Object Object	Approve	Approve		Object Extend Review	Approve	Object 01/10/97 Return to agency 03/20/97	Object	Object 01/16/97	Neturn to agency 03/20/97 Object 01/16/97	Return to agency 03/20/97 Object 01/16/97	Return to agency 03/20/97			Approve		Approve	Approve			Approve	Approve	Approve	Approve	Approve	
Fiscal	Nate	S/L/SE	S/1/SE			S/L/SE	S/L/SE	Log	3/1/3E	S/L/SE	S/L/SE	S/L/SE	S/1/SE		S/L/SE	S/L/SE	*		*	*			*	*	*	*	*	
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Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approveu wate	Omer
10 NCAC 03R .3060		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03R ,3060		12:06 NCR 481								
10 NCAC 03R .3061		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03R .3061		12:06 NCR 481								
10 NCAC 03R .3062		11:21 NCR 1655	12:04 NCR 246	#	Withdrawn by agcyl 1/97	agcy11/97				
10 NCAC 03R .3062		12:06 NCR 481			Withdrawn by agcyl 1/97	agcy11/97				
10 NCAC 03R .3063		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03R .3063		12:06 NCR 481								
10 NCAC 03R .3064		11:21 NCR 1655	12:04 NCR 246	*	Withdrawn by agcyl 1/97	agcy11/97				
10 NCAC 03R .3064		12:06 NCR 481			Withdrawn by agcyl 1/97	agcyl I/97				
10 NCAC 03R .3065		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03R .3065		12:06 NCR 481								
10 NCAC 03R .3066		11:21 NCR 1655	12:04 NCR 246	*	Withdrawn by agcyl 1/97	agcy11/97				
10 NCAC 03R .3066		12:06 NCR 481			Withdrawn by agcyl 1/97	agcyl 1/97				
10 NCAC 03R .3067		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3068		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3069		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3070		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3071		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3072		11:21 NCR 1655	12:04 NCR 246	S/L/SE	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3072		12:06 NCR 481								
10 NCAC 03R .3073		11:21 NCR 1655	12:04 NCR 246	S/L/SE	Object	11/20/97	*		12-17 NCR 1620	
10 NCAC 03R .3074		11:21 NCR 1655	12:04 NCR 246	S/L/SE	Object	11/20/97	,		12.17 INCK 1020	
10 NCAC 03R .3075		11:21 NCR 1655	12:04 NCR 246	*	Approve Approve	120/97	•		12:17 NCR 1620 12:16 NCR 1521	
10 NCAC 03R .3076		11:21 NCR 1655	12:04 NCR 246	S/L/SE	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3077		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3078		11:21 NCR 1655	12:04 NCR 246	S/L/SE	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3079		11:21 NCR 1655	12:04 NCR 246	S/L/SE	Approve	11/20/97			12:16 NCR 1521	

Aocney/Rule	Rufe-making	Temporary	Notice of	Fiscal	RRC Status	Status	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rulc	Other
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10 NCAC 03R .3080		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3081		H:21 NCR 1655	12:04 NCR 246	*	Object	11/20/97	*		0071 00714 61701	
10 NCAC 03R .3082		11:21 NCR 1655	12:04 NCR 246	*	Approve Approve	11/20/97	+		12:17 NCR 1620 12:16 NCR 1521	
10 NCAC 03R .3083		11-21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3084		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3085		H:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3086		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R ,3087		11:21 NCR 1655	12:04 NCR 246	÷	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R ,3088		11:21 NCR 1655	12:04 NCR 246	÷	Approve	11/20/97			12.16 NCR 1521	
10 NCAC 03R .6001	11:22 NCR 1704									
10 NCAC 03R .6101		11:15 NCR 1431								
10 NCAC 03IR .6102		11.15 NCR 1431								
10 NCAC 03R .6103		11-15 NCR 1431								
10 NCAC 03R :6104		11:15 NCR 1431								
10 NCAC 03R 6105		11:15 NCR 1431								
10 NCAC 03R .6106		11.15 NCR 1431								
10 NCAC 03R 6107		11:15 NCR 1431								
10 NCAC 03R :6108		11:15 NCR 1431								
10 NCAC 03R .6109		11:15 NCR 1431								
10 NCAC 03R .6110		11:15 NCR 1431								
10 NCAC 03R .6111		11:15 NCR 1431								
10 NCAC 03R 6112		11-15 NCR 1431								
10 NCAC 03R .6113		11.15 NCR 1431								
10 NCAC 03R .6114		HE15 NCR 1431								
10 NCAC 03R :6115		11:15 NCR 1431								
10 NCAC 03R .6116		11:15 NCR 1431								
10 NCAC 03R 6117		11:15 NCR 1431								
10 NCAC 03R .6118		11.15 NCR 1431								

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Rulc-making     Temporary     Notice of Text     Fiscal Note     Action     Action     Date     proposal		Approved Rule
Rule-making Temporary Notice of Fiscal RRC Status Text Note Action Date		Effective by Governor
Rule-making Temporary Notice of Fiscal Forceedings Rule Text Note Actio		Text differs from proposal
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	SE TOTAL	Y N	TCAL	יאסור	Action	Date	proposal	Coverage		
		11:15 NCR 1431								
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	Medicaid Disproportionate Share List									12:02 NCR 46
_	12:18 NCR 1694									
_	10:16 NCR 1721		11:28 NCR 2118	S/L	Agency withdrew 09/18/97	rew 09/18/97	*		13-11 NCB 047	
		11:19 NCR 1436	11:24 NCR 1824	*	Approve	16/10/19/1	*		12:03 NCR 213	

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	Approved Kule		H:29 NCR 2211				11:30 NCR 2314					12:21 NCR 1886					12:10 NCR 878										12:04 NCR 317		12:04 NCR 317
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RRC Status	Date		04/17/97				05/15/97					86/51/10					09/18/97	04/15/98									76/11//0		76/11/20
RRC	Action		Approve				Approve					Approve					Approve	Approve									Approve		Approve
Fiscal	Note	*	*			S/L/SE	S/L	S/L/SI				S/SI			S/L		S/L/SI	SAL		*						*	*	*	Γ
Notice of	Text	12:21 NCR 1875	11:18 NCR 1371			12:18 NCR 1696	11:23 NCR 1781	12:18 NCR 1696	Temp Expired		Temp Expired	12:07 NCR 511			12:21 NCR 1875		11:29 NCR 2205	12.15 NCR 1419		12:21 NCR 1875						12:21 NCR 1875	11:28 NCR 2118	12:21 NCR 1875	11:28 NCR 2118
Temporary	Rule		H.15 NCR 1205			12.14 NCR 1341	12:14 NCR 1341	12.14 NCR 1341	H.15 NCR 1205	12.09 NCR 827	11:15 NCR 1205		11:26 NCR 1997	12:09 NCR 827	12.14 NCR 1341		11:19 NCR 1438	12:04 NCR 313									11:10 NCR 841		11.10 NCR 841
Rule-making	Proceedings	12:06 NCR 444	H,08 NCR 450	11:14 NCR 1108	H114 NCR 1108	12:09 NCR 743	11:16 NCR 1268	12:09 NCR 743				H:18 NCR 1368			12:08 NCR 618	10:21 NCR 2686			12:05 NCR 337	12:06 NCR 444	12:06 NCR 444	12:06 NCR 444	12:05 NCR 337	12:06 NCR 444	12:06 NCR 444	12:06 NCR 444		12:06 NCR 444	
Agency/Rule	Citation	10 NCAC 26D 0110	10 NCAC 26G .0707	10 NCAC 26H .010I	10 NCAC 26H .0102	10 NCAC 26H .0102	10 NCAC 26H .0104	10 NCAC 26H .0211	10 NCAC 26H .0212	10 NCAC 26H .0212	10 NCAC 26H .0213	10 NCAC 26H .0401	10 NCAC 26H .0506	10 NCAC 26H .0506	10 NCAC 26H .0602	10 NCAC 26K .0106	10 NCAC 26K .0106	10 NCAC 26M .0201	10 NCAC 26M .0202	10 NCAC 26M .0203	10 NCAC 26M 0203	10 NCAC 26M .0204	10 NCAC 50A .0604	10 NCAC 50B .0202	10 NCAC 50B .0202	10 NCAC 50B .0404			

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3	Approved Ruie	12:04 NCR 317														12:11 NCR 947	12:11 NCR 947	12:11 NCR 947	12:21 NCR 1886										
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Fiscal	Note	*															*	*	*	S/L		N/A	*	*	*	*	*	*	*
Notice of	Text	11:28 NCR 2118	Temp Expired			11:29 NCR 2187	11:29 NCR 2187	11:29 NCR 2187	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	N/A	12:05 NCR 339																
Temporary	Rule	11:10 NCR 841	11:04 NCR 196			11:20 NCR 1560	11:20 NCR 1560					N/A																	
Rule-making	Proceedings		10:24 NCR 3057	Ē	11:16 NCR 1268			11:20 NCR 1534	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	N/A	11:23 NCR 1779																
Agency/Rule	Citation	10 NCAC 50B .0409	10 NCAC 50D .0101	10 NCAC 50D .0102	10 NCAC 50D .0103	10 NCAC 50D .0201	10 NCAC 50D .0301	10 NCAC 50D .0302	10 NCAC 50D .0401	10 NCAC 50D .0402	10 NCAC 50D .0501	10 NCAC 50D .0502	10 NCAC 50D .0503	Medical Care Commission	10 NCAC 03B	10 NCAC 03B .1001	10 NCAC 03B .1002	10 NCAC 03C .3707	10 NCAC 03D .0801	10 NCAC 03D .0802	10 NCAC 03D .0803	10 NCAC 03D .0805	10 NCAC 03D .0806	10 NCAC 03D .0901	10 NCAC 03D .0902	10 NCAC 03D .0904	10 NCAC 03D .0905	10 NCAC 03D .0907	10 NCAC 03D .0908

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	Approved Kule	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	CLOCK COM CC. CL	12.22 INC K 2012	12:22 NCR 2012 12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	2100 BON 55 51	12:21 NCR 1886			12:22 NCR 2012 12:21 NCR 1886	12:21 NCR 1886	12:21 NCR 1886	12:22 NCR 2012					
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RRC	Action	Approve	Approve	Approve	Object	Approve Object	Approve Approve	Approve	Object	Approve	Approve	Approve	Approve	Approve	Approve	Object	Object	Approve Approve	Approve	Approve	Object Approve						
Fiscal	Note	*	*	*	*	*	*	*	*	*	*	*	*	S/L	*	*	S/L	S/L	S/L	*	*	S/L	*	*	S/L	S/L	*
Notice of	Fext	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339
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Rufe-making	Proceedings	11:23 NCR 1779	11:23 NCR 1779	11.23 NCR 1779	11-23 NCR 1779	11.23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	H:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779	11:23 NCR 1779								
Agency/Rule	Citation	10 NCAC 03D 0909	10 NCAC 03D 0911	10 NCAC 03D .0913	10 NCAC 03D .0915	10 NCAC 03D .0916	10 NCAC 03D .0917	10 NCAC 03D :0918	10 NCAC 03D .0919	10 NCAC 03D .0920	10 NCAC 03D .0921	10 NCAC 03D ,0922	10 NCAC 03D .0923	10 NCAC 03D .0924	10 NCAC 03D 0925	10 NCAC 03D .0926	10 NCAC 03D .1001	10 NCAC 03D .1002	10 NCAC 03D .1003	10 NCAC 03D .1004	10 NCAC 03D .1103	10 NCAC 03D .1202	10 NCAC 03D .1203	10 NCAC 03D ,1204	10 NCAC 03D .1205	10 NCAC 03D .1206	10 NCAC 03D .1301

	Other																												
	Approved Kule		12:22 NCR 2012	12:22 NCR 2012	12:22 NCR 2012		1031 0014 21.01	12:16 NCK 1321	12:16 NCR 1521	12:16 NCR 1521	12:11 NCR 947	12:11 NCR 947		12:16 NCR 1521	12:16 NCR 1521	12:16 NCR 1521	12:11 NCR 947	12:11 NCR 947	12:11 NCR 947	12:11 NCR 947		12:16 NCR 1521 12:11 NCR 947	12:21 NCR 1886	12:21 NCR 1886				11-30 NCP 2314	F1.C2 NON DC: 11
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iatus	Date	86/51/10	02/19/98 01/15/98	02/19/98	02/19/98		10/16/97	16/07/11	11/20/97	11/20/97	10/16/97	10/16/97	10/16/97	11/20/97	11/20/97	10/16/97	10/16/97	10/16/97	10/16/97	10/16/97	10/16/97	10/16/97	01/15/98	01/15/98				01/16/97	16161160
RRC Status	Action	Object	Approve Object	Approve	Approve		Object	Approve Object	Approve	Approve	Approve	Approve	Object	Approve Object	Approve	Object Approve	Approve	Approve	Approve	Approve	Object	Approve Approve	Approve	Approve				Withdrawn	Approve
Fiscal	Note	*	*	*			*	*	*		*	*	*	*		*	*	*	*	*	*	*	*	*		*		* *	٠
Notice of	Text	12:05 NCR 339	12:05 NCR 339	13:05 NCB 330	12.03 INCN 339		11:29 NCR 2187	11:29 NCR 2187	11.30 NCB 2187	18.2 NON 2.197	11:29 NCR 2187	11:29 NCR 2187	11:29 NCR 2187	11.29 NCP 2187	1017 11011 (7:11)	11:29 NCR 2187	12:06 NCR 459	12:06 NCR 459	iervices	12:19 NCR 1766		11:14 NCR 1124	11:24 NUK 1822						
Temporary	Rule																								d Substance Abuse S	12:12 NCR 1060			
Rule-making	Proceedings	11:23 NCR 1779	11:23 NCR 1779	11.33 NCB 1770	11:23 INCK 1779	11:23 NCR 1779	10:18 NCR 2399	10:18 NCR 2399	10:18 NCD 2300	10.10 INCK 2373	10:18 NCR 2399	10:18 NCR 2399	10:18 NCR 2399	10:18 NCR 2309	10.18 INCH 2000	10:18 NCR 2399	11:23 NCR 1779	11:23 NCR 1779	ental Disabilities and		12:20 NCR 1820	11:08 NCR 449	12:20 NCR 1820						
Agency/Rule	Citation	10 NCAC 03D .1302	10 NCAC 03D .1401	2011 GEO DA DIA 01	10 INCAC 05D .1403	10 NCAC 03D .1500	10 NCAC 03D .2001	10 NCAC 03D .2101	10 NC AC 03D 2102	10 INCINC 05D 32102	10 NCAC 03D .2103	10 NCAC 03D .2104	10 NCAC 03D .2105	10 NC AC 03D 2106	0017: GC0 OVON 01	10 NCAC 03D .2201	10 NCAC 03D .2202	10 NCAC 03D .2203	10 NCAC 03D .2301	10 NCAC 03D .2302	10 NCAC 03D .2303	10 NCAC 03D .2401	10 NCAC 03M .0105	10 NCAC 03M .0205	Mental Health, Developmental Disabilities and Substance Abuse Services	10 NCAC 14G .0102	10 NCAC 14V .0800	10 NCAC 14V .3402	10 NCAC 14V .3800

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC Status	status	Text differs	Effective by	olic Olic Common A	1.400
Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governar	Approved Nille	Omer
10 NCAC 14V .3803	11.08 NCR 449		HE14 NCR 1124	* *	Withdrawn	01/16/97	*		11.30 MCD 2214	
10 NCAC 14V .4000 10 NCAC 14V .4301	12:20 NCR 1820 12:19 NCR 1762		1.24 INCIN 1822		accide	16161160			11.50 INCH 2514	
10 NCAC 14V ,4302	12:19 NCR 1762									
10 NCAC 14V ,4303	12:19 NCR 1762									
10 NCAC 14V .4304	12:19 NCR 1762									
10 NCAC 14V .4305	12:19 NCR 1762									
10 NCAC 14V ,4306	12.19 NCR 1762									
10 NCAC 14V .5000	12:20 NCR 1820									
10 NCAC 14V .5602	11:08 NCR 449		11:14 NCR 1124	*	Withdrawn	01/16/97				
SCIO ASI DADINOI	11.08 NCB 440		11:24 NCR 1822	* *	Approve	05/15/97			11:30 NCR 2314	
10 NCAC 13A .0128	11.08 NCK 449		11:24 NCR 1822	*	Approve	05/15/97			11:30 NCR 2314	
10 NCAC 15A .0129	11:08 NCR 449		11:14 NCR 1124	*	Withdrawn	26/91/10				
			11:24 NCR 1822	*	Approve	05/15/97			11:30 NCR 2314	
10 NCAC 18W :0201	10:15 NCR 1478		H:14 NCR 1124	s	Object	01/16/97	,			
10 NCAC 18W .0202	10:15 NCR 1478		11:14 NCR 1124	s	Approve Approve	76/07/70	· *			
10 NCAC 18W .0203	10:15 NCR 1478		11:14 NCR 1124	S	Object	26/91/10				
10 NCAC 18W 0204	10:15 NCR 1478		11-14 NCR 1124	v.	Approve	02/20/97	* *			
10 NCAC 18W 0205	10:15 NCP 1479		11:14 NCP 1123	. 0	Object	79/91/10				
5070, WOLLDAY 01	10.13 14CK 1476		11.14 MCM 1124	î	Approve	02/20/97	*			
10 NCAC 18W .0206	10:15 NCR 1478		11:14 NCR 1124	S	Approve	26/91/10	*			
10 NCAC 18W .0207	10:15 NCR 1478		11:14 NCR 1124	S	Approve	16/91/10	*			
10 NCAC 18W .0208	10:15 NCR 1478		II:14 NCR 1124	S	Approve	26/91/10	*			
10 NCAC 18W 0209	10:15 NCR 1478		11:14 NCR 1124	S	Approve	26/91/10	*			
10 NCAC 18W .0210	10:15 NCR 1478		11:14 NCR 1124	S	Approve	26/91/10	*			
10 NCAC 18W .0211	10:15 NCR 1478		11:14 NCR 1124	s	Object	26/91/10	4			
10 NCAC 18W .0212	10:15 NCR 1478		11:14 NCR 1124	S	Арргоус Арргоус	02/20/9/	• *			
10 NCAC 18W .0213	10:15 NCR 1478		11:14 NCR 1124	s	Approve	26/91/10	*			
10 NCAC 18W .0214	10:15 NCR 1478		11:14 NCR 1124	s	Ohject	01/16/97				

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by	~ 1	7.1.
Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kuie	Other
					Approve	79/02/20	*			
10 NCAC 18W .0215	10:15 NCR 1478		11:14 NCR 1124	S	Object	26/91/10	,			
10 NCAC 18W .0216	10:15 NCR 1478		11:14 NCR 1124	S	Approve Approve	01/16/97	+ +			
10 NCAC 18W .0217	10:15 NCR 1478		11:14 NCR 1124	s	Approve	01/16/97	*			
10 NCAC 18W .0218	10:15 NCR 1478		11:14 NCR 1124	S	Object	01/16/97	,			
10 NCAC 18W .0219	10:15 NCR 1478		11:14 NCR 1124	s	Approve Approve	76/97/10 10/16/97	+ +			
10 NCAC 45H .0200	11:08 NCR 449									
10 NCAC 45H .0203	11:08 NCR 449	11:29 NCR 2208	11:29 NCR 2208	*	Approve	12/18/97	*		12:17 NCR 1620	
10 NCAC 45H .0205	11:19 NCR 1762									
Secretary of Health and Human Services	Human Services									
10 NCAC 14C .1151	12:20 NCR 1820									
10 NCAC 14V .7006		12:01 NCR 31	12:07 NCR 511	*						
10 NCAC 14V .7101	11:30 NCR 2300		12:06 NCR 459	*	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 14V .7102	11:30 NCR 2300		12:06 NCR 459	*	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 14V .7103	11:30 NCR 2300		12:06 NCR 459	S	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 14V .7104	11:30 NCR 2300		12:06 NCR 459	S	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 14V .7105	11:30 NCR 2300		12:06 NCR 459	S	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 21B .0117		12:17 NCR 1616	12:21 NCR 1875	S						
Social Services Commission	ion									
10 NCAC 24A .0508	12:12 NCR 993	12:13 NCR 1180	12:23 NCR 2090	*						
10 NCAC 30 .0207	12:11 NCR 919	12:14 NCR 1347	12:15 NCR 1420	*						
10 NCAC 35E .0101		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 35E .0105		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 35E .0106		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 35E .0308		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 41A .0007		12:11 NCR 938	12:15 NCR 1420	*						
10 NCAC 41E	12:11 NCR 919									
10 NCAC 41F .0707		12:11 NCR 938	12:15 NCR 1420	S						

(Updated through May 27, 1998)

Agency/Bulc	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		,
Citation	Proceedings	Rule	Text	Note	Action	Date	irom proposal	Governor	Approved Kule	Other
10 NCAC 41F.0813		12:11 NCR 938	12:15 NCR 1420	s						
10 NCAC 41G	12.11 NCR 919									
10 NCAC 411.0100	10:17 NCR 2228									
10 NCAC 411 .0102	10.17 NCR 2228		10:21 NCR 2687	*						
10 NCAC 42C .2301	12:22 NCR 1979									
10 NCAC 42C .3401		12.13 NCR 1180								
10 NCAC 42C .3403		12:13 NCR 1180								
10 NCAC 42C .3404		12:13 NCR 1180								
10 NCAC 42C .3601		12:13 NCR 1180								
10 NCAC 42J ,0001		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 42J .0004		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97			12:07 NCR 561	
10 NCAC 42J .0005		11:16 NCR 1288	11:30 NCR 2301	*	Object	08/21/97				
10 NCAC 42R 0201	12-11 NCR 919	12-13 NCR 1180	12-23 NCR 2090	5	Approve	16/18/60	*		12-10 NCR 878	
6020 4 11 0 4 0 14 0 1		000 0000 000	13 15 1100 1430							
10 NCAC 47A .0502		12:11 NCR 938	12:15 NCR 1420	*						
10 NCAC 47B .0102		12:11 NCR 938	12:15 NCR 1420	*						
10 NCAC 47B .0303		12:11 NCR 938	12:15 NCR 1420	*						
10 NCAC 47B .0304		12:11 NCR 938	12:15 NCR 1420	*						
10 NCAC 47B .0305		12:11 NCR 938	12:15 NCR 1420	*						
10 NCAC 47I3 .0403		12:11 NCR 938	12.15 NCR 1420	*						
10 NCAC 49B .0608	12:20 NCR 1822									
Vocational Rehabilitation Services	a Services									
10 NCAC 20C .0201	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0202	12.08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0203	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0601	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0603	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0604	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C :0606	12.08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	

Agenev/Rule	Rule-making	Тепрогагу	Notice of	Fiscal	RRC Status		Text differs	Effective by	Ť	
Citation	Proceedings	Rule	Text	Note	Action	Date	irom proposal	Governor	Approved Kule	Other
INSURANCE										
11 NCAC 06	12:09 NCR 744									
11 NCAC 10 .0105	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98	*		12:23 NCR 2100	
11 NCAC 10 .0602		11:15 NCR 1223	11:19 NCR 1426	*	Approve	03/20/97	*		11:26 NCR 2004	
11 NCAC 10 .0603		11:15 NCR 1223	11:19 NCR 1426	*	Approve	03/20/97	*		11:26 NCR 2004	
11 NCAC 10 .0606		11:15 NCR 1223	11:19 NCR 1426	*	Approve	03/20/97	*		11:26 NCR 2004	
11 NCAC 11B .0601	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0602	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0603	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0604	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0605	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0606	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0607	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0608	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0609	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0610	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0611	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0612	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0613	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0614	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0615	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0616	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0617	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11C .0108	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11C .0109	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 12	12:09 NCR 744									
11 NCAC 12.1703	N/A	N/A	N/A		Approve	12/18/97			12:17 NCR 1620	
11 NCAC 12 .1801		12:11 NCR 942	12:15 NCR 1424	*	Approve	04/15/98				

Fiscal	Text
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	12:15 NCR 1424 **
	12:15 NC'R 1424 **
	12.15 NCR 1424 **
	12:14 NCR 1262 **
	12:14 NCR 1262 *
	12:14 NCR 1262 *
	12:14 NCR 1262 *
	12:14 NCR 1252 *
	12:14 NCR 1252 *
	12;14 NCR 1252 *
	12:14 NCR 1252 *
	H:19 NCR 1416 *
	HE19 NCR 1416 **
	11:25 NCIK 1906 **
	H:19 NCR 1416 *

Oither	
Annuous Dule	approved water
Effective by	Governor
Text differs	proposal
RRC Status	Date
	Action
Fiscal	Note
Notice of	Text
Temporary	Rufc
Rule-making	Proceedings
Agency/Rule	Citation

Temp Filed over obj	Temn Filed over obi	foo sa co sa co danse	Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj	:	Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj		Temp Filed over obj	
	12:03 INCK 213	12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213	11. 00 MCB. C1	12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213		12:03 NCR 213
																						*																		
Agency Withdrew 03/97	Approve 06/19/9/ Agency Withdrew 03/97	Approve 06/19/97	Vithdrew	Approve 06/19/97	Vithdrew	Approve 06/19/97	Agency Withdrew 03/97	Approve 06/19/97	/ithdrev	Approve 06/19/97	Agency Withdrew 03/97	Approve 06/19/97	Vithdrev	Approve 06/19/97	Agency Withdrew 03/97	Approve 06/19/9/	/ithdrev	Approve 06/19/97	Agency Withdrew 03/97	Approve 06/19/97	Vithdrev	Approve 06/19/97	Agency Withdrew 03/97	Approve 06/19/97	Agency Withdrew 03/97	Approve 06/19/97	Agency Withdrew 03/97	Approve 06/19/97												
* 1	· *	*	*	*	*	*	*	*	*	*	*	*	* •	* 1			* -	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
11:19 NCR 1416	11:23 NCR 1906 11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCK 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906	11:19 NCR 1416	11:25 NCR 1906
11:15 NCR 1212	11-15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212		11:15 NCR 1212	
11 NCAC 08 .1005	11 NCAC 08 1006		11 NCAC 08 .1007		11 NCAC 08 .1008		11 NCAC 08 .1009		11 NCAC 08 .1010		11 NCAC 08,1011		11 NCAC 08 .1101		11 NCAC 08 .1102		11 NCAC 08 .1103		11 NCAC 08 .1104		11 NCAC 08 .1105		11 NCAC 08.1106		11 NCAC 08 .1107		11 NCAC 08 .1108		11 NCAC 08 .1109		11 NCAC 08 .1110		11 NCAC 08 .1111		11 NCAC 08 .1112		11 NCAC 08 .1113		11 NCAC 08 .1114	

Princeding   Rule   Text   Nate   Action   Date   proposal   Action   Act	Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by	G	
11.5 NCR   121   11.9 NCR   1416   Agency Withdrow   03.97   12.03 NCR   213   11.9 NCR   1416   Agency Withdrow   03.97   11.25 NCR   120   12.25 NCR   1		Proceedings	Rule	Text	Nate	Action	Date	proposal	Governor	Approved Kule	Office
H.15 NCR 1212   11-19 NCR 1416   Approve Withdrew 03.97   12-03 NCR 213   12	1										
11.5 KCR 1212   11.9 KCR 1246   Approve   66/19/37   12.9 KCR 213   12.9 KCR 1416   Approve   66/19/37   12.9 KCR 1216   12.5 KCR 1916   Approve   66/19/37   12.9 KCR 1216   12.9 KCR 1416   Approve   66/19/37   12.0 KCR 1216   12.9 KCR 1416   Approve   66/19/37   12.0 KCR 1216   12.9 KCR 1416   Approve   66/19/37   12.0 KCR 1416   Approve   66/19/37   12.0 KCR 1216   12.9 KCR 1416   Approve   66/19/37   12.0 KCR 1216   12.9 KCR 1416   Approve   66/19/37   12.0 KCR 1216   Approve   60/19/37   Approve   60/19/37   Approve   60/19/37   Approve   60/19/37											
H-15 NCR 1212   H-15 NCR 121			11.15 NCR 1212	11:19 NCR 1416	* *	Ageney Witho	lrew 03/97 06/19/97			12-03 NCB 213	Temp Filed over obj
11-15 NCR   121   11-25 NCR   1446   145			11:15 NCR 1212	11:19 NCR 1416	*	Agency Withou	lrew 03/97			12.03 INCIN 213	Temp Filed over obi
H15 NCR 1212   H10 NCR 1416   Agency Withdrew 03.97   H25 NCR 1212   H10 NCR 1416   Agency Withdrew 03.97   H25 NCR 1212   H10 NCR 1416   Agency Withdrew 03.97   H25 NCR 1212   H25 NCR 1906   Agency Withdrew 03.97   H25 NCR 1212   H25 NCR 1906   Agency Withdrew 03.97   H25 NCR 1212   H25 NCR 1416   Agency Withdrew 03.97   H25 NCR 1212   H25 NCR 1416   Agency Withdrew 03.97   H25 NCR 1212   H25 NCR 1416   Agency Withdrew 03.97   H25 NCR 1212   H25 NCR 1213   Approve   66/1907   H25 NCR 1210   H2				11:25 NCR 1906	*	Approve	26/61/90			12:03 NCR 213	
1115 NCR 121			11-15 NCR 1212	11:19 NCR 1416	*	Agency Witho	Irew 03/97				Temp Filed over obj
1115 NCR 121   1125 NCR 1216   Agency Windrew 13397   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13397   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13397   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1416   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1946   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1946   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1946   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1946   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1946   Agency Windrew 13497   12.03 NCR 213     1125 NCR 1212   1125 NCR 1946   Agency Windrew 13497   12.23 NCR 2100     1125 NCR 1213   Approve 1320/98   12.23 NCR 2100     1125 NCR 123   Approve 1320/98   12.23 NCR				11:25 NCR 1906	*	Approve	26/16/90			12.03 NCR 213	
H.15 NCR   121   112 NCR   113 NCR   114    Approve   Mindrew   0397   1120 NCR   213   1120 NCR   113 NCR   114    Approve   06(1997   1120 NCR   213   1120 NCR   113 NCR   114    Approve   06(1997   1120 NCR   110 NCR			11:15 NCR 1212	11:19 NCR 1416	* *	Agency Witho	Irew 03/97			10.60 NCB 212	Temp Filed over obj
11-15 NCR   121   122 NCR   1406   120 NCR   1406   120 NCR   1406   1			11-15 NCR 1212	11:23 NCR 1906 11:19 NCR 1416	· *	Approve Agency Witho	06/19/97 Irew 03/97			12.03 INCK 213	Temp Filed over obi
H15 NCR 1212   H25 NCR 1416   Agence Withdrew 03.97   H25 NCR 1212   H25 NCR 1213   H25 NCR 12			11.12 MON 12.12	11:25 NCR 1906	*	Approve	76/61/90			12:03 NCR 213	fuo pao pau della
			11-15 NCR 1212	11:19 NCR 1416	*	Agency Witho	Irew 03/97				Temp Filed over obj
11.15 NCR 1212   11.25 NCR 1416   1.00   1				11:25 NCR 1906	*	Approve	06/19/97			12:03 NCR 213	
11.5 NCR 1212   11.25 NCR 1906   * Approve   06/1997   12.03 NCR 213   12.50 NCR 213   12.50 NCR 2140   * Approve   06/1997   12.50 NCR 213   12.50 NCR 1906   * Approve   06/1997   12.50 NCR 213   12.50 NCR 1906   * Approve   06/1997   12.50 NCR 213   12.50 NCR 1906   * Approve   06/1997   12.50 NCR 213   12.50 NCR 1906   * Approve   06/1997   12.50 NCR 213   12.50 NCR 213   12.50 NCR 1906   * Approve   06/1997   * Approve   06/1997   12.50 NCR 213   12.50 NCR 213   12.50 NCR 213   12.50 NCR 213   12.50 NCR 2130   12.50 NCR 2			11.15 NCR 1212	11:19 NCR 1416	*	Agency Witho	lrew 03/97				Temp Filed over ohj
H.15 NCR 1212   H.19 NCR 1416   * Approve 06/19/97   H.15 NCR 1212   H.19 NCR 1416   * Approve 06/19/97   H.15 NCR 1212   H.19 NCR 1416   * Approve 06/19/97   H.15 NCR 1212   H.19 NCR 1416   * Approve 06/19/97   H.15 NCR 1212   H.19 NCR 1416   * Approve 06/19/97   H.15 NCR 1212   H.19 NCR 1416   * Approve 06/19/97   H.15 NCR 1213   H.15 NCR 1213   H.15 NCR 1213   H.15 NCR 1213   * Approve 03/20/98   * H.15 NCR 1213   H.15 NCR 1213   * Approve 03/20/98   * H.15 NCR 1210   H.15 NCR 1213   * Approve 03/20/98   * H.15 NCR 1210   H.15 NCR 1213   * Approve 03/20/98   H.15 NCR 1210   H.15 NCR 1213   * Approve 03/20/98   H.15 NCR 1210   H.15 NCR 1213   * Approve 03/20/98   H.15 NCR 1210   H.15 NCR 1214 NCR 1233   * Approve 03/20/98   H.15 NCR 1210   H.15 NCR 1213   H.15 NCR 1213   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1213   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1213   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1213   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1213   H.15 NCR 1213   H.15 NCR 1210   H.15 NCR 1213   H.15 NCR 1210   H.15 NCR 1213   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1210   H.15 NCR 1221   H.15 NCR 122				11:25 NCR 1906	*	Approve	26/61/90			12:03 NCR 213	
11.15 NCR   121   11.25 NCR   1906   • Approve   06/19/97   12.03 NCR   213   11.25 NCR   1906   • Approve   06/19/97   11.25 NCR   1906   • Approve   06/19/97   12.03 NCR   213   11.25 NCR   1906   • Approve   06/19/97   12.03 NCR   213   12.03 NCR   213 NCR   213 NCR   213 NCR   213 NCR   213 NC			H:15 NCR 1212	11:19 NCR 1416	* :	Agency Witho	Irew 03/97				Temp Filed over obj
High NCR 1212   High NCR 1416   Topinove   Agency withdrew 03.97   High NCR 1212   High NCR 1416   Topinove   Agency withdrew 03.97   Approve   O6/19/97   High NCR 1416   Topinove   O6/19/97   High NCR 1213   High NCR 1416   Topinove   O6/19/97   High NCR 1213   High NCR 1213   High NCR 1213   High NCR 1213   Topinove   O6/19/97   High NCR 1213   High NCR 1213   Approve   O6/19/97   High NCR 1213   Approve   O6/19/97   High NCR 1213   Approve   O6/19/97   High NCR 1213   Approve   O3/20/98   High NCR 1214 NCR 1223   Approve   O3/20/98   High NCR 1224				11:25 NCR 1906	¥- ÷	Approve	06/19/97			12:03 NCR 213	
HILLS NCR 1212   HIS NCR 1213   HIS NCR 1213   HIS NCR 1210   HIS			H:15 NCK 1212	11:19 NCR 1416	<del>*</del> *	Agency Witho	Irew 03/97			13:02 MCB 213	Temp Filed over obj
1.25 NCR 1906   4 Aptinos (1619)97   12.03 NCR 213   12.05 NCR 1906   4 Aptinos (1619)97   12.03 NCR 213   12.05 NCR 1906   4 Aptinos (1619)97   12.03 NCR 213   12.05 NCR 1906   4 Aptinos (1619)97   4 Aptinos (1619)98   4 Aptinos (1619)99		11:15 NCR 1212	11:19 NCR 1900	*	Approve Ageney With	00/19/9/ Irew 03/97			12.03 INCN 213	Temp Filed over obi	
H.15 NCR 1212   H.25 NCR 1416   * Ageney withdrew 03/97   * Approve 06/19/97   * 1223 NCR 213			11.12 MCM 12.12	11:25 NCR 1906	*	Approve	16/16/90			12.03 NCR 213	too roan a duran
NCR 744       11:25 NCR 1906       * Approve 06/19/97         NCR 744       12:14 NCR 1253       * Approve 03/20/98       * Approve 03/20/98         NCR 744       12:14 NCR 1253       * Approve 03/20/98         NCR 745       12:14 NCR 1253       * Approve 03/20/98         NCR 745       12:14 NCR 1253       * Approve 03/20/98         NCR 2300       NCR 8300         NCR 2300       * Tabled 06/19/97         NCR 993       12:20 NCR 1823       * Approve 08/21/97			11:15 NCR 1212	11:19 NCR 1416	*	Ageney withd	rew 03/97				Temp Filed over obj
NCR 744  12:14 NCR 1253  NCR 745  NCR 746  NCR 746  NCR 747  NCR 748  NCR 748  NCR 749  NCR 749  NCR 749  NCR 749  NCR 740		41E GOIA 60-61		11:25 NCR 1906	* *	Approve	06/19/97	*		12:03 NCR 213	
NCR 744       12:14 NCR 1253       *       Approve       03/20/98       *         NCR 744       12:14 NCR 1253       *       Approve       03/20/98       *         NCR 744       12:14 NCR 1253       *       Approve       03/20/98         NCR 734       12:14 NCR 1253       *       Approve       03/20/98         NCR 2309       *       Tabled       06/19/97         NCR 3057       11:14 NCR 1136       *       Tabled       06/19/97         NCR 993       12:20 NCR 1823       *       Approve       08/21/97		12.09 INCK /44		12,14 INCK 1233		avoiddy	03/70/48			12.23 INCN 2100	
NCR 744       12:14 NCR 1253       *       Approve       03/20/98         NCR 349       11:14 NCR 1136       *       Tabled       06/19/97         NCR 3057       11:14 NCR 1136       *       Tabled       06/19/97         NCR 993       12:20 NCR 1823       *       Approve       08/21/97		12:09 NCR 744		12:14 NCR 1253	*	Approve	03/20/98	*		12:23 NCR 2100	
NCR 744       12:14 NCR 1253       *       Approve       03/20/98         NCR 745       12:14 NCR 1253       *       Approve       03/20/98         NCR 2300       *       Tabled       06/19/97         NCR 3057       11:14 NCR 1136       *       Tabled       06/19/97         NCR 993       12:20 NCR 1823       *       Approve       08/21/97		12:09 NCR 744		12:14 NCR 1253	*	Approve	03/20/98			12:23 NCR 2100	
NCR 744         12:14 NCR 1253         *         Approve         03/20/98           NCR 2300         *         Tabled         06/19/97           NCR 3057         11:14 NCR 1136         *         Tabled         06/19/97           NCR 993         12:20 NCR 1823         *         Approve         08/21/97		12.09 NCR 744		12:14 NCR 1253	*	Approve	03/20/98			12:23 NCR 2100	
VCR 744       12:14 NCR 1253       *       Approve       03/20/98         NCR 744       12:14 NCR 1253       *       Approve       03/20/98       *         NCR 744       12:14 NCR 1253       *       Approve       03/20/98       *         NCR 2300       NCR 2300       *       Tabled       06/19/97         NCR 3057       11:14 NCR 1136       *       Tabled       06/19/97         NCR 993       12:20 NCR 1823       *       Approve       08/21/97		12.09 NCR 744		12:14 NCR 1253	*	Approve	03/20/98			12:23 NCR 2100	
VCR 744       12:14 NCR 1253       *       Approve       03/20/98       *         NCR 744       12:14 NCR 1253       *       Approve       03/20/98       *         NCR 2300       NCR 3057       11:14 NCR 1136       *       Tabled 7Approve       06/19/97         NCR 903       12:20 NCR 1823       *       Approve       08/21/97		12:09 NCR 744		12:14 NCR 1253	*	Approve	03/20/98			12:23 NCR 2100	
VCR 744       12:14 NCR 1253       *       Approve       03/20/98       *         NCR 2300       *       Tabled       06/19/97         NCR 3057       *       Tabled       06/19/97         NCR 993       12:20 NCR 1823       *       Approve       08/21/97		12.09 NCR 744		12:14 NCR 1253	*	Approve	03/20/98			12:23 NCR 2100	
NCR 2300 NCR 3057		12:09 NCR 744		12:14 NCR 1253	*	Approve	03/20/98	*		12:23 NCR 2100	
NCR 3057 11:14 NCR 1136 * Tabled 06/19/97 Approve 08/21/97 Approve 08/21/97											
11:14 NCR 1136 * Tabled 06/19/97 Approve 08/21/97 *	50	Board									
11:14 NCR 1136 * Tabled 06/19/97 Approve 08/21/97 12:20 NCR 1823 *		11:30 NCR 2300									
12:20 NCR 1823 * Approve 08/21/9/		10:24 NCR 3057		H:14 NCR 1136	*	Tabled	26/16/90			125 GDIN 50:C1	
		12:12 NCR 993		12:20 NCR 1823	*	avoiddy	00/21/97			12.07 INCK 501	

Aronov/Bula	Dulo.mobing	Temporery	Notice of	Fiscal	RRC	RRC Status	Text differs	F (fective by		
Citation	Proceedings	Rule	Text	Note	Aetion	Date	from proposal	Governor	Approved Rule	Other
12 NCAC 11 .0210	12:08 NCR 618		12:20 NCR 1823	*						
12 NCAC 11.0501	11:30 NCR 2300		12:20 NCR 1823	*						
12 NCAC 11 .0502	11:30 NCR 2300		12:20 NCR 1823	*						
12 NCAC 11,0503	11:30 NCR 2300		12:20 NCR 1823	*						
12 NCAC 11,0504	11:30 NCR 2300		12:20 NCR 1823	*						
12 NCAC 11 .0505	11:30 NCR 2300		12:20 NCR 1823	*						
12 NCAC 11,0506	11:30 NCR 2300		12:20 NCR 1823	*						
12 NCAC 11 .0507	11:30 NCR 2300		12:20 NCR 1823	*						
Criminal Justice Education and Training Standards Commission	ion and Training Sta	ındards Commission								
12 NCAC 09A .0103	11:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09A .0103	12:21 NCR 1873									
12 NCAC 09B ,0101	12:21 NCR 1873									
12 NCAC 09B .0102	11:14 NCR 1109		11:20 NCR 1539	*	Object	04/17/97				
12 NCAC 09B .0111	11:14 NCR 1109		11:20 NCR 1539	*	Approve Approve	05/15/97 04/17/97	•		11:30 NCK 2314 11:29 NCR 2211	
12 NCAC 09B .0206	11:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09B .0210	12:21 NCR 1873									
12 NCAC 09B .0211	12:21 NCR 1873									
12 NCAC 09B .0212	12:21 NCR 1873									
12 NCAC 09B .0213	12:21 NCR 1873									
12 NCAC 09B .0214	12:21 NCR 1873									
12 NCAC 09B .0215	12:21 NCR 1873									
12 NCAC 09B .0218	12:21 NCR 1873									
12 NCAC 09B .0220	12:21 NCR 1873									
12 NCAC 09B .0221	12:21 NCR 1873									
12 NCAC 09B .0222	12:21 NCR 1873									
12 NCAC 09B .0224	11:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09B .0225	11:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09B .0301	12:21 NCR 1873									

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Citation	Proceedings	Rufe	Text	Note	Action	Date	proposal	Governor	Approved water	Office
12 NCAC 0913-0305	12:21 NCB 1873									
12 NCAC 60B 0309	13-21 NCB 1873									
12 NCAC 09B :0310	12:21 NCR 1873									
12 NCAC 09B .0311	12:21 NCR 1873									
12 NCAC 09B .0404	12:21 NCR 1873									
12 NCAC 0913,0408	12:21 NCR 1873									
12 NCAC 09B .0409	H.14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09B .0409	12:21 NCR 1873									
12 NCAC 09B 0414	12:21 NCR 1873									
12 NCAC 09B .0416	12:21 NCR 1873									
12 NCAC 09C 0304	H:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C ,0307	11-14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97	*		11:29 NCR 2211	
12 NCAC 09C ,0309	H:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0308	12-21 NCR 1873									
12 NCAC 09C .0601	H:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			H:29 NCR 2211	
12 NCAC 09C .0602	11.14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0603	11:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C ,0604	11.14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			H:29 NCR 2211	
12 NCAC 09C .0605	H:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C 0606	H:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0607	11.14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0608	11 14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
Private Protective Services Board	ces Board									
12 NCAC 07D .0104	11:16 NCR 1268		12:09 NCR 748	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 07D .0201	11:10 NCR 818		12:08 NCR 622	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 07D .0204	11.14 NCR 1108		12:08 NCR 622	*	Object	03/20/98				
12 NCAC 07D .0504	11:10 NCR 818		12:08 NCR 622	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 07D .0701	11:10 NCR 818		12:08 NC'R 622	*	Approve	03/20/98			12:23 NCR 2100	

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Object 03/2
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12:14 NCR 1263 12:14 NCR 1263 12:14 NCR 1263
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NCR 1268 NCR 1268 NCR 1268
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					RRC	RRC Status	Text differs			
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12 NCAC 1013 .0408	12:07 NCR 508	12.18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 1013 0409	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 10B .0505	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12.17 NCR 1620	
12 NCAC 10B 0505	V/Z	N/N	V/Z		Approve	86/51/10			12:21 NCR 1886	
12 NCAC 10B .0601	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B 0601	V/N	N/A	V/V		Approve	86/51/10			12:21 NCR 1886	
12 NCAC 10B 0602	V/V	V/N	V/Z		Approve	86/51/10			12:21 NCR 1886	
12 NCAC 10B .0603	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B .0603	V/N	V/Z	V/Z		Approve	86/51/10			12:21 NCR 1886	
12 NCAC 1013 .0605	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B 0701	12:07 NCIR 508	12.18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 10B .0701	V/Z		V/V		Approve	86/51/10			12:21 NCR 1886	
12 NCAC 10B .0702	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .0702	12:07 NCR 508	12.18 NCIR 1703	12:12 NCR 995	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 10B .0703	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B .0704	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B .0705	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .0706	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .0707	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B -0801	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .0802	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B .0903	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B 0908	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .0909	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B .0910	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .0911	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .1001	<\Z	N/N	V/V		Approve	01/15/98			12:21 NCR 1886	
12 NCAC 10B .1002	12.04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	

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Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Rule	Otner
12 NCAC 10B .1002	N/A	N/A	N/A		Approve	01/15/98			12:21 NCR 1886	
12 NCAC 10B .1004	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .1005	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B.1006	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B.1101	12:04 NCR 242		12:08 NCR 624	*	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B .1101	12:07 NCR 508	12:18 NCR 1703	12:08 NCR 624							
12 NCAC 10B .1101	N/A	N/A	N/A		Approve	01/15/98			12:21 NCR 1886	
12 NCAC 10B .1102	12:04 NCR 242		12:08 NCR 624	*	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B .1102	12:07 NCR 508	12:18 NCR 1703	12:08 NCR 624							
12 NCAC 10B .1102	N/A	N/A	N/A		Approve	86/51/10			12:21 NCR 1886	
12 NCAC 10B .1103	12:04 NCR 242		12:08 NCR 624	*	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B .1103	12:07 NCR 508	12:18 NCR 1703	12:08 NCR 624							
12 NCAC 10B .1104	12:04 NCR 242		12:08 NCR 624	*	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B .1104	12:07 NCR 508	12:18 NCR 1703	12:08 NCR 624							
12 NCAC 10B .1105	12:04 NCR 242		12:08 NCR 624	*	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B .1201	N/A	N/A	N/A		Approve	01/15/98			12:21 NCR 1886	
12 NCAC 10B .1202	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B .1202	N/A	N/A	N/A		Approve	01/15/98			12:21 NCR 1886	
12 NCAC 10B .1204	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .1205	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97			12:17 NCR 1620	
12 NCAC 10B .1206	12:04 NCR 242		12:08 NCR 624	*	Approve	12/18/97	*		12:17 NCR 1620	
12 NCAC 10B .1301	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 10B .1302	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	S	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 10B .1303	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	S	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 10B .1304	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	S	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B .2001	N/A	N/A	N/A		Approve	01/15/98			12:21 NCR 1886	
12 NCAC 10B .2002	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98			12:23 NCR 2100	
12 NCAC 10B .2101	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98	*		12:23 NCR 2100	

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Citation	Proceedings	Rate	Text	Note	Action	Date	rrom proposal	Governor	Approved Kule	Other
12 NCAC 10B .2102	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B 2104	12.07 NCR 508	12.18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98	*		12:23 NCR 2100	
12 NCAC 10B .2105	12:07 NCR 508	12:18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98			12:23 NCR 2100	
State Bureau of Investigation/Division of Criminal Information	ation/Division of Cri	iminal Information								
12 NCAC 04E .0103	11:11 NCR 881		11:17 NCR 1339	*	Approve	05/15/97			11:30 NCR 2314	
12 NCAC 04E .0104	11-17 NCR 1336		11:22 NCR 1710	*	Approve	07/17/97	*		12:04 NCR 317	
12 NCAC 04E .0401	11:17 NCR 1336		11:22 NCR 1710	*	Approve	07/11/97			12:04 NCR 317	
12 NCAC 04E .0404	11:17 NCR 1336		11:22 NCR 1710	*	Approve	76/11/10	*		12:04 NCR 317	
12 NCAC 04E .0405	11:17 NCR 1336		11:22 NCR 1710	*	Approve	07/17/97	*		12:04 NCR 317	
LABOR										
Boiler & Pressure Vessel										
13 NCAC 13 .0213		11:25 NCR 1918	Temp Expired 12/27/97	5	V section of	90/00/20	*		0015 GUN 50:51	
Occupational Safety and Health	Health	12:13 NCK 1184	12,13 NCK 1184	SVL	Approve	03/20/98			12.23 INCN 2100	
*Verbatim Adoption Federal Standards	Federal Standards									
*13 NCAC 07F .0101										12:08 NCR 613
*13 NCAC 07F .0101									12:20 NCR 1815	
*13 NCAC 07F.0201									12:20 NCR 1815	
*13 NCAC 07F .0501										12:08 NCR 613
*13 NCAC 07F .0502										12:08 NCR 613
13 NCAC 07A .0302	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*			12:16 NCR 1521
13 NCAC 07A .0708	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07A .0900	H:11 NCR 881									
13 NCAC 07F	11:03 NCR 106									
13 NCAC 07F .0101	11:24 NCR 1817		12:05 NCR 354	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0101	11:26 NCR 1984		12:03 NCR 170	*						
13 NCAC 07F .0102	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97			12:16 NCR 1521	
13 NCAC 07F .0201	11:03 NCR 106									
13 NCAC 07F .0201	11:09 NCR 568									republished 11 24 NCR 1817

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13 NCAC 07F .0201	11:24 NCR 1817		12:02 NCR 60	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0201	11:26 NCR 1984		12:03 NCR 170	*						
13 NCAC 07F .0301	11:03 NCR 106									
13 NCAC 07F .0301	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F.0426	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0501	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0502	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 16.0101	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16 .0102	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16 .0103	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0201	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16 .0202	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0203	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0204	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0205	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0206	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0207	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0208	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0301	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16.0302	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0303	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0401	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0402	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16 .0501	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16 .0502	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0601	11:26 NCR 1984		12:05 NCR 412	*	Agency did not adopt	ot adopt				
13 NCAC 16.0602	11:26 NCR 1984		12:05 NCR 412	*	Agency did not adopt	ot adopt				
ANDSCAPE ARCE	ANDSCAPE ARCHITECTS, BOARD OF	OF								

(Updated through May 27, 1998)

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		. 700
Citation	Proceedings	Rule	Text	Nate	Action	Date	rrom proposal	Сочегног	Approved Kule	Other
21 NCAC 26 0104		12:08 NCR 730								
21 NCAC 26 0105		12:08 NCR 730								
21 NCAC 26 0302		12:08 NCR 730								
21 NCAC 26.0506		12:08 NCR 730								
21 NCAC 26_0507		12:08 NCR 730								
21 NCAC 26.0508		12:08 NCR 730								
21 NCAC 26.0509		12:08 NCR 730								
MEDICAL BOARD										
21 NCAC 32B	H:18 NCR 1369									
21 NCAC 32B	12:04 NCR 245									
21 NCAC 32F .0103		H:18 NCR 1386	12:04 NCR 294	*						
21 NCAC 32F 0103		Temp Expired 12.14 NCR 1354	12:21 NCR 1881	*						
21 NCAC 32H .0102	11:26 NCR 1986		12.04 NCR 294	*	Approve	12/18/97	*		12:17 NCR 1620	
21 NCAC 32H .0201	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97	*		12:17 NCR 1620	
21 NCAC 32H .0202	H:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0203	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0301	11:26 NCR 1986		12.04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0302	H:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32II .0303	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0401	11:26 NCR 1986		12.04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32II .0402	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97	*		12:17 NCR 1620	
21 NCAC 32H .0402		12:04 NCR 314								
21 NCAC 32II .0403	11:26 NCR 1986		12.04 NCR 294	*	Approve	12/18/97	*		12:17 NCR 1620	
21 NCAC 32II .0404	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97	*		12.17 NCR 1620	
21 NCAC 32II .0405	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0406	11:26 NCR 1986		12:04 NCR 294	L	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0407	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 3211.0408	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12;17 NCR 1620	

Agenty/Rule	Rufe-making	Temporary	Notice of	Fiscal	RRC Status	Status	Text differs	Effective by	·	
Citation	Proceedings	Rule	Text	Note	Action	Date	from proposal	Covernor	Approved Rule	Other
		:								
21 NCAC 3211.0409	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97	*		12:17 NCR 1620	
21 NCAC 32H .0501	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 3211.0502	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0503	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0504	11:26 NCR 1986		12:04 NCR 294	J	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0505	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0506	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0507	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97	*		12:17 NCR 1620	
21 NCAC 32H .0508	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0601	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0602	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .0801	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97	*		12:17 NCR 1620	
21 NCAC 32H .0901	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32H .1004	11:26 NCR 1986		12:04 NCR 294	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 32M	12:19 NCR 1765									
21 NCAC 320	11:18 NCR 1369									
MORTUARY SCIENCE, BOARD OF	CE, BOARD OF									
21 NCAC 34A .0126	12:09 NCR 745		12:14 NCR 1334	J	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 34A .0201		12:07 NCR 556								
21 NCAC 34A .0201	12:09 NCR 745		12:14 NCR 1334	S	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 34B .0102	12:09 NCR 745		12:14 NCR 1334	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 34B .0103	12:09 NCR 745		12:14 NCR 1334	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 34B .0201	12:09 NCR 745		12:14 NCR 1334	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 34B .0403	12:09 NCR 745		12:14 NCR 1334	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 34C	12:09 NCR 745									
21 NCAC 34D .0101	12:09 NCR 745		12:14 NCR 1334	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 34D .0303	12:09 NCR 745		12:14 NCR 1334	*	Approve	03/20/98			12:23 NCR 2100	
MUNICIPAL INCORPORATIONS PETITION	PORATIONS PET	FITION								

Principality   Prin	Ageney/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status Te	Text differs	Effective by	4	
COMINY         COMINY         COMINY         COMINY         COMINY         COMINY         COMPAN         COMPAN<	Citation	Proceedings	Rule	Text	Note	Action		irom oroposai	Governor	Approved Rule	Other
County   C											
County   C	Town of Hemby Bridge	e - Union County									12:16 NCR 1479
VCR 1821         11.28 NCR 2130         *         Approve         12/18/97           VCR 1338         11.19 NCR 1428         *         Object         0.9320/97         *           VCR 5         12.06 NCR 479         *         Approve         0.9320/98         *           VCR 5         12.06 NCR 479         *         Approve         0.9320/98         *           VCR 5         12.06 NCR 479         *         Approve         0.9320/98         *           VCR 5         12.06 NCR 479         *         Approve         0.9320/98         *           VCR 5         12.06 NCR 479         *         Approve         0.94/17/97         *           ACR 5         12.06 NCR 479         *         Approve         0.94/17/97         *           ACR 5         12.10 NCR 439         *         Approve         0.94/17/97         *           ACR 5         11.11 NCR 940         11.18 NCR 1332         *         Approve         0.9320/98           ACR 745         12.14 NCR 1338         *         Approve         0.9320/98           ACR 745         12.14 NCR 1338         *         Approve         0.9320/98           ACR 745         12.14 NCR 1338         *         Approve	Town of Wesley Chape	el - Union County									12:19 NCR 1750
VCR 1821         1128 NCR 2130         * Approve         1218/07           VCR 338         1119 NCR 1428         * Object         0.9320/97           VCR 5         12.06 NCR 479         * Approve         0.9320/98           VCR 5         12.06 NCR 479         * Approve         0.94/17/97           VCR 5         12.14 NCR 1372         * Approve         0.94/17/97           VCR 745         12.14 NCR 1338         * Approve         0.920/98           VCR 745         12.14 NCR 1338         * Approve         0.920/98     <	NURSING, BOARD	OF									
VCR 1338         VCR 1428         Object         032097           VCR 5         12.06 NCR 479         • Approve         032098           VCR 5         12.06 NCR 479         • Approve         04/1797           VCR 74         12.06 NCR 479         • Approve         04/1797           VCR 745         12.16 NCR 1338         • Approve         04/1797           VCR 745         12.14 NCR 1338         • Approve         03/2098           VCR 745	21 NCAC 36 0109	11.24 NCR 1821		H:28 NCR 2130	*	Approve	12/18/97			12:17 NCR 1620	
HEIONCR H228   Cobject (137,0097	21 NCAC 36 .0227	12.05 NCR 338									
4CR 5	21 NCAC 36 .0320	11:14 NCR 1109		H:19 NCR 1428	*	Ohject	03/20/97	*		LICC GOIN BC: LI	
VCR 5         12.06 NCR 479         *         Approve         0320/98         *           VCR 5         12.06 NCR 479         *         Approve         0320/98         *           VCR 5         12.06 NCR 479         *         Approve         0320/98         *           VCR 5         12.06 NCR 479         *         Approve         0320/98         *           VCR 5         12.06 NCR 479         *         Approve         0320/98         *           VCR 5         12.10 NCR 132         *         Approve         04/17/97         *           VCR 745         12.14 NCR 1338         *         Approve         03/20/98           ACR 745         12.12 NCR	21 NCAC 36 ,0601	12:01 NCR 5		12:06 NCR 479	*	Approve	03/20/98	*		12:23 NCR 2100	
VCR 5         12.06 NCR 479         *         Approve         63/20/98         *           VCR 5         12.06 NCR 479         *         Approve         63/20/98         *           VCR 5         12.06 NCR 479         *         Approve         63/20/98         *           VCR 5         12.06 NCR 479         *         Approve         63/20/98         *           VCR 74         11.11 NCR 940         11.18 NCR 1372         *         Approve         64/17/97         *           VCR 745         12.14 NCR 1338         *         Approve         63/20/98         *         Approve         63/20/98           VCR 745         12.14 NCR 1338         *         Approve         63/20/98         *           VCR 745         12.14 NCR 1338         *         Approve         63/20/98           VCR 745         12.14 NCR 1638         *	21 NCAC 36 .0602	12.01 NCR 5		12:06 NCR 479	*	Approve	03/20/98	*		12:23 NCR 2100	
VCR 5         12:06 NCR 479         *         Approve         03/20/98           VCR 5         12:06 NCR 479         *         Approve         03/20/98           VCR 5         12:06 NCR 479         *         Approve         03/20/98           VTRATORS         11:11 NCR 940         11:18 NCR 1372         *         Approve         04/17/97         *           VCR 745         11:11 NCR 940         11:18 NCR 1332         *         Approve         04/17/97         *           VCR 745         12:14 NCR 1338         *         Approve         03/20/98         *         Approve         03/20/98           VCR 745         12:14 NCR 1338         *         Approve         03/20/98         *           VCR 745         12:14 NCR 1338         *         Approve         03/20/98         *           VCR 745         12:14 NCR 1338         *         Approve         03/20/98         *           VCR 745         12:14 NCR 1338         *         Approve         03/20/98         *           VCR 745         12:14 NCR 1338         *         Approve         03/20/98         *           VCR 745         12:14 NCR 1338         *         Approve         03/20/98         *	21 NCAC 36 .0603	12:01 NCR 5		12:06 NCR 479	*	Approve	03/20/98	*		12:23 NCR 2100	
VCR 5         12.06 NCR 479         *         Agenrey withdrew rule-making           VTRATORS         12.06 NCR 479         *         Approve         03/20/98           VTRATORS         11:11 NCR 940         11:18 NCR 1372         *         Approve         04/17/97         *           VCR 745         11:11 NCR 940         11:18 NCR 1332         *         Approve         04/17/97         *           VCR 745         12:14 NCR 1338         *         Approve         03/20/98           VCR 745         12:12 N	21 NCAC 36 .0604	12.01 NCR 5		12:06 NCR 479	*	Approve	03/20/98			12:23 NCR 2100	
TRATORS         12:06 NCR 479         * Approve         03/20/98           STRATORS         * Approve         04/17/97         *           11:11 NCR 940         11:18 NCR 1372         * Approve         04/17/97         *           VCR 745         11:11 NCR 940         11:18 NCR 1338         * Approve         03/20/98           VCR 745         12:14 NCR 1338         * Approve         03/20/98	21 NCAC 36 .0605	12:01 NCR 5		12:06 NCR 479	*	Agency withd	frew rule-making				
HILLI NCR 940	21 NCAC 36 .0606	12:01 NCR 5		12:06 NCR 479	*	Approve	03/20/98			12:23 NCR 2100	
HEIL NCR 940   HEIR NCR 1372   * Approve   04/17/97   * Approve   04/12/98   * Approve   04	NURSING HOME A	DMINISTRATOR	SS								
ILLIL NCR 940       II:18 NCR 1372       *       Approve       04/17/97         NCR 745       I2:14 NCR 1338       *       Approve       03/20/98         NCR 745       I2:14 NCR 1937       *       Approve       03/20/98	21 NCAC 37D .0202		11:11 NCR 940	11:18 NCR 1372	*	Approve	04/17/97	*		11:29 NCR 2211	
VCR 745       12:14 NCR 1338       *       Approve       03/20/98         VCR 445       12:12 NCR 1917       *       Approve       09/18/97	21 NCAC 37G .0102		11 11 NCR 940	11:18 NCR 1372	*	Approve	04/17/97			11:29 NCR 2211	
NCR 745       12:14 NCR 1338       *       Approve       03/20/98         NCR 745       12:14 NCR 1338       *       Approve       03/18/97         NCR 453       11:25 NCR 1917       *       Approve       09/18/97         NCR 1369       12:12 NCR 1058       *       Approve       09/18/97	OPTICIANS, BOARI	D OF									
UCR 745       12:07 NCR 557         UCR 745       12:14 NCR 1338       *       Approve       03/20/98         UCR 745       12:14 NCR 1338       *       Approve       03/20/98         NCR 453       11:25 NCR 1917       *       Approve       04/18/97	21 NCAC 40 .0104	12:09 NCR 745		12:14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
NCR 745       12:14 NCR 1338       *       Approve       03/20/98         NCR 453       11:25 NCR 1917       *       Approve       09/18/97         NCR 1369       12:12 NCR 1058       *       Approve       04/15/98	21 NCAC 40 .0108		12:07 NCR 557								
NCR 745       12:14 NCR 1338       *       Approve       03/20/98         NCR 453       11:25 NCR 1917       *       Approve       09/18/97         NCR 1369       12:12 NCR 1058       *       Approve       04/15/98	21 NCAC 40 ±0108	12:09 NCR 745		12:14 NCR 1338	S	Approve	03/20/98			12:23 NCR 2100	
NCR 745       12:14 NCR 1338       *       Approve       03/20/98         NCR 745       12:14 NCR 1338       *       Approve       09/18/97         NCR 453       11:25 NCR 1917       *       Approve       09/18/97         NCR 1369       12:12 NCR 1058       *       Approve       04/15/98	21 NCAC 40 .0202	12:09 NCR 745		12:14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
NCR 745 NCR 745 NCR 745 NCR 745 NCR 745 NCR 745 NCR 453 NCR 459 NCR 453 NCR 453 NCR 1369 NCR 453 NCR 1369 NCR 1	21 NCAC 40 .0212	12:09 NCR 745		12:14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
NCR 745 NCR 745 NCR 745 NCR 453 NCR 1369 NCR 1369 12:14 NCR 1338 * Approve 03/20/98 * Approve 09/18/97 * Approve 09/18/97 * Approve 04/15/98	21 NCAC 40 .0214	12:09 NCR 745		12:14 NCR 1338	s	Approve	03/20/98			12:23 NCR 2100	
NCR 745	21 NCAC 40 .0319	12:09 NCR 745		12.14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
NCR 453  NCR 1369  11:25 NCR 1917  * Approve 09/18/97  12:06 NCR 487 12:12 NCR 1058  * Approve 04/15/98	21 NCAC 40 .0324	12:09 NCR 745		12:14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
12:06 NCR 453 11:18 NCR 1369 11:25 NCR 1917 * Approve 09/18/97 12:06 NCR 487 12:12 NCR 1058 * Approve 04/15/98	OPTOMETRY, BOA	RD OF									
11:18 NCR 1369 11:25 NCR 1917 * Approve 09/18/97 12:06 NCR 487 12:12 NCR 1058 * Approve 04/15/98	21 NCAC 42	12:06 NCR 453									
12:06 NCR 487 12:12 NCR 1058 * Approve	21 NCAC 42B .0107	11:18 NCR 1369		11:25 NCR 1917	*	Approve	26/81/60			12:10 NCR 878	
	21 NCAC 42E .0102		12:06 NCR 487	12:12 NCR 1058	*	Approve	04/15/98				

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Citation	Proceedings	Rulc	Text	Note	Action	Date	rom proposal	Governor	Approved Kule	Other
PHARMACY, BOARD OF	ND OF									
Narrow Therapeutic Index Drugs	idex Drugs									12:14 NCR 1230
21 NCAC 46 .1414	N/A	N/A	N/A		Approve	04/15/98				
21 NCAC 46 .1601	12:03 NCR 168		12:07 NCR 527	* *	•	00,00,00			0010 0014 00 01	
21 NCAC 46 .1603	12:03 NCR 168		12:09 NCR 797 12:07 NCR 527	* *	Approve	03/20/98			12:23 NCR 2100	
			12:09 NCR 797	*	Approve	02/19/98			12:22 NCR 2012	
21 NCAC 46 .1604	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	02/19/98			12:22 NCR 2012	
21 NCAC 46.1804	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	State Budget	03/20/98			12:23 NCR 2100	
21 NCAC 46.1810	12.03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 46.1813	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	02/19/98	*		12:22 NCR 2012	
21 NCAC 46,1813	N/A	N/A	N/A		Approve	04/15/98				
21 NCAC 46 .2103	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*						
21 NCAC 46 .2201	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	02/19/98	*		12:22 NCR 2012	
21 NCAC 46 .2301	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*						
21 NCAC 46 .2611	N/A	N/A	N/A		Approve	04/15/98				
PHYSICAL THERAPY EXAMINERS	PY EXAMINERS									
21 NCAC 48A .0103	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	4			
21 NCAC 48A .0105	12:08 NCR 619		12:13 NCR 1150	*	Approve Object	04/15/98	•			
21 NCAC 48B .0102	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	04/15/98 03/20/98	•		12:23 NCR 2100	
					:					

03/20/98

Approve

12:08 NCR 619 12:08 NCR 619 12:08 NCR 619

21 NCAC 48C .0101 21 NCAC 48B .0102

12:13 NCR 1150

12:23 NCR 2100 12:23 NCR 2100

		F	9 0 0 0 1M	Discontinue	RRC Status	atus	Text differs	F. 65.045		
Agencyranic	ruic-making Proceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
21 NCAC 48C .0102	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48C .0103	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 48C .0301	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48C .0302	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48C .0401	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	*			
21 NCAC 48C .0402	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	03/20/98	· *		12:23 NCR 2100	
21 NCAC 48D .0102	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	4			
21 NCAC 48D .0103	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	04/15/98 03/20/98	*		12:23 NCR 2100	
21 NCAC 48D .0105	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	*			
21 NCAC 48D .0106	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	04/15/98 03/20/98	٠		12:23 NCR 2100	
21 NCAC 48D .0109	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48D .0110	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 48D .0112	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	*			
21 NCAC 48E .0101	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98	· *		12:23 NCR 2100	
21 NCAC 48E .0104	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48E .0110	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 48F .0102	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	*			
21 NCAC 48G .0202	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
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21 NCAC 48G .0402	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	03/20/98	÷		12:23 NCR 2100	
21 NCAC 48G .0403	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48G .0404	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	*			
21 NCAC 48G .0504	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48G .0512	12:08 NCR 619	Agency Withdrew Rule-making	ule-making							
21 NCAC 48G .0601	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	*			
21 NCAC 4811.0701	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98	*		12:23 NCR 2100	

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21 NCAC 48H .0704	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
PLUMBING, HEATI	NG AND FIRE SF	PRINKLER CONT.	PLUMBING, HEATING AND FIRE SPRINKLER CONTRACTORS, EXAMINERS OF	VERS OF						
21 NCAC 50 .0106	12:07 NCR 509		****		•					
21 NCAC 50 .0202	N/A 12:07 NCR 509	<b>V</b> /V	V/V		Approve	86/61/70			12:22 NCR 2012	
21 NCAC 50 .0301	N/A 12:07 NCR 509	N/A 12:07 NCR 557	N/A 12:16 NCR 1490	*	Арргоvе Арргоvе	02/19/98 04/15/98			12:22 NCR 2012	
21 NCAC 50 .0306	12:07 NCR 509	12:07 NCR 557	12:16 NCR 1490	*	Арргоvе	04/15/98				
21 NCAC 50 .0404	12:07 NCR 509	12:07 NCR 557	12:16 NCR 1490	*	Approve	04/15/98				
21 NCAC 50 .0405	12:07 NCR 509		12:16 NCR 1490	*	Approve	04/15/98				
21 NCAC 50 .0506	12:07 NCR 509	12:07 NCR 557								
21 NCAC 50 .0510	12:07 NCR 509		12:16 NCR 1490	*	Approve	04/15/98				
21 NCAC 50 .0511	12:07 NCR 509	12:07 NCR 557	12:16 NCR 1490	*	Approve	04/15/98				
21 NCAC 50 .1102	12:07 NCR 509	12:07 NCR 557	12:16 NCR 1490	S	Approve	04/15/98				
21 NCAC 50 .1104	12:07 NCR 509		12:16 NCR 1490	*	Approve	04/15/98				
21 NCAC 50 .1201	12:07 NCR 509 N/A	V/N	<b>V</b> Z		Approve	02/19/98			12-22 NCR 2012	
21 NCAC 50 .1205	12:07 NCR 509 N/A		: V		Approve	02/19/98			12:22 NCR 2012	
21 NCAC 50 .1206	12:07 NCR 509				a conddo					
21 NCAC 50 .1210	N/A 12:07 NCR 509	N/A	N/A		Approve	02/19/98			12:22 NCR 2012	
21 NCAC 50 .1212	12:07 NCR 509									
21 NCAC 50 .1302	12:07 NCR 509	Š	Š		Approve	00/01/00			12-22 NCB 2012	
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21 NCAC 56.0103	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56.0104	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
21 NCAC 56.0401	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56.0403	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .0404	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
21 NCAC 56.0405	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				

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Ę	2.16 NCR 1492 * Approve
<u>-</u>	12.16 NCR 1492 S Approve
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Ē	12:16 NCR 1492 * Approve
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21 NCAC 56 .1703	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1704	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
21 NCAC 56 .1705	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
21 NCAC 56.1711	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
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21 NCAC 54 .1612	12:05 NCR 338									
21 NCAC 54 .1613	12:05 NCR 338									
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21 NCAC 54 .2311	12:05 NCR 338									
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21 NCAC 54 .2313 21 NCAC 54 .2314 21 NCAC 54 .2401 21 NCAC 54 .2402 21 NCAC 54 .2501

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21 NCAC 54 .2502	12.05 NCR 338									
21 NCAC 54 .2503	12.05 NCR 338									
21 NCAC 54 .2504	12:05 NCR 338									
21 NCAC 54,2505	12:05 NCR 338									
21 NCAC 54 2601	12.05 NCR 338									
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21 NCAC 54 .2704	12.05 NCR 338									
21 NCAC 54 2705	12.05 NCR 338									
21 NCAC 54.2706	12:05 NCR 338									
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16 NCAC 06C .0307			12:01 NCR 18	*	Object	10/16/97	*		0.57 d'SW 71-61	
16 NCAC 06C .0310		01C 870K 20-C1	12.01 NCR 18	*	avoiddo	16/01/71			12,17 INCIN 1020	Temo Filed over obj
TENCAC OKC 0502		FE8 ALDI 60-CI	12-19 NCR 1773	<b>~</b>						
1070 000 010101			0000 1000 0100	. +		00/00/00			0016 0014 66-61	
16 NCAC 06C .0601			12:12 NCR 1050	*	Approve	03/20/98			12:23 NCR 2100	
16 NCAC 06C .0602			12:12 NCR 1050	*	Object	03/20/98	*			
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16 NCAC 06D .0103		12:22 NCR 2010			approve	16/01/71			12,17 INCIA 1920	
16 NCAC 06D_0301			12:01 NCR 18	*	Object	10/16/97	*		0631 8000 51.61	
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16 NCAC 06E .0105		12:05 NCR 433	12:19 NCR 1773	<b>V</b> /X						
16 NCAC 06G .0304			12:01 NCR 18	s	Approve	10/16/97			12:11 NCR 947	
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16 NCAC 06G, 0305			12:19 NCR 1773	<u> </u>	Approve	/ 6/81/71	÷		12:17 INC N 1020	
16 NCAC 06G .0306			12:01 NCR 18	**	Ohject	26/91/01	,		0071 dQ14 b1 61	
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16 NCAC 06G .0307			12:01 NCR 18	S	Object	10/16/97	*		OCCUPANT OF THE PROPERTY OF TH	
16 NCAC 06G .0308			12:01 NCR 18	S	Approve Object	16/18/1/1			12:17 NCK 1620	
16 NCAC 06G .0309			12:01 NCR 18	S	Approve Approve	12/18/97 10/16/97	* *		12:17 NCR 1620 12:11 NCR 947	
16 NCAC 06G .0310			12:19 NCR 1773	N/A						
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16 NCAC 07 .0202		12:07 NCR 533	12:12 NCR 1052	*	Approve	04/15/98	*			
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21 NCAC 58A .0101	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 58A .0103	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 58A .0104	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 58A .0105	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58A .0107	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 58A .0108	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58A .0109	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 58A .0110	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58A .0114	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 58A .0302	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
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21 NCAC 58A .0505	12:08 NCR 620		12.13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58A .0506	12.08 NCR 620		12.13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58A .0601	12.08 NCR 620		12.13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58A .0613	12.08 NCR 620		12.13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58A .0614	12.08 NCR 620		12:13 NCR 1159	*	Approve	0.3/20/98			12:23 NCR 2100	
21 NCAC 58A 1501	10:22 NCR 2829		11.03 NCR 114	*	Object	12/19/96				
21 NCAC 58A 1502	10:22 NCR 2829		11:03 NCR 114	*	Approve Object	01/16/97	•		H:22 NCR 1717	
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21 NCAC 58A 1702	12.08 NCR 620		12:13 NCR 1159	•	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58B .0402	12.08 NCR 620		12.13 NCR 1159	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 58E 0407	12:08 NCR 620		12:13 NCR 1159	*	Approve	03/20/08			12:23 NCR 2100	
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17 NCAC 01C .0506			H.10 NCR 838	*	Approve	26/91/10	*		11:22 NCR 1717	
17 NCAC 03C 0008			12:14 NCR 1282	*	Approve	03/20/08			12:23 NCR 2100	
17 NCAC 04B 0615			12.14 NCR 1283	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 04D ,0303			12:14 NCR 1283	+	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 04D .0505			12:14 NCR 1283	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 04D, 0508			12:14 NCR 1283	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 04D .0901			12,14 NCR 1283	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 05B .1402	V/N	V/Z	N/N		Approve	04/15/98				
17 NCAC 05B .1703	V/N	V/Z	V/V		Approve	04/15/98				
17 NCAC 05C .0102			12,14 NCR 1285	*						
17 NCAC 05C .0703			12:14 NCR 1285	*						
17 NCAC 05E .0101			12.14 NCR 1285	•	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 05E .0102			12;14 NCR 1285	+	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 0513 0103			12:14 NCR 1285	*	Approve	04/15/98			12:23 NCR 2100	
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17 NCAC 06B .0106			12:14 NCR 1288	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 06B .0107			12:14 NCR 1288	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 06B .0112			12:14 NCR 1288	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 06B .0117			12:14 NCR 1288	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 06B .0118			12:14 NCR 1288	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 06B .0609			12:14 NCR 1288	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 06B .3204			12:17 NCR 1610	*						
17 NCAC 06B .3503			12:14 NCR 1288	*	Approve	03/20/98			12:23 NCR 2100	
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17 NCAC 07B .1301			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 07B .1404			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 07B .1602			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 07B .1701			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
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17 NCAC 07B .3302			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 07B .3303			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
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17 NCAC 07B .3910			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 07B .4301			12:14 NCR 1296	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 091 .0102			12:14 NCR 1310	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 091.0304			12:14 NCR 1310	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 09J .0203			12:14 NCR 1310	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 09K .0205			12:14 NCR 1310	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 09K .0511			12:14 NCR 1310	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 09K .0514			12:14 NCR 1310	*	Approve	03/20/98			12:23 NCR 2100	
17 NCAC 09L ,0302			12:17 NCR 1610	¥						
Tax Review Board										12:04 NCR 228
Tax Review Board										12:05 NCR 336
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18 NCAC 06 .1104		12:07 NCR 534	12:14 NCR 1312	*	Object	03/20/98	*			
18 NCAC 06.1205		12:07 NCR 534	12:14 NCR 1312	*	Approve	03/20/98			12:23 NCR 2100	
18 NCAC 06.1206		12:07 NCR 534	12:14 NCR 1312	*	Object	03/20/98	÷			
18 NCAC 06 .1211		12:07 NCR 534	12:14 NCR 1312	*	Approve Approve	04/15/98 03/20/98	ř *		12:23 NCR 2100	
18 NCAC 06.1212		12:07 NCR 534	12:14 NCR 1312	*	Object	03/20/98				

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Temporary	Rule	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	12:07 NCR 534	GISTS AND AUD	
Rule-making	Proceedings																								UAGE PATHOLO	11:23 NCR 1780
Agency/Rule	Citation	18 NCAC 06 .1304	18 NCAC 06 ,1401	18 NCAC 06 .1410	18 NCAC 06 .1411	18 NCAC 06 .1412	18 NCAC 06 .1506	18 NCAC 06 .1509	18 NCAC 06 .1702	18 NCAC 06 .1703	18 NCAC 06 .1704	18 NCAC 06.1705	18 NCAC 06 .1706	18 NCAC 06 .1712	18 NCAC 06.1713	18 NCAC 06 .1714	18 NCAC 06.1801	18 NCAC 06.1802	18 NCAC 06.1803	18 NCAC 06,1804	18 NCAC 06 .1805	18 NCAC 06.1806	18 NCAC 06 .1809	18 NCAC 06 .1811	SPEECH AND LANGUAGE PATHOLOGISTS AND AUDIOLOGIST, BOAR	21 NCAC 64 .0209

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Rule-making	Proceedings	11-23 NCR 1780	11-23 NCR 1780	11:23 NCR 1780		11:23 NCR 1780	11:23 NCR 1780	11:23 NCR 1780	COMMISSION													11:14 NCR 1110	11.14 NCR 1110	11:14 NCR 1110	<b>PROFESSIONAL</b>
Agency/Rule	Citation	21 NCAC 64 0303	21 NCAC 64 1001	21 NCAC 64 .1002		21 NCAC 64 .1003	21 NCAC 64-1004	21 NCAC 64 .1005	STATE PERSONNEL COMMISSION	25 NCAC 01D .2501	25 NCAC 01D .2503	25 NCAC 01D .2504	25 NCAC 01D .2505	25 NCAC 01D .2507	25 NCAC 01D .2508	25 NCAC 01D .2509	25 NCAC 01D .2511	25 NCAC 01D .2513	25 NCAC 01D .2514	25 NCAC 01D .2516	25 NCAC 01D .2517	25 NCAC 01E .0705	25 NCAC 01E .0707	25 NCAC 01E .0709	SUBSTANCE ABUSE PROFESSIONAL CERTIFICATION BOARD

Agency/Rule	Rufe-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by	~	
Citation	Proceedings	Rule	Text	Note	Aetion	Date	irom proposal	Governor	Approved Kule	Other
21 NCAC 68	12:09 NCR 745									
21 NCAC 68.0101		12:11 NCR 944	12:15 NCR 1426	S/L	Approve	04/15/98	*			
21 NCAC 68 .0102	N/N	N/A	N/A		Approve	04/15/98				
21 NCAC 68 .0301		12:11 NCR 944	12:15 NCR 1426	S/L	Approve	04/15/98	*			
21 NCAC 68 .0302		12:11 NCR 944	12:15 NCR 1426	S/L	Approve	04/15/98				
21 NCAC 68 .0303		12:11 NCR 944	12:15 NCR 1426	S/L	Approve	04/15/98	*			
21 NCAC 68 .0304		12:11 NCR 944	12:15 NCR 1426	S/L	Approve	04/15/98				
21 NCAC 68 .0305		12:11 NCR 944	12:15 NCR 1426	S/L	Object	04/15/98				
21 NCAC 68 .0306		12:11 NCR 944	12:15 NCR 1426	S/L	Approve	04/15/98				
21 NCAC 68 .0307		12:11 NCR 944	12:15 NCR 1426	S/L	Approve	04/15/98				
21 NCAC 68 .0602	12:09 NCR 745		12:15 NCR 1426	S/L	Approve	04/15/98				
21 NCAC 68 .0603	12:09 NCR 745		12:15 NCR 1426	S/L	Approve	04/15/98	*			
21 NCAC 68 .0608	12:09 NCR 745		12:15 NCR 1426	S/L	Approve	04/15/98				
TRANSPORTATION										
Highways, Division of										
19A NCAC 02B .0164 11:20 NCR 1537	11:20 NCR 1537		11:26 NCR 1991	*	Object	07/17/97			12:07 NCB 561	
19A NCAC 02B .0242	11:26 NCR 1986		12:03 NCR 207	*	Approve	10/16/97			12:11 NCR 947	
19A NCAC 02B .0303	11:26 NCR 1986		12:03 NCR 207	*	Approve	10/16/97			12:11 NCR 947	
19A NCAC 02D .0406 12:22 NCR 1980	12:22 NCR 1980									
19A NCAC 02D .0415	11:20 NCR 1537		11:26 NCR 2004	*	Approve	07/11//97			12:04 NCR 317	
19A NCAC 02D .0415	12:18 NCR 1694									
19A NCAC 02D .0816	12:19 NCR 1764									
19A NCAC 02E .0218	12:05 NCR 337		12:12 NCR 1053	*	Approve	03/20/98			12:23 NCR 2100	
19A NCAC 02E .0219	12:05 NCR 337		12:12 NCR 1053	*	Approve	03/20/98			12:23 NCR 2100	
19A NCAC 02E .0220	12:05 NCR 337		12:12 NCR 1053	*	Approve	03/20/98			12:23 NCR 2100	
19A NCAC 02E .0221	12:05 NCR 337		12:12 NCR 1053	*	Approve	03/20/98	*		12:23 NCR 2100	
19A NCAC 02E .0222	12:05 NCR 337		12:12 NCR 1053	*	Approve	03/20/98	*		12:23 NCR 2100	
Motor Vehicles, Division of	Jo									

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Agency/Ruic Citation	Kule-making Proceedings	remporary Rufe	Text	Note	Action	Date	from proposal	Covernor	Approved Rule	Other
19A NCAC 03D 0525	\$	12:08 NCR 729	12:14 NCR 1333	*	Approve	03/20/98			12:23 NCR 2100	
19A NCAC 031,0100	11:19 NCR 1413									
19A NCAC 031,0200	11:19 NCR 1413									
19A NCAC 031.0202	12:18 NCR 1695									
19A NCAC 031,0203	12:18 NCR 1695									
19A NCAC 03L 0300	H.19 NCR 1413									
19A NCAC 031.0400	11:19 NCR 1413									
19A NCAC 031.0500	II:19 NCR 1413									
19A NCAC 031.0501	12:18 NCR 1695									
19A NCAC 031,0502	12:18 NCR 1695									
19A NCAC 031.0503	12:18 NCR 1695									
19A NCAC 031.0600	H:19 NCR 1413									
19A NCAC 031,0700	11:19 NCR 1413									
19A NCAC 031,0800	11:19 NCR 1413									
19A NCAC 03J .0102	11:11 NCR 882		11:17 NCR 1340	*	Approve	02/20/97	*		11:24 NCR 1832	
19A NCAC 03J .0306	11:11 NCR 882		H:17 NCR 1340	*	Object	02/20/97	-			
19A NCAC 03J .0308	11:11 NCR 882		11:17 NCR 1340	*	Approve Object	03/20/97 02/20/97	N-		11:26 NCR 2004	
19A NCAC 031 0601	11-11 NCR 882		11-17 NCB 1340	*	Approve	03/20/97	* *		11:26 NCR 2004 11:24 NCR 1832	
Rail Division										
19A NCAC 06B :0412   12:22 NCR 1981	2   12:22 NCR 1981									
19A NCAC 06B :0413   12:22 NCR 1981	3 12:22 NCR 1981									
VETERINARY MEDICAL BOARD	MICAL BOARD									
21 NCAC 66 .0207	12:23 NCR 2089									
21 NCAC 66 .0208	12:23 NCR 2089									

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