

G.S. 150B-21.3A Report for 11 NCAC 10, Property and Casualty Division							
Agency - Department of Insurance							
Comment Period - April 15, 2024 - June 28, 2024							
Date Submitted to APO - Filled in by RRC staff							
Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation
	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 10 .0104	REFUSAL TO INSURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .0105	MANUSCRIPT OR INDIVIDUAL RISK FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
	SECTION .0200 - RULES AND INTERPRETATIONS	11 NCAC 10 .0305	EMPLOYEES: CARS: NOT INSURED IN EMPLOYER'S FLEET POLICY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .0306	DIVIDEND PAYMENT REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .0307	NATION-WIDE MARINE DEFINITION ADOPTED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .0313	ENDORSEMENTS TO BUREAU RESIDENTIAL PROGRAMS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
	SECTION .0400 - FIRE AND CASUALTY RATING ORGANIZATIONS	11 NCAC 10 .0406	INSURER'S REPORT ON RATING ORGANIZATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No	
	SECTION .0600 - CONSENT TO RATE	11 NCAC 10 .0602	CONSENT TO RATE PROCEDURES: RATE BUREAU COVERAGES	Amended Eff. April 1, 2020	Necessary	No	
		11 NCAC 10 .0603	CONSENT TO RATE PROCEDURES: COMMERCIAL COVERAGES	Amended Eff. December 1, 2018	Necessary	No	
		11 NCAC 10 .0605	CONSENT TO RATE AUTO LIABILITY COVERAGE	Amended Eff. December 1, 2018	Necessary	No	

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	SECTION .0700 - INSURANCE IN UNLICENSED FOREIGN AND ALIEN COMPANIES	11 NCAC 10 .0701	GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .0703	APPROVAL OF INSURANCE IN NON-ADMITTED INSURANCE COMPANIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No	
		11 NCAC 10 .0713	FORM E-1	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No	
		11 NCAC 10 .0717	SURPLUS LICENSEE REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
	SECTION .1000 - LICENSING OF JOINT UNDERWRITING ORGANIZATIONS	11 NCAC 10 .1001	GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No	
		11 NCAC 10 .1002	PROCEDURE FOR APPLICATION OF NEW LICENSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1003	CHANGES IN FILED INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1004	PROHIBITED PRACTICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1006	FORM: LICENSE CERTIFICATE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No	

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		11 NCAC 10 .1007	RENEWAL LICENSE FEE FOR LICENSED ORGANIZATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
	SECTION .1100 - RATE FILINGS	11 NCAC 10 .1101	PURPOSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1102	APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1104	NONFLEET PRIVATE PASSENGER AUTOMOBILE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1105	OTHER RATE BUREAU LINES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1106	DEVIATIONS FROM RATES OF THE NORTH CAROLINA RATE BUREAU	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1107	COMMERCIAL LINES QUESTIONNAIRE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1108	NONESSENTIAL LINES ADDITIONAL DATA	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1109	RATING ORGANIZATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	

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		11 NCAC 10 .1110	WORKERS' COMPENSATION LOSS COSTS QUESTIONNAIRE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1111	WORKERS' COMPENSATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1112	ACTUARIAL CERTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1113	REFERENCE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1114	TRANSMITTAL HEADER	Amended Eff. January 1, 2018	Necessary	No	
	SECTION .1200 - FORMS FILINGS	11 NCAC 10 .1201	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1202	REFERENCE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1204	PROHIBITED POLICY PROVISIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1205	ESSENTIAL LINES - N.C. RATE BUREAU (BUREAU)	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1206	COMMERCIAL LINES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	

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		11 NCAC 10 .1207	FORM(S) QUESTIONNAIRE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1208	HEALTH CARE PROVIDER DEFINED; CGL POLICIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1209	TRANSMITTAL HEADER	Amended Eff. January 1, 2018	Necessary	No	
	SECTION .1300 - NORTH CAROLINA JOINT UNDERWRITING ASSOCIATION	11 NCAC 10 .1301	RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1302	CHANGE TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1303	LOSS COST RATE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
	SECTION .1400 - NORTH CAROLINA INSURANCE UNDERWRITING ASSOCIATION	11 NCAC 10 .1401	RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1402	CHANGES TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1403	LOSS COST RATE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	

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	SECTION .1600 - PROSPECTIVE LOSS COSTS FILINGS	11 NCAC 10 .1601	PURPOSE; SCOPE; APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1602	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1603	RATE AND LOSS COSTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1604	SUPPLEMENTARY RATING INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
	SECTION .1700 - LICENSING OF STATISTICAL ORGANIZATIONS	11 NCAC 10 .1701	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	
		11 NCAC 10 .1702	PROCEDURE FOR APPLICATION OF NEW LICENSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No	