

1 10A NCAC 43D .0201 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **SECTION .0200 - WIC PROGRAM GENERAL INFORMATION**

4
5 **10A NCAC 43D .0201 DESCRIPTION**

6 The ~~Nutrition Services Branch~~ North Carolina Department of Health and Human Services, Division of Child and
7 Family Well-Being, Community Nutrition Services Section is responsible for the administration of the WIC Program
8 in North Carolina.

9
10 *History Note: Authority G.S. 130A-361; 7 C.F.R. 246;*

11 *Eff. July 1, 1981;*

12 *Amended Eff. October 1, 2009; December 6, 1991; November 1, 1990;*

13 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
14 *23, ~~2017~~, 2017;*

15 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0202 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0202 DEFINITIONS**

4 (a) For the purposes of this Subchapter, all definitions set forth in 7 C.F.R. Part 246.2 are hereby incorporated by
5 reference, including subsequent amendments and editions, with the following additions and modifications:

6 (1) An "administrative appeal" is an appeal in accordance with Section .0800 of this Subchapter through
7 which a local WIC agency, potential local WIC agency, authorized WIC vendor, or WIC vendor
8 applicant may appeal the adverse actions listed in 7 C.F.R. 246.18(a)(1)(i), (a)(1)(ii), and (a)(3)(i).

9 (2) An "authorized store representative" includes an owner, manager, assistant manager, head cashier,
10 or chief fiscal officer.

11 (3) An "authorized WIC vendor" is a food retailer or free-standing pharmacy that has executed a
12 currently effective North Carolina WIC Vendor Agreement, as set forth in 7 C.F.R. 246.12(h)(3).

13 (4) A "chain store" is a store that is owned or operated by a corporation, partnership, cooperative
14 association, or other business entity that has 20 or more stores owned or operated by the business
15 entity.

16 (5) An "Electronic Benefit Transfer (EBT) Processor" is an entity contracted by a government agency
17 for the implementation, maintenance, and operation of the State WIC agency's WIC EBT system
18 that acts as the agent of the State WIC agency to process and settle EBT transactions.

19 (6) "eWIC" is the term used for EBT by the North Carolina WIC Program.

20 ~~(6)~~(7) A "fair hearing" is the informal dispute resolution process in Section .0900 of this Subchapter
21 through which any individual may appeal a State or local agency action that results in a claim against
22 the individual for repayment of the cash value of issued benefits by which the individual is not
23 eligible or results in the individual's denial of participation or disqualification from the WIC
24 Program, as set forth in Rule .0410 of this Subchapter. This process must be complied with prior to
25 requesting a contested case hearing in accordance with G.S. 150B, as set forth in 7 CFR 246.9.

26 ~~(7)~~(8) "First date to spend" means "first date of use" as defined in 7 C.F.R. 246.12, hereby incorporated by
27 reference with all subsequent amendments and editions.

28 ~~(8)~~(9) "FNS" means the Food and Nutrition Service of the U.S. Department of Agriculture.

29 ~~(9)~~(10) "Food benefits" are the prescribed amounts of WIC authorized foods and formulas or a fixed dollar
30 amount prescribed to WIC customers to receive fruits and vegetables.

31 ~~(10)~~(11) "Food benefit balance" means the unspent food benefits for the current month that are available to
32 use by the cardholder.

33 ~~(11)~~(12) "Free-standing pharmacy" means a pharmacy that does not operate within another retail store. Free-
34 standing pharmacy includes free-standing pharmacies that are chain stores and free-standing
35 pharmacies participating under a WIC corporate agreement.

36 ~~(12)~~(13) "Last date to spend" means "last date of use" as defined in 7 C.F.R. 246.12, hereby incorporated by
37 reference with all subsequent amendments and editions.

(13)(14) The "local WIC agency" is the local agency that enters into an agreement with the ~~Division of Public Health~~ Division of Child and Family Well-Being to operate the Special Supplemental Nutrition Program for Women, ~~Infants~~ Infants, and Children.

(14)(15) A "local WIC program plan" is a written compilation of information on the local WIC agency policies concerning program operation, including administration, nutrition education, personnel functions, costs and other information prepared by the local WIC agency and submitted to the ~~Nutrition Services Branch~~ Community Nutrition Services Section.

(15)(16) "New authorized WIC vendor" is an authorized WIC vendor that has been approved to accept WIC for the first time and does not include vendors that have a previous WIC authorization history.

(16)(17) A "personal identification number" (PIN) is a numeric password selected and used by a WIC participant to authenticate the participant to the EBT system.

(17)(18) A "point of sale terminal" (POS) is an electronic device used to process EBT card payments at authorized vendor locations.

(18)(19) A "predominantly WIC vendor" is an "above-50-percent vendor" as defined in 7 C.F.R. 246.2.

(19)(20) A "product look-up (PLU) code" is an identification number placed on produce sold at authorized vendor locations.

(20)(21) "Redeemed food benefits" means the benefits that have been used by the WIC customer.

(21)(22) "Redemption" is the process by which a vendor receives payment from the State agency or its financial agent for food benefits transacted at that vendor location.

(22)(23) "Shelf price" is the price listed on the shelf for the WIC supplemental food.

(23)(24) "SNAP-eligible food sales" means "food sales" as defined in 7 C.F.R. 246.2, which are those foods that can be purchased with Supplemental Nutrition Assistance Program ("SNAP") benefits.

(24)(25) The "State agency" is the ~~Nutrition Services Branch, Women's and Children's Health Section, Division of Public Health, North Carolina Department of Health and Human Services~~ Community Nutrition Services Section, Division of Child and Family Well-Being, North Carolina Department of Health and Human Services.

(25)(26) "Store" means a food retailer or free-standing pharmacy operating at a single, fixed location.

(26)(27) "Supplemental food" or "WIC supplemental food" is a food that satisfies the requirements of 10A NCAC 43D .0501.

(27)(28) "Support costs" are clinic costs, administrative costs, and nutrition education costs.

(28)(29) "Transaction" is the process by which a WIC customer tenders food benefits at an authorized vendor in exchange for authorized supplemental foods.

(29)(30) "Universal Product Code (UPC)" means an identification code printed on the packaging of WIC approved foods sold at WIC authorized vendor locations.

(30)(31) "Vendor applicant" is a store that has submitted an application to become an authorized WIC vendor but is not yet authorized.

1 ~~(31)~~(32) A "vendor overcharge" is intentionally or unintentionally charging more for supplemental food
2 provided to a WIC customer than to a non-WIC customer or charging more than the current shelf
3 price for supplemental food provided to a WIC customer.

4 ~~(32)~~(33) A "WIC corporate agreement" is a single WIC Vendor Agreement with a corporate entity that has
5 20 or more stores authorized as WIC vendors under the Agreement.

6 ~~(33)~~(34) "WIC customer" means a WIC participant, parent, or caretaker of an infant or child participant,
7 proxy for the eligible participant, or compliance investigator who transacts food benefits at a vendor
8 in exchange for WIC supplemental food.

9 ~~(34)~~(35) "WIC program" means the Special Supplemental Nutrition Program for Women, Infants, and
10 Children authorized by 42 U.S.C. 1786 of the Child Nutrition Act of 1966 as amended.

11 (b) A copy of 7 C.F.R. Part 246 is available free of charge at <https://www.ecfr.gov>.

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13 *History Note:* Authority G.S. 130A-361; 7 C.F.R. 246.2; 7 C.F.R. 246.9; 7 C.F.R. 246.12; 7 C.F.R. 246.18; 42
14 U.S.C. 1786;
15 Eff. July 1, 1981;
16 Amended Eff. December 6, 1991; November 1, 1990; July 1, 1989;
17 Temporary Amendment Eff. May 17, 2000;
18 Amended Eff. April 1, 2001;
19 Temporary Amendment Eff. July 1, 2002;
20 Amended Eff. August 1, 2004;
21 Temporary Amendment Eff. July 1, 2006;
22 Amended Eff. October 1, 2017; February 1, 2013; October 1, 2009; April 1, 2007;
23 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December
24 23, 2017;
25 Amended Eff. April 1, 2026; March 1, 2020.

1 10A NCAC 43D .0203 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0203 REFERENCES**

4 (a) The State agency shall administer the WIC program in accordance with:

5 (1) 42 U.S.C. 1786; and

6 (2) 7 C.F.R. 246.1 through 246.28, United States Department of Agriculture, Food and Nutrition
7 Service, Special Supplemental Nutrition Program for Women, ~~Infants~~ Infants, and Children.

8 (b) The documents listed in Paragraph (a) of this Rule are available for inspection at the ~~Division of Public Health,~~
9 ~~Women's and Children's Section, Nutrition Services Branch~~ North Carolina Department of Health and Human
10 Services, Division of Child and Family Well-Being, Community Nutrition Services Section at 5601 Six Forks Road,
11 Raleigh, NC 27609 during regular business hours, Monday through Friday from ~~8:00 AM to 5:00 PM.~~ 8:00 AM to
12 5:00 PM, and online at www.ncdhhs.gov/newic.

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14 *History Note: Authority G.S. 130A-361;*

15 *Eff. July 1, 1981;*

16 *Amended Eff. October 1, 2017; October 1, 2009; April 1, 1984; April 1, 1982;*

17 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
18 *23, ~~2017,~~ 2017;*

19 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0204 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0204 CONTRACT WITH FNS**

4 (a) The ~~Division of Public Health~~ Department of Health and Human Services shall maintain the Federal-State Special
5 Supplemental Nutrition Program Agreement with the United States Department of Agriculture, Food and Nutrition
6 Service.

7 (b) The state agency shall prepare, submit to FNS, and maintain the North Carolina State WIC Program Plan of
8 Operations to fulfill the requirements of 7 C.F.R. 246.4. This plan is available for inspection at the state agency during
9 business hours.

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11 *History Note: Authority G.S. 130A-361;*

12 *Eff. July 1, 1981;*

13 *Amended Eff. October 1, 2009;*

14 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
15 *23, ~~2017~~, 2017;*

16 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0205 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0205 POLICIES: GUIDELINES AND MANUALS**

4 (a) The state agency shall promulgate policies, guidelines and manuals to facilitate operation of the WIC Program in
5 accordance with the contract with FNS, the guidelines and instructions issued by FNS in policy letters and
6 management evaluations, and the North Carolina State WIC Program Plan of Operations and the rules contained in
7 this Subchapter.

8 (b) The policies, guidelines and manuals maintained under (a) of this Rule are available for inspection at the state
9 agency during regular business ~~hours.~~ hours, and online at www.ncdhhs.gov/ncwic.

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11 *History Note: Authority G.S. 130A-361;*

12 *Eff. July 1, 1981;*

13 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
14 *23, ~~2017~~, 2017;*

15 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0207 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0207 LOCAL WIC AGENCY AGREEMENT AND BUDGET**

4 (a) The state agency shall enter into an agreement and negotiate a budget with each local WIC agency in accordance
5 with 7 C.F.R. 246.6.

6 (b) The availability of budgeted support cost funds for each local WIC agency is contingent upon the availability of
7 WIC program support cost funds.

8 (c) Since local support cost funds are allocated according to the assigned number of participants to be served, in the
9 event a local WIC agency is unable to serve the assigned number of participants, the state agency shall reduce the
10 amount of support cost funds provided to the local WIC agency by a portion reflective of the level of
11 underperformance.

12 (d) The state agency shall notify the local WIC agency in writing of any reduction of support cost funds available to
13 the local WIC agency.

14 ~~(e) When state food dollars are overexpended during a federal fiscal year, local WIC Programs that have maintained~~
15 ~~an active case load in excess of their assigned number of participants shall reimburse to the state their proportionate~~
16 ~~share of the overexpenditure.~~

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18 *History Note: Authority G.S. 130A-361;*

19 *Eff. April 1, 1982;*

20 *Amended Eff. October 1, 2009; April 1, 1984;*

21 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
22 *23, ~~2017~~, 2017;*

23 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0304 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0304 EXPANSION OF LOCAL WIC PROGRAMS**

4 Local WIC agencies that plan to increase the number of persons served shall submit a written request to the ~~Nutrition~~
5 ~~Services Section.~~ Community Nutrition Services Section. The availability of funds and the Affirmative Action Plan
6 contained in the North Carolina State WIC Program Plan of Operation will be considered in making the decision to
7 approve or deny the request.

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9 *History Note: Authority G.S. 130A-361;*

10 *Eff. July 1, 1981;*

11 *Amended Eff. December 6, 1991; November 1, 1990;*

12 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
13 *23, ~~2017~~, 2017;*

14 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0410 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0410 PARTICIPANT VIOLATIONS AND SANCTIONS**

4 (a) The State agency shall assess a claim for the full value of Program benefits that have been obtained or disposed
5 of improperly as the result of a participant violation. "Participant violation" means those violations listed in 7 C.F.R.
6 246.2 which are incorporated by reference in Rule .0202 of this Subchapter. A claim shall not be paid by offsetting
7 the claim against future Program benefits.

8 (b) The following participant violations committed by a participant, parent or caretaker of an infant or child
9 participant, or proxy shall result in a one-year disqualification of the participant from the WIC Program, except as
10 provided in Paragraphs (c) and (d) of this Rule:

- 11 (1) Exchanging ~~food instruments, cash value vouchers or supplemental food for cash;~~ eWIC card,
12 benefits, or supplemental food for cash;
13 (2) Exchanging ~~food instruments, cash value vouchers or supplemental food~~ eWIC card, benefits, or
14 supplemental food for alcohol, alcoholic beverages, tobacco products, firearms, ammunition,
15 explosives, or controlled substances as defined in 21 U.S.C. 802;
16 (3) A claim for dual participation resulting from intentional misrepresentation;
17 (4) Intentionally making false or misleading statements or intentionally misrepresenting, concealing, or
18 withholding facts to obtain Program benefits;
19 (5) Any participant violation for which a claim of one hundred dollars (\$100.00) or more is assessed;
20 (6) A second or subsequent claim assessed for any participant violation, regardless of the dollar amount.
21 The second or subsequent claim does not have to be for the same participant violation as the initial
22 claim to result in a one-year disqualification; and
23 (7) Physical harm to clinic or vendor staff.

24 (c) The one-year disqualification referenced in Paragraph (b) of this Rule shall not be imposed against the participant
25 if a claim is assessed and full payment is made, or a repayment schedule is agreed upon within 30 days of receipt of a
26 written demand for repayment of the claim for the improperly obtained or disposed of Program benefits.

27 (d) The one-year disqualification referenced in Paragraph (b) of this Rule shall not be imposed against the participant
28 if the participant is an infant, child, or under age 18 and the state or local agency approves the designation of a proxy
29 for the participant. Designation of a proxy shall be approved if the proxy:

- 30 (1) is at least 18 years of age;
31 (2) presents proof of identification in the form of a government-issued photo identification card, work
32 or school identification card, health benefits or social services program card, social security card,
33 birth certificate, or a pay stub or utility bill no more than 60 days old;
34 (3) has written authorization from the participant or the parent or caretaker of an infant or child
35 participant; and
36 (4) ~~will not be serving as proxy for more than two families at the same time; and~~

1 ~~(5)(4)~~ will be the person who transacts the ~~food instruments, supplemental food~~ benefits or cash-value
2 benefits.

3 (e) Except as provided in Subparagraphs (b)(5) and (b)(6) of this Rule, the following participant violations committed
4 by a participant, parent or caretaker of an infant or child participant, or proxy shall result in a written warning for the
5 first violation and the assessment of a claim for the full amount of any improperly obtained or disposed of Program
6 benefits:

7 (1) Exchanging ~~food instruments, cash value vouchers or supplemental food~~ eWIC card, benefits or
8 supplemental food for credit;

9 (2) Exchanging ~~food instruments, cash value vouchers or supplemental food~~ eWIC card, benefits or
10 supplemental food for non-food items, other than alcohol, alcoholic beverages, tobacco products,
11 firearms, ammunition, explosives, or controlled substances as defined in 21 U.S.C. 802; and

12 (3) Exchanging ~~food instruments, cash value vouchers or supplemental food~~ eWIC card, benefits or
13 supplemental food for unauthorized food items, including supplemental foods in excess of those
14 listed on the participant's ~~food instrument.~~ available benefit balance.

15 For the violations listed in this Paragraph, failure to pay a claim in full or agree to a repayment schedule within 30
16 days of receipt of a written demand for repayment of a claim, shall result in a 90-day disqualification of the participant,
17 unless the participant is an infant, child, or under age 18 and the state or local agency approves the designation of a
18 proxy for the participant in accordance with Paragraph (d) of this Rule.

19 (f) The occurrence of a second or subsequent participant violation listed in Paragraph (e) of this Rule shall result in a
20 one-year disqualification of the participant and the assessment of a claim for the full amount of any improperly
21 obtained or disposed of Program benefits. The second or subsequent violation does not have to be the same as the
22 initial violation to result in a one-year disqualification. The one-year disqualification shall not be imposed against the
23 participant if full payment is made, or a repayment schedule is agreed upon within 30 days of receipt of a written
24 demand for repayment of a claim. Additionally, the one-year disqualification shall not be imposed against the
25 participant if the participant is an infant, child, or under age 18 and the state or local agency approves the designation
26 of a proxy for the participant in accordance with Paragraph (d) of this Rule.

27 (g) Threatening physical harm to or verbal abuse of clinic or vendor staff by a participant, parent or caretaker of an
28 infant or child participant, or proxy shall result in a written warning for the first occurrence of this violation. A second
29 occurrence within a 12-month period shall result in a 90-day disqualification of the participant, unless the participant
30 is an infant, child, or under age 18 and the state or local agency approves the designation of a proxy for the participant
31 in accordance with Paragraph (d) of this Rule.

32 (h) For any disqualification imposed under this Rule, a participant may reapply for Program participation if during
33 the period of the disqualification full payment is made or a repayment schedule is agreed upon, or in the case of a
34 participant who is an infant, child, or under age 18, the state or local agency approves the designation of a proxy in
35 accordance with Paragraph (d) of this Rule.

36 (i) The participant has a right to a fair hearing in accordance with Section .0900 of this Subchapter for sanctions
37 imposed under this Rule.

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History Note: Authority G.S. 130A-361; 7 C.F.R. 246; 42 U.S.C. 1786;
Eff. July 1, 1983;
Amended Eff. November 1, 1990;
Temporary Amendment Eff. July 1, 2002;
Amended Eff. October 1, 2009; August 1, 2004;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December
23, ~~2017~~, 2017;
Amended Eff. April 1, 2026.

1 10A NCAC 43D .0411 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0411 DUAL PARTICIPATION**

4 (a) A WIC participant shall not participate simultaneously in one or more than one ~~WIC clinic, or participate in the~~
5 ~~WIC Program and the Commodity Supplemental Food Program ("CSFP")~~ WIC clinic during the same period of time.
6 For purposes of this Rule, ~~to participate means certification as a in the WIC participant for the receipt of Program~~
7 ~~receiving WIC food instruments or cash value vouchers or certification as a CSFP participant for the receipt of CSFP~~
8 ~~food, or cash-value benefits.~~

9 (b) The state agency shall immediately terminate the participation in one of the clinics ~~or Programs~~, or the
10 simultaneous participation in a single clinic, in accordance with 7 C.F.R. 246.7 (l) when a participant is found to be
11 in violation of Paragraph (a) of this Rule.

12 (c) In the case of dual participation resulting from intentional misrepresentation, the participant, parent or caretaker
13 of an infant or child participant, or proxy shall repay Program benefits improperly issued ~~as a result of the dual~~
14 ~~participation, and transacted,~~ and the participant shall be disqualified from participation in ~~both Programs or clinic(s)~~
15 WIC in accordance with 7 C.F.R. 246.7 (l) and Paragraph (b) of Rule .0410 of this Section. For purposes of this
16 Paragraph, receiving WIC food ~~instruments or cash value vouchers or cash-value benefits~~ under two or more
17 participant identities in a single WIC clinic during the same issuance period and transacting one or more of the food
18 ~~instruments or cash value vouchers or cash-value benefits~~ received under two or more of the identities constitutes dual
19 participation based on intentional misrepresentation. Receiving WIC food ~~instruments or cash value vouchers or cash-~~
20 ~~value benefits~~ from more than one WIC clinic during the same issuance period and transacting one or more of the
21 food ~~instruments or cash value vouchers or cash-value benefits~~ received from two or more of the clinics constitutes
22 dual participation based on intentional misrepresentation. ~~Additionally, receiving WIC food instruments or cash value~~
23 ~~vouchers and CSFP food during the same time period and transacting one or more of the WIC food instruments or~~
24 ~~cash value vouchers constitutes dual participation based on intentional misrepresentation.~~

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26 *History Note: Authority G.S. 130A-361; 7 C.F.R. 246; 42 U.S.C. 1786;*

27 *Temporary Adoption Eff. July 1, 2002;*

28 *Eff. July 1, 2004;*

29 *Amended Eff. October 1, 2009;*

30 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
31 *23, ~~2017~~, 2017;*

32 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0501 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **SECTION .0500 – WIC PROGRAM FOOD PACKAGE**
4

5 **10A NCAC 43D .0501 SUPPLEMENTAL FOODS**

6 (a) The foods that may be provided to WIC program participants are specified in 7 C.F.R. 246.10, which is
7 incorporated by reference including any subsequent amendments and editions. This material is available for inspection
8 at the Department of Health and Human Services, ~~Division of Public Health,~~ Division of Child and Family Well-
9 Being, 5601 Six Forks Road, Raleigh, North Carolina 27609 and may be obtained from ~~Nutrition Services~~ the
10 Community Nutrition Services Section at no cost.

11 ~~(b) The following exclusions from the food package have been adopted by the North Carolina WIC Program:~~

12 ~~(1) mackerel and sardines;~~

13 ~~(2) goat milk; and~~

14 ~~(3) dried fruits and vegetables.~~

15 ~~(e)(b)~~ The State agency may exclude foods ~~other than those described in Paragraph (b) of this Rule~~ if it determines
16 such foods to be inappropriate for provision as supplemental foods through the WIC Program as a result of their cost,
17 nutritional composition, packaging, statewide availability, participant redemption data, or promotion in a manner
18 which is contrary to the purpose of the program as contained in 7 C.F.R. 246.1.

19
20 *History Note: Authority G.S. 130A-361; 7 C.F.R. 246.10; 42 U.S.C. 1786;*

21 *Eff. July 1, 1981;*

22 *Amended Eff. October 1, 1993; October 1, 1990; July 1, 1989; October 1, 1988;*

23 *Temporary Amendment Eff. July 1, 2002;*

24 *Amended Eff. October 1, 2009; August 1, 2004;*

25 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
26 *23, 2017;*

27 *Amended Eff. April 1, 2026; March 1, 2020.*

1 10A NCAC 43D .0702 is amended as published in 39:23 NCR 1502-1516 as follows:

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3 **10A NCAC 43D .0702 ISSUANCE OF FOOD BENEFITS**

4 (a) Local WIC agencies shall issue WIC program food benefits to program participants in a manner which ensures
5 that participants can receive the supplemental foods that have been prescribed for them in accordance with 7 C.F.R.
6 246.10, which is incorporated by reference with all subsequent amendments and editions.

7 (b) Local WIC agencies shall issue food benefits and shall retain documentation of issuance. ~~The documentation of~~
8 ~~issuance shall include the dated signature of the authorized individual receiving the food benefits, as set out in~~
9 ~~Subparagraph (c) of this Rule.~~

10 (c) Food benefits shall be issued only to the participant, the participant's parent, the participant's guardian, the
11 participant's caretaker, a proxy, or a representative of the program completing a compliance buy.

12
13 *History Note: Authority G.S. 130A-361; 7 C.F.R. 246.2; 7 C.F.R. 246.10; 42 U.S.C. 1786;*

14 *Eff. July 1, 1981;*

15 *Amended Eff. April 1, 2001;*

16 *Temporary Amendment Eff. July 1, 2002;*

17 *Amended Eff. October 1, 2009; August 1, 2004;*

18 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
19 *23, 2017;*

20 *Amended Eff. April 1, 2026; March 1, 2020.*

1 10A NCAC 43D .0707 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0707 VENDOR APPLICANTS**

4 To become authorized as a WIC vendor, a vendor applicant shall comply with the following vendor selection
5 criteria:

- 6 (1) A vendor applicant shall complete a WIC Vendor Application, as set forth in Item (20) of this Rule,
7 a WIC Price List, as set forth in Item (4) of this Rule, and a WIC Vendor Agreement, as set forth in
8 7 C.F.R. 246.12(h)(3). A vendor applicant shall submit its current highest shelf price for each WIC
9 supplemental food listed on the WIC Price List, as set forth in Item (4) of this Rule.
- 10 (2) A vendor applicant, at the time of application and throughout the term of authorization, shall
11 submit all completed forms to the local WIC agency, except that a corporate entity operating under
12 a WIC corporate agreement shall submit one completed WIC corporate agreement and the WIC
13 Price Lists to the State agency and a separate WIC Vendor Application for each store to the local
14 WIC agency. A corporate entity operating under a WIC corporate agreement may submit a single
15 WIC Price List for those stores that have the same prices for WIC supplemental foods in each
16 store, rather than submitting a separate WIC Price List for each store.
- 17 (3) A vendor applicant shall purchase all infant formula, exempt infant formula, and WIC-eligible
18 nutritionals directly from:
- 19 (a) The sources specified in 42 U.S.C 1786(h)(8)(A)(ix), which is incorporated by
20 reference with all subsequent amendments and editions;
- 21 (b) Retail food stores that purchase directly from the sources referenced in Sub-item (3)(a)
22 of this Item; or
- 23 (c) A source on another state's list of approved infant formula sources as verified by
24 that state's agency.
- 25 A vendor applicant shall make available to the State or local WIC agency invoices or receipts
26 documenting purchases of all infant formula, exempt infant formula, and WIC-eligible nutritionals.
27 Receipts and invoices shall satisfy the requirements of Sub-items (24)(a) through (24)(c) of Rule
28 .0708 of this Section. A vendor applicant shall not be authorized if within the last year the vendor
29 applicant had a previous WIC Vendor Agreement terminated for failure to purchase infant formula,
30 exempt infant formula, or WIC-eligible nutritionals from the sources specified in this Item. A vendor
31 applicant shall not be authorized if within the last year the vendor applicant had a previous WIC
32 Vendor Agreement terminated for providing infant formula, exempt infant formula, or WIC-eligible
33 nutritionals to WIC customers that was not purchased from the sources specified in this Item.
- 34 (4) A vendor applicant's current highest shelf price for each WIC supplemental food listed on the WIC
35 Price List shall not exceed the maximum price set by the State agency for each supplemental food
36 within that vendor applicant's peer group, except as provided in Sub-item (4)(b) of this Item. The
37 maximum price for each supplemental food shall be established as follows:

- (a) The most recent prices submitted through the EBT system by authorized vendors within the same peer group shall be used to determine the maximum price for each supplemental food. The maximum price shall be two standard deviations above the average current highest shelf price of each supplemental food within a vendor peer group. The State agency shall reassess the maximum price set for each supplemental food at least four times a year.
- (b) If any of the vendor applicant's price(s) on its WIC Price List exceed the maximum price(s) set by the State agency for that applicant's peer group, the State agency shall send the applicant written notice. Within 30 days of the date of receipt of the written notice, the vendor applicant may resubmit price(s) that it will charge the State WIC Program for those foods that exceeded the maximum price(s). If none of the vendor applicant's resubmitted prices exceed the maximum prices set by the State agency, the vendor applicant shall be deemed to have met the requirements of Item (4) of this Rule. If any of the vendor applicant's resubmitted prices still exceed the maximum prices set by the State agency, or the vendor applicant does not resubmit prices within 30 days of the date of receipt of the written notice, the agency shall send the applicant a written notice of denial. The vendor applicant shall wait 90 days from the date of receipt of the written denial to reapply for authorization.
- (5) A vendor applicant shall pass an announced monitoring review by the local WIC agency to determine whether the store has minimum inventory of supplemental foods as specified in Item (17) of Rule .0708 of this Section. A vendor applicant that fails this review shall be allowed a second opportunity for an unannounced monitoring review within 14 days. If the applicant fails both reviews, the application shall be denied in writing and the applicant shall wait 90 days from the date of the second monitoring review before submitting a new application
- (6) A vendor applicant shall either attend or cause a manager or another authorized store representative to attend WIC Vendor Training provided by the local WIC agency prior to vendor authorization and ensure that the vendor applicant's employees receive instruction in WIC program procedures and requirements.
- (7) An applicant shall mark the current shelf prices of all WIC supplemental foods on the foods or have the prices posted on the shelf or display case at all times.
- (8) The store shall be at a single, fixed location within North Carolina. The store shall be located at the address indicated on the WIC vendor application and shall be the site where WIC supplemental foods are selected by the WIC customer.
- (9) A vendor applicant shall use point of sale (POS) terminals to support the WIC Program that are deployed in accordance with the minimum lane coverage provisions of 7 C.F.R. 246.12(z)(2)(ii).
- (10) The store shall be open throughout the year for business with the public at least six days a week for at least 40 hours per week between 8:00 a.m. and 11:00 p.m.

- (11) The store shall not use the acronym "WIC" or the WIC logo, including facsimiles, in total or in part, in the official name under which the business is registered or in the name under which it does business.
- (12) A vendor applicant shall not submit false, erroneous, or misleading information in an application to become an authorized WIC vendor or in subsequent documents submitted to the state or local WIC agency. A vendor applicant shall not be authorized if within the last year the vendor applicant had a previous WIC Vendor Agreement terminated for submitting false, erroneous, or misleading information.
- (13) The owner(s), officer(s), or manager(s) of a vendor applicant shall not be employed, or have a spouse, child, or parent who is employed by the State WIC program, or the local WIC program serving the county where the vendor applicant conducts business. A vendor applicant shall not have an employee who handles or transacts WIC food benefits who is employed, or has a spouse, child, or parent who is employed by the State WIC program or the local WIC program serving the county where the vendor applicant conducts business. Such situations present a conflict of interest.
- (14) WIC vendor authorization shall be denied if in the last six years any of the vendor applicant's current owners, officers, or managers have been convicted of or had a civil judgment entered against them for any activity indicating a lack of business integrity, including fraud, antitrust violations, embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, receiving stolen property, making false claims, and obstruction of justice, as set out in 7 C.F.R 246.12(g)(3)(ii). For purposes of this Item, "convicted" or "conviction" includes:
- (a) a plea of guilty;
 - (b) a verdict or finding of guilt by a jury, judge, magistrate, or adjudicating body, tribunal, or official, either civilian or military;
 - (c) a plea of no contest, nolo contendere, or the equivalent; or
 - (d) entry of a prayer for judgment continued following a conviction as defined in this Item is the same as a conviction for purposes of this Item.
- (15) A vendor applicant shall not be authorized if it is currently disqualified from the ~~Supplemental Nutrition Assistance Program ("SNAP")~~ NC Food and Nutrition Services (FNS) (formerly known as the Supplemental Nutrition Assistance Program ["SNAP"]) or it has been assessed a ~~SNAP~~ FNS/SNAP civil money penalty for hardship and the disqualification period that otherwise would have been imposed has not expired.
- (16) A vendor applicant, excluding chain stores and stores under a WIC corporate agreement that have a separate manager on site for each store, shall not have an owner who holds a financial interest in any of the following:
- (a) a SNAP FNS/SNAP vendor that is disqualified from participation in SNAP FNS/SNAP or has been assessed a civil money penalty for hardship in lieu of disqualification and the time period during which the disqualification would have run, had a penalty not been paid, has

1 not expired;

2 or

- 3 (b) another WIC vendor that is disqualified from participation in the WIC Program or has been
4 assessed a monetary or civil money penalty pursuant to G.S. 130A-22(c1), Paragraph (e)
5 or Paragraph (f) of Rule .0710 of this Section as the result of violation of Paragraphs (a)
6 or (b) of Rule .0710 of this Section and if assessed a penalty, the time during which the
7 disqualification would have run, had a penalty not been assessed, has not expired.

8 The requirements of this Item shall not be met by the transfer or conveyance of financial interest
9 during the period of disqualification. Additionally, the requirements of this Item shall not be met
10 even if such transfer or conveyance of financial interest in a ~~SNAP FNS/SNAP~~ vendor under Sub-
11 item (16)(a) of this Item ends the disqualification period applicable to that ~~SNAP FNS/SNAP~~ vendor
12 earlier than the disqualification period otherwise would have expired. The requirements of this Item
13 shall apply until the time the ~~SNAP FNS/SNAP~~ vendor disqualification otherwise would have
14 expired.

- 15 (17) A vendor applicant, excluding free-standing pharmacies, shall have ~~SNAP FNS/SNAP~~ authorization
16 for the store as a prerequisite for WIC vendor authorization and shall provide its ~~SNAP FNS/SNAP~~
17 authorization number to the State agency.

- 18 (18) A vendor applicant shall not become authorized as a WIC vendor if the store has been disqualified
19 from participation in the WIC Program and the disqualification period has not expired. A vendor
20 applicant shall not be authorized as a WIC vendor if any of the vendor applicant's owner(s),
21 officer(s) or manager(s) currently has or previously had a financial interest in a WIC vendor that
22 was assessed a claim by the WIC Program and the claim has not been paid in full.

- 23 (19) A vendor applicant shall enter into contract with the State WIC Program's EBT processor or a
24 certified third party processor prior to WIC authorization and comply with all requirements detailed
25 in the EBT or third party processor's Vendor Agreement.

- 26 (20) A WIC Vendor Application shall include the following information:

- 27 (a) The store name, physical address, and hours of operation;
28 (b) The type of ownership under which the vendor operates;
29 (c) The names and contact information of owners or officers;
30 (d) Annual food sales data and percentages for the following tender types: cash, credit, debit,
31 ~~SNAP FNS/SNAP~~, and WIC;
32 (e) The store's ~~SNAP FNS/SNAP~~ authorization number and history of ~~SNAP FNS/SNAP~~
33 participation, if a retail grocery store; and
34 (f) Other information that the store is required to provide to the State agency in accordance
35 with 7 C.F.R. 246.12.

36 For a food retailer or free-standing pharmacy to participate in the WIC Program, a current WIC Vendor Agreement
37 shall be signed by the vendor, the local WIC agency, and the State agency. If an application for status as an authorized

1 WIC vendor is denied, the applicant is entitled to an administrative appeal as described in Section .0800 of this
2 Subchapter.

3
4 *History Note:* Authority G.S. 130A-361; 7 C.F.R. 246; 7 C.F.R. 246.12(g)(3); 7 C.F.R. 246.12(g)(3)(ii); 7 C.F.R.
5 246.12(h)(3)(xix); 7 C.F.R. 246.12(t); 42 U.S.C. 1786;
6 Eff. February 1, 2013;
7 Amended Eff. October 1, 2017;
8 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December
9 23, 2017;
10 Amended Eff. April 1, 2026; March 1, 2020.

1 10A NCAC 43D .0708 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0708 AUTHORIZED VENDORS**

4 By signing the WIC Vendor Agreement, the vendor agrees to:

- 5 (1) Process WIC Program food benefits in accordance with the terms of the WIC Vendor Agreement
6 and 42 U.S.C. 1786, 7 C.F.R. 246.1-246.28, and the rules of this Subchapter;
- 7 (2) Accept ~~WIC eWIC Program food~~ benefits in exchange for WIC supplemental foods. Supplemental
8 foods are those foods that satisfy the requirements of 10A NCAC 43D .0501;
- 9 (3) Provide ~~to~~ the WIC customer with only the approved supplemental foods, fruits, and vegetables
10 contained in the authorized product list (APL) after it has been determined that the WIC customer
11 has an available balance on the date of the transaction. The WIC customer is not required to get all
12 of the supplemental foods listed on his or her food benefit balance. However, a WIC customer may
13 obtain more fruits and vegetables than the full dollar value of his or her cash-value benefit if the
14 WIC customer pays the difference, as set forth in 7 C.F.R. 246.12(h)(3)(xi);
- 15 (4) Transmit only the current shelf price of all WIC-approved supplemental foods purchased in the
16 correct sizes, quantities, and the total dollar amount of all WIC-approved supplemental foods
17 purchased in the EBT system and shall not charge or collect sales taxes for the supplemental food
18 provided;
- 19 (5) Charge no more for supplemental food provided to a WIC customer than to a non-WIC customer or
20 no more than the current shelf price, whichever is less;
- 21 (6) Accept payment from the State WIC Program only up to the maximum price set by the State agency
22 for each supplemental food within that vendor's peer group. The maximum price for each
23 supplemental food shall be based on the maximum prices set by the State agency for each
24 supplemental food, as described in Sub-item (4)(a) of Rule .0707 of this Section. A request for
25 payment submitted over the maximum price allowed by the State agency will only be paid up to the
26 maximum price for that supplemental food;
- 27 (7) Accept payment from the State WIC Program only up to the full dollar value of the cash-value
28 benefit;
- 29 (8) Not charge the State WIC Program more than the maximum price set by the State agency under
30 Item (4)(a) of Rule .0707 of this Section for each supplemental food within the vendor's peer group;
- 31 (9) Provide to WIC customers infant formula, exempt infant formula, and WIC eligible nutritionals
32 purchased only from the sources specified in Item (3) of Rule .0707 of this Section. Providing infant
33 formula, exempt infant formula, or WIC eligible nutritionals that ~~has~~ have not been purchased from
34 the sources specified in Item (3) of Rule .0707 of this Section shall result in termination of the WIC
35 Vendor Agreement;
- 36 (10) For free-standing pharmacies, provide only exempt infant formula and WIC-eligible nutritionals;

- (11) Excluding free-standing pharmacies, redeem at least two thousand dollars (\$2,000) annually in WIC supplemental food sales. Failure to redeem at least two thousand dollars (\$2,000) annually in WIC supplemental food sales shall result in termination of the WIC Vendor Agreement. The store shall wait 180 days to reapply for authorization;
- (12) Ensure that a personal identification number (PIN) is used by the WIC customer to complete the EBT transaction in lieu of a signature;
- (13) Ensure that the WIC customer enters the PIN to initiate the EBT transaction. The vendor shall not enter the PIN for the WIC customer;
- (14) Not transact food benefits ~~in~~ as a whole or in part for cash, credit, unauthorized foods, or non-food items;
- (15) Not provide refunds or permit exchanges for authorized supplemental foods obtained with food benefits, except for exchanges of an identical authorized supplemental food when the original authorized supplemental food is defective, spoiled, or has exceeded its "sell by," "best if used by," or other date limiting the sale or use of the food. An "identical authorized supplemental food" means the exact brand, type and size as the original authorized supplemental food obtained and returned by the WIC customer;
- (16) Notify the local WIC agency of misuse (attempted or actual) of WIC Program food benefits;
- (17) Maintain a minimum inventory of supplemental foods in the store for purchase. Supplemental foods that are outside of the manufacturer's expiration date do not count towards meeting the minimum inventory requirement. Free-standing pharmacies are not required to maintain a minimum inventory of supplemental foods. The following items and sizes constitute the minimum inventory of supplemental foods for vendors:

| Food Item | Type of Inventory | Quantities Required |
|-----------------------------------|--|---|
| Milk | Whole fluid: gallon | 2 gallons |
| | -and- Skim/lowfat <u>Skim/low fat</u> fluid: gallon | 6 gallons |
| Cheese | 1 pound package | 2 packages |
| Cereals | 2 types: whole grain (minimum package size 12 ounce) | 6 packages total |
| Eggs | Grade A, large, white: 1 dozen size carton | 2 dozen |
| Juices <u>Juice</u> | Single strength: 48 ounce container 64 ounce container <u>64 ounce: 2 varieties</u> | 4 containers 4 containers <u>6 containers</u> |

| | | |
|---|---|---|
| Dried Peas and Beans <u>Legumes</u> | 1 pound package <u>or 4 15-16 ounce cans: 2 varieties</u> | 2 packages <u>2 packages or 8 cans OR 1 package and 4 cans</u> |
| Peanut Butter | 16 to 18 ounce container | 2 containers |
| Tuna | 5 to 6 ounce can | 6 cans |
| Bread/Tortillas | 16 <u>to 24</u> ounce loaf of <u>whole wheat/whole grain</u> bread or package of tortillas | 2 loaves or 2 packages OR 1 loaf and 1 package |
| Rice | 14 to 16 ounce package <u>14 to 24 ounce package, whole grain</u> | 2 packages |
| Infant Cereal | 8 ounce box | 6 boxes |
| Infant Fruits and Vegetables | 3.5 to 4 ounce container 1 type of fruit and 1 type of vegetable | 64 ounces |
| Infant Formula | milk-based powder; 11.0 to 14.0 ounce -and- soy-based powder; 11.0 to 14.0 ounce Brands must be the primary contract infant formulas | 8 cans 4 cans |
| Fruits | 14 to 16 ounce can: 2 varieties | 10 cans total |
| Vegetables (Excludes foods in Dried Peas and Beans <u>Legumes</u> category) | 14 to 16 ounce can: 2 <u>3</u> varieties | 10 cans total |

1 All vendors, except free-standing pharmacies, shall supply milk or soy-based infant formula in 32 ounce ready-to-
2 feed or powder within 48 hours of request by the State or local WIC agency. Free-standing pharmacies shall only
3 supply exempt infant formula or WIC-eligible nutritionals. Free-standing pharmacies shall supply exempt infant
4 formula or WIC-eligible nutritionals within 48 hours of a request;

5 (18) Ensure that all supplemental foods in the store for purchase are within the manufacturer's expiration
6 date;

7 (19) Permit the purchase of supplemental food without requiring other purchases;

8 (20) Comply with the following EBT provisions:

9 (a) Sign the WIC Vendor Agreement of the EBT Processor selected by the State WIC Program
10 or a third-party processor that has been certified according to criteria established by the
11 EBT Processor selected by the State WIC Program. Failure by a vendor to sign and retain
12 a WIC Vendor Agreement with the State WIC Program's EBT Processor or a third-party
13 processor that has been certified by the State WIC Program's EBT Processor shall result in

1 termination of the WIC Vendor Agreement. Vendors shall notify the WIC Program within
2 24 hours of any periods of time during which they do not maintain an Agreement with the
3 State WIC Program's EBT Processor or a third-party processor that has been certified by
4 the State WIC Program's EBT Processor;

5 (b) Process EBT transactions in accordance with the terms of the North Carolina WIC Vendor
6 Agreement, WIC Program State Rules, federal regulations, and statutes;

7 (c) Maintain Point of Sale (POS) terminals used to support the WIC Program in accordance
8 with the minimum lane provisions of 7 C.F.R. 246.12(z)(2);

9 (d) Maintain a North Carolina EBT Processor certified in-store EBT system that is available
10 for WIC redemption processing during all hours the store is open;

11 (e) Request the North Carolina EBT Processor re-certify its in-store system if the vendor alters
12 or revises the system in any manner that impacts the EBT redemption or claims processing
13 system after initial certification is completed;

14 (f) For vendors with integrated systems, obtain EBT card readers to support EBT transactions
15 within their store(s). The vendor shall ensure that the EBT card readers they obtain ~~meets~~
16 meet all EBT and North Carolina EBT Processor requirements;

17 (g) Require an owner, manager, or other authorized store representative to complete training
18 on WIC EBT procedures. The vendor shall ensure that all cashiers and staff are trained on
19 WIC EBT requirements, including training in the acceptance and processing of WIC EBT
20 transactions;

21 (h) Require the WIC customer to approve the WIC transaction. Vendors shall ensure that the
22 vendor's staff does not approve the WIC transactions for WIC customers under any
23 circumstances;

24 (i) Release supplemental food to WIC customers when the transaction has been completed to
25 include receipt of transaction approval by the EBT processing system, printing of the
26 receipt, and updated balance of the WIC customer's account;

27 (j) Scan or manually enter Universal Product Codes (UPC) only from approved supplemental
28 foods being purchased by the WIC customer in the types, sizes, and quantities available on
29 the WIC customer's EBT account. The vendor shall not scan codes from UPC codebooks
30 or reference sheets;

31 (k) Return any EBT card found on the vendor's property and unclaimed for 24 hours to the
32 WIC Program. The vendor shall not hold or use a WIC customer's EBT card and PIN for
33 any purpose whatsoever;

34 (l) Connect the vendor's in-store system for each outlet covered by the WIC Vendor agreement
35 to the State's WIC EBT system at least once each 24-hour period to download
36 reconciliation files and the WIC Authorized Product UPC/Product Look-Up (PLU) list.

- 1 (21) ~~Attend, Attend~~ or cause a manager or other authorized store representative to attend, annual vendor
2 training upon notification by the local WIC agency. Failure to attend annual vendor training by
3 September 30 of each year shall result in termination of the WIC Vendor Agreement;
- 4 (22) Inform and train vendor's cashiers and other staff on WIC Program requirements;
- 5 (23) Be accountable for the actions of its owners, officers, managers, agents, and employees who commit
6 vendor violations;
- 7 (24) Allow monitoring and inspection by State and local WIC Program staff of the store premises and
8 procedures to ensure compliance with the agreement and State, and federal WIC Program rules,
9 regulations, and applicable law. This includes providing access to all program-related records,
10 including access to all WIC food instruments and cash-value vouchers at the store; vendor records
11 pertinent to the purchase and sale of WIC supplemental foods, including invoices, receipts, copies
12 of purchase orders, and any other proofs of purchase; federal and state corporate and individual
13 income tax and sales and use tax returns and all records pertinent to these returns; and books and
14 records of all financial and business transactions. These records shall be retained by the vendor for
15 a period of three years or until any audit pertaining to these records is resolved, whichever is later.
16 Notwithstanding any other provision of this Rule and Rules .0707 and .0710 of this Section, failure
17 or inability to provide these records for an inventory audit or providing false records for an inventory
18 audit shall be deemed a violation of 7 C.F.R. 246.12(l)(1)(iii)(B) and Subparagraph (a)(1) of Rule
19 .0710 of this Section. Invoices, receipts, purchase orders, and any other proofs of purchase for WIC
20 supplemental foods shall include:
- 21 (a) the name of the seller and be prepared entirely by the seller without alteration by the vendor
22 or on the seller's business letterhead;
- 23 (b) the date of purchase and the date the authorized vendor received the WIC supplemental
24 food at the store if different from the date of purchase; and
- 25 (c) a description of each WIC supplemental food item purchased, including brand name, unit
26 size, type or form, and quantity;
- 27 (25) Maintain a record of all SNAP-eligible food sales and provide to the State agency upon request a
28 statement of the total amount of revenue derived from SNAP-eligible food sales and written
29 documentation to support the amount of sales claimed by the vendor, such as sales records, financial
30 statements, reports, tax documents, or other verifiable documentation;
- 31 (26) Submit a current completed WIC Price List when signing this agreement, and within two weeks of
32 any written request by the State or local WIC agency;
- 33 (27) Reimburse the State agency in full or agree to a repayment schedule with the State agency within
34 30 days of written notification of a claim assessed due to a vendor violation that affects payment to
35 the vendor. Failure to reimburse the State agency in full or agree to a repayment schedule within 30
36 days of written notification of a claim shall result in termination of the WIC Vendor Agreement.
37 Payment of a claim by the vendor for a vendor violation(s) shall not absolve the vendor of the

- violation(s). The vendor shall also be subject to any vendor sanctions authorized under Rule .0710 of this Section for the vendor violation(s);
- (28) Not seek restitution from the WIC customer for reimbursement paid by the vendor to the State agency or for WIC food benefits not paid or partially paid by the State agency. Additionally, the vendor shall not charge the WIC customer for authorized supplemental foods obtained with food benefits;
- (29) Not contact a WIC customer outside the store regarding the transaction or redemption of WIC food benefits;
- (30) Notify the local WIC agency in writing at least 30 days prior to a change of ownership, change in store location, cessation of operations, or withdrawal from the WIC Program. Change of ownership, change in store location of more than three miles from the store's previous location, cessation of operations, withdrawal from the WIC Program, or disqualification from the WIC Program shall result in termination of the WIC Vendor Agreement by the State agency. Change of ownership, change in store location, ceasing operations, withdrawal from the WIC Program, or nonrenewal of the WIC Vendor Agreement shall not stop a disqualification period applicable to the store;
- (31) Not discriminate on the basis of WIC participation, such as failing to offer WIC customers the same courtesies, as set forth in 7 C.F.R. 246.12(h)(3)(iii), offered to other customers or requiring separate WIC lines;
- (32) Reapply to continue to be authorized beyond the period of its current WIC Vendor Agreement. Additionally, a store shall reapply to become authorized following the expiration of a disqualification period or termination of the Agreement. In all cases, the vendor applicant is subject to the vendor peer group criteria set forth in 7 CFR 246.12(g)(4), 7 CFR 246.12(h)(3), and the vendor selection criteria of Rule .0707 of this Section; and
- (33) Comply with all the requirements for vendor applicants of Items (3), (4), and (7) through (17), and (19) of Rule .0707 of this Section throughout the term of authorization. The State agency may reassess a vendor at any time during the vendor's period of authorization to determine compliance with these requirements. The State agency shall terminate the WIC Vendor Agreement of any vendor that fails to comply with Items (3), (4), (8), (9), (10), (11), (12), (13), (14), (16), (17), or (19) of Rule .0707 of this Section during the vendor's period of ~~authorization~~, authorization and terminate the agreement of or sanction or both any vendor that fails to comply with Items (7), (15), (17), or (19) of Rule .0707 of this Section during the vendor's period of authorization.
- (34) Permit the State agency to reassess the peer group designation at any time during the vendor's agreement period and place the vendor in a different peer group if upon reassessment the State agency determines that the vendor is no longer in the appropriate peer group.

*History Note: Authority G.S. 130A-361; 7 C.F.R. 246.12; 42 U.S.C. 1786;
Eff. March 1, 2013;*

1 *Amended Eff. October 1, 2017;*
2 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
3 *23, 2017;*
4 *Amended Eff. April 1, 2026; March 1, 2020; July 1, 2018.*

1 10A NCAC 43D .0709 is amended with changes as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0709 LOCAL WIC AGENCY**

4 By signing the WIC Vendor Agreement, the local WIC agency agrees to the following:

- 5 (1) Provide annual vendor training on WIC procedures and rules;
- 6 (2) Conduct routine monitoring, as set forth in 7 C.F.R. 246.2 and 7 C.F.R. 246.12(j)(2), of the vendor's
7 performance under the agreement to ensure compliance with the agreement and state and federal
8 WIC program rules, regulations, and applicable law. A minimum of one-third of all authorized
9 vendors shall be monitored within a fiscal year (October 1 through September 30) and all vendors
10 shall be monitored at least once within three consecutive fiscal years. Any vendor shall be monitored
11 within one week of written request by the state agency;
- 12 (3) Provide vendors with directions on how to access the North Carolina WIC Vendor ~~Manual~~, Manual
13 online at <https://www.ncdhhs.gov/wicvendorsconnection>, all Vendor Manual amendments, blank
14 WIC Price Lists, and the authorized WIC vendor ~~stamp~~ [id] ID indicated on the signature page of
15 the WIC Vendor Agreement; and
- 16 (4) Assist the vendor with questions which may arise under the agreement or through the vendor's
17 participation in the WIC Program.

18
19 *History Note: Authority G.S. 130A-361; 7 C.F.R. 246; 42 U.S.C. 1786;*
20 *Eff. February 1, 2013;*
21 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
22 *23, ~~2017~~, 2017;*
23 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0804 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0804 CONTINUATION OF PARTICIPATION**

4 Except as provided in 42 U.S.C. 1786(o)(2) and 7 C.F.R. 246.18(a)(2) and (a)(3)(iii), the participation of a local WIC
5 agency or authorized WIC vendor in the WIC program may continue during an administrative appeal. Except as
6 provided in 42 U.S.C. 1786(o)(2) and 7 C.F.R. 246.18(a)(2) and (a)(3)(iii), the adverse action shall become effective
7 upon issuance of a final agency decision pursuant to ~~G.S. 150B-36~~ G.S. 150B 42 which upholds the adverse action.

8
9 *History Note: Authority G.S. 130A-361; 7 C.F.R. 246.18; 42 U.S.C. 1786;*

10 *Eff. July 1, 1981;*

11 *Amended Eff. July 1, 1986;*

12 *Temporary Amendment Eff. July 1, 2002;*

13 *Amended Eff. July 1, 2004;*

14 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
15 *23, ~~2017~~, 2017;*

16 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0902 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0902 GENERAL CONDITIONS**

4 (a) This Section shall be carried out in accordance with 7 C.F.R. 246.9 and G.S. 150B-22. The fair hearing
5 procedures set out in this Section establish an informal dispute resolution process which must be complied with prior
6 to making a formal appeal in accordance with G.S. 150B.

7 (b) For the purposes of this Section, agency official shall mean the ~~Branch Head of the Nutrition Services Branch~~
8 Assistant Director for the Division of Child and Family Well-Being, Community Nutrition Services Section or his or
9 her designee.

10
11 *History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;*

12 *Eff. July 1, 1981;*

13 *Amended Eff. December 6, 1991; November 1, 1990; July 1, 1987;*

14 *Temporary Amendment Eff. July 1, 2002;*

15 *Amended Eff. July 1, 2004;*

16 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
17 *23, ~~2017~~, 2017;*

18 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0904 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0904 NOTIFICATION OF THE RIGHT TO A FAIR HEARING**

4 (a) Every current or potential WIC participant shall be informed by the local WIC agency of his or her right to a fair
5 hearing:

- 6 (1) in writing at the time of application;
7 (2) in writing whenever he or she is determined ineligible;
8 (3) in writing if disqualified due to abuse of the program;
9 (4) in writing at the time of assessment of a claim for repayment of the cash value of improperly issued
10 Program benefits; and
11 (5) orally or in writing at least 15 days before the expiration of each certification period.

12 (b) The content of the notice of fair hearing shall include:

- 13 (1) a statement of the right to a fair hearing;
14 (2) the method by which a fair hearing may be requested, including the time limit; and
15 (3) who may represent the individual.
16 (4) the civil rights statement

17 (c) In order to notify current and potential participants of the fair hearing process, a simplified summary of the steps
18 involved in obtaining a fair hearing shall be posted in a visible place at every WIC site where certifications are
19 performed, ~~food instruments~~ eWIC benefits are issued or applications are accepted. This notification shall contain:

- 20 (1) notice of right to a fair hearing;
21 (2) a simplified explanation of the definition and purpose of a fair hearing;
22 (3) the method by which a fair hearing may be requested, including the time limit; and
23 (4) who may represent the individual at the fair hearing and in requesting a fair hearing.
24

25 *History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;*

26 *Eff. July 1, 1981;*

27 *Temporary Amendment Eff. July 1, 2002;*

28 *Amended Eff. July 1, 2004;*

29 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
30 *23, ~~2017~~ 2017;*

31 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0905 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0905 REQUEST FOR A FAIR HEARING**

4 a) A request for a fair hearing is any expression that conveys a desire to present a case contesting an action that
5 results in an individual's denial of participation, or disqualification from the program or a claim against an individual
6 for repayment of the cash value of improperly issued Program benefits. This request may be made to any of the
7 following:

- 8 (1) the agency official;
- 9 (2) the agency director of the local WIC agency;
- 10 (3) the WIC director of the local WIC agency;
- 11 (4) any person serving in one of the above three roles in the absence of the agency official, agency
12 director or WIC director.

13 b) If the request is not made directly to the agency official, the individual receiving the request shall immediately
14 notify the agency official of the request by ~~telephone.~~ email.

15 c) All requests shall be documented in writing.

16 (1) If the original request is made in writing, the individual receiving the request shall retain
17 a photocopy and send the original copy to the agency official ~~immediately following the~~
18 ~~telephone call.~~ immediately.

19 (2) If a verbal request is received, the individual receiving the request shall document the request in
20 writing, including:

- 21 (A) the applicant's or participant's name;
- 22 (B) the name of the individual making the request:
 - 23 (i) their mailing address;
 - 24 (ii) telephone number; and
 - 25 (iii) relation to the applicant or participant;
- 26 (C) the date of the request; and
- 27 (D) the cause for the request along with the name, title, and signature of the person writing
28 the documentation.

29 ~~The original copy of this documentation shall be sent to the official agency immediately following the telephone~~
30 ~~call with a copy being retained by the sender.~~

31 d) The request for a fair hearing may be made by the individual affected by the action or the individual's parent,
32 caretaker, or any other person acting on his or her behalf.

33 e) If an individual or an individual's parent, caretaker, or any other person acting on his or her behalf expresses
34 verbally the desire for a fair hearing to a state or local agency staff member not authorized to accept a request, that
35 staff member shall provide assistance in contacting the individuals who can accept a fair hearing request.

36 f) The request for a fair hearing must be made within 60 days from the date the applicant or participant is given
37 notice of the action. If the notification is mailed, this time period shall begin on the date the notification was mailed.

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History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;
Eff. July 1, 1981;
Amended Eff. November 1, 1990;
Temporary Amendment Eff. July 1, 2002;
Amended Eff. July 1, 2004;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December
23, ~~2017~~ 2017;
Amended Eff. April 1, 2026.

1 10A NCAC 43D .0906 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0906 DENIAL OR DISMISSAL OF A REQUEST**

4 The hearing officer shall deny or dismiss a hearing if:

- 5 (1) the request is not received within 60 days of the date of notification of the action;
- 6 (2) the request is withdrawn in writing by the appellant or ~~his or her~~ their representative;
- 7 (3) the request is verbally withdrawn by the appellant or the appellant's parent, caretaker, or any other
- 8 person acting on his or her behalf during conversation with the agency Official. Within 10 days of
- 9 this verbal withdrawal request the agency official shall send a letter to the appellant and the local
- 10 WIC agency summarizing the events which lead to the withdrawal of the request. This letter shall
- 11 include notification of the appellant's right to reinstate the request for a fair hearing;
- 12 (4) the appellant or the appellant's parent, caretaker, or any other person acting on his or her behalf fails
- 13 to appear at the scheduled hearing, unless the failure to appear was due to circumstances beyond the
- 14 control of the appellant or his or her representative;
- 15 (5) the request is made in reference to the tailoring of the food package; or
- 16 (6) the initial action assessing a claim for the cash value of improperly issued Program benefits or
- 17 denying participation or disqualifying from the program has been reversed by the local WIC agency
- 18 or the state agency, resulting in the provision of program benefits to the appellant.
- 19 (7) the individual has been denied participation by a previous hearing and cannot provide evidence that
- 20 circumstances relevant to Program eligibility have changed in such a way as to justify a hearing.
- 21

22 *History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;*

23 *Eff. July 1, 1981;*

24 *Amended Eff. November 1, 1990;*

25 *Temporary Amendment Eff. July 1, 2002;*

26 *Amended Eff. July 1, 2004;*

27 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*

28 *23, ~~2017~~ 2017;*

29 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0907 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0907 CONTINUATION OF BENEFITS**

4 (a) WIC program benefits shall be continued during the appeal of the following actions when the request for a hearing
5 is received within 15 days of notification of the action:

- 6 (1) disqualification from the program for abuse during a certification period; or
7 (2) determination of ineligibility during a certification period due to ~~categorical ineligibility or~~
8 ~~residential ineligibility; or~~ a change in income eligibility or residential eligibility.
9 ~~(3) other terminations during a certification period.~~

10 (b) WIC program benefits shall not be continued when a fair hearing is requested:

- 11 (1) in any of the situations in Paragraph (a) of this Rule if the request is made more than 15 days after
12 the date of notification; or
13 ~~(2) by determination of ineligibility during a certification period due to categorical ineligibility; or~~
14 ~~(2)(3)~~ by applicants who are denied benefits at the initial or subsequent determination of WIC eligibility
15 if the previous certification period has expired.

16 (c) When benefits are continued due to a request for a fair hearing as specified above, the individual shall continue to
17 receive benefits until an adverse hearing decision is reached or the certification period expires, whichever occurs first.

18
19 *History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;*

20 *Eff. July 1, 1981;*

21 *Temporary Amendment Eff. July 1, 2002;*

22 *Amended Eff. July 1, 2004;*

23 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
24 *23, ~~2017~~, 2017;*

25 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0908 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0908 NOTICE OF HEARING**

4 (a) The local or State agency official shall notify the appellant, or his or her representative, the local WIC agency,
5 and the ~~Nutrition Services Branch~~ Community Nutrition Services Section in writing that a request for a hearing has
6 been received.

7 (b) Notice of the ~~date, time, time, date,~~ and place of the hearing shall be given to all parties at least 10 days in advance
8 of the hearing.

9 (c) The notice to the appellant, or his or her representative, shall include a stamped envelope with the return address
10 of the agency official with a request ~~that it be returned~~ for response using the stamped envelope or by email indicating
11 whether the ~~date, time, time, date,~~ and place for the hearing is satisfactory. If a response is not received at least ~~72~~ 24
12 hours prior to the time proposed for the hearing, it shall be assumed that the ~~date, time, time, date,~~ and place are
13 satisfactory.

14 (d) The notice shall contain:

- 15 (1) an explanation of the procedure for the hearing;
16 (2) a statement of the ~~date, hour, time, date,~~ place and nature of the hearing;
17 (3) a reference to the particular sections of the statutes and rules involved; and
18 (4) a statement of the factual allegations.

19 (e) If the appellant, or his or her representative, indicates that he or she desires another time and date, the agency
20 official shall set a new time and date for the hearing. The hearing shall be accessible to the appellant, or his or her
21 representative.

22 (f) The hearing shall be held within 21 days from the date the agency official receives the request.

23
24 *History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;*

25 *Eff. July 1, 1981;*

26 *Amended Eff. November 1, 1990;*

27 *Temporary Amendment Eff. July 1, 2002;*

28 *Amended Eff. July 1, 2004;*

29 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
30 *23, 2017;*

31 *Amended Eff. April 1, 2026; March 1, 2020.*

1 10A NCAC 43D .0909 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0909 HEARING OFFICER**

4 The Director of the ~~Division of Public Health~~ Division of Child and Family Well-Being shall designate a representative
5 who did not participate in taking the action under appeal to be the hearing officer. The hearing officer shall:

- 6 (1) preside over the informal proceeding;
7 (2) ensure that all relevant issues are considered;
8 (3) request, receive and insert into the hearing record all evidence determined necessary to reach a
9 decision;
10 (4) conduct the meeting in accordance with due process and ensure an orderly hearing;
11 (5) order, if relevant and necessary, an independent medical assessment or professional evaluation for
12 the appellant from a source mutually satisfactory to all parties to the hearing; and
13 (6) issue a decision.

14
15 *History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;*
16 *Eff. July 1, 1981;*

17 *Amended Eff. July 1, 1995; July 1, 1987;*

18 *Temporary Amendment Eff. July 1, 2002;*

19 *Amended Eff. July 1, 2004;*

20 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
21 *23, ~~2017~~ 2017;*

22 *Amended Eff. April 1, 2026.*

1 10A NCAC 43D .0911 is amended as published in 39:23 NCR 1502-1516 as follows:

2
3 **10A NCAC 43D .0911 DECISION**

4 (a) The fair hearing decision shall be made by the hearing official and shall be based only on the oral and documentary
5 evidence presented at the hearing and applicable state statutes and rules, and federal laws and regulations and shall be
6 made ~~a~~ as part of the hearing record by the hearing officer.

7 (b) The hearing official shall notify in writing the aggrieved party, any designated representative of the aggrieved
8 party, the local WIC agency and the ~~Nutrition Services Branch~~ Community Nutrition Services Section of the decision
9 within 45 days from the date of the request for the hearing.

10 (c) If the decision is in favor of the aggrieved party and benefits were denied or discontinued, benefits shall begin
11 within two business days after the issuance of the decision.

12 (d) If the decision is in favor of the agency, as soon as administratively feasible any continued benefits shall be
13 terminated as decided by the hearing official.

14 (e) The hearing officer shall prepare a recording of testimony and exhibits, or an official report containing the
15 substance of what transpired at the hearing which, together with all papers and requests filed in the proceeding and
16 the written fair hearing decision, shall constitute the exclusive hearing record.

17 (f) The decision shall be binding on the local WIC agency.

18 (g) All hearing records shall be retained for three years.

19 (h) Upon request by any member of the public a copy of all hearing records and decisions in a form that does not
20 identify individuals (appellant or local agency) shall be prepared by the ~~Nutrition Services Branch~~ Community
21 Nutrition Services Section and be available for inspection and copying.

22
23 *History Note: Authority G.S. 130A-361; 150B-22; 7 C.F.R. 246.9; 42 U.S.C. 1786;*

24 *Eff. July 1, 1981;*

25 *Amended Eff. December 6, 1991;*

26 *Temporary Amendment Eff. July 1, 2002;*

27 *Amended Eff. July 1, 2004;*

28 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December*
29 *23, ~~2017~~ 2017;*

30 *Amended Eff. April 1, 2026.*

1 10A NCAC 48A .0101 -.0102 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **CHAPTER 48 - LOCAL HEALTH DEPARTMENT ACCREDITATION**

4
5 **SUBCHAPTER 48A - LOCAL HEALTH DEPARTMENT ACCREDITATION –ADMINISTRATION**

6
7 **SECTION .0100 - GENERAL PROVISIONS**

8
9 **10A NCAC 48A .0101 PURPOSE**

10 **10A NCAC 48A .0102 DEFINITIONS**

11
12 *History Note: Authority G.S. 130A-34.1;*

13 *Temporary Adoption Eff. January 1, 2006;*

14 *Eff. October 1, 2006;*

15 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*
16 *~~2016.~~ 2016.*

17 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48A .0201 - .0205 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0200 - ACCREDITATION PROCESS**
4

5 **10A NCAC 48A .0201 SELF-ASSESSMENT**

6 **10A NCAC 48A .0202 SITE VISIT**

7 **10A NCAC 48A .0203 BOARD ACTION**

8 **10A NCAC 48A .0204 INFORMAL REVIEW PROCEDURES**

9 **10A NCAC 48A .0205 RE-ACCREDITATION**
10

11 *History Note: Authority G.S. 130A-34.1;*

12 *Temporary Adoption Eff. January 1, 2006;*

13 *Eff. October 1, 2006;*

14 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

15 *2016- 2016;m*

16 *Repealed Eff. June 1, 2026.*
17

1 10A NCAC 48B .0101 - .0102 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SUBCHAPTER 48B - LOCAL HEALTH DEPARTMENT ACCREDITATION STANDARDS**

4
5 **SECTION .0100 - GENERAL PROVISIONS**

6
7 **10A NCAC 48B .0101 PURPOSE**

8 **10A NCAC 48B .0102 DEFINITIONS**

9
10 *History Note: Authority G.S. 130A-34.1;*

11 *Temporary Adoption Eff. January 1, 2006;*

12 *Eff. October 1, 2006;*

13 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

14 *~~2016.~~ 2016;*

15 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .0103 is amended as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48B .0103 ACCREDITATION REQUIREMENTS**

4
5 *History Note: Authority G.S. 130A-34.1;*

6 *Temporary Adoption Eff. January 1, 2006;*

7 *Eff. October 1, 2006;*

8 *Amended Eff. April 1, 2015; February 1, 2013;*

9 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

10 ~~*2016- 2016;*~~

11 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .0201 - .0203 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0200 - MONITOR HEALTH STATUS**
4

5 **10A NCAC 48B .0201 BENCHMARK 1**

6 **10A NCAC 48B .0202 BENCHMARK 2**

7 **10A NCAC 48B .0203 BENCHMARK 3**
8

9 *History Note: Authority G.S. 130A-34.1;*

10 *Temporary Adoption Eff. January 1, 2006;*

11 *Eff. October 1, 2006;*

12 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

13 *2016. 2016.*

14 *Repealed Eff. June 1, 2026.*
15

1 10A NCAC 48B .0301 - .0305 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0300 - DIAGNOSE AND INVESTIGATE HEALTH PROBLEMS AND HEALTH HAZARDS IN**
4 **THE COMMUNITY**

5
6 **10A NCAC 48B .0301 BENCHMARK 4**

7 **10A NCAC 48B .0302 BENCHMARK 5**

8 **10A NCAC 48B .0303 BENCHMARK 6**

9 **10A NCAC 48B .0304 BENCHMARK 7**

10 **10A NCAC 48B .0305 BENCHMARK 8**

11
12 *History Note: Authority G.S. 130A-34.1;*

13 *Temporary Adoption Eff. January 1, 2006;*

14 *Eff. October 1, 2006;*

15 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*
16 *~~2016.~~ 2016.*

17 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .0401 - .0402 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0400 - INFORM, EDUCATE, AND EMPOWER PEOPLE ABOUT HEALTH ISSUES**

4
5 **10A NCAC 48B .0401 BENCHMARK 9**

6 **10A NCAC 48B .0402 BENCHMARK 10**

7
8 *History Note: Authority G.S. 130A-34.1;*

9 *Temporary Adoption Eff. January 1, 2006;*

10 *Eff. October 1, 2006;*

11 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

12 *~~2016.~~ 2016;*

13 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .0501 - .0503 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0500 - MOBILIZE COMMUNITY PARTNERSHIPS TO IDENTIFY AND SOLVE HEALTH**
4 **PROBLEMS**

5
6 **10A NCAC 48B .0501 BENCHMARK 11**

7 **10A NCAC 48B .0502 BENCHMARK 12**

8 **10A NCAC 48B .0503 BENCHMARK 13**

9
10 *History Note: Authority G.S. 130A-34.1;*

11 *Temporary Adoption Eff. January 1, 2006;*

12 *Eff. October 1, 2006;*

13 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

14 *~~2016.~~ 2016;*

15 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .0601 - .0602 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0600 - DEVELOP POLICIES AND PLANS THAT SUPPORT INDIVIDUAL AND**
4 **COMMUNITY HEALTH EFFORTS**

5
6 **10A NCAC 48B .0601 BENCHMARK 14**

7 **10A NCAC 48B .0602 BENCHMARK 15**

8
9 *History Note: Authority G.S. 130A-34.1;*

10 *Temporary Adoption Eff. January 1, 2006;*

11 *Eff. October 1, 2006;*

12 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

13 *~~2016.~~ 2016.*

14 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .0701 - .0703 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0700 - ENFORCE LAWS AND REGULATIONS THAT PROTECT HEALTH AND ENSURE**
4 **SAFETY**

5
6 **10A NCAC 48B .0701 BENCHMARK 16**

7 **10A NCAC 48B .0702 BENCHMARK 17**

8 **10A NCAC 48B .0703 BENCHMARK 18**

9
10 *History Note: Authority G.S. 130A-34.1;*

11 *Temporary Adoption Eff. January 1, 2006;*

12 *Eff. October 1, 2006;*

13 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

14 *~~2016~~ 2016; Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .0801 - .0804 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0800 - LINK PEOPLE TO NEEDED PERSONAL HEALTH SERVICES TO ASSURE THE**
4 **PROVISION OF HEALTH CARE WHEN OTHERWISE UNAVAILABLE**
5

6 **10A NCAC 48B .0801 BENCHMARK 19**

7 **10A NCAC 48B .0802 BENCHMARK 20**

8 **10A NCAC 48B .0803 BENCHMARK 21**

9 **10A NCAC 48B .0804 BENCHMARK 22**
10

11 *History Note: Authority G.S. 130A-34.1;*

12 *Temporary Adoption Eff. January 1, 2006;*

13 *Eff. October 1, 2006;*

14 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*
15 *2016- 2016;*

16 *Repealed Eff. June 1, 2026.*
17

1 10A NCAC 48B .0901 - .0904 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0900 - ASSURE A COMPETENT PUBLIC HEALTH WORKFORCE AND PERSONAL**
4 **HEALTH WORKFORCE**

5
6 **10A NCAC 48B .0901 BENCHMARK 23**

7 **10A NCAC 48B .0902 BENCHMARK 24**

8 **10A NCAC 48B .0903 BENCHMARK 25**

9 **10A NCAC 48B .0904 BENCHMARK 26**

10
11 *History Note: Authority G.S. 130A-34.1;*

12 *Temporary Adoption Eff. January 1, 2006;*

13 *Eff. October 1, 2006;*

14 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*
15 *~~2016.~~ 2016;*

16 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .1001 is repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .1000 - EVALUATE EFFECTIVENESS, ACCESSIBILITY AND QUALITY OF PERSONAL**
4 **AND POPULATION-BASED HEALTH SERVICES**

5
6 **10A NCAC 48B .1001 BENCHMARK 27**

7
8 *History Note: Authority G.S. 130A-34.1;*

9 *Temporary Adoption Eff. January 1 2006;*

10 *Eff. October 1, 2006;*

11 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

12 *~~2016~~ 2016;*

13 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .1101- .1102 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .1100 - RESEARCH FOR NEW INSIGHTS AND INNOVATIVE SOLUTIONS TO HEALTH**
4 **PROBLEMS**

5
6 **10A NCAC 48B .1101 BENCHMARK 28**

7 **10A NCAC 48B .1102 BENCHMARK 29**

8
9 *History Note: Authority G.S. 130A-34.1;*

10 *Temporary Adoption Eff. January 1, 2006;*

11 *Eff. October 1, 2006;*

12 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*
13 *~~2016.~~ 2016.*

14 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48B .1201 - .1204 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .1200 - PROVIDE FACILITIES AND ADMINISTRATIVE SERVICES**
4

5 **10A NCAC 48B .1201 BENCHMARK 30**

6 **10A NCAC 48B .1202 BENCHMARK 31**

7 **10A NCAC 48B .1203 BENCHMARK 32**

8 **10A NCAC 48B .1204 BENCHMARK 33**
9

10 *History Note: Authority G.S. 130A-34.1;*

11 *Temporary Adoption Eff. January 1, 2006;*

12 *Eff. October 1, 2006;*

13 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

14 *~~2016.~~ 2016;*

15 *Repealed Eff. June 1, 2026.*
16

1 10A NCAC 48B .1301 is repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .1300 – GOVERNANCE**

4
5 **10A NCAC 48B .1301 BENCHMARK 34**

6
7 *History Note: Authority G.S. 130A-34.1;*

8 *Temporary Adoption Eff. January 1, 2006;*

9 *Eff. October 1, 2006;*

10 *Amended Eff. April 1, 2015;*

11 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*
12 *~~2016.~~ 2016.*

13 *Repealed Eff. June 1, 2026.*

10A NCAC 48B .1302 - .1303 are repealed as published in 39:23 NCR 1516-1522 as follows:

10A NCAC 48B .1302 BENCHMARK 35

10A NCAC 48B .1303 BENCHMARK 36

History Note: Authority G.S. 130A-34.1;

Temporary Adoption Eff. January 1, 2006;

Eff. October 1, 2006;

Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,

~~2016.~~ 2016;

Repealed Eff. June 1, 2026.

1 10A NCAC 48B .1304 - .1308 are repealed as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48B .1304 BENCHMARK 37**

4 **10A NCAC 48B .1305 BENCHMARK 38**

5 **10A NCAC 48B .1306 BENCHMARK 39**

6 **10A NCAC 48B .1307 BENCHMARK 40**

7 **10A NCAC 48B .1308 BENCHMARK 41**

8
9 *History Note: Authority G.S. 130A-34.1;*

10 *Temporary Adoption Eff. January 1, 2006;*

11 *Eff. October 1, 2006;*

12 *Amended Eff. April 1, 2015;*

13 *Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 5,*

14 ~~*2016.*~~ *2016;*

15 *Repealed Eff. June 1, 2026.*

1 10A NCAC 48C .0101 is adopted as published in 39:23 NCR 1516-1522 as follows:
2

3 **SUBCHAPTER 48C - LOCAL HEALTH DEPARTMENT ACCREDITATION - ADMINISTRATION**
4

5 **SECTION .0100 - GENERAL PROVISIONS**
6

7 **10A NCAC 48C .0101 PURPOSE**

8 The rules of this Subchapter establish the process for local health departments to become accredited pursuant to G.S.
9 130A-34.1.
10

11 *History Note: Authority G.S. 130A-34.1;*
12 *Eff. June 1, 2026.*
13

1 10A NCAC 48C .0102 is adopted with changes as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48C .0102 DEFINITIONS**

4 The following definitions shall apply throughout this Chapter:

- 5 (1) "Accreditation" means an evaluation of an LHD's infrastructure, competence, and capacity to
6 provide public health services through the satisfaction of the standards set out in 10A NCAC 48D
7 Section .0200.
- 8 (2) "Accreditation status" means the status assigned to an LHD by the Board in accordance with G.S.
9 130A-34.1 and the rules of this Subchapter. The types of accreditation status are accredited,
10 conditionally accredited, or unaccredited.
- 11 (3) "Activity" means a task demonstrating achievement of a portion of a standard.
- 12 (4) "Board" means "Accreditation Board" as defined in G.S. 130A-2(1).
- 13 (5) "Board of Health" or "BOH" means a "local board of health" as defined in G.S. 130A-2(4), a board
14 of county commissioners that has assumed control of a local board of health in accordance with G.S.
15 153A-77(a), a consolidated human services board with the authority to carry out the functions of a
16 local board of health in accordance with G.S. 153A-77(b)(2), or hospital authority board acting
17 pursuant to S.L. 1997-502, Sec. 12.
- 18 (6) "Community" means a subdivision of the population that shares one or more characteristics.
- 19 (7) "Community Health Assessment" means a process to identify through the collection and analysis of
20 data and to document in a written report the public health needs within an LHD's jurisdiction.
- 21 (8) ~~Community~~ "Community Health Improvement Plan" means a written document setting out the steps
22 to address the public health needs identified in the Community Health Assessment.
- 23 (9) "Community Partner" means individuals, groups, or organizations that are not affiliated with federal,
24 state, local, or tribal government, but work with the LHD to identify and address public health needs.
- 25 (10) "Dashboard" means the web-based portal developed and maintained by the Institute to receive self-
26 assessments submitted by LHDs. The Dashboard is located at
27 <https://nclhdaccreditation.unc.edu/nclhda-dashboard/>.
- 28 (11) "Evidence-informed practice" means a way of doing something that is based on research findings,
29 public health data, professional public health expertise, or customer feedback.
- 30 (12) "Institute" means the North Carolina Institute for Public Health.
- 31 (13) "Jurisdiction" means the county or counties that an LHD serves.
- 32 (14) "Local health department" or "LHD" means a local health department as defined in G.S. 130A-2(5),
33 a consolidated human services agency that includes the local health department pursuant to G.S.
34 153A-77(b)(3), or an agency acting under the direction of a hospital authority board acting pursuant
35 to S.L. 1997-502, Sec. 12.
- 36 (15) "Local health director" means a local health director as defined in G.S. 130A-2(6) or appointed
37 pursuant to G.S. 153A-77(e).

- 1 (16) "Population" means the people residing within an LHD's jurisdiction.
- 2 (17) "Self-assessment" means a written review that reflects the degree of an LHD's satisfaction of each
- 3 standard and activity set out in 10A NCAC 48D Section .0200 that is completed and submitted by
- 4 the LHD in accordance with 10A NCAC 48D .0201. The self-assessment shall include
- 5 documentation supporting the completion of each activity.
- 6 (18) "Social or Structural Determinants of Health" or "SDOH" means the non-medical factors that impact
- 7 health, well-being, and quality of life including social, economic, and political factors that generate
- 8 and maintain individual health outcomes.
- 9 (19) "Standard" means a criterion to be assessed in determining an LHD's accreditation. A standard is
- 10 comprised of activities.
- 11 (20) "Source of data" means quantitative or qualitative data collected by an LHD or another entity.
- 12

13 *History Note: Authority G.S. 130A-34.1;*

14 *Eff. June 1, 2026.*

15

1 10A NCAC 48C .0201 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0200 - ACCREDITATION PROCESS**
4

5 **10A NCAC 48C .0201 SELF-ASSESSMENT**

6 (a) Each LHD applying for accreditation in accordance with Rule .0205 of this Section shall complete a self-
7 assessment in the Dashboard.

8 (b) The self-assessment shall include the following components:

- 9 (1) contact information for the LHD;
10 (2) the LHD's organizational chart;
11 (3) a narrative describing the LHD's population;
12 (4) a budget for the LHD for the current state fiscal year;
13 (5) the roster for the LHD's governing board;
14 (6) a personnel list for the LHD;
15 (7) the level of completion of each activity in 10A NCAC 48D Section .0200, scored in accordance
16 with 10A NCAC 48D .0101(a); and
17 (8) documentation supporting the level of completion for each activity in Subparagraph (7) of this
18 Paragraph.
19

20 *History Note: Authority G.S. 130A-34.1;*
21 *Eff. June 1, 2026.*
22

1 10A NCAC 48C .0202 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48C .0202 SITE VISIT**

4 (a) The Institute shall select a site visit team composed of not fewer than three individuals. Each site visit team
5 member shall have experience in an LHD. Together the individuals on a site visit team shall have experience in all of
6 the following areas: health administration, environmental health, public health nursing, health education, and
7 governance of an LHD. An individual shall not be part of a site visit team for an LHD where the individual is currently
8 employed.

9 (b) The site visit team shall conduct the site visit of the LHD by:

10 (1) reviewing the LHD's self-assessment; and

11 (2) speaking with LHD staff and members of the LHD's BOH.

12 (c) The site visit team shall assess whether the LHD has completed each activity in 10A NCAC 48D Section .0200
13 and prepare a written report to be shared with the Board summarizing the site visit and recommending an accreditation
14 status based on rule 10A NCAC 48D .0101. The site visit team shall provide a copy of the report to the Institute and
15 to the LHD within 10 business days of the conclusion of the site visit.

16
17 *History Note: Authority G.S. 130A-34.1;*

18 *Eff. June 1, 2026.*

1 10A NCAC 48C .0203 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48C .0203 BOARD ACTION**

4 (a) The site visit team shall present the report required by Rule .0202(c) of this Subchapter to the Board at the Board's
5 next regularly scheduled meeting. The LHD shall have an opportunity to respond to the presentation.

6 (b) For each LHD site visit team report that is presented, the Board shall:

7 (1) assign the LHD an accreditation status in accordance with 10A NCAC 48D .0101; or

8 (2) defer assignment of an accreditation status in order to request additional information from the LHD.

9 (c) The Board may defer the assignment of accreditation status under Paragraph (b)(2) of this Rule by no more than
10 six months.

11 (d) The Board's assignment of an accreditation status is effective the first day of the month following the date of
12 Board action.

13 (e) An accreditation status of accredited shall expire four years from the last day of the month in which the Board
14 assigned the accreditation status. Notwithstanding the foregoing, if an LHD's last accreditation status was accredited
15 and the Board defers assigning a new accreditation status under Paragraph (b)(2) of this Rule, the LHD's accreditation
16 status shall remain accredited until the Board assigns a new accreditation status.

17 (f) If a state of emergency declaration has been issued under G.S. 166A-19.3(19), a disaster declaration has been
18 issued under G.S. 166A-19.3(3), or a disaster declaration has been made by the President of the United States under
19 44 C.F.R. Part 206, Subpart B naming all or part of an LHD's jurisdiction and the jurisdiction has an accreditation of
20 status of "accredited," the Board may extend the LHD's accreditation status by up to 90 days following the end of the
21 declaration.

22 (g) An accreditation status of conditionally accredited shall expire as set out in G.S. 130A-34.1(g)(2).

23 (h) The Board shall provide written notice to the LHD of any action taken under this Rule within 5 business days of
24 the action.

25
26 *History Note: Authority G.S. 130A-34.1;*

27 *Eff. June 1, 2026.*

1 10A NCAC 48C .0204 is adopted with changes as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48C .0204 INFORMAL REVIEW PROCEDURES**

4 (a) If the Board assigns an LHD the status of conditionally accredited or unaccredited, the LHD may submit a written
5 request to the Board within 10 business days of receipt of written notice under Paragraph (g) of Rule .0203 of this
6 Section for reconsideration of the Board's decision. The written request shall describe the LHD's reasoning for how it
7 met the requirements for accreditation as set out in ~~in~~ 10A NCAC 48D .0101. The request shall be submitted to
8 NCLHDaccreditation@unc.edu.

9 (b) The Board shall review the LHD's request at the Board's next regularly scheduled meeting. The Board shall either
10 affirm the LHD's assigned accreditation status or assign a new accreditation status based on the information provided.
11 The Board shall provide written notice to the LHD of the Board's decision within 10 business days of the Board
12 meeting where the request is reviewed.

13
14 *History Note: Authority G.S. 130A-34.1;*
15 *Eff. June 1, 2026.*
16

1 10A NCAC 48C .0205 is adopted with changes as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48C .0205 APPLYING FOR ACCREDITATION**

4 (a) Each LHD shall apply for accreditation by completing a self-assessment in the Dashboard in accordance with Rule
5 .0201 of this Section.

6 (b) If an LHD has an accreditation status of accredited or conditionally accredited, the LHD shall complete the self-
7 assessment no later than five months before the expiration date of its accreditation status.

8 (c) If a county health department joins a district health department pursuant to G.S. 130A-36, the accreditation status
9 of the district health department shall apply. If the district health department does not have an accreditation status, the
10 district health department shall complete the self-assessment no later than five months after forming and shall assume
11 the accreditation status that applies to fifty percent or more of the counties in the district or a status of conditionally
12 accredited. The accreditation status assumed under this Paragraph shall apply until the earlier of the Board taking
13 action in accordance with Rule .0203 of this Section or twelve months have elapsed since formation of the district. If
14 twelve months have elapsed since formation of the district without Board action, the district health department shall
15 be unaccredited.

16 (d) If a county health department withdraws from a district health department pursuant to G.S. 130A-38, the county
17 health department shall complete the self-assessment no later than five months after withdrawing from the district
18 health department. The county health department shall retain the accreditation status of the district health department
19 until the earlier of the Board taking action in accordance with Rule .0203 or twelve months elapsing since withdrawal
20 from the district. If twelve months have elapsed since withdrawal from the district without Board action, the ~~district~~
21 county health department shall be unaccredited.

22 (e) If an LHD timely completes the self-assessment as set out in Paragraphs (b)-(d) of this Rule, the Board shall
23 initiate a site visit in accordance with Rule .0202 of this Section and take action in accordance with Rule .0203 of this
24 Section before the LHD's accreditation status expires. In all other circumstances, the Board shall initiate a site visit in
25 accordance with Rule .0202 of this Section within eight months of completion of the self-assessment and shall take
26 action in accordance with Rule .0203 of this Section at its next regularly scheduled meeting following the site visit.

27
28 *History Note: Authority G.S. 130A-34.1;*
29 *Eff. June 1, 2026.*
30

1 10A NCAC 48D .0101 is adopted as published in 39:23 NCR 1516-1522 as follows:
2

3 **SUBCHAPTER 48D - LOCAL HEALTH DEPARTMENT ACCREDITATION - STANDARDS**
4

5 **SECTION .0100 - GENERAL PROVISIONS**
6

7 **10A NCAC 48D .0101 ACCREDITATION REQUIREMENTS**

8 (a) The completion of each activity in Section .0200 of this subchapter shall be scored based on the self-assessment
9 and site visit as follows:

- 10 (1) two points shall be awarded when all of an activity is completed;
11 (2) one point shall be awarded when part of an activity is completed; and
12 (3) zero points shall be awarded when no part of an activity is completed.

13 (b) The Board shall assign an LHD an accreditation status of accredited if the LHD earns at least four points in each
14 standard set out in rules .0201 through .0211 of this Subchapter and at least 81 points overall.

15 (c) If an LHD does not meet the criteria set out in Paragraph (b) of this Rule, the Board shall assign an accreditation
16 status of conditionally accredited or unaccredited in accordance with G.S. 130A-34.1.
17

18 *History Note: Authority G.S. 130A-34.1;*

19 *Eff. June 1, 2026.*
20

1 10A NCAC 48D .0201 is adopted with changes as published in 39:23 NCR 1516-1522 as follows:

2
3 **SECTION .0200 – STANDARDS AND ACTIVITIES**

4
5 **10A NCAC 48D .0201 STANDARD A: ASSESSMENT AND SURVEILLANCE**

6 ~~For~~ To satisfy the assessment and surveillance accreditation standard, a local health department shall complete the
7 following activities:

- 8 (1) conduct a community health assessment;
- 9 (2) collect and use a minimum of two sources of data to document the health of the population and
10 identify communities with barriers accessing health care;
- 11 (3) collect and use a minimum of two sources of data to guide LHD programs and services;
- 12 (4) provide, contract for the provision of, or assure the availability of laboratory services for disease
13 detection in the jurisdiction; and
- 14 (5) monitor emerging health issues and threats and report communicable diseases in accordance with
15 10A NCAC 41A .0103.

16
17 *History Note: Authority G.S. 130A-34.1;*
18 *Eff. June 1, 2026.*
19

1 10A NCAC 48D .0202 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0202 STANDARD B: COMMUNITY PARTNERSHIP DEVELOPMENT**

4 ~~For~~ To satisfy the community partnership and development standard, a local health department shall complete the
5 following activities:

- 6 (1) consult with representatives of communities with barriers accessing health care in developing and
7 implementing LHD programs and services;
8 (2) develop and maintain relationships with community partners and government entities to improve
9 LHD programs and services; and
10 (3) consult community partners in the development of the community health improvement plan.

11
12 *History Note: Authority G.S. 130A-34.1;*

13 *Eff. June 1, 2026.*
14

1 10A NCAC 48D .0203 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0203 STANDARD C: COMMUNICATIONS**

4 To satisfy the communications standard, a local health department shall complete the following activities:

- 5 (1) develop a plan for communicating public health information to the population and demonstrate using
6 the plan;
- 7 (2) tailor communications to reach communities and distribute the communications to those
8 communities;
- 9 (3) share data about the health of the population with the public and community partners;
- 10 (4) develop partnerships with the media and promote public health messages through those
11 partnerships; and
- 12 (5) develop and implement a plan to educate the population on public health topics.

13
14 *History Note: Authority G.S. 130A-34.1;*
15 *Eff. June 1, 2026.*
16

1 10A NCAC 48D .0204 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0204 STANDARD D: EMERGENCY PREPAREDNESS AND RESPONSE**

4 To satisfy the emergency preparedness and response standard, a local health department shall complete the following
5 activities:

- 6 (1) maintain emergency preparedness and response plans and train LHD staff on those plans;
- 7 (2) provide LHD personnel and communications systems to implement preparedness and response
8 plans, in the event of a state of emergency declaration under G.S. 166A-19.3(19), a disaster
9 declaration under G.S. 166A-19.3(3), or a disaster declaration under 44 C.F.R. Part 206, Subpart B
10 in coordination with government entities and community partners;
- 11 (3) maintain LHD continuity of operations in the event of a declared emergency or disaster, as set out
12 in Paragraph (2) of this Rule;
- 13 (4) exercise the powers and duties of the local health director pursuant to G.S. 130A-41; and
- 14 (5) maintain a written plan that describes how to reach the LHD by phone, email, or other form of
15 communication 24 hours per day, seven days per week.

16
17 *History Note: Authority G.S. 130A-34.1;*
18 *Eff. June 1, 2026.*
19

1 10A NCAC 48D .0205 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0205 STANDARD E: STRUCTURAL AND SOCIAL DETERMINANTS OF HEALTH**

4 To satisfy the structural and social determinants of health standard, a local health department shall complete the
5 following activities:

- 6 (1) develop a plan that addresses structural or social determinants of health in the population;
7 (2) provide training to the LHD's workforce on structural or social determinants of health; and
8 (3) implement the plan to address structural or social determinants of health in the LHD's programs and
9 services.

10
11 *History Note: Authority G.S. 130A-34.1;*
12 *Eff. June 1, 2026.*
13

1 10A NCAC 48D .0206 is adopted with changes as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0206 STANDARD F: ORGANIZATIONAL WORKFORCE DEVELOPMENT**

4 To satisfy the organizational workforce development standard, a local health department shall complete the following
5 activities:

- 6 (1) comply with applicable state and local human resource laws and policies related to local health
7 department employee grievances, performance reviews, and job qualifications, including to have,
8 or be recruiting, a local health director who meets the qualifications of G.S. 130A-40; qualifications;
9 (2) develop and implement a workforce development plan to recruit and retain employees who meet
10 LHD job qualifications;
11 (3) review the workforce development plan to identify and implement improvements to the plan; and
12 (4) provide professional development to members of the LHD's workforce, including opportunities for
13 on-the-job training and continuing education.

14
15 *History Note: Authority G.S. 130A-34.1;*
16 *Eff. June 1, 2026.*
17

1 10A NCAC 48D .0207 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0207 STANDARD G: ORGANIZATIONAL LEADERSHIP, GOVERNANCE, AND**
4 **LEGAL SERVICES**

5 To satisfy the organizational leadership, governance, and legal services standard, a local health department shall
6 complete the following activities:

- 7 (1) share public health updates with elected officials and community partners;
8 (2) develop and maintain a strategic plan that sets out the LHD's priorities for the LHD's services,
9 programs, and initiatives;
10 (3) educate members of the LHD's Board of Health on their roles, responsibilities, and legal authority;
11 (4) access and use legal services; and
12 (5) develop and implement a plan to include community partners on public health boards, councils, or
13 groups.

14
15 *History Note: Authority G.S. 130A-34.1;*
16 *Eff. June 1, 2026.*
17

1 10A NCAC 48D .0208 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0208 STANDARD H: ORGANIZATIONAL FACILITIES**

4 To satisfy the organizational facilities standard, a local health department shall complete the following activities:

- 5 (1) maintain facilities used for LHD programs and services;
- 6 (2) develop and maintain written protocols for the security of LHD facilities;
- 7 (3) develop and maintain clinical and environmental health equipment in accordance with
- 8 manufacturers' requirements; and
- 9 (4) implement tobacco-free policies in LHD facilities.

10
11 *History Note: Authority G.S. 130A-34.1;*

12 *Eff. June 1, 2026.*

1 10A NCAC 48D .0209 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0209 STANDARD I: ORGANIZATIONAL FINANCE AND INFORMATION**
4 **TECHNOLOGY**

5 To satisfy the organizational finance and information technology standard, a local health department shall complete
6 the following activities:

- 7 (1) develop and maintain a budgeting, auditing, billing, and financial policy;
8 (2) evaluate the LHD's finances and identify opportunities to secure additional funding to support LHD
9 programs and services; and
10 (3) maintain policies and procedures that comply with the privacy and security standards required by
11 the Health Insurance Portability and Accountability Act of 1996, P.L. 104-191, as amended, and its
12 implementing regulations, as applicable.

13
14 *History Note: Authority G.S. 130A-34.1;*
15 *Eff. June 1, 2026.*
16

1 10A NCAC 48D .0210 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0210 STANDARD J: ACCOUNTABILITY AND PERFORMANCE MANAGEMENT**

4 To satisfy the accountability and performance management standard, a local health department shall complete the
5 following activities:

- 6 (1) develop and maintain written policies and procedures for the administration of the LHD;
7 (2) comply with state and local laws and rules relating to programs and services offered by the LHD;
8 (3) maintain a procedure for monitoring and improving the performance of LHD programs and services;
9 (4) identify and use evidence-informed practices to improve LHD programs and services; and
10 (5) use quality improvement practices to improve LHD services and programs.

11
12 *History Note: Authority G.S. 130A-34.1;*

13 *Eff. June 1, 2026.*
14

1 10A NCAC 48D .0211 is adopted as published in 39:23 NCR 1516-1522 as follows:

2
3 **10A NCAC 48D .0211 STANDARD K: POLICY DEVELOPMENT AND SUPPORT**

4 To satisfy the policy development and support standard, a local health department shall complete the following
5 activities:

- 6 (1) enforce public health laws and rules in accordance with G.S. Chapter 130A-4;
7 (2) make recommendations to the LHD's Board of Health on local rules or policies to improve the health
8 of the population; and
9 (3) make recommendations to legislators or regulators regarding state laws or rules impacting public
10 health.

11
12 *History Note: Authority G.S. 130A-34.1;*

13 *Eff. June 1, 2026.*
14