

G.S. 150B-21.3A Report for 11 NCAC 01, DEPARTMENTAL RULES

Agency - Department of Insurance

Comment Period - September 16, 2024- November 22, 2024

Date Submitted to APO - January 31, 2025

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 01 .0103	LOCATION AND MAILING ADDRESS	Amended Eff. February 1, 2024	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0107	PUBLIC INFORMATION AND DEPARTMENTAL RECORDS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0108	DEFINITIONS AND RULES OF CONSTRUCTION FOR THIS TITLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .0200 - DEPARTMENTAL RULES	11 NCAC 01 .0201	LOCATION OF AND INSPECTION OF DEPARTMENTAL RULES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0204	NOTICE OF RULE-MAKING HEARINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0205	RULE-MAKING HEARINGS: GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0209	INSTRUCTIONS FOR FILING A PETITION FOR RULE-MAKING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .0300 - DECLARATORY RULINGS	11 NCAC 01 .0301	DECLARATORY RULINGS: GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0302	PETITION FOR DECLARATORY RULING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0303	RESPONSE OF COMMISSIONER TO PETITION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .0400 - ADMINISTRATIVE HEARINGS	11 NCAC 01 .0401	RIGHT TO HEARING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0403	REQUEST FOR HEARING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0413	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0414	GENERAL PROVISIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0415	ORDER FOR PREHEARING STATEMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0416	DUTIES OF THE HEARING OFFICER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0417	CONSENT ORDER; SETTLEMENT; STIPULATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0418	SETTLEMENT CONFERENCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0419	PREHEARING CONFERENCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0420	CONSOLIDATION OF CASES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt

G.S. 150B-21.3A Report for 11 NCAC 01, DEPARTMENTAL RULES

Agency - Department of Insurance

Comment Period - September 16, 2024- November 22, 2024

Date Submitted to APO - January 31, 2025

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
		11 NCAC 01 .0421	DISCOVERY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0422	SUBPOENAS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0423	SANCTIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0424	MOTIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0425	INTERVENTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0426	CONTINUANCES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0427	RIGHTS AND RESPONSIBILITIES OF PARTIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0428	WITNESSES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0429	EVIDENCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0430	OFFICIAL RECORD	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
	SECTION .0600 - GENERAL DEPARTMENTAL POLICIES	11 NCAC 01 .0601	COMMUNICATIONS WITH INSURANCE COMPANIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt
		11 NCAC 01 .0602	'INSURANCE COMPANIES' RESPONSE TO DEPARTMENTAL INQUIRIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No		No	Necessary	No comments with merit	Necessary and must be readopted	Agency must readopt