

G.S. 150B-21.3A Report for 11 NCAC 10, Property and Casualty Division												
Agency - Department of Insurance												
Comment Period - April 15, 2024 - June 28, 2024												
Date Submitted to APO - Filled in by RRC staff												
Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
	SECTION .0100 GENERAL PROVISIONS	11 NCAC 10 .0104	REFUSAL TO INSURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .0105	MANUSCRIPT OR INDIVIDUAL RISK FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .0200 RULES AND INTERPRETATIONS	11 NCAC 10 .0305	EMPLOYEES: CARS: NOT INSURED IN EMPLOYER'S FLEET POLICY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .0306	DIVIDEND PAYMENT REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .0307	NATION-WIDE MARINE DEFINITION ADOPTED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .0313	ENDORSEMENTS TO BUREAU RESIDENTIAL PROGRAMS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .0400 FIRE AND CASUALTY RATING ORGANIZATIONS	11 NCAC 10 .0406	INSURER'S REPORT ON RATING ORGANIZATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No		No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	SECTION .0600 CONSENT TO RATE	11 NCAC 10 .0602	CONSENT TO RATE PROCEDURES: RATE BUREAU COVERAGES	Amended Eff. April 1, 2020	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .0603	CONSENT TO RATE PROCEDURES: COMMERCIAL COVERAGES	Amended Eff. December 1, 2018	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .0605	CONSENT TO RATE AUTO LIABILITY COVERAGE	Amended Eff. December 1, 2018	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .0700 INSURANCE IN UNLICENSED FOREIGN AND ALIEN COMPANIES	11 NCAC 10 .0701	GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .0703	APPROVAL OF INSURANCE IN NON- ADMITTED INSURANCE COMPANIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No		No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
		11 NCAC 10 .0713	FORM E 1	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No		No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
		11 NCAC 10 .0717	SURPLUS LICENSEE REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .1000 LICENSING OF JOINT UNDERWRITING ORGANIZATIONS	11 NCAC 10 .1001	GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No		No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
		11 NCAC 10 .1002	PROCEDURE FOR APPLICATION OF NEW LICENSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1003	CHANGES IN FILED INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1004	PROHIBITED PRACTICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1006	FORM: LICENSE CERTIFICATE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Unnecessary	No		No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code

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		11 NCAC 10 .1007	RENEWAL LICENSE FEE FOR LICENSED ORGANIZATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .1100 RATE FILINGS	11 NCAC 10 .1101	PURPOSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1102	APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1104	NONFLEET PRIVATE PASSENGER AUTOMOBILE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1105	OTHER RATE BUREAU LINES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1106	DEVIATIONS FROM RATES OF THE NORTH CAROLINA RATE BUREAU	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1107	COMMERCIAL LINES QUESTIONNAIRE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1108	NONESSENTIAL LINES ADDITIONAL DATA	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1109	RATING ORGANIZATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1110	WORKERS' COMPENSATION LOSS COSTS QUESTIONNAIRE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1111	WORKERS' COMPENSATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1112	ACTUARIAL CERTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1113	REFERENCE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1114	TRANSMITTAL HEADER	Amended Eff. January 1, 2018	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .1200 FORMS FILINGS	11 NCAC 10 .1201	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1202	REFERENCE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1204	PROHIBITED POLICY PROVISIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1205	ESSENTIAL LINES - N.C. RATE BUREAU (BUREAU)	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1206	COMMERCIAL LINES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt

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		11 NCAC 10 .1207	FORM(S) QUESTIONNAIRE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1208	HEALTH CARE PROVIDER DEFINED; CGL POLICIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1209	TRANSMITTAL HEADER	Amended Eff. January 1, 2018	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .1300 NORTH CAROLINA JOINT UNDERWRITING ASSOCIATION	11 NCAC 10 .1301	RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1302	CHANGE TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1303	LOSS COST RATE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .1400 NORTH CAROLINA INSURANCE UNDERWRITING ASSOCIATION	11 NCAC 10 .1401	RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1402	CHANGES TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1403	LOSS COST RATE FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .1600 PROSPECTIVE LOSS COSTS FILINGS	11 NCAC 10 .1601	PURPOSE; SCOPE; APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1602	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1603	RATE AND LOSS COSTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1604	SUPPLEMENTARY RATING INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .1700 - LICENSING OF STATISTICAL ORGANIZATIONS	11 NCAC 10 .1701	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 10 .1702	PROCEDURE FOR APPLICATION OF NEW LICENSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 3, 2017	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt