Burgos, Alexander N

Subject:

FW: [External] RE: Board of Dental Examiners Rules-July 2024 (RRC Comm)

From: Dauna Bartley <dauna@brockerlawfirm.com>

Sent: Tuesday, June 25, 2024 10:28 AM

To: Wiggs, Travis C <travis.wiggs@oah.nc.gov>

Cc: Burgos, Alexander N <alexander.burgos@oah.nc.gov>; Doug Brocker <doug@brockerlawfirm.com>; Firm

Administrator <administrator@brockerlawfirm.com>; File <file@brockerlawfirm.com> **Subject:** RE: [External] RE: Board of Dental Examiners Rules-July 2024 (RRC Comm)

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Hi Travis!

Thank you so much! We'll get the revised rules submitted. We really appreciate your assistance!

Dauna

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From: Wiggs, Travis C < travis.wiggs@oah.nc.gov>

Sent: Tuesday, June 25, 2024 10:22 AM

To: Dauna Bartley <dauna@brockerlawfirm.com>

Cc: Burgos, Alexander N < <u>alexander.burgos@oah.nc.gov</u>>; Doug Brocker < <u>doug@brockerlawfirm.com</u>>; Firm

Administrator administrator@brockerlawfirm.com; File file@brockerlawfirm.com>
Subject: RE: [External] RE: Board of Dental Examiners Rules-July 2024 (RRC Comm)

Good morning, Dauna,

I intend to recommend to the RRC that the final revised rules be approved at the July 31st meeting. Please submit all revised rules via email to oah.rules@oah.nc.gov no later than 5pm on July 19, 2024. The electronic copy must be saved as the official rule name (XX NCAC XXXX). Please include me on the email.

Thank you.

Travis C. Wiggs Rules Review Commission Counsel Office of Administrative Hearings

Telephone: 984-236-1929

Email: travis.wiggs@oah.nc.gov

Burgos, Alexander N

Subject: Attachments: FW: [External] RE: Board of Dental Examiners Rules-July 2024 (RRC Comm) 21 NCAC 16B .0303.docx; 21 NCAC 16C .0303.docx; 21 NCAC 16H .0104.docx; 21 NCAC

16N .0202.docx; 21 NCAC 16N .0503.docx; 7.2024 - Dental Examiners-Request for

Changes_BLF responses.docx

From: Dauna Bartley <dauna@brockerlawfirm.com>

Sent: Friday, June 21, 2024 9:25 AM

To: Wiggs, Travis C <travis.wiggs@oah.nc.gov>

Cc: Burgos, Alexander N <alexander.burgos@oah.nc.gov>; Doug Brocker <doug@brockerlawfirm.com>; Firm

Administrator <administrator@brockerlawfirm.com>; File <file@brockerlawfirm.com>

Subject: [External] RE: Board of Dental Examiners Rules-July 2024 (RRC Comm)

CAUTION: External email. Do not click links or open attachments unless verified. Report suspicious emails with the Report Message button located on your Outlook menu bar on the Home tab.

Good morning, Travis!

Thank you for taking the time to discuss the technical change requests with me yesterday! I am attaching the five re-written rules and our written responses to the requests and questions. Please let me know if you have any further questions. Please also let me know what your recommendation to the Commission will be.

Thank you! Dauna

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Request for Changes Pursuant to N.C. Gen. Stat. § 150B-21.10

Staff reviewed these Rules to ensure that each Rule is within the agency's statutory authority, reasonably necessary, clear and unambiguous, and adopted in accordance with Part 2 of the North Carolina Administrative Procedure Act. Following review, staff has issued this document that may request changes pursuant to G.S. 150B-21.10 from your agency or ask clarifying questions.

If the request includes questions, please contact the reviewing attorney to discuss.

In order to properly submit rewritten rules, please refer to the following Rules in the NC Administrative Code:

- Rule 26 NCAC 02C .0108 The Rule addresses general formatting.
- Rule 26 NCAC 02C .0404 The Rule addresses changing the introductory statement.
- Rule 26 NCAC 02C .0405 The Rule addresses properly formatting changes made after publication in the NC Register.

Note the following general instructions:

- 1. You must submit the revised rule via email to oah.rules@oah.nc.gov. The electronic copy must be saved as the official rule name (XX NCAC XXXX).
- 2. For rules longer than one page, insert a page number.
- 3. Use line numbers; if the rule spans more than one page, have the line numbers reset at one for each page.
- 4. Do not use track changes. Make all changes using manual strikethroughs, underlines and highlighting.
- 5. You cannot change just one part of a word. For example:
 - Wrong: "aAssociation"
 - Right: "association Association"
- 6. Treat punctuation as part of a word. For example:
 - Wrong: "day; and"
 - Right: "day, day; and"
- 7. Formatting instructions and examples may be found at: www.ncoah.com/rules/examples.html

If you have any questions regarding proper formatting of edits after reviewing the rules and examples, please contact the reviewing attorney.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16B .0303

DEADLINE FOR RECEIPT: July 5, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In paragraph (c), why does your agency refer to "Dental Board" rather than "Board" as it's used throughout the rest of the Rule? Please be consistent for clarity unless there's a reason for the distinction. We have modified this to "Board" for consistency.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16C .0303

DEADLINE FOR RECEIPT: July 5, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

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AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16H .0104

DEADLINE FOR RECEIPT: July 5, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In line 5, is "also" necessary? No. It has been deleted.

In lines 6 and 13, consider changing "assisting" to "assistance." These programs are specifically referred to as "dental assisting" programs by CODA, educational institutions, and the Board's regulated public. Changing it to "assistance" may create confusion for the regulated public. We noted in line 12, "dental assistant program" was incorrectly used, so we have modified that to be "dental assisting program" for consistency and accuracy.

In the History Note, how does G.S. 90-29(c)(9) provide authority for this Rule? Generally, pursuant to the Dental Practice Act which states it is to be liberally construed to carry out its purposes, the Board is authorized and empowered to regulate the practice of dentistry to protect the public health, safety, and welfare, and to ensure that only qualified persons are permitted to practice dentistry in this State. G.S. 90-22(a). The Board is further authorized and empowered to adopt rules governing the practice of dentistry, consistent with the provisions of the Act. G.S. 90-48.

Under G.S. 90-29(c)(9), a person who is not a licensed dentist may perform any act or acts assisting a licensed dentist "when said act or acts are authorized and permitted by and performed in accordance with rules and regulations promulgated by the Board." This provision expressly states that a dental assistant may perform only those acts that are authorized and permitted by the Board's rules, and those acts must be performed in accordance with the Board's rules. Consistent with this provision, pursuant to G.S. 90-48, the Board has the authority to adopt rules regulating acts performed by dental assistants, including those addressed in this rule, as part of its statutory charge to regulate the practice of dentistry in the State. We have added G.S. 90-48 to the History Note for clarification.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16N .0202

DEADLINE FOR RECEIPT: July 5, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In the History Note, how does G.S. 90-223(b) provide authority for this Rule? This was an oversight and has been deleted. Thank you for noting it.

AGENCY: Board of Dental Examiners

RULE CITATION: 21 NCAC 16N .0503

DEADLINE FOR RECEIPT: July 5, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (a)(3), lines 11-12, the phrase "for some other reason in the interests of justice" is ambiguous and amorphous. How does G.S. 90-28 provide authority for this language? G.S. 90-28 authorizes the Board to adopt rules regarding any matter in the Dental Practice Act for the purpose of facilitating the Board's transaction of business, provided the rules are consistent with the provisions of the Act. We have added G.S. 90-48 to the History Note as the general authority for the Board's rules governing the practice of dentistry consistent with the Act. We have also modified this phrase to provide clear guidance to the regulated public. It now reads "for some other reason consistent with the Board's prior decisions, the Board's rules, and applicable laws including those found in Chapter 90 of the General Statutes."

2 3 21 NCAC 16B .0303 **BOARD APPROVED EXAMINATIONS** 4 (a) All applicants for dental licensure shall achieve a passing score of at least 80 percent on the Board's sterilization 5 and jurisprudence examinations. Applicants may take a reexamination in accordance with Rule .0317 of this Section. 6 (b) All applicants for dental licensure shall achieve passing scores, as set by the testing agency, on the 7 examination administered by the Joint Commission on National Dental Examinations and clinical examinations 8 developed and administered by Board approved testing agencies. The Board shall determine which testing agencies 9 are approved based on the requirements set forth in Paragraphs (c) and (d) of this Rule. 10 (c) To qualify as an approved testing agency, the test-development or test-administration agency shall allow a 11 representative of the Dental Board to serve on the agency's Board of Directors and the Examination Review Committee 12 for the limited purpose of allowing Dental Board input in the development and administration of the examination. 13 When [Dental] Board members offer to participate as examiners for examinations administered in North Carolina, the 14 test-administration agency shall assign at least one of those [Dental] Board members to each examination administered 15 in North Carolina. 16 (d) To qualify as an approved testing agency, the clinical examination developed or administered by a-the testing agency shall: 17 18 (1) include procedures performed on human subjects or an alternative method that simulates human 19 subjects, including manikins, as part of the assessment of restorative clinical competencies; 20 (2) include evaluations in clinical periodontics and at least three of the following subject matter areas: 21 (A) endodontics, clinical abilities testing; 22 (B) amalgam preparation and restoration; 23 (C) anterior composite preparation and restoration; 24 posterior ceramic or composite preparation and restoration; (D) 25 (E) prosthetics, written or clinical abilities testing; 26 (F) oral diagnosis, written or clinical abilities testing; or 27 (G) oral surgery, written or clinical abilities testing; and 28 (3) provide the following: 29 anonymity between applicants and examination graders; (A) 30 (B) standardization and calibration of graders; 31 (C) a mechanism for post exam analysis; 32 (D) conjunctive scoring, which is scoring that requires applicants to earn a passing grade on all 33 sections or areas tested and that does not allow weighted, averaged, or overall scoring to 34 compensate for failures in individual subject areas; 35 (E) a minimum passing score set by the testing agency for each subject area tested; 36 (F) an annual review of the examination conducted by the testing agency;

21 NCAC 16B .0303 is amended with changes as published in 38:18 NCR 1191 as follows:

1

1		(G)	a task analysis performed by the testing agency at least once every seven years that surveys
2			dentists nationwide to determine the content of the examination;
3		(H)	a system of quality assurance to ensure uniform, consistent administration of the
4			examination at each testing site; and
5		(I)	a system of quality assurance that does not permit a dental instructor to grade candidates
6			at any institution at which the instructor is employed.
7	(e) The Board shall accept examination scores for five years following the date of the examinations. Each applicant		
8	shall request the applicable entity to send the applicant's scores to the Board office. Individuals who apply for licensure		
9	more than five years after the examination date shall re-take the examination.		
10	(f) The applicant shall comply with all requirements of the testing agency in applying for and taking the examination		
11			
12	History Note:	Authorit	y G.S. 90-30; 90-48;
13		Eff. Sept	ember 3, 1976;
14		Readopt	ed Eff. September 26, 1977;
15		Amende	d Eff. September 1, 2014; June 1, 2009; March 1, 2006; August 1, 1998; March 1, 1988;
16		Pursuan	t to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
17		2018;	
18		Amende	d Eff. August 1, 2024; December 1, 2022; October 1, 2019.

1 21 NCAC 16C .0303 is amended with changes as published in 38:18 NCR 1191-92 as follows: 2 3 21 NCAC 16C .0303 **BOARD APPROVED EXAMINATIONS** 4 (a) All applicants for dental hygiene licensure shall achieve a passing scores score of at least 80 percent on the Board's 5 sterilization and jurisprudence examinations. Reexamination shall be governed by Rule .0311 of this Section. 6 (b) All applicants for dental hygiene licensure shall achieve passing scores, as set by the testing agency, on the 7 examination administered by the Joint Commission on National Dental Examinations and clinical examinations 8 developed and administered by Board approved testing agencies. The Board shall determine which testing agencies 9 are approved based on the requirements set forth in Paragraphs (c) and (d) of this Rule. 10 (c) To qualify as an approved testing agency, the test-development or test-administration agency shall allow a 11 representative of the Dental Board to serve on the agency's Board of Directors and Examination Review Committee 12 for the limited purpose of allowing Dental Board input in the development and administration of the examination. 13 When [Dental] Board members offer to participate as examiners for examinations administered in North Carolina, the 14 test-administration agency shall assign at least one of those [Dental] Board members to each examination administered 15 in North Carolina. 16 (d) To qualify as an approved testing agency, the clinical examination developed or administered by a-the testing agency shall: 17 18 (1) include procedures performed on human subjects or an alternative method that simulates human 19 subjects, including manikins, as part of the assessment of clinical competency; 20 (2) include probing, supra- and subgingival scaling, and soft tissue management; and 21 (3) provide the following: 22 (A) anonymity between applicants and examination graders; 23 (B) standardization and calibration of graders; 24 a mechanism for post exam analysis; (C) 25 (D) conjunctive scoring, which is scoring that requires applicants to earn a passing grade on all 26 sections or areas tested and that does not allow weighted, averaged, or overall scoring to 27 compensate for failures in individual subject areas; 28 (E) a minimum passing score set by the testing agency for each subject area tested; 29 (F) an annual review of the examination conducted by the testing agency; 30 (G) a task analysis performed by the testing agency at least once every seven years that surveys 31 dentists nationwide to determine the content of the examination; 32 (H) a system of quality assurance to ensure uniform, consistent administration of the 33 examination at each testing site; and 34 a system of quality assurance that does not permit a dental hygiene instructor to grade (I) 35 candidates at any institution at which the instructor is employed.

1 (e) The Board shall accept examination scores for five years following the date of the examination. Individuals who 2 apply for licensure more than five years after the examination date shall re-take the examination. Each applicant shall 3 request the applicable entity to send the applicant's scores to the Board office. 4 (f) The applicant shall comply with all requirements of the testing agency in applying for and taking the examination. 5 6 History Note: Authority G.S. <u>90-223;</u> 90-224; 7 Eff. September 3, 1976; 8 Readopted Eff. September 26, 1977; 9 Amended Eff. September 1, 2014; June 1, 2009; June 1, 2006; May 1, 1989; March 1, 1988; 10 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9, 2018; 11

Amended Eff. August 1, 2024; December 1, 2022; September 1, 2020.

12

1	21 NCAC 16H .0104 is amended with changes as published in 38:18 NCR 1194-95 as follows:			
2				
3	21 NCAC 16H	.0104 APPROVED EDUCATION AND TRAINING PROGRAMS		
4	(a) To be classified as a Dental Assistant II, an assistant shall have and maintain an unexpired CPR certification and			
5	also -shall meet c	one of the following criteria: complete:		
6	(1)	completion of: a dental assisting program accredited by the Commission on Dental Accreditation		
7		("CODA"), a list of which is available at no cost at coda.ada.org/find-a-program/search-denta		
8		programs and is incorporated by reference, including subsequent amendments and editions;		
9		(A) an ADA accredited dental assisting program; or		
10		(B) one academic year or longer in an ADA accredited dental hygiene program;		
11	<u>(2)</u>	one complete school year or longer in a CODA-accredited dental hygiene program;		
12	<u>(3)</u>	a dental [assistant] assisting program offered through a branch of the U.S. armed forces at the		
13		Medical Education & Training Campus that includes a clinical rotation providing dental assisting		
14		for live patients;		
15	(2) (4)	completion of the Certified Dental Assistant certification examination(s) examinations administered		
16		by the Dental Assisting National Board; Board ("DANB") with a passing score as set by DANB; of		
17	(3) (5)	completion of: the following:		
18		(A) employment as a Dental Assistant I for two years of the preceding five, consisting of a		
19		least 3,000 hours total; and		
20		(B) a 3-hour course in sterilization and infection control; and a 3-hour course is		
21		dental office emergencies. The courses shall be offered by Board-approved course sponsor		
22		as set out in 21 NCAC 16I .0202.		
23		(C) a 3 hour course in dental office emergencies.		
24	(b) A Dental Assistant I who has completed the requirements of Parts (a)(3)(B) (C) Part (a)(5)(B) of this Rule but not			
25	completed the tr	raining employment hours required pursuant to Part (a)(3)(A) (a)(5)(A) may be trained by a license		
26	dentist and allowed to perform the functions of a Dental Assistant II, as specified in Rule .0203 of this Subchapter,			
27	under the direct control and supervision of a licensed dentist. dentist, except that a Dental Assistant I performing the			
28	functions of a D	Pental Assistant II pursuant to this Paragraph shall not perform the coronal polishing function set or		
29	in Rule .0203(a)	in Rule .0203(a)(21) of this Subchapter.		
30	(c) For purpos	ses of this Rule, an unexpired CPR certification is one that is in effect and valid at the time of		
31	classification as	a Dental Assistant II and remains so at all times while employed as a Dental Assistant II or whil		
32	performing any of the permitted functions under Rule .0203 of this Subchapter.			
33	(d) A Dental Assistant dental assistant shall not take radiographs before completing radiology training consistent with			
34	G.S. 90-29(c)(12	2).		
35				
36	History Note:	Authority G.S. 90-29(c)(9); 90-48;		
37		Eff. September 3, 1976;		

1	Readopted Eff. September 26, 1977;
2	Amended Eff. August 1, 2016; April 1, 2015; August 1, 2000; November 1, 1996; January 1, 1994;
3	September 1, 1998; May 1, 1989; October 1, 1986.
4	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
5	2018;
6	Amended Eff. <u>August 1, 2024</u> ; September 1, 2020.

1 21 NCAC 16N .0202 is amended with changes as published in 38:18 NCR 1192 as follows: 2 3 21 NCAC 16N .0202 NOTICE OF MAILING LIST 4 The Board maintains a mailing list of persons that have requested notice of rulemaking, and sends notices of 5 rulemaking to persons on the mailing list by electronic mail. Any person or agency desiring to be placed on the mailing 6 list for the Board's rulemaking notices may file submit a written request by email, fax, or first-class mail to in-the 7 Board's office. The letter of request shall provide the email address to which notices will be sent. should state those 8 particular subject areas within the authority of the Board concerning which notice is desired. The If circumstances 9 require that rulemaking notices be sent by first-class mail, the Board may require reasonable postage and stationery 10 costs to be paid by those requesting such the notices. 11 Authority G.S. 90-48; 90-223(b); 150B-21.2(d); 150B-12(c); 12 History Note: 13 Eff. August 25, 1977; 14 Amended Eff. May 1, 1989; March 1, 1985; 15 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9, 2018.2018; 16 17 Amended Eff. August 1, 2024.

1 21 NCAC 16N .0503 is amended with changes as published in 38:18 NCR 1193 as follows: 2 3 21 NCAC 16N .0503 GRANTING OR DENYING HEARING REQUEST 4 (a) The Upon receipt of a request for a hearing submitted in accordance with Rule .0502 of this Section, the Board 5 will decide whether to grant a request for a hearing the request. Whenever the Board finds good cause exists to deny 6 a request for a hearing, the Board may deny the request. For purposes of this Rule, good cause for denial of a hearing 7 request exists when: 8 the petitioner has not demonstrated a right to an administrative hearing pursuant to Rule .0501 of (1) 9 this Section; 10 (2) the request does not satisfy all the requirements set out in Rule .0502 of this Section; or 11 (3) the Board determines that the request should be denied for some other reason consistent with the 12 Board's prior decisions, the Board's rules, and applicable laws including those found in Chapter 90 13 of the General Statutes. [in the interests of justice.] 14 (b) The denial of <u>a</u> request for a hearing will be issued <u>in writing immediately</u> upon decision, and in no case later than 15 60 days after the submission of the request. Such The denial shall contain a statement of state the reasons leading the 16 Board to deny the request. 17 (c) Approval of a request for a hearing will be signified by the Board issuing a notice of hearing in accordance with 18 as required by G.S. 150B-38 and explained in Rule .0504 of this Section. 19 Authority G.S. 90-28; 90-48; 150B-38; 20 History Note: 21 Eff. August 25, 1977; 22 Amended Eff. May 1, 1989; April 1, 1988; October 1, 1986; November 20, 1980; 23 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9, 2018.2018; 24 25 Amended Eff. August 1, 2024.

Burgos, Alexander N

From: Dauna Bartley <dauna@brockerlawfirm.com>

Sent: Friday, June 14, 2024 8:12 AM

To: Wiggs, Travis C **Cc:** Burgos, Alexander N

Subject: [External] RE: Board of Dental Examiners Rules-July 2024

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Hi Travis!

Thank you. We will go through the requests and let you know if we have questions or need clarification. I appreciate it!

Dauna

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From: Wiggs, Travis C <travis.wiggs@oah.nc.gov>

Sent: Thursday, June 13, 2024 10:35 AM

To: Dauna Bartley <dauna@brockerlawfirm.com>

Cc: Burgos, Alexander N <alexander.burgos@oah.nc.gov> **Subject:** Board of Dental Examiners Rules-July 2024

Good morning,

I'm the attorney who reviewed the Rules submitted by the Board of Dental Examiners for the July 2024 RRC meeting. The RRC will formally review these Rules at its meeting on Wednesday, July 31, 2024, at 10:00 a.m. The meeting will be a hybrid of in-person and WebEx attendance, and an evite should be sent to you as we get close to the meeting. If there are any other representatives from your agency who want to attend virtually, please let me know prior to the meeting, and we will get evites out to them as well.

Attached is the Request for Changes Pursuant to G.S. 150B-21.10. There are very few requests or questions due to these Rules being pre-reviewed. Please submit the revised Rules and forms to me via email, no later than 5 p.m. on July 5, 2024.

Please let me know if you have any questions. Thank you.

Travis C. Wiggs Rules Review Commission Counsel Office of Administrative Hearings

Telephone: 984-236-1929

Email: travis.wiggs@oah.nc.gov

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