

## TEMPORARY RULE-MAKING FINDINGS OF NEED

[Authority G.S. 150B-21.1]

OAH USE ONLY	1
VOLUME:	
ISSUE.	

1. Rule-Making Agency: State Board of Elections				
2. Rule citation & name: 08 NCAC 20 .0101 (Challenge to Appointment of an Observer), 08 NCAC 20 .0102 (Appeal of Removal of an Observer From a Voting Site), 08 NCAC 20 .0103 (Identification of Observers)				
3. Action: Adoption Amendment Repeal				
4. Was this an Emergency Rule: ☐ Yes ☐ No Effective date:				
5. Provide dates for the following actions as applicable:				
a. Proposed Temporary Rule submitted to OAH: November 29, 2023				
b. Proposed Temporary Rule published on the OAH website: December 1, 2023				
c. Public Hearing date: December 15, 2023				
d. Comment Period: November 29, 2023 – December 19, 2023				
e. Notice pursuant to G.S. 150B-21.1(a3)(2): November 29, 2023				
f. Adoption by agency on: January 2, 2024				
g. Proposed effective date of temporary rule [if other than effective date established by G.S. 150B- 21.1(b) and G.S. 150B-21.3]: As soon as possible (early voting starts Feb. 15, 2024)				
h. Rule approved by RRC as a permanent rule [See G.S. 150B-21.3(b2)]: N/A				
6. Reason for Temporary Action. Attach a copy of any cited law, regulation, or document necessary for the review.				
☐ A serious and unforeseen threat to the public health, safety or welfare.				
☐ The effective date of a recent act of the General Assembly or of the U.S. Congress.				
Cite: S.L. 2023-140, sec. 7(a)–(b)				
Effective date: Jan. 1, 2024  A recent change in federal or state budgetary policy.				
Effective date of change:				
☐ A recent federal regulation.				
Cite: Effective date:				
A recent court order.				
Cite order:				
State Medical Facilities Plan.				
Other: Pursuant to GS 150B-21.1(a)(11), the need for the State Board of Elections to adopt a temporary rule upon any abbreviated notice or hearing the agency finds practical for the following: To implement provisions of state law for				
which the State Board of Elections has been authorized to adopt rules, and the need for the rule to become effective immediately in order to preserve the integrity of upcoming elections and the elections process.				

Explain: With the enactment of S.L. 2023-140, the existing statute governing election observers has been completely rewritten. The current rule governing observers, 08 NCAC 20 .0101, has been rendered largely obsolete. The subjects that the current rule addresses—the appointment procedures for observers and rules governing their conduct—have been addressed in specific ways by the new observer law—G.S. 163-45.1, once codified. The new law requires the State Board to issue rules to address the following specific items: how to handle challenges to the appointment of observers brought by those who are authorized in statute to issue such challenges, how to handle appeals of those challenge decisions, how to handle appeals from a chief judge's decision to remove an observer from service, and whether to require observers to wear identification badges so that voters and poll workers can identify them and their purpose at the polls. Temporary procedures were required because there was not enough time before the start of early voting in the 2024 primary—February 15—to complete the permanent rulemaking process.

rule is required?  The State Board of Elections unanimously found the following use of temporary rulemaking procedures for these proposed rul (1) adherence to the notice and hearing requirements of permainstance, because it would mean that the rules could not go into observer rules in place and providing no rules for new challeng (2) the immediate adoption of the proposed rules is required to recently enacted by the General Assembly and which the State	nent rulemaking would be contrary to the public interest in this of effect for the next round of in-person voting, leaving obsolete ge and appeal procedures set forth in a recent session law; implement provisions addressing election observers that were Board has been authorized to adopt rules for; state Board are of practical necessity, to gather public input on the ance of voting in the 2024 primary; and
8. Rule establishes or increases a fee? (See G.S. 12-3.1)  ☐ Yes     Agency submitted request for consultation on:     Consultation not required. Cite authority:  ☑ No	
9. Rule-making Coordinator: Paul Cox, General Counsel Phone: 919-814-0717 E-Mail: paul.cox@ncsbe.gov	* If this function has been delegated (reassigned) pursuant to G.S. 143B-10(a), submit a copy of the delegation with
Agency contact, if any: Phone:	this form. Typed Name: Alan Hirsch Title: Chair
E-Mail:	E-Mail: alan.hirsch.board@ncsbe.gov
RULES REVIEW COMMISSION USE ONLY Action taken:	Submitted for RRC Review:

08 NCAC 20 .0101 is amended under temporary procedures with changes as follows:

1 2 3

## **CHAPTER 20 – ELECTION OBSERVERS**

4 5

6

7

8 9

10

11

12 13

14

15

16

17 18

19

2021

22

23

24

25

26

2728

2930

31

3233

34

35

36

## 08 NCAC 20 .0101 <u>ELECTION OBSERVERS CHALLENGE TO THE APPOINTMENT OF AN</u> OBSERVER

(a) Observer Lists. The chair of each political party in a county may designate two precinct specific observers to

attend each voting place on Election Day and each one stop site during a primary or general election in accordance with this Rule. The precinct specific observer list may include up to eight names and shall include the times that each observer shall serve. The county party chair may designate 10 additional at large observers who may attend any voting place in the county. The list of observers for one stop must designate the names of the observers who will be present on each day of early voting and, for precinct specific observers, at each one stop site. At large observers may serve at any one stop site. The chair of each State political party may designate up to 100 additional at large observers who are residents of the State who may attend any voting place in the State. (b) Submission of Lists. The county party chair shall submit a written, signed list of county at large observers to the county director of elections, with two copies provided to the chair of the county board of elections, prior to 10:00 a.m. on the fifth day prior to Election Day. The county party chair shall submit a written, signed list of the observers appointed for each precinct to the chief judge of each precinct, with two copies provided to the chair of the county board of elections, prior to 10:00 a.m. on the fifth day prior to Election Day; the list may be delivered in care of the county director of elections. The county party chair shall submit the list of observers for one stop before 10:00 a.m. on the fifth day before the observer is to observe. The list of at large observers to serve on Election Day may be amended prior to Election Day to substitute one or all of the at large observers. The list of at large observers who serve during early voting may not be amended after 10:00 a.m. on the fifth day before the at large observer is to observe. The list of precinct specific observers to serve on Election Day may not be amended after 10:00 a.m. on the fifth day prior to Election Day. The State party chair shall submit the written, signed list of State at large observers by 10:00 a.m. on the fifth day prior to Election Day to the State Board, which shall disseminate the list to the county boards of elections. The list shall include the full name of each at large observer and the county in which the observer is registered. The State Board shall confirm that each State at large observer is a registered voter of the State. Party chairs may provide the lists by facsimile or email provided the letters are signed. Scanned signatures are permissible. (c) Observers at Voting Place. No more than two precinct specific observers from each political party may be in the voting enclosure at any time. Only one at large observer from each political party may be in the voting enclosure at any time, even if no precinct specific observers are present. All observers, whether precinct specific or at large, may be relieved after serving no less than four hours; however, the total number of observers from each party cannot exceed three total observers in the voting enclosure at one time: two precinct specific observers and one county or State atlarge observer. An observer may leave the voting place without having served for four hours, but the observer cannot be replaced by a new observer until at least four hours have passed since the first observer began serving. An observer

1 who leaves the voting place for any reason may be prohibited by the chief judge from returning if the observer's return 2 would cause a disruption in the voting enclosure. 3 (d) Observer Conduct. Observers who engage in prohibited conduct after receiving a warning may be required by the chief judge to leave the voting enclosure. Prohibited activities by observers include: 4 5 Wearing or distributing campaign material or electioneering; Impeding or disrupting the voting process or speaking with voters or election assistants; 6 Interfering with the privacy of the voter, including positioning themselves in such a way that they 7 (3)8 ean view confidential voter information on poll books or laptops or standing in such a way that they 9 can view the contents of ballots inserted into a tabulator; Using an electronic device to film or take photographs inside the voting enclosure; 10 Taking photographs, videos, or recording a voter without the consent of the voter and the chief 11 12 iudge; 13 Entering the voting booth area or attempting to view voted ballots; 14 Boarding a vehicle containing curbside voters; and Providing voter assistance. 15 (8) (e) Eligibility. No person who is a candidate on the ballot in a primary or general election may serve as an observer 16 or runner in that primary or that general election. No person who serves as an observer or runner in a primary or 17 18 general election may serve as a precinct official or one stop election official in that primary or that general election. (f) Observers for unaffiliated candidates. An unaffiliated candidate or the candidate's campaign manager may appoint 19 20 two observers at each voting place as set forth in this Rule. 21 (g) The use of the term "chief judge" includes one stop site managers. 22 (a) Filing of Challenges. A county board of elections, by a majority vote and documented through a written statement 23 made by any board member, may challenge the appointment of any observer appointed to serve in that county, and a 24 chief judge of a voting place may challenge the appointment of any observer who is eligible to serve in that voting place. The challenge shall be submitted electronically or in writing to the county board of elections office before the 25 26 observer is scheduled to serve. The challenge shall contain the following information: 27 (1) the name of the observer; 28 (2) the party or unaffiliated candidate that appointed the observer; and 29 the basis for the challenge pursuant to G.S. 163-45.1(f). (3) 30 The county board of elections office shall immediately provide a copy of the challenge to the chair of the political party or candidate that appointed the observer. A notification sent within three business hours of receipt of the 31 challenge to an email address provided by the chair of the political party or by the candidate appointing the observer 32 33 is a method of providing immediate notice. 34 (b) Hearing of Challenges. Upon receiving a challenge pursuant to Paragraph (a) of this Rule, the county board of elections shall hold a hearing and decide the challenge as expeditiously as possible but no later than two days after the 35 36 challenge is filed. The chair of the county board of elections shall set the time of the hearing. The hearing may be held 37 remotely, including by telephone. The county board shall provide notice of the hearing by electronic mail or telephone

1 to the chair of the political party or the unaffiliated candidate that appointed the observer. If notice is by telephone, 2 the county board shall also provide the notice by electronic mail or text message. The notice shall inform the chair of 3 the political party or the candidate that they may waive the hearing or decline to contest the challenge, in which case 4 no hearing shall be held, and the county board may decide the challenge, as submitted, at a meeting held at the same 5 time as the noticed hearing. At the hearing, the county board may accept written or oral submissions from elections 6 officials, observers, witnesses, and other individuals with pertinent information. If a majority of board members is 7 unable to attend the meeting to consider the challenge, the chair of the county board of elections shall act on behalf of the county board of elections and decide on the challenge. The decision on the challenge shall be rendered at the 8 9 meeting for which the challenge was noticed. The decision shall be reduced to writing and served within 24 hours 10 of the same day as the meeting, either electronically or by personal service, in person, toon the chair of the political 11 party or on the candidate appointing the observer. 12 (c) Appeals on Challenges. The decision by a county board of elections on a challenge to the appointment of an 13 observer pursuant to Paragraph (b) of this Rule may be appealed by the political party or candidate that appointed the 14 observer. The county board's decision shall not be stayed pending appeal. The appeal shall be filed with the State 15 Board of Elections via email to legal@ncsbe.gov no later than two hours after the county board's decision is served. 16 The appeal shall contain an argument explaining why the county board's decision should be reversed on account of 17 one or more of the grounds listed in G.S. 150B-51(b) and shall be signed by the person filing the appeal. A copy of 18 the county board decision must be filed with the appeal. Upon receiving an appeal, the State Board shall decide the 19 appeal on the basis of the record and may permit oral argument on the appeal. The appeal shall be decided as expeditiously as possible. The executive director of the State Board may inspect any appeals filed under this Paragraph 20 21 and, within one business day after the receipt of an appeal, transmit a copy of the appeal to the State Board members along with a written statement indicating the executive director's recommendation either to grant or to deny the appeal. 22 23 The executive director's recommendation shall become final unless any State Board member raises an oral or written objection within one calendar day, in which case the full State Board shall decide the appeal at a meeting. The decision 24 25 of the State Board, Board or the executive director's recommendation if it becomes final, shall be served electronically 26 on the chair of the political party or on the candidate appointing the observer. (d) An observer who is successfully challenged pursuant to this Rule shall not be permitted to serve as an observer in 27 28 the primary or election during which they were challenged. 29 30 History Note: Authority G.S. 163-22; <del>163-45; 163-166.6; 163-166.7;</del> <u>163-22.2; 163-45.1;</u> 31 Eff. October 1, 2018; 32 Amended Eff. September 1, 2021.2021; 33 <u>Temporary Amendment Eff.</u>

08 NCAC 20 .0102 is adopted under temporary procedures with changes as follows:

## 08 NCAC 20 .0102 APPEAL OF REMOVAL OF AN OBSERVER FROM A VOTING SITE

- (a) Filing of Appeal. The decision by a chief judge to remove an observer pursuant to G.S. 163-45.1(j) may be appealed by the chair of the political party or the candidate that appointed the observer. The appeal shall be filed with the county board of elections in the county where the observer was removed. The appeal shall be submitted electronically or in writing to the county board of elections office as follows:
  - (1) If the observer was removed from an early voting site, the appeal shall be filed within one business day of when the observer was removed.
  - (2) If the observer was removed from an Election Day voting site, the appeal shall be filed within two hours of when the observer was removed.
- The appeal shall contain an argument explaining why the chief judge's decision should be reversed on account of one or more of the grounds listed in G.S. 150B-51(b). It shall state whether a hearing is requested and shall be signed by the person filing the appeal. The decision to remove an observer shall not be stayed pending appeal.
- (b) Hearing of Appeal. Pursuant to Paragraph (a) of this Rule, the <u>The</u> county board shall consider the appeal <u>appeals</u> filed <u>pursuant to Paragraph (a) of this Rule</u> as follows:
  - (1) If the observer was removed from an early voting site, the county board shall decide the appeal as expeditiously as possible but no later than two days after the appeal was received.
  - (2) If the observer was removed from an Election Day voting site, the county board shall decide the appeal as expeditiously as possible but no later than four hours after the appeal was received.
- The county board shall provide notice of the meeting at which the appeal will be decided by electronic mail or telephone to the chair of the political party or the unaffiliated candidate that appointed the observer. If notice is by telephone, the county board shall also provide the notice by email or text message. The county board's meeting may be held remotely, including by telephone. The county board may accept written or oral submissions from elections officials, observers, witnesses, and other individuals with pertinent information. If no hearing is requested, the county board may decide the appeal, based on written submissions only, at a meeting held at the same time as the noticed hearing. If a majority of board members is unable to attend the meeting to consider the appeal, the chair of the county board of elections shall act on behalf of the county board of elections and decide the appeal on his or her own. The decision shall be reduced to writing and served the same day aswithin 24 hours after the meeting, meeting for early voting, and 2 hours after the meeting on Election Day, either electronically or by personal service, in person, on to the chair of the political party or on the candidate appointing the observer.
- 32 (c) An observer who is removed from serving as an observer pursuant to G.S. 163-45.1(j) or this Rule shall not be 33 permitted to serve as an observer in the primary or election during which they were removed.

*History Note: Authority G.S.* 163-22; 163-22.2; 163-45.1;

Temporary Adoption Eff.

1 08 NCAC 20 .0103 is adopted under temporary procedures as follows: 2 3 **IDENTIFICATION OF OBSERVERS** 08 NCAC 20 .0103 4 Every appointed observer serving at a voting site pursuant to G.S. 163-45.1 shall wear an identification tag to make 5 voters and elections officials aware of the observer's role in the voting site. The tag shall read "Observer for [Name] 6 Party" or "Observer for Candidate [Name]" with the "[Name]" being the name of the political party or the candidate, 7 as applicable. 8 9 History Note: Authority G.S. 163-22; 163-22.2; 163-45.1; 10 Temporary Adoption Eff.