

Burgos, Alexander N

Subject: FW: [External] RE: Periodic Review - 20 NCAC 01A,01C,01F,07
Attachments: Staff Opinion-Readoption Deadlines October 2026.docx; Updated Timeline Memo 20 NCAC 01, 07.pdf

From: Ascher, Seth M <seth.ascher@oah.nc.gov>
Sent: Tuesday, October 20, 2026 2:41 PM
To: Elizabeth Hawley <Elizabeth.Hawley@nctreasurer.gov>
Cc: Burgos, Alexander N <alexander.burgos@oah.nc.gov>
Subject: Re: [External] RE: Periodic Review - 20 NCAC 01A,01C,01F,07

Ms. Hawley,

As discussed, I will recommend the September 1, 2030, readoption deadline at the upcoming RRC meeting on October 29, 2026, starting at 10:00 AM. Let me know if you have any questions.

Seth Ascher
Counsel to the North Carolina Rules Review Commission
Office of Administrative Hearings
(984) 236-1934

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RRC STAFF OPINION: READOPTION DEADLINES

PLEASE NOTE: PURSUANT TO G.S. 150B-21.3A, THE RRC SHALL ESTABLISH A READOPTION DEADLINE AFTER CONSULTING WITH THE AGENCY. FOR THE DEADLINES LISTED BELOW, STAFF HAVE CONSULTED WITH THE RESPECTIVE AGENCY AND REACHED AN AGREEMENT TO RECOMMEND THE LISTED DATE. THE AGENCY AND MEMBERS OF THE PUBLIC ARE INVITED TO SUBMIT THEIR OWN COMMENTS AND RECOMMENDATIONS (ACCORDING TO RRC RULES) TO THE COMMISSION.

RECOMMENDATION DATE: October 29, 2026

Agency	Chapters	Recommended Deadline	Number of Rules
Social Services Commission	10A NCAC 10, 70A, 70B, 70C, 70E, 70F, 70G, 70H, 70J, 70L	<i>February 1, 2031</i>	<i>149</i>
Department of State Treasurer	20 NCAC 01, 07	<i>September 1, 2030</i>	<i>35</i>



NORTH CAROLINA
DEPARTMENT OF STATE TREASURER

BRADFORD B. BRINER
STATE TREASURER OF NORTH CAROLINA

OFFICE OF THE STATE TREASURER

September 28, 2026

MEMORANDUM

TO: Mr. Seth Ascher, Rules Review Commission Counsel
FROM: Elizabeth Hawley, NCDST's Rulemaking Coordinator
SUBJECT: Readoption schedule: 20 NCAC 01A, 01C, 01F, 07

The following proposed timeline is submitted pursuant to 26 NCAC 05 .0212 for the readoption of the Department of State Treasurer's (DST) rules at 20 NCAC 01A, 01C, 01F, 07, using a proposed August 1, 2030 readoption date. The DST's periodic review report was approved by the Rules Review Commission at the March 2026 meeting.

Departmental Rules: 20 NCAC 01A, 01C, 01F
Collateralization of Deposits: 20 NCAC 07

Completed on or before:

All edits, revisions, new rules and any fiscal notes drafted:	July 1, 2029
Presentation of rules and any fiscal notes to the State Treasurer:	August 2030
File Notice of Text Form:	October 1, 2029
Public Comment Period:	November 1, 2029 – December 31, 2029
Review public comments and make minor edits to rules, as needed:	January & February 2030
Presentation to the State Treasurer for final adoption:	August 2030
Submission of rules to OAH for RRC consideration:	September 1, 2030

From: Elizabeth Hawley <Elizabeth.Hawley@nctreasurer.gov>
Sent: Monday, September 28, 2026 2:14 PM
To: Ascher, Seth M <seth.ascher@oah.nc.gov>
Subject: RE: [External] RE: Periodic Review - 20 NCAC 01A,01C,01F,07

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Good afternoon Mr. Ascher, I apologize on the delay, I am still digging myself out after returning from maternity leave. We can do a September 1, 2030 adoption date, please see the attached updated memo.

Thank you,



BRADFORD B. BRINER
STATE TREASURER OF NORTH CAROLINA

Elizabeth Hawley
Policy & Compliance Officer
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From: Ascher, Seth M <seth.ascher@oah.nc.gov>
Sent: Tuesday, September 22, 2026 4:23 PM
To: Elizabeth Hawley <Elizabeth.Hawley@nctreasurer.gov>
Subject: Re: [External] RE: Periodic Review - 20 NCAC 01A,01C,01F,07

Ms. Hawley,

Thank you for your response.

At this point, we have over filled August 2030 in our rule readoption scheduling, so I would like to recommend a different month.

It looks like from your proposed timeline, you are planning to have the treasurer approve the final rules in May of 2030. Pursuant to G.S. 150B-21.2(g), agencies are required to submit the rule to RRC within 30 days of adopting the rule, so that also doesn't quite line up. I could recommend a June 1, 2030, readoption deadline, or July 1, 2030, readoption deadline, either of which would fit with submitting the rules 30 days after adoption. I could also do September 1, 2030, but you would need to push back the adoption.

Let me know what you think.

Seth Ascher

Counsel to the North Carolina Rules Review Commission
Office of Administrative Hearings
(984) 236-1934

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From: Elizabeth Hawley <Elizabeth.Hawley@nctreasurer.gov>
Sent: Wednesday, September 9, 2026 8:41 AM
To: Ascher, Seth M <seth.ascher@oah.nc.gov>
Subject: [External] RE: Periodic Review - 20 NCAC 01A,01C,01F,07

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Good morning Mr. Ascher,

Please see the attached proposed readoption timeline with a completion date of August 1, 2030 as suggested.

Thank you,



BRADFORD B. BRINER
STATE TREASURER OF NORTH CAROLINA

Elizabeth Hawley

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From: Ascher, Seth M <seth.ascher@oah.nc.gov>
Sent: Monday, July 13, 2026 2:19 PM
To: Elizabeth Hawley <Elizabeth.Hawley@nctreasurer.gov>
Subject: Re: Periodic Review - 20 NCAC 01A,01C,01F,07

Good afternoon,

The attached periodic review reports have been finalized. The next step is to set a deadline for the readoption of these rules. Pursuant to G.S. 150B-21.3A and 26 NCAC 05 .0212, the RRC sets this deadline after consultation with the agency, and this email serves as the RRC's request for that consultation. Please review 26 NCAC 05 .0212 for the information required to be submitted to the RRC, and the deadlines for doing so.

It appears that the deadline for your agency to provide this information is September 11, 2026. As a starting point and given the size of this ruleset, I would recommend an August 1, 2030, readoption deadline, but I am open to considering a different recommendation based on the needs of your agency.

Seth Ascher
Counsel to the North Carolina Rules Review Commission
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From: Julie B. Eddins <julie.eddins@oah.nc.gov>
Sent: Monday, June 15, 2026 2:51 PM
To: Hawley, Elizabeth <Elizabeth.Hawley@nctreasurer.com>
Cc: Ascher, Seth M <seth.ascher@oah.nc.gov>
Subject: Periodic Review - 20 NCAC 01A,01C,01F,07

Good afternoon,

The determinations in the attached periodic review report for 20 NCAC 01A,01C,01F,07 were effective May 27, 2026. Commission Counsel Seth Ascher will reach out to you to discuss setting the readoption date.

Please Note: pursuant to 26 NCAC 05 .0212 your agency's 60-day timeline does not begin until you receive communication from a staff attorney.

Let me know if you have any questions.

Julie B. Eddins

Publications Coordinator

NC Office of Administrative Hearings

Phone 984-236-1937 (direct)

julie.eddins@oah.nc.gov



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