

G.S. 150B-21.3A Report for 11 NCAC 06, AGENT SERVICES DIVISION

Agency - Department of Insurance

Comment Period - December 8, 2025 to February 13, 2026

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
SUBCHAPTER 06A - AGENT SERVICES DIVISION	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 06A .0101	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0200 - DESCRIPTION OF FORMS	11 NCAC 06A .0201	GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0210	N.C. RESIDENT BROKER'S INSURANCE BOND	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Unnecessary	No		No	Unnecessary
		11 NCAC 06A .0212	LICENSES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0219	APPLICATION TO DETERMINE ELIGIBILITY FOR DESIGNATED AGENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0220	DESIGNATED AGENT BOND	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0237	FOREIGN MILITARY SALES AGENT LICENSE APPLICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0300 - EXAMINATIONS	11 NCAC 06A .0302	EXAMINATIONS – SPECIAL ACCOMMODATIONS (ADA)	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0303	SCHEDULE OF EXAMINATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0304	RESPONSIBILITY OF APPLICANT AT EXAMINATION SITE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0305	ADMINISTRATION OF EXAMINATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0400 - LICENSING PROCEDURES	11 NCAC 06A .0402	LICENSING OF RESIDENT AGENT, LTD REPRESENTATIVE AND ADJUSTER	Amended Eff. August 1, 2021	Necessary	No		No	Necessary
		11 NCAC 06A .0404	LICENSING OF BROKER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Unnecessary	No		No	Unnecessary

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		11 NCAC 06A .0412	APPOINTMENT OF AGENT: RESPONSIBILITY OF COMPANY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0416	LICENSING OF RENTAL CAR COMPANIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0417	REQUIREMENTS FOR PRE NEED LIMITED REP. AGENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0418	FINGERPRINTS REQUIRED FOR CRIMINAL RECORD CHECKS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0500 - RENEWAL AND CANCELLATION OF LICENSES	11 NCAC 06A .0501	RENEWAL OF AGENT APPTS: LICENSES/LIMITED REPS	Amended Eff. August 1, 2021	Necessary	No		No	Necessary
		11 NCAC 06A .0504	FAILURE TO RENEW LICENSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0505	TERMINATION OF APPOINTMENTS FOR LIMITED REPS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0506	CANCELLATION OF LICENSES ISSUED TO INDIVIDUALS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0600 - DENIAL OF LICENSE	11 NCAC 06A .0602	COURT RECORDS AND AFFIDAVITS REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0603	EVALUATION OF RECORDS AND AFFIDAVITS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0700 - PRELICENSING EDUCATION	11 NCAC 06A .0701	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0702	PRELICENSING EDUCATION SCHOOLS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0703	PROGRAM DIRECTORS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary

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		11 NCAC 06A .0704	COURSES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0705	INSTRUCTORS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0800 - CONTINUING EDUCATION	11 NCAC 06A .0801	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0802	LICENSEE REQUIREMENTS	Amended Eff. November 1, 2017	Necessary	No		No	Necessary
		11 NCAC 06A .0803	COURSES SPECIFICALLY APPROVED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0804	CARRYOVER CREDIT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0805	CALCULATION OF ICECS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0807	HARDSHIP	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0808	INSTRUCTOR QUALIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0809	APPROVAL OF COURSES	Amended Eff. July 1, 2017	Necessary	No		No	Necessary
		11 NCAC 06A .0810	ADVERTISING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0811	SANCTIONS FOR NONCOMPLIANCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0812	SPECIAL CASES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
		11 NCAC 06A .0813	ISSUANCE/CONTINUATION OF PROVIDER APPROVAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary

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		11 NCAC 06A .0814	AGENT EDUCATION ON LTCP POLICIES: COMPANY RESPONSIBILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. June 25, 2016	Necessary	No		No	Necessary
	SECTION .0900 – PUBLIC ADJUSTERS	11 NCAC 06A .0906	FINGERPRINTS REQUIRED FOR CRIMINAL RECORD CHECKS	Eff. October 1, 2010	Necessary	No		No	Necessary
	SECTION .1000 - MOTOR VEHICLE DAMAGE APPRAISERS	11 NCAC 06A .1001	DEFINITIONS	Eff. April 1, 2020	Necessary	No		No	Necessary
		11 NCAC 06A .1002	ETHICAL STANDARDS	Eff, April 1, 2020	Necessary	No		No	Necessary