AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0108

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Where is your statutory authority to assess a fee for licensure by reciprocity? 83A-4 allows a fee for licensure by exam, but 83A-7 says that licensure by reciprocity may be without exam. And even if the fee were for licensure by reciprocity following exam, the most you could charge would be \$100 to an individual and \$150 to a firm.

Where is your statutory authority to charge more than \$100 for individuals and \$150 for firms for the initial registration as an interior designer? Why are you able to charge a NCIDQ certified individual \$155?

1 2	21 NCAC 02 .0108 is amended as published in 38:10 NCR 621-636 as follows:	
3	21 NCAC 02 .0108 FEES	
4	The fees required by the Board are set forth below:	
5		
6	Initial License to Practice Architecture:	
7	By Exam	<u>\$50.00</u> <u>\$55.00</u>
8	By Reciprocity	<u>\$150.00</u> \$155.00
9	Architecture Firm License	\$75.00 <u>\$100.00</u>
10		
11	Annual License to Practice Architecture Renewal:	
12	Individual	<u>\$50.00</u> <u>\$55.00</u>
13	Firm	<u>\$100.00</u> <u>\$125.00</u>
14		
15	Late Renewal Penalty for Individual Architects and Firms:	
16	Up-to-30 days	\$50.00 <u>\$55.00</u>
17	30 days to 1 year	<u>\$100.00</u> \$110.00
18		
19	Reinstatement of Expired License:	
20	Individual Architect	<u>\$250.00</u> <u>\$260.00</u>
21	Architecture Firm	<u>\$250.00</u> \$300.00
22		
23	Initial Registration to Practice Interior Design:	
24	By ReciprocityFor NCIDQ Certified Individual	<u>\$150.00</u> <u>\$155.00</u>
25	Firm Registration	\$75.00 <u>\$100.00</u>
26	Addition of Interior Design Firm Registration for Currently Licensed Architecture Firms	\$50.00
27		
28	Annual Registration to Practice Interior Design Renewal:	
29	Individual	<u>\$50.00</u> <u>\$55.00</u>
30	Firm	<u>\$100.00</u> <u>\$125.00</u>
31		
32	Late Renewal Penalty for Interior Designers and Interior Design Firms:	
33	Up-to-30 days	<u>\$50.00</u> <u>\$55.00</u>
34	30 days to 1 year	<u>\$100.00</u> <u>\$110.00</u>
35		
36	Reinstatement of Expired Registration	
37	Interior Designer Individual	<u>\$250.00</u> <u>\$260.00</u>

1	Interior Design	Firm	<u>\$250.00</u> \$300.00
2			
3	All fees paid to	the Board are non-refundable.	
4			
5	History Note:	Authority G.S. 55B-10; 83A-4; 83A-11;	
6		Eff. February 1, 1976;	
7		Readopted Eff. September 29, 1977;	
8		Amended Eff. July 1, 2014; December 1, 2010; June 1, 1995; December 1	l, 1992; May 1, 1991; May
9		1, 1989; July 1, 1987;	
10		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive publ	ic interest Eff. January 13,
11		2015;	
12		Amended Eff. November 1, 2017;	
13		Temporary Amendment Eff. November 30, 2021;	
14		Amended Eff. <u>April 1, 2024;</u> June 1, 2022.	
15			
16			

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0201

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (b)(3), line 18, define "controversy".

Also in (b)(3), line 20, did you mean to omit the date of the filing of the action, charge, or controversy? Same for (d)(3), on p.2, line 8.

In (d)(1) and (3), p.2, lines 1 and 5, can a firm be charged or convicted of a criminal act?

In your History Note, please move the proposed effective date to the last line.

2 3 SECTION 0200 - PRACTICE OF ARCHITECTURE 4 2 4 CONTACT INFORMATION AS ON FILE WITH THE BOARD 6 CONTACT INFORMATION AS ON FILE WITH THE BOARD 7 (a) Every individual licensee and registrant shall keep the Board advised of his or her preferred current contact information includes a physical mailing address, email, phone numbers, and the name of the firm or partnership where he or she is employed. Every individual licensee and registrant shall notify the Board in writing of all changes to contact information within 30 days of such changes. (b) The licensee or registrant shall give notice in writing to the Board of the following within 30 days of the adverse action set forth below: 13 (1) the filing of any criminal charges against the licensee or registrant for a felony or misdemeanor under any laws and, and, if so, the jurisdiction, charge, case number, and date of each such charge; 16 (2) any conviction of, or plea of nolo contendere by, the licensee or registrant for a felony or misdemeanor under any laws and, and, if so, the jurisdiction, charge, and case number of each such charge; 17 such criminal conviction; 18 (3) the filing of any disciplinary action, charges, or controversy against the licensee or registrant before any court, board, agency, or professional organization for unprofessional conduct, dishonest or finadulent practice, or incompetent practice and, if so, the jurisdiction, charge, and case number of each such bene filed or entered supainst the licensee or registrant is guilty of unprofessional con	1	21 NCAC 02 .0201 is amended as published in 38.10 NCR 621-636 as follows:	
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35 the firm.	34		
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36 (d) Each licensed or registered firm shall give notice in writing to the Board of the following within 30 days of the			the

37 adverse action set forth below:

	· · ·	the filing of any criminal charges against the firm or any of its owners and, if so, the jurisdiction,
2		charge, and case number of each such charge;
3	(2)	any conviction of, or plea of nolo contendere by, the firm or any of its owners for a felony or
4		misdemeanor under any laws and, and, if so, the jurisdiction, charge, case number, and date of each
5		such criminal conviction;
6	(3)	the filing of any disciplinary action, charges, or controversy against the firm or any of its owners
7		before any court, board, agency, or professional organization for unprofessional conduct, dishonest
8		or fraudulent practice, or incompetent practice and, if so, the jurisdiction, charge, and case number
9		of each such pending action;
10	<u>(4)</u>	the finding by any court, board, agency, or professional organization that the firm or any of its
11		owners is guilty of unprofessional conduct, dishonest or fraudulent practice, or incompetent
12		practice and, if so, the jurisdiction, charge, case number, and date of such adverse action;
13	<u>(5)</u>	any denial, limitation, reprimand, suspension, or revocation taken against the firm's credentials or
14		that of any of its owners, to include a statement providing the reason for the adverse action; the date
15		and jurisdiction in which the adverse action occurred; the terms of the adverse action imposed; and
16		whether the terms of the adverse action have been satisfied; and
17	<u>(6)</u>	whether any liens or judgments have been filed or entered against the firm or any of its owners and,
18		if so, the jurisdiction, date, and parties involved with such lien or judgment.
19		
20	History Note:	Authority G.S. 83A-5; 83A-6;
21		Eff. February 1, 1976;
22		Readopted Eff. September 29, 1977;
23		Amended Eff. <u>April 1, 2024</u> ; November 1, 2010; June 1, 1995;
24		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,
25		2015;
26		Temporary Amendment Eff. November 30, 2021;
27		Amended Eff. June 1, 2022.
28		

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0203

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (2), line 20, add an oxford comma following "engineers".

In (4), line 26, add quotations around the second instance of "Responible Control".

In (4), line 28, what are the "instruments of service"? I did not see that term elsewhere in these Rules.

In (4), line 29, what is the standard of care? Is it found in (3)? If so, consider a cross-reference.

21 NCAC 02 .0203 is amended as published 38.10 NCR 621-636 as follows:

3 21 NCAC 02 .0203 **RULES OF PROFESSIONAL CONDUCT**

4 All persons licensed or registered under the provisions of Chapter 83A of the North Carolina General Statutes are 5 charged with having knowledge of the rules of this Chapter and are deemed to be familiar with their provisions and to 6 understand them. Each licensed or registered person and entity shall sign a statement on the renewal notice affirming 7 understanding of the laws and rules.

- 8 (1)Licensees and registrants shall conduct their practice in order to safeguard life, health and property 9 as provided in G.S. 83A-12. The licensee or registrant shall always recognize the primary obligation 10 to protect the public in the performance of the professional duties. If the licensee or registrant's 11 professional judgment is overruled under circumstances where the licensee or registrant, in their 12 professional judgment, believes health, safety, and welfare of the public are endangered, the licensee 13 or registrant shall inform the employer, the client, the contractor, other affected parties, and any 14 appropriate regulatory agency of the possible consequences of the situation.
- 15 (2)In designing a project, the licensee or registrant shall consider all applicable federal, State and 16 municipal building laws and rules. A licensee or registrant shall undertake to perform professional 17 services only when they, together with those whom the licensee or registrant may engage as 18 consultants, are qualified by education, training and experience in the specific technical areas 19 involved. While a licensee or registrant may rely on the advice of other professionals such as 20 attorneys, engineers or other qualified persons as to the intent and meaning of such laws and rules, 21 once having obtained advice, a licensee or registrant shall not design a project in violation of laws 22 and rules.
- 23 (3)In practicing architecture or interior design, the licensee or registrant shall act with reasonable care 24 and competence and shall apply the technical knowledge and skill which is ordinarily applied by 25 architects or interior designers of good standing.
- 26 (4)Responsible Control. Responsible Control means responsibility for exercising the ultimate authority 27 over, and possessing the knowledge and ability to oversee, delegate, and integrate the design and 28 technical decisions related to the preparation of the project's instruments of service and the project's 29 implementation in conformance with the standard of care. No architect or registered interior 30 designer shall affix his or her seal and signature to contract documents developed by others not 31 under the architect's or registered interior designer's responsible control. "Responsible control" means that amount of control over and professional knowledge of the content of technical 32 33 submissions during their preparation as is exercised by an architect or registered interior designer 34 applying the required professional standard of care, including: 35
 - (a) dissemination of programmatic requirements;
- 36 ongoing coordination and correlation of services with other aspects of the total design of (b) 37 the project;

	(c) verification with consultant that owner's requirements are being met;
	(d) authority over the services of those who assisted in the preparation of the documents;
	(e) assumption of responsibility for the services;
	(f) incorporation of services and technical submissions into design documents to be issued for
	permitting purposes; and
	(g) incorporation and integration of information from manufacturers, suppliers, installers, the
	architect's or registered interior designer's consultants, owners, contractors, or other
	sources the architect or registered interior designer knows to be reliable that is incidental
	to and intended to be incorporated into the architect's or registered interior designer's
	technical submissions if the architect or registered interior designer has coordinated and
	reviewed such information.
(5)	An architect or registered interior designer shall not deliberately make a false statement or
	deliberately fail to disclose a fact requested in connection with their application for license or
	registration renewal.
(6)	An architect or registered interior designer shall not assist in the application for licensure or
	registration of a person known by the architect or registered interior designer to be unqualified with
	respect to education, training, experience, or character.
(7)	An architect or registered interior designer shall issue public statements only in an unbiased and
	truthful manner and:
	(a) shall be objective and truthful in all professional reports, statements, or testimony. The
	architect or registered interior designer shall include all relevant and pertinent information
	in such reports, statements or testimony;
	(b) when serving as an expert or technical witness before any court, commission, or other
	tribunal, shall express an opinion only when it is founded upon knowledge of the facts at
	issue, upon a background of technical competence in the subject matter, and of the accuracy
	and propriety of the individual's testimony;
	(c) shall issue no statements, criticisms, or arguments on architectural or interior design
	matters connected with public policy which are inspired or paid for by an interested party,
	or parties, unless the architect or registered interior designer has prefaced the comment by
	explicitly identifying their name, by disclosing the identities of the party or parties on
	whose behalf the architect or registered interior designer is speaking, and by revealing the
	existence of any pecuniary interest the architect or registered interior designer may have in
	the matters; and
	(d) shall not attempt to harm the professional reputation, prospects, practice, or employment
	of another architect or registered interior designer, nor indiscriminately criticize another
	architect's or registered interior designer's work. Indiscriminate criticism is a statement
	without basis or cause or that is not objective and truthful or that fails to include all factual
	(6)

1			information. If the architect or registered interior designer believes that another architect
2			or registered interior designer is in violation of G.S. 83A or the Rules of this Chapter, such
3			information shall be presented to the North Carolina Board of Architecture and Registered
4			Interior Designers in writing.
5	(8)	An arch	itect or registered interior designer shall avoid conflicts of interest and:
6		(a)	shall inform the employer or client, and any reviewing agency, of any business association,
7			interests, or circumstances that attempts to influence the judgment or the quality of services
8			of the architect or registered interior designer. If, in the course of their work on a project,
9			an architect or registered interior designer becomes aware of a decision taken by their
10			employer or client, against their advice, which violates applicable State or municipal
11			building laws or federal regulations and which will, in their judgment, affect adversely the
12			safety to the public of the finished project, the architect or registered interior designer shall:
13			(i) report the decision to the local building inspector or other public official charged
14			with the enforcement of the applicable State or municipal building laws and
15			regulations;
16			(ii) refuse to consent to the decision;
17			(iii) in circumstances where the architect or registered interior designer reasonably
18			believes that other such decisions will be taken notwithstanding his or her
19			objection, terminate their services with reference to the project; and
20			(iv) in the case of termination in accordance with clause in Sub-Item (a)(iii) of this
21			Rule, the architect or registered interior designer shall have no liability to his or
22			her client or employer on account of such termination.
23		(b)	shall not accept compensation, financial or otherwise, from more than one party for
24			services on the same project, or for services pertaining to the same project, unless the
25			circumstances are disclosed to, and agreed to, in writing, by all interested parties;
26		(c)	shall not solicit or accept financial or other valuable considerations from material,
27			furniture, fixtures, or equipment suppliers for specifying their products unless disclosed to
28			the client;
29		(d)	shall not pay or offer to pay, a commission, political contribution, gift, or other
30			consideration in order to secure work. Gifts of nominal value including entertainment and
31			hospitality are permitted;
32		(e)	when in public service as a member, advisor, or employee of a governmental body or
33			department, shall not participate in considerations or actions with respect to services
34			provided by the licensee or registrant or the licensee's or registrant's firm in private
35			architectural or registered interior design practices;
36		(f)	shall not engage in any false, deceptive, fraudulent, or misleading advertising;

1		(g)	shall not attempt to supplant another architect or registered interior designer on a specific
2			project after becoming aware that the other has been selected for the employment;
3		(h)	when acting as the interpreter of building contract documents and the judge of contract
4			performance, an architect or interior designer shall render decisions in an impartial manner;
5		(j)	if an architect or registered interior designer has any business association or financial
6			interest which influences their judgment in connection with the performance of
7			professional services, they shall disclose in writing to their client or employer the nature of
8			the business association or financial interest, and if the client or employer objects to such
9			association or financial interest, they will either terminate such association or interest or
10			offer to give up the commission or employment;
11		(k)	an architect or registered interior designer making public statements on architectural or
12			interior design questions shall disclose when they are being compensated for making such
13			statements.
14	(9)	A licens	see or registrant shall solicit or accept work on the basis of qualifications and:
15		(a)	shall not offer to pay any commission, political contribution, gift, or other consideration in
16			order to secure work, exclusive of securing salaried positions through employment
17			agencies;
18		(b)	shall not solicit or submit proposals for professional services containing a false, fraudulent,
19			misleading, or deceptive statement or claim regarding the cost, quality, or extent of services
20			to be rendered;
21		(c)	shall, with regard to fee bidding on public projects, comply with, and not knowingly
22			cooperate in any violation of the provisions of G.S.143-64.31(a), (a1), (e), and (f) for state
23			projects and, with the Brooks Act, 40 U.S.C. 541 et seq. for federal projects; and
24		(d)	shall not falsify or permit misrepresentation of academic or professional qualifications and
25			shall only report educational qualifications when a degree or certificate was awarded unless
26			it is stated that no degree or certificate was awarded; and
27		(e)	shall represent to a prospective or existing client or employer their qualifications and the
28			scope of their responsibility in connection with work for which they are claiming credit.
29			Misrepresentation shall be found if any of the following is not complied with:
30			(i) Each licensee or registrant shall state their prior professional experience and the
31			firm they are representing while presenting qualifications to all prospective
32			clients. If the licensee or registrant uses visual representations of prior projects or
33			experience, all designers or architects of record shall be identified.
34			(ii) An architect or registered interior designer who has been an employee of another
35			firm may not claim credit for projects contracted for in the name of the previous
36			employer. They shall indicate, next to the listing for each project, that individual
37			experience gained in connection with the project was acquired as an employee

1		and identify the previous firm. The architect or registered interior designer shall
2		also describe the nature and extent of their participation in the project.
3		(iii) An architect or registered interior designer who presents a project that has
4		received awards or public recognition shall comply with the requirements in this
5		Sub-Item with regard to project presentation to the public and prospective clients.
6		(iv) Projects that remain unconstructed and are listed as credits in presentation items
7		shall be listed as "unbuilt" or a similar designation, as determined by the architect
8		or registered interior designer.
9	(10)	A licensee or registrant shall perform services in compliance with all of the provisions of this
10		Chapter and any federal, State, and municipal laws or regulations that apply and:
11		(a) shall not knowingly associate with or permit the use of the licensee's or registrant's name
12		or firm name in a business venture by any person or firm which the licensee or registrant
13		knows, or has reason to believe, is engaging in business or professional practices of a
14		fraudulent or dishonest nature or is not licensed or registered;
15		(b) if the licensee or registrant has knowledge or reason to believe that another person or firm
16		may be in violation of the rules of this Chapter or of the North Carolina Architectural and
17		Registered Interior Design Practice Act (G.S. 83A), they shall present such information to
18		the Board in writing and shall cooperate with the Board in furnishing further information
19		or assistance as may be required by the Board.
20		(c) An architect or registered interior designer shall cooperate with the Board in connection
21		with any inquiry it shall make. Cooperation includes responding to all inquiries from the
22		Board or its representative and claiming correspondence from the U.S. Postal Service, or
23		other delivery service, sent to the licensee or registrant from the Board in a timely manner.
24		The Board shall utilize electronic mail as its primary method of communication with
25		licensees and registrants. "Timely" is defined as within the time specified in the
26		correspondence, or if no time is specified, within 15 business days of receipt.
27	(11)	An architect or registered interior designer who has received a reprimand or civil penalty or whose
28		professional license or registration is revoked, suspended, denied, refused renewal, refused
29		reinstatement, put on probation, restricted, or surrendered as a result of disciplinary action by
30		another jurisdiction is subject to discipline by the Board if the licensee's or registrant's action
31		constitutes a violation of G.S. 83A or the rules of this Chapter adopted by the Board.
32	(12)	In addition to the grounds stated in G.S. 83A-14 and G.S. 83A-15(3), the following acts or omissions
33		may be deemed to be "unprofessional conduct" and to be cause for the levy of a civil penalty or for
34		denial, suspension, or revocation of a license or registration or firm certificate of licensure or
35		registration to practice architecture or registered interior design:

1		(a)	An arc	chitect or registered interior designer shall not, in the conduct of their professional
2			practic	e, knowingly violate any State or federal criminal law. A criminal conviction shall
3			be dee	med prima facie evidence of knowingly violating the law.
4		(b)	Evasio	on of professional duties.
5			(i)	An architect or registered interior designer shall not, through employment by
6				contractors whether or not the contractors are licensed under G.S. 87, or by
7				another individual or entity not holding an individual or firm registration from the
8				Board, enable the employer to offer or perform architectural services or registered
9				interior design services. In design/build arrangements, the architect or registered
10				interior designer shall not be an employee of a person or firm not holding a license
11				to practice architecture or registered interior design in North Carolina.
12			(ii)	An architect or registered interior designer shall not furnish limited services in
13				such manner as to enable owners, draftsmen, or others to evade the public health
14				and safety requirements of Chapter 83A, G.S. 133-2, G.S 153A, G.S. 153A-357,
15				G.S. 160A-412, or G.S. 160A-417.
16			(iii)	When building plans are begun or contracted for by persons not licensed or
17				registered and qualified, an architect or registered interior designer shall not take
18				over, review, revise, or sign or seal such drawings or revisions thereof for such
19				persons or do any act to enable either persons or the project owners to evade the
20				requirements of Chapter 83A, G.S. 133-2, G.S. 153A-357, or G.S. 160A-417.
21		(c)	It is ur	professional conduct for an architect or registered interior designer to be found by a
22			court t	o have infringed upon the copyrighted works of other architects, registered interior
23			design	ers or other design professionals.
24	(13)	An arc	hitect, re	gistered interior designer or firm shall not maintain or represent by sign, listing, or
25		other r	nanner t	hat they have a physical presence in North Carolina unless such office employs a
26		license	d archite	ct or registered interior designer who is a resident in North Carolina whose principal
27		place o	of busines	ss takes place in that office. This item does not apply to on-site project offices during
28		constru	uction of	a project.
29	(14)	An are	chitect o	r registered interior designer shall not knowingly continue to offer or render
30		archite	ctural or	registered interior design services as set forth in G.S. 83A after their license or
31		registra	ation exp	ires, is placed on delinquent status, is revoked, or suspended for failure to renew.
32	(15)	Archite	ects or re	egistered interior designers preparing plans for building permits shall submit plans
33		that are	e comple	te and buildable. Such plans shall conform with the State Building Code and local
34		plan s	ubmissic	n requirements. Professional judgment shall be exercised to reflect sufficient
35		docum	entation	necessary for plan approval. Provided, however, this Rule does not alter any standard
36		of liab	ility appl	icable to licensees or registrants.
37				

1	History Note:	Authority G.S. 83A-6; 83A-14; 83A-15; 83A-16;
2		Eff. February 1, 1976;
3		Readopted Eff. September 29, 1977;
4		Amended Eff. May 1, 1989; November 1, 1979;
5		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,
6		2015;
7		Temporary Amendment Eff. November 30, 2021;
8		Amended Eff. <u>April 1, 2024</u> ; June 1, 2022.
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AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0206

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (d), p.4, line 20, is there a requirement that documents be locked? The Rule requires that the document be sealed and in this case, electronically signed, but I don't see anything requiring that the document be locked, presumably from anyone else making changes.

In (d), line 20, what is an entity?

In (d), line 21, what constitutes an "effective" alteration?

In (d), line 21, omit the parentheses and incorporate the parenthetical information into the body of the Rule.

1 21 NCAC 02 .0206 is amended as published in 38.10 NCR 621-636 as fol
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321 NCAC 02.0206REQUIREMENT FOR AND USE OF PROFESSIONAL SEAL BY AN4ARCHITECT OR REGISTERED INTERIOR DESIGNER

(a) An architect shall seal his or her work whether or not the work is for an exempt project as defined in G.S. 83A13. An architect shall not sign nor seal drawings, specifications, reports, or other professional work that were not
prepared by the architect or under his or her responsible control. Documents shall be sealed as follows:

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(1) An architect may seal those portions of the professional work that:

- (A) were prepared by or under the responsible control of persons who are licensed architects in this State if the architect has reviewed in whole or in part such portions and has either coordinated their preparation or integrated them into his or her work; and
- 12 (B) are not required by law to be prepared by or under the responsible control of an architect if 13 the architect has reviewed and adopted in whole or in part such portions and has integrated 14 them into his or her work.
- 15 (2) A registered interior designer may seal those portions of the professional work that were prepared 16 by or under the responsible control of persons who are registered interior designers in this State if 17 the registered interior designer has reviewed in whole or in part such portions and has either 18 coordinated their preparation or integrated them into their work
- 19 (3) Individual Architect Seal Design shall be as follows:
- 20(A)The seal may be a rubber stamp, embossed seal, computer-generated seal, or other21facsimile that becomes a permanent addition to original paper drawings or sets of22specifications for use in this State. For the purposes of this Rule, the term "for use in this23State" means drawings and sets of specifications prepared for bidding, procurement,24permitting, or for construction. For purposes of this Rule, "original" means the version of25drawings and sets of specifications from which all paper copies can be made.
- (B) The standard design of the seal shall be two concentric circles in which "North Carolina"
 and the name of the licensee are placed within the outermost circle and in which the license
 number of the licensee and "Licensed Architect" placed within the innermost circle. The
 size shall be 1 ½ to 1 ¾ inches in diameter.
- 30(C)The original, handwritten signature of the individual named on the seal shall be considered31part of the individual seal and shall appear across the face of each original seal imprint32along with the date of affixation. The use of signature reproductions such as rubber stamps,33computer generated, or other facsimiles on paper copies are not permitted in lieu of actual34handwritten and hand dated signatures.
- 35 (4) Architecture Firm Seal Design shall be as follows:
 - (A) The seal may be a rubber stamp, embossed seal, computer-generated seal, or other facsimile that becomes a permanent addition to paper drawings or sets of specifications.

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1		(B)	The design of the firm seal shall be two concentric circles in which the architectural firm's
2			approved name shall be between the inner and outer circles and the firm's license number
3			is placed within the innermost circle. The size shall be 1 $\frac{1}{2}$ to 1 $\frac{3}{4}$ inches in diameter. For
4			a Professional Corporation the words "Architectural Corporation, North Carolina" shall be
5			along the inside perimeter of the inner circle. For a Professional Limited Liability
6			Company, the words "Architectural Company" shall be along the inside perimeter of the
7			inner circle.
8	(5)	Individu	ual Registered Interior Designer Seal Design shall be as follows:
9		(A)	The seal may be a rubber stamp, embossed seal, computer-generated seal, or other
10			facsimile that becomes a permanent addition to original paper drawings or sets of
11			specifications for use in this State. For the purposes of this Rule, the term "for use in this
12			State" means drawings and sets of specifications prepared for bidding, procurement,
13			permitting, or for construction. For purposes of this Rule, "original" means the version of
14			drawings and sets of specifications from which all paper copies can be made.
15		(B)	The standard design of the seal shall be two concentric ovals in which "North Carolina"
16			and the name of the registrant are placed within the outermost oval and in which the
17			registration number of the registrant and "Registered Interior Designer" be placed within
18			the innermost oval. The dimensions shall be two inches tall by 2.75 inches wide.
19		(C)	The original, handwritten signature of the individual named on the seal shall be considered
20			part of the individual seal and shall appear across the face of each original seal imprint
21			along with the date of affixation. The use of signature reproductions such as rubber stamps,
22			computer generated, or other facsimiles on paper are not permitted in lieu of actual
23			handwritten and hand dated signatures.
24	(6)	Registe	red Interior Design Firm Seal Design shall be as follows:
25		(A)	The seal may be a rubber stamp, embossed seal, computer-generated seal, or other
26			facsimile that becomes a permanent addition to drawings or sets of specifications.
27		(B)	The design of the seal shall be two concentric ovals in which the registered interior design
28			firm's approved name shall be between the inner and outer ovals and the firm's registration
29			number is placed within the innermost oval. The size shall be 2 to 2 $\frac{3}{4}$ inches in diameter.
30			For a Corporation the words "Registered Interior Design Corporation, North Carolina"
31			shall be along the inside perimeter of the inner circle. For a Limited Liability Company,
32			the words "Registered Interior Design Company" shall be along the inside perimeter of the
33			inner oval.
34	(7)	Archite	cts and registered interior designers shall affix their seal on one original of all their drawings
35		and sets	s of specifications prepared by them for use in this State as follows:
36		(A)	on the cover sheet of each design and on each drawing prepared by the architect or
37			registered interior designer for the design;

1		(B) on the index page identifying each set of specifications; and
2		(C) on the index page of all other technical submissions. For the purposes of this Rule,
3		"technical submissions" refer to plans, drawings, specifications, studies, addenda, and other
4		technical reports prepared in the course of practicing architecture or registered interior
5		design.
6	(8)	Presentation documents, such as renderings created by an architect or registered interior designer
7		used to communicate conceptual information, shall not be sealed or signed.
8	(9)	Documents considered incomplete by the architect or registered interior designer may be released
9		for interim review without the architect's or registered interior designers seal or signature affixed,
10		but shall be dated, bear the architect's or registered interior designer's name, and be marked or
11		designated as follows "Incomplete - for interim review only and not intended for bidding,
12		procurement, permit, or construction purposes."
13	(10)	Those sheets or pages prepared by licensed professional consultants, such as structural, mechanical
14		or electrical engineers, retained by the architect or registered interior designer shall bear the seal and
15		registration or license number of the consultant responsible therefore and shall not be sealed by the
16		architect or registered interior designer.
17	(11)	The use of the prescribed seal on paper is an individual act whereby the architect or registered
18		interior designer must personally sign over the imprint of the seal. By sealing documents for use in
19		this State, an architect or registered interior designer is representing that he or she is in responsible
20		control over the content of such documents and has applied the required professional standard of
21		care. The architect or registered interior designer is responsible for security of the seal when not in
22		use.
23	(12)	Use of Firm Seal. The use of the firm seal does not replace the statutory requirement for an architect's
24		or registered interior designer's individual seal as required in Rule .0203(4) of this Chapter. The firm
25		seal must be affixed in addition to the individual seal on the cover sheet. A firm shall designate a
26		principle or other authorized individual to be responsible for the security of the firm seal.
27	(b) Prototypical	building design documents prepared by architects or registered interior designers who are licensed
28	or registered in t	his State or in their state of origin may be sealed by a succeeding licensed architect or registered
29	interior designer	in North Carolina provided:
30	(1)	the seal of the original architect or registered interior designer appears on the documents to
31		authenticate authorship;
32	(2)	the words "Prototypical Design Documents/Not for Construction" appear on each sheet of the
33		documents by the original architect or registered interior designer;
34	(3)	the succeeding North Carolina architect or registered interior designer identifies all modifications
35		to the standard design documents;

- 1 (4) the succeeding North Carolina architect or registered interior designer assumes responsibility for 2 the adequacy of the design for the specific application in North Carolina and for the design 3 conforming with applicable building codes, local conditions, site condition; and
- 4 (5) the succeeding North Carolina architect or registered interior designer affixes his or her seal to the 5 prototypical design documents with a statement as follows: "These documents have been examined 6 by the undersigned. I have determined that they comply with existing local North Carolina codes, 7 and I assume responsibility for the adequacy of the design for the specific application in North 8 Carolina."

9 (c) Post construction record drawings prepared by an architect or registered interior designer, but based upon 10 representations of contractors, are not plans that are for "bidding, procurement, permit, or construction purposes" and 11 therefore shall not be sealed by the architect or registered interior designer. Post construction record drawings shall 12 bear the name of the architect or registered interior designer and include language that states "these drawings are post 13 construction record drawings and are based in part upon the representations of others and are not for bidding, 14 procurement, permit, or construction purposes."

15 (d) Documents to be electronically transmitted beyond the direct control of the licensee or registrant that are signed 16 using a digital signature, shall contain the authentication procedure in a secure mode and a list of the hardware, 17 software, and parameters used to prepare the document(s). Secure mode means that the authentication procedure has 18 protective measures to prevent alteration or overriding of the authentication procedure. The term "digital signature" 19 shall be an electronic authentication process that is attached to or logically associated with an electronic document. 20 Unlocked copies of sealed construction documents may be provided to entities to allow for electronic usage where 21 document content is not effectively being altered (e.g. plan review approval stamps, contractor material takeoffs, etc.) 22 The digital signature shall be:

- 23 (1) Unique to the person using it;
- 24 (2) Capable of verification;

25

- (3) Under the sole control of the person using it; and
- 26 (4) Linked to a document in such a manner that the digital signature is invalidated if any data in the 27 document is changed.

28 (e) Documents for use in this State, that are transmitted electronically beyond the direct control of the licensee or 29 registrant shall have the computer-generated image of the seal removed from the original file, unless signed with a 30 digital signature as defined in this Rule. After removal of the image of the seal the electronic media shall have the 31 following inserted in lieu of the signature and date: "This document was originally issued and sealed by (name of 32 sealer), (license or registration number), on (date of sealing). This medium shall not be considered a certified 33 document." Hardcopy documents containing the original seal, signature and date of the licensee or registrant may be 34 duplicated by photocopy or electronic scanning processes and distributed either in hardcopy or electronic medium. 35 The scanned digital files of certified documents are not subject to the requirements of this Paragraph. The electronic 36 transmission beyond the direct control of the licensee or registrant of Computer Aided Design (CAD), vector, or other 37 files subject to easy editing are subject to the requirements of this Paragraph. A file subject to "easy editing" is one

1	consisting of separate elements that can be individually modified or deleted. Documents that are excepted from			
2	certification by a statement meeting the following requirements are not subject to the requirements of this Paragraph:			
3	(1)	"Preliminary - Do not use for construction";		
4	(2)	"Progress Drawings - Do not use for construction";		
5	(3)	"Final Drawing - Not released for construction";		
6	(4)	"Final Drawing - For Review Purposes Only";		
7	(5)	"Not a Certified Document – This document was originally issued and sealed by (name of licensee		
8		or registrant), (license or registration number), on (date of sealing). This document shall not be		
9		considered a certified document";		
10	(6)	"Not a Certified Document as to the Original Document but Only as to the Revisions - This		
11		document originally issued and sealed by (name of licensee or registrant), (license or registration		
12		number), on (date of sealing). This document is only certified as to the revisions".		
13				
14	History Note:	Authority G.S. 83A-6; 83A-10; 83A-12;		
15		Eff. February 1, 1976;		
16		Readopted Eff. September 29, 1977;		
17		Amended Eff. December 1, 2010; July 1, 2006; October 1, 1995; July 1, 1993; May 1, 1989; October		
18		1, 1985;		
19		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,		
20		2015;		
21		Amended Eff. <u>April 1, 2024;</u> June 1, 2022; November 1, 2017.		
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AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0210

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (a), line 7, define "substantially impaired".

21 NCAC 02 .0210 is amended as published in 38.10 NCR 621-636 as follows:

2			
3	21 NCAC 02 .0	210 INCOMPETENCE	
4	(a) In practicing	g architecture, an architect shall act with reasonable care and competence and shall apply the technical	
5	knowledge and	skill which is ordinarily applied by architects of good standing, practicing in the same locality. No	
6	person shall be	permitted to practice architecture or registered interior design if such person's competence is found by	
7	a licensed physi	cian or court of law to be substantially impaired by physical or mental disabilities.	
8	(b) In designin	g a project, an architect shall take into account all applicable state and municipal building laws and	
9	rules. While an	architect may rely on the advice of other professionals (e.g., attorneys, engineers and other qualified	
10	persons) as to tl	e intent and meaning of such laws and rules, once having obtained such advice, an architect shall not	
11	design a project	in violation of such laws and rules. No person shall be permitted to practice architecture or registered	
12	<u>interior design i</u>	f such person is found insane or incompetent by a court of law.	
13	(c) An architect	shall undertake to perform professional services only when he, together with those whom the architect	
14	may engage as c	onsultants, are qualified by education, training and experience in the specific technical areas involved.	
15	(d) No person	shall be permitted to practice architecture if such person's professional competence is substantially	
16	impaired by phy	visical or mental disabilities.	
17	(e) Architects preparing plans for building permits for projects not exempt under G.S. 83A-13 shall submit plans that		
18	are complete a	nd buildable. Such plans shall conform with the State Building Code and local plan submission	
19	requirements.	Professional judgment shall be exercised to reflect sufficient documentation necessary for plan	
20	approval. Provi	ded, however, this Rule does not alter any standard of liability applicable to licensees.	
21			
22	History Note:	Authority G.S. 83A-6; 83A-14; 83A-15;	
23		Eff. February 1, 1976;	
24		Readopted Eff. September 29, 1977;	
25		Amended Eff. <u>April 1, 2024</u> , July 1, 2006; June 1, 1995; May 1, 1989; November 1, 1979;	
26		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,	
27		2015;	
28		Temporary Amendment Eff. November 30, 2021;	
29		Temporary Amendment Expired Eff. September 11, 2022.	
30			

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0213

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (a)(3)(F), p.2, line 2, what does it mean to be "involved" in a judgment or a lien? Please clarify.

16

21 NCAC 02 .0213 is amended as published in 38.10 NCR 621-636 as follows:

3 21 NCAC 02 .0213 INDIVIDUAL LICENSES AND REGISTRATIONS

number;

4 (a) Renewal. The license to practice architecture or interior design registration must be renewed on or before the first 5 day in July each year. Continued practice after such date shall constitute unlawful practice as set forth in G.S. 83A-12 6 and may be grounds for disciplinary action. No less than 30 days prior to the renewal date, the Board shall send a 7 notice of renewal to each individual licensee or registrant via electronic mail. The licensee or registrant shall submit 8 to the Board the completed license or registration renewal documentation, along with the annual license or registration 9 renewal fee. The Board shall not accept incomplete renewal documentation. If the accompanying payment in the 10 amount of the renewal fee is dishonored by the licensee or registrant's drawee bank for any reason, the Board shall suspend the license or registration until the renewal fees and check charges are paid. When the annual renewal has 11 12 been completed according to the provisions of G.S. 83A-11, as well as Section .0900 of this Chapter, the Executive 13 Director shall approve renewal of the license or registration for the current renewal year. Renewal fees are non-14 refundable. All applications for renewal of individual licensure or registration shall contain the following: 15 the licensee's or registrant's name, mailing address, physical address, email address, and phone (1)

17	<u>(2)</u>	whether the licensee or registrant has satisfied the continuing education requirements set forth in
18		<u>Rule .0903;</u>

19 (3) since issuance of the individual's licensure or registration or the individual's last renewal, whichever
 20 is later:

21	<u>(A)</u>	whether the licensee or registrant has had a credential denied, limited, reprimanded,
22		suspended, or revoked and, if so, a statement providing the reason for the adverse action;
23		the date and jurisdiction in which the adverse action occurred; the terms of the adverse
24		action imposed; and whether the terms of the adverse action have been satisfied;

- 25
 (B) whether the licensee or registrant has been convicted of a felony or misdemeanor under

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 any laws and, and, if so, the jurisdiction, charge, case number, and date of each such

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 criminal conviction;
- 28 (C) whether any criminal charges have been filed or are pending against the licensee or
 29 registrant and, if so, the jurisdiction, charge, and case number of each such charge;
- 30
 (D) whether any court, board, agency, or professional organization has found the licensee or

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 registrant guilty of unprofessional conduct, dishonest or fraudulent practice, or

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 incompetent practice and, if so, the jurisdiction, charge, case number, and date of such

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 adverse action;
- 34(E)whether any disciplinary action, charges, or controversy is pending against the licensee or35registrant before any court, board, agency, or professional organization for unprofessional36conduct, dishonest or fraudulent practice, or incompetent practice and, if so, the37jurisdiction, charge, and case number of each such pending action; or

1		(F) whether any liens or judgments have been filed or entered against the licensee or registrant
2		and, if so, the jurisdiction, date, and parties involved with such lien or judgment.
3	(b) Late Renew	al. If the Board has not received the annual renewal fee and completed renewal documentation on or
4	before the first	day of July, each year the license or registration shall expire and be placed on delinquent status. For
5	the purpose of t	nis Rule, "delinquent status" means an administrative suspension and is not considered discipline. The
6	license or regist	ration may be renewed at any time within one year of being deemed delinquent, upon the return of the
7	completed rene	wal documentation, the annual renewal fee, and the late renewal penalty and demonstration of
8	compliance with	n Section .0900 of this Chapter.
9	(c) Reinstatem	ent. After one year from the date of expiration, the Board shall revoke the license or registration for
10	failure to renew	Reinstatement shall occur pursuant to G.S. 83A-11 and Sections .0300 and .0900 of this Chapter.
11	(d) Any individ	hual who is currently licensed or registered by and in good standing with the Board who is serving in
12	the armed force	s of the United States shall not be subject to late fees, suspension, or revocation for failure to renew
13	licensure on or	before the first day July each year, provided that the individual has been granted an extension of time
14	to file a tax retu	rn as set forth in G.S. 105-249.2.
15		
16	History Note:	Authority G.S. 83A-6; 83A-11; 93B-15(b);
17		Eff. February 1, 1976;
18		Readopted Eff. September 29, 1977;
19		Amended Eff. December 1, 2010; July 1, 2006; July 1, 1999; May 1, 1989; November 1, 1979;
20		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,
21		2015;
22		Amended Eff. November 1, 2017;
23		Temporary Amendment Eff. November 30, 2021;
24		Amended Eff. <u>April 1, 2024</u> ; June 1, 2022.
25		
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AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0214

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (a)(10) and (11), p.2, lines 3 and 6, and in (b)(3)(B) and (C), p.3, lines 13 and 16, can a "firm" be charged with a crime or convicted?

In (a)(14), p.2, line 16, what does it mean to be "involved" in a judgment or a lien? Please clarify.

In (a)(15)(B), lines 21-22, suggest revising as follows: "North Carolina General Statutes <u>Chapter 55B for corporations, or Chapter 57D for PLLCs</u>, <u>Chapter 83A, and</u> Title 21, Chapter 2 of the North Carolina Administrative Code."

In (a)(17), and in (b)(4)(F), p.4, line 5, a "firm" cannot "read and understand" a statement. Please specify who at the firm must read and understand the public notice statement.

In (b)(4)(B), line 32, whose ownership does the Rule refer to?

In (e), p.4, line 20, is "responsible charge" different than "responsible control"?

In (e), lines 19-20, what are you requiring here? An out of state firm has to have a dedicated employee who only works on NC projects? Is this different than the requirement in Rule .0215(b) that an out of state firm shall designate an architect licensed in NC to be in responsible control for the firm's practice within the state?

3 21 NCAC 02.0214 FIRM PRACTICE OF ARCHITECTURE AND REGISTERED INTERIOR 4 DESIGN

5 (a) Prior to offering and rendering architectural or registered interior design services as set forth in G.S. 83A and Rule 6 .0204(a) and Rule .0204(c) of this Chapter, all firms shall submit an application for firm licensure or registration and 7 be granted licensure or registration by the Board. Application for firm licensure or registration to practice of 8 architecture or registered interior design within the State of North Carolina shall be made upon forms provided on the 9 Board web site at www.ncbarch.org and include the required application fee as set forth in Rule .0108 of this Chapter. 10 Licensure for firm practice of architecture shall be issued only under the provisions of the Professional Corporation 11 Act, G.S. 55B and G.S 57D-2-02. Registration for firm practice of interior design shall be issued only under the 12 provisions of the Business Corporation G.S. 55 and G.S 57D. All applications for firm licensure or registration shall 13 contain the following: 14 the firm's name, mailing address, physical address, email address, and phone number; (1) 15 (2)the firm's representative completing the application; 16 (3) for all officers, directors and shareholders, if the firm is a professional corporation: 17 (A) the profession; 18 **(B)** the credential number and jurisdiction in which the credential was issued, if outside of 19 North Carolina; 20 (C) the credential number issued by the Board, if any; 21 whether the individual is an officer, director, or shareholder; and (D) 22 percentage of stock owned. (E) 23 (4) for all members and owners, if the firm is a professional limited liability company: 24 (A) the profession; 25 (B) the credential number and jurisdiction in which the credential was issued, if outside of 26 North Carolina; 27 (C) the credential number issued by the Board, if any; 28 (D) whether the individual is a member or manager; and 29 (E) percentage of membership held. 30 (5) the names, position, and ownership interest of any non-licensed shareholder or member of the firm; 31 (6) whether the firm is a professional corporation or professional limited liability company; 32 whether the firm intends to form a new entity with the North Carolina Secretary of State and, if so, (7)33 copies of the proposed articles of incorporation or organization; 34 whether the firm intends to register with the North Carolina Secretary of State as a foreign entity (8) 35 and, if so, copies of the articles of incorporation or organization filed in the other jurisdiction; 36 whether the firm or any of its owners ever has had a credential denied, limited, reprimanded, (9) 37 suspended, or revoked and, if so, a statement providing the reason for the adverse action; the date

1		and jurisdiction in which the adverse action occurred; the terms of the adverse action imposed; and		
2		whether the terms of the adverse action have been satisfied;		
3	<u>(10)</u>	whether the firm or any of its owners ever has been convicted of a felony or misdemeanor under		
4		any laws and, and, if so, the jurisdiction, charge, case number, and date of each such criminal		
5		conviction;		
6	(11)	whether any criminal charges have been filed or are pending against the firm or any of its owners		
7		and, if so, the jurisdiction, charge, and case number of each such charge;		
8	<u>(12)</u>	whether any court, board, agency, or professional organization has found the firm or any of its		
9		owners guilty of unprofessional conduct, dishonest or fraudulent practice, or incompetent practice		
10		and, if so, the jurisdiction, charge, case number, and date of such adverse action;		
11	<u>(13)</u>	whether any disciplinary action, charges, or controversy is pending against the firm or any of its		
12		owners before any court, board, agency, or professional organization for unprofessional conduct,		
13		dishonest or fraudulent practice, or incompetent practice and, if so, the jurisdiction, charge, and case		
14		number of each such pending action;		
15	<u>(14)</u>	whether any liens or judgments have been filed or entered against the firm or any of its owners and,		
16		if so, the jurisdiction, date, and parties involved with such lien or judgment;		
17	<u>(15)</u>	if the firm is a foreign entity, a certification from the person identified in Paragraph (b)(2) of this		
18		Rule that:		
19		(A) the information contained in the application is true and correct to the best of his or her		
20		knowledge and belief; and		
21		(B) he or she has read the statutes and rules set forth in North Carolina General Statutes 55B		
22		or 57D (for PLLC), 83A and Title 21 Chapter 2 of the North Carolina Administrative Code.		
23	<u>(16)</u>	if the firm is an entity desiring to be incorporated or organized in North Carolina, a certification		
24		from the incorporator or organizer that the information contained in the application is true and		
25		correct to the best of his or her knowledge and belief; and		
26	<u>(17)</u>	a certification that the firm has read and understands the public notice statement on employee		
27		misclassification that is set forth in the application and has disclosed any investigations for employee		
28		misclassification, and its results, over the preceding 12-month period, as prescribed by G.S. 143-		
29		<u>789.</u>		
30	(b) Architecture	firm licensure and interior design firm registration shall be renewed on or before December 31st of		
31	each year. If the	Board has not received the annual renewal fee as set forth in Rule .0108 and completed application		
32	on or before Dec	ember 31st of each year, the architecture firm license or interior design firm registration shall expire.		
33	The Board shall	send a notice of renewal to each licensed and registered firm no less than 30 days prior to the renewal		
34	date. Renewal de	ocumentation shall be accompanied by the renewal fee. If the accompanying draft or check in the		
35	amount of the renewal fee is dishonored by the firm's drawee bank for any reason, the Board shall suspend the firm			
36	-	ation until the renewal fees and returned check charges are paid. When the annual renewal has been		
37	completed accord	ding to the provisions of G.S. 83A-11, the Executive Director shall approve renewal for the firm for		

1	the current renew	val year.	Upon completion of the firm annual renewal, the Board may randomly audit the compliance	
2	of firm licenses and registrations and require proof in the form of corporate records maintained pursuant to North			
3	Carolina General Statute 55B or 57D. Such records shall be maintained for a period of seven years after the renewa			
4		is submitted. Renewal fees are non-refundable. <u>All applications for renewal of firm licensure or registration shal</u>		
5	contain the follo			
6	(1)	the firm	n's name, mailing address, physical address, email address, and phone number;	
7	(2)		n's representative completing the application;	
8	(3)	since is	ssuance of the firm's licensure or registration or the firm's last renewal, whichever is later,	
9		<u>(</u> A)	whether the firm or any of its owners has had a credential denied, limited, reprimanded,	
10			suspended, or revoked and, if so, a statement providing the reason for the adverse action;	
11			the date and jurisdiction in which the adverse action occurred; the terms of the adverse	
12			action imposed; and whether the terms of the adverse action have been satisfied;	
13		<u>(B)</u>	whether the firm or any of its owners has been convicted of a felony or misdemeanor under	
14			any laws and, and, if so, the jurisdiction, charge, case number, and date of each such	
15			criminal conviction;	
16		<u>(C)</u>	whether any criminal charges have been filed or are pending against the firm or any of its	
17			owners and, if so, the jurisdiction, charge, and case number of each such charge;	
18		<u>(D)</u>	whether any court, board, agency, or professional organization has found the firm or any	
19			of its owners guilty of unprofessional conduct, dishonest or fraudulent practice, or	
20			incompetent practice and, if so, the jurisdiction, charge, case number, and date of such	
21			adverse action;	
22		<u>(E)</u>	whether any disciplinary action, charges, or controversy is pending against the firm or any	
23			of its owners before any court, board, agency, or professional organization for	
24			unprofessional conduct, dishonest or fraudulent practice, or incompetent practice and, if	
25			so, the jurisdiction, charge, and case number of each such pending action; or	
26		<u>(F)</u>	whether any liens or judgments have been filed or entered against the firm or any of its	
27			owners and, if so, the jurisdiction, date, and parties involved with such lien or judgment;	
28	<u>(4)</u>	an affii	rmation from the architectural firm's Chief Executive Officer or person designated by firm	
29		resolut	ion as a responsible officer in charge that:	
30		<u>(A)</u>	the information contained in the renewal application is true and correct to the best of his or	
31			her knowledge and belief;	
32		<u>(B)</u>	ownership of the shares or stock or membership of the firm is in compliance with the rules	
33			and laws of North Carolina;	
34		<u>(C)</u>	any officers, directors, shareholders or members and professional employees, who are	
35			practicing architecture or registered interior design for said firm in the State of North	
36			Carolina, are duly licensed to so practice in this State;	

1		(D) at least one officer, director, and shareholder or member/owner of the firm is licensed in
2		North Carolina;
3		(E) non-licensed individuals do not own no more than one-third of the total stock or ownership;
4		and
5		(F) the firm has read and understands the public notice statement on employee
6		misclassification that is set forth in the application and has disclosed any investigations for
7		employee misclassification, and its results, over the preceding 12-month period, as
8		prescribed by G.S. 143-789.
9	(c) Failure to F	Renew and Reinstatement. Within one year of the expiration, the firm license or registration may be
10	renewed at any 1	time, upon the return of the completed renewal documents, the annual renewal fee, and the late renewal
11	fees. After one	year from the date of expiration for non-payment of the annual renewal fee the licensee or registrant
12	shall seek reins	tatement, as allowed by G.S. 83A-11. The Board may reinstate the firms' license or registration, as
13	allowed by G.S.	. 83A-11.
14	(d) Seal. Each l	icensed or registered firm shall adopt a seal pursuant to Rule .0206 of this Chapter.
15	(<u>e) Every firm,</u>	partnership, corporation or limited liability company that performs or offers to perform architectural
16	or registered int	erior design services in the State of North Carolina shall have a resident Architect or Registered Interior
17	Designer in Res	sponsible Control in each separate office located in North Carolina where architectural or registered
18	interior design s	services are performed or offered to be performed. Out-of-state office locations where architectural or
19	registered interi	or design services are performed or offered to be performed for North Carolina projects shall have
20	architects or reg	sistered interior designers in responsible charge of only the specific projects in North Carolina.
21		
22	History Note:	Authority G.S. 55B-5; 55B-10; 55B-15; 83A-6; 83A-8; 83A-10
23		Eff. February 1, 1976;
24		Readopted Eff. September 29, 1977;
25		Amended Eff. July 1, 2014; December 1, 2010; July 1, 1993; May 1, 1989; November 1, 1979;
26		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,
27		2015;
28		Temporary Amendment Eff. November 30, 2021;
29		Amended Eff. <u>April 1, 2024;</u> June 1, 2022.
30		
31		

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0215

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In your History Note, please move the proposed effective date to the last line.

21 NCAC 02 .0215 is amended as published in 38.10 NCR 621-636 as follows:

3 21 NCAC 02 .0215 OUT OF STATE FIRMS

4 (a) Architectural and interior design firms from other states shall be granted firm licensure or registration for practice 5 in this State upon receipt by the Board of a completed application as set forth in Rule .0214(a), fees as required by 6 Rule .0108, the submission of a copy of their firm charter, or other corresponding documents, amended as may be 7 necessary to ensure compliance with all requirements of Chapter 55B, the Professional Corporation Act for 8 architectural firms and Chapter 55, the Business Corporation Act for registered interior design firms. In addition to 9 the other requirements as set out in G.S. 83A-8, out of state interior design firms shall, prior to registration, receive 10 from the Secretary of State of North Carolina a certificate of authority to do business within the state. Architectural 11 firms shall obtain a certificate for filing from the Board prior to submitting application to the Secretary of State for a 12 Certificate of Authority. 13 (b) Designated Individuals. If an out of state entity offers both architectural and engineering services, then it shall 14 comply with requirements set forth in G.S. 89C. An out of state entity shall have at least one officer, director and 15 shareholder licensed as an architect in this state. Two-thirds of the issued and outstanding shares of the out of state 16 corporations shall be owned by licensed architects or engineers who are licensed to practice their profession in a 17 jurisdiction of the United States. However, the firm shall designate at least one architect who is licensed in the State 18 of North Carolina to be in responsible control for the firm practice of architecture within the State of North Carolina.

19 A registered interior design firm shall designate one registered interior designer to be in responsible control of all

20 interior design work offered and performed by that firm in this State.

(c) Partnerships. An out of state architectural or registered interior design partnership may practice architecture or
 registered interior design, if every partner in the firm is licensed or registered as an individual in this state under Rule
 .0213 and the partnership complies with Paragraph (f) this Rule .

(d) Limited Liability Companies. An out of state Limited Liability Company may practice architecture or registered
 interior design if the Limited Liability Company complies with G.S. 57D and at least one member and one owner are
 licensed or registered as an individual under Rule .0213 and comply with Paragraph (a) of this Rule.

27 (e) Limited Liability Partnerships. An out of state Limited Liability Partnership may practice architecture or registered

28 interior design, if the Limited Liability Partnership complies with G.S. 59, and at least one partner is licensed or

29 registered as an individual under Rule .0213.

30 (f) Failure to Renew and Reinstatement. If the Board has not received the annual firm renewal fee and completed

31 application as required by Rule .0214(b) on or before December 31st each year the firm license or registration shall

32 expire and be deemed delinquent. The firm registration may be renewed at any time within one year, upon the return

33 of the completed application, the annual renewal fee and the late renewal fees. After one year from the date of

34 expiration for non-payment of the annual renewal fee, the license or registration shall be automatically revoked. The

35 Board may reinstate the firm's license or registration, as allowed by G.S. 83A-11.

36

37 History Note: Authority G.S. 55B-6; 83A-6; 83A-8; 83A-9; 55B-16;

1	Eff. February 1, 1976;
2	Readopted Eff. September 29, 1977;
3	Amended Eff. <u>April 1, 2024;</u> December 1, 2010; June 1, 1995; July 1, 1993; May 1, 1989; November
4	1, 1979;
5	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,
6	2015;
7	Temporary Amendment Eff. November 30, 2021;
8	Amended Eff. June 1, 2022.
9	
10	

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0302

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (b), lines 11-12, I think there's a typo. What shall be deemed satisfied?

21 NCAC 02 .0302 is amended as published in 38.10 NCR 621-636 as follows:

3 21 NCAC 02 .0302 ARCHITECTURAL LICENSURE BY EXAMINATION

- 4 (a) Those individuals who wish to take the Architectural Registration Exam (ARE) shall contact the National Council
- 5 of Architecture Registration Boards (NCARB) directly to obtain exam eligibility. Upon completion of all requirements
- 6 set forth in the NCARB Architecture Experience Program (AXP), a candidate seeking licensure by exam in North
- 7 Carolina shall direct NCARB to transmit a completed NCARB AXP record to the North Carolina Board of
- 8 Architecture and Registered Interior Designers.
- 9 (b) Upon passing all sections of the NCARB ARE, ARE, fulfillment of all NCARB AXP requirements, and
- 10 completion of the National Architectural Accrediting Board (NAAB) accredited degree, NCARB, as directed by the
- 11 candidate, will transmit a completed NCARB AXP file to the Board to determine compliance with G.S. 83A-7(a)(1)a.
- 12 shall be deemed satisfied through completion of the requirements set forth in Subparagraphs (1) through (5) of this
- 13 Paragraph. The Board shall grant licensure by exam to those individuals who:
- 14 (1) are of good moral character as defined in G.S. 83A-1(5);
- 15 (2) are at least 18 years of age;
- 16 (3) have completed a NAAB accredited professional degree in architecture or who have completed a
 17 NAAB accredited degree program that is identified as an NCARB endorsed Integrated Path To
 18 Architectural Licensure Degree Program;
- 19 (4) have completed the NCARB AXP; and
- 20 (5) submit the Application for Licensure by Exam and fee as required by Rule .0108.
- 21 (c) Retention of credit for purposes of licensure by examination in North Carolina.
- (1) Passing scores received after July 1, 2006 on any part of the ARE remain valid for a period of time
 established by the exam provider, NCARB.
- 24 (2) Scores received on any part of the ARE prior to July 1, 2006 are invalid.
- (d) Practical training pursuant to G.S. 83A-7(a)(2) means practical experience and diversified training as defined by
 the NCARB AXP.
- 27 (e) During the application process, Board members, in order to augment the evidence submitted in an application may
- 28 interview the applicant regarding qualifications required in Paragraph (b) of this Rule. The Board shall determine
- 29 whether an interview is needed on a case-by-case basis, based upon information in the application, including any
- 30 academic or professional discipline.
- 31 (f) To complete the ARE, an exam candidate shall receive a passing grade in each division of the ARE. Information
- 32 regarding NCARB grading methods and procedures can be found on their web site at www.ncarb.org.
- 33 (g) A person currently employed under the responsible control of an architect, who holds a Professional Degree from
- 34 a NAAB accredited program, and who maintains an active NCARB AXP record or has completed the NCARB AXP
- 35 may use the title "Architectural Intern" or "Intern Architect" in conjunction with his or her current employment.
- 36 (h) The fees for examination, or parts thereof, are set and collected by the NCARB. Fee information is available on
- 37 the NCARB web site www.ncarb.org.

(i) The standards of the National Council of Architecture Registration Boards and its components are hereby
 incorporated by reference including subsequent amendments and editions, and can be accessed at no charge at
 www.ncarb.org.

History Note:	Authority G.S. 83A-1; 83A-6; 83A-7; 83A-12;
	Eff. February 1, 1976;
	Readopted Eff. September 29, 1977;
	Amended Eff. March 1, 2016; July 1, 2014; November 1, 2010; July 1, 2006; July 1, 2000; July 1,
	1996; June 1, 1995; December 1, 1992; July 1, 1991;
	Amended Eff. November 1, 2017;
	Temporary Amendment Eff. November 30, 2021;
	Amended Eff. <u>April 1, 2024;</u> June 1, 2022.
	History Note:

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0303

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In your History Note, please move the proposed effective date to the last line.

- 1 2
- 21 NCAC 02 .0303 is amended as published in #:# NCR # as follows:
- 3 21 NCAC 02 .0303 ARCHITECTURE LICENSURE BY RECIPROCITY
- 4 (a) An individual who holds a current license in good standing from a National Council of Architecture Registration
- 5 Boards (NCARB) recognized jurisdiction and a Certified Council Certificate issued by NCARB shall qualify for
- 6 licensure by reciprocity upon receipt of a certified record from NCARB and the Board application for licensure by
- 7 reciprocity and fee <u>as required by Rule .0108</u> as provided in G.S. 83A-7(b). Revocation of the certificate by NCARB
- shall automatically suspend the architect's license to practice in North Carolina until such time as the certificate isreinstated by NCARB.
- 10 (b) In order to supplement or clarify the contents of a record or application, the Board may interview the applicant to
- ensure that the applicant has had sufficient architectural practice experience to be able to practice architecture in thisState.
- 13

14	History Note:	Authority G.S. 83A-6; 83A-7;
15		Eff. February 1, 1976;
16		Readopted Eff. September 29, 1977;
17		Amended Eff. <u>April 1, 2024</u> ; July 1, 2014; November 1, 2010; July 1, 2006; July 1, 2000; October
18		1, 1995; May 1, 1989; October 1, 1984; September 1, 1982;
19		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,
20		2015;
21		Temporary Amendment Eff. November 30, 2021;
22		Amended Eff. June 1, 2022.
23		
24		

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0307

DEADLINE FOR RECEIPT: Tuesday, March 19, 2024.

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (a)(1)(E), and (b)(1)(E), what "other occupational Board" licenses architecture or interior design in NC?

In (a)(3), what about the requirement in 93B-15.1(a2)(2) that the applicant pass a proficiency examination? What written documentation would satisfy that requirement?

In (b)(1)(L), p.2, line 25, delete "; and".

There is an extra space before (c) on p.2.

Where is your statutory authority to issue a temporary license? Assuming arguendo that there is authority for a temporary license, what is the duration of this license? Is it limited in any way?

1 21 NCAC 02 .0307 is adopted as published in 38.10 NCR 621-636 as follows:

2						
3	<u>21 NCAC 02 .03</u>	807	CERTIFICATION AND LICENSURE FOR MILITARY PERSONNEL AND			
4			MILITARY SPOUSES			
5	(a) Upon receipt	t of a rec	quest for certification or licensure pursuant to G.S. 93B-15.1 from an applicant with military			
6	training and expe	erience,	the Board shall issue a certificate or license upon submission of the following to the Board:			
7	(1)	an app	lication containing the following information:			
8		<u>(A)</u>	the applicant's contact information;			
9		<u>(B)</u>	the social security number of the applicant;			
10		<u>(C)</u>	the requested designation of licensure or registration;			
11		<u>(D)</u>	employment history;			
12		<u>(E)</u>	whether the applicant has ever been certified, licensed, or registered to practice architecture			
13			or registered interior design by the Board, by another occupational Board, or in another			
14			state/jurisdiction and, if so what credential was held, in what state/jurisdiction, the issuance			
15			date and expiration date, and what examinations were taken to obtain said certification,			
16			licensure, or registration:			
17		<u>(F)</u>	whether the applicant has ever had a credential denied, limited, reprimanded, suspended,			
18			or revoked;			
19		<u>(G)</u>	whether the applicant has ever been convicted of, or plead nolo contendere to, a felony or			
20			misdemeanor under any laws;			
21		<u>(H)</u>	whether any criminal charges have been filed or are pending against the applicant;			
22		<u>(I)</u>	whether any court, board, agency, or professional organization has found the applicant			
23			guilty of unprofessional conduct, dishonest or fraudulent practice, or incompetent practice;			
24		<u>(J)</u>	whether any charges are pending against the applicant before any court, board, agency, or			
25			professional organization for unprofessional conduct, dishonest or fraudulent practice, or			
26			incompetent practice;			
27		<u>(K)</u>	the applicant's affirmation that the applicant has read the statutes and rules set forth in			
28			North Carolina General Statutes 83A and Title 21 Chapter 2 of the North Carolina			
29			Administrative Code, the information provided by the applicant in the application is true,			
30			and that the applicant has read and understands the public notice statement on employee			
31			misclassification that is set forth in the application and has disclosed any investigations for			
32			employee misclassification, and its results, over the preceding 12-month period, as			
33			prescribed by G.S. 143-789:			
34	(2)	written	documentation to satisfy conditions set out in G.S. 93B-15.1(a); or			
35	(3)	written	documentation to satisfy conditions set out in G.S. 93B-15.1(a2).			
36	(b) Upon receipt of a request for certification or licensure pursuant to G.S. 93B-15.1 from a military spouse, the Board					
37	shall issue a certificate or license upon submission of the following to the Board:					

1	(1)	an app	lication containing the following information:
2		<u>(A)</u>	the applicant's contact information;
3		<u>(B)</u>	the social security number of the applicant;
4		<u>(C)</u>	the requested designation of licensure or registration;
5		<u>(D)</u>	employment history;
6		<u>(E)</u>	whether the applicant has ever been certified, licensed, or registered to practice architecture
7			or registered interior design by the Board, by another occupational Board, or in another
8			state/jurisdiction and, if so what credential was held, in what state/jurisdiction, the issuance
9			date and expiration date, and what examinations were taken to obtain said certification,
10			licensure, or registration:
11		<u>(F)</u>	whether the applicant has ever had a credential denied, limited, reprimanded, suspended,
12			or revoked;
13		<u>(G)</u>	whether the applicant has ever been convicted of, or plead nolo contendere to, a felony or
14			misdemeanor under any laws;
15		<u>(H)</u>	whether any criminal charges are pending against the applicant;
16		<u>(I)</u>	whether any court, board, agency, or professional organization has found the applicant
17			guilty of unprofessional conduct, dishonest or fraudulent practice, or incompetent practice;
18		<u>(J)</u>	whether any charges are pending against the applicant before any court, board, agency, or
19			professional organization for unprofessional conduct, dishonest or fraudulent practice, or
20			incompetent practice;
21		<u>(K)</u>	a completed NCARB AXP file as set forth in Rule .0302; and
22		<u>(L)</u>	the applicant's affirmation that the applicant has read the statutes and rules set forth in
23			North Carolina General Statutes 83A and Title 21 Chapter 2 of the North Carolina
24			Administrative Code, the information provided by the applicant in the application is true,
25			and that ; and the applicant has read and understands the public notice statement on
26			employee misclassification that is set forth in the application and has disclosed any
27			investigations for employee misclassification, and its results, over the preceding 12-month
28			period, as prescribed by G.S. 143-789 (2) written documentation to satisfy conditions set
29			out in G.S. 93B-15.1(b):
30	(c) Military train	ned appl	icants and military spouse applicants may apply for a temporary license by submitting to the
31	Board an applica	ation con	taining the information set forth in Paragraph (a)(1) of this Rule.
32	History Note:	Author	<u>ity G.S. 83A-7; 93B-15.1;</u>
33		<u>Eff. Ap</u>	<u>ril 1, 2024.</u>