1. Rule-Making Agency: N.C. Medical Care Commission		
2. Rule citation & name (name not required for repeal): 10	A NCAC 13F .0904/NUTRITION AND FOOD SERVICE	
3. Action:		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:☑ No	☐ Yes. Cite authority:☑ No	
6. Notice for Proposed Rule:		
 Notice for Proposed Rule. Notice Required Notice of Text published on: 06/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 07/19/22 Adoption by Agency on: 11/04/22 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Ves	☐ This Rule was part of a combined analysis.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. BEAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
 Agency Court order / cite: Court order / cite: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: G.S. 150B-21.3A.(c)(2)(g) 9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and Expiration of Existing Rules, all rules are reviewed at least every 10 years, or they shall expire. As a result of the periodic review of Subchapter 10A NCAC 13F, Licensing of Adult Care Homes of Seven or More Beds, this rule was determined as "Necessary With Substantive Public Interest," requiring readoption. With input from a stakeholder workgroup, substantive changes have been made to this rule to update nutrition and food service standards, increase regular diet dairy serving requirements from two to three times per day and expand the definition of dairy, add references to the food service sanitation rules in 15A NCAC 18A of the Code, add a definition for therapeutic diet, and clarify rule text. 		
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-855-3811 E-Mail: nadine.pfeiffer@dhhs.nc.gov Additional agency contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Nadine Pfeiffer Title: Rule-making Coordinator	
BRC AND	OAH USE ONLY	
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	UAR USE UNLY	

1. Rule-Making Agency: N.C. Medical Care Commission	
2. Rule citation & name (name not required for repeal): 10	A NCAC 13G .0904/NUTRITION AND FOOD SERVICE
3. Action:	READOPTION 🗌 REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: 06/15/22	
Link to Agency notice: https://info.ncdhhs.gov/dhsr/ru	leactions.html
Hearing on: 07/19/22	
Adoption by Agency on: 11/04/22	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	⊠ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected
	Local funds affected
🖂 No	 ☑ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM
	□ No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: G.S. 150B-21.3A.(c)(2)(g)
	and Expiration of Existing Rules, all rules are reviewed at least
	dic review of Subchapter 10A NCAC 13G, Licensing of Family
	Substantive Public Interest," requiring readoption. With input
from a stakeholder workgroup, substantive changes have b	
	s from two to three times per day and expand the definition of
dairy, add references to the food service sanitation rules in	15A NCAC 18A of the Code, add a definition for therapeutic diet,
and clarify rule text.	
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-855-3811	Madere Poleiffer
E-Mail: nadine.pfeiffer@dhhs.nc.gov	
E man. naune.premer@anns.ne.gov	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any:	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	
E-Mail:	Typed Name: Nadine Pfeiffer
	Title: Rule-making Coordinator
RRC AND	OAH USE ONLY
Action taken:	
DBC autondad paris d of reviews	
 RRC extended period of review: RRC determined substantial changes: 	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: N.C. Department of Health & Human Services/Director, DHSR		
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .1102/PERFORMANCE STANDARDS	
3. Action: □ ADOPTION □ AMENDMENT □ REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Ves. Cite authority:	Yes. Cite authority:	
No	⊠ No	
6. Notice for Proposed Rule:		
 Notice for Proposed Rule: Notice Required Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	This Rule was part of a combined analysis.	
Yes	A This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
Consultation not required. Che authority.	☑ Local funds affected	
🖂 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	Approved by OSBM No fiscal note required	
0 DEAS	•	
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
\boxtimes Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: G.S. 150B-21.3A.(c)(2)(g)	
	nd Expiration of Existing Rules, all rules are reviewed at least every of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this	
	terest," requiring readoption. Substantive changes have been made to	
	g facility or adult care home services. The rule revises and clarifies	
Certificate of Need applicant performance standards criteria for	r the development of nursing facility or adult care home services	
pursuant to a need determination in the State Medical Facilitie	s Plan in effect as of the first day of the review period.	
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:	
iv. Natemaking Coordinator. Maunie Flenter		
Phone: 919-855-3811	Maderie Poletter	
E-Mail: nadine.pfeiffer@dhhs.nc.gov		
Additional agency contact, if any:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone:	G.S. 145D-10(a), submit a copy of the delegation with this form.	
E-Mail:	Typed Name: Nadine Pfeiffer	
	Title: Rule-making Coordinator	
RRC AND	OAH USE ONLY	
Action taken:		
RRC extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency		
Subject to Legislative Review Other:		

1. Rule-Making Agency: N.C. Department of Health & Human Services/Director, DHSR	
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .2001/DEFINITIONS
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
	No No
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/rul	leactions.html
Hearing on: 09/06/22	
Adoption by Agency on: 10/18/22	
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	⊠ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	 ☑ State funds affected ☑ Local funds affected
	☐ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	\square Other: G.S. 150B-21.3A.(c)(2)(g)
9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and	nd Expiration of Existing Rules, all rules are reviewed at least every
	of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this
rule was determined as "Necessary With Substantive Public In this rule to list definitions for criteria and standards for home h	terest," requiring readoption. Substantive changes have been made to
this fulle to list definitions for criteria and standards for nome in	earth services.
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:
	Madine Polegou
Phone: 919-855-3811	2
E-Mail: nadine.pfeiffer@dhhs.nc.gov	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	
	Typed Name: Nadine Pfeiffer Title: Rule-making Coordinator
	OAH USE ONLY
Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review Other:	

1. Rule-Making Agency: N.C. Department of Health & Hu	man Services/Director, DHSR
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .2003/PERFORMANCE STANDARDS
3. Action:	READOPTION 🗌 REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖾 No	⊠ No
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: 07/15/22	
Link to Agency notice: https://info.ncdhhs.gov/dhsr/rul	leactions.html
Hearing on: 09/06/22	
Adoption by Agency on: 10/18/22	
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
`	This Rule was part of a combined analysis.
Yes	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	Substantial economic impact (≥\$1,000,000)
	 Approved by OSBM No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	\square Other: G.S. 150B-21.3A.(c)(2)(g)
9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and	nd Expiration of Existing Rules, all rules are reviewed at least every
	of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this
	terest," requiring readoption. Substantive changes have been made to
	formance standards criteria to develop a new Medicare-certified home tate Medical Facilities Plan in effect as of the first day of the review
period.	tate Medical Facilities Fair in criect as of the first day of the fevrew
Prince	
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:
	Madine Poleiffer
Phone: 919-855-3811 E-Mail: nadine.pfeiffer@dhhs.nc.gov	
	*If this function has been delegated (reassigned) pursuant to
	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	
	Typed Name: Nadine Pfeiffer
	Title: Rule-making Coordinator
	OAH USE ONLY
Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: N.C. Department of Health & Hu	man Services/Director, DHSR	
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .2801/DEFINITIONS	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:☑ No	 ☐ Yes. Cite authority: ☑ No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	⊠ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REASO	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: Other: G.S. 150B-21.3A.(c)(2)(g) 9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and Expiration of Existing Rules, all rules are reviewed at least every 10 years or they shall expire. As a result of the periodic review of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this rule was determined as "Necessary With Substantive Public Interest," requiring readoption. Substantive changes have been made to this rule to list definitions for criteria and standards for rehabilitation services. 		
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-855-3811 E-Mail: nadine.pfeiffer@dhhs.nc.gov	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any:	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: E-Mail:	Typed Name: Nadine Pfeiffer Title: Rule-making Coordinator	
	OAH USE ONLY	
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: N.C. Department of Health & Human Services/Director, DHSR		
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .2803/PERFORMANCE STANDARDS	
3. Action:		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
Image: No 6. Notice for Proposed Rule:	No No	
*		
Notice Required Notice of Text published on: 07/15/22		
Link to Agency notice: https://info.ncdhhs.gov/dhsr/rul	leactions.html	
Hearing on: 09/06/22		
Adoption by Agency on: 10/18/22		
Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	⊠ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:		
Consultation not required. Cite authority:	 ☑ State funds affected ☑ Local funds affected 	
	Substantial economic impact (≥\$1,000,000)	
No No	Approved by OSBM	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	_	
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making	
Federal regulation / cite:	\square Other: G.S. 150B-21.3A.(c)(2)(g)	
9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and	nd Expiration of Existing Rules, all rules are reviewed at least every	
	of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this	
	terest," requiring readoption. Substantive changes have been made to	
this rule to revise and clarify Certificate of Need applicant performance standards criteria to develop new rehab beds pursuant to a need determination in the annual State Medical Facilities Plan in effect as of the first day of the review period.		
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:	
	Madine Poleter	
Phone: 919-855-3811	2 marine Jugon	
E-Mail: nadine.pfeiffer@dhhs.nc.gov	*If this function has been delegated (reassigned) pursuant to	
	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone:		
E-Mail:	Typed Name: Nadine Pfeiffer Title: Rule-making Coordinator	
	OAH USE ONLY	
Action taken:		
RRC extended period of review:		
RRC determined substantial changes:		
 Withdrawn by agency Subject to Legislative Review 		
Other:		

1. Rule-Making Agency: N.C. Department of Health & Hu	man Services/Director, DHSR	
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .3801/DEFINITIONS	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?	
 4. Kule exempt from KKC review? ☐ Yes. Cite authority: ⊠ No 	 S. Kule automatically subject to registrative review? □ Yes. Cite authority: □ No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	⊠ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REASC	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: Other: G.S. 150B-21.3A.(c)(2)(g) 9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and Expiration of Existing Rules, all rules are reviewed at least every 10 years or they shall expire. As a result of the periodic review of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this rule was determined as "Necessary With Substantive Public Interest," requiring readoption. Substantive changes have been made to this rule to list definitions for criteria and standards for acute care hospital beds. 		
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-855-3811 E-Mail: nadine.pfeiffer@dhhs.nc.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail:	Typed Name: Nadine Pfeiffer Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review		
Other:		

2. Rule citation & name (name not required for repeal): 10A NCAC 14C .3803/PERFORMANCE STANDARDS 3. Action: ADOPTION AMENDMENT REPEAL READOPTION REPEAL through READOPTION 4. Rule exempt from RRC review? Yes. Cite authority: No 6. Notice for Proposed Rule: Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 Notice end required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) Yes Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No State funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Peteral statute / cite: Petition for rule-making		
□ ADOPTION □ AMENDMENT □ REPEAL □ READOPTION □ REPEAL through READOPTION 4. Rule exempt from RRC review? 5. Rule automatically subject to legislative review? □ Yes. Cite authority: □ Yes. Cite authority: □ No ○ No 6. Notice for Proposed Rule: ○ No ○ Notice of Text published on: 07/15/22 □ Ink to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 ○ Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. ○ Yes □ This Rule was part of a combined analysis. Agency submitted request for consultation on: ○ State funds affected ○ No □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM ○ No fiscal note required 9. REASON FOR ACTION 9. REASON FOR ACTION 9A Agency □ Legislation enacted by the General Assembly ○ Court order / cite: □ Petition for rule-making		
□ Yes. Cite authority: □ Yes. Cite authority: □ No □ No 6. Notice for Proposed Rule: □ No □ Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 □ Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. □ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: □ State funds affected □ No □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 9. REASON FOR ACTION 9A gency 9A. What prompted this action? Check all that apply: □ Legislation enacted by the General Assembly Court order / cite: □ Agency □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making		
⊠ No ⊠ No 6. Notice for Proposed Rule: ⊠ Notice Required Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No State funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Gurt order / cite: □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making		
6. Notice for Proposed Rule: ☑ Notice Required Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 □ Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. ☑ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No Ø 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: ☑ Agency ☑ Agency ☑ Agency ☑ Federal statute / cite: ☑ Federal statute / cite:		
Notice Required Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Q Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making		
Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 Adoption by Agency on: 10/18/22 Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 9. No 9. REASON FOR ACTION 94. What prompted this action? Check all that apply: 92. Reasen FOR ACTION 94. What prompted this action? Check all that apply: 94. What prompted this action? Check all that apply: 95. Reference 96. Reasen FOR ACTION 97. Reference 98. Reference 99. Reference 90. Reference 90. Reference 91. Reference 92. Reference 93. Reference		
7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. □ Yes □ This Rule was part of a combined analysis. △ Agency submitted request for consultation on: Consultation not required. Cite authority: State funds affected ○ No □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM ○ No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: □ Legislation enacted by the General Assembly Court order / cite: ○ Court order / cite: □ Legislation for rule-making		
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: Xo No State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required Second Second		
□ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: State funds affected □ Substantial economic impact (≥\$1,000,000) □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: □ Court order / cite: □ Legislation enacted by the General Assembly Cite Session Law: □ Federal statute / cite:		
Consultation not required. Cite authority: □ State funds affected □ Local funds affected □ □ Substantial economic impact (≥\$1,000,000) □ □ Approved by OSBM □ □ No fiscal note required 0 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: □ □ Court order / cite: □ □ Federal statute / cite: □ □ Petition for rule-making		
Image: Substantial economic impact (≥\$1,000,000) Image: Substantial eco		
Approved by OSBM Approved by OSBM No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making		
No fiscal note required 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making		
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making		
 Agency Court order / cite: Federal statute / cite: Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making 		
Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making		
Federal statute / cite: Petition for rule-making		
9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and Expiration of Existing Rules, all rules are reviewed at least every		
10 years or they shall expire. As a result of the periodic review of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this rule was determined as "Necessary With Substantive Public Interest," requiring readoption. Substantive changes have been made to		
this rule to revise and clarify Certificate of Need applicant performance standards criteria to develop new acute care hospital beds		
pursuant to a need determination in the annual State Medical Facilities Plan in effect as of the first day of the review period.		
10. Rulemaking Coordinator: Nadine Pfeiffer 11. Signature of Agency Head* or Rule-making Coordinator:		
Phono: 010 855 3811 Maline Polet		
E-Mail: nadine.pfeiffer@dhhs.nc.gov *If this function has been delegated (reassigned) pursuant to		
Additional agency contact, if any:G.S. 143B-10(a), submit a copy of the delegation with this form.		
Phone:		
E-Mail: Typed Name: Nadine Pfeiffer Title: Rule-making Coordinator		
RRC AND OAH USE ONLY		
Action taken:		
RRC extended period of review:		
RRC determined substantial changes:		
 Withdrawn by agency Subject to Legislative Review 		
Other:		

1. Rule-Making Agency: N.C. Department of Health & Hu	man Services/Director, DHSR	
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .4001/DEFINITIONS	
3. Action:		
\square ADOPTION \square AMENDMENT \square REPEAL \boxtimes	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
No No	No No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: 07/15/22 Link to Agency notice: https://info.ncdhhs.gov/dhsr/ruleactions.html Hearing on: 09/06/22 		
Adoption by Agency on: 10/18/22 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
🖂 No	Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM No fiscal note required 	
9 REASC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	INFORACTION	
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite: Federal regulation / cite:	Petition for rule-makingOther: G.S. 150B-21.3A.(c)(2)(g)	
9B. Explain: Pursuant to GS 150B-21.3A, Periodic Review and Expiration of Existing Rules, all rules are reviewed at least every 10 years or they shall expire. As a result of the periodic review of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this rule was determined as "Necessary With Substantive Public Interest," requiring readoption. Substantive changes have been made to this rule to list definitions for criteria and standards for hospice inpatient facilities and hospice residential care facilities.		
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:	
	Madine Poletjee	
Phone: 919-855-3811	2 marin 10 - Du	
E-Mail: nadine.pfeiffer@dhhs.nc.gov	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any:	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone:		
E-Mail:	Typed Name: Nadine Pfeiffer Title: Rule-making Coordinator	
RRC AND OAH USE ONLY		
Action taken:		
RRC extended period of review:		
RRC determined substantial changes:		
 Withdrawn by agency Subject to Legislative Review 		
Other:		

1. Rule-Making Agency: N.C. Department of Health & Hu	man Services/Director, DHSR
2. Rule citation & name (name not required for repeal): 10	A NCAC 14C .4003/PERFORMANCE STANDARDS
3. Action:	READOPTION 🗌 REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
⊠ No	⊠ No
6. Notice for Proposed Rule:	
☑ Notice Required Notice of Text published on: 07/15/22	
Link to Agency notice: https://info.ncdhhs.gov/dhsr/ru	leactions html
Hearing on: 09/06/22	icactions.ittiin
Adoption by Agency on: 10/18/22	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Ves	⊠ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected
	Local funds affected
🖾 No	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
	-
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	_
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	$\square Petition for rule-making \square O(1) = 0.5 150 P (1) 24 (1) (2) (1)$
DR Federal regulation / cite:	Other: G.S. 150B-21.3A.(c)(2)(g)
	nd Expiration of Existing Rules, all rules are reviewed at least every of Subchapter 10A NCAC 14C, Certificate of Need Regulations, this
	terest," requiring readoption. Substantive changes have been made to
	formance standards criteria to develop new Hospice residential facility
	iteria have been revised and updated to develop Hospice inpatient
	al State Medical Facilities Plan in effect as of the first day of the
review period.	·
10. Rulemaking Coordinator: Nadine Pfeiffer	11. Signature of Agency Head* or Rule-making Coordinator:
DL	Madine Poleiffer
Phone: 919-855-3811	
E-Mail: nadine.pfeiffer@dhhs.nc.gov	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any:	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	U.S. 145D-10(a), submit a copy of the delegation with this form.
E-Mail:	Typed Name: Nadine Pfeiffer
	Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: North Carolina Industrial Comm	ission	
2. Rule citation & name (name not required for repeal): 11 Attorneys.	NCAC 23G .0104, Duties of Parties, Representatives, and	
3. Action:] READOPTION 🗌 REPEAL through READOPTION	
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	5. Rule automatically subject to legislative review? Yes. Cite authority: No	
6. Notice for Proposed Rule:		
☑ Notice Required Notice of Text published on: September 15, 2022 Link to Agency notice: https://www.ic.nc.gov/proposedpermG104.html Hearing on: October 17, 2022 Adoption by Agency on: November 16, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
 Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
9. REASO	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 9B. Explain: Our agency proposed this rule change in response to an anticipated change to Rule 4 of the Rules for Mediated Settlement Conferences and other Settlement Procedures in Superior Court Civil Actions. Since G.S. §97-80(c) requires our agency's mediation rules to be "substantially similar" to the Superior Court mediation rules, and in order to avoid confusion on the part of our regulated public regarding how to interpret our rule following the anticipated change to Rule 4 of the Superior Court mediation rules, we determined that this rule change was necessary. We believe it will provide clarity to the regulated public and better align our rule to the Superior Court mediation rule.		
10. Rulemaking Coordinator: Gina Cammarano	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-807-2524 E-Mail: gina.cammarano@ic.nc.gov Additional agency contact, if any: Phone:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail:	G.S. 145B-10(a), submit a copy of the delegation with this form.	
	Typed Name: Philip A. Baddour, III	
RRC AND	Title: Chair of Industrial Commission OAH USE ONLY	
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency		
Subject to Legislative Review		
Other:		

1. Rule-Making Agency: North Carolina Sheriffs' Education and Training Standards Commission		
2. Rule citation & name (name not required for repeal): 12 NCAC 10B .1302 Telecommunicator Certification Course		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
	⊠ No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: 07/08/2022 Link to Agency notice: https://ncdoj.gov/law-enforcement-training/sheriffs/all-certification-forms-publications/proposed- rule-amendments/ Hearing on: 08/2/2022 Adoption by Agency on: 09/16/2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
T Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
	No fiscal note required	
9. REASC	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 	
The amendment to this rule is based on a recent Job Task Analysis (JTA) completed for training and standards of telecomunicator certification. Based on the JTA, data was used to update the training curriculum for the basic Telecommunicator Certification Course. This recent and critical training update requires a change to this rule.		
10. Rulemaking Coordinator: Sirena Jones	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-779-8213		
E-Mail: <u>scjones@ncdoj.gov</u>	*If this function has been delegated (reassigned) pursuant to	
	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Additional agency contact, if any: Phone:	O'O' 140 TACA' SUMME & COLD OF THE RECORDERING HIM AND TO THE	
E-Mail:	Typed Name: Sirena Jones	
	Title:	
RRC AND OAH USE ONLY		
Action taken:		
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 		

1. Rule-Making Agency: N.C. Private Protective Services Boa	ird
2. Rule citation & name (name not required for repeal): 14B NCAC 16 .0103 Definitions	
3. Action:	
ADOPTION AMENDMENT REPEAL	READOPTION REPEAL THROUGH READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
\square res. the authority. \square No	\boxtimes No
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: July 1, 2022 Link to Agency notice: https://www.ncdps.gov/about-dps/boa	rds-commissions/private-protective-services-board
Hearing on: July 19, 2022 Adoption by Agency on: October 20, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
	□ Local funds affected □ Substantial economic impact (≥\$1,000,000)
Νο	Approved by OSBM
	X No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Ederal statute / cite:	Petition for rule-making Other:
 Federal regulation / cite: 9B. Explain: 	
The amendment removes "private detective" from the definition of "Arm	ed Security Guard."
10. Rulemaking Coordinator: Jeffrey P. Gray	11. Signature of Agency Head* or Rule-making Coordinator:
Jeffrey P. Gray Phone: (919) 828-0731	
E-Mail:	Julien 1. Maryle
jgray@bdixon.com Additional agency contact, if any:	*If this function has been delegated (reassigned) pursuant to G.S. 148B-10(a), submit a copy of the delegation with this form.
Additional agency contact, it any.	\mathbf{O} (\mathbf{M}
Phone: E-Mail:	Typed Name: ^{Jeffrey} P. Gray Title: Rule-making Coordinator
	OAH USE ONLY
Action taken:	
RRC extended period of review:	
RRC determined substantial changes: Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: N.C. Private Protective Services Boa	ırd	
2. Rule citation & name (name not required for repeal): 14B NCAC 16 .0807 Training Requirements for Armed Security	Guarde	
3. Action:		
ADOPTION X AMENDMENT REPEAL	READOPTION REPEAL THROUGH READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
⊠ No		
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: July 1, 2022 Link to Agency notice: https://www.ncdps.gov/about-dps/boards-commissions/private-protective-services-board Hearing on: July 19, 2022 Adoption by Agency on: October 20, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	□ State funds affected	
	□ Local funds affected □ Substantial economic impact (≥\$1,000,000)	
No No	Approved by OSBM	
	X No fiscal note required	
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
A gency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
 Federal statute / cite: Federal regulation / cite: 	Petition for rule-making Other:	
9B. Explain:		
The amendment clarifies that the 90 day restriction for training prior to re	egistration for Armed Security Guards only applies to the initial training.	
	11. Signature of Agency Head* or Rule-making Coordinator:	
10. Rulemaking Coordinator: Jeffrey P. Gray Jeffrey P. Gray	11. Signature of Agency fread of Kule-making Coolumator.	
Phone: (919) 828-0731 E-Mail:	Alex M Marile	
jgray@bdixon.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any:	G.S. (43B-10(a), submit a copy of the delegation with this form.	
Phone:	Typed Name: ^{Jeffrey P. Gray}	
E-Mail:	Title: Rule-making Coordinator	
Action taken:	OAH USE ONLY	
RRC extended period of review:		
RRC determined substantial changes:		
Withdrawn by agency Subject to Legislative Review		
Other:		

1. Rule-Making Agency: Environmental Management Comm	iission	
2. Rule citation & name (name not required for repeal): 15	5A NCAC 02E .0401, Purpose	
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	 5. Rule automatically subject to legislative review? ☐ Yes. Cite authority: ☑ No 	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM ○ No fiscal note required 	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 	 DN FOR ACTION Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0401 	
 10. Rulemaking Coordinator: Jennifer Everett Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov 	11. Signature of Agency Head* or Rule-making Coordinator: Image: Additional and the second	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Environmental Management Comm	iission	
2. Rule citation & name (name not required for repeal): 15	A NCAC 02E .0402, Definitions	
3. Action:		
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2013-413 (HB74) requires state ag is readopted with changes. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0402 	
10. Rulemaking Coordinator: Jennifer Everett	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov	Jerfy X Punit	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: NCDEQ Rulemaking Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Environmental Management Comm	ission	
2. Rule citation & name (name not required for repeal): 15	A NCAC 02E .0403, Applicability	
3. Action:] READOPTION 🗌 REPEAL through READOPTION	
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	5. Rule automatically subject to legislative review? ☐ Yes. Cite authority: ⊠ No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
9. REASC	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2013-413 (HB74) requires state ag is adopted with changes. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: encies to review existing rules every 10 years. 15A NCAC 02E .0403 	
 10. Rulemaking Coordinator: Jennifer Everett Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov 	11. Signature of Agency Head* or Rule-making Coordinator:	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: NCDEQ Rulemaking Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Environmental Management Comm	ission	
2. Rule citation & name (name not required for repeal): 15A NCAC 02E .0404, Notification		
3. Action:] READOPTION 🗌 REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:☑ No	☐ Yes. Cite authority:☑ No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 □ Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority: No	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
9. REASC	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2013-413 (HB74) requires state ag is adopted without changes. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0404 	
10. Rulemaking Coordinator: Jennifer Everett	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov	Jack Smith	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett	
	Title: NCDEQ Rulemaking Coordinator	
RRC AND OAH USE ONLY Action taken:		
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 		

1. Rule-Making Agency: Environmental Management Comm	ission	
2. Rule citation & name (name not required for repeal): 15	A NCAC 02E .0405, Environmental Documents	
3. Action:		
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REAS	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2013-413 (HB74) requires state ag is adopted with changes. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0405 	
10. Rulemaking Coordinator: Jennifer Everett	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov	000	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: NCDEQ Rulemaking Coordinator	
	OAH USE ONLY	
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Environmental Management Comm	ission	
2. Rule citation & name (name not required for repeal): 15	A NCAC 02E .0406, Petition	
3. Action:] READOPTION 🗌 REPEAL through READOPTION	
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	5. Rule automatically subject to legislative review?	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM ○ No fiscal note required 	
9. REAS	DN FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2013-413 (HB74) requires state ag is adopted with changes. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0406 	
10. Rulemaking Coordinator: Jennifer Everett Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov	11. Signature of Agency Head* or Rule-making Coordinator:	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: NCDEQ Rulemaking Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Environmental Management Comm	ission	
2. Rule citation & name (name not required for repeal): 15A NCAC 02E .0407, Settlement/Mediation		
3. Action:	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority: No	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 	 DN FOR ACTION Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0407 	
10. Rulemaking Coordinator: Jennifer Everett Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov	11. Signature of Agency Head* or Rule-making Coordinator:	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: NCDEQ Rulemaking Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Environmental Management Comm	ission	
2. Rule citation & name (name not required for repeal): 15A NCAC 02E .0408, Final Determination		
3. Action:] READOPTION . REPEAL through READOPTION	
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice for Proposed Rule. Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
9. REAS	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2013-413 (HB74) requires state ag is adopted without changes. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0408 	
 10. Rulemaking Coordinator: Jennifer Everett Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov 	11. Signature of Agency Head* or Rule-making Coordinator:	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: NCDEQ Rulemaking Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: Environmental Management Comm	iission	
2. Rule citation & name (name not required for repeal): 15	5A NCAC 02E .0409, Emergency Transfers	
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: April 18, 2022 Link to Agency notice: https://deq.nc.gov/news/events/public-notices-hearings Hearing on: May 5, 2022 Adoption by Agency on: November 10, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REAS	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Session Law 2013-413 (HB74) requires state ag is adopted with changes. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: gencies to review existing rules every 10 years. 15A NCAC 02E .0409 	
10 D Long Line Counding to 10 Eq. (1)		
 10. Rulemaking Coordinator: Jennifer Everett Phone: (919)707-8614 E-Mail: jennifer.everett@ncdenr.gov 	11. Signature of Agency Head* or Rule-making Coordinator:	
Additional agency contact, if any: Linwood Peele Phone: (919)707-9024 E-Mail: linwood.peele@ncdenr.gov	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Jennifer Everett Title: NCDEQ Rulemaking Coordinator	
RRC AND OAH USE ONLY		
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:		

1. Rule-Making Agency: North Carolina Wildlife Resource	es Commission
2. Rule citation & name (name not required for repeal):	15A NCAC 10F .0201 – Safety Equipment
3. Action:	
ADOPTION AMENDMENT REPEAL ARule exempt from RRC review? Yes. Cite authority: No No Notice for Proposed Rule:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority: No
 Notice Required Notice of Text published on: 12/1/21 Link to Agency notice: https://www.ncwildlife.org/pro Hearing on: 12/16/21 Adoption by Agency on: 2/24/22 Notice not required under G.S.: Adoption by Agency on: 	posed-regulations
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Ves Ves	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM ☑ No fiscal note required
9. REAS	SON FOR ACTION
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 33 CFR § 175.15 9B. Explain: The PFD type code references must be remove Subpart B Part 175. 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: ed to be in compliance with Title 33 of the Code of Federal Regulations,
10. Rulemaking Coordinator: Carrie Ruhlman Phone: 919-707-0011	11. Signature of Agency Head* or Rule-making Coordinator:
E-Mail: carrie.ruhlman@ncwildlife.org Additional agency contact, if any: Ashley Pekrul Phone: 919-707-0014	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: ashley.pekrul@ncwildlife.org	Typed Name: Carrie Ruhlman Title: Rule-making Coordinator
RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0101 ORGANIZATION: AUTHORITY	
3. Action:	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
	No No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- 	
code-rules Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	 ☑ State funds affected ☑ Local funds affected
	Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REASC	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
🖾 Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	 Petition for rule-making Other: 150B-21.3A Decennial Review
Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as	
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
readoption by December 31, 2022 are included in this rules act	tion. Those rules are either being 1) readopted 'as is;' 2) read opted
with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify	
rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing	
	that cover service purchase situations. Some rules (not identified as
	d for amendments to conform with statutory requirements, to remove
unnecessary words or phrases and provide clarification. In add	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	An CO
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Lave,
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	Tuned Nemer
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0102 EXERCISE OF AUTHORITY	
3. Action: ADOPTION AMENDMENT REPEAL READOPTION REPEAL through READOPTION 4. Rule exempt from RRC review? 5. Rule automatically subject to legislative review?	
 ☐ Yes. Cite authority: ☑ No 	 ☐ Yes. Cite authority: ☑ No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected ☑ Local funds affected ☑ Substantial economic impact (≥\$1,000,000)
No No	 ☐ Substantial economic impact (≥31,000,000) ☑ Approved by OSBM ☐ No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite: Federal regulation / cite:	 Petition for rule-making Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption ed by both Boards and identified as being "necessary" requiring
readoption by December 31, 2022 are included in this rules ac	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The	
Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing	
multiple current rules administered by each board individually that cover service purchase situations. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove	
unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Love
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees Retirement System Board of Trustees AND Local Governmental	
Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0103 DELEGATION OF AUTHORITY TO DIRECTOR	
3. Action:	
□ ADOPTION □ AMENDMENT □ REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No v	No S
6. Notice for Proposed Rule:	
⊠ Notice Required	
Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules	bout transparency/communent-transparency/ne-administrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
Consultation not required. Cite authority.	☑ Local funds affected
🖂 No	□ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
🛛 Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System	
(TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption	
phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring	
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an	
administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such	
situations of service purchases and separately repealing multiple current rules administered by each board individually that cover	
service purchase situations.	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with	
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:

ang (.-

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Teachers' and State Employees Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0104 EXERCISE OF EMPLOYER OPTIONS	
3. Action:	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No ·	No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	∠ State funds affected ∠ Local funds affected
	☐ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System	
(TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption	
phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring	
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
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service purchase situations. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with	
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
successfully requirements, to remove unnecessary words of philase	so and provide elementation. In addition, some rules are being repeated.

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:



*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0201 ESTABLISHMENT OF PROCEDURAL RIGHTS	
3. Action: □ ADOPTION ⊠ AMENDMENT □ REPEAL □	DEADOPTION DEPEAL through DEADOPTION
4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
\square rescent automy: \square No	\square No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules 	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
	Substantial economic impact (≥\$1,000,000)
🖾 No	Approved by OSBM
	No fiscal note required
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION
\boxtimes Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring tion. Those rules are either being 1) readonted 'as is:' 2) readonted
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify	
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Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing	
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unnecessary words or phrases and provide clarification. In add	d for amendments to conform with statutory requirements, to remove
uniceessary words of phrases and provide clarification. In addition, some fulles are being repeated.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phono: 010 814 3851	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Hang C. Rose,
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	T-mod Nomo.
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
	1111.
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0202 DEFINITIONS	
3. Action:	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
	No No
6. Notice for Proposed Rule:	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	N State funde offected
Consultation not required. Cite authority:	 ☑ State funds affected ☑ Local funds affected
	Substantial economic impact (≥\$1,000,000)
⊠ No	Approved by OSBM
	No fiscal note required
9. REASC	ON FOR ACTION
9A. What prompted this action? Check all that apply:	_
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	☐ Tethton for Fulle-marking ☐ Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The	
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	that cover service purchase situations. Some rules (not identified as
	d for amendments to conform with statutory requirements, to remove
unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Laure,
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0301 ESTABLISHMENT OF PROCEDURAL RIGHTS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No	No No
6. Notice for Proposed Rule:	
 Notice for Proposed Rule. Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	 State funds affected Local funds affected
	Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REASC	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	Petition for rule-making Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify	
rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing	
	that cover service purchase situations. Some rules (not identified as
	d for amendments to conform with statutory requirements, to remove
unnecessary words or phrases and provide clarification. In add	ition, some rules are being repealed.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Rome,
E-Mail: Laura.Rowe@nctreasurer.com	~~~
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	0 NCAC 02A .0302 DEFINITIONS
3. Action: ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:
No 6 Notice for Proposed Rule:	No No
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency □ Legislation enacted by the General Assembly □ Court order / cite: □ Petition for rule-making □ Federal statute / cite: □ Petition for rule-making □ Federal regulation / cite: ○ Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing multiple current rules administered by each board individually that cover service purchase situations. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rove
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0303 RECONSIDERATION OF DECLARATORY RULINGS	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:
No 6 Notice for Proposed Pulsy	No No
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
 Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required
9. REASC	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:
Federal statute / cite: Petition for rule-making B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) read opted with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing multiple current rules administered by each board individually that cover service purchase situations. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rave,
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0401 ESTABLISHMENT OF PROCEDURAL RIGHTS	
3. Action:	DEADODTION DEDEAL through DEADODTION
4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
\square rescent authority. \square No	\square rest cite authority. \square No
6. Notice for Proposed Rule:	
 Notice for Proposed Kule: Notice for Proposed Kule: Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected Local funds affected
	Substantial economic impact (≥\$1,000,000)
🖾 No	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	☐ Fettion for Fule-making ☐ Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify	
rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing	
	that cover service purchase situations. Some rules (not identified as
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unnecessary words or phrases and provide clarification. In add	ition, some rules are being repealed.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Sama C. Love
E-Mail: Laura.Rowe@nctreasurer.com	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	G.S. 175D-10(a), submit a copy of the delegation with this form.
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0503 DUAL MEMBERSHIP - COMPUTATION OF SERVICE AND BENEFITS	
3. Action:	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
\square rescence automotivy: \square No	\square No
6. Notice for Proposed Rule:	
 Notice for Proposed Kule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	 State funds affected Local funds affected
	Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify	
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	d for amendments to conform with statutory requirements, to remove
unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Sawa Pour
E-Mail: Laura.Rowe@nctreasurer.com	Jung C. Kane,
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees Retirement System Board of Trustees AND Local Governmental	
Employees' Retirement System Board of Trustees 2. Rule citation & name (name not required for repeal): 20	NCAC 02A .0504 DIRECT DEPOSIT OF MONTHLY
BENEFIT PAYMENTS	MERC 02A .0504 DIRECT DEI OSTI OF MONTHET
3. Action:	
□ ADOPTION □ AMENDMENT □ REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-	
code-rules Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Ves	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	∠ State funds affected ∠ Local funds affected
	☐ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REASC	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption	
phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring	
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with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an	
administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such	
situations of service purchases and separately repealing multiple current rules administered by each board individually that cover	
service purchase situations.	ecennial review) are proposed for amendments to conform with
	es and provide clarification. In addition, some rules are being repealed.

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:

ang (.

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02A .0505 ADMINISTRATIVE FEES FOR SERVICE PURCHASES	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-	
code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
🖂 Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
6/1/2022	 State funds affected Local funds affected
Consultation not required. Cite authority:	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	_
	Legislation enacted by the General Assembly
Court order / cite: Federal statute / cite:	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring tion. Those rules are either being 1) readopted 'as is;' 2) readopted
1 2	
with substantive changes; 3) readopted without substantive changes; or 4) repealed. In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the Boards. The	
	vering all such situations of service purchases and separately repealing
	that cover service purchase situations. Some rules (not identified as
'necessary' in the first phase of decennial review) are proposed unnecessary words or phrases and provide clarification. In add	d for amendments to conform with statutory requirements, to remove
unnecessary words of phrases and provide charmeation. In add	itton, some rules are being repeated.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rome
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0101 GENERAL INFORMATION	
3. Action:	READOPTION REPEAL through READOPTION
 4. Rule exempt from RRC review? □ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No
 Ko Ko Kotice for Proposed Rule: ✓ Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 ✓ Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required
9. REAS	ON FOR ACTION
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' and Local Governmental Employ phase of the decennial review process. Those rules administer readoption by December 31, 2022 are included in this rules ac with substantive changes; 3) readopted without substantive ch rules related to statutory provisions requiring that service purce Boards are seeking to do this by adopting a new single rule co multiple current rules administered by each board individually 'necessary' in the first phase of decennial review) are propose unnecessary words or phrases and provide clarification. In additional provide clarification. 	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review Part of the Teachers' and State Employees' Retirement System ees' Retirement System (LGERS) Boards of Trustees' readoption ed by both Boards and identified as being "necessary" requiring tion. Those rules are either being 1) readopted 'as is; 2) readopted anges; or 4) repealed. In addition, the Boards are seeking to simplify hases include an administrative fee to be set by the Boards. The vering all such situations of service purchases and separately repealing that cover service purchase situations. Some rules (not identified as d for amendments to conform with statutory requirements, to remove biton, some rules are being repealed.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rove,
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:
DDC AND	O OAH USE ONLY
	VALUE VALUE

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0202 ACTUARIAL TABLES: RATES AND ASSUMPTIONS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
🔀 Notice Required	
Notice of Text published on: June 1, 2022	
Link to Agency notice: https://www.httreasurer.com/a code-rules	bout/transparency/commitment-transparency/nc-administrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
7. Rule establishes of mercases a ree. (See 0.5. 12-5.1)	
Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
Consultation not required. Cite authority.	Local funds affected
🖂 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM
	Approved by OSBM No fiscal note required
0 DEAS	ON FOR ACTION
9. REASO 9A. What prompted this action? Check all that apply:	ON FOR ACTION
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System l review process. Those rules administered by the TSERS Board of
	ion by December 31, 2022 are included in this rules action. Those
	ubstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase	lecennial review) are proposed for amendments to conform with
statutory requirements, to remove unnecessary words or pinas	es or to provide clarification. Some futes are being repeated.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	An CO
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rove
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	Typed Name:
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0210 MEDICAL BOARD	
3. Action:	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
	No No
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: June 1, 2022	bout/transparency/commitment-transparency/nc-administrative-
code-rules	noou/ if ansparency/communent-transparency/nc-aummistrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	9 Eissal impact Chaole all that apply
7. Kule establishes of increases a ree: (See G.S. 12-5.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	□ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	Legislation enacted by the General Assembly
Agency Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
(TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of	
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)	
repealed.	
	lecennial review) are proposed for amendments to conform with
statutory requirements, to remove unnecessary words or phras	es or to provide clarification. Some rules are being repealed.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saung C. Love
E-Mail: Laura.Rowe@nctreasurer.com	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0211 OPTIONAL RETIREMENT PROGRAM	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-	
code-rules	bout transparency/commenter ansparency/ne-administrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
9. REAS 9A. What prompted this action? Check all that apply:	ON FOR ACTION
\boxtimes Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	part of the Teachers' and State Employees' Retirement System
	l review process. Those rules administered by the TSERS Board of
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those	
	ubstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
statutory requirements, to remove unnecessary words or pinas	es or to provide charmention. Some rules are being repeated.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Sang C. Ray
E-Mail: Laura.Rowe@nctreasurer.com	Jung C. Koup,
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	
KKU ANL	V VAILUSE UNLI

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees'	Retirement System Board of Trustees
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0213 DISABILITY RETIREMENT ALLOWANCE DEFINED	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
No	No
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022	
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
	This Rule was part of a combined analysis.
	This Kule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
consultation not required. One authority.	Local funds affected
No No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	l review process. Those rules administered by the TSERS Board of
	tion by December 31, 2022 are included in this rules action. Those
rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
	lecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Love
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0301 DESIGNATION	
3. Action:	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	State funds affected Local funds affected
	□ Local funds affected □ Substantial economic impact (≥\$1,000,000)
🖾 No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
⊠ Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	review process. Those rules administered by the TSERS Board of
	ion by December 31, 2022 are included in this rules action. Those
	bstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
statutory requirements, to remove unnecessary words or phrase	ecennial review) are proposed for amendments to conform with
statutory requirements, to remove unnecessary words or pinase	es of to provide charmeation. Some futes are being repeated.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Dhone: 010 914 2951	An CO
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Law,
L-141an. Laui a. Nowe Wilth Casul Ci . Com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees'	Retirement System Board of Trustees
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0302 PRINCIPAL BENEFICIARY	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No 6. Notice for Proposed Rule:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority: No
 6. Notice for Proposed Rule: Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com 	11. Signature of Agency Head* or Rule-making Coordinator:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0303 CONTINGENT BENEFICIARY	
3. Action:	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
 ☐ Yes. Cite authority: ☑ No 	 ☐ Yes. Cite authority: ⊠ No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 	
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected
	☐ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	Lecislation anothed by the Consumal Assembly
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:
Federal statute / cite:	Petition for rule-making
D Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System I review process. Those rules administered by the TSERS Board of
	tion by December 31, 2022 are included in this rules action. Those
	ubstantive changes; 3) readopted without substantive changes; or 4)
repealed.	lessenial review) are proposed for amondments to conform with
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Rome.
E-Mail: Laura.Rowe@nctreasurer.com	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees'	Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0304 NO LIVING BENEFICIARY		
3. Action:		
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
No No	No No	
6. Notice for Proposed Rule:		
☑ Notice Required		
Notice of Text published on: June 1, 2022		
	bout/transparency/commitment-transparency/nc-administrative-	
code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
Consultation not required. Cite authority.	Local funds affected	
🖂 No	Substantial economic impact (≥\$1,000,000)	
_	Approved by OSBM	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	part of the Teachers' and State Employees' Retirement System	
	review process. Those rules administered by the TSERS Board of	
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those		
rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed.		
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
statutory requirements, to remove unnecessary words or pinas	es or to provide charmenton. Some rules are being repeated.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura Long	
E-Mail: Laura.Rowe@nctreasurer.com		
Additional aganay contact if any Timothy Maltar	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:	
	Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0305 BENEFICIARY WHO IS A MINOR	
3. Action:	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority: ⊠ No	 ☐ Yes. Cite authority: ☑ No
	No No
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules 	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
` ´ ´	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	I his rule was part of a combined analysis.
Consultation not required. Cite authority:	State funds affected
	 □ Local funds affected □ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite: Federal statute / cite:	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	part of the Teachers' and State Employees' Retirement System
	I review process. Those rules administered by the TSERS Board of
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)	
repealed.	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Hang C. Rave
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0307 CHANGE IN BENEFICIARY	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:
⊠ No	No Viewski v No
 Ku [∑] No 6. Notice for Proposed Rule: ∑ Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 ☐ Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com 	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

	Retirement System Board of Trustees
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0308 SPECIAL RULE: BENEFICIARY BEFORE JULY 1, 1967	
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
☑ Notice Required	
Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Count order / sites	
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal statute / cite:Federal regulation / cite:	 Petition for rule-making Other: 150B-21.3A Decennial Review
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as 	 Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt 	 □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with survival statements. 	 □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. 	 □ Petition for rule-making □ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4)
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennia? Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of determined of the second second	 □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. 	 □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennia? Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of determined of the second second	 □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennia? Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of determined of the second second	 □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with
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 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 	 Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 11. Signature of Agency Head* or Rule-making Coordinator:
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 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com 	Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 11. Signature of Agency Head* or Rule-making Coordinator: Image: All the function has been delegated (reassigned) pursuant to
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton 	Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those obstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 11. Signature of Agency Head* or Rule-making Coordinator:
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 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton 	Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 11. Signature of Agency Head* or Rule-making Coordinator: Image: Image:
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 	Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 11. Signature of Agency Head* or Rule-making Coordinator: Image: Additional content of the function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
 Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with surepealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com 	Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 11. Signature of Agency Head* or Rule-making Coordinator: Image: Image:

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0401 REFUNDS		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
 ☐ Yes. Cite authority: ☑ No 	 ☐ Yes. Cite authority: ⊠ No 	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2022		
	about/transparency/commitment-transparency/nc-administrative-	
code-rules Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
7. Rule establishes of increases a fee: (see G.S. 12-5.1)		
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
consultation not required. Che authority.	Local funds affected	
🖾 No	 Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	s part of the Teachers' and State Employees' Retirement System	
	I review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those	
	ubstantive changes; 3) readopted without substantive changes; or 4)	
repealed.		
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
statutory requirements, to remove annocessary words or pina	or to provide endimendent some rules are some repeated.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Haura C. Rove	
E-Mail: Laura.Rowe@nctreasurer.com		
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186		
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:	
	Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0402 FORWARDING OF EMPLOYER CONTRIBUTIONS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖂 No	No No
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: June 1, 2022	haut/tuananau/aammitmant tuananauanau/na adminiatuativa
code-rules	bout/transparency/commitment-transparency/nc-administrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
□ Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	□ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
9. REASO 9A. What prompted this action? Check all that apply:	DN FOR ACTION
A gency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	review process. Those rules administered by the TSERS Board of
	ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4)
repealed.	ustantive changes, 5) readopted without substantive changes, of 4)
	ecennial review) are proposed for amendments to conform with
statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Sawa C. Rove
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	
KRC AND	UAH USE UNLY

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0404 DUE DATE OF CONTRIBUTIONS		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
🖂 No	🖾 No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) 		
repealed.	accurate review) are proposed for amondments to conform with	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Sang C. Ray	
E-Mail: Laura.Rowe@nctreasurer.com		
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
RRC AND	OAH USE ONLY	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0405ANTI-PENSION SPIKINGCONTRIBUTION-BASED BENEFIT CAP FACTOR (TSERS)ANTI-PENSION SPIKING		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
No 6 Notice for Proposed Pule:	No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes Agency submitted request for consultation on: Consultation not required. Cite authority:	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) 	
No No	 Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readop rules are either being 1) readopted 'as is;' 2) readopted with so repealed.	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review as part of the Teachers' and State Employees' Retirement System al review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those ubstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with ses or to provide clarification. Some rules are being repealed. 	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	NCAC 02B .0501 DISABILITY RETIREMENT EXAMINATION	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
Image: No 6. Notice for Proposed Rule:	No No	
 Notice for Proposed Rule. Notice for Proposed Rule. Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees'	Retirement System Board of Trustees
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0502 DISABILITY RETIREMENT REPORTS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules	south ansparency, commence transparency, ne administrative
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
9. REAS 9A. What prompted this action? Check all that apply:	ON FOR ACTION
A gency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	l review process. Those rules administered by the TSERS Board of
	ion by December 31, 2022 are included in this rules action. Those
rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)	
repealed.	
Some rules (not identified as 'necessary' in the first phase of c statutory requirements, to remove unnecessary words or phras	lecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed
statutory requirements, to remove unnecessary words or pinas	es or to provide charmeation. Some rules are being repeated.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Dhone: 010 914 2951	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Lave
L-1914 an. Laui a. Nowe Will to Sui Ci AUIII	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	· · · · · · · · · · · · · · · · · · ·
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B.0503 FEES: INDEPENDENT MEDICAL EXAMS-DISABILITY RETIREMENTS		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
No	No No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
🗌 Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
Consultation not required. Che authority.	Local funds affected	
🖾 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
🔲 Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of		
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those		
rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10 Dulamaking Coordinatory Laura Dava	11 Signature of Agapan Hood's on Dula making Coordinatory	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851		
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
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Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0504 INTEREST CREDITS		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority: No	
6. Notice for Proposed Rule:		
 Notice for Proposed Rule. Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura RowePhone: 919-814-3851E-Mail: Laura.Rowe@nctreasurer.comAdditional agency contact, if any: Timothy MeltonPhone: 919-814-4186E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B.0510 PENSIONS		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
No No	No No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
	 □ Local funds affected □ Substantial economic impact (≥\$1,000,000) 	
🖂 No	☐ Substantial economic impact (≥51,000,000) ☐ Approved by OSBM	
	□ No fiscal note required	
0.05450		
9. REASU 9A. What prompted this action? Check all that apply:	DN FOR ACTION	
	I exclusion exected by the Consul Assembly	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
To reaction of the second seco		
Phone: 919-814-3851	Saura C. Love,	
E-Mail: Laura.Rowe@nctreasurer.com	~~~	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
	Title:	
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Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0701 EDUCATIONAL LEAVES OF ABSENCE EDUCATIONAL LEAVES OF		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? □ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
□ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority: No	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
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Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0706 WORKERS' COMPENSATION LEAVES OF ABSENCE		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
Image: No 6. Notice for Proposed Rule:	No No	
Notice Required		
Notice of Text published on: June 1, 2022		
Link to Agency notice: https://www.nctreasurer.com/a	bout/transparency/commitment-transparency/nc-administrative-	
code-rules Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022		
Notice not required under G.S.: Adoption by Agency on:		
Adoption by Agency on.		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:		
Consultation not required. Cite authority:	State funds affected Local funds affected	
🖂 No	□ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM No fiscal note required	
9. REASO 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System		
(TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of		
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10 Dulamaking Coordinatory Lours Dama	11 Signature of Agamay Hands on Dula making Constitution	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura C. Lave,	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:	
E-man. Innoury.menon@neucasurer.com	Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0801 FEE		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:☑ No	 ☐ Yes. Cite authority: ☑ No 	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held 		
Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
□ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
	 □ Local funds affected □ Substantial economic impact (≥\$1,000,000) 	
No No	Approved by OSBM No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
9B. Explain: These proposed rule changes are being made as	Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of		
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repealed.		
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10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saung C. Rave,	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0802 QUALIFYING FOR CREDIT		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review?	Image: Second state in the second s	
☐ Yes. Cite authority:☑ No	☐ Yes. Cite authority:☑ No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority: No	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura RowePhone: 919-814-3851E-Mail: Laura.Rowe@nctreasurer.comAdditional agency contact, if any: Timothy Melton	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0803 COMPUTATION OF COST		
3. Action:		
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
No V	 □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review Part of the Teachers' and State Employees' Retirement System l review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those ubstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Laura C. Lave	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0804 CIVIL SERVICE PARTICIPATION		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No	Image: Second state sta	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	11. Signature of Agency Head* or Rule-making Coordinator: Agency Head* or Rule-making	
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B.0805 SERVICE CONNECTED DISABILITY		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
🖂 No	🖂 No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	☐ Petition for rule-making	
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed. 		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Dhoney 010 914 2951		
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Sawa C. Rome,	
	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0806 RECALCULATION OF BENEFITS		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
 ☐ Yes. Cite authority: ☑ No 	 ☐ Yes. Cite authority: ☑ No 	
6. Notice for Proposed Rule:		
 Notice for 1 reposed rate: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
□ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
Consultation not required. Che authority.	Local funds affected	
🖾 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of		
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rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with		
statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura C. Love	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name:	
E-man. I moury.menon@neucasurer.com	Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0807 CHANGE IN BENEFITS		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
🖂 No	🖂 No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/a code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	bout/transparency/commitment-transparency/nc-administrative-	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:		
Consultation not required. Cite authority:	State funds affected Local funds affected	
	☐ Locar funds affected ☐ Substantial economic impact (≥\$1,000,000)	
🖾 No	Approved by OSBM	
	No fiscal note required	
0 DEAS	DN FOR ACTION	
9A. What prompted this action? Check all that apply:	DATOR ACTION	
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	part of the Teachers' and State Employees' Retirement System	
(TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of		
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those		
rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed.		
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
survive requirements, to remove unnecessary words or pinases or to provide clarification. Some futes are being repeated.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	An CO	
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Lave	
*If this function has been delegated (reassigned) pursuant to		
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186		
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
Title:		
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02B.0810 RESTORING MEMBERSHIP	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/a code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	bout/transparency/commitment-transparency/nc-administrative-
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required
9. REASC	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed. 	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
DL	
Phone: 919-814-3851 F. Mail: Laura Powe@natrossurar.com	Saura C. Rove
E-Mail: Laura.Rowe@nctreasurer.com *If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:
RRC AND OAH USE ONLY	
KKU AND VAH USE UNLY	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20) NCAC 02B .0901 FEE
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No 6. Notice for Proposed Rule:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority: No
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/a code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	bout/transparency/commitment-transparency/nc-administrative-
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required
	ON FOR ACTION
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those ubstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed.
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0902 QUALIFYING FOR CREDIT	
3. Action: ADOPTION AMENDMENT REPEAL READOPTION REPEAL through READOPTI 4. Rule exempt from RRC review? 5. Rule automatically subject to legislative review?	ON
 □ Yes. Cite authority: □ Yes. Cite authority: □ No 	
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administra code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	tive-
7. Rule establishes or increases a fee? (See G.S. 12-3.1)8. Fiscal impact. Check all that apply.	
☐ Yes ☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	
9. REASON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe11. Signature of Agency Head* or Rule-making CoordinatorPhone: 919-814-3851	_
RRC AND OAH USE ONLY	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	NCAC 02B .0903 DEFINITION
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
\square No	\square No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/a code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	bout/transparency/commitment-transparency/nc-administrative-
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority: ⊠ No	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required
0. DE40	
9. REASO 9A. What prompted this action? Check all that apply:	ON FOR ACTION
Agency Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Petition for rule-making Section 2000 Provide a section of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Sang C. Love
E-Mail: Laura.Rowe@nctreasurer.com	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:
RRC AND OAH USE ONLY	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	NCAC 02B .0904 COMPUTATION OF COST	
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
🖂 No	🖂 No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/a code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	bout/transparency/commitment-transparency/nc-administrative-	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
	□ Substantial economic impact (≥\$1,000,000)	
🖾 No	Approved by OSBM	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	☐ Petition for rule-making	
 Federal regulation / cite: Software Section 2010 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System 		
(TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those		
rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed.		
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with		
statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
5		
Phone: 919-814-3851	Saura C. Rave,	
E-Mail: Laura.Rowe@nctreasurer.com		
Ŭ	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name:	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name:	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0905 RECALCULATION OF BENEFITS		
3. Action:	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? Yes. Cite authority: No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice for it toposed rate: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
□ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) 	
No No	Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System l review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .0906 CHANGE IN BENEFITS		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
No No	No No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
	☐ Substantial economic impact (≥\$1,000,000)	
No No	Approved by OSBM	
	No fiscal note required	
9. REASON FOR ACTION		
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Agency Court order / cite:	 Legislation enacted by the General Assembly Cite Session Law: 	
 ☑ Agency ☑ Court order / cite: ☑ Federal statute / cite: 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making 	
 ☑ Agency ☑ Court order / cite: ☑ Federal statute / cite: ☑ Federal regulation / cite: 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review 	
 Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System 	
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of 	
 Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System 	
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) 	
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Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with 	
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 Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of decentified as 'necessary' in the first phase of decentifie	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with 	
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase	 □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
 Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of decentified as 'necessary' in the first phase of decentifie	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with 	
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 10. Rulemaking Coordinator: Laura Rowe	 □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 11. Signature of Agency Head* or Rule-making Coordinator:	
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. Some rules (not identified as 'necessary' in the first phase of d statutory requirements, to remove unnecessary words or phrase 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851	 □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
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Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1003 PRIOR SERVICE WITH GENERAL ASSEMBLY PRIOR SERVICE WITH GENERAL		
3. Action: ADOPTION ADOPTION ADOPTION	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System l review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those ubstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
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RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1004 TEMPORARY SERVICE PURCHASE (INCHOATE RIGHTS ONLY)		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
No ·	No ·	
 6. Notice for Proposed Rule: ➢ Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 ☑ Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
9. REAS	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readop rules are either being 1) readopted 'as is;' 2) readopted with st repealed. 	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review s part of the Teachers' and State Employees' Retirement System al review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those ubstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with 	
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RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1005 TEMPORARY SERVICE PURCHASE: FULL ACTUARIAL LIABILITY		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Image: No 6. Notice for Proposed Rule:		
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: 	 □ This Rule was part of a combined analysis. ○ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) 	
No No	 Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readop rules are either being 1) readopted 'as is;' 2) readopted with s repealed.	 □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review s part of the Teachers' and State Employees' Retirement System al review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those ubstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with ses or to provide clarification. Some rules are being repealed. 	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1006 PART-TIME SERVICE PURCHASE: FULL ACTUARIAL LIABILITY		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
 ☐ Yes. Cite authority: ☑ No 	 □ Yes. Cite authority: ☑ No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 		
Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:		
Consultation not required. Cite authority:	State funds affected	
🖂 No	□ Substantial economic impact (≥\$1,000,000)	
	 Approved by OSBM No fiscal note required 	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite: Federal statute / cite:	Cite Session Law: Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	s part of the Teachers' and State Employees' Retirement System	
(TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those		
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repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
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10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Hang C. Rove	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1007 LOCAL GOVERNMENT SERVICE PURCHASE: FULL ACTUARIAL LIABILITY		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice for insposed rate. Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
🖂 No	□ Substantial economic impact (≥\$1,000,000)	
	 Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
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Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1101 FEE		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No 6. Notice for Proposed Rule:	□ READOPTION ⊠ REPEAL through READOPTION 5. Rule automatically subject to legislative review? □ Yes. Cite authority: ⊠ No	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 □ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
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RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1102 QUALIFICATION		
3. Action: ADOPTION AMENDMENT REPEAL READOPTION ADOPTION S. Rule automatically subject to legislative review?		
☐ Yes. Cite authority:☑ No	 ☐ Yes. Cite authority: ☐ No 	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 		
Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
🖾 No	 □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System l review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) lecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
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Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: Timothy.Melton@nctreasurer.com	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1104 RECALCULATION OF BENEFITS		
3. Action:		
 4. Rule exempt from RRC review? □ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
🗌 Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority: No	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM 	
	No fiscal note required	
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (TSERS) Board of Trustees' readoption phase of the decennial Trustees and identified as being "necessary" requiring readoptic rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1204 DEFINITIONS		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No 6. Notice for Proposed Rule:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority: No	
 6. Notice for Proposed Rule: Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with so repealed.	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review a part of the Teachers' and State Employees' Retirement System l review process. Those rules administered by the TSERS Board of b pocember 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) d ecennial review) are proposed for amendments to conform with es or to provide clarification. Some rules are being repealed. 	
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1205 COMPUTATION OF COST		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No	□ READOPTION ⊠ REPEAL through READOPTION 5. Rule automatically subject to legislative review? □ Yes. Cite authority: ⊠ No	
6. Notice for Proposed Rule:		
 Notice for Proposed Rule. Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 □ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) □ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed.	I review process. Those rules administered by the TSERS Board of ion by December 31, 2022 are included in this rules action. Those ibstantive changes; 3) readopted without substantive changes; or 4) ecennial review) are proposed for amendments to conform with	
 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com 	11. Signature of Agency Head* or Rule-making Coordinator:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02B .1207 SPECIAL RULE FOR RETIRED APPLICANTS		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? □ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 □ Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
	ON FOR ACTION	
(TSERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with so repealed.	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review a part of the Teachers' and State Employees' Retirement System a review process. Those rules administered by the TSERS Board of tion by December 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) decennial review) are proposed for amendments to conform with tes or to provide clarification. Some rules are being repealed. 	
 10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com 	11. Signature of Agency Head* or Rule-making Coordinator:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	ONCAC 02C .0101 GENERAL INFORMATION	
3. Action: ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
No 6 Notice for Proposed Rule:	No No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name:	
	Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0201 ACTUARIAL TABLES: RATES AND ASSUMPTIONS		
3. Action:		
ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
	No No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held 		
Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
🖂 No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite: Federal regulation / cite:	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is; '2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed. The LGERS Board of Trustees is seeking to adopt a new rule	for contingent beneficiaries that is similar to a current TSERS rule. The	
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Raung C. Row	
E-Mail: Laura.Rowe@nctreasurer.com	~~~	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
-man. Innony.menon@neucasurer.com	Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0204 FACILITY OF PAYMENT	
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:☑ No	☐ Yes. Cite authority:⊠ No	
6. Notice for Proposed Rule:		
 Notice for Proposed Rule. Notice for Proposed Rule. Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
No	 ☑ Local funds affected ☑ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	I consider the forward Assembly	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	☐ Petition for rule-making	
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is; '2) readopted with substantive changes; 3) readopted without substantive changes; or 4) 		
repealed. In addition, the LGERS Board of Trustees, as part of a joint ac	tion with the Teachers' and State Employees' Retirement System	
	to statutory provisions requiring that service purchases include an are seeking to do this by adopting a pay single rule covering all such	
administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption,		
current rules it administers that cover service purchases.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS		
rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Lave,	
	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
Permanent Rule 0400 - 03/2019		

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0205 MEDICAL BOARD		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
No	No No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules 		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Ves Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected 	
🖂 No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM No fiscal note required 	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	☐ Petition for rule-making ☐ Othern 150B 21 3A Decempied Payiew	
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. 		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E Mail: Laura Powe@netrossurer.com	Laura Par	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0301 DESIGNATION	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
	🖾 No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
0 DEAS(DN FOR ACTION
9. REASC 9A. What prompted this action? Check all that apply:	IN FOR ACTION
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	part of the Local Governmental Employees' Retirement System
	l review process. Those rules administered by the LGERS Board of
	ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4)
repealed.	osuntive enanges, sy readopted without substantive enanges, or ty
	tion with the Teachers' and State Employees' Retirement System
	to statutory provisions requiring that service purchases include an are seeking to do this by adopting a new single rule covering all such
	he LGERS Board of Trustees is seeking to repeal, through readoption,
current rules it administers that cover service purchases.	
	for contingent beneficiaries that is similar to a current TSERS rule. The ies for various purposes similar to the TSERS rule. Some LGERS
	al review) are proposed for amendment to provide clarification. An
additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	An CO
E-Mail: Laura.Rowe@nctreasurer.com	Saung C. Lave
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees'	Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0302PRINCIPAL BENEFICIARY	
3. Action:	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? □ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
Notice of Text published on: June 1, 2022	bout/transparency/commitment-transparency/nc-administrative-	
code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☑ Local funds affected 	
No No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
	DN FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
 Federal statute / cite: Federal regulation / cite: OB Explain: These proposed rule changes are being made as 	Petition for rule-making Other: 150B-21.3A Decennial Review Petition for constant and the Local Covernmental Employaes' Petitisement System	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Haura C. Rove	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0303 NO LIVING BENEFICIARY		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
6. Notice for Proposed Rule:		
 Notice for Proposed Rule. Notice for Proposed Rule. Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
□ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority: No	 ☐ State funds affected △ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) △ Approved by OSBM ☐ No fiscal note required 	
9. REASC	DN FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
□ Federal statute / cite: □ Petition for rule-making □ Federal regulation / cite: □ Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed. In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saung C. Lave,	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
Permanent Rule 0400 – 03/2019		

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0304 PAYMENTS TO BENEFICIARIES	
3. Action: ADOPTION ADOPTION ADOPTION	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022		
	bout/transparency/commitment-transparency/nc-administrative-	
Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
□ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
	⊠ Local funds affected □ Substantial economic impact (≥\$1,000,000)	
No No	Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply: ☐ Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Laura Pour	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0306 BENEFICIARY CHANGE	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
 No Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ⊠ No 	 8. Fiscal impact. Check all that apply. □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM □ No fiscal note required 	
 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal. 		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: Image: A state of the sta	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0307 CONTINGENT BENEFICIARY		
3. Action:	READOPTION REPEAL through READOPTION Subscription review?	
 4. Rule exempt from RRC review? □ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
 No Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: 		
Adoption by Agency on:		
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) □ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ⊠ No	 8. Fiscal impact. Check all that apply. ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
	ON FOR ACTION	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal. 		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0402 EMPLOYER'S CONTRIBUTIONS
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:☑ No	☐ Yes. Cite authority:☑ No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules 	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ⊠ Local funds affected
🖂 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM
	□ No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	I excitation expected by the Consul Assembly
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:
Federal statute / cite:	Petition for rule-making Otheru 150P 21 3A Decempied Paying
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is; '2) readopted with substantive changes; 3) readopted without substantive changes; or 4) 	
repealed. In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An	
additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851 E. Maile, Laura Dama Construction com	Saura C. Lave,
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0403 REFUNDS	
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No No	No No
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held 	
Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☑ Local funds affected
🖂 No	□ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law:
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)	
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rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851 F. Mail: Laura Powe@netroosurer.com	Saura Lou
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0404 DUE DATE OF CONTRIBUTIONS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	 Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM
	No fiscal note required
9. REASC	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Local Governmental Employees' Retirement System
	l review process. Those rules administered by the LGERS Board of ion by December 31, 2022 are included in this rules action. Those
	bstantive changes; 3) readopted without substantive changes; or 4)
repealed. In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an	
administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases.	
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The	
proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Low,
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:
 Permanent Rule 0400 – 03/2019	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0405 ANTI-PENSION SPIKING CONTRIBUTION-BASED BENEFIT CAP FACTOR (LGERS) ANTI-PENSION SPIKING	
3. Action:	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
\square res. cite authority. \square No	\square res. the authority. \square No
6. Notice for Proposed Rule:	
 Notice for Proposed Rule: Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 	
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected
	⊠ Local funds affected □ Substantial economic impact (≥\$1,000,000)
No No	\square Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
PR Federal regulation / cite:	Description of the Local Governmental Employees' Retirement System
	al review process. Those rules administered by the LGERS Board of
	tion by December 31, 2022 are included in this rules action. Those
	ubstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An	
additional rule is proposed for repeal.	
10 Delevel's Construction Lange Dens	11 Classifier (Accordingly and the description of t
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Hang C. Rave,
E-Mail: Laura.Rowe@nctreasurer.com	C) and C. Lave
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0501 DISABILITY EXAMINATION
3. Action:	READOPTION REPEAL through READOPTION
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held 	
Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
🗌 Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
9. REAS	DN FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Local Governmental Employees' Retirement System
	l review process. Those rules administered by the LGERS Board of
	ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
	tion with the Teachers' and State Employees' Retirement System
(TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative for to be get by the LCEPS Decard. The Decards are eaching to do this by adapting a new single rule accuracy of the second secon	
administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption,	
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additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Rove
E-Mail: Laura.Rowe@nctreasurer.com	Course . Kove
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
Dormonont	Rule 0400 - 03/2019

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0502 DISABILITY RETIREMENT REPORTS	
3. Action:	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	Image: Second state in the second s
Yes. Cite authority:	Yes. Cite authority:
\square No	\square No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules 	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	□ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
9 RFAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
	part of the Local Governmental Employees' Retirement System
(LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)	
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10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	
E-Mail: Laura.Rowe@nctreasurer.com	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	Tunad Nama
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0503 FEES: INDEPENDENT MEDICAL EXAMS-DISABILITY RETIREMENTS	
3. Action:	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	S. Rule automatically subject to registrative review?
\square res. the authority: \square No	\square res. Che authority: \square No
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	 State funds affected Local funds affected
	 ☑ Local funds affected ☑ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
	part of the Local Governmental Employees' Retirement System
	al review process. Those rules administered by the LGERS Board of
Trustees and identified as being "necessary" requiring readop	tion by December 31, 2022 are included in this rules action. Those
	ubstantive changes; 3) readopted without substantive changes; or 4)
repealed.	for a set in some for it is that is similar to a summer the TOEDS with the
	for contingent beneficiaries that is similar to a current TSERS rule. The ries for various purposes similar to the TSERS rule. Some LGERS
	al review) are proposed for amendment to provide clarification. An
additional rule is proposed for repeal.	······································
10 Dulamaking Coastlington Lang D	11 Construct of Account Hard Star D. Law 12 Construction
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Love,
E-Mail: Laura.Rowe@nctreasurer.com	
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
I mony menone net court to the	Title:

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0504 REINSTATEMENT TO ACTIVE SERVICE
3. Action:	
ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION 5 Duly sufficient to brieflating maximum?
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
\square res. the authority: \square No	\square res. the authority: \square No
6. Notice for Proposed Rule:	
 Notice for Proposed Kale. Notice for Proposed Kale. Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
A. D	
9. REASO 9A. What prompted this action? Check all that apply:	ON FOR ACTION
\boxtimes Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
🔲 Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Local Governmental Employees' Retirement System
	I review process. Those rules administered by the LGERS Board of ion by December 31, 2022 are included in this rules action. Those
	ibstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System	
	d to statutory provisions requiring that service purchases include an
administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption,	
current rules it administers that cover service purchases.	the EGERG Bourd of Trustees is seeking to repeat, unough readoption,
	for contingent beneficiaries that is similar to a current TSERS rule. The
	ies for various purposes similar to the TSERS rule. Some LGERS
rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.	
	11 Signature of Agonar Heads on Dula making Coordinatory
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Laure,
E-Mail: Laura.Rowe@nctreasurer.com	
Additional against contract if any Timathy Maltar	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
-	Title:
Permanent Rule 0400 – 03/2019	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0704 WORKERS' COMPENSATION LEAVES OF ABSENCE		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? □ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
 Ku Interview in the interview in		
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ⊠ No 	 8. Fiscal impact. Check all that apply. □ This Rule was part of a combined analysis. □ State funds affected ○ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM □ No fiscal note required 	
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: Image: A state of the sta	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0901 LEAVE		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	Image: Second structure Image: Second structure Second structure Second structure Second structure Second structure Image: Second structure Sec	
No	No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ⊠ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) 	
No No	Approved by OSBM No fiscal note required	
0 DEAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	INFORACTION	
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	part of the Local Governmental Employees' Retirement System l review process. Those rules administered by the LGERS Board of	
Trustees and identified as being "necessary" requiring readopt	ion by December 31, 2022 are included in this rules action. Those	
	bstantive changes; 3) readopted without substantive changes; or 4)	
repealed. In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption,		
current rules it administers that cover service purchases. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The		
proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura C. Rous	
E-Mail: Laura.Rowe@nctreasurer.com	~~~~	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
	2 mJe 0400 - 03/2019	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0902 FEE	
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
☑ Notice Required	
Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules	
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022 Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected
	Local funds affected
🖂 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM
	□ No fiscal note required
0 DEAS	DN FOR ACTION
9. REASC 9A. What prompted this action? Check all that apply:	IN FOR ACTION
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System	
(TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption	
	ed by both Boards and identified as being "necessary" requiring
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive cha	inges; or 4) repeated.
In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an	
administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such	
	le current rules administered by each board individually that cover
service purchase situations.	

Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:



*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Local Governmental Employees'	Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .0904 COMPUTATION OF COST	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
🖂 No	\square residue autority: \square No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0906 CIVIL SERVICE PROGRAM		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	Image: Second state sta	
Yes. Cite authority:	Yes. Cite authority:	
\boxtimes No	\boxtimes No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	☑ Local funds affected	
🖂 No	Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM No fiscal note required	
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite: 9B Explain: These proposed rule changes are being made as	Other: 150B-21.3A Decennial Review part of the Local Governmental Employees' Retirement System	
	l review process. Those rules administered by the LGERS Board of	
Trustees and identified as being "necessary" requiring readopt	ion by December 31, 2022 are included in this rules action. Those	
	bstantive changes; 3) readopted without substantive changes; or 4)	
repealed.	tion with the Teachers' and State Employees' Retirement System	
	d to statutory provisions requiring that service purchases include an	
	are seeking to do this by adopting a new single rule covering all such	
	he LGERS Board of Trustees is seeking to repeal, through readoption,	
current rules it administers that cover service purchases.	for contingent hanoficiaries that is similar to a current TSEDS rule. The	
	for contingent beneficiaries that is similar to a current TSERS rule. The ies for various purposes similar to the TSERS rule. Some LGERS	
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additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Dhamas 010 014 2051		
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Lane,	
	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186	Tuned Nemer	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
	$2 \times 1_{0} 0.400 = 0.3/2010$	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0907 EXCLUSION		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:⊠ No	 ☐ Yes. Cite authority: ☑ No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: 		
Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	This Rule was part of a combined analysis.	
Yes Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
🖾 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply: ☐ Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
	tion with the Teachers' and State Employees' Retirement System	
	d to statutory provisions requiring that service purchases include an are seeking to do this by adopting a new single rule covering all such	
situations of service purchases. As part of this simplification, t	he LGERS Board of Trustees is seeking to repeal, through readoption,	
current rules it administers that cover service purchases.	for contingent beneficiaries that is similar to a current TSERS rule. The	
proposed LGERS rule allows members to designate beneficiar	ies for various purposes similar to the TSERS rule. Some LGERS	
rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An		
additional rule is proposed for repeal.	11 Signature of Agaman Heads on Dula making Coordinatory	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E. Mailt, Laura Dama Construction com	Saura C. Rave,	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
	Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0908 RECALCULATION OF BENEFITS	
3. Action:	READOPTION REPEAL through READOPTION
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	Image: Constraint of the second system Image: Constraint of the second system 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Ves. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: 	
Adoption by Agency on:7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funde official
Consultation not required. Cite authority:	☐ State funds affected ☐ Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION
\boxtimes Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
B Federal regulation / cite:	Other: 150B-21.3A Decennial Review part of the Local Governmental Employees' Retirement System
	l review process. Those rules administered by the LGERS Board of
Trustees and identified as being "necessary" requiring readopti	on by December 31, 2022 are included in this rules action. Those
	bstantive changes; 3) readopted without substantive changes; or 4)
repealed. In addition the LGERS Board of Trustees, as part of a joint ac	tion with the Teachers' and State Employees' Retirement System
	I to statutory provisions requiring that service purchases include an
administrative fee to be set by the LGERS Board. The Boards	are seeking to do this by adopting a new single rule covering all such
	he LGERS Board of Trustees is seeking to repeal, through readoption,
current rules it administers that cover service purchases. The LGERS Board of Trustees is seeking to adopt a new rule f	or contingent beneficiaries that is similar to a current TSERS rule. The
	ies for various purposes similar to the TSERS rule. Some LGERS
	al review) are proposed for amendment to provide clarification. An
additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Hang C. Lang
E-Mail: Laura.Rowe@nctreasurer.com	
Additional against against if any Timether Malter	*If this function has been delegated (reassigned) pursuant to $C = 142P_{10}(a)$ submit a gapy of the delegation with this form
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
Permanent Rule 0400 – 03/2019	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .0909 CHANGE IN BENEFITS		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 □ State funds affected ⊠ Local funds affected 	
⊠ No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
9. REAS	DN FOR ACTION	
9A. What prompted this action? Check all that apply:	_	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) 		
repealed. In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An		
additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura C. Rave,	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees'	Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	0 NCAC 02C .1001 FEE	
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
\square res. the authority: \square No	\square res. Che authority: \square No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held 		
Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	□ State funds affected	
Consultation not required. Che authority.	Local funds affected	
🖂 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	□ No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura C. Pore	
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1002 QUALIFYING FOR CREDIT	
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☑ Local funds affected
🖂 No	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Production Federal regulation / cite:	Other: 150B-21.3A Decennial Review part of the Local Governmental Employees' Retirement System
	l review process. Those rules administered by the LGERS Board of
	ion by December 31, 2022 are included in this rules action. Those
	bstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
	tion with the Teachers' and State Employees' Retirement System
(TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such	
situations of service purchases. As part of this simplification, the	he LGERS Board of Trustees is seeking to repeal, through readoption,
current rules it administers that cover service purchases.	
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The	
proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An	
additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
DI 010 014 2021	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Love
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	Typed Name
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:
	2.10.0400 03/2010

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees'	Retirement System Board of Trustees
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .1003 OTHER GOVERNMENTAL SUBDIVISION
3. Action:	
ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
4. Rule exempt from RRC review?	S. Kule automatically subject to registrative review?
\square res. the authority: \square No	\square res. the authority: \square No
6. Notice for Proposed Rule:	
 Notice for Proposed Rule. Notice for Proposed Rule. Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
🗌 Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
9. REASC 9A. What prompted this action? Check all that apply:	DN FOR ACTION
\boxtimes Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Local Governmental Employees' Retirement System l review process. Those rules administered by the LGERS Board of
	ion by December 31, 2022 are included in this rules action. Those
	bstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
	tion with the Teachers' and State Employees' Retirement System
	d to statutory provisions requiring that service purchases include an are seeking to do this by adopting a new single rule covering all such
	he LGERS Board of Trustees is seeking to repeal, through readoption,
current rules it administers that cover service purchases.	
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The	
	ies for various purposes similar to the TSERS rule. Some LGERS
rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
To Rathanng Coordinator, Laura Nowe	
Phone: 919-814-3851	Saura C. Lave,
E-Mail: Laura.Rowe@nctreasurer.com	
Additional aganay portaat if any Timathy Maltan	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 175D-10(a), Subinit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
-	Title:
Dormonont I	Rule 0400 - 03/2019

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .1004 COMPUTATION OF COST	
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
Image: No 6. Notice for Proposed Rule:	No No	
 Notice for Proposed Rule: Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
No	 ☑ Local funds affected ☑ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☑ No fiscal note required 	
	DN FOR ACTION	
9A. What prompted this action? Check all that apply:	I residution expected by the Consul Assembly	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as	Description of the Local Governmental Employees' Retirement System	
(LGERS) Board of Trustees' readoption phase of the decennia	l review process. Those rules administered by the LGERS Board of	
	ion by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4)	
repealed.		
	tion with the Teachers' and State Employees' Retirement System d to statutory provisions requiring that service purchases include an	
administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such		
situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The		
	ies for various purposes similar to the TSERS rule. Some LGERS	
rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura C. Rave,	
E-Mail: Laura.Rowe@nctreasurer.com	5	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
Permanent F	Rule 0400 – 03/2019	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees'	Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .1006 RECALCULATION OF BENEFITS	
3. Action:		
Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) Yes	8. Fiscal impact. Check all that apply.	
 Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 State funds affected ∠ocal funds affected Substantial economic impact (≥\$1,000,000) △ Approved by OSBM ○ No fiscal note required 	
 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal. 		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: Image: Constraint of the constrai	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20	NCAC 02C .1007 CHANGE IN BENEFITS	
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 □ State funds affected ☑ Local funds affected 	
🖾 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
9. REAS	DN FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is; '2) readopted with substantive changes; 3) readopted without substantive changes; or 4) 		
repealed. In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An		
additional rule is proposed for repeal.	· · · · · · · · · · · · · · · · · · ·	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Love	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1201 SERVICE RETIREMENT		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
 No No 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 7. Rule establishes or increases a fee? (See G.S. 12-3.1) 8. Fiscal impact. Check all that apply. □ This Rule was part of a combined analysis. 		
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
 9A. What prompted this action? Check all that apply: Agency Legislation enacted by the General Assembly Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Petition for rule-making Source of the constraint of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees' readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal. 		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: Image: Constraint of the constrai	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1301 FEE		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No	□ READOPTION ⊠ REPEAL through READOPTION 5. Rule automatically subject to legislative review? □ Yes. Cite authority: ☑ No	
 6. Notice for Proposed Rule: ➢ Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 ☑ Notice not required under G.S.: Adoption by Agency on: 		
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ⊠ No	 8. Fiscal impact. Check all that apply. ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply:		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: Image: Additional and the second	

RRC AND OAH USE ONLY

Action taken:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1302 QUALIFYING FOR CREDIT		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority: No	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority: No	
 6. Notice for Proposed Rule: ➢ Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 ☑ Notice not required under G.S.: Adoption by Agency on: 		
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ⊠ No 	 8. Fiscal impact. Check all that apply. □ This Rule was part of a combined analysis. □ State funds affected ○ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM □ No fiscal note required 	
 9. REASON FOR ACTION 9A. What prompted this action? Check all that apply: Agency Court order / cite: Cite Session Law: Federal statute / cite: Petition for rule-making Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal. 		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: Image: A state of the constraint	

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1501 APPLICATION OF SECTION		
3. Action: ADOPTION AMENDMENT Repeal 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
No 6 Notice for Proposed Pule:	No No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM □ No fiscal note required 	
	ON FOR ACTION	
(LGERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. The LGERS Board of Trustees is seeking to adopt a new rule is proposed LGERS rule allows members to designate beneficiar	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review Part of the Local Governmental Employees' Retirement System al review process. Those rules administered by the LGERS Board of tion by December 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) for contingent beneficiaries that is similar to a current TSERS rule. The ties for various purposes similar to the TSERS rule. Some LGERS al review) are proposed for amendment to provide clarification. An 	
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RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1503 DEFINITIONS		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:☑ No	 ☐ Yes. Cite authority: ☑ No 	
 6. Notice for Proposed Rule: ➢ Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 ☑ Notice not required under G.S.: Adoption by Agency on: 		
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 8. Fiscal impact. Check all that apply. □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM □ No fiscal note required 	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (LGERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. The LGERS Board of Trustees is seeking to adopt a new rule to proposed LGERS rule allows members to designate beneficiar 	 DN FOR ACTION Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Local Governmental Employees' Retirement System I review process. Those rules administered by the LGERS Board of ion by December 31, 2022 are included in this rules action. Those Ibstantive changes; 3) readopted without substantive changes; or 4) for contingent beneficiaries that is similar to a current TSERS rule. The ties for various purposes similar to the TSERS rule. Some LGERS al review) are proposed for amendment to provide clarification. An	
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RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1504 COMPUTATION OF COST		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
No No	No No	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
	ON FOR ACTION	
(LGERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. The LGERS Board of Trustees is seeking to adopt a new rule is proposed LGERS rule allows members to designate beneficiar	 □ Legislation enacted by the General Assembly Cite Session Law: □ Petition for rule-making ○ Other: 150B-21.3A Decennial Review a part of the Local Governmental Employees' Retirement System al review process. Those rules administered by the LGERS Board of bit by December 31, 2022 are included in this rules action. Those abstantive changes; 3) readopted without substantive changes; or 4) for contingent beneficiaries that is similar to a current TSERS rule. The review) are proposed for amendment to provide clarification. An 	
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: Timothy.Melton@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator: Image: Constraint of the constrai	

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1505 EXTENT OF SERVICE TO BE PURCHASED		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?	
 Yes. Cite authority: No 	 ☐ Yes. Cite authority: ☑ No 	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ⊠ No 	 8. Fiscal impact. Check all that apply. □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM □ No fiscal note required 	
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as (LGERS) Board of Trustees' readoption phase of the decennia Trustees and identified as being "necessary" requiring readopt rules are either being 1) readopted 'as is;' 2) readopted with su repealed. The LGERS Board of Trustees is seeking to adopt a new rule : proposed LGERS rule allows members to designate beneficiar 	 DN FOR ACTION Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Local Governmental Employees' Retirement System I review process. Those rules administered by the LGERS Board of tion by December 31, 2022 are included in this rules action. Those Ibstantive changes; 3) readopted without substantive changes; or 4) for contingent beneficiaries that is similar to a current TSERS rule. The ties for various purposes similar to the TSERS rule. Some LGERS al review) are proposed for amendment to provide clarification. An 	
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RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02C .1506 SPECIAL RULE FOR RETIRED APPLICANTS		
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:	
No6. Notice for Proposed Rule:	No No	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules 		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ⊠ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ⊠ Approved by OSBM 	
0 DEAS	No fiscal note required	
9. REASON FOR ACTION 9A. What prompted this action? Check all that apply:		
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	 ☐ Legislation enacted by the General Assembly Cite Session Law: ☐ Petition for rule-making ☑ Other: 150B-21.3A Decennial Review 	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		
10. Rulemaking Coordinator: Laura Rowe Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	11. Signature of Agency Head* or Rule-making Coordinator:	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name:	
	Title:	

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02F .0101 GENERAL INFORMATION		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:⊠ No	 ☐ Yes. Cite authority: ☑ No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	□ Petition for rule-making ○ Other: 150B 21 3A Decempied Preview	
 Federal regulation / cite: Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the TSERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed. Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed. 		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Dhone: 010 914 2951		
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Haung C. Rous,	
	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02F .0104 ACTUARIAL TABLES: RATES AND ASSUMPTIONS		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
No V	No ·	
6. Notice for Proposed Rule:		
🛛 Notice Required		
Notice of Text published on: June 1, 2022		
	bout/transparency/commitment-transparency/nc-administrative-	
code-rules Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
	□ Substantial economic impact (≥\$1,000,000)	
No No	Approved by OSBM	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	_	
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law:	
Federal regulation / cite:	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
	part of the Teachers' and State Employees' Retirement System	
	review process. Those rules administered by the TSERS Board of	
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those		
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repealed.		
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10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851		
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rave,	
	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
	Title:	
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Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Kule-Making Agency. Teachers and State Employees 1	Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02F .0107 FINAL COMPENSATION FOR THREE-FOURTHS LIMITATION		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
🖂 No	🖂 No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022		
	bout/transparency/commitment-transparency/nc-administrative-	
code-rules		
Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022 Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
🖂 No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
 Court order / cite: Federal statute / cite: 	Cite Session Law:	
 Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: These proposed rule changes are being made as 	Cite Session Law: Petition for rule-making Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System	
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Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02F .0108 FULL ACTUARIAL COST		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
 ☐ Yes. Cite authority: ⊠ No 	 ☐ Yes. Cite authority: ☑ No 	
6. Notice for Proposed Rule:		
 6. Notice for Proposed Rule: Notice for Proposed Rule: Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	This Rule was part of a combined analysis.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required 	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply: Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
	part of the Teachers' and State Employees' Retirement System	
	l review process. Those rules administered by the TSERS Board of	
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed.		
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases or to provide clarification. Some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Dhomos 010 914 2951		
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saung C. Laur,	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
RRC AND OAH USE ONLY		

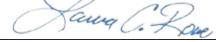
Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02L .0101 GENERAL INFORMATION	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No ·	No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected
consultation not required. One authority.	☑ Local funds affected
🖂 No	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
9. REASC	DN FOR ACTION
9A. What prompted this action? Check all that apply:	
🛛 Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	
(TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption	
phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring	
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an	
administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases and separately repealing multiple current rules administered by each board individually that cover	
service purchase situations.	the current rules administered by each board individually that cover
	ecennial review) are proposed for amendments to conform with
	es and provide clarification. In addition, some rules are being repealed.

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:



*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental	
Employees' Retirement System Board of Trustees2. Rule citation & name (name not required for repeal): 20 NCAC 02L .0103DEFINITIONS	
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No V	No ·
6. Notice for Proposed Rule:	
⊠ Notice Required	
Notice of Text published on: June 1, 2022	
Link to Agency notice: https://www.nctreasurer.com/a code-rules	bout/transparency/commitment-transparency/nc-administrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	 State funds affected Local funds affected
	Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
	DN FOR ACTION
9A. What prompted this action? Check all that apply:	_
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as part of the Teachers' and State Employees' Retirement System	
(TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption	
phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring	
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive cha	anges, or 4) repeated.
In addition, the Boards are seeking to simplify rules related to statutory provisions requiring that service purchases include an	
administrative fee to be set by the Boards. The Boards are seeking to do this by adopting a new single rule covering all such	
situations of service purchases and separately repealing multiple current rules administered by each board individually that cover	
service purchase situations.	

Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:



*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental	
Employees' Retirement System Board of Trustees 2. Rule citation & name (name not required for repeal): 20 NCAC 02L .0202 RETIRED MEMBERS	
2. Rule charlon & nume (nume not required for repear). 20 Norre 021.0202	
3. Action:	
ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
	No
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: June 1, 2022	bout/transparency/commitment-transparency/nc-administrative-
code-rules	toout/transparency/communent-transparency/nc-auministrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☐ State funds affected
	Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	vees' Retirement System (LGERS) Boards of Trustees' readoption
phase of the decennial review process. Those rules administer	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
summer progenements, to remove unnecessary words of plints	
10 Dulamaking Coordinatory, Lawy Dawy	11 Construe of Agence Handt or D. L. and 's Const's t
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Rome.
E-Mail: Laura.Rowe@nctreasurer.com	
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
Internet internet in the second second	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02L .0302 ACCIDENT AND HOSPITAL BENEFITS		
3. Action:		
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/a	bout/transparency/commitment-transparency/nc-administrative-	
code-rules	isouo ei anspareney/commentenene ei anspareney/ne administrative	
Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
🖾 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	 ☑ Approved by OSBM ☑ No fiscal note required 	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	part of the Teachers' and State Employees' Retirement System	
	rees' Retirement System (LGERS) Boards of Trustees' readoption ed by both Boards and identified as being "necessary" requiring	
phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted		
with substantive changes; 3) readopted without substantive ch	anges; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with		
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Saura C. Rome,	
E-Mail: Laura.Rowe@nctreasurer.com	Jung C. Kone	
Additional against contact if any T' (1) M 1	*If this function has been delegated (reassigned) pursuant to $C \leq 143 P_{10}(a)$, submit a conv of the delegation with this form	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
	Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental	
Employees' Retirement System Board of Trustees2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0101DEFINITIONS	
3. Action:	DEADOPTION DEPEAL through DEADOPTION
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
\square No	\square No
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/a	bout/transparency/commitment-transparency/nc-administrative-
code-rules	
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022 Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected
	 ☑ Local funds affected ☑ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	rees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with	
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saung Pour
E-Mail: Laura.Rowe@nctreasurer.com	Jung C. Koup,
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
L-man, Innony.menon@neucasurer.com	Title:
RRC AND OAH USE ONLY	
KKU AND VAH USE VINL Y	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0102 AGENCY AND AUTHORITY OF DIRECTOR	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:☑ No	☐ Yes. Cite authority:☑ No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
No No	 ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000)
	 ☑ Approved by OSBM □ No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply: Agency	Legislation enacted by the General Assembly
Court order / cite: Federal statute / cite:	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	s part of the Teachers' and State Employees' Retirement System vees' Retirement System (LGERS) Boards of Trustees' readoption
phase of the decennial review process. Those rules administer	ed by both Boards and identified as being "necessary" requiring
readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with	
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rove
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0104 TIME AND DATE	
• • •	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	☐ Yes. Cite authority:
No ·	No Viewski starte start
6. Notice for Proposed Rule:	
Notice Required	
Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
□ Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☐ Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	Logiclation operated by the Conorol Assembly
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	rees' Retirement System (LGERS) Boards of Trustees' readoption ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive ch	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with	
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10 Dulamaking Coordinatory Larry Demo	11 Signature of Agaman Handt an Dula making Chandland
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Laura Par
E-Mail: Laura.Rowe@nctreasurer.com	Con your
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	o.o. 1700-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0201 ELIGIBILITY TO ELECT COVERAGE		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?	
Yes. Cite authority:	☐ Yes. Cite authority:	
No No	No No	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-		
code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022		
□ Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	☑ Local funds affected	
🖂 No	 ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM 	
	□ No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	part of the Teachers' and State Employees' Retirement System ees' Retirement System (LGERS) Boards of Trustees' readoption	
phase of the decennial review process. Those rules administered	ed by both Boards and identified as being "necessary" requiring	
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive changes; or 4) repealed.		
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with		
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Roue,	
E main Laurantowe (Greet cusar er com	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
	Title:	
RRC AND OAH USE ONLY		

Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0202 WHEN FIRST ELIGIBLE		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:	☐ Yes. Cite authority:	
🖂 No	🖂 No	
6. Notice for Proposed Rule:		
🛛 Notice Required		
Notice of Text published on: June 1, 2022		
Link to Agency notice: https://www.nctreasurer.com/a code-rules	bout/transparency/commitment-transparency/nc-administrative-	
Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	Local funds affected	
🖂 No	☐ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
	No fiscal note required	
	ON FOR ACTION	
9A. What prompted this action? Check all that apply:	Legislation exceeds to the Consul Assembly	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
	part of the Teachers' and State Employees' Retirement System	
(TSERS) Board of Trustees' and Local Governmental Employees' Retirement System (LGERS) Boards of Trustees' readoption		
phase of the decennial review process. Those rules administered by both Boards and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted		
with substantive changes; 3) readopted without substantive changes; or 4) repealed.		
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with		
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
IV. Ruitmaking Coorumator, Laura Rowe		
Phone: 919-814-3851	Saura C. Low,	
E-Mail: Laura.Rowe@nctreasurer.com		
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to $C S = 143B = 10(a)$ submit a conv of the delegation with this form	
Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
	Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental		
Employees' Retirement System Board of Trustees 2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0206 REINSTATEMENT		
3. Action:		
ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION Subscription matters	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
 ☐ Yes. Cite authority: ☑ No 	 ☐ Yes. Cite authority: ⊠ No 	
6. Notice for Proposed Rule:		
Notice Required		
Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/s	bout/transparency/commitment-transparency/nc-administrative-	
code-rules	ioouv er ansparency/communenc-er ansparency/ne-auminiser active-	
Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	☐ State funds affected	
No No	Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM	
	No fiscal note required	
9. REAS	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
	part of the Teachers' and State Employees' Retirement System	
	vees' Retirement System (LGERS) Boards of Trustees' readoption	
phase of the decennial review process. Those rules administer	ed by both Boards and identified as being "necessary" requiring	
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive ch	anges; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of	decennial review) are proposed for amendments to conform with	
	es and provide clarification. In addition, some rules are being repealed.	
success requirements, to remove unnecessary words or pinases and provide clarification. In addition, some rules are being repeated.		
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
IV. Kultinaking Coolumator. Laura Kuwe	11. Signature of Agency ficau of Kure-making Coorumator:	
Phone: 919-814-3851	Saura C. Love	
E-Mail: Laura.Rowe@nctreasurer.com		
Additional agreement of the same Third and the	*If this function has been delegated (reassigned) pursuant to $C \leq 142 R + 10(c)$, where the accuracy of the delegation with this former	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
· · · · · · · · · · · · · · · · · · ·	Title:	
DDC AND OAH USE ONLV		
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental	
Employees' Retirement System Board of Trustees 2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0301 CONTRIBUTION RATES	
3. Action:	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
\square res. cite authority. \square No	\square rest the authority. \square No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022	
-	bout/transparency/commitment-transparency/nc-administrative-
code-rules	······································
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.: Adoption by Agency on:	
Adoption by Agency on.	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☐ State funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
(TSERS) Board of Trustees' and Local Governmental Employ	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive ch	anges; or 4) repeated.
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with	
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Rome,
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0302 MEMBER CONTRIBUTION	
3. Action:	
5. Action: \square ADOPTION \square AMENDMENT \square REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
No No	No No
6. Notice for Proposed Rule:	
🛛 Notice Required	
Notice of Text published on: June 1, 2022	
Link to Agency notice: https://www.nctreasurer.com/a code-rules	bout/transparency/commitment-transparency/nc-administrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☐ State funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive cha	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with	
statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
IV. Kultinaking Coorumator. Laura Kowe	
Phone: 919-814-3851	Hang C. Rave
E-Mail: Laura.Rowe@nctreasurer.com	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	ser - 192 ro(a), sustain a copy of the actegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental	
Employees' Retirement System Board of Trustees2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0303PAYMENT OF CONTRIBUTION	
3. Action:	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
\square rest cate authority: \square No	\square rest cite authority: \square No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules	
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022	
Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
	□ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
	part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption
phase of the decennial review process. Those rules administer	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of d	ecennial review) are proposed for amendments to conform with
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
summer requirements, to remove uniceessary words of phrases and provide clarification. In addition, some rules are being repeated.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Love,
E-Mail: Laura.Rowe@nctreasurer.com	
Additional agency contact, if any: Timothy Melton	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	Sist 1 100-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0304 AMOUNT OF BENEFIT PAYABLE	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:	☐ Yes. Cite authority:
🖂 No	🖂 No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022	
code-rules	bout/transparency/commitment-transparency/nc-administrative-
Hearing on: No hearing held	
Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☑ Local funds affected
🖂 No	Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
0. DEAS	
9. REAS	ON FOR ACTION
⊠ Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive changes; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of decennical review) are proposed for amondments to conform with	
Some rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendments to conform with statutory requirements, to remove unnecessary words or phrases and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Rome,
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
• • •	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0305 PAYMENT OF INTEREST ON	
BENEFIT	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
	No No
6. Notice for Proposed Rule:	
Notice Required Notice of Text published on: June 1, 2022	
	bout/transparency/commitment-transparency/nc-administrative-
code-rules	
Hearing on: No hearing held Adoption by Agency on: October 27, 2022	
Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	☐ This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	☐ State funds affected
🖂 No	□ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM No fiscal note required
0 PFAS(ON FOR ACTION
9A. What prompted this action? Check all that apply:	IN FOR ACTION
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
 Federal statute / cite: Federal regulation / cite: 	 Petition for rule-making Other: 150B-21.3A Decennial Review
9B. Explain: These proposed rule changes are being made as	part of the Teachers' and State Employees' Retirement System
	ees' Retirement System (LGERS) Boards of Trustees' readoption
	ed by both Boards and identified as being "necessary" requiring tion. Those rules are either being 1) readopted 'as is;' 2) readopted
with substantive changes; 3) readopted without substantive cha	
Some rules (not identified as 'necessary' in the first phase of d	lecennial review) are proposed for amendments to conform with
	es and provide clarification. In addition, some rules are being repealed.
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	
E-Mail: Laura.Rowe@nctreasurer.com	Saura C. Rove
	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
-	Title:
RRC AND OAH USE ONLY	

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Teachers' and State Employees' Retirement System Board of Trustees AND Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02M .0307 BENEFITS PAYABLE AFTER CANCELLATION CANCELLATION		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
	No No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022		
-	bout/transparency/commitment-transparency/nc-administrative-	
code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022		
Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on:	State funds affected	
Consultation not required. Cite authority:	☐ State funds affected	
🖂 No	□ Substantial economic impact (≥\$1,000,000)	
	Approved by OSBM No fiscal note required 	
9 RFAS(ON FOR ACTION	
9A. What prompted this action? Check all that apply:	SI TOK ACTION	
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law:	
Federal regulation / cite:	 Petition for rule-making Other: 150B-21.3A Decennial Review 	
9B. Explain: These proposed rule changes are being made as	part of the Teachers' and State Employees' Retirement System	
	ees' Retirement System (LGERS) Boards of Trustees' readoption ed by both Boards and identified as being "necessary" requiring	
	tion. Those rules are either being 1) readopted 'as is;' 2) readopted	
with substantive changes; 3) readopted without substantive cha	anges; or 4) repealed.	
Some rules (not identified as 'necessary' in the first phase of d	lecennial review) are proposed for amendments to conform with	
	es and provide clarification. In addition, some rules are being repealed.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851	Sama C. Love,	
E-Mail: Laura.Rowe@nctreasurer.com	~~~~	
Additional against antiont if any Timethy Malter	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 175D-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:	
	Title:	
RRC AND OAH USE ONLY		

Action taken:
RRC extended period of review:
RRC determined substantial changes:
Withdrawn by agency
Subject to Legislative Review
Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02N .0106 OFFICE OF THE DIRECTOR		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
Image: No 6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 Yes Agency submitted request for consultation on: Consultation not required. Cite authority: No 	 ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
 Agency		
additional rule is proposed for repeal.	in review) are proposed for amendment to provide clarification. An	

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:

ang

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02N .0108 DIVISIONAL RULES		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
☐ Yes. Cite authority:⊠ No	☐ Yes. Cite authority:⊠ No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules		
Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
Yes	This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
⊠ No	 ∠ Local funds affected □ Substantial economic impact (≥\$1,000,000) △ Approved by OSBM □ No fiscal note required 	
9. REASC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review part of the Local Governmental Employees' Retirement System	
(LGERS) Board of Trustees' readoption phase of the decennial	review process. Those rules administered by the LGERS Board of	
	on by December 31, 2022 are included in this rules action. Those bstantive changes; 3) readopted without substantive changes; or 4)	
repealed.	ustantive changes, 5) readopted without substantive changes, of 4)	
In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an		
	are seeking to do this by adopting a new single rule covering all such he LGERS Board of Trustees is seeking to repeal, through readoption,	
current rules it administers that cover service purchases.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS		
rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An		
additional rule is proposed for repeal.	11 Signature of Agangy Heads on Dula making Coordinatory	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:	
Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com	Haung C. Law,	
0	*If this function has been delegated (reassigned) pursuant to	
Additional agency contact, if any: Timothy Melton Phone: 919-814-4186	G.S. 143B-10(a), submit a copy of the delegation with this form.	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name: Title:	
Bormonort Dulo 0400 - 03/2010		

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02N .0208 APPLYING FOR MEMBERSHIP		
3. Action:	READOPTION REPEAL through READOPTION	
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No 	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☑ Approved by OSBM ☐ No fiscal note required 	
9. REASC 9A. What prompted this action? Check all that apply:	DN FOR ACTION	
 Agency □ Legislation enacted by the General Assembly Cite Session Law: □ Federal statute / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Federal regulation / cite: □ Petition for rule-making □ Other: 150B-21.3A Decennial Review 9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is simil		
proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:

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*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02N .0215 MILITARY SERVICE		
3. Action: ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
Yes. Cite authority:	Yes. Cite authority:	
\boxtimes No	\boxtimes No	
6. Notice for Proposed Rule:		
 Notice Required Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative- code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
 ☐ Yes Agency submitted request for consultation on: Consultation not required. Cite authority: ☑ No 	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000) ○ Approved by OSBM □ No fiscal note required 	
9. REASC	ON FOR ACTION	
9A. What prompted this action? Check all that apply:		
Agency	Legislation enacted by the General Assembly	
Court order / cite:	Cite Session Law:	
Federal statute / cite:	Petition for rule-making	
Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is; '2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed. In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases. The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.		

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:

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*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees		
2. Rule citation & name (name not required for repeal): 20 NCAC 02N .0218 RETIREMENT BENEFITS		
3. Action:	READOPTION REPEAL through READOPTION	
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?	
No No	No No	
6. Notice for Proposed Rule:		
Notice Required Notice of Text published on: June 1, 2022		
Link to Agency notice: https://www.nctreasurer.com/al	bout/transparency/commitment-transparency/nc-administrative-	
code-rules Hearing on: No hearing held		
Adoption by Agency on: October 27, 2022 Notice not required under G.S.:		
Adoption by Agency on:		
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.	
☐ Yes	☐ This Rule was part of a combined analysis.	
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected	
	 ☑ Local funds affected ☑ Substantial economic impact (≥\$1,000,000) 	
No No	Approved by OSBM	
	No fiscal note required	
9. REASC 9A. What prompted this action? Check all that apply:	ON FOR ACTION	
Agency	Legislation enacted by the General Assembly	
 Court order / cite: Federal statute / cite: 	Cite Session Law: Petition for rule-making	
D Federal regulation / cite:	Other: 150B-21.3A Decennial Review	
9B. Explain: These proposed rule changes are being made as part of the Local Governmental Employees' Retirement System (LGERS) Board of Trustees' readoption phase of the decennial review process. Those rules administered by the LGERS Board of		
Trustees and identified as being "necessary" requiring readoption by December 31, 2022 are included in this rules action. Those rules are either being 1) readopted 'as is;' 2) readopted with substantive changes; 3) readopted without substantive changes; or 4)		
repealed.		
In addition, the LGERS Board of Trustees, as part of a joint action with the Teachers' and State Employees' Retirement System (TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an		
administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such		
situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption, current rules it administers that cover service purchases.		
The LGERS Board of Trustees is seeking to adopt a new rule for contingent beneficiaries that is similar to a current TSERS rule. The proposed LGERS rule allows members to designate beneficiaries for various purposes similar to the TSERS rule. Some LGERS		
	l review) are proposed for amendment to provide clarification. An	

10. Rulemaking Coordinator: Laura Rowe

Phone: 919-814-3851 E-Mail: Laura.Rowe@nctreasurer.com

Additional agency contact, if any: Timothy Melton Phone: 919-814-4186 E-Mail: <u>Timothy.Melton@nctreasurer.com</u> 11. Signature of Agency Head* or Rule-making Coordinator:

ang (.-

*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.

Typed Name: Title:

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review:

RRC determined substantial changes:

Withdrawn by agency

Subject to Legislative Review

Other:

1. Rule-Making Agency: Local Governmental Employees' Retirement System Board of Trustees	
2. Rule citation & name (name not required for repeal): 20 PAYMENTS	NCAC 02N .0219 REFUNDS OF DECEASED MEMBERS'
3. Action:	
ADOPTION AMENDMENT REPEAL 4. Rule exempt from RRC review?	Image: Second state of the second s
Yes. Cite authority:	Yes. Cite authority:
\square rescent authority. \square No	\square rescent automy. \square No
6. Notice for Proposed Rule:	
 Notice for Proposed Rule. Notice of Text published on: June 1, 2022 Link to Agency notice: https://www.nctreasurer.com/about/transparency/commitment-transparency/nc-administrative-code-rules Hearing on: No hearing held Adoption by Agency on: October 27, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
🖂 No	☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
9. REASO 9A. What prompted this action? Check all that apply:	DN FOR ACTION
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other: 150B-21.3A Decennial Review
	part of the Local Governmental Employees' Retirement System l review process. Those rules administered by the LGERS Board of
	ion by December 31, 2022 are included in this rules action. Those
	bstantive changes; 3) readopted without substantive changes; or 4)
repealed.	
	tion with the Teachers' and State Employees' Retirement System
(TSERS) Board of Trustees, is seeking to simplify rules related to statutory provisions requiring that service purchases include an administrative fee to be set by the LGERS Board. The Boards are seeking to do this by adopting a new single rule covering all such	
situations of service purchases. As part of this simplification, the LGERS Board of Trustees is seeking to repeal, through readoption,	
current rules it administers that cover service purchases.	
	for contingent beneficiaries that is similar to a current TSERS rule. The
	ies for various purposes similar to the TSERS rule. Some LGERS
rules (not identified as 'necessary' in the first phase of decennial review) are proposed for amendment to provide clarification. An additional rule is proposed for repeal.	
10. Rulemaking Coordinator: Laura Rowe	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-814-3851	Saura C. Laup,
E-Mail: Laura.Rowe@nctreasurer.com	*If this function has been delegated (reassigned) pursuant to
Additional agency contact, if any: Timothy Melton	G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone: 919-814-4186	
E-Mail: <u>Timothy.Melton@nctreasurer.com</u>	Typed Name:
	Title:
	Rule 0400 - 03/2019

RRC AND OAH USE ONLY

Action taken:

RRC extended period of review: RRC determined substantial changes: Withdrawn by agency
 Subject to Legislative I Subject to Legislative Review Other:

1. Rule-Making Agency: Board of Funeral Service	
2. Rule citation & name (name not required for repeal): 21	NCAC 34B .0408 Continuing Education Program
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	 5. Rule automatically subject to legislative review? Yes. Cite authority: No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbfs.org Hearing on: October 12, 2022 Adoption by Agency on: November 9, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
☐ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM ⊠ No fiscal note required
9. REASC	ON FOR ACTION
 9A. What prompted this action? Check all that apply: Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: Update the method by which continuing education 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:
 10. Rulemaking Coordinator: Stephen Davis Phone: 919-715-0901 E-Mail: sdavis@ncbfs.org 	11. Signature of Agency Head* or Rule-making Coordinator:
Additional agency contact, if any: Phone: E-Mail:	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Stephen E. Davis Title: Executive Director
	OAH USE ONLY
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: Board of Funeral Service	
2. Rule citation & name (name not required for repeal): 2	1 NCAC 34D .0202 Preneed Sales License
3. Action:	
ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
No6. Notice for Proposed Rule:	No No
Notice Required Notice of Text published on: August 15, 2022	
Link to Agency notice: www.ncbfs.org	
Hearing on: October 12, 2022	
Adoption by Agency on: November 9, 2022 Notice not required under G.S.:	
Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
	This Rule was part of a combined analysis.
Yes Agency submitted request for consultation on:	
Consultation not required. Cite authority:	State funds affected Local funds affected
	□ Substantial economic impact (≥\$1,000,000)
🖾 No	Approved by OSBM
	No fiscal note required
しん かどうし たいかい 二日 ビント かくちょう ふく しんかく マント・アイト しょうしん たいかい たいやく	ON FOR ACTION
9A. What prompted this action? Check all that apply:	
Agency Court order / cite:	Legislation enacted by the General Assembly Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other:
9B. Explain: Modernize the eligibility requirements and app	dication process for a preneed sales license.
10 D. Les Lie Condicates Starker Davis	11 Clauston of Accord Hards on Dala and in Constitution
10. Rulemaking Coordinator: Stephen Davis	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: 919-715-0901	
E-Mail: sdavis@ncbfs.org	/ nepuly C. Muss
Additional agency contact, if any:	[*] /If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Phone:	G.S. 1455-16(a), subilit a copy of the delegation with this form.
E-Mail:	Typed Name: Stephen E. Davis
	Title: Executive Director
RRC AN	D OAH USE ONLY
Action taken:	
RRC extended period of review:	
RRC determined substantial changes:	
Withdrawn by agency	
Subject to Legislative Review	
Other:	

1. Rule-Making Agency: North Carolina State Board of I	Examiners in Optometry
2. Rule citation & name (name not required for repeal): 2	21 NCAC 42A .0101 Contacting the Board
3. Action: X ADOPTION AMENDMENT REPEAL	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: Link to Agency notice: Hearing on: Adoption by Agency on: X Notice not required under G.S.: 150B-21.5(a)(4) Adoption by Agency on: November 10, 2022	
 7. Rule establishes or increases a fee? (See G.S. 12-3.1) Yes Agency submitted request for consultation on: Consultation not required. Cite authority: X No 	 8. Fiscal impact. Check all that apply. ☐ This Rule was part of a combined analysis. ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM X No fiscal note required
9. REAS 9A. What prompted this action? Check all that apply: X Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain: To update the Board's mailing address and	SON FOR ACTION Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other: provide its general email address and its website.
10. Rulemaking Coordinator: Johnny M. Loper Phone: 919-390-7749 E-Mail: jloper@loper-law.com Additional agency contact, if any: Janice K. Peterson Phone: 910-285-3160 E-Mail: janice@ncoptometry.org	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form. Typed Name: Title:
Action taken:	D OAH USE ONLY
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56.0303 DISBURSEMENT OF FUNDS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	 Yes. Cite authority: No
6. Notice for Proposed Rule:	
 Notice for Proposed Kite. Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
🗌 Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
	 Local funds affected Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
 Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:
9B. Explain:	
Revises to clarify requests for funding, consistent with 2020." Deletes referencing entities and programs that	G.S. 89C-10(g). Was last revised as noted: "Amended Eff. July 1, qualify, since it is covered in G.S. 89C-10-(g).
10. Rulemaking Coordinator: David S. Tuttle 4601 Six Forks Road Suite 310 Raleigh, NC 27609	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .0601 REQUIREMENTS FOR LICENSING	
3. Action: ADOPTION AMENDMENT ADOPTION RRC review? Yes. Cite authority:	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review? Yes. Cite authority:
No	No No
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on:	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes Agency submitted request for consultation on: Consultation not required. Cite authority:	 □ This Rule was part of a combined analysis. □ State funds affected □ Local funds affected □ Substantial economic impact (≥\$1,000,000)
No No	Approved by OSBM No fiscal note required
9. REAS	ON FOR ACTION
 Agency ☐ Court order / cite: ☐ Federal statute / cite: ☐ Federal regulation / cite: 	 Legislation enacted by the General Assembly Cite Session Law: Session Law 2022-1 ss 1.(a) - 1.(c). (S.B. 219) An act to revise the education requirements for licensure of a professional land surveyor. Petition for rule-making Other:
9B. Explain:	
Makes permanent the temporary rule revision that adds apprenticeship path for licensure as a Professional Land Surveyor.	
 10. Rulemaking Coordinator: David S. Tuttle 4601 Six Forks Road Suite 310 Raleigh, NC 27609 Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org 	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
	O OAH USE ONLY
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .0608 SURVEYOR INTERN CERTIFICATE	
3. Action:	READOPTION REPEAL through READOPTION
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 □ Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority: No	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM ☑ No fiscal note required
9. REAS	ON FOR ACTION
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	 Legislation enacted by the General Assembly Cite Session Law: Session Law 2022-1 ss 1.(a) – 1.(c). (S.B. 219) An act to revise the education requirements for licensure of a professional land surveyor. Petition for rule-making Other:
9B. Explain:	
Makes permanent the temporary rule for certification	as a Surveyor Intern.
 10. Rulemaking Coordinator: David S. Tuttle 4601 Six Forks Road Suite 310 Raleigh, NC 27609 Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org 	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .1702 DEFINITIONS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	 5. Rule automatically subject to legislative review? Yes. Cite authority: No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	 □ Local funds affected □ Substantial economic impact (≥\$1,000,000)
🖾 No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:
9B. Explain:	
(7) and (8) added Ethics and Standards of Practice for Land Surveying.	
 10. Rulemaking Coordinator: David S. Tuttle 4601 Six Forks Road Suite 310 Raleigh, NC 27609 Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org 	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .1703 REQUIREMENTS	
3. Action:	
 4. Rule exempt from RRC review? ☐ Yes. Cite authority: ☑ No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 State funds affected Local funds affected Substantial economic impact (≥\$1,000,000) Approved by OSBM No fiscal note required
9. REAS	ON FOR ACTION
Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 9B. Explain:	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:
Adds Ethics and Standards of Practice for Land Surve overall annual requirement. Adds credit for education	
 10. Rulemaking Coordinator: David S. Tuttle 4601 Six Forks Road Suite 310 Raleigh, NC 27609 Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org 	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
RRC AND OAH USE ONLY	
Action taken: RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other:	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .1704 UNITS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review?	5. Rule automatically subject to legislative review?
Yes. Cite authority:	Yes. Cite authority:
🖾 No	🖾 No
6. Notice for Proposed Rule:	
 6. Notice for Proposed Rule: Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
T Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on:	State funds affected
Consultation not required. Cite authority:	Local funds affected
N	Substantial economic impact (≥\$1,000,000)
🖾 No	Approved by OSBM
	No fiscal note required
9. REASC	ON FOR ACTION
Agency	Legislation enacted by the General Assembly
Court order / cite:	Cite Session Law:
Federal statute / cite:	Petition for rule-making
Federal regulation / cite:	Other:
9B. Explain:	
Adds accrediting organizations to item (7) and adds item (11) for outreach activities.	
10. Rulemaking Coordinator: David S. Tuttle	11. Signature of Agency Head* or Rule-making Coordinator:
4601 Six Forks Road	- a A
Suite 310	XIII
Raleigh, NC 27609	*If this function has been delegated (reassigned) pursuant to
Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org	G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same	Typed Name: David S. Tuttle
Phone:	Title: Board Counsel
E-Mail:	
RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .1705 DETERMINATION OF CREDIT	
3. Action: ADOPTION AMENDMENT 4. Rule exempt from RRC review?	READOPTION REPEAL through READOPTION 5. Rule automatically subject to legislative review?
☐ Yes. Cite authority:☑ No	□ Yes. Cite authority: □ No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	State funds affected
Consultation not required. Cite authority.	 □ Local funds affected □ Substantial economic impact (≥\$1,000,000)
🖾 No	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
Agency Court order / cite: Federal statute / cite: Federal regulation / cite:	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:
9B. Explain:	
In item (f), adds accrediting organizations.	
10. Rulemaking Coordinator: David S. Tuttle 4601 Six Forks Road Suite 310 Raleigh, NC 27609 Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org	11. Signature of Agency Head* or Rule-making Coordinator: *If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
E-Mail: RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .1707 EXEMPTIONS	
3. Action:	READOPTION REPEAL through READOPTION
4. Rule exempt from RRC review? ☐ Yes. Cite authority: ⊠ No	 5. Rule automatically subject to legislative review? Yes. Cite authority: No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
🗌 Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000) ☐ Approved by OSBM
	No fiscal note required
9. REAS	DN FOR ACTION
	_
 Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:
9B. Explain:	
9B. Explain: Allows administrative approval of requests for PDH military waivers and disability or extenuating circumstances exemptions to be administratively approved by the Executive Director, and reported to the Board for final approval.	
10. Rulemaking Coordinator: David S. Tuttle 4601 Six Forks Road Suite 310 Raleigh, NC 27609	11. Signature of Agency Head* or Rule-making Coordinator:
Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	

1. Rule-Making Agency: NC Board of Examiners for Engineers and Surveyors	
2. Rule citation & name (name not required for repeal): 21 NCAC 56 .1713 SPONSORS	
3. Action:	READOPTION REPEAL through READOPTION
 4. Rule exempt from RRC review? Yes. Cite authority: No 	 5. Rule automatically subject to legislative review? Yes. Cite authority: No
6. Notice for Proposed Rule:	
 Notice Required Notice of Text published on: August 15, 2022 Link to Agency notice: www.ncbels.org Hearing on: September 14, 2022 Adoption by Agency on: October 26, 2022 Notice not required under G.S.: Adoption by Agency on: 	
7. Rule establishes or increases a fee? (See G.S. 12-3.1)	8. Fiscal impact. Check all that apply.
□ Yes	This Rule was part of a combined analysis.
Agency submitted request for consultation on: Consultation not required. Cite authority:	 ☐ State funds affected ☐ Local funds affected ☐ Substantial economic impact (≥\$1,000,000)
	Approved by OSBM
	No fiscal note required
9. REAS	ON FOR ACTION
 Agency Court order / cite: Federal statute / cite: Federal regulation / cite: 	 Legislation enacted by the General Assembly Cite Session Law: Petition for rule-making Other:
9B. Explain:	
Allows flexibility for the Board to have sponsors but not require Board to have sponsors by changing the phrase from "The Board shall" to "The Board may."	
10. Rulemaking Coordinator: David S. Tuttle	11. Signature of Agency Head* or Rule-making Coordinator:
4601 Six Forks Road Suite 310	DIAL
Raleigh, NC 27609	VI Just
Phone: (919) 791-2000, ext. 111 E-Mail: dstuttle@ncbels.org	*If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with this form.
Additional agency contact, if any: Same Phone: E-Mail:	Typed Name: David S. Tuttle Title: Board Counsel
RRC AND OAH USE ONLY	
Action taken:	
 RRC extended period of review: RRC determined substantial changes: Withdrawn by agency Subject to Legislative Review Other: 	